



Generic calibration procedures for nacelle-based profiling lidars

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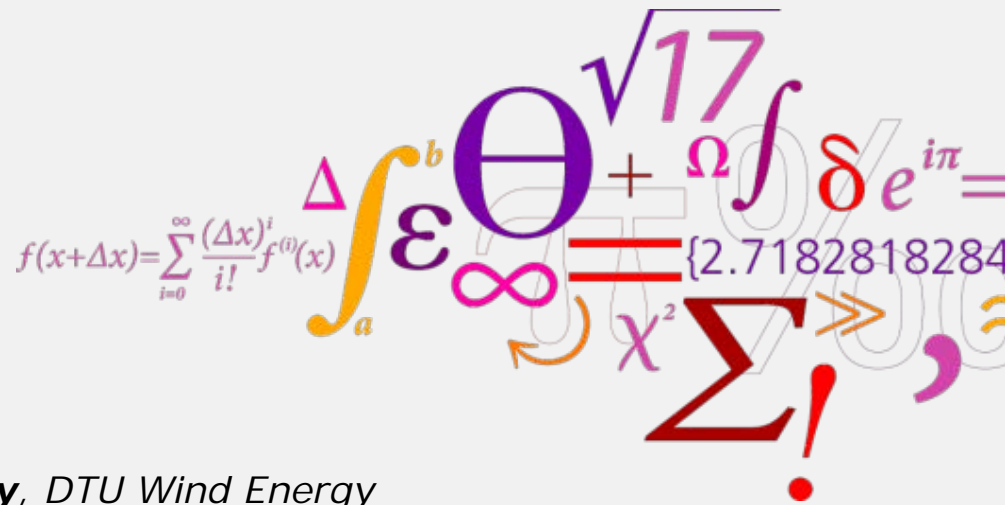
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Generic calibration procedures for nacelle-based profiling lidars

Concept, setup and results

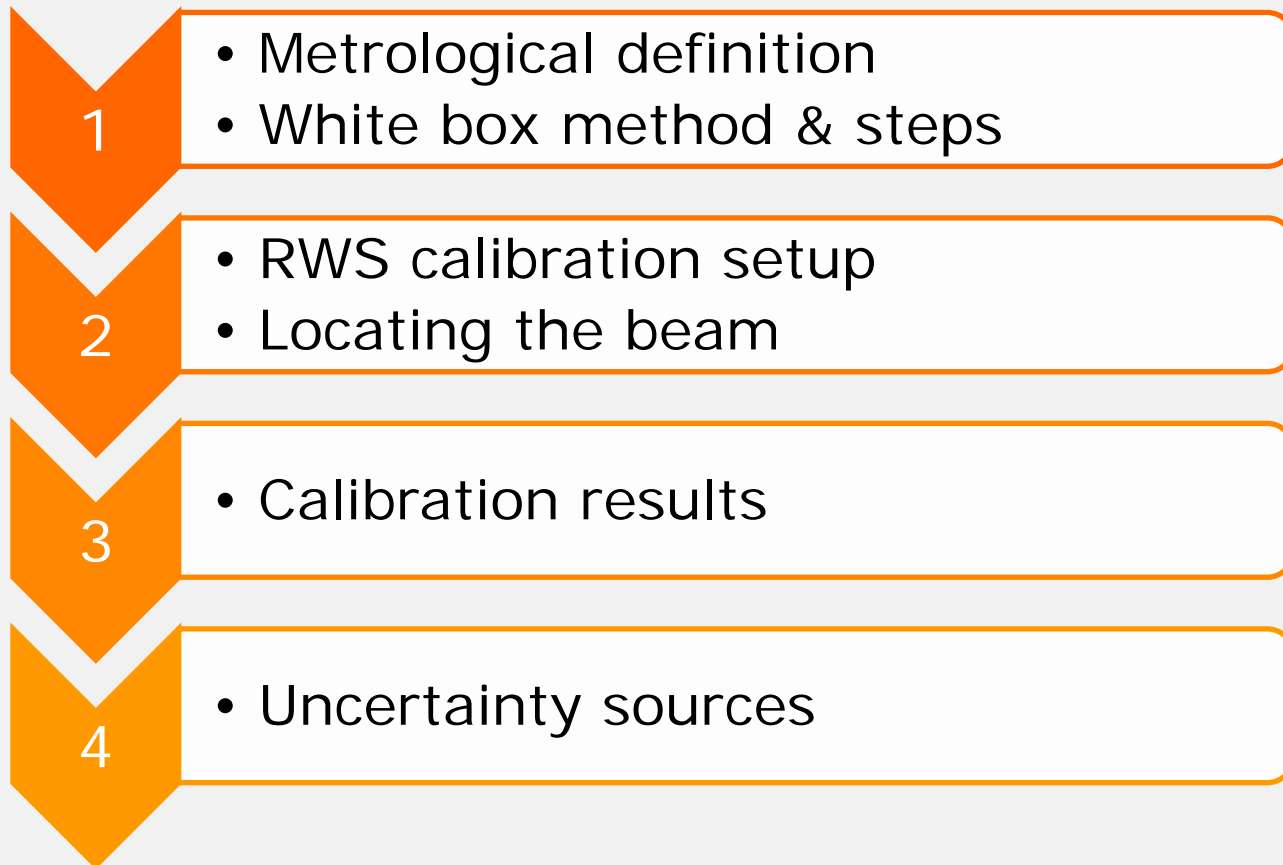
5-beam Demonstrator
(Avent Lidar Technology)

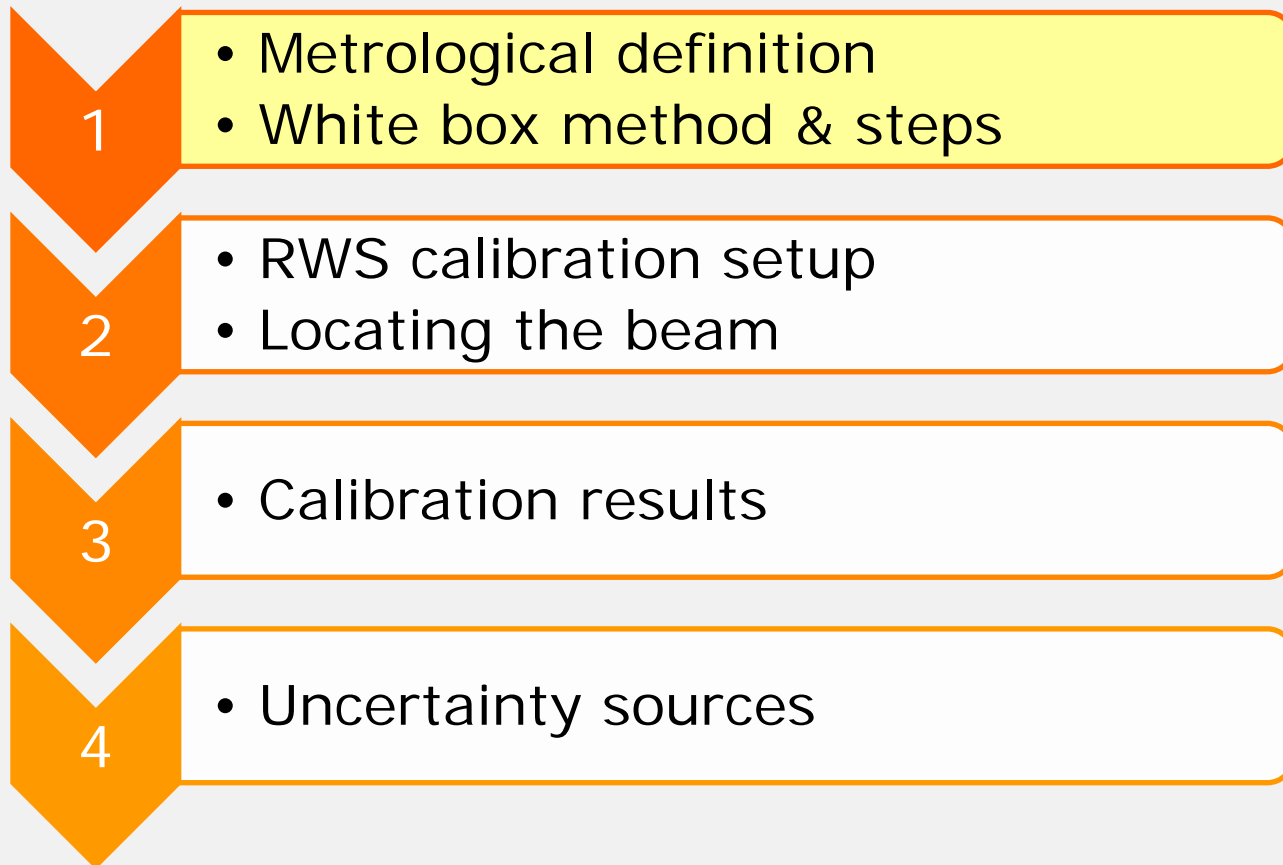
ZephIR Dual Mode
(ZDM, ZephIR lidar)



A. Borraccino, R. Wagner, M. Courtney, DTU Wind Energy

Outline





What is meant by calibration?

Let's speak the same metrological language !!



- **VIM (cf. JCGM 200:2012)**

<http://www.bipm.org/fr/publications/guides/vim.html>

operation that, under specified conditions, in a first step, establishes a relation between the **quantity values** – i.e. the **reference** – with **measurement uncertainties** provided by measurement standards and corresponding **indications** – i.e. **measurand to calibrate** – with associated measurement uncertainties and, in a second step, uses this information to establish a relation for obtaining a **measurement result** from an indication.

NOT CLEAR?

- **Reformulation in 3-step process**

1. **Relation:** measurand = f(reference)

2. **Uncertainties on measurand**

= uncertainties on reference + measurements uncertainties

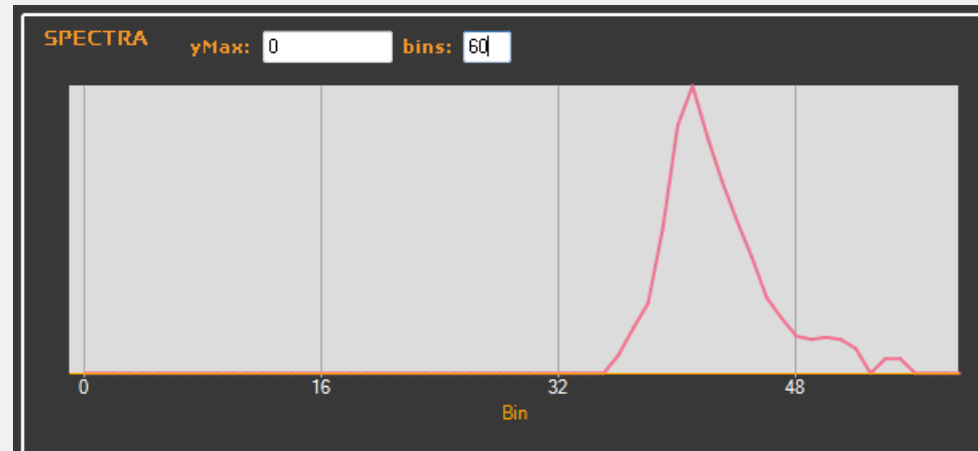
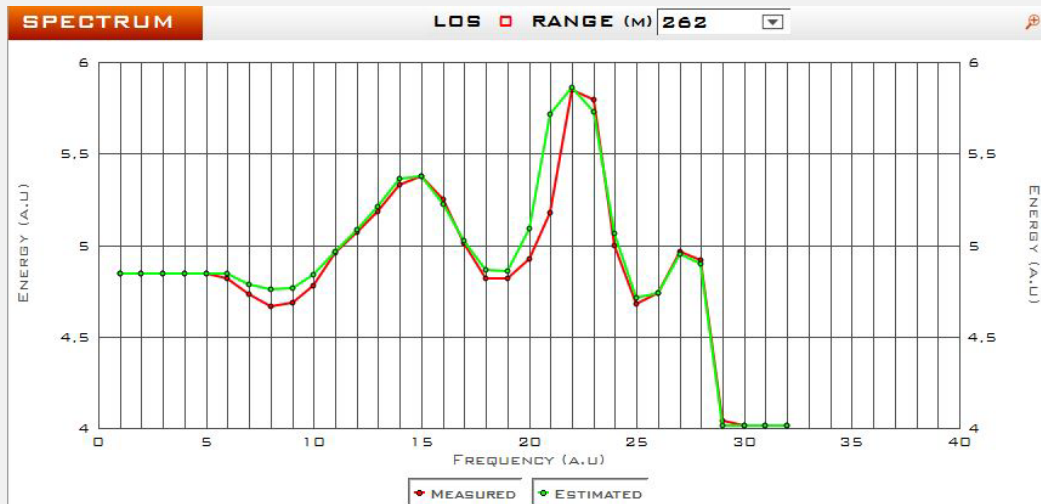
3. Use calibration relation = apply a correction on measurand

➔ ensures link in measurement chain and **traceability**



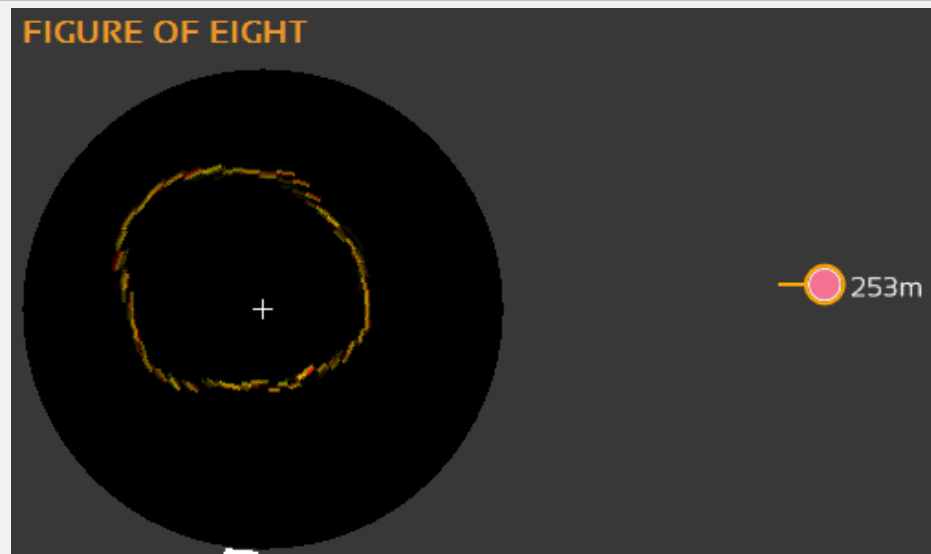
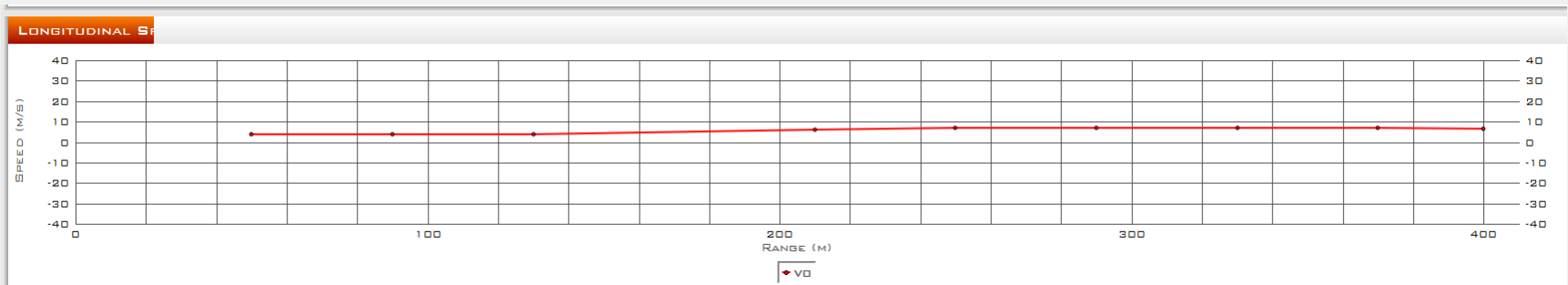
White box principles

- **3 levels of measurands in a lidar**
 - Raw Doppler spectra



White box principles

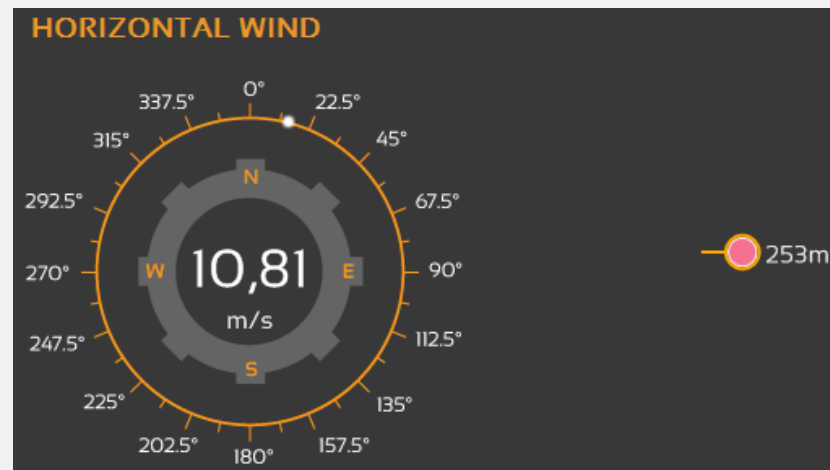
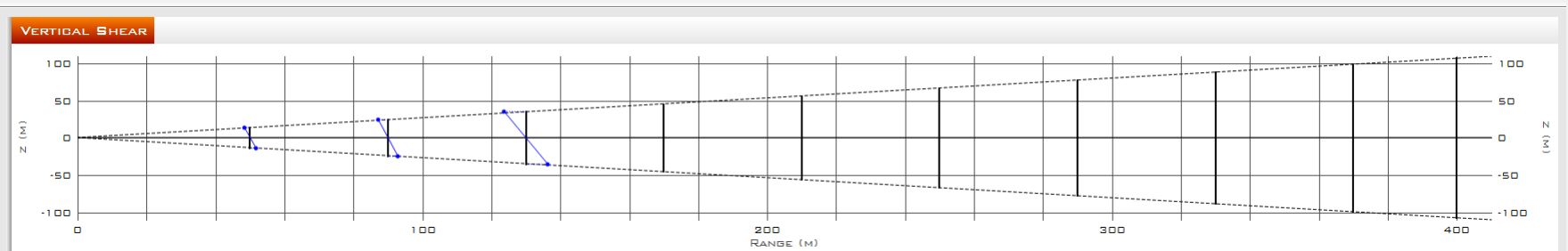
- **3 levels of measurands in a lidar**
 - Raw spectra
 - Radial wind speed (RWS) or Line-of-sight velocity





White box principles

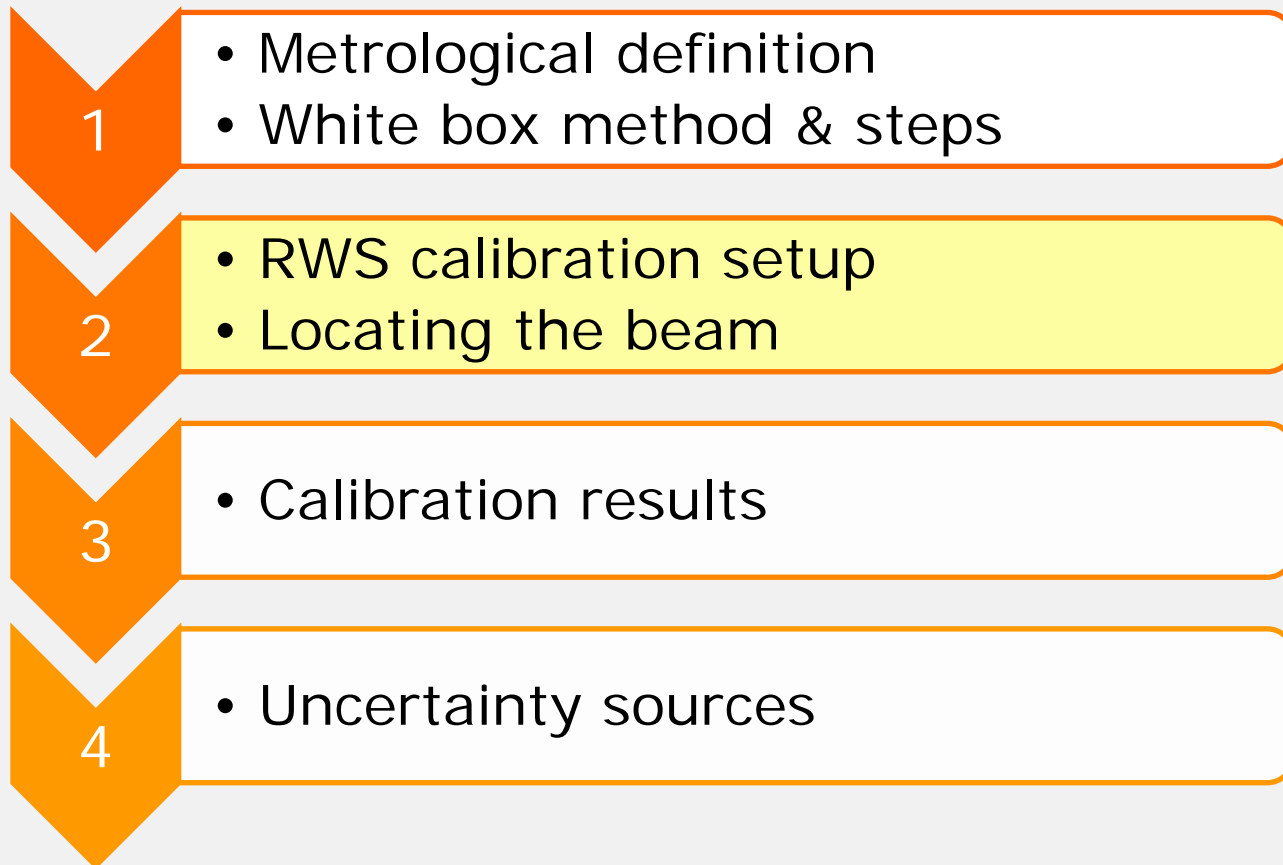
- **3 levels of measurands in a lidar**

- Raw spectra
- Radial wind speed or Line-of-sight velocity
- **Reconstructed parameters:** wind speed & direction, shear (horizontal, vertical, longitudinal), veer, turbulence

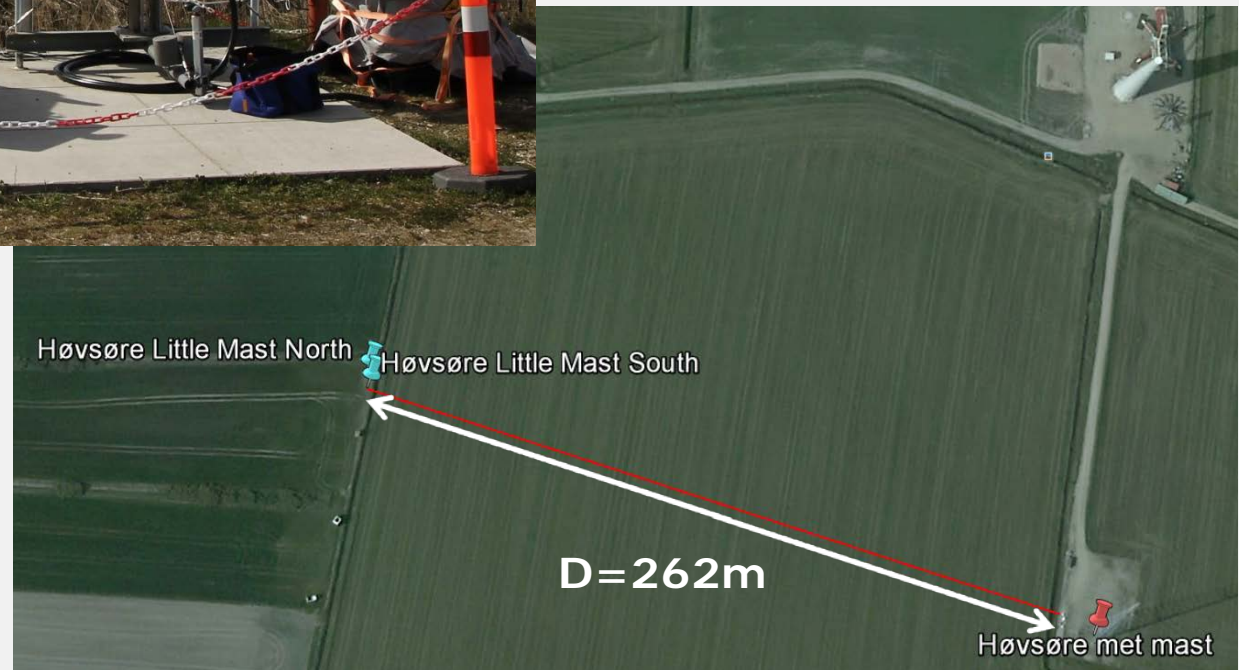
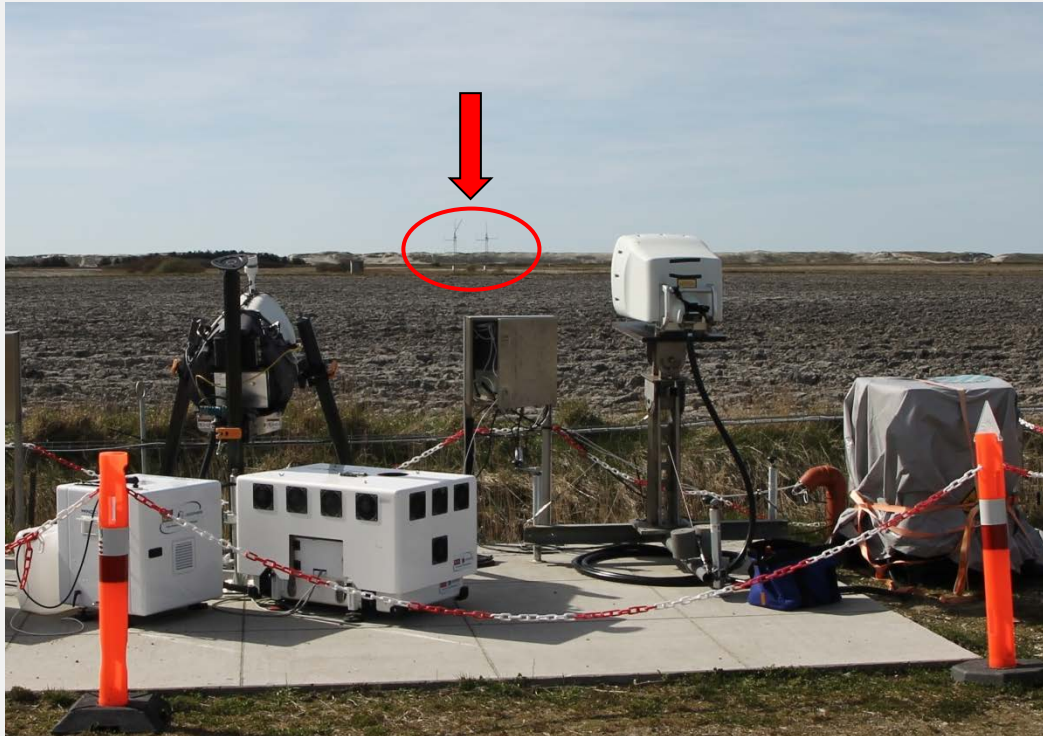


White box principles

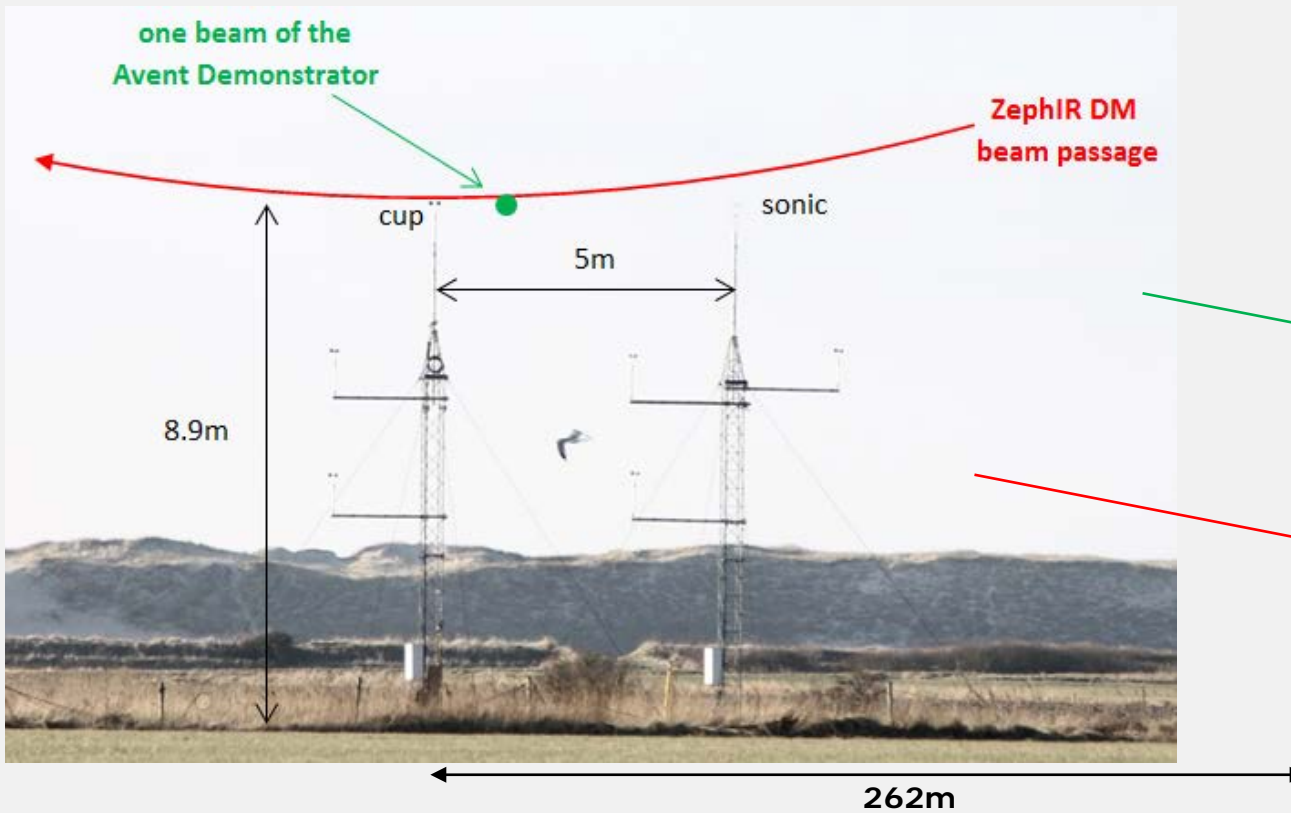
- **Black box: calibration of reconstructed parameters** 
- **White box: calibration of reconstruction algorithms' inputs**
 - 1) Geometry of the lidar: where is the beam?
 - a. Inclinator calibration 
 - b. Opening / cone angles check
 - 2) Position the beam close to a reference instrument
 - 3) Calibrate RWS by comparing to reference
 - 4) Derive uncertainties: reference → RWS
 - 5) Combine RWS according to reconstruction algorithms
 - 6) Derive uncertainties on ANY reconstructed parameter
 - as long as the algorithm is known
 - and correlation between the parameters



RWS calibration setup

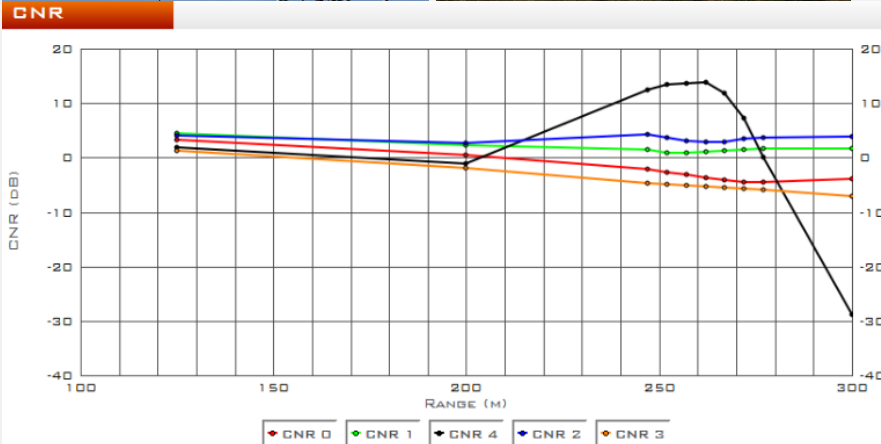


RWS calibration setup



Locating the beam

Avent Demonstrator

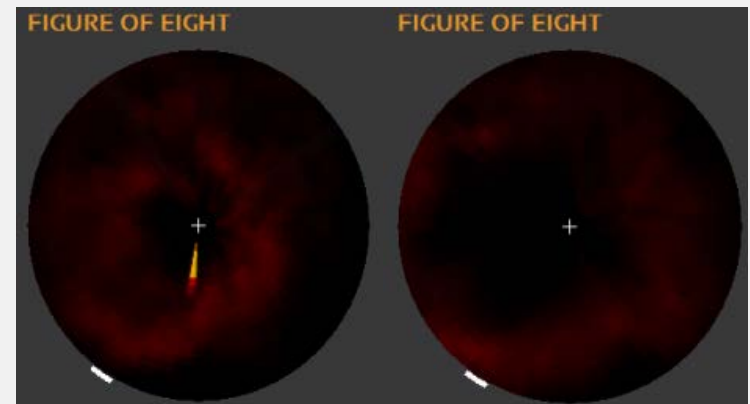


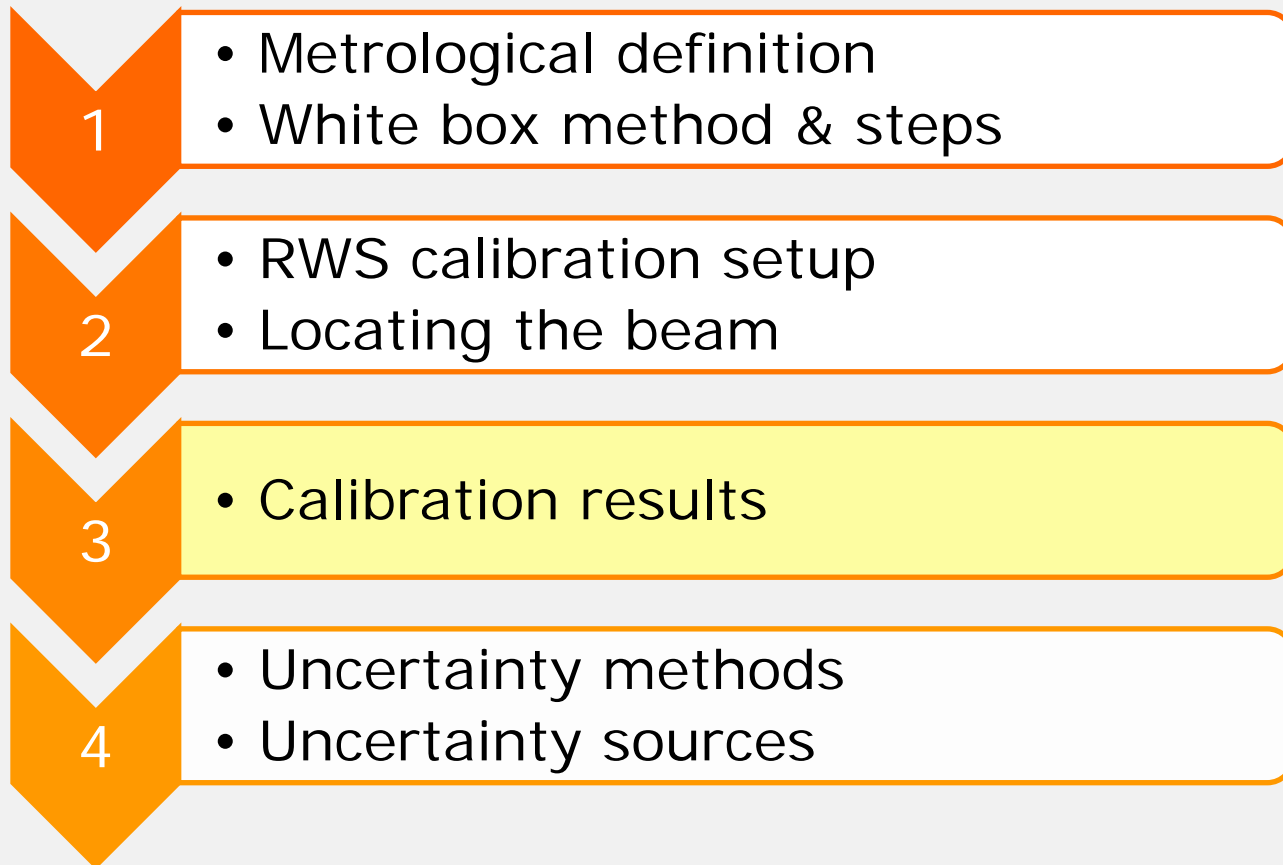
Zephir Dual Mode

- adjust the tilting progressively



- Hit a moving target (e.g. cups)





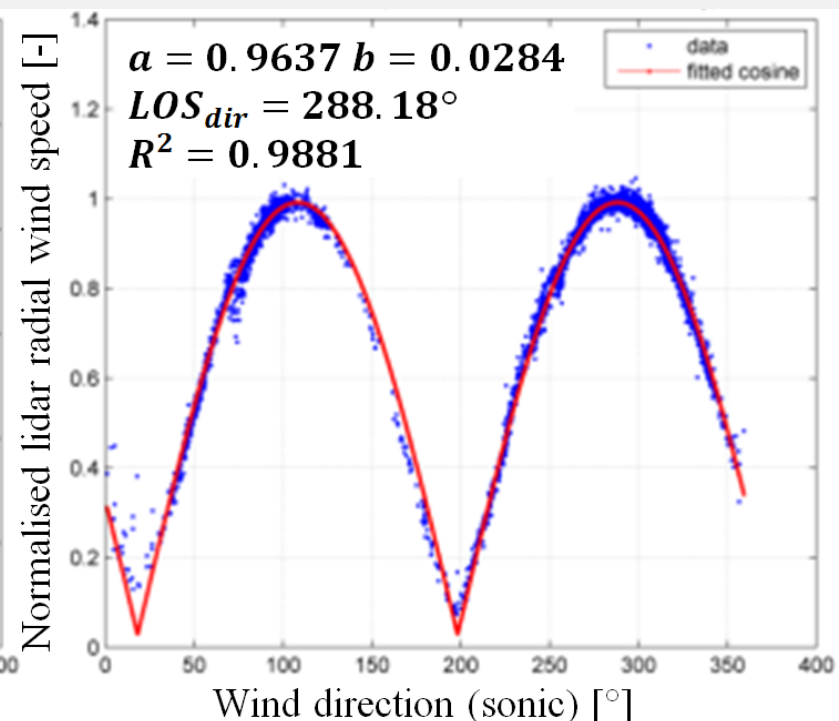
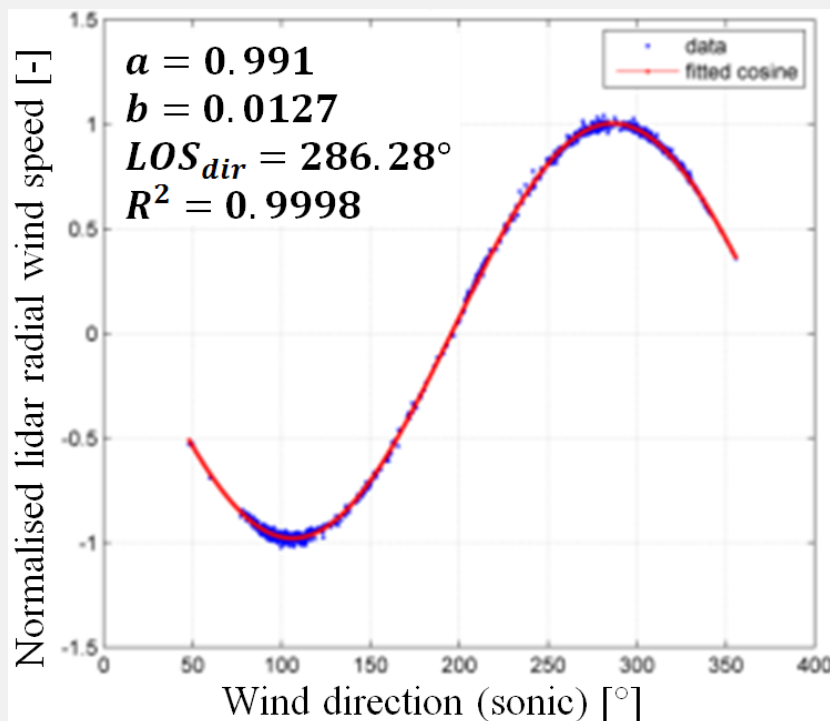
Data analysis (Avent: L, Zephir: R)

- **Main data**

$$Ref_{eqRWS} = HWS \cdot \cos(\text{tilt}) \cdot \cos(WD - LOS_{dir})$$

- Cup: horizontal wind speed
- Sonic: wind dir
- Lidar: LOS velocity + inclination

- **LOS direction evaluation 1: cosine / rectified cosine fitting**



Data analysis (Avent: L, Zephir: R)

• LOS direction evaluation 2 (finer)

– Projection angle range: LOS dir (V1) $\pm 1^\circ$

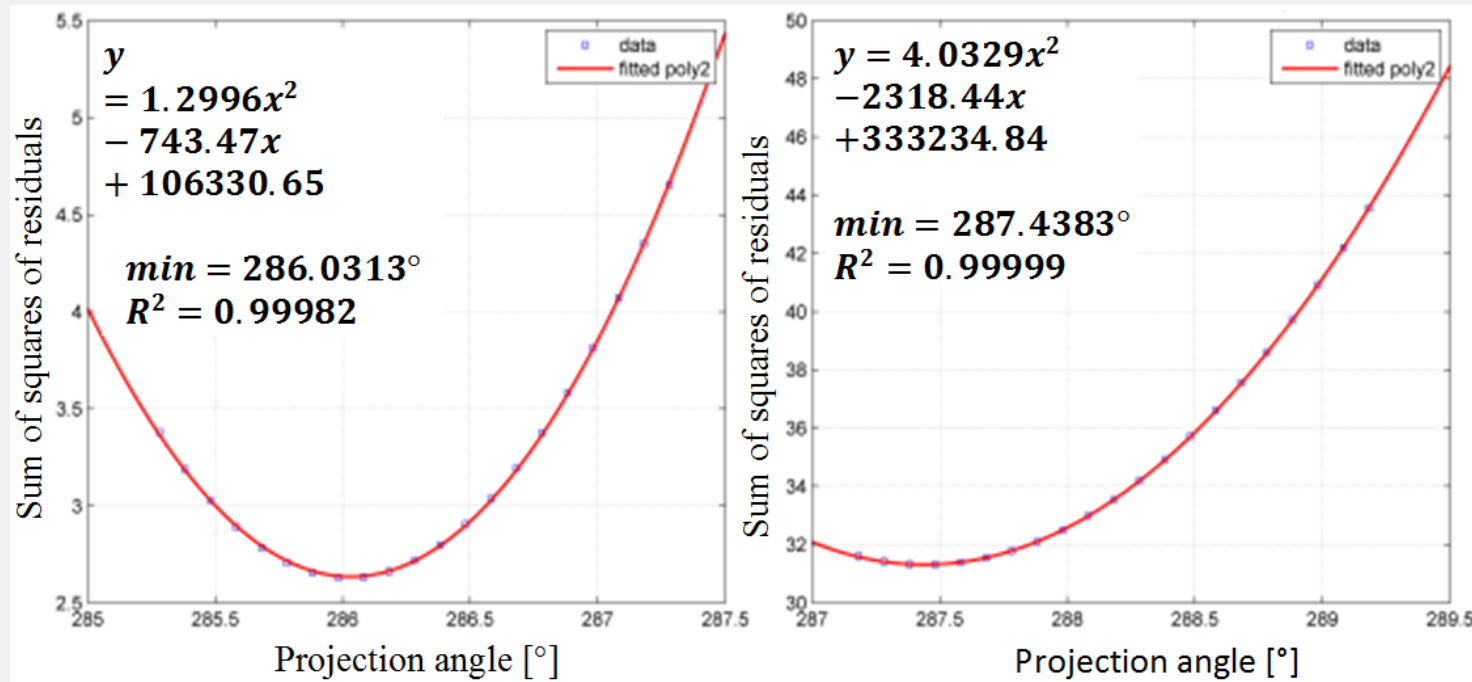
– Linear reg. each 0.1°

$$y = RWS$$

$$x = HWS \cdot \cos(WD - proj\ angle) \cdot \cos(physical\ beam\ inclination)$$

$$y = a \cdot x + b \rightarrow 1\ RSS\ value$$

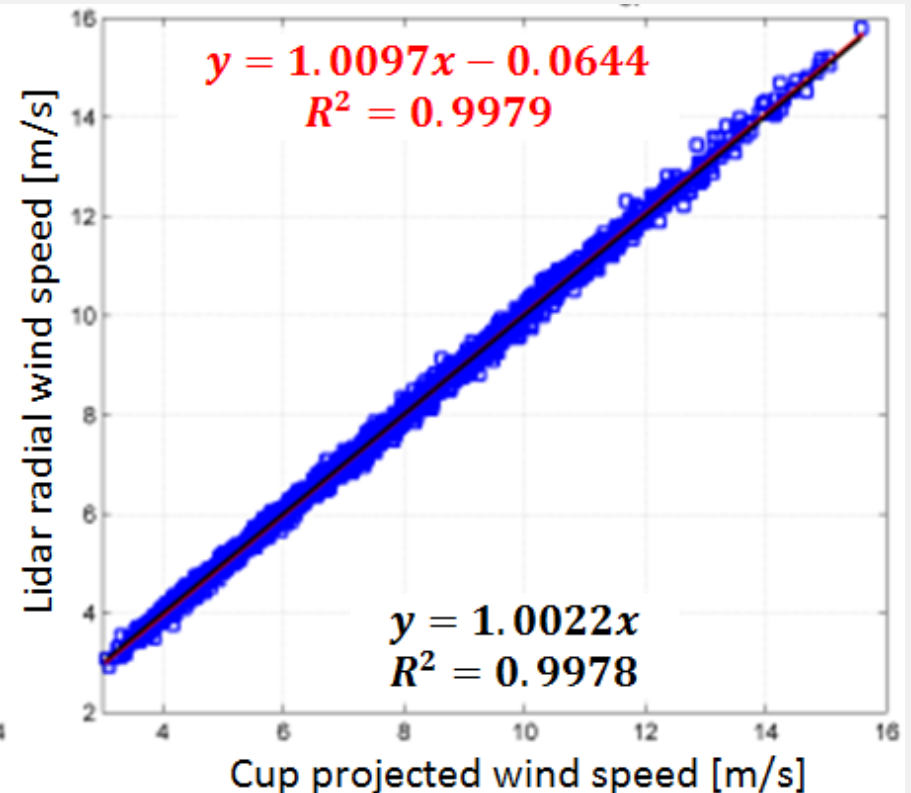
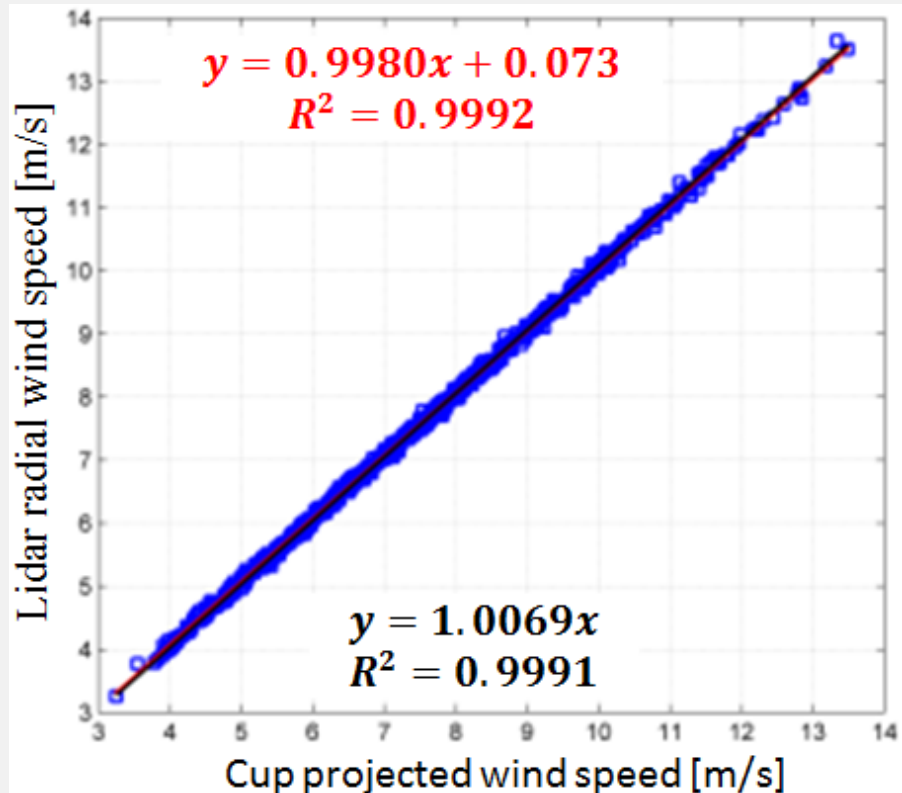
– LOS dir = min parabola



Calibration results (Avent: L, Zephyr: R)

• "RAW" calibration results

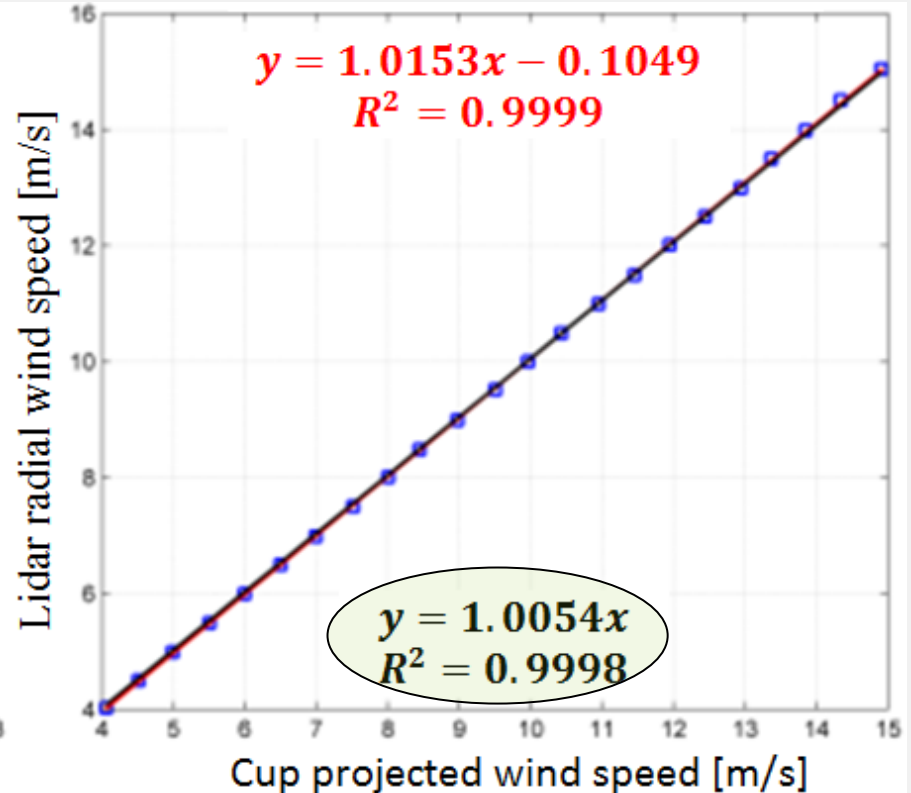
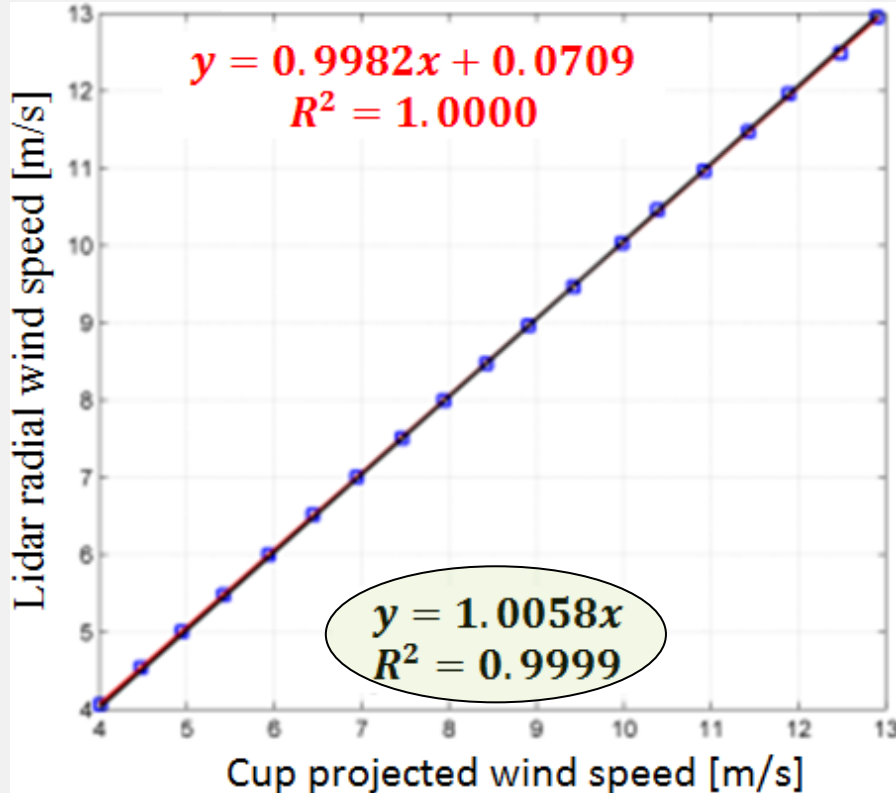
- Good agreement between lidars' RWS and the projection of the HWS on the LOS
- Influence of the WS distribution → use binned data instead



Calibration results (Avent: L, Zephyr: R)

- "binned" calibration results

- Use the forced regression
→ consistent gains
- Offset is not physical



Calibration results

all 5 beams Demonstrator + ZDM

| LOS / azimuth sector [deg] | Range selected [m] | LOS dir [deg] | Valid data points | Binned calibration | | | | | range of valid bins [m/s] | |
|----------------------------|--------------------|---------------|-------------------|--------------------|---------|--------|-------------------|--------|---------------------------|------|
| | | | | "Free" regression | | | Forced regression | | [m/s] | |
| | | | | gain | offset | R2 | gain | R2 | min | max |
| 0 | 262 | 286,03 | 742 | 0,9982 | 0,0709 | 1,0000 | 1,0058 | 0,9999 | 4 | 13 |
| 1 | 252 | 285,99 | 502 | 1,0043 | 0,0314 | 1,0000 | 1,0072 | 0,9999 | 3,5 | 15,5 |
| 2 | 252 | 285,99 | 1087 | 1,0056 | 0,0267 | 1,0000 | 1,0084 | 1,0000 | 3 | 13,5 |
| 3 | 252 | 286,06 | 446 | 1,0097 | -0,0046 | 0,9999 | 1,0090 | 0,9999 | 3,5 | 10 |
| 4 | 252 | 285,99 | 1508 | 1,0069 | -0,0142 | 1,0000 | 1,0056 | 1,0000 | 3,5 | 15 |
| 179-181 | 253 | 287,44 | 2140 | 1,0153 | -0,1049 | 0,9999 | 1,0054 | 0,9998 | 4 | 15 |
| 175-185 | 253 | 287,49 | 2140 | 1,0157 | -0,1032 | 0,9999 | 1,0059 | 0,9998 | 4 | 15 |

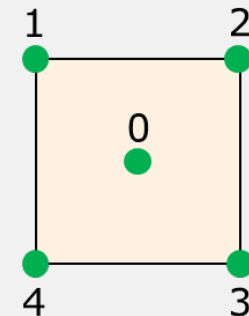
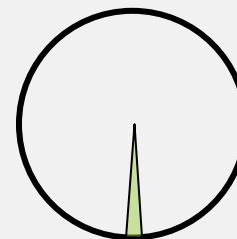
- LOS direction**

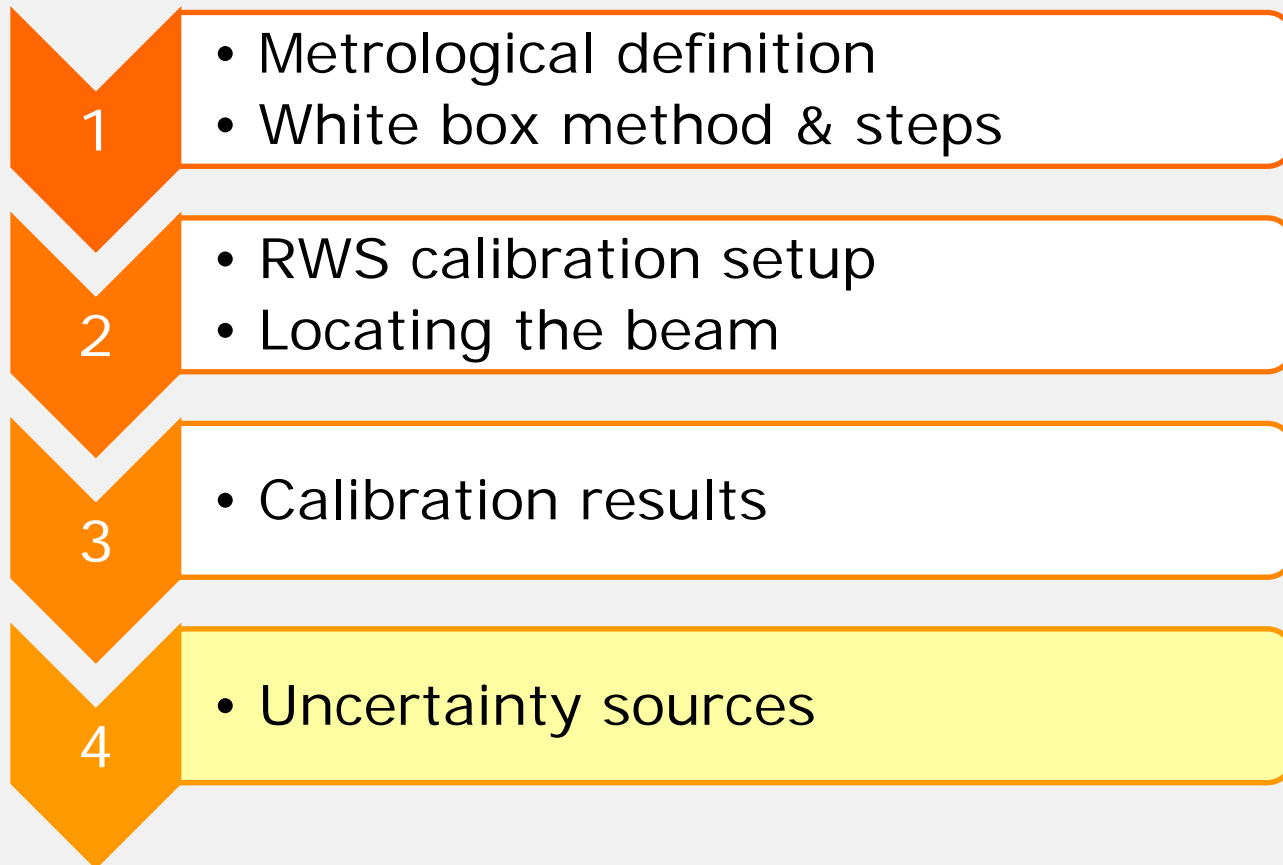
➔ consistent results for each lidar

- Forced regressions on binned data**

➔ gains error ~0.5 – 0.9%

ZDM 5-beam Demonstrator





Uncertainty sources (Avent: L, Zephir: R)

- **Analytical methods (GUM)**
- **Expressed for each wind speed bin**
- **Sources**
 - **Reference wind speed** (cup) → according to IEC 61400-12-1
 - Wind tunnel calib uncertainty (B)
 - Operational (B)
 - Mounting (B)
 - **Relative wind direction**: sonic WD – LOS direction
 - Sonic calibration in wind tunnel (B)
 - LOS direction estimation (B)
 - **Position of the beam**: accurately known to be close to cup
 - Physical beam inclination (inclinometers calib) for the projection (B)
 - Beam height → $U_H = \alpha \cdot \Delta H / H \cdot V_{HWS} \approx 0.023\% \cdot V_{HWS}$ (B)
 - **Statistical uncertainty** in the RWS measurement characterising the dispersion of RWS measurements under "repeatable conditions" (A)
 - **TOTAL uncertainty**: $U_{RWS} = \sqrt{\sum U_i^2}$

Conclusion - further work

• Conclusions

- White box method applicable to both pulsed / CW nacelle lidars
 - **generic**
 - potentially for all lidars irrespective of their application
 - repeatable
- RWS calibration
- Limitations
 - Need for better cup anemometer calibration (uncertainty is predominant)
 - High TI at low height → not ideal measurement setup, but still yielding good results

• Further work

- Uncertainty derivation of parameters using custom reconstruction algorithms
- Sensitivity analysis (variability of calibration results)
 - Amount of valid points
 - Atmospheric conditions: T° , TI, thermal stability

Thanks for your attention!

QUESTIONS?

More info:

- website www.unitte.dk
- contact borr@dtu.dk



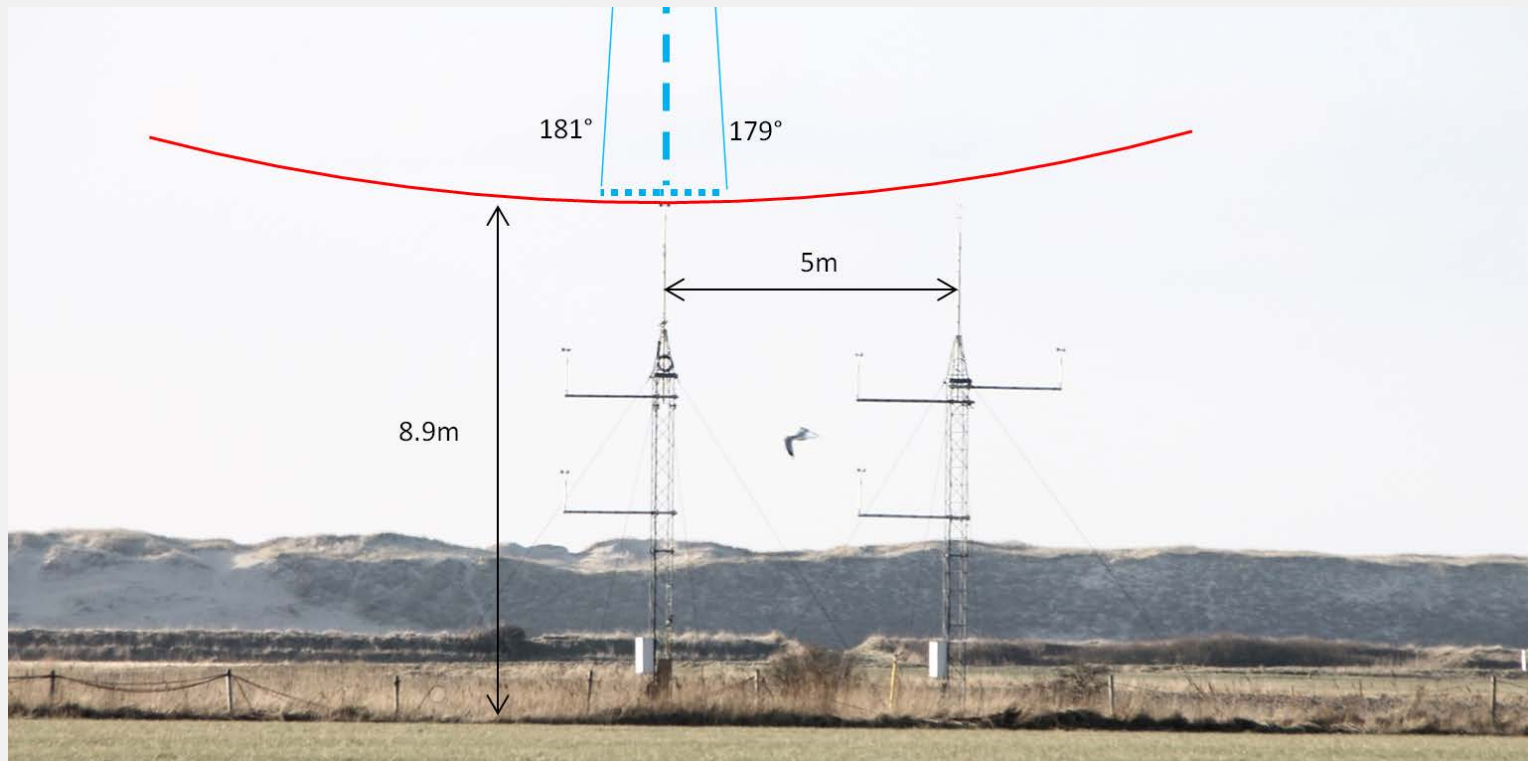
Preparing questions



Calibration results

ZDM

- **Parameter to adjust: width of valid azimuth sector**



- Used for averaging realtime data from "RAW" files
- Only one beam to calibrate since scanning: here "2-deg wide" sector
- NB: the selected arc is $\sim 20\text{m}$ large \rightarrow can influence results

Black & white box calibration of lidars

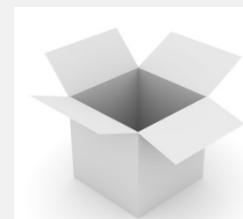
Two different principles

• Lidar measurements and outputs

- Measurand: frequency of the backscattered light
- Converts it in a Radial Wind Speed, i.e. the **component of the wind vector in the line of sight** (LOS, laser beam direction)
- RWS considered as the "raw measured quantity"
- Output parameters
 - obtained by applying mathematical models to a number of RWS measurements → reconstruction algorithms
 - Examples: HWS, shear, wind direction, veer, ...

• Two principles

- Black box: calibration of the "mathematically derived" parameter against the same type of parameter measured by a reference instrument
 - E.g. HWS vs. Cup anemometer wind speed
- White box: calibration of the parameters used as inputs to the reconstruction algorithm
 - individual beams RWS calib



Black & white box calibration of lidars

Black box: requirements, pros, cons, example (1/2)

- **Requirements**

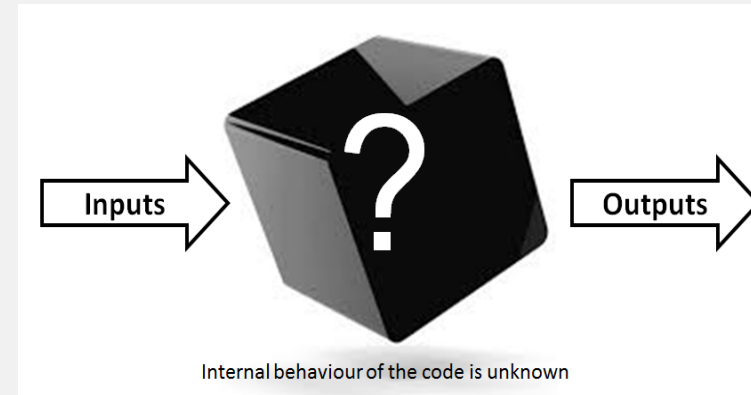
- availability / existence of a reference instrument for the type of data to calibrate
- reference instrument **MUST** be calibrated (certificate ...)

- **Pros**

- Direct comparison of the data:
 - reconstructed output vs. Reference
 - no additional algorithm to transform the data
 - fast and relatively easy

- **Cons**

- Need for multiple reference instruments: theoretically, one for each output
- Assumptions adding uncertainties
 - ➔ e.g. homogeneity, issue for nacelle-based lidars (horizontally shooting)
- **!!** the reconstructed output can physically not exist **!!**

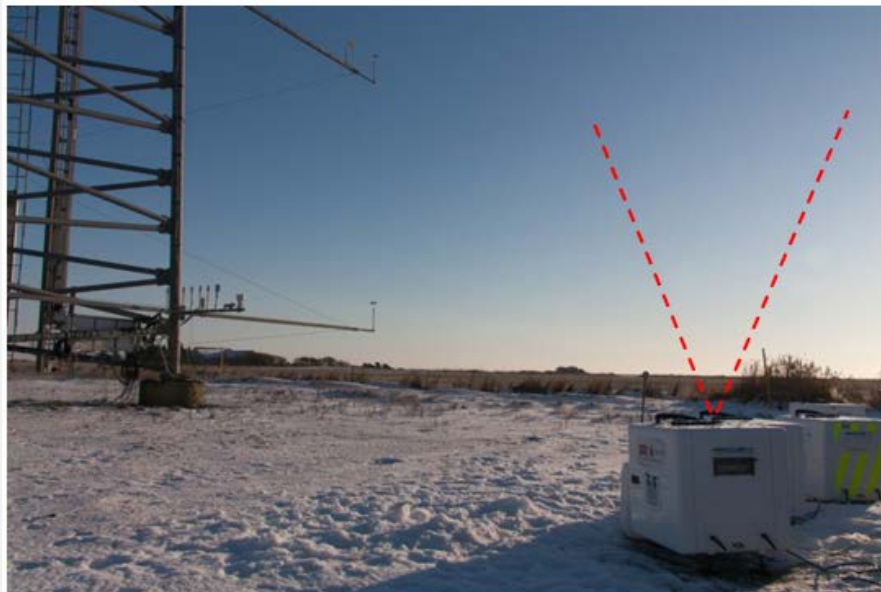


Black & white box calibration of lidars

Black box: requirements, pros, cons, example (2/2)

- **Example: calibration of ground-based profiling lidars**

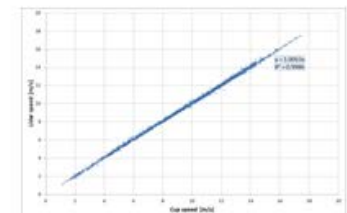
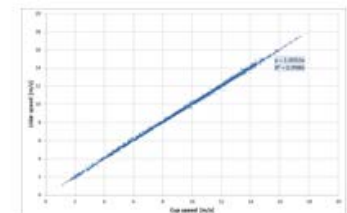
- Measurand: horizontal wind speed
- Reference: cup anemometers at several heights
- Additional uncertainties?
 - Measurement at same height?
 - Is the beam vertical? → inclinometer for roll angle
 - Homogeneity assumption is satisfied quite well



116m

...

40m



5 heights

Black & white box calibration of lidars

White box: requirements, pros, cons, example (1/2)

• Requirements

- ✓ – Being able to actually calibrate the RWS → availability / existence of reference instrument for wind speed and direction
- ✓ – Being able to check the geometry → e.g. angles used for HWS projection
- ✓ – Calibrate the inclinometers → roll and tilt
- ✗ – Having access to the mathematical model used by the manufacturer for reconstruction
- ?
- not the algorithms themselves
- Mandatory in order to derive uncertainties on the reconstructed outputs

• Pros

- Calibration of a physically existing quantity
- For nacelle lidars, homogeneity is not needed or less sensitive
- Uncertainties
 - on theoretically any reconstructed parameter
 - even for parameters that cannot be measured by reference instruments (shear?)

Black & white box calibration of lidars

White box: requirements, pros, cons, example (2/2)

- **Cons**

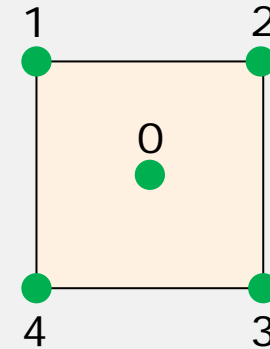
- Make sure that the reconstruction algorithm is correct
 - Does it get the physics right?
 - Suggestion 1: 3D flow simulation test cases
 - Suggestion 2: for each technology of lidar (different algorithm), at least two "black box" calib
- Longer duration
 - Calibration of successive LOS
 - ➔ 2-min average to fill the distribution quicker (not *5)
- Need for:
 - Inclinometers calibration
 - Geometry verification
 - RWS calibration

- **Example: calibration of nacelle-based lidars**

Calibration results

all 5 beams - Demonstrator

- LOS 0 – LOS 4 – LOS 1 – LOS 0 – LOS 3 – LOS 2



| LOS | Range selected [m] | LOS dir [°] | Valid data points | Binned calibration | | | | | range of valid bins | | TI range | T abs 2m range |
|----------|--------------------|--------------|-------------------|--------------------|---------|--------|-------------------|--------|---------------------|------|----------|----------------|
| | | | | "Free" regression | | | Forced regression | | [m/s] | | | |
| | | | | gain | offset | R2 | gain | R2 | min | max | | |
| 0 (comb) | 262 | 286,03 | 742 | 0,9982 | 0,0709 | 1,0000 | 1,0058 | 0,9999 | 4 | 13 | 10-17% | 3-10° C |
| 1 | 252 | 285,99 | 502 | 1,0043 | 0,0314 | 1,0000 | 1,0072 | 0,9999 | 3,5 | 15,5 | 10-16% | 2-7° C |
| 2 | 252 | 285,99 | 1087 | 1,0056 | 0,0267 | 1,0000 | 1,0084 | 1,0000 | 3 | 13,5 | 10-17% | 4-8° C |
| 3 | 252 | 286,06 | 446 | 1,0097 | -0,0046 | 0,9999 | 1,0090 | 0,9999 | 3,5 | 10 | 9-16% | 4-7.5° C |
| 4 | 252 | 285,99 | 1508 | 1,0069 | -0,0142 | 1,0000 | 1,0056 | 1,0000 | 3,5 | 15 | 10-18% | 4-9° C |

- **TI**
 - 10-17% → relatively high because of measurement height (8.9m)
- **Temperature @2m**
 - Winter time in DK
 - No negative T in valid dataset!