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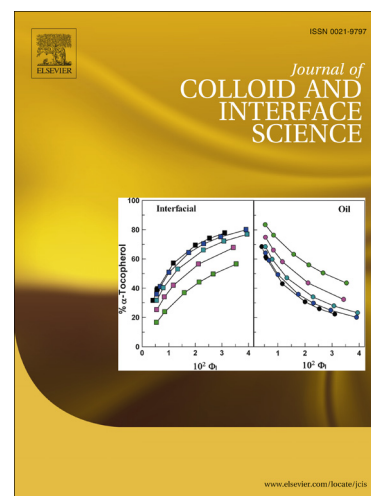
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# Nanoparticle $\zeta$ -Potential Measurements using Tunable Resistive Pulse Sensing with Variable Pressure

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## Abstract

Modern resistive pulse sensing techniques can be used to measure nanoparticle electrophoretic mobility, and hence  $\zeta$ -potential. In contrast to conventional light scattering methods, resistive pulse sensing produces particle-by-particle data. We have used tunable resistive pulse sensing (TRPS) to compare methods for measuring the  $\zeta$ -potential of carboxylated polystyrene nanoparticles. The five particle sets studied had nominal surface charge den-

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sity ( $\sigma$ ) between 0 and  $-0.67 \text{ C m}^{-2}$ , and diameters in the range 160 to 230 nm. Data were collected with pressure in the range  $\pm 500 \text{ Pa}$  applied across a tunable pore. In each experiment, pressure was varied either continuously or in discrete steps. Calculations of the  $\zeta$ -potential were obtained by analysing both the rate and the full-width half maximum duration of resistive pulses. Data obtained from duration analyses were more reproducible than rate methods, yielding typical variations smaller than  $\pm 5 \text{ mV}$ . When  $\sigma$  was greater (less negative) than  $-0.32 \text{ C m}^{-2}$ , all of the analysis methods studied yielded a monotonic relationship between  $\zeta$ -potential and  $\sigma$ . Complicated pulse data were observed near the pressure at which the net particle flux is zero, and these observations have been explored by examining competition between electrokinetic and pressure-driven transport. The typical difference between  $\zeta$ -potentials obtained using TRPS and phase analysis light scattering was 15% ( $< 5 \text{ mV}$ ), with an experimental error of  $\sim 10\%$  attributable to both techniques.

*Keywords:*

tunable pore, resistive pulse sensing, zeta-potential

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## 1. Introduction

Measuring the  $\zeta$ -potential of nanoparticles in solution is crucial for understanding and predicting the long-term stability of suspensions. Even in a well-characterised solution, it is difficult to accurately predict the  $\zeta$ -potential from first principles [1]. Existing techniques for  $\zeta$ -potential measurement draw upon either electrokinetic phenomena, such as electrophoretic light scattering or microelectrophoresis [2], or electroacoustic phenomena [3].

8 Such measurements are not trivial in nature, especially for relatively non-  
9 uniform particle distributions, and they employ experimental procedures and  
10 data analysis methods that can affect the  $\zeta$ -potential value. The science of  
11 nanoparticle  $\zeta$ -potential measurement can be developed by studying mea-  
12 surement consistency across different experimental conditions, apparatus and  
13 analysis methods.

14 Resistive pulse sensing (RPS) can be used to measure the  $\zeta$ -potential of  
15 particles in solution based on their electrophoretic mobility. In RPS, an elec-  
16 tric potential is used to drive ionic current through an electrolyte-filled pore  
17 within an insulating membrane. If an insulating particle moves through the  
18 pore, the resistance across the membrane is increased, producing a transient  
19 decrease in measured current from the 'baseline' level, known as a resistive  
20 pulse (Fig. 1(a)). Subsequent to development of this technique in Coulter  
21 counters [4], DeBlois et al. [5] measured the electrophoretic velocity of virus  
22 particles passing through polycarbonate pores, perhaps the first indication  
23 that RPS could also be used for particle charge measurement. Nanoparticle  
24  $\zeta$ -potentials have since been inferred from individual duration measurements  
25 [6, 7]. More recent  $\zeta$ -potential measurements [8–11] have considered resis-  
26 tive pulses in much greater detail, accounting for multiple particle transport  
27 mechanisms and conical pore geometry with end effects. Here we present a  
28 detailed study and comparison of RPS-based  $\zeta$ -potential measurement meth-  
29 ods in which the rate or duration of resistive pulses is measured as a function  
30 of applied pressure, with a view to optimising such techniques.

31 Our experiments employ a variant of RPS known as tunable RPS (TRPS),  
32 in which the sensing pore is within an elastomeric membrane, which enables

33 nanoscale ‘tuning’ of the pore geometry by the symmetric application of  
 34 macroscopic stretch [11–14]. Tuning may be used, for example, to optimise  
 35 the signal-to-noise ratio [14], to sterically gate larger particles as the pore  
 36 diameter is reduced [14, 15], or to employ a single pore to investigate a wide  
 37 range of nanoparticle sizes [16]. Apart from charge, TRPS can be used to  
 38 measure nanoparticle size [17] and concentration [18]. Resistive pulse asym-  
 39 metry [19] and detection of aggregates or clusters [20] have been studied in  
 40 detail. The range of particles analysed using TRPS now includes dextran  
 41 particles [21], emulsions [9], liposomes and lyophilisomes [22–24], viruses and  
 42 bacteria [17, 25, 26], protein aggregates [27], exosomes and membrane vesicles  
 43 [28–31], expansile nanoparticles [16], magnetic beads [20, 32] and function-  
 44 alised gold nanoparticles [33]. DNA has been studied as both single molecules  
 45 [15] and on-bead [14, 21, 34, 35].

46 In this study, the  $\zeta$ -potential of a particle ( $\zeta_{particle}$ ) is measured by de-  
 47 termining its electrophoretic mobility in the Smoluchowski approximation,  
 48 in which particle size is much greater than the Debye length. Based on the  
 49 Nernst-Planck equation, significant contributions to particle flux through a  
 50 pore  $\mathbf{J}$  can be summed as [17]

$$\frac{\mathbf{J}}{C} = \left( \frac{\varepsilon(\zeta_{particle} - \zeta_{pore})}{\eta} \right) \mathbf{E} + \frac{\mathbf{Q}_p}{A}. \quad (1)$$

51 Here  $C$  is the volume concentration of particles,  $\varepsilon$  and  $\eta$  are the fluid per-  
 52 mittivity and viscosity, and  $\zeta_{pore}$  is the  $\zeta$ -potential of the pore wall.  $\mathbf{E}$  is the  
 53 applied electric field,  $A$  is the cross-sectional area of the pore, and  $\mathbf{Q}_p$  is the  
 54 volumetric rate of pressure driven flow through the pore. Pressure-driven  
 55 flows in resistive pulse sensing have recently been studied elsewhere [36, 37].

56 Bulk transport via diffusion is typically negligible for TRPS [18], and it is  
 57 assumed that the gradient of the pore wall is shallow enough (on the length  
 58 scale of a particle) that the geometry can be considered locally cylindrical.

59 In experiments, we apply an external pressure across the fluid cell ( $P_{applied}$ ),  
 60 and measure the value  $P_0$  at which the transport mechanisms are balanced,  
 61 so that there is no net motion of particles through the pore ( $\mathbf{J} = 0$ ), and

$$\zeta_{particle} = -\frac{\eta \mathbf{Q}_p}{\varepsilon \mathbf{E} A} - \zeta_{pore}. \quad (2)$$

62 To calculate  $\zeta_{particle}$ , a semi-analytical model has been developed ([8, 9], see  
 63 Supporting Information) to incorporate specific pore geometry, and therefore  
 64 to compute  $\mathbf{E}$  and  $\mathbf{Q}_p$  in terms of  $P_{applied}$ , the additional inherent pressure  
 65 within the fluid cell ( $P_{inherent}$ ) and applied voltage ( $V$ ). Independent electro-  
 66 osmotic flow experiments are used to characterise  $\zeta_{pore}$ . Pores used for TRPS  
 67 exhibit conical geometry, which is modelled as indicated in Figure 1(b). The  
 68 small opening radius  $a$ , large opening radius  $b$  and length  $d$  are model inputs.

69 Vogel et al. [8] applied this method to a range of carboxylate polystyrene  
 70 nanoparticles, using TRPS with a custom built manometer to allow precise  
 71 control of  $P_{applied}$ . Somerville et al. [9] used the same technique to measure  
 72 the  $\zeta$ -potential of a water-in-oil emulsion, and to explore the possibility of  $\zeta$ -  
 73 potential measurements on individual particles. Relevant data have recently  
 74 been presented by Kozak et al. [11], who used essentially the same model of  
 75 tunable pores in calculations pertaining to the shape of individual pulses, and  
 76 by Weatherall et al. [38], who have used a similar but simpler calculation,  
 77 along with calibration particles of known  $\zeta$ -potential in place of geometric  
 78 parameters. Here, we have collected further data for particles of various

79 sizes and surface charges. We aim to study the precision and accuracy of  
 80 the method used previously [8, 9], as well as three further methods which  
 81 identify  $P_0$ .

## 82 2. Materials and Methods

Table 1: Particle sets used in the present study. Diameters and surface charge details are as specified by the suppliers. Bangs Laboratories calculates charge densities as described in [39]. ‘CO-psty’ indicates carboxylated polystyrene.

Particle Set	Material	Manufacturer	Diameter nm	Charge density ( $\sigma$ ) C m <sup>-2</sup>
A	Polystyrene	Polysciences	200	n/a <sup>i</sup>
B	CO-psty	Bangs	226	-0.181
C	CO-psty	Bangs	217	-0.318
D	CO-psty	Bangs	194	-0.400
E	CO-psty <sup>ii</sup>	Bangs	160	-0.666

<sup>i</sup> Particles are not carboxylated.

<sup>ii</sup> Surface groups include both carboxylic and polyacrylic acids.

83 The five particle sets studied, summarized in Table 1, consisted of four  
 84 sets of carboxylated polystyrene (CO-psty) beads (Bangs Laboratories) and a  
 85 set of uncharged NIST traceable standards (Polysciences). All have diameter  
 86 close to 200 nm, but the nominal surface charge density ( $\sigma$ , determined from  
 87 titrations during manufacture) varies. Particles were suspended at concen-

88 trations of  $10^9$ - $10^{10}$   $\text{mL}^{-1}$  in a standard electrolyte buffer (SEB) consisting of  
89 0.1 M KCl, 15 mM 2-amino-2-hydroxymethyl-propane-1,3-diol (Tris), 0.01%  
90 v/v Triton X-100 and 3 mM ethylenediaminetetraacetic acid (EDTA), ad-  
91 justed to pH 8 using HCl. Prior to TRPS measurements, particles were  
92 dispersed by vortexing for 5 s, sonicating at high power for 30 minutes and  
93 passing through a  $0.45 \mu\text{m}$  syringe filter (Minisart, purchased from Sigma-  
94 Aldrich) to remove any remaining aggregates. Values of  $\eta = 1.002 \text{ mPa s}$   
95 and  $\varepsilon = 7.1 \times 10^{-10} \text{ C}^2 \text{ N}^{-1} \text{ m}^{-1}$  were used in calculations ([40], for water  
96 at 293 K). The Debye length ( $\lambda_D$ ) of SEB is  $\sim 1.5 \text{ nm}$ , and all particles and  
97 pores in this study have minimum dimensions in excess of 100 nm, so the  
98 Smoluchowski approximation ( $\frac{a}{\lambda_D} \gg 1$ ) is valid.

99 TRPS was performed using the *qNano* system (Izon Science, described  
100 in detail elsewhere [8, 12, 14, 15, 17–19]) which incorporates a fluid cell,  
101 actuation capability for membrane tuning, and customised electronics. Here,  
102 the high-precision customised manometer coupled to the fluid cell uses the  
103 same principle and apparatus as in [8], but with the fluid flow to and from the  
104 reservoir now controlled using a syringe pump (Cole-Parmer model 78961OC,  
105 precise to 0.2 mL) fitted with 2 x 25 mL syringes (Terumo). The pressure  
106 applied by the manometer to the fluid cell ( $P_{\text{applied}}$ ) can be controlled with  
107 precision better than  $\pm 5 \text{ Pa}$  (0.5 mm H<sub>2</sub>O). The net pressure across the  
108 membrane ( $P_{\text{net}}$ ), equivalent to  $P_2 - P_1$  (Fig. 1(b)), is the sum of the pressure  
109 applied by the manometer ( $P_{\text{applied}}$ ) and the pressure head within the cell  
110 itself ( $P_{\text{inherent}}$ ). When 40  $\mu\text{L}$  of H<sub>2</sub>O is loaded into the upper half of the  
111 fluid cell,  $P_{\text{inherent}}$  is 46 Pa (4.7 mm H<sub>2</sub>O) [8].

112 Pore specimens are produced in thermoplastic polyurethane (TPU) by



113 mechanically puncturing a membrane using a chemically-etched tungsten  
114 needle attached to an actuator [15]. Experiments were performed using a  
115 pore specimen designated ‘NP200’ by the manufacturer (Izon Science), and  
116 therefore most suitable for measurement of 200 nm particles. The stretch ap-  
117 plied to the membrane was the same in all experiments so that any changes  
118 in pore geometry were minimised [12]. To further mitigate possible geometric  
119 changes or partial blockages, data were only collected when the baseline cur-  
120 rent was within 10% of the average observed across all experiments. Based  
121 on measurements using SEM, optical microscopy and a micrometer (see Sup-  
122 porting Information), pore opening sizes (Fig. 1(b)) of  $a = 184 \pm 20$  nm and  
123  $b = 22.5 \pm 0.5$   $\mu\text{m}$  were used in calculations, with a stretched membrane  
124 thickness of  $d = 179 \pm 7$   $\mu\text{m}$ . Uncertainties primarily arise from measurement  
125 resolution, variable application of stretch, and geometric non-idealities. The  
126 uncertainty in absolute  $\zeta$ -potential values due to pore geometry is  $\sim 30$  %,  
127 comparable to previous work [8]. This uncertainty applies to absolute values  
128 of  $\zeta_{particle}$ , but not to comparative differences between particle sets measured  
129 using the same pore. Pulses were identified and analysed using the *qNano*  
130 system’s proprietary software (v 2.2).

131 To find  $\zeta_{pore}$ , electro-osmotic flow (EOF) measurements were performed  
132 in microchannels that were custom-synthesized in pieces of the TPU used to  
133 make pores (BASF Elastollan 1160D) using soft lithography. A laser direct  
134 writer (Microtech 405A) produced a master channel (0.022 mm x 0.1 mm x  
135 30 mm) in photoresist (MicroChem SU-8 2015) as described in [41]. Channels  
136 were made using thermal embossing rather than using a bonding agent (as  
137 used in [8]), which may chemically react with the polymer surface. EOF

138 measurements were performed using the current monitoring method [8, 42].  
139 Channels were filled with SEB and a potential of 500 V was applied along the  
140 channel length using silver electrodes. The value of  $\zeta_{pore}$  used in calculations  
141 was -11.4 mV, equal to the mean of 10 repeated measurements with the same  
142 microchannel, with a standard deviation of 2.2 mV. After geometry,  $\zeta_{pore}$   
143 generates the second greatest uncertainty for  $\zeta_{particle}$  measurements. Details  
144 of the embossing and results for variable KCl concentration are included in  
145 the Supporting Information.

146 Comparative  $\zeta$ -potential measurements were made using phase analysis  
147 light scattering (PALS) with a Zetasizer Nano (Malvern). Immediately prior  
148 to PALS measurements, particles suspended in SEB were sonicated for 5  
149 minutes and passed through a 0.45  $\mu\text{m}$  syringe filter to remove aggregates.  
150 Each measurement was the mean value of 5 consecutive  $\zeta$ -potential readings.  
151 Following each set of 5 readings, the fluid cell was rinsed with deionised water  
152 and reloaded with suspended particles. 3 of these measurements (15 readings)  
153 were completed for each particle set. To prevent electrode oxidation, each  
154 disposable fluid cell was replaced after 5 measurements (25 readings).

### 155 *2.1. Methods for Finding the $\zeta$ -Potential*

156 Four methods were used to analyse TRPS data for particle  $\zeta$ -potential  
157 measurement. In all methods, the strategy is to identify  $P_0$  by collecting  
158 resistive pulse data while controlling  $P_{applied}$ , the pressure applied to the  
159 fluid cell.  $P_{applied}$  can be varied continuously or in discrete steps. Exemplar  
160 results from each method are presented in Fig. 2. The four methods involve  
161 measurement of (a) continuous rate, (b) discrete rate, (c) continuous duration  
162 and (d) discrete duration.

163 The continuous rate method has been described and used previously [8, 9].  
164  $P_{applied}$  was continuously varied between +500 Pa and -500 Pa, ensuring that  
165 resistive pulses were recorded in distinct regimes dominated by pressure-  
166 driven flow, and by electrokinetics. The pressure was varied at  $1.5 \text{ Pa s}^{-1}$ ,  
167 ensuring that a large number of pulses was counted and the chance of a  
168 pore blockage during a measurement remained relatively low.  $P_0$  is identified  
169 as the pressure at which the net flow of particles through the pore is min-  
170 imised. Figure 2(a) shows the cumulative pulse count with increasing  $P_{applied}$ ,  
171 producing an ‘S’-shaped curve, and  $P_0$  is determined by calculating the sta-  
172 tionary point of a least-squares cubic fit to this curve. When the polarity of  
173 the applied electric field is switched,  $P_0$  changes because the direction of net  
174 electrokinetic particle transport changes - the sign of  $\mathbf{E}$  changes in Eq. 2.  $P_0$   
175 has been found using both continuously increasing and decreasing  $P_{applied}$ .

176 The discrete rate method (Fig. 2(b)) also identifies  $P_0$  as the pressure at  
177 which the minimum pulse rate occurs. In this case, the minimum is found by  
178 fitting a parabola to discrete rate data. The impact of possible pore blockages  
179 and the required measurement time are both reduced in comparison to the  
180 continuous rate method.  $P_{applied}$  was varied between +500 Pa and -500 Pa in  
181 steps of 49 Pa (5 mm H<sub>2</sub>O). Over 500 events were recorded at each  $P_{applied}$   
182 over a period of at least 30 s. Each measurement was visually inspected to  
183 ensure that the rate was near-constant throughout the collection period, as  
184 large deviations typically indicate a pore blockage.

185 The continuous duration method uses the full width half maximum (FWHM)  
186 duration of pulse peaks to indicate the speed at which particles move through  
187 the pore.  $P_0$  is identified as the pressure at which the average FWHM is max-

188 imised due to the balance between the electrokinetic and pressure-driven  
 189 transport. The maximum duration is calculated by least squares fitting a  
 190 Gaussian function to FWHM data, obtained with pressure varied in the  
 191 same way as for the continuous rate method (above). A Gaussian function is  
 192 used because it is simple, symmetric about  $P_0$ , and accurately represents the  
 193 single-peak data obtained. Due to outliers (discussed further below), each  
 194 data point in Fig. 2(c) represents the mean of 5 consecutive FWHM mea-  
 195 surements. In the discrete duration method (Fig. 2(d)), data are collected  
 196 at discrete  $P_{applied}$  values using the same regime as the discrete rate method.  
 197 As with the continuous duration method, the mean of a Gaussian fitted to  
 198 the data yields a measurement of  $P_0$ .

### 199 3. Results and Discussion

#### 200 3.1. Pulse Rate Methods

201  $P_0$  data obtained using the continuous and discrete rate methods are  
 202 shown in Figure 3(a). Measurements were performed at both  $V_0 = +0.5$   
 203 and  $-0.5$  V, and corresponding values of  $P_0$  are separated by the horizontal  
 204 line corresponding to  $-P_{inherent}$ , equivalent to  $P_{net} = 0$ . For typical values of  
 205  $\zeta_{particle}$  and  $\zeta_{pore}$  in these experiments, the electro-osmotic and electrophoretic  
 206 transport mechanisms drive particles in opposite directions, but electrophore-  
 207 sis is larger. With positive applied voltage, particles are electrophoretically  
 208 driven towards the lower half of the fluid cell (Fig. 1(b)), so the opposing  
 209 pressure required for  $\mathbf{J} = 0$  is negative. In the continuous case, each data  
 210 point represents two experiments, in which  $P_{applied}$  was either increased or  
 211 decreased over time. The typical variation in  $P_0$  between these cases was less

212 than 5%, and systematic variation is removed by plotting the average of the  
213 two values.

214 All data sets show a monotonic trend with respect to nominal surface  
215 charge for the three data sets at  $\sigma \geq -0.32 \text{ C m}^{-2}$  (i.e. less negative than  
216  $-0.32 \text{ C m}^{-2}$ ). The trend extends more weakly to particle set D ( $\sigma =$   
217  $-0.40 \text{ C m}^{-2}$ ). Particle set E ( $\sigma = -0.67 \text{ C m}^{-2}$ ) is exceptional, gener-  
218 ating widely varied  $P_0$  measurements and resulting  $\zeta$ -potential values. These  
219 observations, further discussed in Section 3.4, can be partly attributed to  
220 the use of polyacrylic acid (in addition to carboxylate groups) to function-  
221 alise set E. Comparing continuous and discrete rate measurements, the trend  
222 with respect to surface charge is identical for  $\sigma \geq -0.40 \text{ C m}^{-2}$ . However, ab-  
223 solute values of  $P_0 - P_{inherent}$  are consistently smaller for the discrete rate  
224 measurements.

225 Figure 3(b) plots  $\zeta$ -potentials calculated from  $P_0$  measurements in Fig. 3(a)  
226 using Eq. 2 and experimental inputs from Section 2. The calculation accounts  
227 for the polarity of  $V_0$ , so measurements at  $\pm 0.5 \text{ V}$  are treated as repeats. The  
228 variability in these measurements is greatest for particle set E, giving unreli-  
229 able data, and smallest for sets A and B. Consistent with Fig. 3(a), discrete  
230 measurements give lower absolute values of  $\zeta_{particle}$ .

231 Data obtained using PALS (Fig. 3(b)) agree with the TRPS data. Ig-  
232 noring particle set E, the average PALS value is close to the discrete and  
233 continuous results, and consistently lies between them. The continuous rate  
234 data are within experimental uncertainty of the PALS data, with maximum  
235 differences of 4.6 mV (absolute) and 24% (fractional) across sets A-D. The  
236 equivalent maximum differences for the discrete rate data are 8.3 mV and

237 29%. It is notable that  $|\zeta_{particle}|$  is lower for particle set D than for set C in  
238 three of the four data sets plotted. The exception to this trend [8] used the  
239 same particle sets (A-D) in experiments.

240 The two key advantages of the rate methods are, firstly, that considerable  
241 data obtained over a wide range of conditions are brought to bear on the task  
242 of finding  $P_0$ , and secondly, that pulses do not need to be further analysed  
243 once they have been identified. The primary difficulty with rate methods  
244 is that pulses recorded within  $\sim 50$  Pa of  $P_0$  are often non-ideal (further  
245 discussed in Section 3.4), and identification of  $P_0$  is strongly dependent on  
246 these pulses. Previously [8] these issues have been partially mitigated by  
247 discarding events within 50 Pa of  $P_0$  prior to fitting.

248 In general, the continuous rate method offers more precision than the dis-  
249 crete rate method. The latter method involves a trade-off between precision  
250 and time per measurement, which is dependent upon the discrete step size.  
251 The discrete raw data (Fig. 2) are smoother near  $P_0$ , but the parabolic fit  
252 has uncertainty on a similar scale to the step size. The discrete method also  
253 has advantages, namely that it is less vulnerable to spurious pulses near  $P_0$ ,  
254 it is not terminally interrupted when a pore blockage occurs, and it does not  
255 require pressure changes in chronological sequence. For the continuous case,  
256 the latter requirement can be mitigated by checking and averaging results  
257 for increasing and decreasing pressures.

### 258 3.2. Duration Methods

259 Measurements of  $P_0$  using duration methods are summarized in Fig. 4(a).  
260 There are broad similarities to data obtained using the rate methods, such  
261 as the division of  $P_0$  values for different polarity of  $V_0$  about the horizontal

262 line equivalent to  $P_{net} = 0$ . Again,  $P_0$  monotonically increases with  $\sigma$  at  
263 low absolute values, including particle set D ( $\sigma = -0.4 \text{ C m}^{-2}$ ), although  
264 data for set E is again inconsistent. In contrast with Fig. 3(a), there is  
265 no clear systematic difference (and indeed very good agreement) between  
266 discrete and continuous data. Overall,  $|P_0 - P_{inherent}|$  data are smaller than  
267 those produced by the rate methods, and it is notable that these values are  
268 greater at positive rather than negative values of  $V_0$ . These trends are further  
269 explored in Section 3.4.

270 Calculated  $\zeta$ -potentials with measurements at  $\pm 0.5 \text{ V}$  treated as repeats  
271 (Fig. 4(b)) yield a monotonic relationship between  $\sigma$  and  $\zeta_{particle}$ , other than  
272 for particle set E. The relatively large uncertainty in  $\zeta$ -potentials for particle  
273 sets C and D relative to sets A and B is a feature of both Figs. 3(b) and 4(b).  
274 For these particle sets,  $\zeta_{particle}$  values may have high dispersity, or random  
275 measurement uncertainty may be relatively large for the specific measurement  
276 parameters (including  $\sigma$ ) used here.

277 The maximum difference between duration data and the corresponding  
278 PALS data is 7.0 mV (absolute) or 25% (fractional) for sets A-D. Across these  
279 four particle sets and all four methods (i.e. 16 measurements), the average  
280 difference between TRPS and PALS  $\zeta_{particle}$  values was 3.4 mV (absolute) or  
281 15% (fractional). The average uncertainty attributed to repeated measure-  
282 ment was 10% for the TRPS methods and 11% for PALS. PALS and rate  
283 measurements (Fig. 3(b)) indicated a higher absolute  $\zeta$ -potential for particle  
284 set C than for set D, suggesting that values of  $\sigma$  (manufacturer-specified)  
285 and  $\zeta_{particle}$  may not be monotonically related. This trend was not observed  
286 in Fig. 4(b), although as with the rate data, PALS results are consistent with

287 duration data for all particle sets A-D.

288 Overall, duration measurements of  $\zeta_{particle}$  are more reproducible than  
289 measurements using pulse rates. Figures 3(b) and 4(b) each contain data for  
290 six individual measurements using each particle set (four measurements for  
291 set B). When each set of six is treated as repeats, all five particle sets have a  
292 lower coefficient of variation (equivalent to standard deviation as a fraction  
293 of the mean) in the case of duration measurements.

294 As with the rate methods, the discrete duration method usually requires  
295 less measurement time than the continuous method, but affords less preci-  
296 sion depending on the discretization. Although pulses close to  $P_0$  are again  
297 problematic (naïvely, the FWHM tends to  $\infty$  at  $P_0$ ), each pulse is analysed  
298 more closely than for the rate methods, resulting in less uncertainty. Nev-  
299 ertheless, the Gaussian fit can be significantly affected by individual events,  
300 and indeed it is prudent to partially discretise the continuous data by aver-  
301 aging five consecutive individual events for each data point (Fig. 2). FWHM  
302 pulse durations do not change greatly with pulse magnitude, the latter be-  
303 ing proportional to particle volume [17]. For example, for a sample of set C  
304 particles the pulse FWHM varied by  $< 14\%$  between the largest (1.3 nA)  
305 and smallest (0.5 nA) pulses, corresponding to  $< 30\%$  variation in particle  
306 diameter. The range of mean particle diameters for the sets used here was  
307  $\sim 40\%$  (Table 1). This could partly account for the low  $P_0$  value for particle  
308 set E.

### 309 3.3. Comparison of Methods

310 Figure 5 summarizes  $\zeta$ -potential measurements using TRPS, plotting the  
311 difference between the value for each method and the mean value over all



312 methods. Duration measurements, both continuous and discrete, are always  
313 within  $\pm \sim 5$  mV of the mean, further indicating that duration measurements  
314 produce more precise (self consistent) results than event rate methods. Oc-  
315 casional variations closer to 10 mV are obtained using the rate methods, es-  
316 pecially for more highly charged particles. For particles of unknown charge,  
317 there is clear advantage in taking measurements using multiple TRPS tech-  
318 niques to check for self consistency, and to avoid measurements such as those  
319 found to be characteristic of highly charged particles here.

320 Some of the trends observed in Figs. 3 and 4 are further evident in Fig. 5.  
321 The continuous and discrete rate data lie either side of the mean in all cases,  
322 due to the consistently lower absolute values of  $\zeta_{particle}$  derived from discrete  
323 measurements. The continuous rate data point for particle set C appears  
324 here to be an outlier with low reproducibility. This demonstrates how the in-  
325 consistent trend observed for sets C and D in Fig. 3, discussed above and sup-  
326 ported by PALS data, could originate from measurement uncertainty rather  
327 than from characteristics of the actual particle distribution. The possibility  
328 of measurement error in the nominal charge densities (Table 1) should also  
329 be noted.

330 Although the rate methods depend on pulses near  $P_0$  (Section 3.1), the  
331 analysis required for these methods is relatively facile, requiring only accurate  
332 identification of each event. In comparison, duration measurements employ a  
333 detailed analysis of each individual event. This provides advantages, such as  
334 the ability to discard individual events if they are considered to be outliers,  
335 and the possibility to extract more information from each individual event.  
336 Indeed,  $\zeta_{potential}$  can in principle be calculated from a single event, without

337 variable pressure [11]. However, the model is designed for an ideal particle  
338 travelling smoothly along the central pore axis, and so for individual particle  
339 charge measurements factors such as off-axis trajectory, steric interactions  
340 and polydispersity should be considered. The comparison between continu-  
341 ous and discrete methods can be summarized by noting that the precision  
342 of discrete data is limited by the discrete step size, but that discretization  
343 allows flexibility over the step size as well as timing and quality control of  
344 measurements.

#### 345 *3.4. Highly Charged Particles*

346 In Section 3.1, the high variability of  $P_0$  data and derived  $\zeta$ -potentials for  
347 particle set E (Figs. 3 and 4) was partly attributed to differences in functional  
348 groups. In addition, set E has the highest nominal charge of the particle  
349 sets used (Table 1), which may give rise to complications due to competing  
350 flow effects near the pore constriction, at the smaller opening (Fig. 1(b)).  
351 Figure 6 employs the semi-analytic model used above for  $\zeta$ -potential calcula-  
352 tions to plot the relative contributions to particle transport for a specific set  
353 of experimental parameters. Due to the differences between electrokinetic  
354 and pressure driven flows, the dominant transport mechanism can switch  
355 within (say) a few tens of nanometers of the geometric discontinuity at the  
356 pore opening, causing the particle flux to change sign. Additionally, parti-  
357 cle transport varies across the width of the pore [32]. The pressure-driven  
358 flow profile is approximately parabolic (as in Poiseuille flow), while electro-  
359 osmosis is nearly a plug flow, and electrophoresis depends on the electric field  
360 geometry. This consideration of transport details reveals that complexities  
361 in particle transport will not be captured by Eqs. 1 and 2.

362 Figure 6 shows that particle transport can be asymmetric about the pore  
363 constriction. In this example, any on-axis particle near the small pore open-  
364 ing (on either side) will be transported away from the pore, potentially cre-  
365 ating a region of depleted particle concentration around the pore opening.  
366 Particles approaching the constriction from above the membrane move differ-  
367 ently to those moving from within the pore. This asymmetry could explain  
368 differences between  $P_0$  magnitudes (relative to  $P_{inherent}$ ) for positive and neg-  
369 ative applied voltages, which are especially evident for duration method data.

370 Perhaps more importantly, competing mechanisms produce a higher like-  
371 lihood of abnormal resistive pulses near  $P_0$  due to steric or Brownian mecha-  
372 nisms. Abnormal pulses (see Supporting Information for an example) can be  
373 generally characterized as those caused by particle lingering near the pore,  
374 perhaps passing through multiple times, rather than cleanly passing through.  
375 In our experiments, competition between pressure-driven and electrokinetic  
376 transport is increased when particles have high charge. There is also greater  
377 range of  $P_{applied}$  at which abnormal pulses were observed, although this could  
378 also be caused by relatively high polydispersity. As demonstrated in Figure 7,  
379 fitting of a cubic to the continuous rate method can be uncertain under these  
380 conditions, with multiple possible inflection points observed. The accuracy  
381 of  $P_0$  measurement is similarly uncertain for particle set E.

382 Possible differences between  $P_0$  for the cases of  $\mathbf{J} = 0$  and maximised  
383 duration could explain why  $\zeta$ -potentials are consistently smaller when ob-  
384 tained by the duration methods, in comparison with the rate methods. As  
385 identified in rate experiments,  $P_0$  is the pressure at which the number of  
386 particles passing through the constriction is minimised. This may differ from

387 the pressure at which the average particle flux is zero, because it is possible  
388 for particles to be moving through the pore in both directions, promoted  
389 by transport variation across the pore width. As for maximised duration,  
390 the dominant transport mechanism acting on a particle can vary as it moves  
391 along the  $z$ -axis on length scales comparable to the size of the particle.

392 This Section reveals clear directions for future improvement of TRPS-  
393 based particle charge measurement methods. A significant step would be to  
394 establish a process to identify (and discard) abnormal pulses, and draw upon  
395 event asymmetry [19] to establish the direction of particle motion through  
396 the pore. Research into the importance of precise pore geometry and com-  
397 petition between transport mechanisms will be ongoing. The convergence  
398 of the electric field at the pore constriction may give rise to significant DC  
399 dielectrophoresis. Further work is required to establish the working range  
400 of particle charge measurements for pores of different sizes and in different  
401 electrolytes.

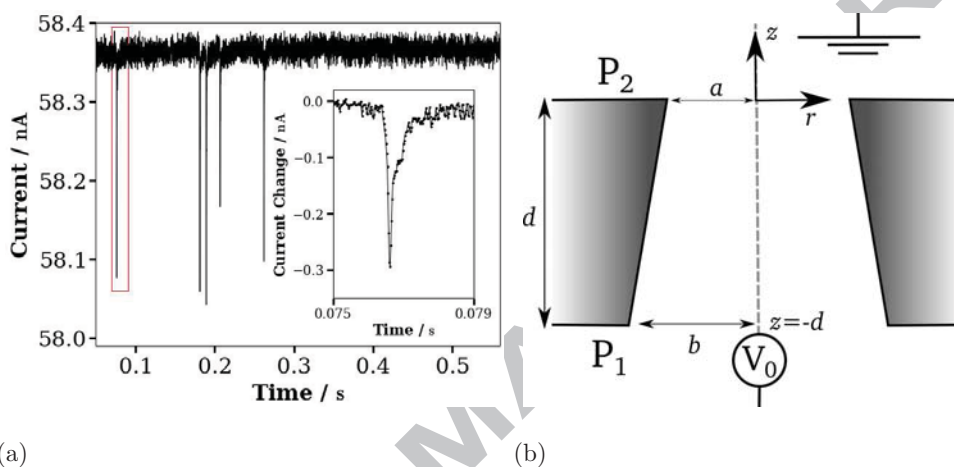
#### 402 4. Conclusion

403 We have studied variable pressure methods for  $\zeta$ -potential measurement  
404 using TRPS. Measurements are comparable to PALS data between zero sur-  
405 face charge and  $-0.4 \text{ C m}^{-2}$ , both in terms of absolute values and repeatabil-  
406 ity. Use of tunable pores enables multiple measurement and analysis meth-  
407 ods, an advantage over light scattering. Key advances in this paper include  
408 introduction and comparison of four relatively simple analysis techniques,  
409 improved experimental control, and more rigorous determination of  $\zeta_{pore}$  (a  
410 key parameter for  $\zeta_{particle}$  calculation). Our results are more reproducible

411 when calculations are based on FWHM duration data than when rate data  
412 are used. Collection of data over a range of experimental conditions has  
413 ensured that uncertainties are clear. Uncertainty increased for more highly  
414 charged particles due to competing electrokinetics and pressure about the  
415 pore opening. Future work should focus on measurement uncertainties due  
416 to pore geometry and surface charge parameters used in calculations. TRPS  
417 charge measurements could also be extended to a wider range of particles.  
418 Transport of larger particles is readily dominated by pressure-driven flows,  
419 so low-conductivity electrolyte and modified electronics should be used to  
420 allow the application of larger voltages necessary to measure electrokinetic  
421 transport.

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427 tor of Izon Science.



(a) (b)

Figure 1: (a) shows typical tunable resistive pulse events. Each downwards pulse in current from the baseline level indicates that a 200 nm carboxylated polystyrene sphere (from set C, Table 1) has passed through the pore constriction. Inset, an expansion of the red outlined region. Events are asymmetric because pores are near-conical in shape. (b) is a schematic section of a tunable pore, showing the truncated conical pore geometry with small and large pore openings  $a$  and  $b$  respectively, the membrane thickness  $d$ , and the cylindrical polar co-ordinates  $(r, z)$ . The net pressure across the membrane is  $P_{net} = P_2 - P_1$ .

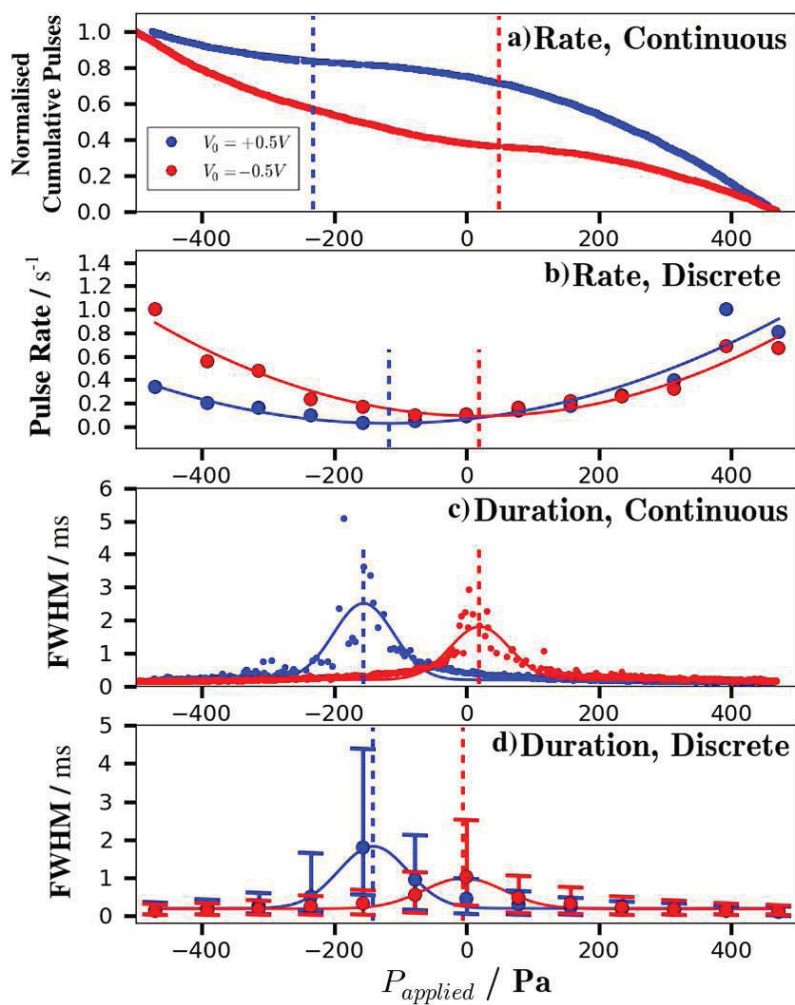
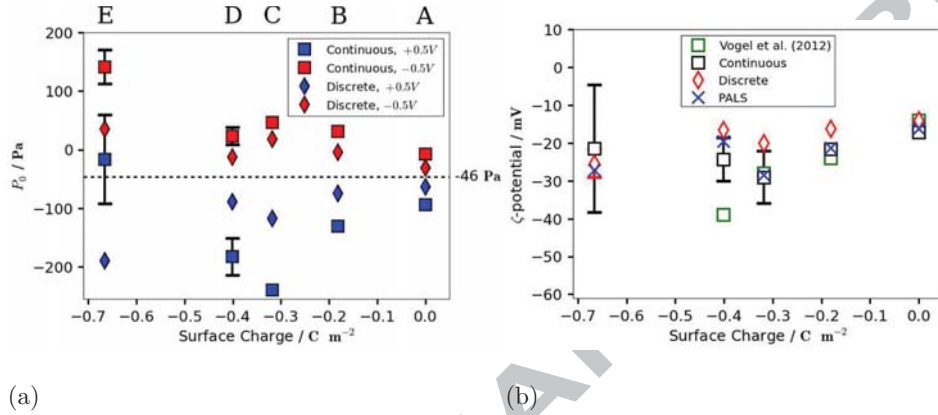


Figure 2: Indicative results for particle set C (Table 1), demonstrating analysis methods. Vertical dotted lines indicate derived values of  $P_0$ . (a) and (b) show data obtained from continuous and discrete particle rate measurements respectively. Cumulative data in (a) are normalized by the total pulse count in each run. (c) and (d) show data from continuous and discrete measurements of full width half maximum (FWHM) duration respectively. Each data point for ‘discrete’ cases is the average of at least 500 pulses. Error bars in (d) indicate the interquartile range around the median FWHM.



(a) (b)

Figure 3: Data obtained using rate methods for particle sets A-E (Table 1). Particle set labels appear directly above the relevant data in (a), which plots applied pressure at minimum pulse rate ( $P_0$ ) as a function of nominal surface charge density ( $\sigma$ ). The horizontal black dotted line indicates  $-P_{inherent}$ . Error bars for continuous experiments indicate the range spanned in cases of increasing and decreasing pressure. (b)  $\zeta$ -potentials calculated from the rate data. For continuous experiments, error bars indicate the standard deviation of four contributing data points; for discrete experiments, error bars indicate the range spanned by the two values at +0.5 V and -0.5 V (see Fig. 3(a)). Data from Vogel et al. [8] (green squares) were obtained using the continuous rate method. Mean values obtained using PALS are plotted in (b), with error bars ( $\pm 5$  mV maximum) omitted for clarity. In both (a) and (b), error bars smaller than symbol size are omitted.



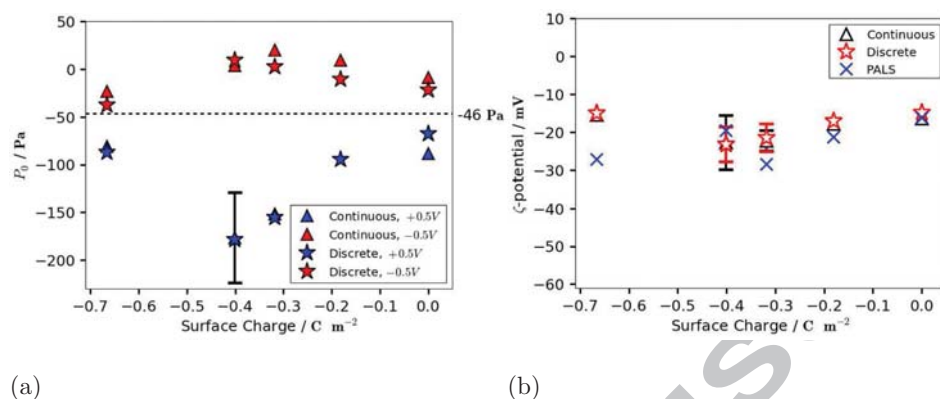


Figure 4: Data obtained using duration methods for particle sets A-E (Table 1). (a) Applied pressure at maximum pulse duration ( $P_0$ ) as a function of nominal surface charge density ( $\sigma$ ). (b) Corresponding  $\zeta$ -potential data. Error bars and the horizontal black dotted line are as described for Fig. 3, with error bars for PALS ( $\pm 5$  mV maximum) and those smaller than the symbol size omitted for clarity.

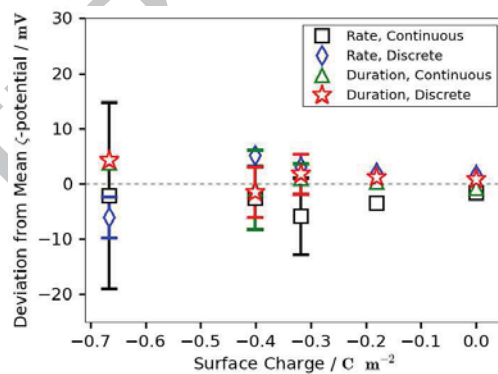


Figure 5: Summary of all  $\zeta$ -potential data, plotted as a deviation from the mean over all methods for each particle set. Error bars correspond to those plotted in Figs. 3(b) and 4(b), and are omitted if smaller than the symbol size.

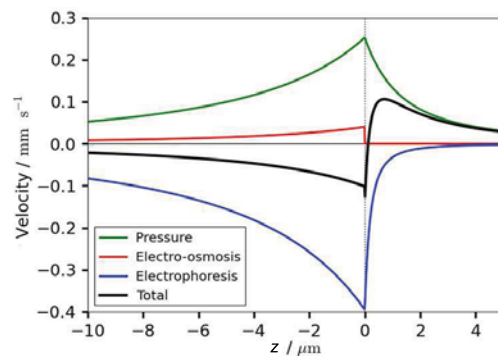


Figure 6: Example of simulated particle velocity components in which the direction of transport reverses at the pore constriction. The simulation is based on Eq. 1 using the following parameters:  $P_{net} = -30$  Pa,  $V_0 = 0.5$  V, particle radius 100 nm,  $a = 450$  nm,  $b = 46$   $\mu\text{m}$ ,  $\zeta_{pore} = -12$  mV,  $\zeta_{particle} = -30$  mV.

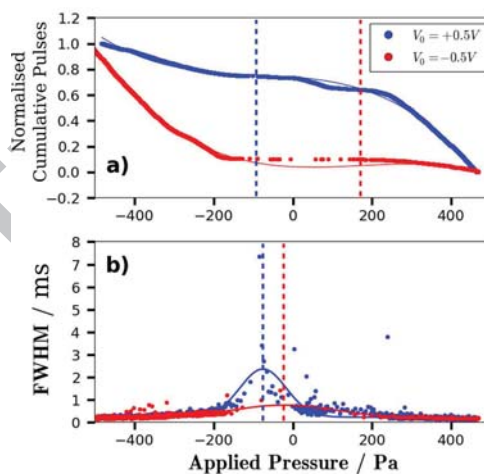


Figure 7: Example results using particle set E (Table 1). Vertical dotted lines indicate  $P_0$ , determined as described in Section 2.

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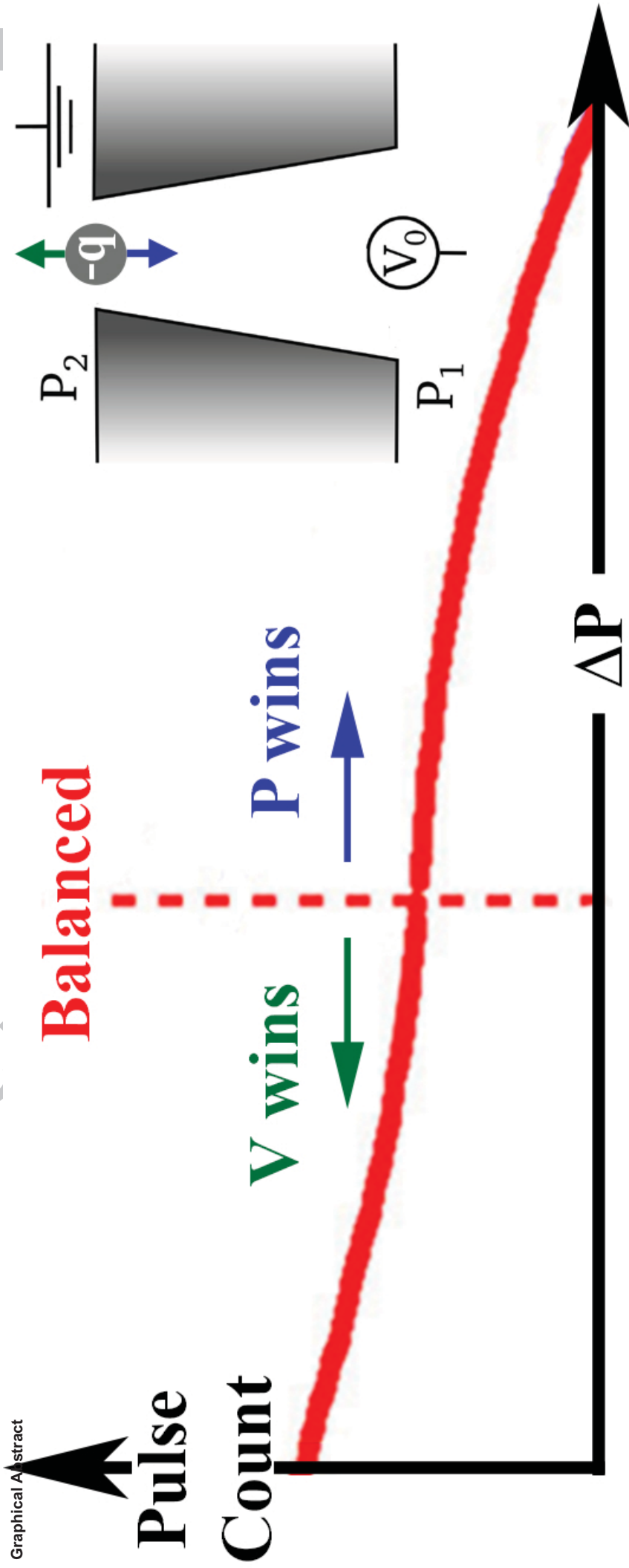
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Graphical Abstract



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## Highlights:

- 3 new ways to find zeta potentials using tunable resistive pulse sensing (TRPS).
- Comparative measurements using 5 particle sets, 4 TRPS methods and light scattering.
- Values and reproducibility are comparable to the standard light scattering method.
- Significantly developed assessment of uncertainty relative to previous TRPS work.