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Bhowmik, Arghya; Malik, R.; Prakash, S.; Sarkar, Tanmay ; Bharadwaj, Mridula Dixit ; Aich, S.; Ghosh, Sourov

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Classical molecular dynamics and quantum ab-initio studies on lithium-intercalation in interconnected hollow spherical nano-spheres of amorphous Silicon

A. Bhowmik^{1, 4}, R. Malik², S. Prakash³, T. Sarkar⁴, M. D. Bharadwaj⁴, S. Aich² and S. Ghosh^{2*}

¹Atomic Scale Modelling and Materials, Department of Energy Conversion and Storage, Technical University of Denmark, Rios Campus, Frederiksborgvej 399, DK-4000 Roskilde, Denmark

²Department of Metallurgical and Materials Engineering, Indian Institute of Technology Kharagpur, Pin-721302, India

³Defense Metallurgical Research Laboratory, Hyderabad, India

⁴Center for Study of Science Technology and Policy, Bangalore-560094, India

*Corresponding author, e-mail: sudipto@metal.iitkgp.ernet.in

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Abstract

A high concentration of lithium, corresponding to charge capacity of ~4200 mAh/g, can be intercalated in silicon. Unfortunately, due to high intercalation strain leading to fracture and consequent poor cyclability, silicon cannot be used as anode in lithium ion batteries. But recently interconnected hollow nano-spheres of amorphous silicon have been found to exhibit high cyclability. The absence of fracture upon lithiation and the high cyclability has been attributed to reduction in intercalation stress due to hollow spherical geometry of the silicon nano-particles. The present work argues that the hollow spherical geometry alone cannot ensure the absence of fracture. Using classical molecular dynamics and density functional theory based simulations; satisfactory explanation to the absence of fracture has been explored at the atomic scale.

1 Introduction

In commercial lithium ion batteries, graphitic carbon has been used as an anode material because of high discharge voltage, high cycle life and low cost. The available capacity of graphitic carbon is approaching near the theoretical value (370mAhg^{-1}) [1]. In order to improve capacity of lithium ion secondary battery, silicon, instead of graphitic carbon is explored as an anode material because of its high theoretical capacity (4200mAhg^{-1}), which

corresponds to $\text{Li}_{22}\text{Si}_5$ formation [2, 3]. The theoretical capacity of silicon is 11 times higher than that of the graphitic carbon. Unfortunately, lithiation-de-lithiation in silicon is associated with large volume change which causes cracking of silicon leading to poor cycle life [4, 5]. Graphite anodes use particles in the size range of 15 -20 microns. If silicon particles of the same size are used instead of graphite, anode will fail within few cycles due to the fracture.

One of the promising ways to reduce the mechanical stress and therefore to increase the cycle life, is reduction of the particle size to nano-meter range. The same has been demonstrated in several publications [6-8], which show that with nano-scale materials it is possible to reach capacities up to 1700 mAh/g, together with reduced fading. Additionally, adding carbon in the form of nano-silicon/carbon composites improves the stability significantly [9]. However, compared to common graphite electrodes, such materials still suffer from a relatively low cycle life and high fading. In contrast, composite electrodes based on nano-silicon inclusions in carbon aero gel were shown by Wang *et al.* to offer a stable charge capacity of 1450 mAh/g [10]. The same group also prepared a promising high-capacity composite electrode by ball-milling, but these electrodes still suffer from relatively high fading [11]. In the past, attempts were made to limit the amount of lithium inserted into the silicon and thus reducing the volume expansion [12]. This approach can offer relatively higher number of cycles with low capacity fading but compromises on capacity utilization.

Recently, Yao *et al.* [13] have found that interconnected hollow nano-spheres of amorphous silicon is a potential candidate for anode, having better cycle life and considerable capacity retention up to 700 cycles. This was attributed to the fact that the maximum tensile stress during lithiation is significantly lower for a hollow sphere as compared to a solid sphere. Thus the interconnected hollow nano-spheres are capable of accommodating large volume expansion without fracture. Considering poor fracture toughness of silicon [14], additional factors responsible for the high cyclability need to be explored. Yi Chi *et. al* proposed a new hierarchical structure of silicon anode which is of the shape of a pomegranate where the nano-particles of silicon is encapsulated by a conductive layer of carbon which in turn resulting in superior cyclability, high columbic efficiency and volumetric capacity [15], because of the sufficient void space created for expansion and contraction during lithium intercalation. Similar objective of accommodating volume expansion by creating void space in different forms such as hollow core shell, nonporous microspheres, hollow double-walled nano-tubes and hollow yolk-shell are addressed in various publications [16-22].

Classical molecular dynamics simulations, carried out in the present work, reveal significantly lower atomic density in interconnected hollow nano-spheres of amorphous silicon as compared to that of bulk amorphous silicon. Subsequent simulations which are based on density functional theory suggest that the reduction in atomic density results in significant decrease in the lithiation strain and smaller band-gap in the lithiated amorphous silicon. These, in turn, lead to high fracture toughness and good cyclability. Thus high cyclability in interconnected hollow nano-spheres of amorphous silicon, observed by Yao *et al.* [13], can be attributed to its lower atomic density. The phenomenon of reduction in atomic density of amorphous materials when the particle size is reduced to nano-range was first discovered by Gleiter [23]. Classical molecular dynamics simulations on Cu-Ti [23] and Cu-Zr [24] amorphous systems and experimental measurements in other systems like Sc-Fe [25] have revealed that the lowering in atomic density is due to diffusion of free volume from interfaces formed between glassy nano-particles.

2 Simulation Methods

2.1 Molecular Dynamics Methodology

All the computations are performed on the LAMMPS platform [26] using Stinger-Weber (SW)[27] potential for silicon. Diamond cubic crystalline structures of silicon have been generated in simulation boxes of 3 different sizes, viz. i) $30 \text{ \AA} \times 30 \text{ \AA} \times 30 \text{ \AA}$ (ii) $60 \text{ \AA} \times 60 \text{ \AA} \times 60 \text{ \AA}$ and (iii) $100 \text{ \AA} \times 100 \text{ \AA} \times 100 \text{ \AA}$. Periodic boundary conditions in all three directions were applied to the systems. NPT ensemble was applied using Noose-hover algorithm. The system was heated to 2000K (above 1687K, the melting point of pure silicon) to melt it completely and then, quenched to form amorphous silicon structure. **During simulation the heating rate was 1.7 K ps^{-1} while the cooling rate varied from 121.4 Kps^{-1} to 425 Kps^{-1} .** Nano-shell of amorphous silicon was extracted out of the block shaped amorphous silicon for further simulations. Table 1 gives the radii and centers of the spherical cavities within nano-spheres, which were enclosed in the cubic simulation boxes. In each case the system was allowed to equilibrate at 300K, 750K and 1200K under atmospheric pressure for 0.2 ns. **In all the classical molecular dynamics simulations the time-step was equal to 0.002 ps.**

Table 1: Dimensions of spheres of amorphous silicon having cavities

Computation of local density was carried out by moving a cubic sampling region, having dimensions of $5 \text{ \AA} \times 5 \text{ \AA} \times 5 \text{ \AA}$ and having edges parallel to the edges of the simulation box, from one corner to the opposite corner of the simulation box. The movement of the sampling region within the simulation box was carried out in the following manner.

(a) A corner of the sampling region, in its starting configuration, coincided with that of the simulation box.

(b) The corner of the sampling region was displaced from $(0 \text{ \AA}, 0 \text{ \AA}, 0 \text{ \AA})$ to $(95 \text{ \AA}, 95 \text{ \AA}, 95 \text{ \AA})$ by increasing the x , y and z coordinates from 0 to 95 \AA in increments of 2.5 \AA , using nested loops.

In this manner, the entire region within the simulation box is systematically covered and the sampling box does not step outside the simulation box.

For each of the positions of the cubic sampling region, the number of Si atoms within the sampling region was found out based on atomic coordinates. The number of

atoms lying within the sampling region divided by the volume of the sampling region, i.e., 25.0 \AA^3 gives the atomic density corresponding to the center of the sampling region. This information was plotted as contour. The average atomic density was computed by eliminating regions with zero atomic densities and those regions where density drastically dropped to low value. These are the computed values in sampling regions, which are adjacent to sampling regions having zero atomic density.

2.2 Density Functional Theory (DFT) Based Methodology

Eight different atomic configurations of amorphous silicon were obtained in the following manner.

- (1) Amorphous structures (having different atomic densities) were generated by carrying out classical MD simulation of annealing of nano-shells at different temperatures.
- (2) Subsequently several $10 \text{ \AA} \times 10 \text{ \AA} \times 10 \text{ \AA}$ cubic sub-cells were from these structures.

These configurations, hereafter referred to as I, II, III, IV, V, VI, VII and VIII had different number of atoms and different atomic densities. They were used for DFT studies on (a) volume expansion accompanying lithium insertion and (b) electronic structure resulting from the lithium insertion. For DFT simulations, model test cells were first relaxed for atomic positions and then for both cell parameters and atomic positions. Lithium insertion was modeled by adding 27 lithium atoms at uniform grid points (3x3x3) inside the simulation boxes. After inserting the lithium atoms in the four configurations, the lithium atoms were first relaxed, keeping the silicon atoms fixed. Subsequently the silicon atoms were allowed to relax along with the lithium atoms. Finally, the cell parameters were optimized to get overall pressure $< 0.1 \text{ k bar}$ (Error! Reference source not found.1). The DFT calculations were performed using projector augmented wave (PAW)[28] method with GGA functional (PBE type [29]) as implemented in VASP [30]. 450 eV was assigned as the wave function cutoff and Brillouin zone was sampled with $2 \times 2 \times 2$ k-point mesh.

Formation energy with respect to bulk crystalline silicon, of different amorphous silicon configuration is tabulated in Table 2. Amorphous Si being metastable, formation energy is positive and that for different configurations are quite comparable

Table 2: Formation energy of different structures under consideration using DFT in this study

The current approach is different from that adopted by Chevrier and Dahn [31]. They had used a portion of pre-computed amorphous Si structure. Li atoms were inserted in the structure one at a time and each insertion were followed by relaxation of the structure. Any insertion of Li atom was done at a point having the maximum distance from its nearest neighboring atom and the subsequent relaxation was subjected to a constraint, namely, the increase in the volume of the cell as a result of intercalation of single Li is fixed. As our aim was to study variability of volume increment, this method is not appropriate for our study.

Rohrer and Albe [32] took larger simulation cell. Their starting structure was crystalline Si and using DFT based molecular dynamics they showed the structural changes occurring during the Li intercalation and de-intercalation. Their work has led to important conclusion that poor cycle ability occurs due to phase separation that accompanies the massive expansion and contraction during the intercalation and de-intercalation, respectively. Like Rohrer and Albe [32], large enough simulation cells have been taken and Li atoms have been randomly inserted in the present study. However, DFT-based molecular dynamics has not been used here, as there was a need to sample a larger number of metastable structures with varying atomic densities to study the effect of atomic density on volume expansion during Li intercalation. Further, to maximize the chances of getting stable structures, after starting from a random distribution of Li atoms, the Li to Si ratio was mostly varied between 0.5 and 0.7. Interestingly, Kim *et al.* [33] found good stability of crystalline phase for percentage of lithiation atom varying between 0.65 and 0.75. This lithiation level is 2.33 by our definition of lithiation level, thus significantly higher than our models. At higher lithiation level, crystalline phase is expected to be stable. Comprehensive work on electronic and vibration properties of crystalline phases were done by Chevrier *et al.* [37].

While being computationally less demanding, regular GGA functional (PBE) underestimates band gap significantly and the density of states (DOS) plot do not show proper band gap. Therefore we have performed the DOS calculations using PBE0 hybrid exchange-correlation functional. While PBE functional is known to severely underestimate band gap, it is fairly accurate in predicting structure for materials involving s and p block elements like lithium and silicon, while being much less computationally expensive than PBE0 functional. Therefore, we studied structure using PBE functional and calculated electronic structure using PBE0 functional.

A grid-based Bader code[34] was used to analyze the Bader charges of atoms in lithiated silicon. In this method the simulation box was divided into several atomic zones such that the zone boundaries had zero electron density gradients at every point. The net charge transfer from lithium to silicon atoms was computed using effective Bader charge on lithium atoms.

Figure1: Lithiated and pristine configuration for higher (50 atoms) and lower density (43 atoms) amorphous Si with bond connectivity. (a) and (c) show structures for high density and low density amorphous silicon configurations before lithiation. (b) and (d) show structures for high density and low density amorphous silicon configurations after lithiation. Lower connectivity and higher porosity in low density silicon

3 Results and Discussion

Atomic density of interconnected hollow nano-spheres of amorphous silicon, computed using classical MD simulations has been reported in Section 3.1. The computed atomic density in hollow nano-spheres was found to be lower than that in bulk amorphous silicon. DFT simulations for computing the volume expansion accompanying lithiation were carried out for **eight** different configurations of amorphous silicon having different atomic densities. Section 3.2 reports about the volume expansion during lithiation. The lowering in atomic density had a significant effect on the volume expansion accompanying lithiation as well as on the electronic state. **The density of states (DOS) for two configurations, in both de-lithiated and lithiated states, are reported in Section 3.3.** This section also reports charge transfer from lithium to silicon.

3.1 Atomic density in interconnected hollow nano-spheres of amorphous silicon

Figure 2 shows atomic density contour of bulk amorphous Si at 300 K, generated according to the procedure described in Section 2.1. The nano-shells which have been annealed at different temperatures, subjected to periodic boundary condition, have been cut out from this structure. Figure 3 and Figure 4 show the atomic density contour in nano-shells, initially having the same structure as bulk amorphous Si at 300 K, after annealing at 300 K and 750 K respectively. The contour pattern does not change as a result of annealing. However there are other effects of annealing, which are as follows.

Figure 2: Contour plot of atomic density of bulk amorphous Si at 300K

Figure 3 shows the contour of atomic density in amorphous silicon obtained after annealing a periodic array of nano-shells (shell thickness = 25Å) at 300 K. The cavity of silicon nano-shell was more or less stable at 300K as depicted in Figure 3. Volume expansion was negligible at this temperature. However, the scenario was different at higher temperatures. As can be seen in Figure 4, at higher temperature (750 K) the thickness of shell increased and the cavity-size decreased due to diffusion of atoms towards the cavity or diffusion of free volume into the shell. The diffusion occurred over a short period of 40 ps. **Due to that process the atomic density within the shell decreased, as evident from the data reported in Table 2. Table 3 reports the computed average atomic density within the shell region.** The decrease was significant at 750 K and 1200 K (Table 3).

Nano-particles have extremely high surface area to volume ratio (S), which, in the case of a nano-shell is given by

$$S = \frac{4\pi r^2 + 4\pi(r + \delta)^2}{\frac{4}{3}\pi(r + \delta)^3 - \frac{4}{3}\pi r^3}$$

Or

$$S = \frac{2r^2 + 2r\delta + \delta^2}{\frac{1}{3}\delta^3 + r^2\delta + \delta^2 r} \quad (1)$$

Substituting $\delta = cr$, where δ and c are shell thickness and shell thickness to radius ratio respectively, we get

$$S = \frac{\frac{1}{cr}(\frac{2}{c^2} + \frac{2}{c} + 1)}{\frac{1}{3} + \frac{1}{c^2} + \frac{1}{c}} \quad (2)$$

Equation (2) suggests that the ratio reduces with increasing c . Thus, the shrinkage of cavity or increase in c at 750 K was driven by lowering of free energy due to reduction in surface area. On the other hand, the observed lowering of atomic density would increase the energy per atom and hence would oppose the increase in c . In the present study the c increased to an equilibrium value, suggesting that the two forces are balanced.

This shrinkage of cavity and reduction of atomic density within the nano-shells occur in extremely short duration due to high self-diffusivity of silicon in its amorphous form, which are many orders of magnitude higher than that in crystalline silicon. At 750 K the drop in the average atomic density within the nano-shells is ~15%. On the other hand, at 300 K, the decrease in the atomic density of the nano-shell is much lower (for duration of 0.2 ns), due to significantly lower self-diffusivity of Si. Thus, considering the following facts

- (i) The driving force to reduce surface area at the cost of decrease in atomic density is present above 300 K, as suggested by the results shown in Figures 3-4 and Table 3.
- (ii) The kinetics was fast enough at 750 K, leading to ~15% reduction in average atomic density of the nano-shells within less than 0.2 ns. Kinetics becomes sluggish as the temperature is reduced to 300 K (Figures 3-4 and Table 3).
- (iii) Yao *et al.* [13] deposited the amorphous Si at ~750 K and subsequently it was cooled down. The deposition was done over a period of time (20 min) which is orders of magnitude higher than 0.2 ns.

it can be theoretically concluded that atomic density in the interconnected hollow nano-spheres of amorphous silicon, deposited by Yao *et al.*[13], was at least 15% lower (see Table 3) than that in bulk amorphous silicon.

There are two kinds of cavities in the interconnected hollow nano-spheres: (i) hollow region inside a nano-sphere and (ii) inter particle void region. It must be noted that the shrinkage of the latter was more prominent.

Figure 3: Contour plot of atomic density at 300K showing stability of the nano-shell

Figure 4: Contour plot of atomic density at 750K showing stability of the nano-shell

Table 3: Average density of bulk and nano-shell amorphous silicon at different temperatures

3.2 Volume expansion as a result of lithiation

Volume change associated with Li insertion was studied using DFT based simulations. As a result of the Li insertion, simulation boxes expanded for all the configurations. **The percentage volume expansion (hereafter referred to %VE) varied from 26.6% to 109.8%. Table 4 reports %VE for the eight different structures, having different atomic densities. It can be seen that the %VE depends, not only on the pre-lithiation atomic density (hereafter referred to as PLAD) or pre-lithiation density (PLD), but also on the extent of Li insertion. Therefore, in order to study the effect of PLAD (or PLD) on the volume expansion, the %VE was normalized by the degree of lithiation or the Li to Si ratio(x). In other words the percentage volume expansion per degree of lithiation (%VE divided by x and hereafter referred to as %VE_x) was computed and its variation with the pre-lithiation density or PLD is reported in Table 4. The same has been plotted in Figure 5. %VE_x significantly increased with PLD. Based on the best fit plots in Figure 5 the following important conclusions can be drawn.**

- (1) The variation of %VE_x with PLD can be treated as linear.
- (2) Fluctuation around best fit line through data points in Figure 5 is there. This is expected because there are subtle differences between any two glassy structures, apart from the atomic density.
- (3) The %VE_x versus PLD slope reduces with the degree of lithiation or x.
- (4) For x varying in the range: 0.16 to 0.186, 8.53% reduction (from 2.437 gm cm⁻³ to 2.29 gm cm⁻³) in PLD resulted in 67.7% decrease (from 75% to 7.3%) in the %VE_x. Extrapolating the best fit line to ~15% reduction in the PLD (as predicted

- using classical MD simulation and reported in Section 3.1) ~119% reduction in the %VE_x is expected.
- (5) For x varying in the range: 0.54-0.711, 8.53% reduction (from 2.437 gm cm⁻³ to 2.29 gm cm⁻³) in PLD resulted in ~26 % decrease (from ~71% to ~45%) in the %VE_x. Extrapolating the best fit line to ~15% reduction in the PLD (as predicted using classical MD simulation and reported in Section 3.1) ~45.7% reduction in the %VE_x is expected.
- (6) For x varying in the range: 1.28-1.6, 8.53% reduction (from 2.437 gm cm⁻³ to 2.29 gm cm⁻³) in PLD resulted in ~11% decrease (from ~74% to ~63% in the %VE_x. Extrapolating the best fit line to ~15% reduction in the PLD (as predicted using classical MD simulation and reported in Section 3.1) 19.8% reduction in the %VE_x is expected.

The prediction of stress by Yao *et al.* [13] was purely based on the geometrical aspect at the mesoscopic scale. Based on the geometrical considerations they predicted a drop in lithiation stress from >400 MPa to ~75 MPa. In the present study an additional phenomenon of lowering of atomic density has been shown to further reduce the lithiation strain and stress to significant extents, especially at early stage of lithiation.

Table 4: Density, volume, percentage volume expansion, degree of lithiation and percentage volume expansion per degree of lithiation of different configurations of amorphous silicon

Figure 5: Variation of percentage volume expansion per degree of lithiation with density of initial amorphous Si

To validate that the structure under consideration are representative, we calculated the lithiation energies computed from bulk lithium reference (Table 5). As the lithiation energies are comparable, we can establish our inference based on these set of structures.

Table 5: Average lithiation energy for different structures

3.3 Effect of reduced atomic density on electronic structure of lithiated amorphous silicon

Density functional theory (DFT) based simulations revealed that the electronic structure is modified significantly upon lithium insertion. Fig. 6 shows the DOS of two amorphous Si configurations, both before and after lithiation, obtained using hybrid density functional (PBE0). Band gap decreases due to Li insertion, leading to metallic system with high Li content. The variation in band gap between different test cells arise from vastly different coordination for Si atoms. As lithium valence electrons are transferred to Si orbital, electronic band gap diminishes. Similar effects have been studied by Kim *et al.* [33]. Lithium atoms behave as ionic species in all test cells. Irrespective of the model system and lithiation level, the charged state of Li remains same ($\sim +0.8$) as calculated from Bader charges [Table 6]. While our results do not match with the results obtained by Chevrier *et al.* [35] but our results, agree well with the more recent work (0.83 e per Li atom) by Moon *et al.* [36].

Pre-lithiation atomic density had significant influence on DOS of both pre-lithiated and lithiated structures for low degree of lithiation. For low degree of lithiation, the band gap is significantly higher for structure with low pre-lithiation density. This suggests that atomic density also alters the electron distribution, which is known to influence the fracture property.

Figure 6: Electronic DOS of amorphous Si configuration 1 and 4 (unit of energy = eV) for different degrees of lithiation calculated using hybrid functional

Table 6: Amount of charge transfer from lithium to silicon atoms in different configurations

4 Conclusions

(a) Yao *et al.* [13], for the first time, synthesized interconnected hollow nano-spheres of amorphous silicon. The interconnected nano-spheres exhibit high Li holding capacity as well as high cyclability when used as anode material. Although they have attributed the high Li

holding capacity and the high cycle ability to hollow geometry leading to significant lowering of intercalation stress, the present study identify another equally important mechanism behind lowering of intercalation stress.

(b) Classical molecular dynamics simulations using Stlinger-Weber (SW) [27] potential for silicon, reveal that the atomic density of interconnected hollow nano-spheres of amorphous silicon is significantly lower (~15%) than that of bulk amorphous silicon.

(c) It was found that the lowering of atomic density significantly reduced the intercalation strain or the volume expansion upon lithiation. The decrease in the lithiation strain, in turn decreased the lithiation stress. The volume expansion upon lithiation was estimated using density functional theory (DFT) approach.

(c) The lowering of atomic density also resulted in change of density of states (DOS) upon lithium intercalation. This also indicates possible shift to metallic character

(d) Significant reduction in the lithiation stress result in higher cycle ability in the interconnected hollow nano-spheres of amorphous silicon.

(e) Interconnected hollow nano-spheres of amorphous silicon are an example of nano-glass discovered by Gleiter and co-workers [23-25].

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Dimension of simulation box ($\text{\AA} \times \text{\AA} \times \text{\AA}$)	Coordinates of centre of sphere and cavity with respect to simulation box (\AA , \AA , \AA)	Radius of sphere (\AA)	Radius of cavity (\AA)
30 x 30 x 30	(15, 15, 15)	15	5
60 x 60 x 60	(30, 30, 30)	30	15
100 x 100 x 100	(50, 50, 50)	50	25

Table 1: Dimensions of spheres of amorphous silicon having cavities

Configuration	No. of atoms	Formation energy per Si atom (eV)
1	40	0.285
2	43	0.300
3	46	0.289
4	50	0.329
5	38	0.298
6	45	0.323
7	47	0.355
8	48	0.316

Table 2: Formation energy of different structures under consideration using DFT in this study

Amorphous silicon structure	Average Density (gm-cm^{-3})		
	300K	750K	1200K
Bulk	2.46	---	---
Nano-shell	2.33	2.04	1.77

Table 3: Average density of bulk and nano-shell amorphous silicon at different temperatures

Low level of lithiation: 8 Li atoms per test cell of amorphous Si								
Configuration	No. of silicon atoms	Before lithiation		After lithiation		Percentage Volume expansion (% VE)	Degree of lithiation (x in Li _x Si)	Percentage Volume expansion per degree of lithiation (% VE _x)
		Volume (Å ³)	Density (gm/cm ³)/ PLD	Volume (Å ³)	Density (gm/cm ³)			
2	43	896.8	2.229	909.0	2.308	1.36	0.186	7.31
4	50	953.7	2.437	1068.33	2.269	12.0	0.16	75.0
Medium level of lithiation: 27 Li atoms per test cell of amorphous Si								
Configuration	No. of silicon atoms	Before lithiation		After lithiation		Percentage Volume expansion (% VE)	Degree of lithiation (x in Li _x Si)	Percentage Volume expansion per degree of lithiation (% VE _x)
		Volume (Å ³)	Density (gm/cm ³)/ PLD	Volume (Å ³)	Density (gm/cm ³)			
1	40	803.2	2.315	1096.7	1.985	36.5	0.675	54.0
2	43	896.8	2.229	1159.0	1.999	29.2	0.628	46.5
3	46	905.2	2.326	1200.5	2.046	32.6	0.587	55.5
4	50	953.7	2.437	1312.6	2.014	37.6	0.540	69.6
5	38	782.8	2.264	1077.3	1.934	37.6	0.711	52.7
6	45	920.1	2.281	1225	1.967	33.1	0.600	55.2
7	47	919.2	2.385	1304.2	1.919	41.9	0.574	73.0
8	48	968.6	2.311	1249.2	2.041	26.6	0.563	47.3
Higher level of lithiation: 64 Li atoms per test cell of amorphous Si								
Configuration	No. of silicon atoms	Before lithiation		After lithiation		Percentage Volume expansion (% VE)	Degree of lithiation (x in Li _x Si)	Percentage Volume expansion per degree of lithiation (% VE _x)
		Volume (Å ³)	Density (gm/cm ³)/ PLD	Volume (Å ³)	Density (gm/cm ³)			
1	40	803.2	2.315	1685.5	1.544	109.8	1.6	68.6
2	43	896.8	2.229	1739.3	1.577	93.9	1.488	63.1
4	50	953.7	2.437	1865	1.646	95.6	1.28	74.7

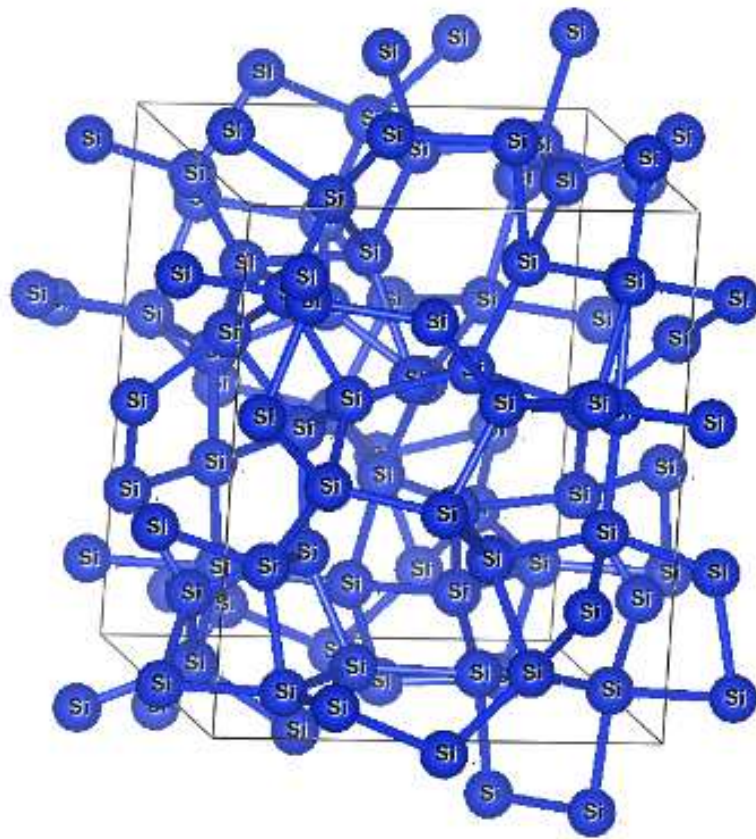
Table 4: Density, volume, percentage volume expansion, degree of lithiation and percentage volume expansion per degree of lithiation of different configurations of amorphous silicon

Configuration			
1	8li	27li	64li
2		-0.466	-0.462
3	-0.646	-0.567	-0.462
4		-0.517	
5	-0.795	-0.629	-0.495
6		-0.532	
7		-0.566	
8		-0.627	
		-0.570	

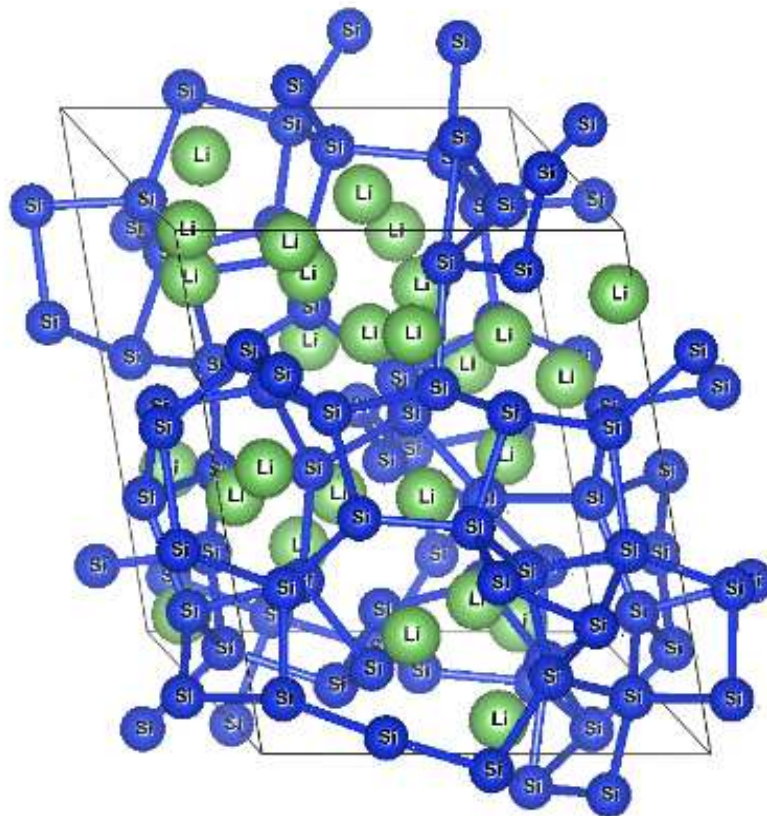
Table 5: Average lithiation energy (in eV/Li atom) for different structures

Configuration	No. of silicon atoms	Average electron transferred from each lithium atom	Average electron transferred to each silicon atom
From calculations with 8 Li atoms			
<u>2</u>	43	0.813	<u>0.151</u>
<u>4</u>	50	0.835	<u>0.134</u>
From calculations with 27 Li atoms			
1	40	0.815	0.55
2	43	0.823	0.517
3	46	0.826	0.485
4	50	0.821	0.443
5	38	0.821	0.584
6	45	0.827	0.507
7	47	0.828	0.456
8	48	0.826	0.465
From calculations with 64 Li atoms			
1	40	0.810	1.295
2	43	0.806	1.20
4	50	0.793	0.964

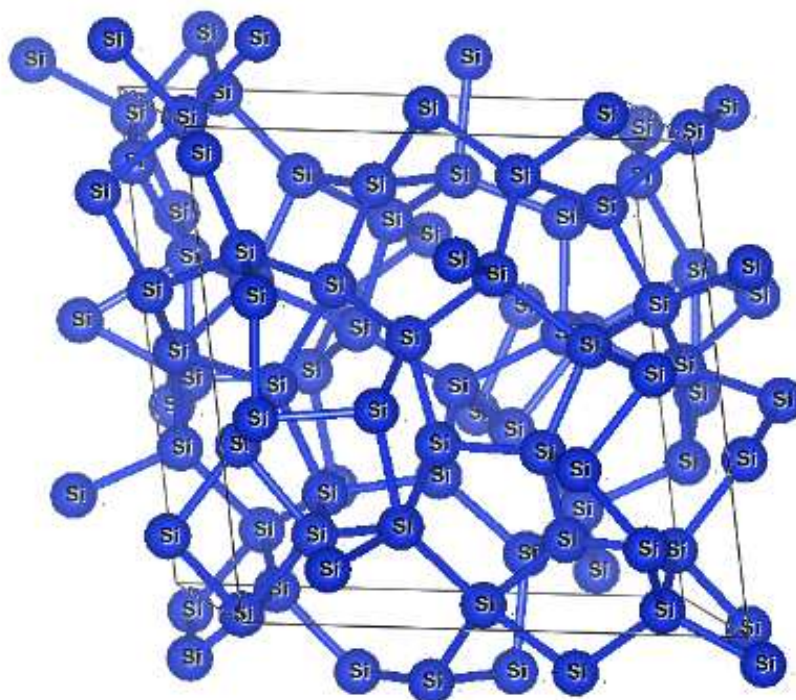
Table 6: Amount of charge transfer from lithium to silicon atoms in different configurations



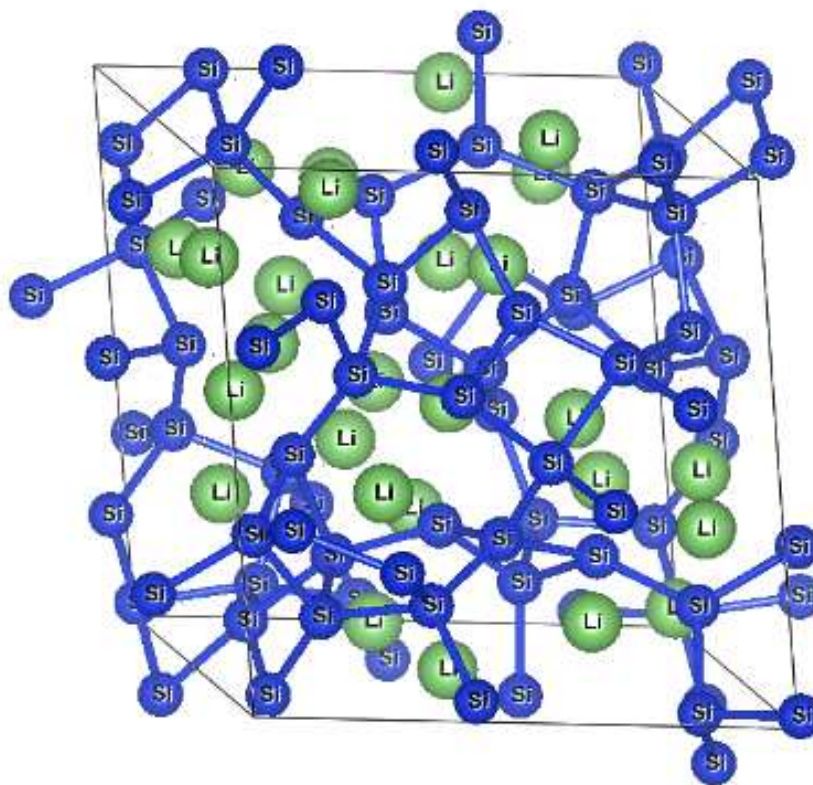
(a)



(b)



(c)



(d)

Figure1: Lithiated and pristine configuration for higher (50 atoms) and lower density (43 atoms) amorphous Si with bond connectivity. (a) and (c) show structures for high density and low density amorphous silicon configurations before lithiation. (b) and (d) show structures for high density and low density amorphous silicon configurations after lithiation. Lower connectivity and higher porosity in low density silicon

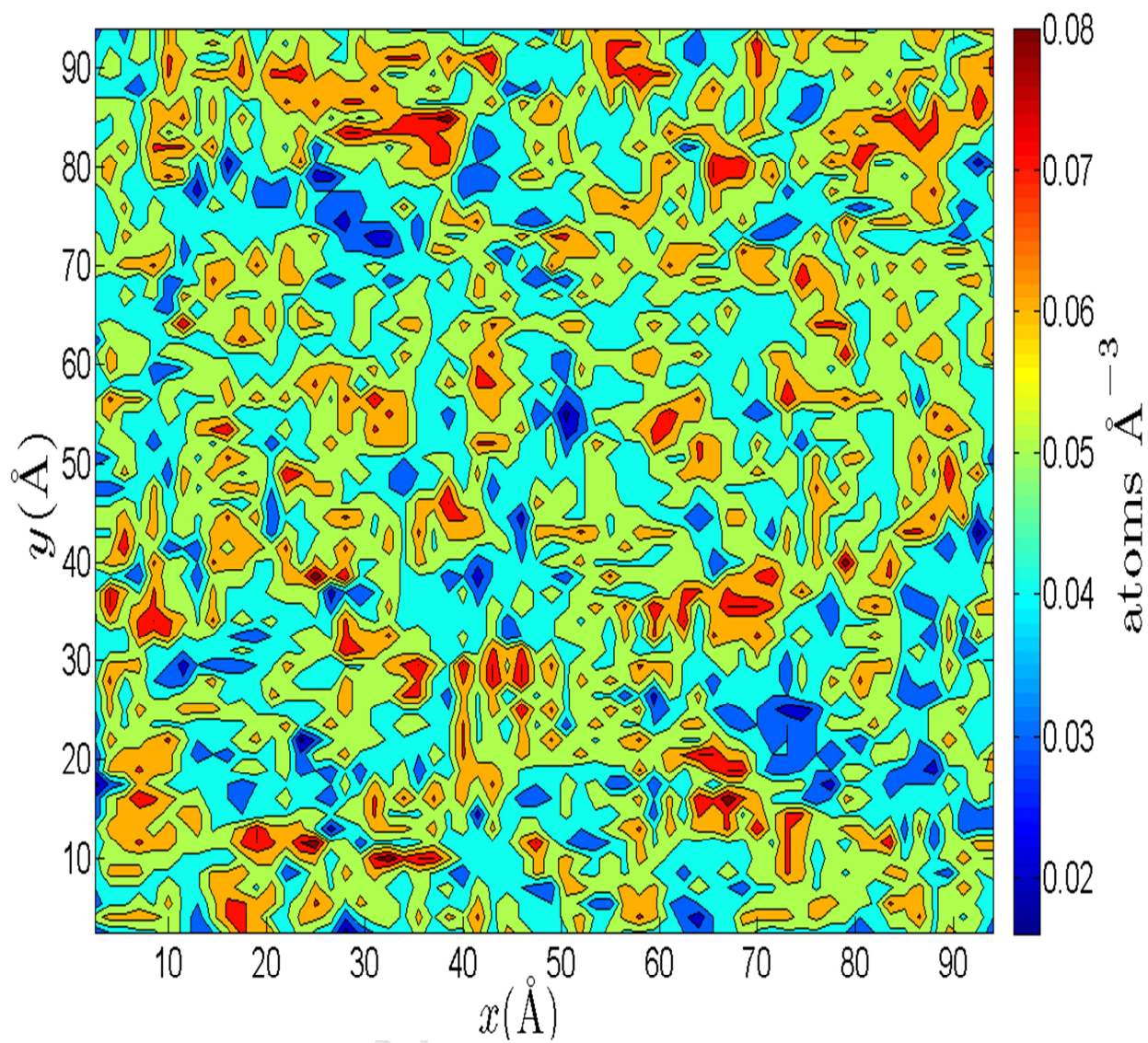


Figure 2: Contour plot of atomic density of bulk amorphous Si at 300K

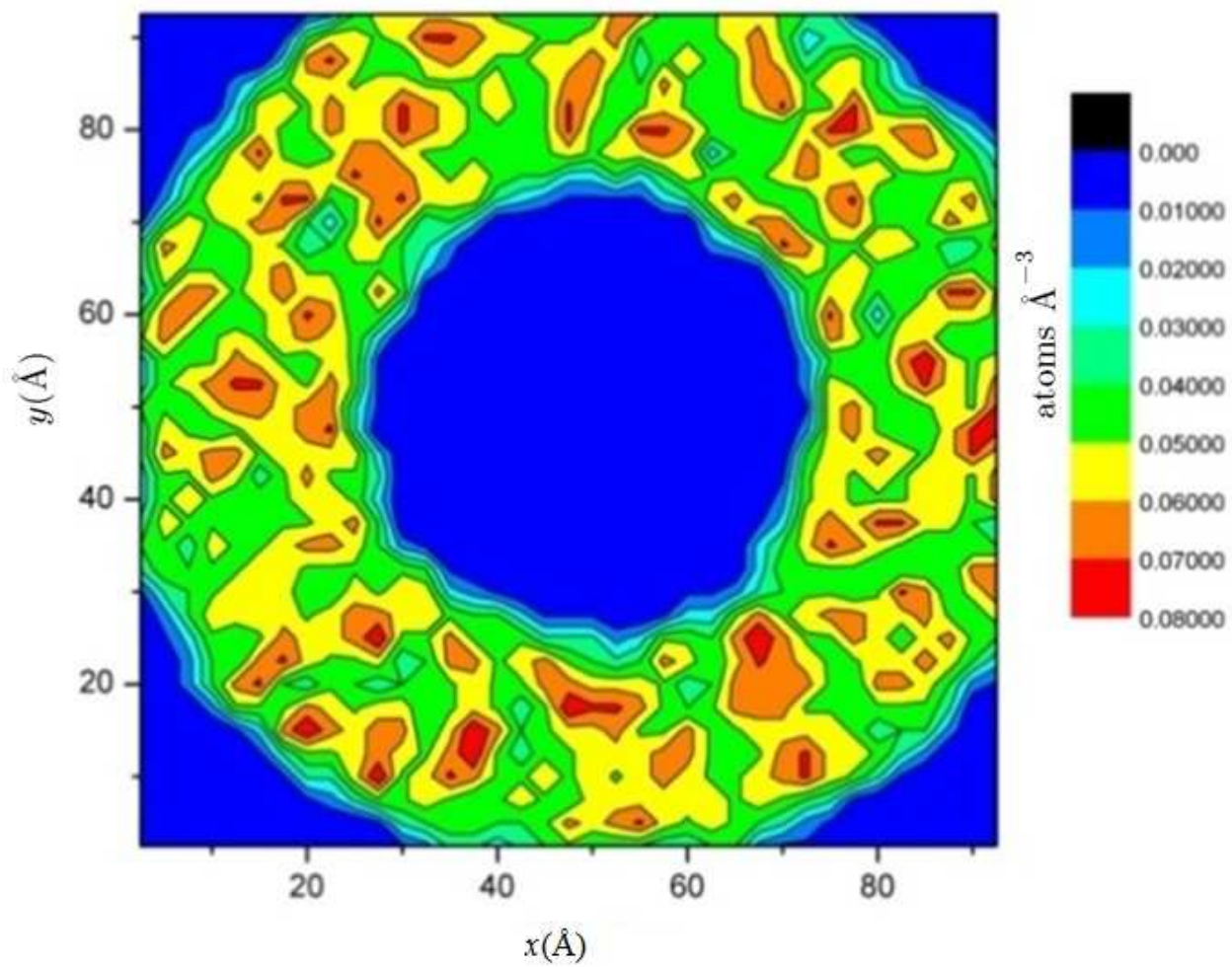


Figure 3: Contour plot of atomic density at 300K showing stability of the nano-shell

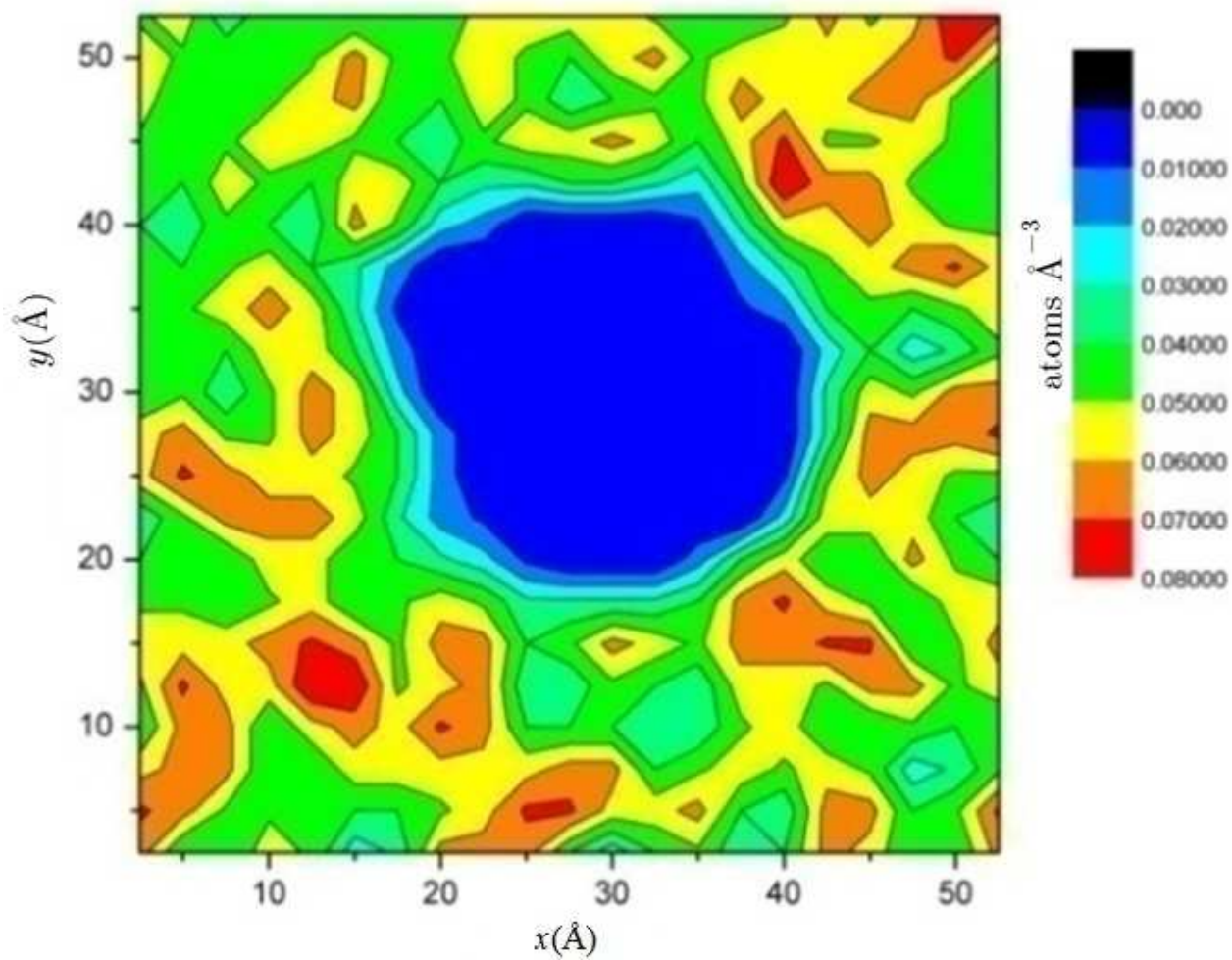


Figure 4: Contour plot of atomic density at 750K showing stability of the nano-shell

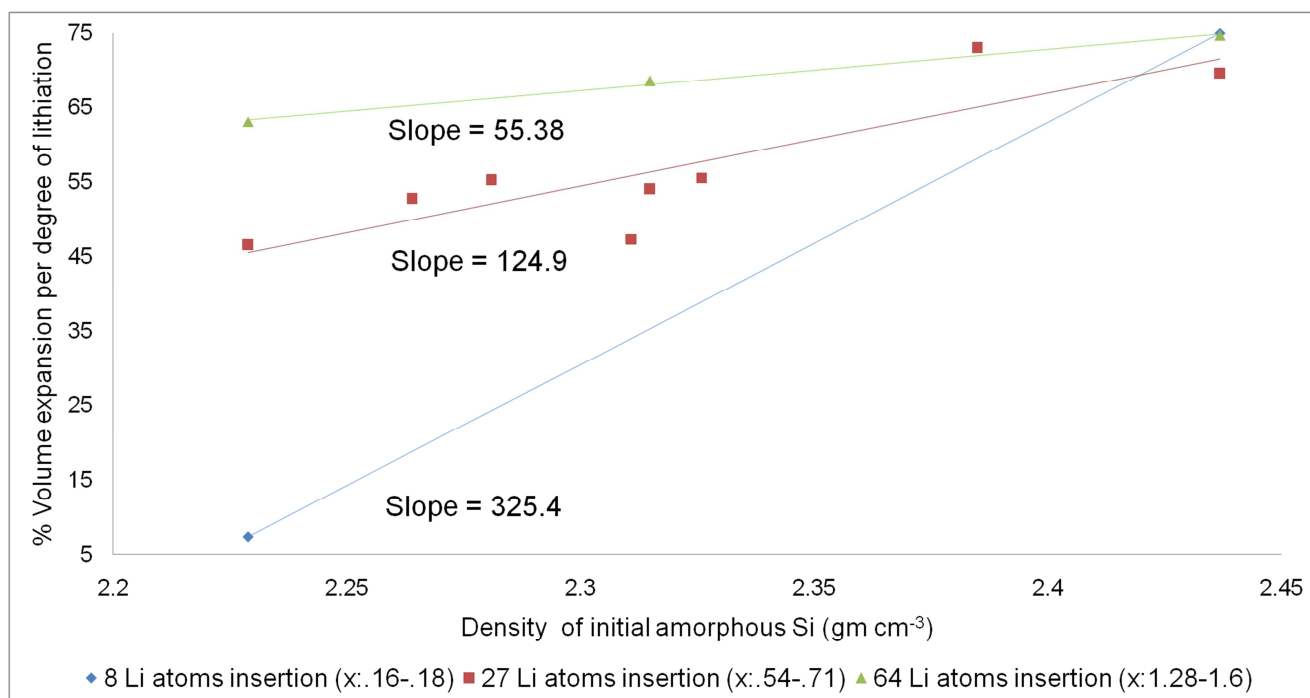


Figure 5: Variation of percentage volume expansion per degree of lithiation with density of initial amorphous Si

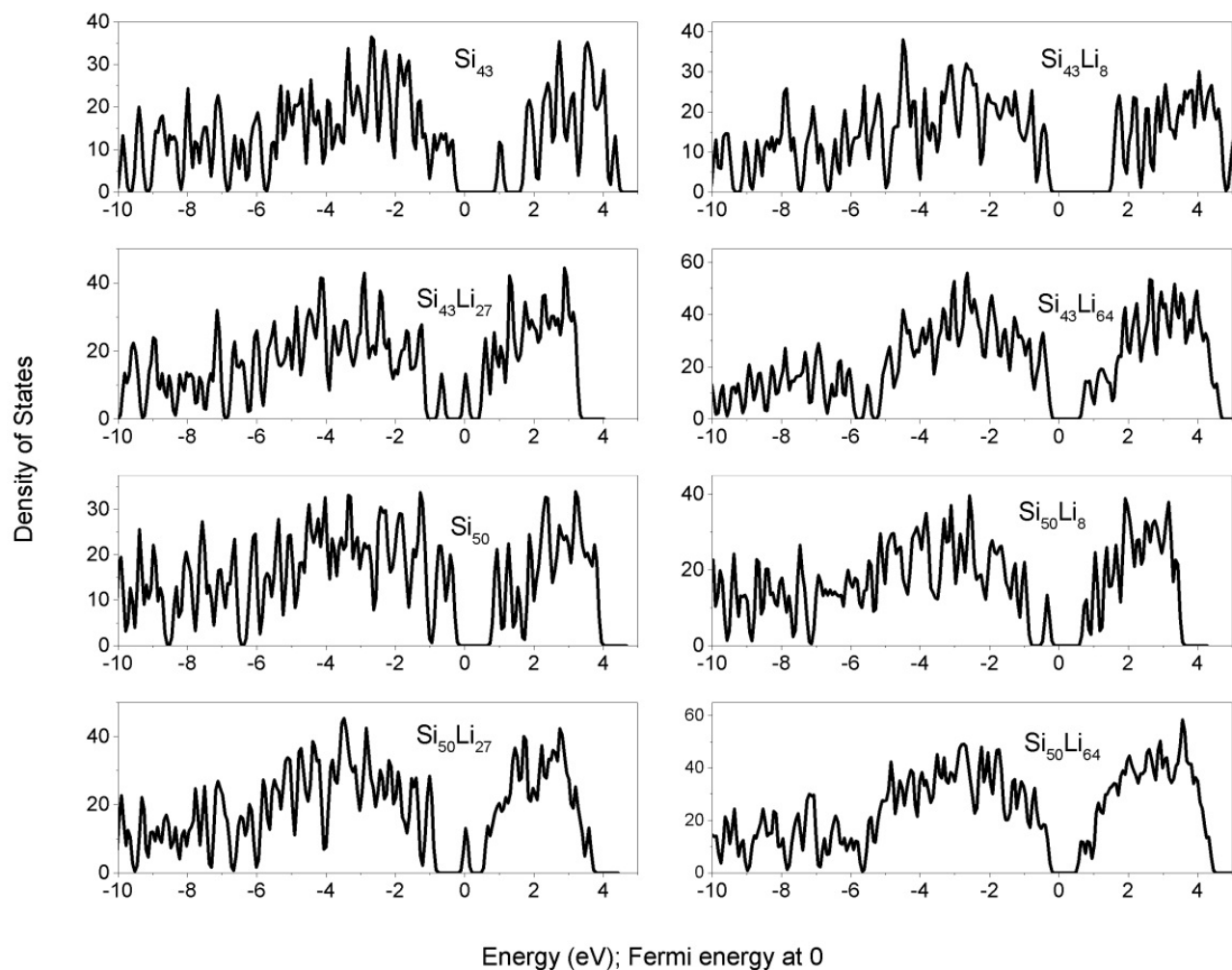


Figure 6: Electronic DOS of amorphous Si configuration 1 and 4 (unit of energy = eV) for different degrees of lithiation calculated using hybrid functional

- Interconnected nanoshells of amorphous Si : best available lithium ion cell anode.
- High cycle life not understood in the light of poor K_{IC} of amorphous Si.
- MD reveals: atomic density of interconnected structure is $\sim 16\%$ less than bulk Si.
- Leads to drastic reduction (DFT) in lithiation σ & metal like e^- structure (high K_{IC}).
- Lowering of lithiation σ and increase in K_{IC} result in high cycle life.