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Distinct miRNA signatures associate with subtypes of cholangiocarcinoma from infection with the tumorigenic liver fluke *Opisthorchis viverrini*

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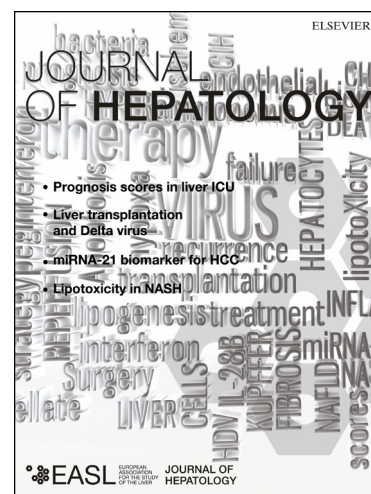
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1 **Distinct miRNA signatures associate with subtypes of cholangiocarcinoma from**  
2 **infection with the tumorigenic liver fluke *Opisthorchis viverrini***

3

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41 **Abbreviations:**

42 CCA: Cholangiocarcinoma

43 ICC: Intrahepatic cholangiocarcinoma

44 HCC: hepatocellular carcinoma

45 FFPE: Formalin Fixed Paraffin Embedded

46 FC: Fold Change

47 OV: *Opisthorchis viverrini*

48 miRNA: microRNA

49 qPCR: Quantitative Reverse Transcription PCR

50 GWU: George Washington University  
51 IRB: Institutional Review Board  
52 CTT: (intrahepatic) cholangiocarcinoma Tissue  
53 D-NT: Distal Non-Tumor tissue  
54 N-NT: Normal Non-Tumor tissue  
55 ANOVA: Analysis of Variance  
56 CC: Correlation Coefficient  
57 PCA: Principal Components Analysis

58

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69

70 **Abstract (245)**

71 **Background:** Intrahepatic cholangiocarcinoma (ICC) is a significant public health problem in  
72 East Asia, where it is strongly associated with chronic infection by the food-borne parasite  
73 *Opisthorchis viverrini* (OV). We report the first comprehensive miRNA expression profiling by  
74 microarray of the most common histologic grades and subtypes of ICC: well differentiated,  
75 moderately differentiated, and papillary ICC.

76 **Methods:** MicroRNA expression profiles from FFPE were compared among the following: ICC  
77 tumor tissue (n = 16), non-tumor tissue distally macrodissected from the same ICC tumor block  
78 (n =15), and normal tissue (n =13) from individuals undergoing gastric bypass surgery. A panel  
79 of dysregulated miRNAs was validated by qPCR.

80 **Results:** Each histologic grade and subtype of ICC displayed a distinct miRNA profile, with no  
81 cohort of miRNAs emerging as commonly dysregulated. Moderately differentiated ICC showed  
82 the greatest miRNA dysregulation in quantity and magnitude, followed by the papillary subtype,  
83 and then well differentiated ICC. Moreover, when ICC tumor tissues were compared to adjacent  
84 non-tumor tissue, similar miRNA dysregulation profiles were observed.

85 **Conclusions:** We show that common histologic grades and subtypes of ICC have distinct  
86 miRNA profiles. As histological grade and subtypes are associated with ICC aggressiveness,  
87 these profiles could be used to enhance the early detection and improve the personalized  
88 treatment for ICC. These findings also suggest the involvement of specific miRNAs during ICC  
89 tumor progression and differentiation. We plan to use these insights to (a) detected these  
90 profiles in circulation and (b) conduct functional analyses to decipher the roles of miRNAs in ICC  
91 tumor differentiation.

92

93

94 **INTRODUCTION**

95 Intrahepatic cholangiocarcinoma (ICC) is an adenocarcinoma which arises in the bile ducts  
96 within the liver [1] and is subcategorized morphologically as mass-forming, periductular-  
97 infiltrating, or intraductal [2]. ICC is a serious public health problem in East Asia, especially in  
98 the Mekong River Basin countries of Thailand, Laos, Cambodia, and Vietnam [3-5], where the  
99 incidence of this tumor is the highest in the world (96 per 100,000) [3-5]. This is due to ICC's  
100 strong association with chronic infection by the food-borne liver fluke *Opisthorchis viverrini* (OV)  
101 [6], one of three eukaryote pathogens considered Group 1 carcinogens by WHO's International  
102 Agency for Research on Cancer [6]. Health disparities in this resource-poor region exacerbate  
103 mortality by OV-induced ICC because of either (a) suboptimal or nonexistent screening  
104 programs and (b) few resources for cancer treatment once a tumor is detected [7]. As OV-  
105 induced ICC tends to present late, the prognosis is often poor, with a median survival rate of  
106 less than 24 months [8-10]. Hence, the urgent need for biomarkers for improved early detection  
107 of OV-induced CCA [11].

108 Currently, tumor location and stage are the primary prognostic tools for ICC [8]. The WHO  
109 suggests a quantitative grading system for ICC tumor differentiation based on the proportion of  
110 gland formation [1]: well-differentiated tumors exhibit greater than 95% gland formation,  
111 moderately differentiated tumors exhibit between 50% to 95% gland formation, and poorly  
112 differentiated tumors exhibit between 5% to 49% gland formation. ICC can also undergo a  
113 secondary form of malignant transformation, as a papillary type adenocarcinoma [1]. Due to the  
114 late presentation of ICC and the need for re-sectioned liver sample, histology is seldom used in  
115 the prognosis or in the planning of patient treatment for ICC, even though moderately  
116 differentiated and papillary ICC are generally related to a poor outcome [11, 12]. As such, a  
117 biomarker in an accessible bodily fluid such as serum or urine, which could detect ICC prior to  
118 liver resection, would greatly aid current prognostic and planning methods for this cancer.

119 MicroRNAs (miRNAs) are key players in the control of numerous physiological and pathological  
120 processes during carcinogenesis, including cellular transformation, tumor differentiation,  
121 neoplastic proliferation, and apoptosis [13]. Altered expression of miRNAs has also been  
122 reported in other forms of hepatocellular carcinomas (HCC), where a strong correlation between  
123 miRNA dysregulation and histological differentiation of the tumor has been observed [14-17]. As  
124 miRNAs are well-preserved after formalin fixation, there has been a surge of interest in their  
125 development as biomarkers in retrospective cancer studies using FFPE as presented in the  
126 current manuscript [13].

127 Although previous reports have focused on single miRNAs involved in the oncogenesis of OV-  
128 induced ICC (e.g.,[16, 18-20]), this is the first study to comprehensively profile miRNA  
129 expression in tissue from the two most common histological grades of OV-induced ICC and  
130 single most common histological subtype of OV-induced ICC. The miRNA expression profiles  
131 were established by microarray analysis on FFPE accessed from the tumor registry at the Liver  
132 Fluke and Cholangiocarcinoma Research Center, Khon Kaen, Thailand and the George  
133 Washington University (GWU) Medical Center using the following samples: (1) histologically  
134 confirmed intrahepatic cholangiocarcinoma tumor tissue (CTT); (2) histologically normal tissue  
135 distally macrodissected from the observed dysplasia or frank carcinoma from the same FFPE  
136 block as the CTT described above (Distal Normal Tissue or D-NT); and (3) histologically normal  
137 non-tumor tissue from individuals undergoing gastric bypass surgery (normal non-tumor tissue  
138 or N-NT). We hypothesize that miRNA dysregulation is associated not only with ICC, but also  
139 with the histological grade or the subtype of ICC and, may be informative for early diagnosis,  
140 prognosis, and patient planning for ICC.

141

## 142 **Materials and Methods**

### 143 **Study Samples**

144 Formalin fixed paraffin embedded (FFPE) liver sections from histologically confirmed *O. viverrini*  
145 induced ICC archived at the Liver Fluke and Cholangiocarcinoma Research Center, Faculty of  
146 Medicine, Khon Kaen University, Thailand were studied. The tumor samples and their  
147 corresponding distal non-tumor sections were derived from liver resections performed in the  
148 course of palliative treatment for confirmed cases of *O. viverrini*-associated ICC at the Khon  
149 Kaen University's Srinagarind Hospital, Khon Kaen, Thailand. In addition, non-tumor controls  
150 were derived from livers of 13 individuals (N-NT) from biopsies taken prior to gastric bypass  
151 surgery at the George Washington University Medical Center (GWUMC), Washington D.C. to  
152 assess baseline liver histology in individuals suspected of severe steatosis or steatohepatitis.  
153 The 13 control individuals (N-NT) included 11 females and two males with an average age of 45  
154 years (95% Confidence Interval of 38 to 54 years of age). Clinico-pathological information and  
155 representative images of the tissues used in this study are presented in Table 1 and in Figure 1.

156 Both the Khon Kaen University and GWU IRB determined that the samples used in this study  
157 did not meet the definition of human subjects research; i.e., a living individual about whom an  
158 investigator conducting research obtains: a) data through intervention or interaction with the  
159 individual or b) private identifiable information. This determination was made since the samples  
160 were limited to pre-existing, de-identified specimen analysis labeled with a random code.

### 161 **RNA isolation**

162 A master slide consisting of a 5 $\mu$ m section from the FFPE block was prepared and stained with  
163 Harris's hematoxylin and eosin (H&E). Normal (D-NT), necrotic, and tumor tissue (CTT) regions  
164 were identified for each sample, and subsequently used as a template for additional microtome  
165 sections (8 x 5 $\mu$ m for each case). RNA was isolated from FFPE sections using the miRNeasy



166 FFPE kit (Qiagen) according to manufacturer's protocol[21]. Total RNA was eluted in 30  $\mu$ l  
167 RNase-free water. The concentration, purity and integrity of the RNA were determined by  
168 spectrophotometry (Nanodrop 1000) and with the Agilent 2100 Bioanalyzer/Agilent RNA 6000  
169 Nano Kit and Agilent Small RNA kit. Purified RNA was stored at -80°C.

### 170 **Microarray Analysis**

171 MicroRNA expression was profiled on the Agilent human miRNA microarray (miRBase Release  
172 16.0) between the following groups (1) ICC tumor tissue (CTT) (n = 16) and a corresponding  
173 non-tumor tissue distally macrodissected from the observed dysplasia or frank carcinoma from  
174 the same FFPE block from the same ICC tumor block (D-NT) (n = 15) (note case B91 did not  
175 contain corresponding non-tumor distal tissue); (2) CTT and normal non tumor-tissue (N-NT) (n  
176 = 13) from biopsies of individuals undergoing gastric bypass surgery at GWU; and (3) among  
177 the three common histological grades of OV-induced ICC: well differentiated (n = 6), moderately  
178 differentiated (n = 2), and papillary tumor (n = 8).

179 Tissue from CTT, D-NT, and N-NT were equally distributed across six microarrays to prevent  
180 bias of the signals to a single microarray. RNA was labeled with Cyanine 3-pCp using Agilent  
181 miRNA labeling and hybridization kits and hybridized to the Agilent human miRNA array and  
182 scanned. The feature intensities were transferred to digital data using Feature Extraction  
183 (V.10.7) software, along with hierarchical clustering [Agilent Gene Spring and Feature Extraction  
184 (V.10.7)] (Figure 2A). A sample of papillary tumor (a CTT sample) was excluded from analysis  
185 due to a low CC (correlation coefficient) value (<0.7). CC values of the other pairs ranged from  
186 0.7 to 1. During analysis of these data, inter-sample variance was normalized using 90  
187 percentile normalization strategies. Hierarchical Clustering by Pearson correlation and  
188 Euclidean distance enabled clustering of samples with similar miRNA profiles, as well as  
189 enabling visualization of combinations of miRNAs with similar profiles across the different  
190 groups. Differences among groups were statistically compared using one way Analysis of  
191 Variance (ANOVA), unpaired Student's *t*-test, and fold change analysis with correction for

192 multiple testing (Benjamini & Hochberg or BH correction). *P* values of  $\leq 0.05$  were considered to  
193 be statically significant.

194 Microarray data was prepared according to MIAME standards and deposited in the GEO (Gene  
195 Expression Omnibus Database, National Center for Biotechnology Information, U.S. National  
196 Library of Medicine, Bethesda, MD) under accession number GSE53992.

### 197 **Quantitative Reverse Transcription PCR (qPCR)**

198 cDNA was generated from 250 ng total RNA using the miScript RT II kit (Qiagen) according to  
199 the manufacturer's protocol. qPCR was performed (in duplicate) using a Bio-Rad (Hercules, CA)  
200 iCycler iQ5 with miScript SYBR Green PCR Kit (Qiagen) with miScript Primer Assays (Qiagen)  
201 for controls and six selected miRNAs: miR--135b, -141, -200c, -21, -221, -222. Initial activation  
202 was completed at 95°C for 15 minutes followed by 40 cycles of denaturation, 15 sec, 94°C;  
203 annealing, 30 sec, 55°C; and extension, 30 sec, 70°C, followed by a melting curve analysis for  
204 81 cycles at 55°C and 20 sec dwell time.  $C_t$  values were exported and analyzed using  
205 SABiosciences tool (<http://pcrdataanalysis.sabiosciences.com/mirna>) and relative quantitation  
206 performed using the  $\Delta\Delta C_t$  method [22]. Normalization was accomplished using SNORD68 and  
207 SNORD95.

## 208 **RESULTS**

### 209 **Cases and Controls**

210 Detailed clinicopathological characteristics of the ICC FFPE samples used in this study are  
211 shown in Table 1 and Figure 1. In brief, FFPE consisted of anonymously coded FFPE from  
212 histopathologically confirmed cases of ICC after informed consent from patients who underwent  
213 hepatectomy at Srinagarind Hospital, Khon Kaen University and were stored under Best  
214 Practices at the Liver Fluke and Cholangiocarcinoma Center, Khon Kaen University, Khon Kaen  
215 Thailand, where eligibility into this biorepository requires prior (positive sera or plasma) or  
216 current (fecal exam) evidence of infection with *O. viverrini*. The classification system used to

217 define the tumor growth characteristics of each sample in Table 1 were derived from The Liver  
218 Cancer Study Group of Japan [2] and identified tumors as [1] mass-forming, [2] periductal-  
219 infiltrating, and [3] intraductal-growing types (Table 1). The Tumor Node and Metastasis (TNM)  
220 categories and the staging were derived from the American Joint Committee on Cancer Staging  
221 Manual (6<sup>th</sup> Edition) [23].

222 Histological grading was done as described by the International Agency for Research on Cancer  
223 (IARC) [1]. In brief, assignment of well-differentiated adenocarcinoma to a tumor sample  
224 required that 95% of the tumor contain glands. For moderately differentiated, 40 to 94% of the  
225 tumor was composed of glands [1] (Figure 1). (Though neither poorly differentiated or  
226 undifferentiated carcinomas were used in this study, they would have to display between 5 to  
227 39% of the tumor containing glands or less than 5% of glandular structures, respectively [1].) In  
228 the case of papillary type ICC, we followed the IARC classification for tumors of the gallbladder  
229 and extrahepatic bile ducts [1], with the lesions having to consist predominantly of papillary  
230 structures lined by cells with a biliary phenotype, with good demarcation and consisting of  
231 papillary structures lined by tall columnar cells [1] (Figure 1).

232 Purified RNA exhibited 260/280 and 260/230 Optical Density (OD) ratios of ~2.0 and ~1.9,  
233 respectively, indicating that it was pure, and suitable for downstream application. The Agilent  
234 2100 BioAnalyzer (data not shown) was utilized to evaluate the quality of purified RNA. RIN  
235 scores ranged from two to three for the samples and 28S and 18S ribosomal RNAs peaks were  
236 largely absent, together indicative of degradation of the RNA. RNA in FFPE is expected to be  
237 both modified and degraded due to the formalin and duration of storage [13, 24]. However,  
238 because of their small size, miRNAs are more stable than other RNA species and have reliably  
239 been purified from FFPE [13, 24]. Given the stability of miRNAs in FFPE tissue [25] and reports  
240 from other groups and us [26] that low RIN scores have negligible effect on miRNA profiles, the  
241 RNA was considered suitable for further analysis.

**242 Tumors and corresponding distal non-tumor tissue shared distinct miRNA profiles**

243 Hierarchical clustering revealed that the N-NT samples grouped together (red tree lines), while  
244 clusters of D-NT (blue) and clusters of CTT (grey) scattered together across the heat map  
245 (Figure 2A). This observation was statistically confirmed by ANOVA analyses, which showed  
246 that there were fewer differences between the CTT and D-NT than between D-NT and N-NT,  
247 indicating that non-tumor tissue adjacent to ICC tumors shared similar miRNA profiles. The 3D  
248 Principal Components Analyses (Figure 2B) showed that N-NT samples clustered in a manner  
249 distinct from both CTT and their corresponding D-NT samples.

250 One-way analysis of variance (ANOVA), and Student's *t*-test were also used to compare and  
251 identify miRNAs significantly dysregulated among the three histological grades of OV-induced  
252 ICC: [1] well differentiated; [2] moderately differentiated, and [3] papillary ICC [1]. The first  
253 analysis compared CTT with corresponding D-NT from the same ICC FFPE block (Figure 3A),  
254 with a second comparison undertaken between CTT and N-NT (Figure 3B). Each histological  
255 subtype of ICC showed a distinct suite of miRNAs, which they shared with the cognate distal  
256 non-tumor tissue (Figure 3A): i.e., tissue graded as well differentiated, moderately differentiated,  
257 or papillary ICC had discrete miRNA profiles, as did adjacent non-tumor tissue. There were,  
258 however, minor overlaps between the histological grades, with eight significantly dysregulated  
259 among well differentiated and papillary (hsa-miR-200c-3p, -141-3p, -429, -200a-3p, 200b-3p, -  
260 222-3p, -210, and ebv-miR-BART1-5p). Additionally, four significantly dysregulated miRNAs  
261 were shared by papillary and moderately differentiated ICC (hsa-miR-122-3p, -122-5p, -885-5p,  
262 and -196b-5p).

263 To determine if a distinctive profile of miRNAs could identify ICC tumor tissue from non-tumor  
264 liver tissue, the CTT samples as a single group (not divided by histological grade) were  
265 compared with the N-NT samples (liver tissue from individuals undergoing gastric bypass

266 surgery). Here, 40 miRNAs were dysregulated when ICC tissues were compared to non-cancer  
267 liver tissue (Figure 3B). However, of these 40 dysregulated miRNAs, only six were shared  
268 among the ICC tumor samples when these ICC samples were grouped by histological grade.  
269 These six shared dysregulated miRNAs were used for qPCR validation of the microarray  
270 analysis. Notably, the remaining 34 dysregulated miRNAs fell into distinct profiles that were  
271 associated with the three histological grades of OV-induced ICC. Hence, in a pattern seen  
272 throughout this study, the strongest and universal associations between miRNA dysregulation  
273 and ICC tumor were evident among the distinct profiles of dysregulated miRNAs and their  
274 association with ICC histological subtype.

#### 275 **Distinct miRNA profiles strongly associated with the histologic grade and subtype of ICC**

276 We also compared CTT miRNA dysregulation without comparison to either histologically normal  
277 tissue (N-NT) or corresponding non-tumor distal tissue (D-NT). Sixty-one of the >1,600 miRNAs  
278 screened had a  $p$  value  $\leq 0.05$  and a fold change  $> 2.0$  (Table 2). Further investigation revealed  
279 that miRNA dysregulation was again unevenly distributed among the histological subtypes, with  
280 the kind, quantity and the magnitude of the miRNA dysregulation strongly associated with the  
281 histological grade or subtype of the ICC. More specifically, miRNAs were significantly increased  
282 in the quantity and the magnitude of their dysregulation in moderately differentiated ICC  
283 compared to papillary ICC. A similar increase in number and magnitude of dysregulated  
284 miRNAs were observed when papillary ICC was compared to well differentiated ICC (Table 2).  
285 In short, well-differentiated ICC showed the lowest miRNA dysregulation among the three  
286 histological grades, which likely reflects the phenomenon that, unlike papillary and moderately  
287 differentiated ICC, well-differentiated tumors show minimal cytological change and often closely  
288 resemble the bile duct tissue from which they arise.

#### 289 **qPCR validated microarray findings**

290 To confirm the microarray findings, we used qPCR to analyze six dysregulated miRNAs (above)  
291 shared among tumor tissue (CTT) when compared to normal tissue (N-NT): i.e., hsa-mir-135b, -  
292 141, -200c, -21, -221, -222. Dysregulation of expression of these six miRNAs was confirmed by  
293 qPCR; for example, when tumor tissue was analyzed against normal tissue (N-NT) (Figure 4),  
294 miR-141 showed the highest FC in both qPCR (FC = 371;  $p = 0.0007$ ) and in the microarray.  
295 However, interestingly, the qPCR analysis confirmed the two outstanding trends determined by  
296 the microarray profiling (Figure 4 and Supplemental Figure 1). First, the magnitude of miRNA  
297 dysregulation was associated with histological subtype (Figure 4 and Supplemental Figure 1),  
298 with moderately differentiated tissue exhibiting the highest FC by qPCR for five of the six  
299 miRNAs (the exception was miR-21). Second, distal tissue non-tumor tissue (D-NT) again  
300 closely resembled proximal tumor (CTT) in the magnitude of miRNA dysregulation (Data not  
301 shown).

## 302 DISCUSSION

303 This manuscript represents the first comprehensive miRNA expression profiling of OV-induced  
304 ICC by histological grades and subtype, adding to a growing literature on the role of miRNAs in  
305 hepatocellular neoplasms [14, 17, 20]. In the current report, RNA was recovered from FFPE  
306 [21] and used to screen Agilent miRNA microarrays and, for the first time, miRNA dysregulation  
307 has been shown to differentiate between healthy non-tumor liver tissue (N-NT) and three most  
308 common histopathological types of OV-induced ICC [1]: well-differentiated, moderately  
309 differentiated, and papillary ICC tumor. No shared profile of miRNAs emerged as commonly  
310 dysregulated among these common histologic subtypes and grade of OV-induced ICC. In a  
311 repeated pattern, moderately differentiated ICC tissue showed both the greatest number and  
312 magnitude of miRNA dysregulation, followed by the papillary type of ICC, and then well-  
313 differentiated ICC. This trend likely reflects the fact that, by definition, moderately differentiated  
314 tumor tissue shows greater cytological changes and has the least resemblance to the bile duct

315 tissue from which the tumor arose. Moreover, the three histological subtypes of OV-induced ICC  
316 exhibited distinct miRNA signatures, suggestive of the involvement of specific sets of miRNAs in  
317 progression of this tumor. This observation is consistent with findings for HCC [14, 17], where  
318 the type, number and magnitude of miRNA dysregulation increase with increasing histological  
319 differentiation. Functional studies suggest that the association between miRNA dysregulation  
320 and histological subtype derive from miRNA modulation of key cellular processes involved in  
321 HCC tumor differentiation [14, 17].

322 Unexpectedly, there was a close similarity in miRNA profiles between tumor tissues (CTT) and  
323 adjacent non-tumor (D-NT) tissue macrodissected distant from observed dysplasia or frank  
324 carcinoma in the FFPE. These findings confirm our previous findings on ICC [27] where, even  
325 in the absence of obvious tumor mass or a differentiation in tissue morphology, genetic changes  
326 in nearby non-tumor tissue had already occurred. This is also consistent with the role of  
327 miRNAs as messengers of tumor-cell invasion as recently described for breast cancer, where  
328 miRNAs and proteins involved in cancer progression were identified in exosomes isolated from  
329 breast cancer cell lines [25].

330 When tumor tissues (CTT) were compared to healthy tissue (N-NT), 40 miRNAs were observed  
331 to be dysregulated. However, the miRNAs dysregulation in this comparison may reflect the  
332 possible differences in the composition of the samples; CTT were biopsied from livers resected  
333 to confirm OV-induced ICC and may be enriched for cholangiocytes compared to hepatocytes.  
334 In comparison, N-NT were biopsied from individuals preparing to undergo gastric bypass  
335 surgery may contain more hepatocytes than cholangiocytes. However, even against this  
336 backdrop of miRNA dysregulation possibly attributable to differences in the tissue composition  
337 and even ethnicity, [14, 17], the same pattern of miRNA dysregulation was evident: moderately  
338 differentiated ICC tissue showed the greatest miRNA dysregulation, followed by the papillary  
339 type of ICC tumor, and then well-differentiated ICC tumor tissue.

340 Only six miRNAs were commonly dysregulated among the three types of ICC when compared  
341 to healthy liver tissue by microarray, which we confirmed by qPCR. Moreover, the similarity  
342 between tumor tissue and their corresponding non-tumor tissue was also observed for these six  
343 miRNAs and validated by qPCR, confirming the possibility of genetic or miRNA alterations in  
344 apparently healthy tissue adjacent to dysplastic tissue or frank cancer. Together, these data  
345 strongly support the main finding that miRNA dysregulation strongly associates with the  
346 histological subtypes of OV-induced ICC and is reflected in nearby non-tumor tissue.

347 A large number of miRNAs have now been associated with different cancers and the majority of  
348 miRNAs identified in this work have been previously associated with cancer. For example, hsa-  
349 mir-429 is upregulated in bladder cancer [28] and mirs 200c-3p, 141-3p, 200a-3p, 200b-3p have  
350 been identified with gastric esophageal [29], , bladder [28] and breast [30] cancer respectively.  
351 Two miRNAs typically associated with liver pathology, miR-885-5p [31] and mir-551b-5p [32]  
352 were also significantly dysregulated in ICC tissue versus controls, but also showed clear  
353 expression differences in the different grades of tumour. Few miRNAs associated with ICC in  
354 this study have not been previously been associated with cancer, but the few that have not, for  
355 example miR-593-3p, are therefore potential miRNA markers with specificity for ICC.

356 The main limitation of this study is the absence of a set of non-malignant cholangiocyte tissues  
357 as controls, which are rare. If available, inclusion of normal cholangiocytes would provide a  
358 precise baseline for the progression of altered miRNA expression and deliver a more  
359 parsimonious miRNA profile associated with ICC subtype. Moreover, as we plan to detect these  
360 dysregulated profiles in bodily fluids the need for comparison to cholangiocytes will be reduced.  
361 The study also does not also contain functional analyses on the individual miRNAs found to be  
362 dysregulated. However, the focus of the study was a comprehensive profiling of dysregulated  
363 miRNAs in ICC tumor tissue by histological grade. Such descriptive studies are integral



364 precursors to qualified, hypothesis-driven studies and can guide future functional studies in OV-  
365 induced ICC.

366 There are important translational implications arising from the findings. As recently noted by  
367 Rizvi and Gores [33], ICC poses unique diagnostic and prognostic challenges due to its  
368 “paucicellular nature, anatomic location, and silent clinical character”, requiring a “high index of  
369 suspicion” and a “multidisciplinary approach” to diagnosis that involves clinical, laboratory,  
370 endoscopic, and radiographic analyses. We add to this multidisciplinary approach miRNA-  
371 profiling indicative of histopathological grade. As the aggressiveness of ICC has been strongly  
372 associated with the degree of histological differentiation [34], miRNAs indicative of histological  
373 differential that are easily accessible in bodily fluids may increase their role in this  
374 multidisciplinary approach for ICC as they can be detected earlier and limit the invasive  
375 procedures referred to above. Currently, as ICC presents late during the disease, confirmatory  
376 needle aspiration biopsy or liver resection (hepatectomy) is required for the type of sample  
377 analyzed in this manuscript. However, if these dysregulated miRNAs could be detected in an  
378 accessible biofluid(s) (e.g., plasma, sera, urine, etc.) in persons with “a high index of suspicion”  
379 prior to liver resection, our findings offer an opportunity for early determination of type of ICC,  
380 which could aid in proper treatment. As ICC is highly vascularized, with a propensity for  
381 angiogenesis, there is a good probability that these dysregulated miRNAs circulate in the blood.  
382 Hence, our ultimate objective is to target these dysregulated miRNA profiles in plasma or sera  
383 for screening. Additionally, recent finding of circulating exosomes (or micro vesicles) “laden”  
384 with miRNAs secreted from the bile duct of individuals with ICC offers intriguing possibilities for  
385 miRNA trafficking and may be responsible for our observation of similarly dysregulated miRNA  
386 profiles in apparently normal tissue distal (D-NT) to paired tumors [35]. We plan to investigate  
387 miRNAs circulating in bodily fluids as well as their trafficking by exosomes in future studies. In

388 addition, we also plan to explore the presence of novel miRNAs (not in miBase 16) by  
389 untargeted profiling of these same ICC tissues by RNA Seq.

390 Finally, as we were able to detect sets of dysregulated miRNAs associated with different CCA  
391 subtypes of ICC, we plan to perform functional analyses to decipher the roles of these particular  
392 miRNAs in the process of tumor differentiation, including both overexpression and knock-down  
393 experiments mediated by antagomirs and/or miRNA sponges [36]. The effect of these genetic  
394 manipulations would be evaluated at the phenotypic level, i.e. proliferation, migration assays,  
395 etc., and also at the gene expression level [19]. These approaches could advance our  
396 understanding in miRNAs in CCA oncogenesis and tumor biology, and advance therapeutic  
397 strategies for this infection-related cancer.

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410

411

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513

514 TABLES

**Table 1. Clinicopathological characteristics of 16 *O. viverrini* associated ICC patients used in study.**

ID	Sex	Age	OV Status	Ethnicity	Histological grade	Gross Classification	TNM	Staging
B070	M	61	Positive	Asian	WD	Mass-forming	T3N0M0	IIIA
B079	M	61	Positive	Asian	WD	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
B083	F	53	Positive	Asian	WD	Mass-forming	T3N0M0	IIIA
B099	M	48	Positive	Asian	WD	Mass-forming	T3N0M0	IIIA
Y042	M	61	Positive	Asian	WD	Mass-forming	T3N1M0	IIIC
B091	M	63	Positive	Asian	MD	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
Y070	F	63	Positive	Asian	MD	Mass forming	T3N0M0	IIIA
Y056	F	56	Positive	Asian	PC	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
Y062	M	57	Positive	Asian	PC	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
B049	M	64	Positive	Asian	PC	Mass forming	T3N0M0	IIIA
Y083	F	51	Positive	Asian	PC	Mass forming	T3N1M0	IIIC
Y088	F	58	Positive	Asian	PC	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
Y089	F	60	Positive	Asian	PC	Mass forming	T3N1M0	IIIC
Y093	M	63	Positive	Asian	PC	Periductal infiltrating, invasive intraductal and mixed	T4N1M0	IIIB
Y096	F	64	Positive	Asian	PC	Mass forming	T3N1M0	IIIC

M = Male; F = Female

Histological types: tumor differentiation: WD = Well Differentiated tubular adenocarcinoma; MD = Moderately Differentiated tubular adenocarcinoma; and PC = Papillary Carcinoma

Staging: Tumor Node Modular Classification and Staging from AJCC Sixth edition [23]

515

**Table 2. Statistically significant miRNAs by ANOVA among histological grades of ICC.** p(corr) < 0.05, FC > 2 in at least two conditions, and raw values >30. FC over 5 is highlighted gray.

miRNA	p (Corr)	Fold Change		
		Moderate vs. Papillary	Moderate vs. Well	Papillary vs. Well
hsv1-miR-H5-5p	0.012487	-2.63889	2.5606	6.75720
hsa-miR-122-3p	0.015622	-101.23811	-29.61597	3.41836
hsa-miR-885-5p	0.029224	-25.07646	-9.7333	2.57634
hsa-miR-122-5p	0.012487	-24.25102	-9.4541	2.56512
hsa-miR-593-3p	1.29E-04	-3.28851	-1.321101	2.48921
hsa-miR-3150b-3p	6.26E-04	-2.29151	-1.12618	2.03474
hsa-miR-3615	0.01371	-3.34746	-2.13974	1.56442
hsa-miR-141-5p	0.027995	24.48213	37.49911	1.53169
hsv1-miR-H3	1.45E-04	-4.75690	-3.18933	1.49150
ebv-miR-BART1-5p	1.09E-05	-33.84535	-24.5516	1.37854
hcmv-miR-UL70-3p	0.001715	-2.77372	-2.01710	1.37501
hsa-miR-670	5.30E-04	-11.33349	-8.45322	1.34073
hsa-miR-610	5.30E-04	-2.646281	-2.01362	1.31419
hsa-miR-139-3p	0.002508	-2.791654	-2.13508	1.30751
hsa-miR-195-3p	2.06E-04	-3.170986	-2.58510	1.22664
hsa-miR-7-5p	0.043624	6.330076	7.72615	1.22055
hsa-miR-4279	4.82E-05	-3.101136	-2.70097	1.14816
hsv2-miR-H7-3p	1.45E-04	-2.313273	-2.02378	1.14304
ebv-miR-BART11-3p	4.82E-05	-2.980643	-2.61500	1.13982
hsa-miR-605	0.001945	-2.701080	-2.41566	1.11815
hsv1-miR-H1	0.002834	-2.904213	-2.61325	1.11134
hsa-miR-1915-5p	5.30E-04	-2.852665	-2.56942	1.11024
hsa-miR-125b-2-3p	0.002592	-2.216214	-2.00739	1.10402
hsa-miR-551b-5p	5.53E-04	-2.957645	-2.71326	1.09007
hsa-miR-1909-5p	0.01109	-2.187650	-2.01615	1.08506
hsa-miR-29b-3p	0.023843	3.098345	3.36130	1.08487
hsa-miR-454-3p	0.002592	2.045415	2.20069	1.07592
hsa-miR-3155a	1.46E-04	-2.152257	-2.01079	1.07035
hsa-miR-132-3p	0.00401	2.678988	2.85432	1.06545
kshv-miR-K12-12	0.020011	-2.531076	-2.46680	1.02606
hsa-miR-181a-5p	0.029224	2.180832	2.22445	1.02000



hsa-miR-3151	5.30E-04	-2.134066	-2.09751	1.01742
hsa-miR-93-5p	0.041808	2.233950	2.17524	-1.02699
hiv1-miR-H1	0.044691	-2.210104	-2.30172	-1.04146
hsa-miR-4317	0.026188	2.105246	2.01773	-1.04337
hsa-miR-27a-3p	0.043624	2.826095	2.69947	-1.04690
hsa-miR-141-3p	0.013427	10.81161	10.23016	-1.05684
hsa-miR-21-5p	0.020011	3.79329	3.56598	-1.06374
hsa-miR-331-3p	0.002612	3.12259	2.87675	-1.08546
hsa-miR-23a-3p	0.041377	3.13897	2.81030	-1.11695
hsa-miR-3065-5p	0.012487	-2.18501	-2.45883	-1.12532
hsa-miR-4267	0.004431	-2.03989	-2.33321	-1.14380
hsa-miR-200c-3p	0.018654	11.08151	9.62122	-1.15178
hsa-miR-146b-5p	0.012487	4.02472	3.46128	-1.16278
hsa-miR-106b-5p	0.029224	2.88392	2.45642	-1.17403
hsa-miR-210	0.014745	4.13911	3.39742	-1.21831
hsa-miR-425-5p	0.012487	3.40384	2.76990	-1.22887
hsa-miR-222-3p	0.004354	5.95207	4.82987	-1.23234
hsa-miR-221-3p	0.003211	5.83729	4.68405	-1.24620
hsa-miR-135b-5p	0.020011	30.41217	22.75650	-1.33642
hsa-miR-665	0.034674	-2.04905	-2.75661	-1.34531
hsa-miR-223-3p	0.011902	5.21594	3.65900	-1.42550
hsa-miR-1273c	0.011902	-2.04719	-3.03805	-1.48401
hsv1-miR-H8*	0.023843	-2.12146	-3.40951	-1.60716
hsa-miR-183-5p	0.03395	7.25323	4.29224	-1.68985
hsa-miR-132-5p	0.020011	26.20608	15.16595	-1.72795
hsa-miR-31-5p	1.09E-05	117.31276	60.36202	-1.94349
hsa-miR-3152-3p	0.01109	-8.38224	-16.99140	-2.02710
hsa-miR-182-5p	0.023843	49.70194	20.06405	-2.47716
hsa-miR-221-5p	0.012907	76.10488	30.66719	-2.48163
hsa-miR-31-3p	1.09E-05	1992.38980	742.40680	-2.68369

## FIGURES

**Fig. 1. Representative images of CTT, D-NT, and N-NT FFPE tissue.** Panel A: normal liver with mild macrovesicular steatosis (*arrowhead*) and portal triad (*arrows*), H&E (20X). Panel B; a trichrome stain shows no significant pericentral fibrosis; a normal amount of periportal fibrous tissue is present (*arrow*) H&E (2X). Panel C: well differentiated cholangiocarcinoma as shown by angulated glands with luminal mucin (*long arrow*) and cytologic atypia (*short arrow*) in a dense fibrous stroma, H&E (20X). Panel D: moderately differentiated cholangiocarcinoma, with malignant cribriform glands involving a nerve (*short arrow*) and a lymphatic space (*arrowhead*), H&E (10X). Panel E: invasive component of papillary adenocarcinoma composed of angulated glands with luminal mucin, H&E (20X); Panel F: invasive papillary adenocarcinoma (*arrow*) and interface with adjacent normal liver (\*), H&E (10X).

**Fig. 2. Hierarchical Analysis and clustering of FFPE cholangiocarcinoma and healthy liver samples by microarray.** (A) Healthy liver samples (N-NT) show a hierarchical profile clustered together (Red), while tumor (CTT) (Grey) and distal apparently normal tissue macrodissected distant from dysplasia or frank cancer (D-NT) (Blue) show some diversity, but clustered closely with (CTT). The >1600 human miRNAs are also hierarchical grouped (Left) and expression exhibited on a heatmap. (B) PCA 3D clustering of cholangiocarcinoma and healthy liver FFPE cohorts. (N-NT) tissue from non-CCA cases cluster together, while tissue samples from CCA cases showed diversity in overall scoring.

**Fig. 3. Histological bias of miRNA analysis from microarray.** miRNAs were analyzed by two methods according to histology: (A) Distal tissue from the same CCA patient (D-NT) compared to CCA tumor tissue (CTT) and (B) CTT compared against non-tumor samples biopsied from of individuals undergoing gastric bypass surgery (N-NT). miRNAs that exhibited (A)  $FC \geq 2$ ,  $p \leq 0.05$ , and raw values >30 via a paired test, and (B)  $FC \geq 2$ ,  $p \leq 0.05$ , raw values > 30 via unpaired T test, were used in the comparison lists.

**Fig. 4. miRNA Fold Changes between CCA histological classifications by qPCR.** Six miRNAs (hsa-mir: -135b, -141, -200c, -21, -221, -222) identified by microarray were verified by qPCR in the same CTT, N-NT and D-NT(not shown) samples. The qPCR results were also compared to fold changes determined by microarray (Supplemental Figure 1). Error bars represent 95% confidence interval, and asterisks denote p-value: (\*)  $p \leq 0.05$  and (\*\*)  $p \leq 0.01$ , (\*\*\*)  $p \leq 0.001$ .

#### SUPPLEMENTAL FIGURES

**Supplementary Fig. S1. miRNA Fold Changes between qPCR and Microarray by CCA histological classifications.** Fold changes of six miRNAs (hsa-mir: -135b, -141, -200c, -21, -221, -222) verified by qPCR and compared to values determined during microarray analysis of the same samples.

ACCEPTED MANUSCRIPT

Figure 1  
Fig. 1.

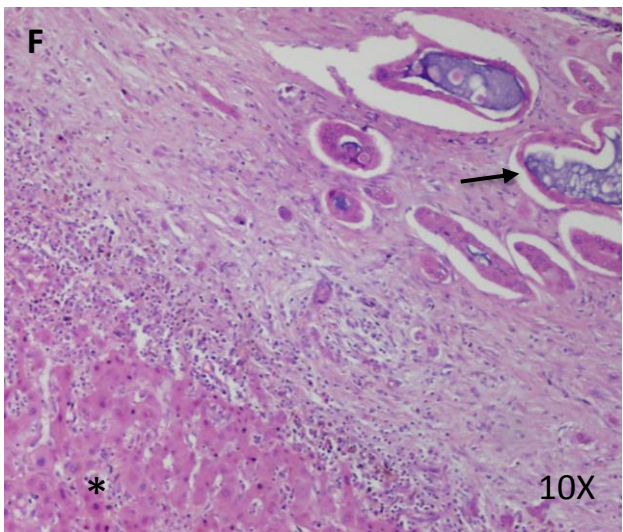
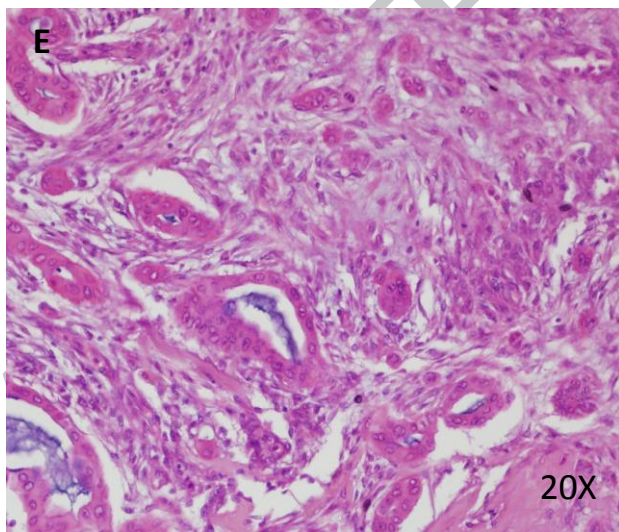
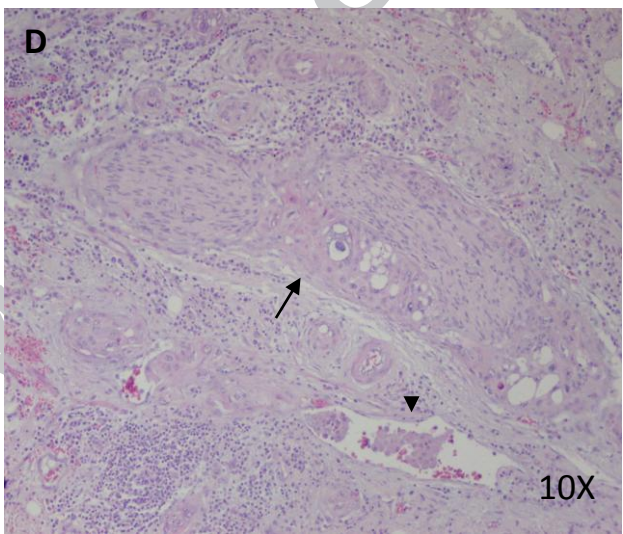
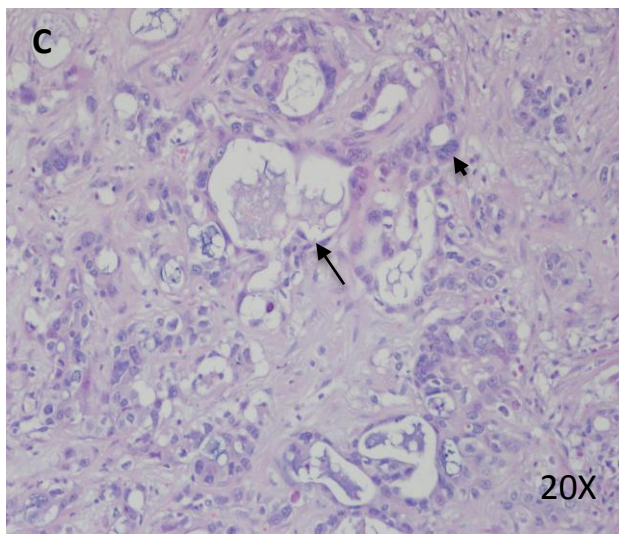
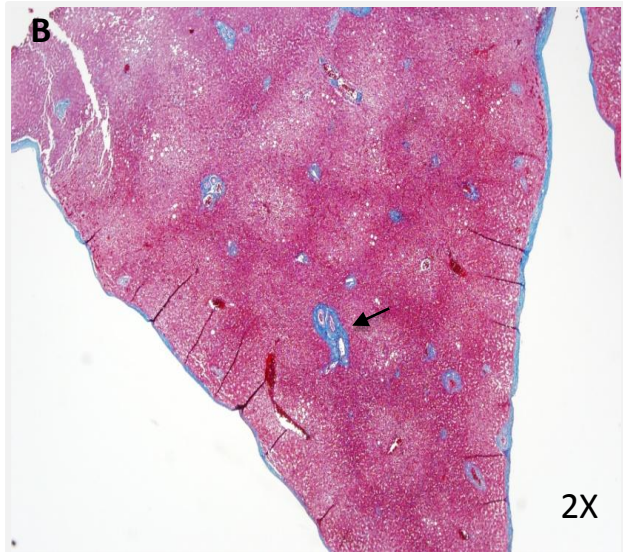
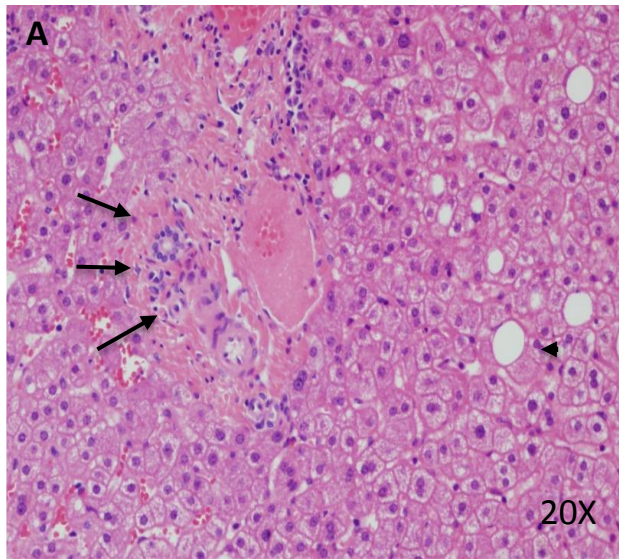
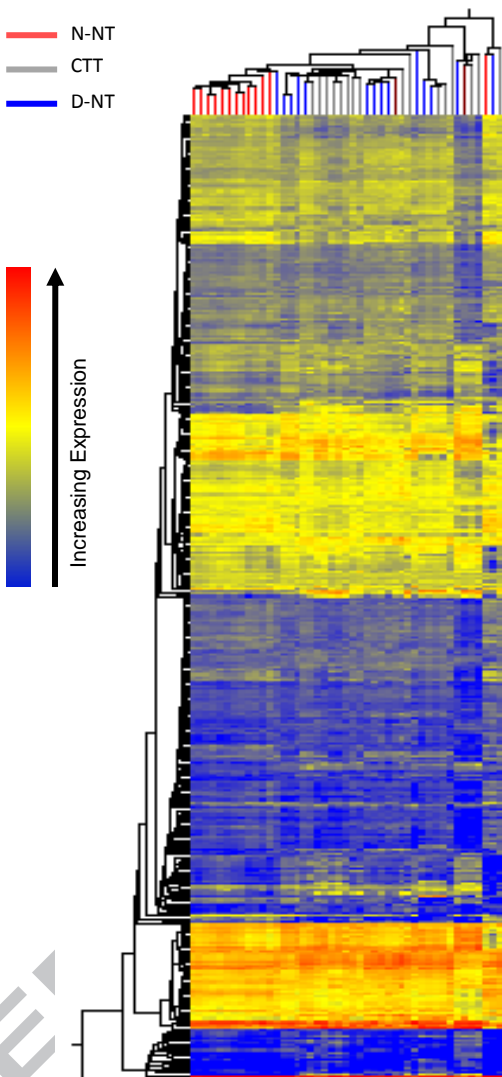


Figure 2

Fig. 2.

A



B

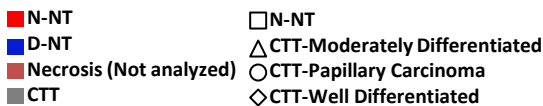
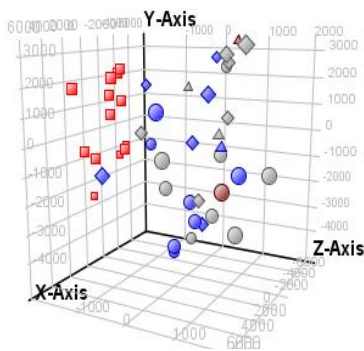
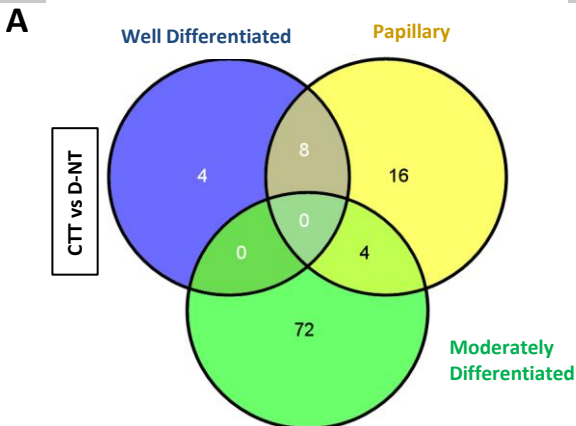
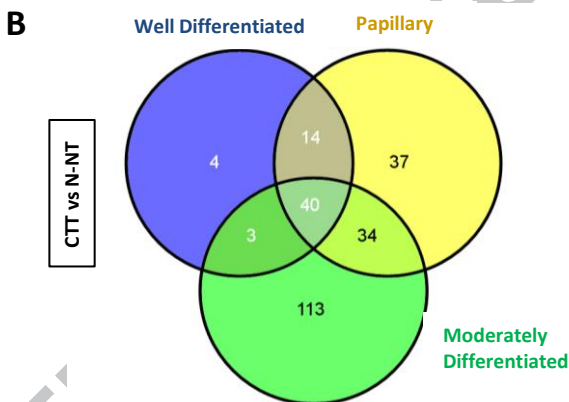


Figure 3  
Fig. 3.



Well Differentiated & Papillary Carcinoma	Papillary Carcinoma & Moderately Differentiated
hsa-miR-200c-3p hsa-miR-141-3p hsa-miR-429 hsa-miR-200a-3p hsa-miR-200b-3p hsa-miR-222-3p hsa-miR-210 ebv-miR-BART1-5p	hsa-miR-122-3p hsa-miR-122-5p hsa-miR-885-5p hsa-miR-196b-5p



Well Differentiated & Papillary	Well Differentiated, Papillary Carcinoma, & Moderately Differentiated	
hsa-miR-3615 hsa-miR-1268a hsa-miR-940 hsv2-miR-H10 hsa-miR-3665 hsa-miR-3188 hsa-miR-2110 hsa-miR-2861 hsa-miR-373-5p hsa-miR-3187-3p hsa-miR-1915-3p hsv1-miR-H17 hsv1-miR-H3 hsa-miR-1280	hsa-miR-135b-5p hsa-miR-200c-3p hsa-miR-141-3p hsa-miR-200a-3p hsa-miR-222-3p hsa-miR-21-3p hsa-miR-200b-3p hsa-miR-221-3p hsa-miR-21-5p hsa-miR-34b-5p hsa-miR-4281 hsa-miR-181c-5p hsa-miR-34a-5p hsa-miR-3656 hsa-miR-125a-5p hsa-miR-181b-5p hsa-miR-23a-5p hsv1-miR-H2* hsa-miR-30c-1-3p hsa-miR-3945	hsa-miR-634 hsa-miR-1469 hsa-miR-424-3p hsa-miR-193b-5p hsa-miR-1261 hsa-miR-513a-5p hsa-miR-671-3p hsa-miR-3654 hsa-miR-1258 hsa-miR-513b hsa-miR-1275 kshv-miR-K12-5* hsa-miR-629-3p hsa-miR-3936 hsa-miR-516a-5p hsa-miR-3921 hsa-miR-3666 kshv-miR-K12-7* ebv-miR-BART1-5p hsa-miR-4309

Fig. 4.

Six dysregulated miRNAs observed in  
CTT vs. N-NT samples by qPCR

