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1 **Review**

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4 **Stem cell therapies for treating osteoarthritis: Prescient or premature?**

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14 **Highlights**

15

16 • In recent years there has been unprecedented interest from commercial sectors, the
17 public, veterinary clinicians and researchers in the use of stem cells as therapy for an
18 array of diseases in companion animals

19 • Several therapeutic applications of stem cells are already employed in a clinical
20 setting, in particular the use of mesenchymal stem cells to treat osteoarthritis in horses
21 and dogs

22 • However, an assessment of the scientific literature highlights a marked disparity
23 between the purported benefits of stem cell therapies and their proven abilities as
24 defined by rigorously controlled scientific studies

25 • Thus, while the preliminary data generated from clinical trials in human patients are
26 encouraging, current therapies on offer to veterinary patients are supported by very
27 limited clinical data and the commercialisation of these therapies is perhaps premature

28 • This review introduces the three main types of stem cells relevant to veterinary
29 applications – embryonic stem cells, induced pluripotent stem cells and mesenchymal
30 stem cells – and draws together the research findings from in vitro and in vivo studies
31 to give an overview of the current state-of-play of stem cell therapies for treating
32 osteoarthritis in veterinary medicine

33 • The review concludes by discussing recent advances in tissue engineering, which is
34 proposed as the future direction of effective stem cell-based therapies for
35 osteoarthritis

36

37

38

39 **Abstract**

40 There has been unprecedented interest in recent years in the use of stem cells as therapy
41 for an array of diseases in companion animals. Stem cells have already been deployed
42 therapeutically in a number of clinical settings, in particular the use of mesenchymal stem
43 cells to treat osteoarthritis in horses and dogs. However, an assessment of the scientific
44 literature highlights a marked disparity between the purported benefits of stem cell therapies
45 and their proven abilities as defined by rigorously controlled scientific studies.

46

47 Although preliminary data generated from clinical trials in human patients are
48 encouraging, therapies currently available to treat animals are supported by very limited
49 clinical evidence, and the commercialisation of these treatments may be premature. This
50 review introduces the three main types of stem cells relevant to veterinary applications,
51 namely, embryonic stem cells, induced pluripotent stem cells, and mesenchymal stem cells,
52 and draws together research findings from in vitro and in vivo studies to give an overview of
53 current stem cell therapies for the treatment of osteoarthritis in animals. Recent advances in
54 tissue engineering, which is proposed as the future direction of stem cell-based therapy for
55 osteoarthritis, are also discussed.

56

57 *Keywords:* Mesenchymal stem cells; Induced pluripotent stem cells; Embryonic stem cells;
58 Osteoarthritis; Tissue engineering

59 **Introduction**

60 Stem cell biology and the identification of veterinary applications comprise a rapidly
61 developing field of research driven by the growing significance of cats and dogs as
62 companion animals, the economic impact of the horse industry, and the recognition that many
63 human diseases have counterparts in companion animals. Several commercial companies
64 around the world offer stem cell preparations as regenerative therapy for osteoarthritis in
65 dogs and horses, and in the treatment of ligament and tendon injuries in horses. A
66 contributing factor to the proliferation of veterinary stem cell-based applications is that the
67 use of such therapies in animals is not regulated in any of the countries in which they are
68 offered. A further concern is the fact that the efficacy of these therapies is frequently not
69 supported by published data.

70

71 We review below the main types of stem cells, with particular attention to
72 mesenchymal stem cells (MSCs), which have been used most extensively in veterinary
73 applications. The current main therapeutic use of stem cells in the treatment of osteoarthritis
74 in animals will then be reviewed, and recent advances in tissue engineering, which uses a
75 potent combination of biomaterials and stimulatory factors to deliver stem cells and to direct
76 their differentiation, will be discussed. The latter likely represents a key process in the future
77 application of effective stem cell-based therapies for companion animals, as it circumvents
78 the current practice of delivering cell suspensions that fail to provide any long term
79 engraftment of cells.

80

81 **Types of stem cells**

82 Generally speaking, stem cells can be divided into two main classes: embryonic and
83 adult. Embryonic stem cells (ESCs) are derived from the inner cell mass of the blastocyst

84 stage embryo. Given that these cells will give rise to the embryo proper, they exhibit the
85 unique property of pluripotency; that is, they have the potential to differentiate into all of the
86 various cell types that constitute the adult individual (Fig. 1). A further defining feature of
87 ESCs is that they are immortal and under in vitro culture conditions are capable of indefinite
88 proliferation without undergoing differentiation, a property known as self-renewal.

89

90 Adult stem cells, by contrast, are found within the majority of tissues, where they play a
91 role in tissue maintenance and repair. The adult stem cells that hold the greatest therapeutic
92 potential, and so have attracted significant research interest, are the MSCs, also known as
93 mesenchymal stromal cells (Fig. 1). A third category of stem cell, which is neither
94 ‘embryonic’ nor ‘adult’, is the induced pluripotent stem cell (iPSC) (Fig. 1). These stem cells
95 are unique in that they are essentially manufactured from adult, terminally differentiated,
96 cells such as fibroblasts via a process of ‘re-programming’.

97

98 **Stem cells as a therapeutic resource**

99 *Embryonic stem cells*

100 Given their properties of self-renewal and pluripotency, ESCs offer the possibility of an
101 unlimited, renewable source of cells that can be induced to differentiate into any cell of the
102 body and so hold substantial appeal as a therapeutic resource. While ESCs from mice and
103 humans have been characterised extensively, and the optimal conditions elucidated for their
104 derivation and maintenance in vitro, the same cannot be said for ESCs derived from
105 companion animals.

106

107 Several authors have described the establishment of canine ESCs (Hatoya et al., 2006;
108 Schneider et al., 2007; Hayes et al., 2008; Vaags et al., 2009; Wilcox et al., 2009); however,

109 there appear to be significant differences between the individual lines of canine ESCs in
110 terms of morphology, demonstrable pluripotency (i.e. whether they can they differentiate into
111 derivatives from all three embryonic germ layers), and their ability to be maintained in vitro.
112 Similar problems have been encountered in ESCs isolated from the horse (Saito et al., 2002;
113 Li et al., 2006) and cat (Yu et al., 2009). In part, these difficulties may be attributed to the
114 differences in the patterns of embryonic development between species, but also to our lack of
115 understanding of the specific culture conditions required for maintaining these cells in their
116 pluripotent state (i.e. preventing them from differentiating). For example, the culture
117 conditions required for mouse and human ESCs differ substantially, not least in the growth
118 factors required for their survival and proliferation (Pera and Tam, 2010).

119

120 Since equine ESCs have become commercially available, their use has been
121 demonstrated through effecting significant healing of experimentally induced lesions in the
122 equine superficial digital flexor tendon (Watts et al., 2011). Currently, these ESCs are the
123 only cells of this type of any species commercially available to veterinarians for therapeutic
124 applications. However, it remains possible that the use of ESCs as a therapeutic resource is
125 compromised by one of the very factors that, on initial consideration, would appear to be a
126 compelling reason for their use, namely, their pluripotency.

127

128 The ability of ESCs to differentiate into any cell type when harnessed and driven to a
129 specific end-point is the essence of their curative potential. However, if not tightly controlled,
130 this property has the potential to create disease in the form of teratomas, tumours that develop
131 from pluripotent cells and that contain cells derived from all three embryonic germ layers.
132 Ensuring that transplanted ESCs differentiate along a specific pathway, and do not form

133 undesired tissue (e.g. bone at the articular surface of a joint), is one of the major challenges
134 facing the use of ESCs in both humans and animals.

135

136 *Induced pluripotent stem cells*

137 The advent of induced pluripotent stem cells (iPSCs) has significantly advanced the
138 prospects of stem cell-based therapies in humans and companion animals (Takahashi and
139 Yamanaka, 2006). These stem cells are generated from adult, terminally differentiated cells,
140 such as fibroblasts, following the introduction of four genes central to the regulation of
141 pluripotency in mammalian cells, *Oct4*, *Sox2*, *cMyc* and *Klf4*. The induced expression of
142 these pluripotency factors re-programmes differentiated cells into stem cells with properties
143 very similar to those of ESCs.

144

145 iPSCs have been generated from a variety of companion and domestic animal species,
146 including dogs (Shimada et al., 2010; Lee et al., 2011; Luo et al., 2011; Whitworth et al.,
147 2012), horses (Nagy et al., 2011; Breton et al., 2013; Whitworth et al., 2014a), pigs (Esteban
148 et al., 2009; Ezashi et al., 2009; Wu et al., 2009), sheep (Bao et al., 2011; Li et al., 2011; Liu
149 et al., 2012; Sartori et al., 2012), cattle (Han et al., 2011; Sumer et al., 2011; Cao et al., 2012),
150 and buffaloes (Deng et al., 2012).

151

152 Since iPSCs can be generated from nominated individuals (typically from a small skin
153 biopsy) it is possible to produce iPSCs from individuals with a specific disease or genetic
154 background. Thus, iPSCs can be used to model diseases in vitro, serve as a platform for drug
155 screening and be manipulated with gene correction strategies for transplantation back into the
156 donor. Furthermore, because iPSCs can be produced from a patient's own cells, they have the

157 potential to serve as a renewable source of cells for regenerative therapies as required by that
158 individual.

159

160 However, several obstacles need to be overcome before iPSCs can be used safely in
161 cell-based therapies. Traditionally, cells have been re-programmed using lentiviruses or
162 retroviruses to deliver the re-programming factors as transgenes, resulting in both the
163 backbone of the viral vector and the re-programming transgenes becoming incorporated into
164 the recipient cells' genome. These insertions have the potential to cause mutations (Yu et al.,
165 2007) and, in some instances, the transgenes encoding the re-programming factors may not
166 be silenced, or may become reactivated, which can result in the formation of teratomas (Okita
167 et al., 2007). Consequently, virally re-programmed cells are not suitable for clinical
168 applications.

169

170 Several strategies have been developed to enable transient expression of the re-
171 programming factors without them becoming integrated into the recipient cells' genome.
172 These include the use of adenoviruses (Stadtfield et al., 2008), Sendai virus (Fusaki et al.,
173 2009), *piggyBac* transposons (Woltjen et al., 2009; Yusa et al., 2009) and episomal plasmids
174 (Okita et al., 2008) to deliver the transgenes and, more recently, transducing the cells with
175 synthetic modified messenger RNAs encoding the re-programming factors (Mandal and
176 Rossi, 2013).

177

178 Re-programming strategy aside, the acquisition of pluripotency poses the same double-
179 edged sword for the clinical use of iPSCs as it does for ESCs. Specifically, given their
180 pluripotency, iPSCs carry the same risk of tumourigenesis as ESCs when transplanted. This
181 problem could be circumvented by fully differentiating the cells before transplantation and

182 then identifying and destroying any residual pluripotent cells from the graft. Although several
183 methods have been developed, the efficacy of these to prevent the formation of teratomas in
184 vivo remains unproven (Wu and Hochedlinger, 2011).

185

186 *Mesenchymal stem cells*

187 MSCs are multipotent cells capable of differentiating into bone, cartilage and adipose
188 tissue (Pittenger et al., 1999). Recent studies have demonstrated that they are also able to
189 form pancreatic islet cells (Santos et al., 2010), hepatocytes (Lee et al., 2004; Ong et al.,
190 2006) and neurons (Woodbury et al., 2000) in vitro. In humans, MSCs are typically isolated
191 from adult bone marrow and adipose tissue (Kern et al., 2006; Bieback et al., 2008) but they
192 have also been successfully collected from placenta (In't Anker et al., 2004), umbilical cord
193 blood (Kern et al., 2006) and amniotic fluid (In't Anker et al., 2004; Tsai et al., 2004) in
194 addition to various fetal tissues, such as kidney (Al-Awqati and Oliver, 2002), pancreas (Hu
195 et al., 2003), liver (Campagnoli et al., 2001; In't Anker et al., 2003) as well as lung and
196 spleen (In't Anker et al., 2003).

197

198 Many studies have described the isolation of MSCs from a range of canine tissues,
199 including adipose tissue (Neupane et al., 2008; Vieira et al., 2010; Kisiel et al., 2012; Reich et
200 al., 2012; Takemitsu et al., 2012), bone marrow (Kisiel et al., 2012; Reich et al., 2012;
201 Takemitsu et al., 2012), muscle (Kisiel et al., 2012), periosteum (Kisiel et al., 2012) and
202 synovium (Zhang et al., 2013). Similarly diverse sources have been identified for equine
203 MSCs (Hegewald et al., 2004; Koch et al., 2007; Crovace et al., 2010; Raabe et al., 2011;
204 Ranera et al., 2012; Burk et al., 2013; Barberini et al., 2014; De Schauwer et al., 2014), as
205 well as caprine (Murphy et al., 2003), ovine (Jager et al., 2006; Mrozik et al., 2010) and
206 porcine (Wang et al., 2008; Cao et al., 2011; Miernik and Karasinski, 2012).

207

208 An important feature of MSCs that has enhanced their clinical appeal is that they are
209 thought to be immune privileged, most likely due to an absence of MHC class II expression
210 (DiMarino et al., 2013). In domestic species, both canine and equine MSCs appear to lack
211 expression of MHC class II (Wood et al., 2012; Barberini et al., 2014; De Schauwer et al.,
212 2014). In contrast, Schnabel et al. (2014) found equine bone marrow-derived MSCs to have
213 marked heterogeneity in their expression of MHC class II, and that MSCs initially identified
214 as being MHC class II negative can potentially upregulate MHC class II expression when
215 placed into an environment of active inflammation.

216

217 MSCs are themselves immunomodulatory and are potent regulators of inflammation,
218 seemingly through their active suppression of both the innate and adaptive immune systems
219 (Griffin et al., 2010). MSCs have been shown to regulate the proliferation and function of a
220 variety of immune cells, including B and T lymphocytes, natural killer cells, neutrophils and
221 dendritic cells (Griffin et al., 2010). Equine MSCs express transforming growth factor (TGF)-
222 β and hepatocyte growth factor (HGF), both of which suppress T lymphocyte proliferation
223 (De Schauwer et al., 2014). MSCs also secrete a range of anti-fibrotic, anti-apoptotic,
224 bactericidal and pro-angiogenic factors (DiMarino et al., 2013). This relative lack of
225 immunogenicity, in addition to their immunomodulatory and anti-inflammatory properties,
226 have made MSCs an ideal choice for allogeneic, ‘off-the-shelf’, stem cell therapies for
227 diseases with an inflammatory, or immune-mediated, component including osteoarthritis.

228

229 However, a common feature of MSCs, irrespective of species-of-origin, is that they
230 represent a very small fraction of the cells isolated from both bone marrow and adipose tissue
231 (0.001-0.01% and 0.05%, respectively) for human tissues (Kern et al., 2006; Bieback et al.,

232 2008). Also, in both humans and dogs, the quality and quantity of MSCs that can be collected
233 decline with increasing age of the donor (Stolzing et al., 2008; Zhou et al., 2008; Kisiel et al.,
234 2012; Guercio et al., 2013; Zhang et al., 2013) which has the potential to be problematic for
235 regenerative therapies, such as those targeting osteoarthritis, where the majority of patients
236 are aged. In contrast, human placental and fetal-derived MSCs have superior proliferative and
237 differentiative abilities compared to MSCs from adult tissues (In't Anker et al., 2004;
238 Gotherstrom et al., 2005; Guillot et al., 2007). It remains to be determined whether this
239 comparison holds true for MSCs collected from domestic species.

240

241 In an attempt to circumvent the decreased capacity for proliferation and differentiation
242 of adult MSCs, we and others (Lian et al., 2010; Chen et al., 2012; Whitworth et al., 2014b)
243 have produced MSCs from iPSCs. In humans, these iPSC-derived MSCs resemble primary,
244 tissue-sourced MSCs in terms of their immunophenotype and in their ability to differentiate
245 into the mesodermal derivatives of cartilage, bone and adipose tissue (Lian et al., 2010; Chen
246 et al., 2012). Using the methodology of Chen et al. (2012), we have generated canine iPSC-
247 derived MSCs that are highly proliferative and readily differentiate along the osteogenic,
248 chondrogenic and adipogenic pathways (Whitworth et al., 2014b). The ability to efficiently
249 derive large numbers of highly proliferative MSCs from iPSCs represents an important step
250 towards being able to source sufficient cells of high osteogenic and chondrogenic ability for
251 MSC-based therapies in both veterinary and human medicine.

252

253 In summary, because of their strong propensity to form cartilage, relative ease of
254 harvest from adult tissues, immune privileged status and anti-inflammatory effects, MSCs
255 have been the focus of stem cell-based therapies targeting cartilage repair in veterinary and
256 human patients with osteoarthritis.

257

258 Can mesenchymal stem cells effect cartilage repair in animals with osteoarthritis?

259 Many studies have been performed on a variety of animal species testing the efficacy of
260 MSCs at repairing damaged cartilage in both naturally occurring and induced models of
261 osteoarthritis. While space limitations prevent us from being able to discuss each published
262 study, the salient points from each are summarised in Tables 1 and 2. However, it is
263 important to consider key observations that can be extracted from the literature.

264

265 Mesenchymal stem cells do not engraft into cartilage defects

266 Current commercial MSC-based therapies for the treatment of osteoarthritis involve
267 injecting a suspension of MSCs into the joint space. A study of the literature makes it
268 apparent that MSCs introduced in this fashion do not engraft into the endogenous cartilage
269 and directly affect repair. Desando et al. (2013) injected labelled MSCs into the stifle joints
270 of rabbits with mild osteoarthritis. Joints were assessed at 3 and 20 days post-MSc transplant
271 and, while labelled MSCs were detected in the synovial membrane and medial meniscus
272 none, were seen in the cartilage. Similar engraftment of MSCs into the meniscus, but not the
273 cartilage, was obtained by Hatsushika et al. (2013), also in rabbits.

274

275 Using a goat model of osteoarthritis, Murphy et al. (2003) found that injected MSCs
276 had engrafted in high numbers into the synovium, fat pad and lateral meniscus, but not the
277 damaged cartilage. Further studies in mice (ter Huurne et al., 2012), rats (Horie et al., 2009)
278 and miniature pigs (Pei et al., 2013) also describe a lack of engraftment of MSCs into
279 cartilage defects. In a recent study in guinea pigs, a small number of labelled MSCs were
280 detected within the osteoarthritic cartilage at 1 week after transplantation; however, by 5
281 weeks, the cells had disappeared (Sato et al., 2012). A lack of engraftment has also been

282 observed in a horse model; McIlwraith et al. (2011) found no significant improvement in the
283 healing of osteochondral defects in the stifle joints of horses treated with bone marrow-
284 derived MSCs compared to controls, except that MSC-treated joints showed an increase in
285 the ‘firmness’ of the repair tissue, which was shown to have significantly greater levels of
286 aggrecan, a component of articular cartilage.

287

288 *Mesenchymal stem cells may retard the progression of osteoarthritic lesions in the short term*

289 Whereas MSCs do not engraft within osteoarthritic lesions they do appear to have
290 chondroprotective benefits, retarding the progression of cartilage destruction by reducing
291 inflammation. In a rabbit model of osteoarthritis, joints treated with MSCs had reduced
292 expression of tumour necrosis factor (TNF)- α , which is an inflammatory cytokine, and matrix
293 metalloproteinase (MMP)-1 which degrades proteoglycans in cartilage (Desando et al.,
294 2013). In a mouse model of traumatic osteoarthritis, MSCs failed to induce regeneration of
295 new cartilage, but they did mitigate the development of osteoarthritis during the 2 month
296 study period (Diekman et al., 2013). In the horse, Frisbie et al. (2009) similarly observed no
297 significant effects of MSCs on the repair of osteoarthritic lesions but did note reduced
298 inflammation in the joints of horses treated with MSCs, further highlighting the anti-
299 inflammatory properties of MSCs (Frisbie et al., 2009).

300

301 Similar results were described by Murphy et al. (2003) in the goat. At 20 weeks after
302 the experimental group had received the MSC treatment, both controls and MSC-treated
303 animals showed significant osteoarthritic lesions; however, the degree of cartilage
304 destruction, osteophyte formation and subchondral sclerosis were reduced in 4/6 MSC-treated
305 animals (Murphy et al., 2003). Again, this points to an anti-inflammatory, rather than
306 regenerative, effect of the MSCs on the cartilage. An important additional point to note with

307 this study, and others that have involved a meniscectomy, is that while MSCs do not engraft
308 within the cartilage, they do engraft within the meniscus, where they contribute to the
309 development of new meniscal tissue (Horie et al., 2012). It is this ability to regenerate new
310 meniscus that is at least partly responsible for the improved condition of the cartilage lesions
311 in the MSC-treated groups, as shown by the study by Murphy et al. (2003) in the goat where
312 the two MSC-treated animals that did not show a significant improvement in the cartilage
313 lesions compared to controls also failed to grow new menisci.

314

315 The timing of MSC treatment with respect to the onset of osteoarthritis also seems to be
316 a key factor in the degree to which MSCs modulate the progression of cartilage destruction.
317 In a mouse model of osteoarthritis, lesions were induced by injection of collagenase into the
318 joint (ter Huurne et al., 2012). If MSCs were injected 7 days after the collagenase treatment,
319 cartilage destruction was reduced by 35% compared to controls. However, if the delivery of
320 MSCs was delayed until 14 days after the collagenase injection, there was no difference in
321 cartilage lesions between the MSC-treated and control groups (ter Huurne et al., 2012).

322

323 Given that MSCs do not engraft within the cartilage and appear to reside only
324 transiently within the synovium, fat pad and menisci (Murphy et al., 2003; ter Huurne et al.,
325 2012; Desando et al., 2013), these anti-inflammatory effects would reasonably be expected to
326 be short-lived. This is borne out in a study of dogs with osteoarthritis secondary to hip
327 dysplasia, which were injected with autologous MSCs (Vilar et al., 2013). The dogs showed
328 improved lameness up to 6 months after the injection of MSCs into the coxofemoral joint;
329 however, at around 10 months after treatment, the dogs began showing signs of regression in
330 lameness scores (Vilar et al., 2013).

331

332 A similar short-lived effect has been observed in the horse. Wilke et al. (2007) applied
333 bone marrow-derived MSCs to induced full-thickness cartilage lesions at the femoropatellar
334 articulation in adult horses. At 30 days post-MSC transplant, lesions that had been exposed to
335 the MSCs had significantly better healing scores than controls, an effect that can probably be
336 attributed to reduced inflammation. However, this observation was short-lived, since at 8
337 months post-transplantation there were no histological, immunocytochemical or biochemical
338 differences between the MSC-treated joints and the controls.

339

340 *Chondrogenic effects may be observed when scaffolds and pro-chondrogenic molecules are*
341 *combined with mesenchymal stem cells*

342 While MSCs injected as a free suspension into the joint do not engraft into the
343 cartilage, MSCs that are incorporated into support structures such as scaffolds, or combined
344 with pro-chondrogenic molecules, such as hyaluronic acid (HA), appear to engraft and so
345 contribute more significantly to cartilage repair. HA is an important component of the
346 extracellular matrix of cartilage that has been shown, both in vitro and in vivo, to encourage
347 the differentiation of MSCs into chondrocytes and to downregulate the expression of factors
348 that degrade the cartilage matrix (Grigolo et al., 2009).

349

350 Sato et al. (2012), using a guinea pig model of spontaneous OA, injected MSCs, MSCs
351 and HA, or saline, into the affected joints. Guinea pigs that received MSCs alone showed no
352 improvement in the damaged cartilage as compared to saline-injected controls, while guinea
353 pigs that received MSCs in addition to HA showed some improvement in cartilage quality. In
354 contrast, in a rabbit model of osteoarthritis, Kim et al. (2012) noted histological
355 improvements of equal quality in the cartilage of rabbits treated with HA and MSCs, and
356 those treated with HA alone. Similarly, Grigolo et al. (2009) also found that rabbits in which

357 HA-containing scaffolds were placed over the lesion showed signs of cartilage regeneration,
358 albeit to a lesser degree than those rabbits that received the HA-containing scaffolds seeded
359 with MSCs.

360

361 Thus, most studies using MSCs in an attempt to repair damaged cartilage have involved
362 injecting a suspension of MSCs into a joint space; at best this serves to retard the progression
363 of osteoarthritis, most likely through paracrine anti-inflammatory effects, but does not
364 generate any long-term physical repair of the cartilage, almost certainly because the MSCs
365 fail to engraft. In contrast, studies in which the MSCs are spatially supported by a matrix
366 (Fig. 2) show more promising results, especially when they are combined with biomaterials,
367 such as HA, that support chondrogenesis. This, in essence, is tissue engineering: the
368 combination of cells and biomaterials with a supporting matrix, or scaffold, in an attempt to
369 regenerate damaged or diseased tissues.

370

371 **Tissue engineering**

372 Articular cartilage is a complex tissue consisting of four spatially distinct regions, each
373 of which is characterised by a particular distribution of cells within an extracellular matrix of
374 specific composition which, in turn, confer unique mechanical properties (Nguyen et al.,
375 2011). Given this complexity in structure, it is perhaps naïve to suppose that an injection of
376 freely suspended cells into the joint space will recapitulate this complex tissue. Scaffolds,
377 hydrogels and other matrices aim to organise the cells into a three-dimensional pattern that
378 closely replicates that found in the endogenous tissue. In the case of cartilage, the goal is to
379 induce MSCs to form the four distinct layers of articular cartilage and to mimic their
380 mechanical properties.

381

382 Nguyen et al. (2011) encapsulated mouse MSCs into polyethylene glycol (PEG)-based
383 hydrogels into which they incorporated various biomaterials to generate three distinct layers
384 of different compositions. After 6 weeks in culture, they produced a cartilage product that
385 possessed the spatially varying mechanical and biochemical properties of endogenous
386 cartilage (Nguyen et al., 2011). This is an exciting development, because it holds the promise
387 of using MSCs to engineer a cartilage that can anchor to the native subchondral bone via the
388 calcified zone, provide a smooth articular surface at the superficial zone and withstand the
389 normal compression and shearing forces present within the joint.

390

391 An *in vivo* study using a rat model of osteoarthritis showed that when human ESCs
392 were encapsulated into hydrogels incorporating HA they were able to repair full-thickness
393 osteochondral defects with newly generated hyaline cartilage that was fully integrated with
394 the surrounding native cartilage (Toh et al., 2010). In a similar study in the dog, canine MSCs
395 seeded into bilayered scaffolds that induced separate (but integrated) layers of cartilage and
396 bone development, were effective at repairing a large osteochondral defect in the articular
397 surface of the femoral condyles (Yang et al., 2011).

398

399 A recent study in the horse demonstrated the efficacy of MSCs, used in combination
400 with scaffolds and bioactive molecules, in regenerating osteochondral defects in a large
401 animal model. Seo et al. (2013) impregnated gelatin/ β -tricalcium phosphate (GT) sponges
402 with bone marrow-derived MSCs and bone morphogenetic protein (BMP)-2, which
403 stimulates osteogenesis, and inserted them into a full-thickness osteochondral defect in the
404 lateral trochlear ridge of the talus. On top of this sponge, they then placed a second GT
405 sponge loaded with chondrocytes, bone marrow-derived MSCs and platelet-rich plasma.
406 After 4 months post-transplantation, a statistically significant repair in the osteochondral

407 defects was observed in the treatment group as compared to the controls that received the GT
408 sponges only. Specifically, the size of the defect was significantly reduced and, most
409 importantly, a greater proportion of the repair tissue was hyaline cartilage than was observed
410 in the controls.

411

412 **Conclusions**

413 Because of their chondrogenic ability, anti-inflammatory effects and lack of
414 tumourigenicity, MSCs are an attractive prospect for stem cell-based therapies aimed at
415 cartilage repair. Although the injection of MSC suspensions into the joint appears to retard
416 the progression of osteoarthritis, at least in the short term, it is necessary to facilitate the
417 engraftment of the new cartilage to the native cartilage and bone in order to affect significant
418 physical repair of the damaged cartilage. Recent advances in tissue engineering offer the most
419 promising results to date and are, at this stage, the most likely means of achieving significant,
420 long-term, cartilage repair in patients with osteoarthritis. An important caveat, however, is
421 that any instability or incongruity within the joint that was the impetus for the development of
422 osteoarthritis in the first place must be addressed if repair of the damaged cartilage is to be
423 maintained long-term.

424

425 **Conflict of interest statement**

426 Neither of the authors of this paper has a financial or personal relationship with other
427 people or organisations that could inappropriately influence or bias the content of the paper.

428

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899 **Figure legends**

900

901 Fig.1. Schematic illustration of stem cell types of clinical relevance. Induced pluripotent stem cells (iPSCs) and embryonic stem cells (ESCs) are
 902 pluripotent, enabling them to give rise to all three germ layers of the embryo. Mesenchymal stem cells (MSCs), in contrast, are multipotent and
 903 predominantly differentiate into mesodermal derivatives including bone, cartilage, fat and muscle. It should be noted, however, that MSCs can
 904 also give rise to some derivatives of ectoderm (neural tissue) and endoderm (cells of liver and pancreas).

905

906 Fig. 2. Schematic illustration of tissue engineering. Mesenchymal stem cells (MSCs) spatially supported by a matrix exhibit better engraftment
 907 within endogenous cartilage and offer a greater prospect of regenerating damaged cartilage.

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911 **Table 1 Summary of studies evaluating the therapeutic potential of mesenchymal stem cells in animals with spontaneous osteoarthritis.**

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Study	Species	Joint affected	Treatment	Evaluation methods	Study period	Results
Vilar et al., 2013	Dog Experimental (<i>n</i> =8) Control (<i>n</i> =5)	Hip; secondary to hip dysplasia	3×10^7 Autologous AD-MSCs	Gait analysis using a force plate	6 months	Significant increase in peak vertical force and vertical impulse in treated dogs vs. controls
Black et al., 2008	Dog Experimental	Elbow	Autologous stromal vascular fraction containing some putative	Subjective assessments of lameness, pain, ROM	6 months	Subjective assessment that all dogs had improved lameness, pain

	(n=14) Control (n=0)		AD-MSCs			and ROM at 6 months
Black et al., 2007	Dog Experimental (n=21) Control (n=0)	Hip; secondary to hip dysplasia	Autologous stromal vascular fraction containing some putative AD-MSCs	Subjective assessments of lameness, pain, ROM	3 months	Subjective assessment that all dogs had improved lameness, pain and ROM at 3 months
Sato et al., 2012	Guinea pig (Hartley strain) Experiment 1 (n=15) Experiment 2 (n=15) Control 1 (n=15) Control 2 (n=15)	Stifle	7 x 10 ⁶ Human BM-MSCs suspended in PBS (Experiment 1) or HA (Experiment 2)	Macroscopic, histological and immunohistochemical analyses	5 weeks	Partial cartilage repair in the experimental group receiving the BM-MSCs with HA, but not in the other groups

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914 Exp, experimental; Cont, control; AD-MSCs, adipose-derived MSCs; BM-MSCs, bone marrow-derived MSCs; PBS, phosphate buffered saline;

915 HA, hyaluronic acid; ROM, range of motion.

916 **Table 2 Summary of studies evaluating the therapeutic potential of mesenchymal stem cells in animals with experimentally-induced**
 917 **osteoarthritis**

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Study	Species	Type of lesion	Treatment	Evaluation methods	Study period	Results
Diekman et al., 2013	Mouse C57BL/6 Experimental (n=8) Control (n=8)	Post-traumatic OA via osteochondral fracture of tibial plateau	1 x 10 ⁴ BM- MSCs	Histological analysis and micro-computed tomography	2 months	BM-MSCs mitigated development of post-traumatic OA during 2 month study period
Pei et al., 2013	Miniature pig Experimental (n=10) Control (n=3)	Partial thickness cartilage defects on medial femoral condyle	Unspecified number of allogeneic S- MSCs	Histological and immunohisto- chemical analyses	3 months	Tissue positive for GAG and collagen II immunostaining present in experimental, but not control, pigs. However, no engraftment of injected S-MSCs detected in repair tissue
Desando et al., 2013	Rabbit Experimental (n=48) Control (n=24)	Mild OA via transection of cranial cruciate ligament	2 x 10 ⁶ (n = 24) or 6 x 10 ⁶ (n = 24) Autologous AD-MSCs	Macroscopic, histological and immunohisto- chemical analyses	6 months	AD-MSCs retarded progression of OA. No engraftment of AD- MSCs into endogenous cartilage
Lee et al., 2013	Rabbit Experimental (n=27) Control (n=27)	Osteochondral defect in femoral trochlear groove	4 x 10 ⁶ autologous S-MSCs	Macroscopic, histological and immunohisto- chemical analyses	6 months	Hyaline cartilage repair in both controls that received platelet-rich plasma gel and experimental animals that received platelet-rich plasma gels and S-MSCs
Seo et al., 2013	Horse (n = 6) Contralateral joint used as control	Full thickness osteochondral defect in the lateral trochlear ridge of the talus	5 x 10 ⁴ and 5 x 10 ⁶ Autologous BM-MSCs seeded into sponges containing various chondro- and osteo- inductive molecules	Macroscopic, histological, radiographic, immunohisto- chemical and quantitative CT analyses	4 months	Osteochondral regeneration was observed in lesions treated with BM-MSC-sponge constructs, but not in joints that received sponges alone
ter Huurne et	Mouse	Collagenase-	2 x 10 ⁴	Histological and	6 weeks	When AD-MSCs were injected 7 days after collagenase treatment,

al., 2012	C57BL/6 Unspecified numbers of control and experimental mice	induced OA of stifle	Allogeneic AD-MSCs	immunohisto-chemical analyses		cartilage destruction was retarded compared to controls. In contrast, when AD-MSCs were injected 14 days after collagenase treatment, no effects were seen compared to controls. At 5 days after injection, no AD-MSCs could be detected
Al Faqeh et al., 2012	Sheep Experimental (n=12) Control (n=4)	OA induced via medial meniscectomy and transection of cranial cruciate ligament	1 x 10 ⁷ Autologous BM-MSCs	Macroscopic and histological analyses	6 weeks	Cartilage destruction was retarded in animals that received BM-MSCs as compared to controls
Kim et al., 2012	Rabbit Experimental (n=15) Control (n=3)	Osteochondral defect in medial femoral condyles	Injection of HA (n = 3) or 1 x 10 ⁶ allogeneic BM-MSCs (n = 3) or 1 x 10 ⁶ allogeneic BM-MSCs and HA (n = 9)	Macroscopic and histological analyses	7 weeks	Animals treated with HA or HA and BM-MSCs showed statistically significant improvements in the healing of defects as compared to controls. There was no significant difference in defect healing between the HA and the HA and BM-MSC groups
McIlwraith et al., 2011	Horse (n = 10) Contralateral joint used as control	Osteochondral defect created in medial femorotibial joint followed by microfracture	2 x 10 ⁷ Autologous BM- MSCs	Clinical, histological, immunohisto-chemical, radiographic and MRI analyses	12 months	No significant clinical improvement in the joints treated with BM-MSCs as compared to controls; however, BM-MSC-treated joints showed an increase in the firmness of the repair tissue
Toghraie et al., 2011	Rabbit Experimental (n=10) Control (n=10)	OA induced via transection of cranial cruciate ligament	1 x 10 ⁶ Allogeneic AD-MSCs	Radiographic and histological analyses	5 months	Rabbits that received AD-MSCs showed less cartilage degeneration, osteophyte formation and subchondral sclerosis than controls
Yang et al., 2011	Dog Experimental (n=16) Control (n=8)	Osteochondral defect in femoral condyles	1 x 10 ⁶ Allogeneic, chondro-genically-stimulated	Macroscopic, histological, histochemical, biomechanical and micro-CT	6 months	Osteochondral defects of the dogs that received the scaffolds seeded with chondrogenically-stimulated BM-MSCs showed significantly better cartilage repair than controls

			BM-MSCs seeded into decellularised cartilage and cancellous bone matrix scaffolds	analyses		
Matsumoto et al., 2009	Rat Experimental (n=48) Control (n=12)	Mono-iodoacetate-induced OA of stifle joint	2.5 x 10 ⁵ BMP4-transduced and 2.5 x 10 ⁵ Flt1-transduced allogeneic M-MSCs	Macroscopic, histological and immunohistochemical analyses	4 months	Rats that received non-transduced M-MSCs showed marked OA comparable to that observed in controls. However, rats that received BMP4- and Flt1-transduced M-MSCs repaired defects with hyaline cartilage
Frisbie et al., 2009	Horse Experimental (n=8) Control (n=8)	Osteochondral fragment created in middle carpal joint via arthroscopy	5.6-15 x 10 ⁶ Autologous BM- MSCs	Clinical, histological, pathological and radiographic analyses	70 days	No significant differences between experimental and control animals
Grigolo et al., 2009	Rabbit Experimental (n=32) Control (n=18)	OA induced via transection of cranial cruciate ligament	2 x 10 ⁶ Autologous BM- MSCs seeded onto HA-based scaffolds	Macroscopic, histological and immunohistochemical analyses	6 months	Rabbits that received BM- MSCs seeded onto HA-based scaffolds formed hyaline-like cartilage within the defects to a more significant degree than the controls which received scaffolds alone
Koga et al., 2008	Rabbit Experimental (n=24) Control (n=12)	Osteochondral defect created in trochlear groove of femur	1 x 10 ⁷ Allogeneic S-MSCs placed directly into defect (n = 12) or injected into joint (n = 12)	Macroscopic, histological and immunohistochemical analyses	6 months	Cartilage regeneration was observed in animals in which the S-MSCs were placed directly into the defect. In contrast, animals that received S-MSCs via injection into the joint showed no improvement compared to controls
Lee et al., 2007	Miniature pig Experimental (n=18) Control (n=9)	Partial thickness articular cartilage defect of medial	7 x 10 ⁶ Autologous BM-MSCs and HA	Macroscopic and histological analyses	3 months	Improved cartilage healing in pigs that received BM-MSCs and HA as compared to controls that received saline or HA alone

Wilke et al., 2007	Horse ($n = 6$) Contralateral joint used as control	femoral condyle Osteochondral plug removed from femoropatellar joint	1.2×10^7 Autologous BM-MSCs	Macroscopic, histological and immunohisto- chemical analyses	8 months	No significant differences between BM-MSc-treated and control joints
Agung et al., 2006	Rat Experimental ($n=16$) Control ($n=16$)	OA induced via transection of cranial cruciate ligament, medial meniscus and femoral condylar cartilage injured with surgical blade	1×10^6 ($n = 8$) or 1×10^7 ($n =$ 8) Allogeneic BM-MSCs	Histological and immunohisto- chemical analyses	1 month	Rats that received BM-MSCs showed no significant evidence of cartilage repair
Murphy et al., 2003	Goat Experimental ($n=15$) Control ($n=9$)	OA induced via transection of cranial cruciate ligament and medial meniscus	1×10^7 Allogeneic BM-MSCs and HA	Histological and histochemical analyses	5 months	Goats that received BM-MSCs showed regeneration of the meniscus which helped retard the progression of the OA

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920 AD-MSCs, adipose-derived MSCs; BM-MSCs, bone marrow-derived MSCs; M-MSCs, muscle-derived MSCs; S-MSCs, synovium-derived

921 MSCs; PBS, phosphate buffered saline; OA, osteoarthritis; HA, hyaluronic acid; GAG, glycosaminoglycans; BMP, bone morphogenetic protein.

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