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Part-load performance of a high temperature Kalina cycle

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Abstract

The Kalina cycle has recently seen increased interest as an alternative to the conventional steam Rankine cycle. The cycle has been studied for use with both low and high temperature applications such as geothermal power plants, ocean thermal energy conversion, waste heat recovery, gas turbine bottoming cycle and solar power plants. The high temperature cycle layouts are inherently more complex than the low temperature layouts due to the presence of a distillation-condensation subsystem, three pressure levels and several heat exchangers. This paper presents a detailed approach to solve the Kalina cycle in part-load operating conditions for high temperature (a turbine inlet temperature of 500 °C) and high pressure (100 bar) applications. A central receiver concentrating solar power plant with direct vapour generation is considered as a case study where the part-load conditions are simulated by changing the solar heat input to the receiver. Compared with the steam Rankine cycle, the Kalina cycle has an additional degree of freedom in terms of the ammonia mass fraction which can be varied in order to maximize the part-load efficiency of the cycle. The results include the part-load curves for various turbine inlet ammonia mass fractions, and the fitted equations for these curves.

Keywords: Kalina cycle, part-load, ammonia-water mixture, concentrating solar power plant

1. Introduction

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The Kalina cycle was introduced in 1984 [1] as an alternative to the conventional Rankine cycle to be used as a bottoming cycle for combined cycle power plants. It uses a mixture of ammonia and water as the working fluid, instead of pure water as in the case of a steam Rankine cycle. The composition of the ammonia-water mixture could be varied by changing the *ammonia mass fraction* in the mixture, i.e. the ratio of the mass of ammonia in the ammonia-water mixture to the total mass of the mixture. This change in the compo-

sition affects the thermodynamic and the transport properties of the mixture [2]. Since its

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Nomenclature

Abbreviations		
CSP	concentrating solar power	
\mathbf{GA}	genetic algorithm	
PPTE) pinch point temperature difference, $^{\circ}\mathrm{C}$	
Symbo	bls	
Δh	specific enthalpy difference, $J \mathrm{kg}^{-1}$	
ΔT	temperature difference, $^{\circ}\mathrm{C}$	
δ	tolerance	
\dot{m}	mass flow rate, $\rm kgs^{-1}$	
\dot{Q}	heat rate, MW	
\dot{q}	heat input relative to the design value	
\dot{W}	work or electrical power, MW	
η	efficiency	
A	area, m^2	
c_p	isobaric specific heat capacity, $J kg^{-1} K^{-1}$	
F_{cu}	copper loss fraction	
k_{tur}	turbine constant, $\mathrm{kg}\mathrm{K}^{0.5}\mathrm{s}^{-1}\mathrm{bar}^{-1}$	
L	load relative to the design value	
N	rotational speed, rpm	
p	pressure, bar	
T	temperature, °C or K	
U	overall heat transfer coefficient, $W m^{-2} K^{-1}$	
X	vapour quality	
x	ammonia mass fraction	
$Subscripts,\ including\ components$		

с	cold fluid
cd	condenser
cw	condenser cooling water
cy	cycle
d	design condition
gen	generator
i	$i^{\rm th}$ control volume
is	isentropic
lm	logarithmic mean temperature difference, $^{\circ}\mathrm{C}$
m	mechanical
$\mathbf{m}\mathbf{x}$	mixer
net	net electrical output from the power cycle
pl	relative plant load in part-load condi-
	tion
рр	pinch point temperature difference, $^{\circ}\mathrm{C}$
pp,cd	minimum pinch point temperature difference in the condensers, $^{\rm o}{\rm C}$
pp,re	minimum pinch point temperature difference in the recuperators, $^{\circ}\mathrm{C}$
pu	pump
re	recuperator
rec	receiver/boiler
sep	separator
spl	splitter
thv	throttle valve
tur	turbine

introduction, several uses for the Kalina cycle have been proposed for low temperature applications or low grade heat utilization. Examples include their use in a geothermal power plants [3], for waste heat recovery [4–7], for exhaust heat recovery in a gas turbine modular helium reactor [8], in combined heat and power plants [9,10], coupled with a coal-fired steam power plant for exhaust heat recovery [11], as a part of Brayton-Rankine-Kalina triple cycle [12], and in solar power plants [13,14]. For high temperature applications, the Kalina cycles

have been investigated to be used as gas turbine bottoming cycles [15–18], for industrial 15 waste heat recovery, biomass based cogeneration and gas engine waste heat recovery [19], for direct-fired cogeneration applications [20], and in concentrating solar power (CSP) plants [21, 22].

There have been discussions regarding the feasibility of using ammonia-water mixtures at high temperatures due to the nitridation effect resulting in the corrosion of the equipment. 20 However, the use of an ammonia-water mixture as the working fluid at high temperature has been successfully demonstrated in Canoga Park with turbine inlet conditions of 515 $^{\circ}$ C and 110 bar [23]. Moreover, a patent by Kalina [24] claims the stability of ammonia-water mixtures along with prevention of nitridation for plant operation preferably up to 1093 °C for temperature and 689.5 bar for pressure using suitable additives. Water itself prevents 25

the ammonia in the mixture from corroding the equipment up to about 400 °C, and above this temperature, the amount of the additive is far below the threshold for it cause any damage [25].

None of the previous studies for the Kalina cycles have considered the performance of the Kalina cycle in part-load conditions. Moreover, the layout for the high temperature Kalina 30 cycles is inherently more complex than those used for the low temperature applications. This is primarily because of the presence of a distillation-condensation subsystem, at least three pressure levels and several heat exchangers. In applications such as solar power plants where the heat input fluctuates throughout the day, and over the year, it is essential to include

- the part-load performance of the power cycle to assess the plant performance in a thorough 35 manner. Similarly, it is also necessary to evaluate the part-load performance of the power cycle in other cases such as waste heat recovery from diesel engines or other fluctuating sources of energy input. The Kalina cycles have an additional degree of freedom in terms of the ammonia mass fraction, as compared with using pure working fluids, which makes
- it possible to obtain better part-load performance characteristics by changing the ammonia mass fraction to suit the part-load conditions. To the authors' knowledge, only Kalina and Leibowitz [26] and Smith et al. [27] presented performance curves for the part-load conditions using a Kalina cycle. Kalina and Leibowitz [26] mentioned that the second law efficiency of the Kalina bottoming cycle for a gas turbine changes by about 3.2 percentage
- points when the cycle load reduces by 25 %. The paper did not present any details about 45 the assumptions or the methodology used for calculating the part-load performance of the Kalina cycle. Moreover, the part-load performance until only 75 % plant load was presented. Smith et al. [27] presented the part-load performance curves for a simple gas turbine

cycle, a Rankine combined cycle, and a Kalina combined cycle. The Kalina combined cycle

showed the best performance. The operational advantages of the Kalina cycle as compared with the Rankine cycle were also presented. This paper also did not provide the part-load

characteristics of only the Kalina cycle, or any methodology for evaluating the part-load performance characteristics. From the Kalina combined cycle part-load curve, the part-load performance of only the Kalina cycle cannot be estimated without knowing the combined

- ⁵⁵ cycle operation and control strategy, which was also not presented in the paper. A recent patent by Mlcak and Mirolli [28] suggests varying the ammonia mass fraction in order to improve the system performance of the Kalina cycle with varying ambient conditions. The patent however discusses a relatively simpler low temperature application layout to be used with geothermal hot water or industrial waste heat sources.
- ⁶⁰ The objective of this paper is to present the detailed methodology of solving a Kalina cycle at part-load conditions for high temperature applications. As a case, a central receiver CSP plant with direct vapour generation is considered. The part-load conditions were simulated by changing the solar heat input to the receiver, and the cycle was solved in part load by varying the separator inlet ammonia mass fraction and adjusting the pump outlet
- ⁶⁵ pressures. In the paper, Section 2 presents the design point optimization and the part-load modelling approaches along with the assumptions; Section 3 presents the results from the part-load modelling; Section 4 discusses the results; and Section 5 concludes the paper.

2. Methods

The Kalina cycle layout investigated in this paper, named KC12 [22], is shown in Fig. 1. ⁷⁰ The cycle components in the layout are shown in abbreviated forms where REC is the receiver/boiler, TUR is the turbine, GEN is the generator, SEP is the vapour-liquid separator, RE* is the recuperator, PU* is the pump, CD* is the condenser, MX* is the mixer (where '*' denotes the respective component number), SPL is the splitter and THV is the throttling valve.

- ⁷⁵ In the cycle, the superheated ammonia-water mixture (stream 1), i.e. the working solution, expands in the turbine and is subsequently mixed in the mixer MX1 with the ammonia lean liquid from the separator SEP to lower the ammonia mass fraction in the condenser CD1. The fluid after the mixer MX1 is called the basic solution. The ammonia rich vapour from the separator SEP is later mixed in the mixer MX2 with a part of the basic solution
- ⁸⁰ from the splitter SPL to again form the working solution. This working solution then goes through the condenser CD2 and the pump PU2. The external heat input to the working fluid is provided in the boiler. In the case considered in this study, the boiler is the solar receiver REC.

All the simulations were performed using MATLAB R2015a [29]. The thermodynamic ⁸⁵ properties for the ammonia-water mixtures were calculated using the REFPROP 9.1 interface for MATLAB [30]. The default property calculation method for the ammonia-water mixtures in REFPROP is using the Tillner-Roth and Friend formulation [31]. However, this formulation in REFPROP is highly unstable and fails to converge on several occasions, especially in the two-phase regions, near the critical point and at higher ammonia mass

⁹⁰ fractions. Therefore, an alternative formulation called 'Ammonia (Lemmon)' [32] was used. It was found to be more stable and with fewer convergence failures, without significantly compromising on the accuracy of the calculations [22].



Figure 1: Kalina cycle KC12.

2.1. Design optimization

In order to model the Kalina cycle in part-load conditions, it was first necessary to ⁹⁵ obtain the cycle performance characteristics and the thermodynamic states at the design point of operation. A detailed methodology to solve and optimize the Kalina cycle at design point using a genetic algorithm (GA) was presented by Modi and Haglind [22]. For the KC12 layout (Fig. 1), the algorithm to optimize the cycle and obtain the design condition parameters is shown in Fig. 2. The cycle was thermodynamically optimized by maximizing the cycle efficiency for a given net electrical power output. The turbine outlet pressure, the separator inlet temperature and the separator inlet ammonia mass fraction were the decision variables for the optimization. The turbine inlet ammonia mass fraction was varied for parametric analysis in order to analyse the cycle behaviour for a range of values. The following assumptions were made for the cycle design optimization [18,22]:

¹⁰⁵ a. The cycle was modelled in steady state.

b. The turbine inlet temperature and pressure were fixed at 500 °C and 100 bar. The

isentropic efficiencies of the turbine and the pumps were 85 % and 70 % respectively. The turbine mechanical efficiency and the generator efficiency were both 98 %.

- c. The plant was designed for a net electrical power output of 20 MW. The minimum allowed
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vapour quality at the turbine outlet was 90 %. The condenser cooling water inlet and outlet temperatures were fixed at 20 °C and 30 °C.

- d. The recuperators and the condensers had a minimum pinch point temperature difference (PPTD) of 8 °C and 4 °C respectively.
- e. Pressure drops and heat losses were neglected.
- 115 f. The minimum separator inlet vapour quality was fixed at 5 %.



Figure 2: Solution algorithm for every iteration of the Kalina cycle KC12 for design calculation using GA.

In general, the following steps were used to solve the Kalina cycle for each iteration of the optimization process. The turbine TUR was solved first to obtain the state at the turbine outlet. Assuming a condenser pressure for the condenser CD2, the mass flow rates were then obtained using a simplified configuration as presented by Marston [15]. With respect to the mass flow rates at different points in the cycle, the entire cycle can be represented by the simplified layout as shown in Fig. 3.





Figure 3: Simplified configuration of the Kalina cycle KC12 with respect to different mass flow rates in the cycle.

The mass balance equations for the ammonia-water mixture and ammonia in the mixture, and the ammonia mass fraction balance equations are:

$$\dot{m}_5 = \dot{m}_1 + \dot{m}_{12} \tag{1}$$

$$\dot{m}_5 \cdot x_5 = \dot{m}_1 \cdot x_1 + \dot{m}_{12} \cdot x_{12} \tag{2}$$

$$\dot{m}_1 = \dot{m}_8 + \dot{m}_{11} \tag{3}$$

$$\dot{m}_1 \cdot x_1 = \dot{m}_8 \cdot x_8 + \dot{m}_{11} \cdot x_{11} \tag{4}$$

$$m_{10} = m_{11} + m_{12} \tag{5}$$

$$\dot{m}_{10} \cdot x_{10} = \dot{m}_{11} \cdot x_{11} + \dot{m}_{12} \cdot x_{12} \tag{6}$$

$$n_{11} = m_{10} \cdot X_{10} \tag{7}$$

$$x_5 = x_{10}$$
 (8)

$$x_8 = x_{10}$$
 (9)

where \dot{m} is the mass flow rate, x is the ammonia mass fraction and X is the vapour quality.

 \dot{m}

The mass balances are over the mixer MX1 (Eqs. 1 and 2), the mixer MX2 (Eqs. 3 and 4) and the separator SEP (Eqs. 5 and 6). The ammonia mass fraction balances are over the splitter SPL (Eqs. 8 and 9). Since the separator SEP is simply a vapour-liquid separator, Eq. 7 only relates the mass flow rate of stream 11 to the mass flow rate and the vapour quality of the stream 10. On rearranging the above equations, the following relations are

obtained:

$$\frac{\dot{m}_{10}}{\dot{m}_1} = \frac{x_1 - x_{10}}{X_{10} \cdot (x_{11} - x_{10})} \tag{10}$$

$$\frac{\dot{m}_8}{\dot{m}_1} = \frac{x_{11} - x_1}{x_{11} - x_{10}} \tag{11}$$

These relations were then used to calculate the different mass flow rates after assuming \dot{m}_1 to be 1 kg s⁻¹ as an initial guess value, and calculating the values of the ammonia mass fractions for the two outlet streams of the separator $(x_{11} \text{ and } x_{12})$ with REFPROP 125 using the state at the separator inlet as input. This was done repeatedly until the PPTD in the condenser CD2 became greater than or equal to the minimum PPTD value for the condensers.

Once the mass flow rates at different points in the cycle were known, and it was made sure that the inlet stream to the separator SEP is in two-phase flow, then the pumps, the 130 mixers, the recuperators and the condensers were solved while satisfying all the design constraints such as minimum PPTD, minimum vapour quality at the turbine outlet, etc. and using the following equations:

135	TUR: $\dot{W}_{tur} = \dot{m}_1 \cdot (h_1 - h_2)$	(12)
	GEN: $\dot{W}_{\text{gen}} = \dot{W}_{\text{tur}} \cdot \eta_{\text{tur,m}} \cdot \eta_{\text{gen}}$	(13)
	RE1: $\dot{m}_2 \cdot (h_2 - h_3) = \dot{m}_{16} \cdot (h_{17} - h_{16})$	(14)
	RE2: $\dot{m}_3 \cdot (h_3 - h_4) = \dot{m}_9 \cdot (h_{10} - h_9)$	(15)
	CD1: $\dot{m}_5 \cdot (h_5 - h_6) = \dot{m}_{cw,cd1} \cdot c_{p,cw} \cdot (T_{cw,out} - T_{cw,in})$	(16)
140	CD2: $\dot{m}_{14} \cdot (h_{14} - h_{15}) = \dot{m}_{cw,cd2} \cdot c_{p,cw} \cdot (T_{cw,out} - T_{cw,in})$	(17)
	PU1: $\dot{W}_{pu1} = \dot{m}_6 \cdot (h_7 - h_6)$	(18)
	PU2: $\dot{W}_{pu2} = \dot{m}_{15} \cdot (h_{16} - \dot{h}_{15})$	(19)
	SEP: $\dot{m}_{10} \cdot h_{10} = \dot{m}_{11} \cdot h_{11} + \dot{m}_{12} \cdot h_{12}$	(20)
	MX1: $\dot{m}_5 \cdot h_5 = \dot{m}_4 \cdot h_4 + \dot{m}_{13} \cdot h_{13}$	(21)
145	MX2: $\dot{m}_{14} \cdot h_{14} = \dot{m}_8 \cdot h_8 + \dot{m}_{11} \cdot h_{11}$	(22)
	SPL: $h_8 = h_7$	(23)
	SPL: $h_9 = h_7$	(24)
	THV: $h_{12} = h_{13}$	(25)

where \dot{W}_{tur} , \dot{W}_{pu} and \dot{W}_{gen} are respectively the turbine work output, the required 150 pump work and the generator electrical power output, \dot{m} is the mass flow rate, h is the specific enthalpy, c_p is the isobaric specific heat capacity, and T is the temperature. The subscript 'cw' denotes the condenser cooling water.

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The cycle efficiencies from the different combinations of the decision variables were compared, and the solution with the highest cycle efficiency was stored as the optimal solution for the given input of the turbine inlet ammonia mass fraction. The same procedure was then repeated for different values of the turbine inlet ammonia mass fractions. All the heat exchangers, including the condensers, were discretized into 50 control volumes and solved so that the position of the PPTD could be calculated with sufficient accuracy. The UA values,

¹⁶⁰ i.e. the ratio of the heat transferred in any control volume to the logarithmic mean temperature difference over the control volume, for the different heat exchangers were obtained from:

$$(UA)_{i,d} = \frac{\dot{Q}_{i,d}}{\Delta T_{lm,i,d}}$$
(26)

where U, A, \dot{Q} and $\Delta T_{\rm lm}$ are respectively the overall heat transfer coefficient, heat transfer area, the heat transfer rate and the logarithmic mean temperature difference for the $i^{\rm th}$ control volume at design point of operation.

2.2. Validation

In order to ensure the mathematical accuracy of the solution algorithm for the Kalina cycle, several check parameters related to the mixture mass balances, ammonia mass balances and energy balances over the different cycle components were included in the process. It was ensured that all the balances were satisfied with a residual below or equal to 0.001 %. The same was considered for the part-load model. In case there was an error in the calculation of the thermodynamic properties by REFPROP, or the balances were not satisfied within the specified tolerance, the solution was rejected.

To the authors' knowledge, there is no publication mentioning the operating states of a high temperature Kalina cycle that were either obtained experimentally, or from the measurements taken from a commercial plant. It was therefore only possible to validate the Kalina cycle models with the results from previously published modelling results. Only Marston [15] provides all the modelling assumptions and results in order to make a proper validation. The layout investigated by Marston [15] is what was referred to as KC234 in Modi

and Haglind [22]. In order to validate the overall solution methodology, this layout was used.
For different combinations of the turbine inlet ammonia mass fraction and the separator inlet temperature taken from Marston [15], it was found that the maximum deviation of the cycle efficiency values calculated from the current algorithm from those presented in Marston [15] was 2.21 %, with the average deviation being 1.01 %. This is using the same modelling assumptions as mentioned in Marston [15], but with the Kalina cycle solution methodology

as used in Modi and Haglind [22], and in the current study. With these low deviations, the current solution algorithm was considered validated. The reason for selecting the KC12 layout to investigate the part-load performance of the Kalina cycle was because this layout was overall found to be more efficient than KC234 [22], while being simpler with fewer number of recuperators.

2.3. Part-load modelling

Once the thermodynamic states, the mass flow rates and the UA values were obtained from the cycle design optimization, the part-load calculations were performed. The heat input to the receiver REC was gradually decreased and the part-load relative efficiency curves for different plant loads and turbine inlet ammonia mass fractions were prepared.

A solution algorithm similar to the validated design optimization algorithm was used for the part-load performance calculations, but with different decision variables and additional

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for the Kalina cycle steady-state part-load calculations. The turbine inlet temperature was maintained at the design value of 500 °C to have the highest temperature at the turbine inlet for better efficiency. The turbine inlet ammonia mass fraction was maintained at its respective design point value in order to avoid fluctuations in the turbine output as suggested in Amano et al. [33]. The condenser cooling water inlet temperature was assumed to be the same as its design value, as has been done in Lippke [34]. In order to satisfy the condensing load, the condenser cooling water mass flow rate would then adjusted by regulating the cooling water pump. The minimum separator inlet vapour quality was fixed at 2 %, a value smaller than the design value, but enough to ensure that there will be a two-phase flow at the separator inlet. The separator inlet ammonia mass fraction was allowed to vary within ± 1 % of the design value to enable using the power law for heat exchanger off-design calculations. The condensers' working fluid outlet temperature was at least 2 °C higher than

assumptions required for the part-load calculations. The following assumptions were made

the cooling water inlet temperature. The tolerance δ in Fig. 4 was set to 0.1 K. A more elaborate discussion regarding the part-load modelling assumptions is provided in Section 4. The turbine was modelled in part load using the Stodola's ellipse law (Eq. 27) to calculate

the turbine constant [35], and the off-design isentropic efficiency (Eq. 28) as described in Ray [36]:

$$k_{tur} = \frac{\dot{m}_1 \cdot \sqrt{T_1}}{\sqrt{p_1^2 - p_2^2}} \tag{27}$$

$$\eta_{\rm tur,is} = \eta_{\rm tur,is,d} - 2 \cdot \left[\frac{N}{N_{\rm d}} \cdot \sqrt{\frac{\Delta h_{\rm is,d}}{\Delta h_{\rm is}}} - 1 \right]^2 \tag{28}$$

where k_{tur} is the turbine constant, $\eta_{tur,is}$ and $\eta_{tur,is,d}$ are the turbine isentropic efficiencies at part-load and design conditions, N and N_d are the turbine rotational speeds at part-load and design conditions, and Δh_{is} and $\Delta h_{is,d}$ are the isentropic specific enthalpy differences at part-load and design conditions. The turbine speed in a power plant remains constant in order to maintain the generated electricity frequency, and therefore the ratio of the speeds in Eq. 28 is taken as unity [36]. The mechanical efficiency of the turbine was assumed the same as its design value.

The off-design isentropic efficiency of the pumps was obtained using [34]:

$$\eta_{\rm pu,is} = \eta_{\rm pu,is,d} \cdot \left[2 \cdot \frac{\dot{m}}{\dot{m}_{\rm d}} - \left(\frac{\dot{m}}{\dot{m}_{\rm d}}\right)^2 \right] \tag{29}$$

where $\eta_{\text{pu,is}}$ and $\eta_{\text{pu,is,d}}$ are pump efficiencies at part-load and design conditions, and \dot{m} and \dot{m}_{d} are the mass flow rates through the pump at part-load and design conditions.

The off-design generator efficiency was obtained using [37]:

$$\eta_{\text{gen}} = \frac{\eta_{\text{gen,d}} \cdot L_{\text{gen}}}{\eta_{\text{gen,d}} \cdot L_{\text{gen}} + (1 - \eta_{\text{gen,d}}) \cdot \left[(1 - F_{cu}) + F_{cu} \cdot L_{\text{gen}}^2 \right]}$$
(30)

where F_{cu} is the copper loss fraction (assumed 0.43 [37]), η_{gen} and $\eta_{\text{gen,d}}$ are the generator efficiencies at part-load and design conditions, and $L_{\rm gen}$ is the generator load relative to the design value.

The heat exchangers were discretized once again in the part-load conditions to calculate the temperature profiles. As a first approximation, the UA values in part load for each control volume was obtained using the power law with the cold side mass flow rate [38]:

$$(UA)_{i} = (UA)_{i,d} \cdot \left(\frac{\dot{m}_{c}}{\dot{m}_{c,d}}\right)^{0.8}$$
(31)

where $(UA)_i$ and $(UA)_{i,d}$ are the UA values at part-load and design conditions for the i^{th} control volume in WK^{-1} , and $\dot{m}_{c,d}$ are the mass flow rates of the cold fluid at 235 part-load and design conditions.

For the part-load operation, the following control strategy was used. The turbine inlet pressure was varied in a sliding pressure operation to maintain the turbine inlet temperature at the design value. In order to obtain the highest part-load performance from the cycle, the separator inlet ammonia mass fraction was varied. In practice, it is easier to measure the 240 temperatures and pressures in the cycle than the ammonia mass fraction, especially when the mixture is in two-phase flow. Since the pressure at the pump PU1 outlet is governed by the condenser CD2, the splitter SPL split fraction (i.e. the ratio of the mass flow rate of stream 9 to that of stream 7 in Fig. 1) needs to be varied to obtain the required optimal separator inlet ammonia mass fraction. This split fraction can be varied by changing the 245 splitter SPL valve position, and this position in turn determines the separator inlet ammonia mass fraction. For a given value of the pump PU1 outlet pressure (which is also the separator inlet pressure), there will be only one combination of the temperature and the ammonia mass fraction at the separator inlet which results in the highest part-load performance. Thus, the separator SEP inlet temperature can be monitored in order to specify the optimal splitter 250 valve position, and thus the optimal split fraction.

The Kalina cycle was solved in part load using Eqs. 1-11 and 27-31 in the algorithm shown in Fig. 4 where the numbers in the subscript and the component names correspond to the cycle layout in Fig. 1. In practice, it is the pumps and the splitter which will be regulated, however for modelling purposes, it is better to provide the ammonia mass fraction 255 as the varying parameter rather than the split fraction as it speeds up the computation significantly [22]. This is because the ammonia mass fraction is always required as an input to calculate the thermophysical properties for the mixture, and therefore providing it as an input considerably reduces the number of required iterations.



Figure 4: Part-load solution algorithm for the Kalina cycle KC12.

3. Results 260

Fig. 5 shows the optimal cycle efficiency values for the different turbine inlet ammonia mass fractions. The trend of the variation in the cycle efficiency with the turbine inlet ammonia mass fraction was explained in detail for the KC12 layout by Modi and Haglind [21]. In short, the rate of exergy destruction in the two condensers CD1 and CD2, the recuperator RE1 and the turbine TUR shows a decreasing trend: whereas the rate of exergy destruction 265 in the recuperator RE2 first increases and then decreases. Similarly, the rate of exergy destruction in the throttle valve THV and the mixers MX1 and MX2 becomes negligible at higher values of turbine inlet ammonia mass fraction due to a better match between the temperatures of the mixing streams. The combination of these trends causes the cycle efficiency to first drop and then increase after reaching a minimum value.



Figure 5: Optimal cycle efficiency values for different turbine inlet ammonia mass fractions.

The exemplary state points for the optimal design point for the Kalina cycle KC12 at a turbine inlet ammonia mass fraction of 0.6 are shown in Table 1. The variation in the decision variable values for the optimal part-load performance for the same case is shown in Table 2.

Stream	T (°C)	p (bar)	\dot{m} (kg/s)	x	h (kJ/kg)
1	500.0	100.00	26.06	0.6000	3032.7
2	117.5	1.89	26.06	0.6000	2214.2
3	88.0	1.89	26.06	0.6000	1856.7
4	33.8	1.89	26.06	0.6000	679.1
5	45.4	1.89	89.07	0.4037	340.1
6	24.2	1.89	89.07	0.4037	-21.4
7	24.2	4.92	89.07	0.4037	-20.9
8	24.2	4.92	16.86	0.4037	-20.9
9	24.2	4.92	72.22	0.4037	-20.9
10	70.0	4.92	72.22	0.4037	404.1
11	70.0	4.92	9.20	0.9597	1802.7
12	70.0	4.92	63.01	0.3225	199.9
13	49.2	1.89	63.01	0.3225	199.9
14	49.4	4.92	26.06	0.6000	623.1
15	24.0	4.92	26.06	0.6000	70.8
16	25.9	100.00	26.06	0.6000	87.9
17	96.1	100.00	26.06	0.6000	445.3

Table 1: Operation state points for the optimal design at a turbine inlet ammonia mass fraction of 0.6.

Table 2: Decision variable values at the optimal part-load solutions for a turbine inlet ammonia mass fraction of 0.6.

$L_{\rm pl}$	p_1 (bar)	T_{10} (°C)	x_{10}
1.000	100	70.00	0.4037
0.899	89.64	66.60	0.4029
0.791	79.95	67.60	0.4021
0.683	70.30	68.50	0.4020
0.575	60.70	69.65	0.4028
0.468	50.96	66.60	0.4005

The part-load performance curves for different turbine inlet ammonia mass fractions are shown in Fig. 6, while the variation in the relative plant load with the relative heat input is shown in Fig. 7. In the figures, the *relative plant load* is the ratio of the cycle net electrical power output in part load to that at the design point, the *relative cycle efficiency* is the ratio of the cycle efficiency in part load to that at the design point, and the *relative heat input* is the ratio of the heat input to the receiver REC in part load to that at the design point.



Figure 6: Part-load relative cycle efficiency for different design turbine inlet ammonia mass fractions and plant loads.

The equations representing the four part-load curves were generated using the Curve Fitting Toolbox of MATLAB. These are shown in Table 3. The equations were fitted with polynomial fitting option and a robust least-squares fitting method (Least Absolute Residuals or LAR), both standard options in the toolbox. The fitted equations for the relative heat input as a function of the plant load are shown in Table 4. In the equations, $L_{\rm pl}$ is the relative plant load and $\dot{q}_{\rm pl}$ is the relative heat input. All the equations have a coefficient of determination (R^2) greater than 0.997.



Figure 7: Part-load relative plant load for different design turbine inlet ammonia mass fractions and relative heat inputs.

Table 3: Part-load relative cycle efficiency as a function of the relative plant load.

x_1	Part-load relative cycle efficiency
0.5	$-0.1641 \cdot L_{\rm pl}^2 + 0.3732 \cdot L_{\rm pl} + 0.7909$
0.6	$-0.08693 \cdot \dot{L}_{\rm pl}^3 - 0.01653 \cdot L_{\rm pl}^2 + 0.291 \cdot L_{\rm pl} + 0.8125$
0.7	$-0.2757 \cdot L_{\rm pl}^2 + 0.5554 \cdot L_{\rm pl} + 0.7203$
0.8	$-0.3175 \cdot L_{\rm pl}^2 + 0.6542 \cdot L_{\rm pl} + 0.6633$

Table 4: Part-load relative plant load as a function of the relative heat input.

\overline{x}_1	Part-load relative plant load
0.5	$-0.3601 \cdot \dot{q}_{\rm pl}^3 + 0.8127 \cdot \dot{q}_{\rm pl}^2 + 0.4823 \cdot \dot{q}_{\rm pl} + 0.06513$
0.6	$-0.3333 \cdot \dot{q}_{\rm pl}^3 + 0.6960 \cdot \dot{q}_{\rm pl}^2 + 0.6031 \cdot \dot{q}_{\rm pl} + 0.03419$
0.7	$-0.3524 \cdot \dot{q}_{\rm pl}^3 + 0.7079 \cdot \dot{q}_{\rm pl}^2 + 0.6379 \cdot \dot{q}_{\rm pl} + 0.006626$
0.8	$-0.3961 \cdot \dot{q}_{\rm pl}^3 + 0.8040 \cdot \dot{q}_{\rm pl}^2 + 0.5953 \cdot \dot{q}_{\rm pl} - 0.00322$

4. Discussion

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A Kalina cycle layout suitable for high temperature applications is inherently complex in nature including multiple recuperators, condensers, pumps, and an internal separator loop. Solving such a cycle in both design and part-load conditions presents a significant challenge. With regards to the part-load operation, Kalina and Leibowitz [26] presented a curve for the second law efficiency for a Kalina cycle operating as a gas turbine bottoming cycle. The paper suggests that for the part-load operation, the mass flow rate through the 295 Kalina cycle turbine could be kept constant while varying the turbine inlet ammonia mass fraction so as to vary the enthalpy drop across the turbine. In the current study, the turbine inlet ammonia mass fraction was however maintained at its design value during part-load



Figure 8: Part-load relative cycle efficiency for 0.6 turbine inlet ammonia mass fraction when the separator inlet ammonia mass fraction (x_{10}) is maintained at its design value, or optimized in part load.

operation. This was done as it was observed from an experimental investigation [33] that

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the turbine power output oscillates severely when the turbine inlet ammonia mass fraction is varied simultaneously with the evaporation pressure, and the oscillations were observed for several hours. The oscillations were experienced because of the changes in the fluid specific volume with changes in ammonia mass fraction. Since the solar energy input varies throughout the day, changing the turbine inlet ammonia mass fraction frequently might not be a suitable operating strategy. Variation in the separator inlet ammonia mass fraction

305 could however be achieved by managing the split fraction for the splitter SPL, without having to alter the turbine inlet ammonia mass fraction. This gives an opportunity to exploit the additional benefit of using fluid mixtures in the power cycle by varying the composition in order to obtain a better performance in part-load conditions. Fig. 8 highlights the relative

benefit at a turbine inlet ammonia mass fraction of 0.6 as an example, between optimizing 310 the separator inlet ammonia mass fraction in part load compared with maintaining it at its design value.

From Table 2, it may be observed that the turbine inlet pressure varies almost linearly with the change in the plant load because of the sliding pressure control strategy used for part-load operation, while maintaining the turbine inlet temperature at the design value. 315 The separator inlet temperature and ammonia mass fraction are maintained at near-design values for a smooth transition between the different part-load conditions. The separator inlet ammonia mass fraction increases with increasing plant load, while the separator inlet temperature decreases. There is however a change in the separator inlet temperature trend

at the lowest load, whereas the trend in the separator inlet ammonia mass fraction exhibits 320 an anomaly at the second lowest load. These anomalies were experienced because it was not possible for the optimization algorithm to find a solution in that range of decision variables due to convergence failures from the thermodynamic property calculations. It may also be observed that the gap between the relative plant load value and the turbine inlet pressure

widens at lower loads. This is because the separator inlet ammonia mass fraction is limited 325

to ± 1 % variation from the design value, and it restricts the turbine inlet pressure from going below a certain value to avoid pinch violation in the recuperator RE1.

Fig. 6 shows the trend of the part-load performance of the Kalina cycle with relative plant load. The curves show a decreasing performance with the decreasing plant load. It may be observed that the part-load performance at high plant loads (above 90 %) is almost 330 the same for all the turbine inlet ammonia mass fractions because of operating close to the design point. However, the trends of the performance curves differ when going towards lower plant loads. The part-load performance of the cycle with higher values of turbine inlet ammonia mass fraction (0.7 and above) decreases more rapidly with decreasing plant load as compared with the lower values of the turbine inlet ammonia mass fraction. This is 335 because of the significant differences in the basic solution ammonia mass fraction (stream 5 in Fig. 1), and therefore the condensing pressures. For example, the condenser CD1 pressure (also the turbine outlet pressure) at 50 % relative heat input for 0.6 and 0.8 turbine inlet ammonia mass fractions is respectively 1.70 and 5.56 bar, whereas the turbine inlet pressures for the two cases are almost the same at 50.96 and 52.85 bar respectively. This results in less expansion in the turbine at low plant loads for 0.8 turbine inlet ammonia mass fraction resulting in a lower part-load efficiency for the same relative heat input. The higher the working solution ammonia mass fraction is, the higher the basic solution ammonia mass fraction will be for the Kalina cycle to operate. The higher the basic solution ammonia mass fraction is, the higher the condensing pressure will be. In fact this is the reason to 345 have the distillation-condensation subsystem in the first place - to reduce the ammonia mass

fraction in the condenser CD1 so that the working solution in the turbine can be expanded to lower pressures. Therefore, even though a higher working solution ammonia mass fraction might result in a higher design point efficiency because of more effective recuperation and condensation [22], it might also result in lower part-load performance at low plant loads 350

because of a larger relative reduction in the turbine inlet pressure than the corresponding turbine outlet pressure relative reduction.

5. Conclusion

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The Kalina cycle was modelled and its part-load performance was investigated. For the part-load modelling, the temperature and the ammonia mass fraction at the turbine inlet were maintained at the design values. The separator inlet ammonia mass fraction was varied in order to obtain the highest efficiency at different loads. In practice, it would be the pumps and the splitter which would be regulated in order to obtain the optimal part-load operating conditions. However, for numerical analysis, it is better to provide the ammonia mass fraction as an input to speed up the computation. The part-load performance curves 360 and their fitted equations are presented for various plant loads and turbine inlet ammonia mass fractions. The part-load performance at higher plant loads is almost the same for the different ammonia mass fractions, whereas at lower plant loads, the part-load efficiency decreases rapidly for higher values of the turbine inlet ammonia mass fractions.

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