## Technical University of Denmark



# Hygienic design in food processing with focus on control of Listeria

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DTU

# Hygienic Design in Food Processing with Focus on Control of *Listeria*

Nordiska ministerrådets seminarium: Kontroll av Listeria monocytogenes

Scandic Triangeln, Malmö, Sweden; November 3, 2015

Gun Wirtanen DTU National Food Institute Lyngby, Denmark

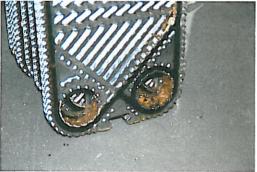
DTU Food General Lood Institute





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### Overall consequences of poor hygiene

### Reduced lifetime of process equipment

- · Increased cleaning & disinfection
- · Prolonged downtime of process line
- Costly repairs

### Product contamination

- Single cases influence the whole food industry
- · Bad reputation for retailer brands
- Closing of factories
- Law suits against leading staff
   National Food Total the Technical University of December 1



### We need to know...

- · How to construct
- · What to avoid
- · What to buy
- · How to clean & disinfect
- · How to evaluate



Priorities to ensure high quality and safe products:

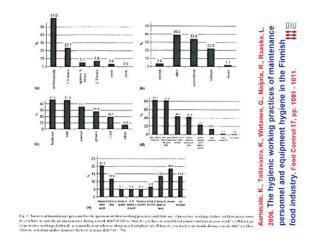
- 1. Remove soil (fat, protein, carbohydrates, salts & minerals)
- 2. Remove/kill microbes (cleaning/disinfection)
- 3. Avoid recontamination (rinsing/drying)

By combining proper design, correct cleaning procedures and use of effective cleaning agents & disinfectants we should be able to obtain as low microbial loads as possible in the process. This is also the best clue to the control of *Listeria monocytogenes*.

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Hayes, 1985

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# PARTIES INVOLVED IN PRODUCING HYGIENIC EQUIPMENT, WHICH CAN IMPROVE FOOD SAFETY



### Details in Hygienic Design:

- Materials must be durable in the process temperature interval, be non-toxic and inert to products (odour and taste), cleaning agents and disinfectants, be corrosion resistant, be wear and tear proof and be easily cleanable.
- The surface structure of the material must be smooth the surface profile properties e.g. shape, height and roughness can be measured - and free from crevices.
- Joints shall be shallow and polished to the same roughness as the surrounding surfaces
- Suitable materials in the gaskets shall be used since metal/metal joints are not tight.
- Equipment and process lines must be accessible and cleanable

### Details in Hygienic Design:

- Pipes and equipment should be self-draining.
- Dead spaces should be avoided.
- Fastners with e.g. nuts, bolts, screws and rivets shall be avoided in product contact areas. Alternative fastening methods should be used. Use domed heads
- Internal angels and corners should be aradiused to facilitate cleaning
- Bearings and shaft seals shall be mounted outside the production area to avoid contamination
- Instrumentation should be hygienic.
- Surfaces shall be construced to avoid dust accumulation

# Main EHEDG Guidelines in Hygienic Design of Processes and Their Equipment

Guideline 8: Hygienic equipment design criteria, 2004 Guideline 10: Hygienic design of closed equipment for the processing of liquid food, 2007 Guideline 13: Hygienic design of equipment for open processing, 2004 Guideline 34 Integration of hygienic and aseptic equipment, 2006 (undergoes extensive renewal)

Guideline 44: Hygienic design principles of food factories,

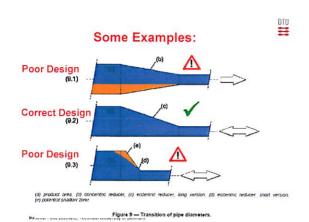
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### HYGIENIC DESIGN OF CLOSED PROCESS EQUIPMENT AND SYSTEMS

In Guideline 10 drawings on: 1) how to avoid crevices shadow zones and stagnant product areas, 2) how to connect and position equipment in a process line to ensure unhampered draining and cleaning-in- place etc. & 3) how to prevent leakages in processes and thus also product contamination:

- pipe joints (Fig. 1) swept tee (Fig. 10) metal-to-metal seal (Fig. 2), - flow diversion (Fig. 16) - O-ring seals (Figs 3-4) poor probe mounting (Fig. 12) - flange connection (Fig. 5) temperature probes (Fig. 15) - heating of sealing (Fig. 6) screw connections (Fig. 20) - dynamic seal (Fig. 7) vessel lid mounting (Fig. 19) metal plate welding (Fig. 18) - double shaft-seal (Fig. 8) pipe transitions (Fig. 9) vessel insulation (Fig. 21) - centrifugal and lobe pumps (Fig. 11) - dead legs (Figs 13-14)

pump by-pass arrangements (Fig. 17)



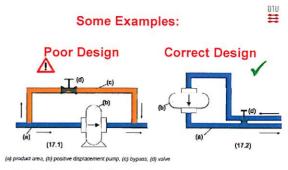
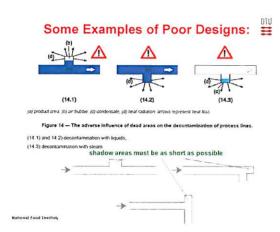
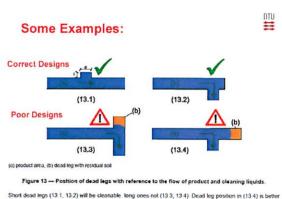


Figure 17 — Arrangements for positive displacement pumps with pressure relief valve or bypass.





ort dead legs (13.1, 13.2) will be cleanable, long ones not (13.3, 13.4). Dead leg position in (13.4) is better in in (13.3) due to the direction of the flow

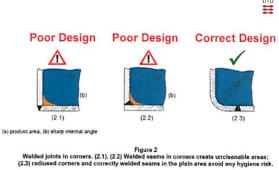
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# HYGIENIC DESIGN OF OPEN PROCESS EQUIPMENT AND SYSTEMS

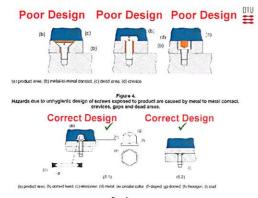
In Guideline 13 factors affecting operation hygiene and cleanability are dealt with using the following pictures:

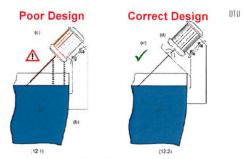
- corners (Fig. 2),
- screw joints (Figs 4 & 5)
- welded joints (Fig. 1)
- dismountable joints (Fig. 3)
- equipment rims (Fig. 8)
- drainability (Fig. 6)
- equipment covers (Fig. 10) - shaft arrangements (Fig. 11)
- stirrer blade attachment (Fig. 13) - equipment accessibility (Fig. 26)
- equipment fixed to floor/walls (Figs 24-25)
- product protection (Fig. 12)
- flange couplings (Fig. 14) - foot bearings (Fig. 15)
- belt reinforcement (Fig. 16)
- conveyor belts (Figs 17-19)
- framwork structures (Fig. 22)
- horizontal framwork (Fig. 23)

- framework cladding (Fig. 21) - walkway design (Fig. 27)



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is] (c) motor with fins [dead ereas] (d) thrower ring (e) self

Figure 12 unted over any exposed product can contaminate it by on sheets, covers, and cowls must be arranged to protect

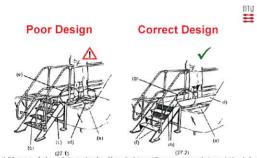


Figure 27
Walkways over exposed product. (27.1) Inadequate protection of product beneath walkway: (27.2) hyglenically designed walkway.

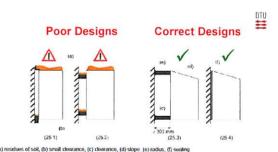


Figure 25 quipment fixed to walls. {25.1, 25.2} Horizontal surfaces or ledges retain soil and small clearances rapede cleaning between walls and equipment; {25.3} horizontal supports of equipment (see also Figure 23) must be radiused and properly fixed to the wall allowing sufficient clearance; {25.4} equipment can also be directly fixed to the wall if sealing materials are used.

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# Poor Design **Correct Designs** Δ (241) (a) product area, (b) rounded pedestal, (c) clearance, (d) sealed to the floor

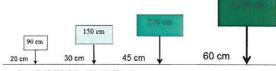
Figure 24

Equipment fixed to floors. (24.1) Underneath equipment with a small clearance to the floor, cleaning will be complicated; in addition, unradiused and improperly fixed feet, sharp corners and crevices at the fixing point cause hygiene risks; (24.2) feet properly fixed to rounded pedestals or (24.3) sealed to the floor with sufficient clearance characterise hygienic design.

# EHEDG Guideline Doc. 44 – Hygienic **Design Principles for Food Factories**

For cleaning and maintenance purposes a minimum clearance under the equipment, between equipment and/or from the wall is suggested as follows

- 20 cm clearance for ≤ 90 cm sized equipment
- 30 cm clearance for 90 150 cm sized equipment
- 45 cm clearance for 150 210 cm sized equipment
- > 60 cm clearance for > 210 cm sized equipment



### Control of Listeria monocytogenes



In the food industry L. monocytogenes is recognized as a problem, because of its ability to colonize surfaces and crevices

L. monocytogenes in biofilms can be persistent on food surfaces It can form biofilms

- in cold and in ambient temperated environments,
- on food contact surfaces
  - stainless steel and
  - elastomers
- on non-contact surfaces
- on glass

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### Control of Listeria monocytogenes



Listeria monocytogenes may persist in the food processing environment for years i.e. it can be difficult to eradicate it from the food processing area, when it once has got into the facilities

Here follows some examples of Listeria sources in the processing plants are

- conveyor belts
- cutters
- slicers
- coolers and freezers
- brining and packaging machines
- sinks
- drains

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### Control of Listeria monocytogenes



L. monocytogenes has been isolated from:

- unpasteurized and cross-contaminated dairy products e.g. raw milk, mastitic milk, pasteurized milk, ice-cream, butter and various types of cheeses
- fresh produces e.g. melons
- salads e.g. coleslaw
- cross-contaminated RTE-meat products e g. sliced cold meat and cold-cut deli meat "rullepølse"
- RTE-fish products e.g. rainbow trout roe, cold-smoked and gravad rainbow trout and salmon

These cases show that both cross-contamination and heat treatments in food production must be strictly controlled to prevent foodborne L. monocytogenes infections.

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### Control of Listeria monocytogenes



Disinfectants commonly used in the food industry, e.g. quaternary ammonium compounds (QACs), chlorine-based alcohol-based and peracetic acid-based have been shown to be effective against L. monocytogenes cells in suspension, but the biofilm formation as well as the presence of organic material impair the efficacy of the disinfectants.

L. monocytogenes strains can adapt to the disinfectants in places, where the disinfection after the cleaning is not effective enough e.g. when the agent is used in suboptimal concentrations at cold temperatures.

L. monocytogenes can also survive in lubricants used in the food-processing industry, be transferred to stainless steel surfaces from lubricants and vice versa

### Control of Listeria monocytogenes



9	H N Yes et al.  Table 2. Susceptibility of Planksone L mesocytogenes to 19 H <sub>2</sub> O <sub>2</sub> *					
	Cell numbers (log CFU) and P (by of University)					
Stratu	Untraled	10 mon	20 mm"	\$0 ms		
106	9.90 ± 0.00 (100)	9 10 t ((01 (98.95)	< 1 <sup>14</sup> , 111)	<1.0		
V7	9.24 ± 0.67 (\$6x))	8 76 ± 6.00 (91.81)	3.53 ± 0.10** (36.0)	41.0		
17	9.56 ± 0.06 ( (cc)):	993 ± 6001 194 461	1.50 ± 0.25 (1.539)	<14		
LCDC	9.41 ± 0.01 (100)	8.68 ± 0.02 (92.24)	< 2 <sup>101</sup> (Q)	<110		
1982	9.27 ± 0.03 (100)	8.36 ± 0.01 (90 IS)	1 15 ± 0 21 th (12 41)	<1.0		
Scott 4	9.54 ± 41.05 r tum	K.61 ± 0.00 (90.25)	1.96 ± 0.36(*) (20.51)	<1.0		
18	9.12 ± 0.04 (100)	K.20 ± 602 (89.91)	· P <sup>(1)</sup> (0)	et p		
10	9.00 ± 0.00 ( 0.00)	X 14 ± 0.02 (89 /5)	< \$500 till	<110		
101	10H+000 ± 18.9	N. 73 ± 0.03 (NO.32)	< I** (0)	4116		
Bnc t	9.63 ± 0.00:1100s	N 42 1 0006 (N7,44)	« I <sup>ter</sup> (0)	e1 18		
1999	9.11 ± 0.04 ±100	7.06 ± 0.01± (77,50)	262 1 0.01 (28.75)	et (t		
V 37	9,51 ± 0,00 (100)	6.69 ± 0.01 (70.35)	~ F <sup>fee</sup> (dD)	< 1.18		

Walses with a rich column with the same letters (a) (i) are not significantly different (a) (.015).

Coll number is given in log general (2) standard decision.

Succeptibility groups of L incompanyons stands were closefied by narrival rate after an H-D, traument for 20 note.

Yun, H.S., Kim, Y., Oh, S., Jeon, W.M., Frank, J.F., Kim, S.H., 2012. Susceptibility of Listeria monocytogenes biofilms and planktonic cultures to hydrogen peroxide in lood processing environments. Bioscience. Biotechnology, and Biochemistry 76, 2008-2013.

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### Control of Listeria monocytogenes



Stran	Cell numbers stop CFC CFFC to at Learnests						
	Unrepared	No freesacte 1	let str-growth.	2nd trustment	Intro-powd	3nd treatment	ind re-garris
9862	9.02 ± 6.04 ± 2004	A SH PROPERTY	\$30 ± \$42° (97.5%)	\$22 ± 0.13** (9) \$1-	EAS = DOS * A15 90;	\$21±064" (9:30)	8.85 ± 8.16 <sup>th</sup> (90 12)
104)	9 16 ± 9 (811)001	197+000" (8766)	9.01 + 680° (99.34)	790 - 802" (86.74)	865 + 008 - (94.47)	7.92 ± 0.01" (M.4n)	8.95 4 B 615 (97 89)
1"	9.20 ± 8.04 (300)	196±800° (967b)	\$95 ± 832" / (97.2%)	7% x 0.07 -66 52	981 ± 1108 197 975	1.61 ± 0.00° (67.07)	4.25 ± 0.05" (100.54
LCDC	9 % ± 8 10' 13001	1.60±000" (70.92)	936±967* (95.90)	7.80 ± 0.05" -78.92	\$36 % D.05* (\$4.40)	8 99 ± 0 00" (\$\inf\$ 11)	9.72 ± 0.64* (95.49)
1×	4.35 ± 0 (0. c)(0)	7 21 ± 0 020 (76 37)	7 39 ± 8027 178.76	\$18 2 647" 3520	7.25 ± 0.02 ° (37.29)	4 17 ± 0 01 F (44 46)	7.56 ± 0.09" (\$0.60)
Seve A	10 03 + 6 50 1500 <sub>1</sub>	2 % + 0.07° (75.57)	\$18+600" (\$1.56)	\$15-807 (61.5)	** FAIRY "FIRST # FRIE"	124 651 "14 0 ± 182"	6.18 ± 0.00° (n) 621
300.1	9.15 ± 0.06 (100)	425±600" (68.51)	\$75 ± \$62" (71.7")	4.40 ± 0.00° +45.000	7.60 ± 0.00" (\$1.00)	4.43 2.0 12" (48.42)	7.79 ± 0.01° (15.12)
6116	9.59 : 0.03 (200)	6.77 ± 9.18" (67.84)	9 15 ± mer* 190 44	740 ± 8:00° (83.85)	930 - 010 - 196 131	\$ 13 ± 0.01 1 150.581	8 18 ± 0 00" (K" 31)
1.	4 10 ± 0 05 ±200 r	6.22 x 884" (8674)	714 + 600° (364)	196 1 8 127 -42 49	AA" - unt - ourt,	7 19 2 8 21 477 151	6 10 1 0 0915 165 45
No.	4.55 ± 0.07 x 250x	\$ 25 ± 0 00° 165 45	Tan : 822" (7/3)	\$41 ± 0 1242 - 96.64	431 2 0 16 1 1035	, t= (0)	196 ± 0.08" -31.4";
100	931 ± 0.091500)	212±010* (9219)	8.27 7 8.17" (\$4.30).	8-81 -: 8102 181.26	2.79 ± 0.00° +79.41s	190 ± 0 89° (90,53)	1.55 + 0.12 ( ) 75 (2)
Brec I	9 % + 4 (C + EO)	199 ± 0.05% (40.05c)	5.53 + 607" (64.05)	120 + 0.00% - 17.00	535 ± 0.00° (12.00)	1 m + 0 mm + 11 m.	5.95 + 0.0m* (5) T4:

Yun, H.S., Kim, Y., Oh, S., Jeon, W.M., Frank, J.F., Kim, S.H., 2012. Susceptibility of Listeria monocytogenes biofilms and planktonic cultures to hydrogen peroxide ifood processing environments. Bioscience, Biotechnology, and Biochemistry 76, 2008-2013.

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### Control of Listeria monocytogenes



Stracto	Cell numbers (log CFV 7GFFF (% of Universet)						
	Universed	is trained	Le re-growth	2nd treatment	Ind to growth	3rd treasment	3rd re-growth
1981	9.02 ± 6.04 (100)	673 ± 0.01 = 174.611	7.55 ± 0.00° (83.70)	7.50 ± 0.13° (8145)	8 94 ± 0.06 × 199 11 -	7.12 ± 0.06 * (78.94)	K94 ± 0.01 <sup>th</sup> (99.11)
1940	9 16 - 0.00 (100)	5.22 + 0.61 Ch 99	7.54 + 0 (0" (42.31)	6.36 : 0.04 % (69.45)	\$ 17 : 0 01" 188 %	7.18 ± 1,00° (78.3%)	K 20 + 0.050 - 195 W-
RIF	9.15 ± 6,06 (100)	3.70 ± 0.007 +40.44	6.47 ± 0.01° (70 Tis	e (*) (0)	6 40 2 0 106 109 95 ;	248 = 0.00% (248.5)	578±0.00° (63.12)
70	955 > 0.014100)	338 1028- 135301	4.80 ± 0.03° -50.261	*1a. '0)	< 1° (0)	(1 th (0)	<18 (0)
LCDC	9.76 ± 0.07 (100)	344±0 (2°4 (3525)	5 29 = 0 05" (\$4.20)	-14 (0)	< f <sup>(p)</sup> (0)	×1 <sup>86</sup> (0)	3.22 ± 0.00" (72.9%)
V7	932 ± 0.05 (100)	283 ± 0 92**** (30 36)	5.74 ± 0 19" (61 59)	241 = 0.341 (25.86)	8.67 ± 0.00° (91.03)	3.34 ± 0.12 4 (35.84)	411 ± 0.00°144.10;
18	938 ± 0,06 (100)	277±034" (29:00)	6.63 ± 0 18°C (70.68)	4.18 = 0.00~ (44.56)	7.04 ± 0.051 (74.73)	3.35 ±0.18° (35.71)	7 18 ± 0 50FE (76.55
13	9.20 ± 0.04 (TOD)	261 20 31" (28.59)	7.60 ± 0.09" (82.61s	527±005**0126	1 96 ± 0.024 (86.52)	3 76 = 0.13 440.87.	799±00° 8635
Scott A	10.03 ± 0.04 (100)	239±0.55* (23.83)	8.88 ± 0.67° (86 53)	4.56 ± 0.06 th 145 460	7 18 ± 0.00° (71,5%)	2 33 ± 0.21° (23 23)	618±000° (61.62;
132	9 81 ± 0.09 (100)	2 33 ± 0.21" (23.75)	1.61 ± 0.60 (P.5%)	2.53 ± 0.217 (23.75)	8 10 ± 0 21" (32.57)	3.07 ± 0.16" (31.29)	5.57 ± 0.04° 157.50
106	9.39 : 0.03 (100)	<12 (0)	\$ 70 + 0.037 (92,65)	4.83 - 0.03° (51.44)	150 : 0.05* (79.57)	4.61 - 0.01% (49.09-	6.18 ± 0.07" (65.81)
Bae I	996 = 0.02 (100)	<14 (0)	4 18 : 0.00" (41 97;	· 1 2 (0)1	4 99 ± 6 05" (50.10)	257 ± 0.12° (25.80)	712 ± 0.01° 171.49

Where within each common with the same letters using our test against rate of different to  $\sim 0.05$  s. Test number in group in the  $\mu$ -colls/GPF + modered devication.

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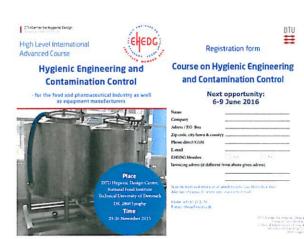
# **Activities** at **DTU Hygienic Design Centre**

- Consulting equipment manufacturers and food producers
  - Testing based on EHEDG GL Doc 2 of closed processes, which is in most cases a part of the certification procedure
  - Evaluation of hygienic design in food and biotech processes from 2016-17
- Training and education in hygienic design
- > Development of test method(s) for certification of open process equipment

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# Courses at **DTU Hygienic Design Centre**

- 2 d basic course in hygienic design (HD) on equipment for Equipment Manufacturers once a year at DTU in late-September 2016
- The basic course can be tailored (1 d or 2 d) for food producers and food building designers in and held in the premises of the client
- 2 d course "Inspection Procedures in Food/Biotech Process Design" held at DTU by Dr. Roland Cocker in English March 8-9, 2016
- 4 d Advanced course in hygienic design (with exam) is held at DTU once a year, next possibility June 6-9, 2016
- More information at the home page: www.hdc.food.dtu.dk





-			-
Day 3			Day 4
1000			19
04.15-06.10	Registration and coffee lea	06.15-08.30	Registration and coffee tes
08.30 - 09.15	Static seals and couplings	06.30 - 99.15	Cleaning & Disinfection - Cleaning Procedures in Open and Closed Processes
09.15 - 20.00	Fluid dynamics	99 15 ~ 16,00	Cleaning and disinfection. Cleaning agents & disinfectants.
10.00 - 10.30	Coffee/tea break	10.00 - 10.36	Confectors barak
1930 - 1115	Varies	10.30 - 11.15	Freedgrade Inforcarits
11.15 - 12,00	Pumps (dynamic seals) and case study on pumps	11.15 - 12.00	Exam (aids allowed)
12.00 - 13.15	Lanch break	12.00 - 13.15	Lunch break
1335 - 1400	Heat treatment (heat transfer)	13.15 - 14.00	integration, installation and maintenance
14.00 - 15.10	Group work 2 - 3: Hystenic design of various process froms, surface hygiene and EHEDG sext	14.00 - 14.45	Building and process layout
	procedure for closed equipment	14.45-15.90	Concluding remarks, course certificates and course evaluation by participants
15.30 - 16.00	Coffee/Fea Bresk	15.30 - 16.00	Coffeeting bleak with sandwiches
16.00 × 17.30	Group work 3 - 3: Hwatenic design of various process team, surface bygiene and \$1101H3 test procedure for closed equipment	16.00 - 16.45	Bus to Copenhagen and thereuther to the hotel for those who are staying until Friday

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### SUMMARY

- Hygiene aspects should be in focus when designing both equipment and process lines - saving money & time
- Legislation do not contain any detailed instructions for hygienic design. There are guidelines and standards available e.g. by EHEDG, 3-A SSI, NSF, ISO and BRC.
- Wrongly designed constructions are the major reason for poor hygiene in equipment; attention should be paid to hygienic design when purchasing equipment.
- Listeria monocytogenes must not be allowed to build biofilms because it is a very hard microbe to eradicate from the facilities.



#### Thank You for Your Attention!

### **My Contact Information:**



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