## THESIS

## ANALYZING THE DETECTION EFFICIENCY OF THE GEOSTATIONARY LIGHTNING MAPPER IN ISOLATED CONVECTION

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## ABSTRACT

# ANALYZING THE DETECTION EFFICIENCY OF THE GEOSTATIONARY LIGHTNING MAPPER IN ISOLATED CONVECTION

The Geostationary Lightning Mapper (GLM) flying on GOES-16 and GOES-17 has provided near-hemispheric lightning detection for nearly two years. Since operation began, several attempts have been made to compare flash rate observations from GLM against groundbased lightning detection systems. While GLM captures a high percentage of flashes in the fieldof-view of GOES-16 and GOES-17, some studies have shown reduced detection efficiency at storm-scale. The problem of analyzing lightning from space is a complex one. Several factors such as: flash area, flash length, cloud water and ice contents, flash height, flash brightness and position relative to satellite nadir affect the detection efficiency of GLM. This study analyzes numerous convective cells in the Alabama, Colorado, and W. Texas regions to further analyze the detection efficiency of GLM. Lightning data from VHF-based lightning mapping arrays (LMAs) in each region were compared directly to measurements from GLM. The GLM/LMA ratio for each cell was computed during the lifetime of the thunderstorm. Additionally, graupel echo volumes, precipitation ice water paths, and cloud ice and cloud water paths were calculated to access the microphysics of each cell. This study features an in-depth analysis of thunderstorms that vary in size and severity from each region. Further, a statistical analysis of all of the variables was performed to determine the major factors that affect GLM detection efficiency.

This study found that flash rate, flash brightness and near cloud-top water and ice water paths significantly affect GLM detection efficiency. Specifically, thunderstorms with increased flash rates, cloud-top water paths, and decreased flash size/brightness are often characterized by low (< 20%) GLM detection efficiencies. These characteristics are common in so-called "anomalous" charge structure thunderstorms that frequent the northern Colorado region. Additionally, this study confirmed results from previous studies which found that the GLM DE decreases as the distance from nadir increases. These results will be helpful for meteorologists utilizing GLM observations to assist with decisions regarding severe weather.

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### **CHAPTER 1: INTRODUCTION**

The Geostationary Lightning Mapper (GLM) aboard GOES-16 and GOES-17 has been providing real-time near-hemispheric lightning detection for nearly four years. The GLM observations have provided insight into the lightning observations of many atmospheric processes ranging from hurricanes to volcanic eruptions. The lightning data has also assisted meteorologists in forecasting severe weather and the impacts of thunderstorms on life and property. Now that a large sample size of thunderstorms has been observed by the two GLM platforms, it is important to access the performance of GLM, in particular, flash rate. The key measurement here is the detection efficiency of GLM, formed by taking the ratio of the GLM flash rate to the flash rate from other lightning locating systems (LLS) over the same storm area. This thesis focuses on assessing the detection efficiency of the GOES-16/17 GLM within isolated convection. Detection efficiency is obtained from VHF based Lightning Mapping Array data. Numerous case studies of thunderstorms in different regions are analyzed in this study to identify factors that affect GLM's ability to detect flashes. Additionally, a statistical investigation is performed to draw more generalized conclusions from the thunderstorms that were observed.

## **1.1 GEOSTATIONARY LIGHTNING MAPPER**

The GOES-16 satellite was launched on 19 November 2016 and moved into its operational position of 75.2 W on 18 December 2017. GOES-17 followed on 1 March 2018 and reached its operational position of 137.2 W on 12 February 2019. Together, they have provided real-time near-hemispheric lightning observations for nearly two years via the Geostationary Lightning Mapper (GLM). GLM is a near-infrared optical transient detector that detects optical emissions produced by electrical breakdown processes at a wavelength of 777.4 nm. The GLM sensor employs a charge coupled device (CCD) that stares constantly at the surface of the Earth.

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The CCD has a wide field-of-view (FOV) with a size of 1372x1300 pixels (Goodman et al. 2013). GLM provides lighting coverage up to 52 N/S latitude with a frame rate of 2 milliseconds. The pixel size of GLM varies from ~8 km at nadir to ~14 km at the edges of the FOV. The design specifications of GLM were for 70% detection efficiency over the FOV.

Since the data have become operational, several attempts to study the performance of GLM have been made. Early returns of GLM suggest that the performance of GLM met or exceeded design specifications on a hemispheric scale (Koshak et al., 2018). Another study found that measurements of GOES-16 GLM meet design specifications in most locations except for the western Great Plains region (Marchand et al. 2019). Further work found that GLM has a higher detection efficiency during nighttime hours when compared to the daytime (Cummins 2020). The GLM detection efficiency also decreases with increasing distance from nadir (Cummins 2020). Further research has found that GLM has a high detection efficiency for large flashes with long durations but reduced DEs for short duration flashes with small areas (Zhang and Cummins 2020). Additionally, other studies have shown that there is reduced detection efficiency for the so-called anomalous charge structure thunderstorms that have small flashes, low flash heights, and increased cloud water paths (Thomas et al., 2019, Rutledge et al., 2020). These thunderstorms are characterized by extremely high lightning flash rates. These storms are often associated with sever weather, hail, strong winds or tornadoes. Since these thunderstorms commonly produce severe weather, it is important to determine what it is about them that causes low GLM DE.

## **1.2 LIGHTNING CHARGE SEPERATION**

Electrical breakdown leading to lightning in thunderstorms is the result of particle collisions in the mixed phase region of a convective cloud which creates a separation of charge. This charge separation usually manifests itself in the form of a tripole charge structure with a negative mid-level charge layer situated between an upper and lower-level positive charge layer (Williams 1985). The charge separation occurs when small ice crystals and larger graupel particles collide and separate in the presence of supercooled cloud liquid water. The small ice crystals (normally positively charged) are lofted to the upper parts of a thunderstorm cloud while the heavier graupel particles (normally negatively charged) settle to the mid-levels (Williams et



al., 1989), leading to positive over negative charge in the upper half of the tripole.

Figure 1.1: Figure 8 from Takahashi et al. 1978.

The lower positive region of normal polarity thunderstorms results from hydrometeors that fall from the mid-levels of the thunderstorm and acquire positive charge. Graupel particles acquire positive charge in the lower level of the mixed phased region because graupel charges positively at higher temperatures and lower cloud water contents (Takahashi 1978, Saunders and Peck 1998, Saunders et al. 2006). The physics of charge separation have been the focal point of many laboratory studies (Reynolds et al. 1957; Takahashi, 1978; Jayaratne et al. 1983; Baker et al. 1987; Saunders et al. 1991; Saunders and Brooks 1992; Saunders and Peck 1998, Mason and Dash 1999, 2000; among others). The earliest of these laboratory studies found that a graupel

particle undergoing riming acquires a significant amount of charge when it collides with an ice crystal in the presence of supercooled liquid water (Reynolds et al. 1957). Further results established that the polarity of the charge on the riming particle varies with temperature and supercooled liquid water content (Takahashi 1978). This study showed that there was a charge reversal line related to temperature and that graupel charged positively at temperatures warmer than -10 C and negatively at colder temperatures. Also, at high liquid water content, the rimer was found to charge positively regardless of temperature. Additionally, this study found that varying amounts of cloud water content can affect the polarity of charge on the graupel particle (Figure 1.1). While most studies show that the charge reversal line exists, many disagree on what the actual temperature is. Figure 1.2 shows the different results for the charge reversal line from Takahashi (1978), Saunders and Peck (1998), Pereyra, Avila, Castellano and Saunders (2000), and

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Figure 13. Rimer charge sign boundaries for the present work (based on the data shown in Fig. 10) and earlier studies. — Present results. — Pereyra, Avila, Castellano and Saunders (2000).

## Figure 1.2: Figure 13 from Saunders et al. 2006. The original caption is included in the above figure

Each study shown in Figure 1.2 shows that the charge reversal line varies based on the effective cloud water content. All studies show that graupel can charge positively at warmer temperatures so long as the cloud water content is increased. However, all of these studies disagree on the relative location of the charge reversal line. Still, another study found that the charge reversal line is -20 C (Jayaratne et al. 1983). The charging on a graupel particle is still very much an ongoing research question.

The non-inductive charging theory is the most accepted idea for lightning in thunderstorms. Baker and Dash 1994 theorize that a quasi-liquid layer (QLL) surrounding the ice particle is responsible for the charge transfer. The QLL is comprised of an outward negative charge (OH<sup>-</sup>) and an inward positive charge (H<sup>+</sup>) ions. When two ice particles with different QLLs interact with each other, negative charge flows from the thicker QLL to the thinner QLL such that a

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chemical equilibrium is established between both QLLs (Dash et al., 2001). The negative charge moves in the direction of this mass transfer, therefore the particle with thinner QLL will acquire net negative charge and the particle with the thicker QLL acquires net positive charge (Dash et al., 2001). The study states that negative charge moves from higher temperature to lower temperature, high surface curvature to low surface curvature, and high vapor growth rate to lower vapor growth rate. In the mid-layer of a thunderstorm, graupel is typically growing by riming while ice crystals are growing due to vapor deposition. Therefore, the graupel particle acquires net negative charge according to Baker and Dash (1994) and Dash et al. (2001).

## **1.3 ANOMALOUS CHARGE STRUCTURE THUNDERSTORMS**

The typical thunderstorm archetype explained in Williams et al., (1989) describes a thunderstorm with a mid-layer negative charge region sandwiched between a lower-level and upper-level positive charge region. This charge structure promotes intra-cloud (IC) flashes between the mid-level negative region and the upper-level positive region. This charge structure also promotes negative polarity cloud-to-ground lightning strikes (-CG). While -CG strikes make up the majority of CG strikes in the United States (Orville 1994, Zajac and Rutledge 2001), there are a subset of thunderstorms in the U.S. that produce predominately +CG strikes (Boccippio et al. 2001; Carey et al. 2003; Williams et al. 2005; Fuchs et al. 2015). Zajac and Rutledge (2001) and Boccippio et al. (2001) found that the largest percentage of +CG strikes occur in the high plains region from Colorado to North Dakota and Minnesota. These studies also found that the majority of high +CG flash rate thunderstorms occur in the same region. Numerous studies have also shown that positive polarity CG's are more likely to occur in thunderstorms that produce severe weather (e.g. Branick and Doswell 1992; Carey and Rutledge 1998; Lang et al. 2002, 2004; Carey et al. 2003; Wiens et al. 2005; Tessendorf et al. 2007).

The presence of +CG lightning strikes has been linked to the so-called "anomalous" charge structure in thunderstorms marked by the presence of a positive mid-layer charge rather than a negative charge (Carey and Rutledge 1998; Weins et al. 2005; Tessendorf et al. 2007; Fuchs et al. 2015). The reason for this difference in charge structure is not totally understood, but there have been many studies to address the physics of anomalous (or inverted) storms. Williams et al. (2005) suggest that high cloud base heights (CBHs) accompanied by small warm cloud depths (WCDs) might be the reason for anomalous charge structure thunderstorms. The idea is that shorter WCDs promote a higher supercooled liquid water content in the mixed-phase region of a thunderstorm. Thunderstorms with small WCDs are not efficient in the warm rain processes of collision and coalescence which allows for higher supercooled liquid water contents in the mixed-phase region. The elevated cloud base heights over the High Plains region also promotes wider updrafts compared to thunderstorms with low CBHs (McCarthy 1974). The combination of wide updrafts and shallow WCDs produce increased supercooled liquid water contents in the mixed phase region which are known to cause net positive charge on graupel particles (Saunders et al. 1991; Saunders and Peck 1998). When enhanced cloud water content values exist in the mixed-phase region, the graupel particle acquires the thicker QLL compared to the normal situation where the ice crystal has the thicker QLL. In this situation, negative charge flows from the graupel particle to the ice crystal and the graupel particle acquires positive charge Dash et al., (2001).

Fuchs et al. (2015) found that most thunderstorms in the Colorado region are of anomalous polarity with a mid-level positive charge region while thunderstorms in Alabama are typically of normal polarity. Another factor in the formation of anomalous charge structure thunderstorms is the warm cloud residence time, or the time an air parcel takes to move upward through the warm

cloud depth. Here the warm cloud depth is the vertical distance between cloud base and the freezing level. Fuchs et al. (2018) found that anomalous thunderstorms have much smaller warm cloud residence times compared to normal thunderstorms, due to the geometrically thinner WCD. It is thought that large warm cloud residence times allow coalescence to develop which works to reduce the cloud water content in the mixed phase region, resulting in negative charging of graupel (Williams et al. 2005). Shorter WCDs and stronger updrafts result in shorter warmer cloud residence times and enhanced supercooled liquid water contents in the mixed phase region which results in positive charge on the graupel particle (Takahashi 1978, Saunders and Peck 1998, Pereyra, Avila, Castellano and Saunders 2000, and Saunders et al. 2006).

Thunderstorms do not have to begin as anomalous polarity to eventually produce +CG strikes. A period called an "end-of-storm-oscillation" (EOSO) sometimes occurs during the decaying stages of a thunderstorm storm (Marshall and Lin 1992; Williams et al. 1994; Pawar and Kamra 2007; Marshall et al. 2009). The EOSO is characterized as a change of charge structure during the latter stages of a thunderstorm. Typical normal charge structure thunderstorms are characterized by a mid-level negative charge typically situated between -15 and -20 C along with a low-level and upper-level positive charge region. When a thunderstorm begins to decay, the mid-level negative charge region becomes less prevalent and the storm is dominated by positive charge region for a time. It is during this time period that the thunderstorm cloud becomes conducive for the development of +CG strikes.

## **1.4 GOALS OF THIS STUDY**

The overall goal of this study is to investigate GLM detection efficiency, especially focusing on what mechanisms lead to particularly low DE (< 20%) for storms occurring in certain areas. Several thunderstorms from the Alabama, Colorado, and west Texas region are

analyzed to study the performance of GLM. The lightning flash rates from ground-based lightning locating systems are compared to that of GLM. Additionally, several microphysical variables such as ice water path, cloud water/ice path, hail, and graupel volumes are analyzed to identify trends between thunderstorms with high GLM DEs versus those that have low GLM DEs. Questions that will be answered in this study include:

1) What factors affect the GLM DE?

2) Are low GLM DE's limited to storms with anomalous charge structures?

Can GLM detect dynamical changes in lightning flash rate of severe thunderstorms?
All of these questions are important for meteorologists who use GLM to forecast severe weather.

## CHAPTER 2: DATA AND METHODOLOGY

This section will provide an overview of the data and methodologies that were used in this study. The radar dataset from NEXRAD will be described and which radars were used will be described in section 2.1. Additionally, the radar variables used in this study will be described in this section. The list of programs used to derive the hydrometeor identification will be described in section 2.2. While the methods used to derive ice water path and cloud top water path will be discussed in sections 2.3 and 2.4 respectively. Lightning data from the Geostationary Lightning Mapper, various lightning mapping arrays, and the National Lightning Detection Network will be discussed in section 2.5. Finally, the CLEAR tracking algorithm will be discussed in section 2.6.

## 2.1 RADAR DATA

The Next Generation Weather Radar Program (NEXRAD) is a combined effort of the U.S. Departments of Commerce, Defense, and Transportation (Crum and Alberty 1993). The network currently consists of 160 WSR-88Ds (Weather Surveillance Radar – 1988 Doppler; or WSR-88D) throughout the United States and certain overseas locations (National Centers for Environmental Information). The main function of NEXRAD is to provide warning and nowcasting capabilities for the National Weather Service (NWS). The WSR-88D radars operate at an S-band wavelength and simultaneously transmit and receive both horizontally and vertically polarized electromagnetic radiation (Doviak et al. 2000). The radars scan 360 degrees azimuthally and employ multiple elevation scans. The data from any radar in the network are free to download at https://www.ncdc.noaa.gov/nexradinv/.

This study utilizes radar data from the KCYS site in Cheyenne, WY, the KFTG site in Denver, CO, the KHTX site in Huntsville, AL and the KLBB site in Lubbock, TX. The variables utilized in this study include reflectivity (Z), differential reflectivity ( $Z_{DR}$ ), specific differential phase ( $K_{DP}$ ), and correlation coefficient ( $\rho_{hv}$ ). Quality control is performed on the reflectivity to remove unwanted returns such as clutter, insects, and other non-meteorological echoes using methods in CSU-Radartools (https://github.com/CSU-Radarmet/CSU\_RadarTools). Biases in  $Z_{DR}$  as well as K<sub>DP</sub> calculation are completed using the functions in the CSU-Radartools package. The radar data were gridded to a cartesian grid using bilinear interpolation in Radx2Grid. The resulting grid-spacing is 1x1x1 km.

## **2.2 HYDROMETEOR IDENTIFICATION**

Hydrometeor identification (HID) is performed using the CSU-Radartools fuzzy logic HID program (https://github.com/CSU-Radarmet/CSU\_RadarTools). This array of functions takes in gridded radar data and uses Z,  $Z_{DR}$ ,  $K_{DP}$ , and  $\rho_{hv}$  to determine the dominant hydrometeor type at each grid point. The freezing levels were determined by interpolating soundings from the University of Wyoming sounding archive

(http://weather.uwyo.edu/upperair/sounding.html) to the radar grid. Only data above the freezing level were considered for HID. The existence of graupel and ice crystals in the mixed phased region of a thunderstorm are integral to the production of lightning. Therefore, the hail and graupel volumes for each radar volume is calculated for the case studies in this thesis. Since the radar data is gridded on a 1x1x1 km grid, the grid points with hail and graupel are simply summed to calculate the echo volumes.

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## **2.3 ICE WATER PATH**

The ice water content (IWC) for each radar volume is calculated using the liquid ice mass function in the CSU-Radartools program (https://github.com/CSU-

<u>Radarmet/CSU\_RadarTools/blob/master/csu\_radartools/csu\_liquid\_ice\_mass.py</u>). The ice mass function uses Z, Z<sub>DR</sub>, grid heights, temperature, and freezing height to calculate the ice water content at each height for each radar volume. These variables are then used in the ice mass calculation from (Carey and Rutledge 2000):

$$IWC = 1000 \pi \left(\frac{\rho_{ice}}{\rho_{air}}\right) N_0^{3/7} \left(\frac{5.28 \times 10^{-18} Z}{720}\right)^{4/7}$$

Where IWC has units of kg m<sup>-3</sup>,  $\rho_{ice}$  is the density of ice (917 kg m<sup>-3</sup>),  $\rho_{air}$  is the density of air in units of kg m<sup>-3</sup> which was calculated from sounding data, N<sub>0</sub> is the intercept parameter of an assumed inverse exponential distribution for ice ( $4 \times 10^6$  m<sup>-4</sup> is the fixed value), and Z is the linear radar reflectivity factor (mm<sup>6</sup> m<sup>-3</sup>). The IWC is integrated vertically throughout the radar echo column to convert to ice water path (kg m<sup>-2</sup>). There are two values of IWP used in this study. The first value of IWP is IWC values that are vertically integrated from the freezing level to the 0 dBZ echo height. The second value of IWP are IWC values that are vertically integrated from the grated from the median LMA flash height for a given radar scan to the 0 dBZ echo height. This value will hereafter be referred to as AF (above-flash)- IWP. The IWP calculations discussed here pertain only to precipitation-sized ice particles, detectable by weather radar.

## 2.4 CLOUD WATER PATH

Cloud products from the Clouds from AVHRR Extended (CLAVR-x) package are used in this study, specifically to relate cloud water path to GLM detection efficiency. Retrievals of cloud optical depth (COD), cloud-top effective particle size (CPS), and cloud water path (CWP; derived from COD and CPS) are used to analyze the optical extinction of lightning flashes in the thunderstorm cases analyzed in this thesis. These products are derived from the Clouds from AVHRR Extended (CLAVR-x) retrieval saturates at a value of 1.2 kg m<sup>-2</sup>. CWP derived from COD and CPS using the following equation: CWP = (5/9)\*COD\*CPS. Values of CWP can be calculated as long as the optical depth is less than ~160. At larger optical depths, the CWP estimates saturate yielding no additional information between CWP| and detection efficiency.

Thunderstorms of course have large optical depths, so the true cloud water path between the location of a lightning strike and cloud-top can never be achieved using this methodology. However, since CWP is directly related to the cloud optical depth and the cloud particle size, this calculation does provide some value in determining the scattering properties of a given thunderstorm.

Since the CWP variable characterized in this study does not always reach to the LMA flash height due to saturation, the term cloud top water path (CTWP) is settled upon to clarify. Therefore, the terminology of cloud top water path (CTWP) is adopted for this reason. The CLAVR-x files can be downloaded for free from the NOAA CLASS archive (https://www.bou.class.noaa.gov/saa/products/welcome;jsessionid=11A58BEABA2515A6371D 86C9DD1EFE14).

## 2.5 LIGHTNING DATA

## 2.5.1 GEOSTATIONARY LIGHTNING MAPPER

The GOES-R series Geostationary Lightning Mapper (GLM) is currently operational onboard GOES-16 (East) and GOES-17 (West). GOES-16 reached its operational location of

75.2 W on 18 December 2017 while GOES-17 reached its location of 137.2 W on 12 February 2019. GLM is comprised of a wide field of view (FOV) lens with a 1372x1300 pixel charge coupled device (CCD) imager and detects optical emissions from lightning breakdown processes at a wavelength of 777.4 nm (Goodman et al. 2013). The size of a GLM pixel varies from 8 km at nadir to approximately 14 km at the edge of the FOV (Goodman et al. 2013). The flash detection efficiency requirement for GLM is 70% with a 5% false alarm rate (FAR). The basic unit of GLM is termed an "event," which is the combination of all lightning pulses within an 8x8 km pixel within 2 ms. The Lightning Cluster and Filter Algorithm (LCFA) combines adjacent events into "groups" if they occur simultaneously. Then, the algorithm combines groups into flashes if the groups occur within 330 ms and 16.5 km. GOES-16 data from 17 May 2018 through 30 June 2020 were used in this study for Alabama, Colorado, and West Texas thunderstorms. GOES-17 data were not used in the Alabama region due to the distance from the satellite's nadir point, placing the region on the extreme periphery of its GLM FOV.

## 2.5.2 LIGHTNING MAPPING ARRAY

Surface-based Lightning Mapping Arrays (LMA) detect VHF radiation due to electrical breakdown processes in thunderstorms. These networks detect several VHF "sources" within each lightning flash and are thus able to adequately map the characteristics of a flash. LMAs utilize time-of-arrival (TOA) techniques to calculate the geospatial location and time of a flash. If a radiation source is received by four or more LMA sensors, then the x,y,z locations as well as time can be solved for (Thomas et al., 2004). Three LMA networks are used in this study, the North Alabama LMA (NALMA) the Colorado LMA (COLMA), and the West Texas LMA (WTLMA). Figures 1.1, 1.2, and 1.3 show the locations of the stations that are located in NALMA (Koshak et al., 2004, Goodman et al., 2004), COLMA (Rison et al., 2012), and

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WTLMA (Bruning 2011). Figures 1.1-1.3 also show a 100 km radius from the LMA center which is the cutoff for LMA data in this study. The detection efficiency of LMAs decreases substantially beyond 125 km from the LMA center (Rison et al., 1999; Thomas et al., 2004; Fuchs et al., 2016; Chmielewski and Bruning 2016).

While LMAs provide a wealth of information regarding VHF source location, clustering of the sources is needed to obtain flash characteristics. This study takes advantage of a density-based flash-clustering algorithm (Bruning and MacGorman, 2013; Fuchs et al., 2015, 2016) to group the VHF sources into flashes. A maximum distance threshold of 3 km and a temporal threshold of 150 ms were used to cluster radiation sources in all of the networks (Fuchs et al., 2015, Eric Bruning personal communication, Timothy Lang personal communication). Flashes identified by the clustering algorithm were required to contain at least 10 VHF sources before considered a flash in the COLMA and WTLMA networks. NALMA was recently reconstructed after some of its sensors participated in the RELAMPAGO field campaign. More sensors have been added to the network since the Fuchs et al., 2015 study, so a minimum source threshold of 5 VHF sources was employed for the NALMA.

Once the flash clustering algorithm is employed on the LMA data, numerous flash statistics can be calculated. The variables used in this study include VHF source density, LMA flash rate, and LMA flash size. Additionally, this allows for LMA detected flashes to be compared to GLM and NLDN detected flashes. Flash information from LMAs is considered to be near 100% inside the prescribed boundary of an LMA network (Fuchs et al., 2016).

## **2.5.3 NATIONAL LIGHTNING DETECTION NETWORK**

The National Lightning Detection Network (NLDN) has provided continental lighting observations since 1989. Electrical breakdown processes produce radiation across a broad spectrum, but NLDN measures radiation in the VLF range (3-30 kHz)/LF (30-300 kHz) with a detection efficiency > 90% (Cummins et al. 1998, Cummins and Murphy 2009). While NLDN is able to detect flashes over long distances, it is not able to resolve smaller IC flashes compared to LMAs. Additionally, NLDN can sometimes misidentify IC flashes as CG flashes. Therefore, if the NLDN databases included a CG flash with a peak current less than 15 kA then it was registered as an IC flash. Additionally, if an IC flash had a peak current greater than 25 kA then it was recorded as a CG flash (Dr. Timothy Lang: personal communication).

## 2.5.4 Comparison Between GLM and LMA Flash Rates

The Geostationary Lightning Mapper and VHF lightning mapping arrays detect lightning in distinctly different ways. GLM measures pixel-scale optical emissions in the near IR region of the EM spectrum resulting from while LMAs measure electromagnetic "burst" radiation produced by lightning in the VHF portion of the EM spectrum. GLM and LMA algorithms then group the native resolution data into lightning flashes. So these stark differences in detecting lightning should be kept in mind. The comparison between to two instruments was experimented some within this study. First, comparison between GLM flashes and LMA flashes with a source minimum of 10 sources was employed. This strategy includes many LMA flashes that are often too small for GLM to visualize. Thus, the minimum VHF source threshold was increased to 75 sources. Obviously, this improved the GLM detection efficiency by reducing the LMA flash rate. However, this strategy was abandoned because it was determined that small, physically realistic lightning flashes were being eliminated from the analysis. The minimum of 10 VHF sources was also used in previous studies describing LMA flash rates.

## 2.6 CLEAR

The Colorado State University (CSU) Lightning, Environment, Aerosols, and Radar framework developed in (Lang and Rutledge 2011) is used to analyze thunderstorms in this study. CLEAR consists of a series of functions that work together to track convective cells from initiation to cessation. The variables used to track convective cells are tunable. This study required a 35 dBZ reflectivity contour of at least 20 km<sup>2</sup> and a 45 dBZ contour of 10 km<sup>2</sup> for the algorithm to track the thunderstorms of interest. CLEAR ingests gridded 3-dimensional radar data and writes new radar NETCDF files with the track information included. When the tracking process is completed, additional information can be attributed to the tracked cells. LMA and NLDN flash information as well as GLM flash, group, and event data are attributed to each cell in this study. These data are used to make the timeseries plots and statistical plots in the results section.



Figure 2.1: Plot showing the North Alabama Lightning Mapping Array (NALMA). Red boxes denote the locations of the various LMA stations while green triangles show city and radar locations.



Figure 2.2: Plot showing the Colorado Lightning Mapping Array (COLMA). Red boxes denote the locations of the various LMA stations while green triangles show city and radar locations.



Figure 2.3: Plot showing the West Texas Lightning Mapping Array (WTLMA). Red boxes denote the locations of the various LMA stations while green triangles show city and radar locations.

## **CHAPTER 3: CASE STUDIES**

## **3.1 CASE SELECTION**

One main objective of the GOES-R series of GLM was to increase lightning detection in severe thunderstorms and increase NWS warning lead times for high impact storms. Therefore, it is important to document the performance of GLM relative to other lightning detection systems. This study focuses on isolated convection in Alabama, Colorado, and West Texas. Large convective systems are comprised of many thunderstorm updrafts and the updraft producing the lightning can be ambiguous. Therefore, focusing on one thunderstorm cell per case study allows for a relatively simple comparison of ground lightning location systems (LMA and NLDN) to performance by GOES-16/17 GLM. Thunderstorms of different intensities are documented in each region ranging from intense, severe thunderstorms to storms with weak updrafts and modest flash rates. Additionally, since the detection efficiency of GLM varies from day to night, thunderstorms at different times of the day were analyzed.

## **3.2 COLORADO CASE STUDIES**

## 3.2.1 JULY 1, 2019

The first Colorado case presented in this study occurred on the night of 1 July 2019. The timeseries of this thunderstorm is shown in Figure 3.1 The thunderstorm initiated at 01 UTC on 2 July 2019. The top panel of Figure 3.1 shows the location of VHF radiation sources which is a proxy for the location of positive charge in a thunderstorm. Notice that the location of positive charge is located around 10 km for the entirety of the event. Since the main location of positive charge is located near 10 km and the majority of CG flashes are of negative polarity this thunderstorm likely possesses a normal polarity charge structure (Fuchs et al. 2015, Fuchs et al.

2016, Fuchs and Rutledge 2018). Normal polarity charge structure thunderstorms are characterized by and upper-level and lower-level positive region with a negative charge layer in the middle (Williams et al. 1989, Fuchs et al. 2015). Additionally, the flash areas are relatively large during this thunderstorm with values >  $20 \text{ km}^2$  (Bruning et al. 2013).

Panel 2 shows the LMA, GLM, and NLDN flash rates in flashes min<sup>-1</sup>, flashes min<sup>-1</sup>, and flashes per 5 min<sup>-1</sup> respectively. Note that these flash rates are all on different axes. The GLM flash rate is mainly larger than the LMA flash rate until about 0125 UTC. This thunderstorm reaches a maximum COLMA flash rate of around 8 flashes per minute near 0130 UTC. This is one of the few Colorado cases in this study where the GLM flash rate has a similar magnitude than that of the COLMA flash rate. This thunderstorm was of normal polarity with 97.5% of the CG flashes being negative. The GLM group and event rates also have a high correlation to the LMA flash rate. There is an interesting increase in the GLM flash, group, and event rate at approximately 0200 UTC which correlates to an increase in LMA flash area. The larger flashes are longer in duration and likely produce a brighter optical intensity that GLM is better able to detect (Zhang and Cummins 2020). The IWP and AF-IWP are relatively low in this weak thunderstorm (panel 5). Finally, the hail and graupel echo volumes are shown to be highly correlated with the LMA flash rate (Weins et al. 2005).

#### KCYS\_20190701\_storm\_G17\_119



Figure 3.1: Timeseries plot of a thunderstorm that occurred on 20190701 near Cheyenne, WY. The top plot features the height of LMA VHF sources (km), median LMA flash height (km, black), and median LMA flash area (km<sup>2</sup>,red). The 2<sup>nd</sup> panel shows LMA and GLM flash rate (black and grey) in flashes per minute and +/- (red, blue) NLDN flash rates in flashes per five minutes. Notice that the GLM and LMA flash rates are on different scales. The third panel is a plot of GLM group and event products in units per minute. Panel 4 is the GLM detection efficiency in percent form. Panel 5 shows the ice water path (IWP, blue, kg m<sup>-2</sup>), above-flash ice water path (AF-IWP, green, kg m<sup>-2</sup>), and cloud-top water path (CTWP, red, kg m<sup>-2</sup>). The hail (orange) and graupel (blue) echo volumes of the storm are shown in panel 6 in km<sup>3</sup>. The x-axis of all panels is the time in UTC format. All correlation coefficient values in the upper-left portion of plots are relative to the LMA flash rate.

As discussed in Chapter 1, the collision of graupel undergoing riming with small ice crystals in the presence of supercooled liquid water results in significant electrification. Further exploration into the behavior of this thunderstorm is shown by the time height plot of radar reflectivity shown in Figure 3.2.



Figure 3.2: Timeseries plot of the 90<sup>th</sup> percentile of radar reflectivity (dBZ) with height (km) from a storm that occurred near Cheyenne, WY on 20190701. The radar reflectivity values are contoured every 5 dBZ. The temperature taken from the nearest sounding is plotted on the y-axis in degrees Celsius. The LMA flash rate is shown in Navy and is in units of flashes per minute.

The 40 dBZ contour resides in the mixed layer for the entire duration of this thunderstorm. The presence of the 40 dBZ contour above the 0 C line correlates to a high probability of lightning (Lang et al. 2011). One might expect this reflectivity profile to produce

higher flash rates than a peak of 6 flashes min<sup>-1</sup>, but this is not necessarily the case. The vertical

reflectivity structure of Colorado thunderstorms is different than those in other parts of the

United States (Fuchs et al. 2015, Rutledge et al. 2020). Colorado thunderstorms often boast

increased reflectivity values in the mixed phase region. Therefore, this reflectivity structure is

towards the low-end of the intensity spectrum compared to the other Colorado storms that are discussed in this study.

The reflectivity structure of this thunderstorm is directly related to the IWP, hail, and graupel volumes. All of these values are relatively small for the duration of this thunderstorm (panels 5 and 6 of Fig. 3.1). The microphysics of the thunderstorm are likely related to the CTWP as well. This thunderstorm occurs at nighttime, so the CTWP (which is based on solar reflectance measurements) cannot be computed. However, due to the elevated flash heights (based on the VHF source location) the above-cloud portion of the CWP is likely to be small, and thus not playing a large role in attenuation optical energy detected by the GLM. However, it is likely the cloud water path is small which limits the scattering of the optical emissions produced by lightning. The combination of high VHF source location, relatively large flashes, and increased GLM detection at night result in a high detection efficiency from GOES-17 GLM.

## 3.2.2 May 26, 2019

The next case is a moderate flash rate thunderstorm that occurred in Colorado during the afternoon of 26 May 2019. The timeseries of this thunderstorm is shown in Figure 3.3. This thunderstorm is much like the July 1<sup>st</sup> case except with moderately higher LMA flash rates. The VHF source locations are once again relatively high in this thunderstorm—around 10 km— indicative of a normal polarity thunderstorm, but the LMA flash areas are mainly below 10 km<sup>2</sup>. One feature of note is the occurrence of an overshooting top at 2200 UTC (MacGorman et al. 2017) and an apparent increase of the GLM products in the minutes following. Panel 2 of Fig. 3.3 shows that the LMA flash rate is an order of magnitude higher than the GOES-17 GLM flash rate for much of the event. Another indication that this storm is of normal polarity is that 90% of the CG flashes are of negative polarity. Negative polarity CG flashes represent 90% of all CG

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flashes in the United States and are indicative of thunderstorms with normal charge structures (Williams et al. 1989, Boccipio et al. 2001). The GLM group and event rates have a high correlation to the LMA flash rate but the values smaller relative to the magnitude of the LMA flash rate. The difference in magnitude between the LMA flash rate and the GLM products is a result of GLM "missing" some of the flashes that are occurring in the thunderstorm. Most of the

## flashes in this





Figure 3.3 Same as figure 3.1 but for a thunderstorm near Cheyenne, WY on 20190526. Notice that the GLM and LMA observations are on different scales in panel 2.

thunderstorm have an area of less than 10 km<sup>2</sup> and thus the optical intensities of these flashes are small compared to larger flashes. Previous studies have shown that GLM has a reduced detection efficiency for small flashes with low optical intensities (Zhang and Cummins 2020) and the
small flashes are the likely culprit for the reduced GLM DE in this case. The fifth panel reveals a large difference between the July 1<sup>st</sup> high DE storm and the present case. The microphysics of this thunderstorm are more robust than the July 1<sup>st</sup> case. A more detailed structure of this thunderstorm is shown in figure 3.4.



Figure 3.4: Same as Figure 3.2 but for a thunderstorm that occurred near Cheyenne, WY on 20190526.

The difference between this thunderstorm and the 1 July case is striking. The 50 dBZ contour breaches the freezing level for around 1 hour of this storm's duration. The 55 dBZ contour breaches the freezing level near 22:25 UTC and shortly after this the thunderstorm records its highest LMA flash rate near 70 flashes per minute. It is also interesting to see that the LMA flash rate decreases significantly as the height of the 50 dBZ contour decreases. Indeed, the LMA flash rate is almost 0 by the end of the event where the height of the 50 dBZ contour is no longer above the freezing level. Additionally, since this thunderstorm occurred during the day, the CTWP is plotted in panel 5 of figure 3.3 and shows many values near 1 kg m<sup>-2</sup>, which we will see is a relatively large value compared to some of the Alabama cases shown later. The

combination of small flash areas, increased cloud ice/water paths, and the reduced DE of GLM during the daytime result in a very low detection efficiency from GOES-17 GLM.

# 3.2.3 May 26, 2019 Anomalous Polarity Thunderstorm

The final Colorado thunderstorm presented occurred on the afternoon of May 26<sup>th</sup>, 2019. The timeseries of this thunderstorm is shown in Figure 3.5. Unlike the previous storm from May 26<sup>th</sup>, the focus of VHF sources is low in altitude relative to the first two Colorado thunderstorms presented (top panel). Many of the sources are located around 5-7 km, in contrast to the 9-10 km height of the previous cases. It is thus likely that this is an anomalous charge structure thunderstorm with a mid-level positive charge layer (Fuchs et al. 2015, Fuchs and Rutledge 2018, Fuchs et al. 2018).

Panel 2 of Fig. 3.5 shows a large discrepancy between the COLMA flash rate and the GOES-16 GLM flash rate. The LMA flash rate peaks at ~250 flashes min<sup>-1</sup> at 0020 UTC while the GLM flash rate is almost two orders of magnitude less. The GLM group products do not reach 200 units per minute, but the event product is of a similar magnitude to the LMA flash rate. Panel two also shows that that the percentage of positive and negative CG strikes are almost even. The storm is dominated by +CG strikes from 2248 to 2318 UTC. After this period the storm produces equal amounts of +CG/-CG strikes from 2318 to 0018 UTC. Finally, the thunderstorm is dominated by -CG strikes for the remainder of its lifetime. The increased presence of -CG strikes from 0018 to 0050 UTC is curious, because the VHF source location at this time is located around 5-6 km. One would expect a high percentage of +CG strikes during this timeframe due to the evidence of a mid-layer positive charge which have been known to produce a high percentage of +CG strikes (Boccippio et al. 2001; Carey et al. 2003; Williams et al. 2005; Fuchs et al. 2015). It is clear that more research into the behavior of these

thunderstorms is needed. Another interesting feature of this figure is the increase in GLM event/group/flash rate associated with an increase in flash areal size near 2340 UTC. The larger flash sizes exhibit longer durations and more flash energy making them easier for GLM to detect.



KCYS\_20190526\_storm\_G17\_32

Figure 3.5: Same as figure 3.1 but for a storm that occurred near Cheyenne, WY on 20190526. Notice that the GLM and LMA observations are on different scales in panel 2.

A time height plot of radar reflectivity of the May 26<sup>th</sup> thunderstorm is shown in Figure 3.6. The reflectivity structure of this thunderstorm is impressive. The 35 dBZ height stays at or above 10 km for the duration of the timeseries. Additionally, 50 dBZ contour resides in the mixed phase region (0 to -40 C) for the entire duration of the event. This thunderstorm produced very large hail reports of 2.50 and 3 inches in Weld County, Colorado. Large hail reports are very common in thunderstorms that produce a large percentage of +CG strikes (Boccippio et al. 2001; Carey et al. 2003).



Figure 3.6: Same as figure 3.2 but for a storm near Cheyenne, WY on 20190526.

The reflectivity values of this thunderstorm are more robust compared to the high Colorado DE case. Since graupel echo volumes are correlated to flash rate (Weins et al. 2005) we can infer that this thunderstorm will have a higher flash rate. This is actually something we can demonstrate because of the LMA observations. The larger graupel volumes do indeed result in LMA flash rates of ~ 250 flashes min<sup>-1</sup> at 0020 UTC. Additionally, the presence of larger hail and graupel volumes likely means the presence of enhanced supercooled liquid water contents.

Increased SCLW contents can flip the polarity of charge on graupel in the mid-levels from negative to positive (Takahashi 1978). This change in the sign of charge imparted to graupel leads to anomalous charge structure thunderstorms like the one featured here. The anomalous charge structure thunderstorm in this case has higher values of reflectivity in the mixed phase region compared to the Alabama case studies. Thunderstorms in the Colorado region typically have increased reflectivity values and lower flash heights, both of which are characteristic of anomalous charge structure thunderstorms (Fuchs et al. 2015, Fuchs and Rutledge 2018, Fuchs et al. 2018). The low flash heights in this thunderstorm result in a very low GLM detection efficiency from GOES-16 (Rutledge et al. 2020).

# **3.3 WEST TEXAS CASE STUDIES**

### 3.3.1 April 23, 2019

Three West Texas cases are presented in this section ordered by highest to lowest GLM detection efficiency. The first case shown in the West Texas region is a very low flash rate storm that occurred on the night of April 23<sup>rd</sup>, 2019 and is shown in Figure 3.7. The top panel portrays some interesting behavior from this thunderstorm. The majority of VHF sources in this thunderstorm are located near and below 5 km. The low VHF source location might be due to the fact that this is weak thunderstorm with limited vertical extent. The vertical profile of radar reflectivity of this thunderstorm is shown in Figure 3.8.

The first feature of interest is that the 35 dBZ contour only breaches the -10 C level around 0135 UTC and is around 5 km at that time. Compare this to Figure 3.6 of the 20190526 anomalous charge structure Colorado thunderstorm, where the 35 dBZ contour extended to 12 km and the 50 dBZ contour overlays a large portion of the mixed phase region. Therefore, it is

likely that most of the electrical activity would be below the -10 C level, which is likely the reason for the low VHF source location. It is also noteworthy that the LMA flash areas are mostly greater than  $50 \text{ km}^2$  for the majority of the thunderstorm lifetime.

The 2<sup>nd</sup> panel of Figure 3.7 shows a low LMA and GLM flash rate, but a moderate -CG flash rate of greater than 20 flashes per five minutes. If we assume that the LMA flash rate is a measure of the total lightning in the thunderstorm, then we can approximate the CG/IC ratio. There was a total of 188 LMA flashes recorded by the WTXLMA and a total of 167 CG flashes recorded by NLDN. Therefore, using this assumption, nearly 89 percent of the flashes from this thunderstorm were CG strikes. The combination of low VHF source location, large flash sizes,

# and moderate -CG flash rate points to the probability that









Figure 3.8: Same as figure 3.2 but for a thunderstorm near Lubbock, TX on 20190423.

this thunderstorm produced mostly cloud-to-ground lightning flashes. Panels 2 and 3 show a high correlation between the LMA flash rate and the GLM flash, group, and event products. Panel 5 shows very small ice water paths due to the weak instability that this thunderstorm formed in. Altogether, the GOES-16 GLM had a high detection efficiency of this storm because of large flash areas and a low IC/CG ratio (especially near 01:55 UTC). The higher DE follows from CGs having significant optical intensities which are then more readily detectable by an optical sensor such as GLM (Zhang and Cummins 2020).

# 3.3.2 May 5, 2019

The second West Texas case occurred on May 5<sup>th</sup>, 2019. This storm is also a relatively low flash rate thunderstorm (Figure 3.9). A main feature of this thunderstorm is the location of VHF sources near 10 km, shown in Panel 1. This elevated source is indicative of a normal charge structure thunderstorm (Williams et al. 1985, Fuchs et al. 2015, Fuchs et al. 2016, Fuchs et al. 2018, Rutledge et al. 2020). Indeed, Panel 2 shows that this particular storm produced only 16 CG flashes, all of which were of negative polarity. This thunderstorm produced LMA flash rates below 10 flashes per minute until 21:14 UTC. The flash size is relatively large between 2024 and 2114 UTC which likely allows for a GLM detection efficiency that approaches 50%. However, the GLM detection efficiency drops below 20% at 2114 UTC when the LMA flash rate increases

# above 20 flashes per minute and the LMA flash areas decrease to $< 10 \text{ km}^2$ .



KLBB\_20190505\_storm\_G16

Figure 3.9: Same as figure 3.1 but for a thunderstorm near Lubbock, TX on 20190505.

The increase in VHF source activity is collocated with an increase in IWP around 2130 UTC as well as an increase in hail and graupel echo volumes. This behavior is consistent with non-inductive charging theory. A more detailed look into the reflectivity structure of this

thunderstorm is shown in Figure 3.10.



#### Figure 3.10: Same as Figure 3.2 but for a thunderstorm near Lubbock, TX on 20190505.

Figure 3.10 shows moderate reflectivity values in the mixed phase region from 2024 UTC to 2114 UTC. However, between 2114 and 2124 UTC, the 45 dBZ contour increases from around 3 km to nearly 12 km in height. This corresponds to an increase in the LMA flash rate from around 5 flashes per minute to a peak LMA flash rate of 25 flashes per minute. Additionally, values of 50 dBZ were also present in the mixed phase region at the time of the peak LMA flash rate. The 20190505 west Texas case is a great example of thunderstorm electrification via the non-induction charging method (Reynolds et al. 1957; Takahashi,1978; Jayaratne et al. 1983; Baker et al. 1987; Saunders et al. 1991). The increase in reflectivity is correlated to an increase in graupel concentration and likely supercooled liquid water content in the mixed phase region. Therefore, more increased collisions between ice crystals and graupel are probable, which results in increase delectrification shown near 2124 UTC. This is an example of a thunderstorm that produced large flash areas in the early stage with a moderate detection efficiency by GLM.

However, as the flash rate increased after 2114 UTC with associated smaller flash areas, the GLM DE decreased.

# 3.3.3 June 24, 2019

The final West Texas storm to be described occurred on the evening of June 24<sup>th</sup>, 2019. This thunderstorm was a high flash rate thunderstorm that produced flash rates in excess of 200 flashes per minute (Figure 3.11). The top panel shows that the location of the VHF sources is near 10 km and that the LMA flash areas are very small with an average size less than 10 km<sup>2</sup>. The second panel shows that this thunderstorm was electrically active with LMA flash rates over 100 flashes min<sup>-1</sup> for over an hour of the storm and a total of 498 CG strikes occurring during the duration of the storm. It is worth noting that almost 90% of these flashes were of negative polarity, which is typical of normal polarity thunderstorms which frequent this area (Williams et al. 1985, Fuchs et al. 2015, Fuchs et al. 2016, Fuchs et al. 2018, Rutledge et al. 2020).

The GLM flash rate has a significant correlation to the LMA FR but the GLM flash rate is an order of magnitude less. Apparently, many of small LMA-detected flashes are below the detection threshold of the GLM CCD. The graupel volume within this thunderstorm shown in Panel 6 reached a maximum near 0125 UTC which is generally where the peak of the LMA flash

# rate occurs. The reflectivity structure of this thunderstorm is shown in Figure 3.12.





Figure 3.11: Same as figure but for a thunderstorm near Lubbock, TX on 20190625.



#### Figure 3.12: Same as figure 3.2 but for a storm near Lubbock, TX on 20190625.

Figure 3.12 shows that the height of the 35 dBZ contour is above 14 km from 0114 UTC to 0200 UTC. This is the likely reason for VHF sources near 13-14 km shown in panel 1 of Figure 3.11. It is interesting to note that the only presence of the 55 dBZ contour in the mixed phase region occurs at 0114 UTC. This is also the time of the peak LMA flash rate > 200 flashes per minute in this thunderstorm. The IWP, hail, and graupel volumes are also at near maximums at 0114 UTC. Once again, the combination of increased reflectivity values and graupel is evidence for the NIC method for thunderstorm. The lightning flash rate of this thunderstorm begins to decrease ~0200 UTC coincident with the decrease in height of the 45 dBZ contour. After a slight increase in reflectivity values at 0229 UTC, the height of the 40 dBZ contour decreases below the 0 C level and the LMA flash rate decreases almost to 0 flashes per minute. This behavior is consistent with Lang et al. 2011 which showed that the 40 dBZ contour above the 0 C level is a sufficient requirement for lighting in convection.

This is the type of thunderstorm that GLM has the most difficulty in characterizing. The combination of extremely small flash sizes, consistent with reduced optical intensities (Zhang and Cummins 2020) and the likelihood of increased above-flash cloud water/ice path result in a severely reduced detection efficiency from GLM.

# **3.4 ALABAMA CASE STUDIES**

### 3.4.1 MAY, 17 2020

The first Alabama case shown occurred on 17 May 2020. This case had the highest average GLM detection efficiency of any thunderstorm in this study at 59%. The timeseries of this storm is shown in Figure 3.13. The top panel of Figure 3.13 shows that positive charge region of this thunderstorm was located primarily around 10 km and that the flash areas were generally larger than 10 km<sup>2</sup> for the duration of the thunderstorm. The 2<sup>nd</sup> panel shows that the LMA and GLM flash rates are highly correlated and that the GLM flash rate has a similar magnitude to the LMA flash rate. Additionally, Panel 2 shows that 90 out of 105 CG flashes in this storm were of negative polarity, which is characteristic of a thunderstorm with a normal charge structure. Panel 5 shows reduced IWP, AF-IWP, and CTWP values for this Alabama thunderstorm, in comparison to previous cases. In fact, the AF-IWP is close to 0 kg m<sup>-2</sup> which is likely evidence of weak updrafts in the mixed-phase region of this thunderstorm, unable to loft significant amounts of graupel. The CTWP is barely above 0 kg m<sup>-2</sup> which is substantially smaller in comparison to the previous cases.

If we compare this case to the 26 May 2019 Colorado thunderstorm shown in Figure 3.6, we see some extreme differences. First, the AF-IWP in the Colorado case approaches 5 kg m<sup>-2</sup> and the CTWP is close to 1 kg m<sup>-2</sup> for the duration of the storm's lifetime. Additionally, the

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graupel volume in the Colorado case achieves peak values in excess of 5000 km<sup>3</sup> while the Alabama storm only produces values near 250 km<sup>3</sup>.



KHTX\_20200517\_storm\_G16

# Figure 3.13: Same as figure 3.1 but for a thunderstorm near Huntsville, AL on 20200517.Notice that the GLM and LMA flash rates are on different axes in panel 2.

The vertical profile of radar reflectivity is shown in Figure 3.14. There are modest reflectivity values in the mixed phase region for the duration of this thunderstorm. One

interesting feature of this plot is the increasing in lightning that occurs after the 50 dBZ contour breaches the 0 C level at 1950 UTC and 2020 UTC.



Figure 3.14: Same as figure 3.2 but for a thunderstorm near Huntsville, AL on 20200517.

In fact, this thunderstorm reaches its peak flash rate of ~20 flashes per minute about 10 minutes after the 50 dBZ contour approached the -10 C level. Once again, the lightning nearly ceases in this thunderstorm once the height of the 40 dBZ contour falls below the mixed phase region. The reduced microphysics, combined with the relatively large flash areas, result in a GLM DE that is close to the specification value of 70%.

# 3.4.2 JULY 4, 2020

The next case presented occurred on the afternoon of Independence Day in 2020 and is shown in Figure 3.15. This case is of particular interest because of the dynamic nature of the GLM detection efficiency shown in Panel 4 of Figure 3.15. The GLM DE decreases to a few percent near 1810 UTC before increasing close to 70% near 1820 UTC and stays above 50% for the majority of the storm after that time. Notice that the lowest GLM DE is co-located with a time period of extremely small flash areas ( $< 10 \text{ km}^2$ ) from 1750-1810 UTC. These very small flashes with reduced optical intensities (Zhang and Cummins 2020) appear to be difficult for



#### KHTX\_20200704\_storm\_G16

Figure 3.15: Same as figure 3.1 but for a storm near Huntsville, AL on 20200704. Notice that the GLM and LMA flash rates are on different axes in panel 2.

GLM to detect, especially during an afternoon storm such as this one. Flash area increases significantly after 1810 UTC which leads to a local maximum of the GLM flash rate close to

1815 UTC (Panel 2). Between 1815 and 1830 UTC the flash rates from GLM, LMA, and NLDN decrease to only a few flashes per minute corresponding with decreased microphysics (Panels 4 and 5) indicating the storm has weakened. Starting at 1835 UTC the VHF source activity increases, reaching its highest value (Panel 1) and there is a corresponding increase in GLM, LMA, and NLDN flash rates. Notice that some of the highest GLM detection efficiencies are correlated with the maximum in NLDN CG- flashes. These flashes are longer in duration and size, which allow the GOES-16 GLM a better chance at detection.

It is interesting to note that the CTWP in this case (Panel 5) is much higher than the May 17<sup>th</sup>, 2020 case (figure 3.13), suggesting reduced DE due to cloud scattering (Brunner and Bitzer 2020). However, the difference between the two cases is the larger flash areas in the July 4<sup>th</sup> case compared to the May 17<sup>th</sup> case. The vertical profile of reflectivity for this thunderstorm is shown in Figure 3.16.



Figure 3.16: Same as figure 3.2 but for a thunderstorm near Huntsville, AL on 20200704.

This thunderstorm included increased in lightning at 1808 UTC and ~1835 UTC. Interestingly, the first increase in lightning is not coincident with increases in hail or graupel volume (Figure 3.15, Panel 6). However, this increase in electrical activity is correlated with an increase in IWP and the presence of the 50 dBZ contour. The second increase in lightning activity is coincident with an increased graupel volume, presence of the 50 dBZ contour, and increased IWP. The CTWP also increases around this time. The larger flash areas result in brighter optical intensities which GLM is likely to detect despite the increased CTWP.

# 3.4.3 8 April 2020

The final Alabama case occurred on the afternoon of April 8<sup>th</sup>, 2020. The timeseries of this thunderstorm is shown in Figure 3.17. This case is interesting because the height of the VHF sources decreases throughout the storm's duration (Panel 1). At the beginning of the storm, the height of the VHF sources is located near 10 km. However, near the end of the thunderstorm's lifetime, the location of VHF sources is ~6 km.

The location of positive charge lower in the cloud (Panel 1) and the increased concentration of +CG strikes (Panel 2) suggests that this could be an end of storm oscillation (Marshal and Lin 1992; Williams et al. 1994; Pawar and Kamra 2007; Marshal et al. 2009). EOSO's occur near the decaying stages of thunderstorms where the mid-level negative charge region begins to decay (or falls out in the form of precipitation), and the upper positive charge settles to lower altitudes (Marshal and Lin 1992; Williams et al. 1994; Pawar and Kamra 2007; Marshal et al. 2009). At this time, the thunderstorm can produce +CG strikes before its decay.

The end of storm oscillation phenomenon appears to be at play in this case. The LMA flash rate peaks at > 100 flashes per minutes at ~2220 UTC and ~2315 UTC. The GLM flash, group and event rate peak about 5 minutes after the initial peak in LMA flash rate at 2220 UTC.

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The GLM flash rate only reaches a value of 25 flashes per minute at this time, but the GLM group and event products are both higher than the LMA flash rate. One factor that is apparent in this case is the variation of GLM DE with region. If we compare this to the anomalous charge structure 20190526 CO thunderstorm, the behavior of GLM is different. The Alabama storm has

# LMA flash rates that peak over 100 flashes per minute twice, while the



KHTX\_20200408\_storm\_G16

# Figure 3.17: Same as figure 3.1 but for a thunderstorm near Huntsville, AL on 20200408. Notice that the GLM and LMA flash rates are on different axes in panel 2.

Colorado thunderstorm had LMA flash rates that peak over 200 flashes per minute ~3 times. The GLM flash rate in the Alabama storms increases over 25 flashes per minute both times the LMA flash rate peaks. However, the GLM flash rate only rises above 20 flashes per minute one time

when the LMA flash rate is well over 200 flashes per minute. This is a great example of the degradation of GLM DE with increasing distance from nadir (Cummins 2020). Thunderstorms in the Alabama region are close to the GOES-16 satellite nadir and therefore the optical brightness required for a flash to be "seen" is low (Cummins 2020). However, the brightness threshold in Colorado is higher due to the increased distance from GOES-16 and GOES-17. GLM aboard GOES-17 missed out on numerous optically dim flashes that occurred in the 20190526 Colorado storm, but more of these same flashes were likely detected in the Alabama thunderstorm due to the difference in brightness threshold.

Another aspect of this thunderstorm that requires more analysis is the vertical profile of radar reflectivity shown in Figure 3.18.

Figure 3.18 highlights the two major peaks in LMA flash rate occurring around 2220 UTC and 2315 UTC. The first increase in LMA flash rate is coincident with an increase of reflectivity values in the mixed phase region. Once again, the 50 dBZ contour breaching the 0 C degree levels occurs during the time of the highest flash rate. The IWP of this storm (Figure 3.17, Panel



5) also reaches a maximum at this point, but the hail and graupel volumes are consistent. The second peak in LMA flash rate is also coincident with an increase in reflectivity values in the mixed phase region as well as increases in IWP and graupel volume. This is an example of a high flash rate thunderstorm in Alabama. All of the same issues that cause decreased GLM DE are there such as relatively small flash areas and increased microphysics in the mixed phased region. However, in this case, some of that is overcome due to Alabama's close proximity to the nadir of GOES-16.

# **3.5 SUMMARY OF CASE STUDIES**

Section 3 featured case studies of thunderstorm from the Colorado, West Texas, and Alabama regions. In all three regimes, GLM struggled to detect lightning in thunderstorms compared to the LMAs. While there is no golden standard for every storm, there were similarities in the lightning and microphysical characteristics of the thunderstorms and the resulting GLM detection efficiencies. The first detail to note is that the GLM DE efficiency was high only when thunderstorms had a low LMA flash rate. The second aspect is that the presence of small lighting flash areas often results in a low GLM detection efficiency. These small flashes correspond to reduced optical intensities (Zhang and Cummins 2020) which limits detection by GLM. Indeed, there were examples from the case studies where the LMA flash size temporarily increased which led to a local maximum in the GLM flash products and DE.

The final detail to focus on revolves around the microphysics of the thunderstorm case studies. Thunderstorms with a higher CTWP are more likely to have lower detection efficiencies. In thunderstorms with increased above-flash cloud ice/water paths, the "mean free path" (or the path in which a photon would encounter a cloud particle) is very small. Thus, a photon is more likely to be backscattered or scattered out of the sides of the cloud than it is to escape the top of the cloud in the line-of-sight of GLM. This effect also leads to a decreased detection efficiency from GLM. Chapter 4 will provide statistical plots from all of the case studies to further demonstrate these affects.

## **CHAPTER 4: STATISTICAL RESULTS**

In this chapter we synthesize results from numerous convective cells by presenting and discussing a series of statistical plots to further underpin the processes that contribute to GLM detection efficiency. This section provides further clarity by leveraging the large number of lightning flashes that were documented in this study. Only data from within the CLEAR tracked 35 dBZ contour and within 100 km of the LMA center were considered in this study.

One storm cell from each case was chosen for an in-depth time series analysis some of which are shown in Chapter 3. Table 4.1 provides a list of 34 thunderstorms from each case with the date, region, time of cell observation, SPC hail, wind, and tornado reports, and the average GOES-16 GLM detection efficiency. The first main detail of this table is that many of these cases had a low average GLM detection efficiency. Some of the cases in Chapter 3 show that the detection efficiency of GLM significantly decreases when the LMA flash rate is large. This finding will be further explored in this chapter.

The next key aspect of this table is the fact that thunderstorms with lowest GLM DE (< 10%) were more likely to be associated with a SPC severe weather report. The most frequently reported severe weather category was hail. This is a significant result because one of the stated goals of GLM was to help with the detection of severe weather reports by detecting rapid increases in the production of lightning termed the lightning jump (Schultz et al. 2015). There were several cases shown in Chapter 3 where there is a very small dynamic range in GLM flash rate. While this study did not evaluate lightning jumps in the cases directly, by inspection of Figs. 3.1-3.18 we can see that that there is often little to no dynamic range in the GLM flash

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rate—a lack of structure that would severely limit its utility with regard to severe weather

inference from lightning dynamics.

Table 4.1: This is a table of 1 thunderstorm from each case. The case date, region, time of cell, presence of an SPC hail, wind, tornado report, and the average GLM DE (with respect to LMA) of the cell are shown. If there was a report of severe weather the value is highlighted in red.

Case Date	Region	Time of Cell	Hail report	Wind report	Tornado report	Average DE(%)
20190715	CO	20:00-23:05 UTC	Yes	No	No	2.38
20190712	CO CO	1900-2100 UTC	No	No	No	2.48
20190701	CO	20:12-00:13 UTC	Yes	No	No	4.33
20180729	) CO	19-2230 UTC	Yes	Yes	Yes	4.81
20190705	CO CO	02:56-04:14 UTC	Yes	No	No	5
20190520	) WT	20:29-22:21 UTC	Yes	Yes	No	5.14
20190620	) CO	20:37-23:22 UTC	No	No	No	5.55
20190704	CO I	01:43-03:26 UTC	Yes	No	No	5.72
20190911	CO	22:08-00:08 UTC	Yes	No	No	7.02
20190625	S WT	1:09-02:57 UTC	No	No	No	7.69
20180619	CO	18-2130 UTC	Yes	No	Yes	8.95
20190523	WT 8	23:00-02:47 UTC	Yes	No	No	10.25
20190526	s co	21:30-23:00 UTC	Yes	Yes	Yes	11.4
20180807	CO CO	22:24-01:54 UTC	No	No	No	12
20190608	CO CO	22:13-00:19 UTC	Yes	No	No	12.23
20190524	WT I	00:34-3:55 UTC	No	No	No	12.55
20190525	i WT	20:35-21:36 UTC	No	No	No	13.13
20180618	CO CO	0-2:30 UTC	Yes	No	No	14.08
20180605	WT	23:06-02:15 UTC	No	Yes	No	17.54
20190614	WT I	00:59-3:10 UTC	Yes	No	No	18.76
20200524	AL	01:05-2:30 UTC	No	No	No	20.87
20190505	i WT	20:20-21:56 UTC	No	No	No	23
20180517	WT	22:03-23:27 UTC	No	No	No	23.04
20180520	WT (	0220-0430 UTC	No	No	No	23.28
20180601	WT	23:29-00:30 UTC	No	No	No	25.17
20200408	AL	2140-2340 UTC	Yes	Yes	No	26.8
20200523	AL AL	00:35-01:45 UTC	No	No	No	32.63
20200603	AL AL	20:25-2150 UTC	No	No	No	34.93
20200629	AL 6	17:35-18:15 UTC	No	No	No	35.88
20200627	AL	22:50-23:50 UTC	No	No	No	43.69
20190323	WT 8	00:31-04:57 UTC	Yes	No	No	46.21
20190423	WT 8	01:15-2:10 UTC	No	No	No	46.36
20200704	AL	17:50-19:02 UTC	No	No	No	49.2
20200517	AL	19:40-21:10 UTC	No	No	No	59.03

In many of the cases presented in Chapter 3, the LMA data show fluctuation in flash rate. However, there are many cases where the GLM products (flashes, groups, and events) exhibit very little dynamic range, and the time series of the GLM products, especially flash rate, is effectively "flat." In fact, among the cases shown, the lack of fluctuation in the GLM products occurs most commonly in the Colorado region and the west Texas region (Cummins 2020). Naturally, part of the explanation for this is the decrease in GLM DE with increasing distance from satellite nadir. Still, another reason for this is the presence of high flash rate anomalous thunderstorms which often produce small flash areas which are embedded deep in the thunderstorm cloud (Fuchs et al. 2015, Fuchs et al. 2016, Fuchs et al. 2018, Rutledge et al. 2020)—making their energy more prone to attenuation by above-flash CWP. The combination of these two factors appears to cause a significant dampening in the minima and maxima range of GLM-derived lightning products.

Figure 4.1 provides some insight to the comparison between the LMA flash rate of thunderstorms and the GLM detection efficiency. This figure includes all CLEAR tracked cells that were within 100 km of the LMA network center for every NEXRAD radar time. While all of the cases are isolated thunderstorms they vary in strength, time of year, and time of day. The results from this analysis are shown in Figure 4.1 and are color-coded by thunderstorm region.

The major detail of this figure is that when the LMA flash rate increases above 50 flashes per minute, the GLM DE falls below 50%, regardless of the region the storm is located in. There is some scatter seen in this figure, but the bulk of the data points conform to this relationship. This result is consistent with earlier studies which found decreased GLM DE's in thunderstorms with high flash rates (Zhang and Cummins 2020, Rutledge et al. 2020). However, since far more cases





are analyzed here, the results have a more general application than the few case studies reported in the earlier studies.

The next feature of Figure 4.1 shows that the Colorado and W. Texas region have significantly higher flash rate thunderstorms compared to the Alabama region. The Colorado and W. Texas region feature numerous thunderstorm cells that produce flash rates > 100 flashes min<sup>-1</sup> while the Alabama region has relatively few. While Colorado cases from both 2018 and 2019 were used in this study, the number of convective cells sampled in the Alabama and Colorado region were similar (Alabama: 4247 cells, Colorado: 4779 cells). This finding is consistent with studies from Williams and Stanfill (2002) Williams et al. (2005), Carey and Buffalo (2007), Fuchs et al. (2015,) Fuchs et al. (2016), etc. which found that superlative lightning flash rates

often occur in thunderstorm environments with increased cloud base heights, shallow warm cloud depths, broader updrafts, each of which promote increased cloud water contents in the mixed phase region. These are all characteristics of the Colorado region and to a lesser extent the W. Texas region. While the Alabama region certainly has high flash rate thunderstorms (Figure 3.17), the conditions are not as ripe for the production of high flash rate thunderstorm compared to the other two regions.

The next task is to provide some insight into the location and size of lighting channels in all three regions. Figure 4.2 shows the flash area vs. flash height for the Alabama, Colorado, and West Texas regions. A total of 1,606,798 LMA flashes were analyzed from all three regions with the most coming from WTLMA followed by COLMA and NALMA respectively.

The first feature that is immediately evident is the difference in flash height between the three regions. A large fraction of the Colorado flashes occurred between 5-7 km as opposed to around 10 km in the Alabama and west Texas regions. The low flash heights in Colorado are a result of the high frequency of anomalous storms that frequent this region (Fuchs et al. 2015, Fuchs et al. 2016, Fuchs et al. 2018, Rutledge et al. 2020). LMAs detect VHF radiation produced by electrical breakdown processes. Regions of positive charge produce higher values of VHF radiation because negative leaders moving through positive charge emit substantially more VHF radiation compared to positive leaders moving through regions of negative charge (Rison et al. 1999). Therefore, Figure 4.2 represents the approximate location of positive charge in thunderstorms in all three regions. This result is consistent with the findings of Fuchs et al.



# (2016), Fuchs and Rutledge (2018), Rutledge et al. (2020).

Another interesting result is that the W. Texas region featured smaller flash areas compared to Alabama and Colorado. One reason for this might be the lack of weak isolated convection in this region. The Alabama region frequently has weak convection during the summer months with large flash areas. Thunderstorms that produce small flashes, such as supercell thunderstorms, have strong updrafts that result in numerous locations for electrical breakdown (Bruning and MacGorman 2013). Thunderstorms that produce large flashes have weaker updrafts and a more stratified region of charge separation (Bruning and MacGorman 2013). Finally, the last intriguing feature is the dynamic range of flash heights in the Alabama region. This result is likely due to the fact that Alabama thunderstorms produce a higher concentration of CG flashes compared to the Colorado region (Fuchs et al. 2016). CG flashes obviously have low flash altitudes, and this is likely the reason for the observations of flash altitudes below 8 km in the Alabama plot.

Figure 4.3 is a plot of GOES-16 GLM flash energy vs. GOES-16 GLM DE. The first feature of interest is the rate of increase in the Alabama and W. Texas regions compared to the

Figure 4.2: Density plot of LMA flash area (km<sup>2</sup>) vs flash height (km; above ground level) for all three regions in the study.

Colorado region. The detection efficiency for less energetic flashes in Alabama increases from around 30% to near 70% for flashes near 400-500 fJ.





The DE for Colorado flashes increases at a much slower rate and stays below 30% until flashes > 450 fJ. The W. Texas region is in the middle of the Alabama and Colorado regions. The reason for the dip in DE around 300-400 fJ in the W. Texas region is interesting, but it is difficult to determine a cause until further analysis is completed. There are possible reasons for these differences. First, the difference in the GLM detection threshold between the Alabama region and the Colorado region is around 2.5 fJ (Cummins 2020). The best GLM threshold for the daytime (nighttime) in the Alabama region is ~2 fJ (1 fJ) while the best GLM threshold for the daytime (nighttime) in the Colorado region is ~4-5 fJ (2-3 fJ) Cummins (2019).

The W. Texas region has thresholds between the two aforementioned regions. If all else is equal, then there should be a difference in the GLM detection efficiency between the Alabama and W. Texas regions of 2.5. However, Figure 4.3 shows that this is not the case. For example, if the DE for a 100 fJ flash is 40% in Alabama than the same DE should be achieved for a 250 fJ flash in Colorado. However, Figure 4.3 shows that a DE of 40% is not reached in the Colorado region until the flash reaches 500 fJ. This is due to the scattering of optical emissions by cloud particles (ice and water).

The Alabama region is frequented by normal polarity thunderstorms with low flash rates, decreased cloud water paths, and high flash heights (Williams et al. 2005, Fuchs et al. 2015, Fuchs and Rutledge 2016, Rutledge et al. 2020). The thunderstorms produce flashes that are in the upper part of the cloud which result in lower amounts of optical attenuation for GLM (Brunner et al. 2019). On the other hand, anomalous charge structure thunderstorms commonly occur in the Colorado and W. Texas regions. These thunderstorms are characterized by high flash rates, low flash heights, and increased cloud water paths (Williams et al. 2005, Fuchs et al. 2015, Fuchs and Rutledge 2016, Rutledge et al. 2020). Optical emissions that occur further from the cloud-top are subject to enhanced optical scattering by cloud water/cloud ice (Thomason and Krider 1982, Light et al. 2001, Brunner et al. 2019). Thus, a flash that measures 100 fJ has a lower probability of detection in the Colorado region compared to the Alabama region.

The location of lightning flashes within the cloud column has significant ramifications on the GLM detection efficiency. The GLM sensor aboard GOES-16/17 measures optical emissions produced by lightning at a wavelength of 777.4 nm. A significant portion of radiation is scattered by cloud water/ice at this wavelength. This is significant because there are differences in the cloud water/ice paths between the three regions. A plot of CTWP vs GOES-16 GLM DE is shown in Figure 4.4 Recall that the CTWP was derived using cloud optical depth (COD) and cloud-top effective particle size (CPS) using the equation CWP = (5/9)\*COD\*CPS. The first detail that is apparent in this plot is that the GOES-16 GLM DE decreases with increasing CTWP regardless of the region. Once again, this plot echoes the results of Thomason and Krider (1982) and Light et al. (2001) which show increased scattering of optical emissions from lightning due to cloud water and cloud ice.



Figure 4.4: Figure of CTWP vs the GOES-16 GLM/LMA ratio for each region (AL:Blue, CO:Red, WTX:Green).

Photons associated with lightning flashes that occur in clouds with increased cloud water paths encounter increased scattering by cloud water and cloud ice particles. Cloud particles, ice or water, scatter a significant portion of radiation in the non-forward (that is, side or backwards) direction. Thus, the more interactions that upwelling photons have with cloud particles, the less likely they are to exit cloud top and be sensed by GLM.

Another detail that is apparent in the data is the difference in DE for a given CTWP across all three regions. This feature is once again caused by the decrease in GLM DE with increasing distance from the satellite nadir. If we analyze this plot at a CTWP of 10<sup>-1</sup> kg m<sup>-2</sup> the GOES-16 GLM DE varies from 70% in Alabama to 50% in west Texas and 25% in Colorado. GOES-16 is centered at 75.2 W so the Alabama region is closest to satellite nadir, followed by west Texas, and then Colorado. As such, GOES-16 GLM naturally has a higher detection efficiency over Alabama since GLM is better able to detect optically "dimmer" flashes. West Texas is in the median because it is between the Colorado and Alabama regions. The worst detection efficiency of the three regions occurs in Colorado because this is the furthest from nadir.

Thus far, we have discussed flash altitude, flash area, CTWP, and GLM FOV as major factors in limiting the detection efficiency of GLM. There is yet another feature regarding the cloud water contents of thunderstorms in the three regions which affects the GLM DE. The differences will be explained in the text that follows.

To further examine the difference in CTWP between the two regions, a histogram of the CTWP observations in each region is shown in Figure 4.5 Once again, the main feature of this plot shows the difference in CTWP values between the Alabama region and the west Texas and Colorado regions. The peak of the Alabama curve occurs between 0.5 and 1.0 kg m<sup>-2</sup>, but then

significantly falls off after 1.00 kg m<sup>-2</sup>. However, the Colorado and west Texas regions have more observations of CTWP greater than 1.5 kg m<sup>-2</sup>, where anomalous thunderstorms occur. Previous studies have argued for enhanced supercooled cloud water in anomalous thunderstorms when compared to normal polarity storms (Williams et al. 2005, Fuchs et al. 2015, Rutledge et al. 2020). As discussed in Chapter 1, anomalous charge structure thunderstorms often have wider updrafts and shallower warm cloud depths which promotes larger values of cloud condensate in the mixed phase region when compared to normal polarity thunderstorms (Lang & Rutledge 2002; Williams et al., 2005, Fuchs et al., 2018). This hypothesis seems to be confirmed by Figure 4.5. Indeed, the differences between the Alabama region and the west Texas/Colorado region are significant. Additionally, the flash height is lower in anomalous charge structure storms which only enhances the above-flash CTWP in these regions. The Colorado region, which experiences the largest fraction of anomalous charge structure thunderstorms has values of CTWP greater than 2.00 kg m<sup>-2</sup> which neither of the other two regions have.




We have already shown through the VHF data that flashes in Colorado thunderstorms often occur deeper in the cloud relative to those in Alabama and West Texas. Therefore, we can focus on the Thomason and Krider (1982) results for this discussion. Their results showed that the optical depth of a cloud is *L/f* where L is the depth of the cloud and f is the interaction mean free path of photons. The main flash location in anomalous thunderstorms is often between 5-7 km while the flash location in normal charge structure thunderstorms is usually around 10 km. Assume for this discussion that a lightning flash in a Colorado thunderstorm occurs at 5 km MSL and a flash is located at 8 km in a Alabama thunderstorm. If the cloud top height in both regions is 10 km, then the depth of cloud between flash and cloud top is 5 km in the Colorado storm and 2 km in the Alabama storm. Then the optical depth encountered by flashes of the Colorado storm

optical intensity at cloud top will be significantly reduced for the Colorado thunderstorm due to its increased interaction with cloud condensate (ice and water).

In summary, there are multiple factors that affect the detection efficiency of GLM. These factors include, but are not limited to, the GLM FOV, cloud water path, optical intensity (related to flash area) and flash height. It just so happens that the Colorado region is in one of worst case scenarios for GLM lightning detection. First and foremost, the Colorado region is located almost directly in between GOES-16 (75.2 W) and GOES-17 (137.2 W) and therefore has a higher optical energy threshold compared to the west Texas and especially the Alabama region. Therefore, flashes must be brighter to begin with such that GLM can even detect the flash. Since Colorado thunderstorms often have small flash areas, low altitude flashes, and increased cloud water paths then a worst-case scenario for the detection of lighting in the Colorado region results.

Another strength of the CLEAR tracking algorithm is that it has the ability to track changes in the thunderstorm microphysics over time. Previous studies have shown that the graupel echo volume (GEV) is highly correlated to the lightning flash rate from LMAs (Weins et al. 2005, Basarab et al. 2015). The collision of graupel particles with cloud ice particles in the presence of supercooled liquid water separates electrical charge (between graupel and small ice) with a magnitude that varies with cloud water content, temperature, graupel impact velocity and other factors (Reynolds et al., <u>1957</u>; Takahashi, <u>1978</u>; Jayaratne et al., <u>1983</u>; Saunders et al., <u>1991</u>; Williams et al., <u>1991</u>). The small ice crystals are lofted by the thunderstorm updraft while the heavier graupel particles fall to the mid-level of the cloud. The separation of opposite charges created by this process is known as the non-inductive charging method and is explained in (Reynolds et al., <u>1957</u>; Takahashi, <u>1978</u>; Jayaratne et al., <u>1983</u>; Saunders et al., <u>1991</u>; Williams et al., <u>1991</u>, Saunders et al., <u>1978</u>; Jayaratne et al., <u>1983</u>; Saunders et al., <u>1991</u>; Williams et al., <u>1991</u>, Saunders et al., <u>2006</u>). Since it is established that flash rate is

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linked to GEV, and important test for GLM is to see if the GLM flash rate is correlated with GEV. The LMA flash rate and GLM flash rate vs. graupel echo volumes are shown in figures 4.6 and 4.7 for the Alabama, Colorado, and west Texas regions respectively.







There is not a significant difference between the LMA flash rate vs. GEV correlation between the three regions. The highest correlation occurs in the Colorado region, but these plots confirm previous studies that showed high correlation between LMA FR and GEV. Perhaps more surprising is the high correlation between the GLM FR and the GEV in all three regions. While the correlations are less than that of the LMA FR, the correlation to changes in flash rate suggests that GLM does capture trends in lightning in isolated thunderstorms that relate to changes in storm intensity reflected by GEV changes. The GLM flash rate is smaller than the LMA flash rate in most cases (figure 4.1) but Figures 4.6 and 4.7 suggest that the lightning jump algorithm (Schultz et al. 2009) would have some success despite the differences in magnitude between the two datasets.



## GLM Flash Rate vs Graupel Volume

volumes are also shown

This chapter has shown that the detection efficiency of GLM is affected by the GLM FOV,

lighting flash size, lightning flash location, and optical scattering by cloud species above the

flash level (cloud water path).

## **CHAPTER 5: SUMMARY**

Overall, this study showed that the detection efficiency of GLM is affected adversely by several variables regardless of the region where the storm was located. These variables include flash area, flash height, cloud water path, location relative to GLM, and flash rate. First, this study analyzed three case studies of thunderstorms per region in Chapter 3. The cases spanned from low flash rate to high flash rate storms in each region. Several trends were identified. The first trend that was uncovered was that GLM performs best, in terms of detection efficiency, for low flash rate ( < 50 flashes min<sup>-1</sup>) thunderstorms. Low-flash rate cases from each region shows that the DE of GLM comes close or meets the design specifications of 70%. These same high DE cases are associated with relatively large flash areas and decreased cloud water paths. The other trend that was apparent is that GLM has a reduced detection efficiency for thunderstorms that have high flash rates. Often, these thunderstorms had flashes that were small is size in conjunction with large cloud water/ice paths. While these trends were interesting to see, a more robust statistical analysis was needed to provide clarity.

One trend that required further exploration was the relationship between GLM detection efficiency in thunderstorms that produced severe weather. Thirty-four thunderstorms were explored in depth and the results showed that severe thunderstorms, identified by NOAA SPC severe storm reports, were more likely to have a reduced GLM DE. Additionally, these results showed that if a severe weather report occurred, it was most likely a report of large hail. Is there a correlation between severe thunderstorms that produce large hail and low GLM detection efficiencies? That is difficult to determine with the small subset of cases. A more thorough analysis into the correlation between GLM DE and severe weather reports from thunderstorms are needed to clarify this observation. Another trend we examined was the relationship between GLM detection efficiency and LMA flash rate in thunderstorms from all three regions. The GLM DE in all regions was observed to drop below 50% when the LMA flash rate exceeded 50 flashes min<sup>-1</sup>. This is due to the fact that as flash rate increases, the area of flashes decreases (Bruning et al. 2013). Flashes that are smaller in size often produce less photons than larger flashes do (Peterson and Rudlosky 2018). Flashes that produce less photons combined with increased above-flash cloud water paths (ice and water) are less likely to be detected by optical sensors such as GLM.

Next, the flash characteristics in each region were examined. Flashes in all three regions had similar flash areas, but flashes in Colorado occurred 2-3 km lower in the atmosphere compared to those in Alabama and west Texas. This is due to the presence of anomalous charge structure thunderstorms that frequent the western Great Plains, including Colorado.

The most significant result of the Chapter 4 was the analysis into the relationship between GLM detection efficiency and cloud top water path. The case studies in Chapter 3 often indicated that low GLM DEs occur with increased cloud top water paths. Two plots were created to show the relationship between GLM DE and CTWP. The first plot showed that GLM DE decreases with increasing CTWP regardless of region. This result relates to modeling studies of the effects of cloud particles on optical emissions generated by lightning. A higher concentration of cloud particles or a longer cloud water path equates to more scattering of photons emitted by lightning. Therefore, photons produced by lightning are less likely to exit the cloud top and reach the GLM sensor.

Additionally, Figure 4.4 showed the relationship between GLM DE and distance from the satellite nadir. For a given CTWP, the GLM DE was highest in the Alabama region and lowest in the Colorado region with west Texas in the middle. The Alabama region is much closer to nadir

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of GOES-16 and therefore flashes do not have to be as bright for detection. The west Texas and Colorado regions are further west than nadir where optical emissions have to be much brighter for GLM to detect. Figure 4.5, depicting a histogram of all CTWP observations in the three different regions, revealed that higher values of CTWP occur in the west Texas and Colorado region compared to Alabama. The west Texas and Colorado region have thunderstorms of anomalous polarity which are often accompanied by wider updrafts and increased supercooled liquid water contents. Increased cloud water contents result in increased scattering by cloud particles and therefore reduced detection efficiency from GLM.

The complexity of this is address is Figure 5.1. The typical Alabama thunderstorm has weak updrafts with modest lightning flash rates, large flash sizes, elevated flash heights and low cloud water contents. This results in a path that is small and thus GLM can detect the lightning with ease However, the typical Colorado thunderstorm has strong updrafts with high lightning flash rates, small flash sizes, low flash heights, and increased cloud water contents. This results in a large cloud path which reduces the GLM detection efficiency. The typical west Texas thunderstorm falls somewhere in the middle of this spectrum. Additionally, Colorado is located in a region where flashes must be brighter for detection. Thus, there is a "perfect storm" that results in low detection efficiency of thunderstorms in Colorado.



Figure 5.1: Depiction of a typical Alabama thunderstorm and a typical Colorado thunderstorm. The 0-degree Celsius height is shown as the dotted red line. The main lightning location in each thunderstorm is shown in yellow. The red bracket is an illustration in the difference in cloud path for each storm.

The main focus of this study was to evaluate the GLM detection efficiency within isolated convection. The results show that GLM DE is affected by many variables including distance from nadir, cloud water content, flash area and flash height. These factors can combine and result in poor GLM DE performance compared to surface-based LMA networks, particularly in anomalous polarity thunderstorms. Further research is needed to quantify these effects into a scaling factor for GLM observations.

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