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# Increased mortality after upper extremity fracture requiring inpatient care

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**Background and purpose** — Increased mortality after hip fracture is well documented. The mortality after hospitalization for upper extremity fracture is unknown, even though these are common injuries. Here we determined mortality after hospitalization for upper extremity fracture in patients aged  $\geq 16$  years.

**Patients and methods** — We collected data about the diagnosis code (ICD10), procedure code (NOMESCO), and 7 additional characteristics of 5,985 patients admitted to the trauma ward of Central Finland Hospital between 2002 and 2008. During the study, 929 women and 753 men sustained an upper extremity fracture. The patients were followed up until the end of 2012. Mortality rates were calculated using data on the population at risk.

**Results** — By the end of follow-up (mean duration 6 years), 179 women (19%) and 105 men (14%) had died. The standardized mortality ratio (SMR) for all patients was 1.5 (95% CI: 1.4–1.7). The SMR was higher for men (2.1, CI: 1.7–2.5) than for women (1.3, CI: 1.1–1.5) ( $p < 0.001$ ). The SMR decreased with advancing age, and the mortality rate was highest for men with humerus fractures.

**Interpretation** — In men, the risk of death related to proximal humerus fracture was even higher than that reported previously for hip fracture. Compared to the general population, the SMR was double for humerus fracture patients, whereas wrist fracture had no effect on mortality.

Hip fractures are associated with increased mortality in both women and men (Roberts and Goldacre 2003, Haleem et al. 2008, Abrahamsen et al. 2009, Hindmarsh et al. 2009, Haentjens et al. 2010, Mundi et al. 2014), as are osteoporotic vertebral fractures (van Staa et al. 2001, O'Neill and Roy 2005, Harris et al. 2010). The influence of upper extremity fracture

on mortality is far less studied, and the findings reported have been inconsistent. However, some reports suggest that proximal humerus fracture may be associated with increased mortality during the first year after the fracture (Shortt and Robinson 2005, Piirtola et al. 2008). In contrast, the reported survival of wrist fracture patients may resemble or be better than that of the general population (O'Neill and Roy 2005, Shortt and Robinson 2005).

We investigated mortality in adults with an upper extremity fracture that required inpatient care in a trauma unit. In addition, we compared this mortality with mortality in the general population.

## Patients and methods

### Patient population

This study included all patients aged  $\geq 16$  years who were admitted to the trauma ward of Central Finland Hospital (CFH) in Jyväskylä, Finland, between January of 2002 and December of 2008. Patients under the age of 16 were treated at the department of pediatric surgery instead, and they were excluded from the study. CFH is the only public hospital in the Central Finland Hospital District (CFHD) and offers trauma care to a population of 250,000, which is approximately 5% of the population of Finland. Fracture patients living in the catchment area of the hospital district who are in need of surgical treatment are referred to CFH. They are hospitalized due to a planned surgical procedure, due to a severe fracture, or due to other conditions (e.g. poor general condition, frailty associated with advanced age, or significant comorbidities).

At the trauma ward, each patient's social security number, municipality, diagnosis (ICD 10 code), procedure code (NOMESCO, Finnish version), code of external cause (ICD

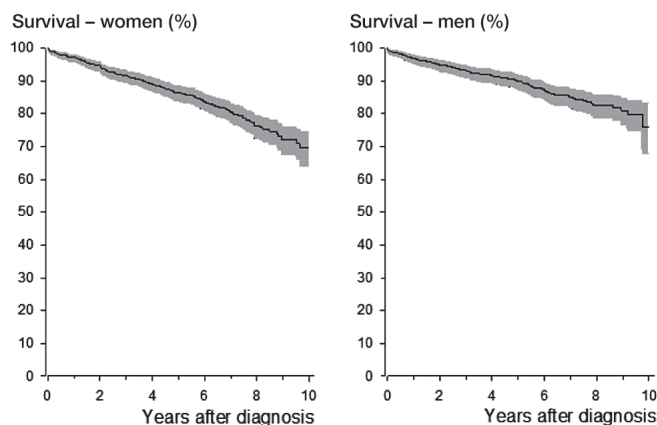


Figure 1. Kaplan-Meier survival curves for 1,682 patients hospitalized for upper extremity fracture. The gray area indicates the 95% confidence interval.

10), side of injury, and time of arrival at the emergency department and ward were recorded in a registry. Complications during treatment were also recorded.

The first sustained upper extremity fracture was regarded as the index fracture. Some patients presented with multiple fractures together with soft tissue injuries. The mortality rate for patients presenting with multiple fractures was calculated. However, the concomitant injuries were not taken into account when calculating mortality rates. The mortality status of patients at the end of 2012 was obtained from Statistics Finland. The causes of death were classified according to the ICD 10 classification.

### Statistics

The expected number of deaths was calculated on the basis of age-, sex- and calendar period-specific mortality rates in the Finnish population. The relative survival was calculated as the ratio between the survival observed and the survival expected in a population matched with regard to age, sex, and calendar period using the Ederer-II method (Ederer and Heise 1959). The standardized mortality ratio (SMR) was calculated as the ratio between the number of deaths observed and the number of deaths expected, with 95% confidence intervals (CIs), assuming a Poisson distribution.

### Ethics

A research permit was granted by Central Finland Hospital on December 16, 2012.

### Results

Between 2002 and 2008, 929 women and 753 men sustained at least 1 upper extremity fracture and were admitted to the trauma ward at CFH in Finland. The 1,682 patients had 1,989 fractures in total. The cohort was followed up for 10,106

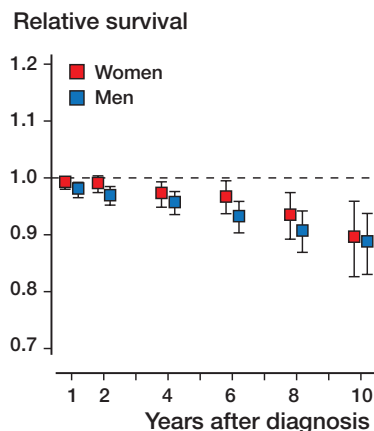


Figure 2. The relative survival of 1,682 patients hospitalized for upper extremity fracture (929 women and 753 men). The whiskers show the 95% confidence intervals, and the dashed line represents the expected survival in the general population.

person-years and the mean follow-up time was 6.0 years. The women were generally older than the men at the time of the upper extremity fracture: mean age 62 (SD 18) years in women vs. 46 (SD 17) years in men ( $p < 0.001$ ). At the end of the follow-up period, 179 women and 105 men had died. The mortality for all patients was 3.0% (CI: 2.3–4.0) at 1 year, 7.7% (CI: 6.5–9.1) at 3 years, and 12% (CI: 11–14) at 5 years after the upper extremity fracture (Figure 1). The mortality rate was 32 (CI: 28–37) per 1,000 person-years for women and 23 (CI: 19–28) per 1,000 person-years for men. The risk of dying was twice as high for men than for women, and between the sexes the age-adjusted hazard ratio (HR) for death was 2.0 (CI: 1.5–2.6).

The relative survival of both women and men decreased linearly with time (Figure 2). The SMR for all patients was 1.5 (CI: 1.4–1.7). The SMR for women was 1.3 (CI: 1.1–1.5) and for men it was 2.1 (CI: 1.7–2.5) ( $p < 0.001$ ). The SMR for upper extremity fracture patients decreased with advancing age (Figure 3). In patients younger than 60 years, the SMR was 2.2 (CI: 1.3–3.7) for women and 3.0 (CI: 2.3–4.1) for men. In patients who were 60 years old or more, the corresponding SMR values for women and men were 1.2 (CI: 1.1–1.5) and 1.6 (CI: 1.3–2.1).

In both sexes, fractures of the humerus were associated with higher mortality than the rest of the fracture types (Table). For humerus fractures (including all anatomic locations), the SMR was 2.0 (CI: 1.7–2.4); it was 1.7 (CI: 1.4–2.1) for women and 2.9 (CI: 2.3–3.8) for men. For proximal humerus fractures, the SMR was 2.2 (CI: 1.8–2.6); it was 1.6 (CI: 1.2–2.0) for women and 4.5 (CI: 3.3–6.1) for men. For wrist fractures, the SMR was 0.96 (CI: 0.74–1.2); it was 0.87 (CI: 0.65–1.2) for women and 1.5 (CI: 0.88–2.6) for men.

Cardiovascular diseases were the leading primary cause of death after upper extremity fracture in both sexes. Accidents and violence were the second most common cause of death

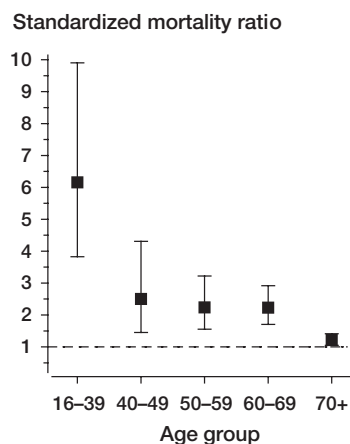


Figure 3. Standardized mortality ratios for 1,682 patients hospitalized for upper extremity fracture, by age group, with 95% confidence intervals. The dashed line represents the expected survival in the general population (standardized mortality ratio = 1).

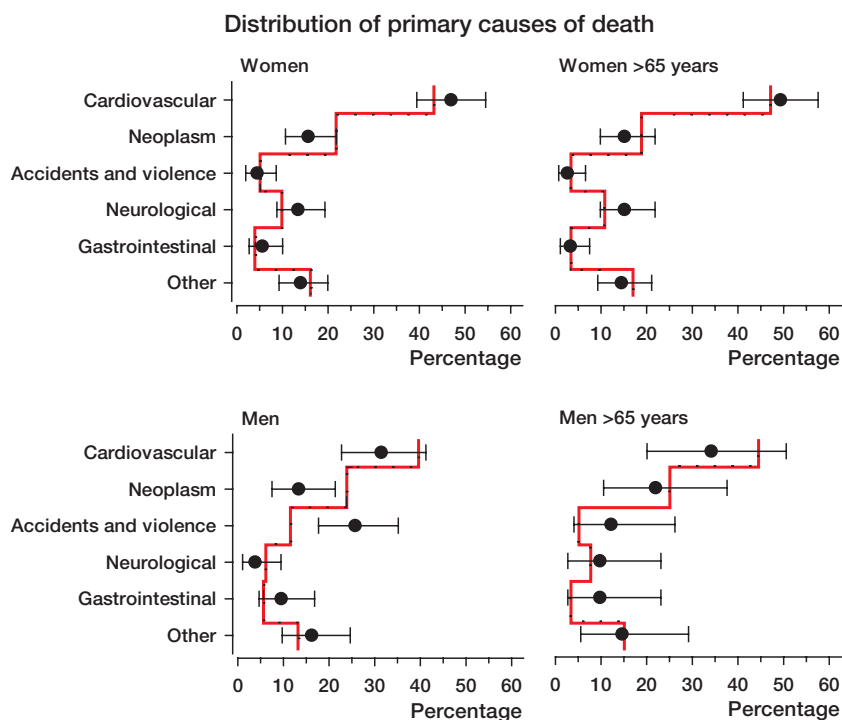


Figure 4. Distribution of primary causes of death in women and men. The whiskers show the 95% confidence intervals. The vertical dashed line indicates the distribution of causes of death in the general population.

in men (26%) but they accounted for only 4.5% of deaths in women. Conversely, neurological disease accounted for 13% of the deaths in women but only 3.8% of the deaths in men. When compared with the general population, deaths due to accidents and violence were more frequent in men. On the other hand, deaths due to neoplasms were less frequent in the cohort patients than in the general population (Figure 4).

### Discussion

In this population-based study, we found that mortality was higher in patients who were hospitalized after upper extremity fracture. This increase was greater in men than in women, and was highest after fractures of the humerus. Other authors have reported increased mortality after proximal humerus fracture,

Table 1. Distribution of upper extremity fractures and mortality (per 1,000 person-years) in men and women hospitalized for upper extremity fracture (n = 1,682)

	Men (n = 753)				Women (n = 929)			
	No. of fractures (%)	Age mean (SD)	No. of deaths	Mortality (95% CI)	No. of fractures (%)	Age mean (SD)	No. of deaths	Mortality (95% CI)
Fractured bone								
Radius/ulna, distal	153 (20)	47 (16)	13	14 (8–24)	362 (39)	64 (15)	46	20 (15–27)
Humerus, proximal	107 (14)	55 (17)	41	76 (56–103)	200 (22)	68 (14)	56	49 (37–63)
Forearm, proximal	63 (8.4)	48 (18)	10	28 (15–52)	112 (12)	57 (21)	20	30 (19–46)
Clavicle	108 (14)	43 (16)	8	11 (6–23)	39 (4.2)	45 (20)	4	16 (6–42)
Finger phalanx	100 (13)	43 (15)	5	8 (3–19)	22 (2.4)	50 (15)	3	21 (7–64)
Humerus, distal	34 (4.5)	53 (22)	9	51 (27–99)	66 (7.1)	64 (21)	21	60 (39–91)
Metacarpal	70 (9.3)	39 (16)	4	9 (3–23)	25 (2.7)	47 (22)	2	14 (3–54)
Shaft of forearm	48 (6.4)	41 (16)	3	10 (3–32)	43 (4.6)	55 (18)	5	18 (7–42)
Humerus, diaphysis	35 (4.6)	51 (22)	9	42 (22–82)	46 (4.9)	65 (18)	20	82 (53–127)
Scapula	24 (3.2)	51 (15)	2	15 (4–58)	9 (1.0)	65 (19)	2	37 (9–148)
Carpus	11 (1.5)	35 (15)	1	14 (2–102)	5 (0.5)	52 (16)	-	-
Single fracture	718 (95)	46 (17)	97	23 (18–28)	886 (95)	62 (18)	171	32 (28–37)
Multiple fractures	35 (4.6)	48 (17)	8	37 (19–74)	43 (4.6)	65 (16)	8	29 (15–58)

especially in men, with wrist fractures having little effect on mortality in outpatients and inpatients combined (O'Neill and Roy 2005, Shortt and Robinson 2005, Piirtola et al. 2008). Our results show that this is also true when only hospitalized patients are considered.

The increased mortality after hip fracture is well documented, with 2 studies reporting SMRs of 2.18 and 2.43 in women with hip fracture and 3.17 and 3.51 in men with hip fracture (Center et al. 1999, Bliuc et al. 2009). The SMR after humerus fracture in our population was almost as high as the SMR after hip fracture. Remarkably, men with proximal humerus fracture had an even higher SMR.

We know of only 1 previous study that addressed mortality after hospitalization for upper extremity fracture (Deakin et al. 2007). The 1-year mortality in that British population after upper extremity fracture (4%) was similar to what we have observed (3%). A weakness of the British study was that it did not take account of the fracture site on the upper limb. The few previous studies on mortality after upper extremity fracture analyzed inpatients and outpatients as a single group. In addition, in those studies the populations were heterogeneous, the fracture sites were grouped in a variety of ways, and different methods were used to express mortality (Barrett et al. 2003, Johnell et al. 2004, O'Neill and Roy 2005, Deakin et al. 2007, Piirtola et al. 2008, Ioannidis et al. 2009, Huntjens et al. 2010, Morin et al. 2011). Thus, we cannot really compare our findings to mortality rates reported by others. The authors of only 3 studies have reported SMRs for fracture patients (Center et al. 1999, Bliuc et al. 2009, Melton et al. 2013). However, the 2 former studies did not address upper extremity fractures as a separate category, while Melton et al. collapsed different fracture site categories into larger groups. Mortality rates for upper extremity fracture cases treated in outpatient settings are likely to differ from those in inpatients, as cases with more severe injuries and comorbidities are more likely to be hospitalized. Comparisons of mortality in upper extremity fracture patients treated in inpatient and outpatient settings are thus important. However, to our knowledge there have not been any data reported concerning mortality in upper extremity fracture patients treated exclusively as outpatients.

Previous research has shown that comorbidity plays a role in the mortality associated with fractures (Barrett et al. 2003, Shortt and Robinson 2005). However, one-quarter of the increased mortality seen after hip fracture is causally related to the fracture itself (Kanis et al. 2003). In our population, the relative mortality after upper extremity fracture increased linearly for several years after the fracture, whereas the mortality related to hip fracture is highest in the first year after the fracture and then levels off (O'Neill and Roy 2005). This suggests that the upper extremity fracture in itself has only a minor influence on the death of the patient, and it may suggest that the fracture is an indicator of certain characteristics of the patient such as frailty, or a risky lifestyle. To our knowledge,

no one has estimated the direct effect of upper extremity fracture on mortality.

The relative mortality was highest in patients aged 16 to 39 years. This finding of increased mortality in younger people is in agreement with previous studies (Johnell et al. 2004, Shortt and Robinson. 2005). However, to our knowledge, no previous studies have examined mortality after hospitalization for upper extremity fracture in such a young patient population. Based on our registry data, we cannot speculate on the reasons behind this observation.

In a previous Finnish study, cardiovascular diseases accounted for half of the causes of death in men aged  $\geq 65$  years (Piirtola et al. 2008). The corresponding percentage in our analysis was smaller, accounting for one-third of all deaths. However, the rate of cardiovascular deaths in older women (49%) was in line with the findings of the previous Finnish study. Interestingly, deaths due to accidents and violence in older men were more common in our population (12% as opposed to 4%).

### Strengths and weaknesses

One strength of the present study was that adults of all ages were included. In Finland, all deaths and causes of death are recorded by Statistics Finland. We therefore had access to accurate data on the mortality of the fracture patients and to that of the general population. This also allowed us to calculate SMRs. SMR is a measure of mortality in a cohort in relation to the mortality in the general population. SMRs therefore enable accurate comparisons of mortality in different populations. Because of our population-based material, we believe that our results can be generalized to similar patients in other developed countries.

Our registry did not include data on patient comorbidities, so we could not study the influence of comorbidity on mortality. Upper extremity fractures are common. For proximal humerus fractures alone, an incidence rate of 105 per 105 for patients older than 60 years has been reported in Finland (Palvanen et al. 2006), as compared to 26 per 105 in our material (for all ages). Thus, several patients in the control population are bound to have sustained an upper extremity fracture. Therefore, our results possibly underestimate the increased mortality associated with upper extremity fractures.

AS: design of study, interpretation of data, and writing of manuscript; JP: design of study, interpretation of data, and critical revision of manuscript; HK: design of study, analysis and interpretation of data, and critical revision of manuscript; EL, MH: interpretation of data and critical revision of manuscript; IK: acquisition of data, design of study, interpretation of data, and critical revision of manuscript.

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No competing interest declared.

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