

1 **Millennial-scale vegetation changes in the tropical Andes using ecological**
2 **grouping and ordination methods**

3
4
5 Dunia H. Urrego (1), Henry Hooghiemstra (2), Oscar Rama-Corredor (3), Belen Martrat
6 (3), Joan O. Grimalt (3), Lonnie Thompson (4), Mark B. Bush (5), Zaire González-
7 Carranza (2), Jennifer Hanselman (6), Bryan Valencia (5), César Velásquez-Ruiz (7).

8
9 (1) Geography, College of Life and Environmental Sciences, University of Exeter, United
10 Kingdom.

11 (2) Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, The
12 Netherlands.

13 (3) Department of Environmental Chemistry, IDAEA-CSIC, Spain.

14 (4) School of Earth Sciences and Byrd Polar and Climate Research Center, The Ohio
15 State University, USA.

16 (5) Department of Biological Sciences, Florida Institute of Technology, USA.

17 (6) Department of Biology, Westfield State University, USA.

18 (7) Bio-Science School, Universidad Nacional de Colombia, Colombia.

19
20
21 **Prepared for publication in:** *Climate of the Past*

22 **Track record of manuscript:** 29 April 2013 skeleton text by HH **version-1**; August 2013, added notes on
23 methods, key questions and results DHU; 17 July 2014 **version-2** by DH Urrego; 22-23 July HH; 5Sep
24 **version-4** by DHU; 9Sept HH input; 17thOct **version-6** by DHU; HH input 20Oct-4Nov; **version-7** by
25 DHU 21Nov2014; 1Dec2014 LT, OR-C and BM input; HH input 30Nov-3Dec; **version-8** by DHU
26 23Jan15. HH input 26Jan15. BM input 28Jan15. **Version-9** by DHU 29Jan2015. BM feedback 30Jan.
27 **Submission:** 31 Jan 2015 (**version-10**). Reviews May-July 2015. HH input 5Nov2015. Revised manuscript
28 **version-11** by DHU on 2-4Dec 2015. LT input 7Dec2015. Revised submission: 8 Dec 2015 (**version-12**).

29 **Accepted for publication:** 27 January 2016.

30
31 **Abstract**

32 We compare eight pollen records reflecting climatic and environmental change
33 from northern and southern sites in the tropical Andes. Our analysis focuses on the last
34 30,000 years, with particular emphasis on the Pleistocene to Holocene transition. We
35 explore ecological grouping and downcore ordination results as two approaches for
36 extracting environmental variability from pollen records. We also use the records of
37 aquatic and shoreline vegetation as markers for lake level fluctuations, and moisture

38 availability. Our analysis focuses on the signature of millennial-scale climate variability
39 in the tropical Andes, in particular, Heinrich stadials (HS) and Greenland interstadials
40 (GI).

41 The pollen records show an overall warming trend during the Pleistocene-Holocene
42 transition, but the onset of post-glacial warming differs in timing among records. We
43 identify rapid responses of the tropical vegetation to millennial-scale climate variability.
44 The signature of HS and the Younger Dryas are generally recorded as downslope Upper
45 Forest Line (UFL) migrations in our transect, and are likely linked to air temperature
46 cooling. The GI1 signal is overall comparable between northern and southern records and
47 indicates upslope UFL migrations and warming in the tropical Andes. Our marker for
48 lake level changes indicated a north to south difference that could be related to moisture
49 availability. The air temperature signature recorded by the Andean vegetation was
50 consistent with millennial-scale cryosphere and sea surface temperature changes, but
51 suggests a potential difference between the magnitude of temperature change in the ocean
52 and the atmosphere. We also show that AP% and DCA scores are two complementary
53 approaches to extract environmental variability from pollen records.

54

55 **Keywords:** arboreal pollen sum, detrended correspondence analysis, millennial-scale
56 climate variability, pollen records, tropical Andes

57

58 **1. Introduction**

59 The signature of millennial-scale climate variations is recorded in ice cores, and in
60 marine and terrestrial sediment archives both in the northern and southern hemispheres
61 (NGRIPmembers, 2004; EPICA, 2006; Baker et al., 2001; Harrison and Sanchez Goñi,
62 2010; Hessler et al., 2010). The clearest manifestations of millennial-scale climate events
63 are observed in Greenland ice core records (Wolff et al., 2010) and North-Atlantic marine
64 sequences (Sánchez Goñi and Harrison, 2010). The Greenland interstadials (GI) are
65 characterised by rapid warming in ice core records and can last up to 2500 years (Wolff
66 et al., 2010). A second type of millennial-scale climate events are the Heinrich events
67 (HE) (Heinrich, 1988), which are marked by an abrupt increase in the proportion of ice-
68 rafted debris (IRD) from iceberg discharges in the Ruddiman Belt (Ruddiman, 2001).
69 These iceberg discharges deliver fresh water into the North Atlantic and disrupt the
70 Atlantic Meridional Overturning Circulation (Hemming, 2004) resulting in global climate
71 changes. The intervals associated with North-Atlantic iceberg discharges are termed
72 Heinrich Stadials (HS) (Sánchez Goñi and Harrison, 2010) and have been linked to
73 temperature and precipitation changes in other regions of the world.

74 The signature of millennial-scale climate variability in the American tropics has
75 mostly been linked to precipitation change, specifically to southward migrations of the
76 Intertropical Convergence Zone (ITCZ) and variations in the strength of monsoonal
77 systems during HS. Model simulations and climate reconstructions suggest that HS result
78 in a southward shift of the thermal equator and the ITCZ (Broccoli et al., 2006) linked to
79 decreased sea surface temperature (SST) in the North Atlantic and increased SST in the
80 South Atlantic. Such an atmospheric and oceanic configuration is associated with a
81 weakened North-American Monsoon (Lachniet et al., 2013), and reduced precipitation in
82 central (Escobar et al., 2012) and northern South America (Peterson et al., 2000). The
83 precipitation signature of HS is also described as wet episodes in the Bolivian Altiplano
84 (Baker et al., 2001; Fritz et al., 2010) and as enhanced South American summer monsoon
85 (SASM) activity in southeastern Brazil (Cruz et al., 2005). In the Ecuadorian Amazon,
86 precipitation change appears to be positively correlated with some HS (Mosblech et al.,
87 2012).

88 GI have also been linked to precipitation changes in the American tropics. These
89 include wet conditions during GI1 in Central America (Escobar et al., 2012) and
90 decreased run-off in the Guyana Basin (Arz et al., 1998). Some GI appear to be
91 associated with reduced lake levels in western Amazonia (Urrego et al., 2010) and
92 decreased humidity in the Bolivian Altiplano (Baker et al., 2001). Weakening of SASM
93 and reduced precipitation are also associated with the onset of some GI in speleothem
94 records from subtropical Brazil (Cruz et al., 2005). The precipitation signals of HS and
95 GI indicate that climatic conditions in the American tropics were far from stable during
96 these millennial-scale climate events.

97 Estimates of temperature change during HS and GI in the tropics differ in
98 magnitude and are hindered by the number of available records. The magnitude of
99 tropical Atlantic SST warming at the onset of GI1 for instance is estimated to be less than
100 1°C in the Tobago Basin (Rühlemann et al., 2003), 2°C in the Colombian basin (Schmidt
101 et al., 2004) and 3.8°C in the Guyana Basin (Rama-Corredor et al., 2015). The isotopic
102 record from the Sajama ice core also indicates a large-magnitude change during GI1 that
103 has been linked to precipitation but could also be associated with air temperature
104 warming (Thompson et al., 1998). In the Colombian Andes, the best resolution
105 vegetation-based reconstruction of air temperatures available to date suggests that the
106 magnitude of warming associated with GI1 is as large as 9°C (Groot et al., 2011), twice
107 the SST estimate. Similarly, the signature of HS in the same record suggests downslope
108 forest migrations and large-magnitude temperature depressions (Bogotá et al., 2011). A

109 regional synthesis suggests that the vegetation signature of HS and GI can be opposite
110 between the northern and southern parts of the region influenced by the ITCZ (Hessler et
111 al., 2010) and highlights the paucity of records. Overall, whether there is a consistent air
112 and ocean temperature signature of millennial-scale climate events in the American
113 tropics remains unclear.

114 The main objective of this paper is to assess the signature of millennial-scale
115 climate variability in the tropical Andes, and to test whether it is consistent among
116 northern and southern sites. We re-analyse a suite of eight pollen records that reveal
117 vegetation changes at mid to high-elevations during last the 30,000 years BP (ka), with
118 particular emphasis on the Pleistocene to Holocene transition. We compare all records on
119 a common timescale, and explore how records expressed as percentage data and as
120 downcore detrended correspondence analysis (DCA) time series can provide different
121 information on environmental change. This study differs from previous studies that have
122 focused on vegetation changes and their palaeoecological meaning. Here, we use the
123 Andean vegetation as a marker for climatic change. We consider vegetation change as
124 one of the internal responses of the climate system and integrate our observations with
125 records that reveal the responses of the cryosphere and the ocean to millennial-scale
126 climate variability in the American tropics.

127

128 **2. Environmental setting: vegetation and climate**

129 Vegetation in the north and central Andes is dominated by lower montane forest above
130 1000 m elevation and up to c. 2300 m where there is absence of night frost. Upper
131 montane forests are found where night frost may occur and extend up to the upper forest
132 line (UFL). The UFL position, defined as the highest contour of continuous forest
133 (Bakker et al., 2008), is instrumental in temperature reconstructions as it coincides with
134 the c. 9.5°C mean annual temperature (Hooghiemstra, 1984). The UFL is found in the
135 study area between 3200 and 3500 m elevation and depends amongst other factors on
136 regional temperature, precipitation, ground-level cloudiness, and soil conditions. In the
137 northern Andes of Colombia and Ecuador relatively humid Páramo (Luteyn and
138 Churchill, 1999) is found between the UFL and the perennial snow at c. 4800 m. Much
139 drier Puna vegetation occurs above the UFL in Perú and Bolivia. The Huancabamba
140 Deflection (Weigend, 2002), a low elevation part of the Andes between Ecuador and
141 Perú, forms the transition between wet Páramo and dry Puna.

142 Topography is a key environmental variable in the tropical Andes (Graham, 2009).
143 It determines air temperature change (Vuille and Bradley, 2000), and precipitation
144 variability and spatial distribution (Garreaud et al., 2009). Air temperature decreases with

145 elevation, with modern empirically derived lapse rates of 5.5°C per 1000 m (Bush et al.,
146 2004). Air temperature in the tropical Andes can also be significantly reduced by cold-air
147 advection funnelled through Andean valleys from the northern (Poveda et al., 2006) and
148 southern (Garreaud, 2000) high latitudes. Cold fronts also affect precipitation regimes
149 due to convective cloudiness (Poveda et al., 2006; Garreaud et al., 2009). In particular,
150 southern-hemisphere cold fronts have been linked to ca. 30% of summertime
151 precipitation in western Amazonia (Garreaud and Wallace, 1998).

152 With respect to precipitation distribution, spatial differences between the eastern
153 and western Andean flanks are partly due to topography (Poveda et al., 2011). Moisture
154 on the eastern flank is primarily sourced in the tropical Atlantic and Amazonia, while
155 SST in the tropical Pacific modulates precipitation on the western flank (Vuille and
156 Bradley, 2000). On the eastern flank, the Andean mountains form a barrier to moisture,
157 and the altitudinal temperature decline forces that humidity to condense and form clouds
158 (Poveda et al., 2006). In areas of the eastern flank where prevailing winds and
159 topography are not favourable, cloud cover can be low and precipitation can be less than
160 1500 mm, forming relatively dry enclaves (Killeen et al., 2007). In contrast, moisture
161 regimes on the western flank are linked to the westerly Chocó jet in the northern Andes
162 (Poveda et al., 2006), and to upwelling and El Niño Southern Oscillation (ENSO) in the
163 central and southern Andes (Vuille et al., 2000). Such a difference in moisture drivers
164 results in a large precipitation gradient from north to south, with some of the rainiest
165 areas on earth found on the Pacific coast of Colombia, and deserts found along the
166 Peruvian coast. Rain shadow effects govern rainfall in inter-Andean valleys (Vuille et al.,
167 2000).

168 Several large-scale atmospheric and oceanic mechanisms modulate precipitation
169 regimes in the tropical Andes (Fig. 1). The position of the ITCZ is primarily forced by
170 trade wind convergence and Atlantic and Pacific SSTs, and is linked to continental
171 rainfall and seasonality at sub-annual timescales (Garreaud et al., 2009; Poveda and
172 Mesa, 1997). At inter-annual to millennial timescales, the inter-hemispheric migration of
173 the ITCZ seems to respond to multiple factors including insolation and the position of the
174 thermal equator (Fu et al., 2001), high-latitude temperatures and land-sea ice extent
175 (Chiang and Bitz, 2005), and high-latitude North Atlantic variability (Hughen et al.,
176 1996). The ITCZ is in turn linked to the distribution of mesoscale convective systems in
177 northwestern South America, contributing an average of 70% of annual precipitation in
178 the region (Poveda et al., 2006).

179 The SASM (Fig. 1) is linked to a large area of precipitation and convection that
180 forms over most of Amazonia and subtropical Brazil during the austral summer

181 (Garreaud et al., 2009). This low pressure system delivers a large proportion of annual
182 rainfall between December and February (Garreaud et al., 2009), and isotopic
183 fingerprinting suggests that the tropical Atlantic is its main moisture source (Vuille and
184 Werner, 2005). This moisture is transported across Amazonia by easterly trade winds
185 (Vuille et al., 2000) and is linked to the South American low-level jet (SALLJ) (Zhou and
186 Lau, 1998). Variations in the position of the Atlantic ITCZ are suggested to play a role in
187 modulating the strength of the SASM on interannual to decadal timescales (Zhou and
188 Lau, 1998). SASM strength has also been linked to the mean state of the Pacific (Vuille
189 and Werner, 2005), and interannual and long-term ENSO variability (Zhou and Lau,
190 1998).

191 ENSO drives a large portion of the interannual precipitation variability in the
192 tropical Andes, despite regional differences in timing, magnitude and direction of change
193 (Poveda et al., 2011). Warm ENSO events are associated with decreased rainfall and
194 more prolonged dry seasons in the Colombian Andes (Poveda et al., 2006). Drought is
195 also experienced in northeast Brazil during warm ENSO events, while southern Brazil
196 and the Ecuadorian Pacific coast experience increased rainfall (Zhou and Lau, 2001).
197 Warm ENSO events are also associated with strengthening of the SALLJ along the
198 eastern flank of the Andes, and enhancement of the SASM (Zhou and Lau, 2001).

199

200 **3. Methods**

201 We use eight pollen records from the tropical Andes to reconstruct environmental change
202 at a regional scale over the past 30 ka (Fig. 1, Table 1). This temporal focus is driven by
203 the time span of available records. Selected lakes form a north-to-south transect from 6°N
204 to 16°S and lie at mid- (<3000 m) and high-elevations (>3000 m) in the tropical Andes.
205 For the purpose of this paper, sites are classified according to their latitudinal position
206 into northern (latitude north), central (latitude < 10°S) and southern (latitude > 10°S)
207 Andean sites (Table 1). The sites are located in inter-Andean valleys partly lying in the
208 rain shadow, the eastern flank of the Andes facing the Amazon lowlands, and the
209 Peruvian-Bolivian Altiplano (Table 1). This latitudinal transect provides a large
210 environmental gradient and includes sites with various moisture sources. In the two
211 northernmost Colombian sites, the Atlantic ITCZ and ENSO modulate moisture
212 (Velásquez and Hooghiemstra, 2013; Bogotá et al., 2011). Further south, Lakes La Cocha
213 and Surucucho are located on the eastern flank of the Andes and receive most
214 precipitation from Amazonian orographic rains (Colinvaux et al., 1997; González-
215 Carranza et al., 2012). Lakes Chochos, Pacucha and Consuelo lie on the eastern flank of

216 the Andes, and Lake Titicaca on the Peruvian/Bolivian Altiplano. Lake Chochos
217 precipitation is sourced from Amazonian convection and the SASM (Bush et al., 2005).
218 The SASM also is the primary moisture source for Lakes Pacucha, Consuelo and Titicaca
219 (Urrego et al., 2010; Valencia et al., 2010; Baker et al., 2001) (Table 1).

220 We selected pollen records where knowledge of regional vegetation was sufficient
221 to allow a classification of pollen taxa into ecological groups. The selected records also
222 met minimum requirements of stratigraphic consistency and chronology quality. We used
223 records in which stratigraphic consistency allowed linear interpolations between
224 radiocarbon-dated samples (Table 1). We also selected records that included in average 5
225 radiocarbon ages in 10 ka. Age models developed by the original authors were used,
226 except for Llano Grande. For this record, we took the radiocarbon dates available in the
227 original publication and generated a linear interpolated age model based on calibrated
228 ages using Calib 7.1, IntCal13 (Reimer et al., 2013). The temporal resolution of the
229 records ranged from an average of ca. 26 years in La Cocha to 530 years in one of the
230 sequences from Lake Titicaca (Table 1). Given the differences in temporal resolution
231 among records, we only discuss major trends and refrain from drawing conclusions
232 beyond the chronological constraints of each record.

233 To assess the regional signature of millennial-scale climate events, our analysis
234 benefits from comparisons with direct proxies of tropical Atlantic SST from MD03-2616
235 (7°N, Guiana basin), and isotopic records from the Sajama ice cap (18°S). We explore
236 the degree of consistency between these independent markers and changes recorded by
237 the Andean vegetation as far as the chronological uncertainties allow.

238

239 **Protocol to extract environmental information from pollen records**

240 Raw pollen counts were obtained from the original authors or from the Latin American
241 pollen database (<http://www.ncdc.noaa.gov/paleo/lapd.html>). We calculated a pollen sum
242 that included only terrestrial taxa, and re-calculated pollen percentages of individual taxa
243 based on that sum. The ecological grouping of terrestrial taxa was based on the ecological
244 information published by the original authors. For sites where this information was
245 unavailable, we followed the author's interpretations of the pollen record, ecological
246 knowledge of the regional vegetation, and information from modern pollen calibrations
247 (Reese and Liu, 2005; Urrego et al., 2011; Weng et al., 2004). We considered that
248 ecological envelopes of Andean taxa at genus level may be wide, as more than one
249 species may be reflected in one pollen taxon. We also took into account that the
250 ecological affinity of a pollen taxon in a relatively dry inter-Andean valley may differ
251 from that of the same taxon in a humid cloud forest. Our interpretations of fossil pollen

252 spectra into past climate change included region-specific conditions. For example,
253 presence of pollen of Cactaceae and *Dodonaea* reflected local rain shadow effects, rather
254 than regional dry climates. Rare pollen taxa with unknown ecological affinity were
255 excluded from this classification.

256 Ecological groups include Puna (or Páramo), subpuna (or subpáramo), Andean
257 (upper montane) forest, sub-Andean (lower montane) forest, and taxa from tropical
258 lowland vegetation. The Puna (relatively dry) and Páramo (relatively wet) groups include
259 taxa from cold vegetation above the UFL (Bakker et al., 2008; Groot et al., 2011). These
260 groups also include transitional taxa between the UFL and Puna or Páramo. The Andean
261 and sub-Andean groups reflect high-elevation and mid-elevation forests found today
262 between ca. 1200 and 3200-3500 m elevation. Finally, tropical lowland taxa reflected
263 warm and moist forests below ca. 1200 m elevation.

264 The arboreal pollen percentage (AP%) groups the regional vegetation for each site.
265 Interpretation of AP% is dependent on the altitudinal location of a given site relative to
266 the modern UFL (Hooghiemstra and van der Hammen, 2004). For instance, in Lake
267 Fúquene at 2540 m, AP% includes Andean and sub-Andean taxa. In Llano Grande at
268 3650 m, AP% only includes cold Andean taxa as pollen grains from sub-Andean forests
269 hardly reach this high-elevation site. AP% is most sensitive when sites are located
270 between the highest interglacial and the lowest glacial UFL positions. We therefore
271 anticipate a lower sensitivity of the records from Lake Consuelo (1360 m) and Lake
272 Titicaca (3800 m) as a consequence of site location. Using the ecotone of the upper/lower
273 montane forest is not feasible yet as this ecotone is palynologically insufficiently
274 constrained (Hooghiemstra et al., 2012). Changes in AP% relate to altitudinal migrations
275 of montane vegetation and the relative position of the UFL, an ecological boundary
276 relatively well established in climatological terms (Körner, 2007; Hooghiemstra, 2012).

277 The terrestrial pollen sum excludes taxa of the aquatic and shoreline vegetation,
278 such as Cyperaceae, *Isöetes*, *Myriophyllum* and other taxa described by original authors
279 as aquatic and wet shoreline elements. We have followed the shoreline vegetation
280 zonation detailed by González-Carranza et al. (2012), when information on aquatic
281 vegetation was unavailable. We establish an “aquatic pollen sum” that includes taxa
282 grouped into shoreline, shallow- and deep-water taxa, reflecting a gradient of water
283 depth. The shoreline group includes taxa found in the wet and seasonally flooded shores
284 (i.e. *Plantago*, *Rumex* and *Typha*), shallow water taxa are found growing up to 1 m water
285 depth (i.e. *Hydrocotyle* and *Ranunculus*), and deep water taxa include *Isöetes* ferns and
286 other aquatic plants found up to 6 m water depth (González-Carranza et al., 2012). We
287 calculate a ratio (D/SS) between taxa characteristic of deep water over taxa growing in

288 shallow water and wet shores, and use it as an indicator of lake level changes and
289 moisture availability. D/SS is based on the sum of aquatic taxa and is independent of
290 AP%.

291 Two DCA analyses (McCune and Grace, 2002) were performed on untransformed
292 terrestrial pollen percentages for each site. The first DCA was run on the entire pollen
293 percentage matrices. A second DCA was run on reduced pollen percentage matrices after
294 applying a filter that aimed to eliminate noise caused by rare pollen taxa (Birks and Birks,
295 1980). This filter retained taxa with at least 1% abundance and that were found in at least
296 5 samples per record. Taxa that met only the latter requirement, but had abundances
297 below 1% were retained as such taxa likely reflected low pollen producers. Iterations
298 were run until a stable solution was reached for all ordinations. To make DCA scores
299 comparable between records, axis scores were standardized by calculating z-scores based
300 on the mean and standard deviation for each record. Rates of ecological changes (RoC)
301 were calculated as the dissimilarity distance between two consecutive pollen time slices
302 divided by the time interval in between (Urrego et al., 2009). Euclidean, Sorensen and
303 Bray Curtis dissimilarity distances (McCune and Grace, 2002) were calculated based on
304 raw pollen percentages. The DCA axis scores for the first four axes were also used to
305 calculate RoC using a Euclidean distance. RoC calculated using raw percentages were
306 compared with RoC based on DCA axis scores to evaluate the influence of DCA variance
307 reduction.

308

309 **4. Results and interpretation**

310 The proportions of sub-Andean (lower montane) and Andean (upper montane) forest taxa
311 vs. vegetation located above the UFL (Puna and Páramo) show temporal variations that
312 appear synchronous among some sites (Fig. 2). The comparison of AP% vs. DCA1 z-
313 scores demonstrates similar trends in three of the eight pollen records analysed (Fig. 3).
314 In the remaining five records, AP% and DCA z-scores trends differ in at least part of the
315 record, despite a few similarities. The record of D/SS potentially reflects lake level
316 changes and moisture availability that appear to be registered at most studied sites (Fig.
317 4). In the following section we describe results from our re-analysis of each of eight
318 selected pollen records.

319

320 **4.1 Llano Grande** (Velásquez and Hooghiemstra, 2013)

321 The Llano Grande site is located near the current position of the UFL at 3650 m
322 elevation. Changes in AP% at this elevation are expected to be sensitive to changes in the
323 composition of the Andean forests found downslope today. The trends of DCA1 z-scores

324 (reversed) and AP% are remarkably similar (Fig. 3) suggesting that temperature, the
325 driver of changes in AP%, is also the strongest driver of DCA1. The abundance of
326 Andean taxa (AP%) shows a trough between ca. 12.5 and 10.5 ka (Fig. 2 and 3). Several
327 AP% oscillations are observed during the Holocene. D/SS shows a peak after the onset of
328 the pollen record at ca. 14.5 ka, and three increases of lesser magnitude during the
329 Holocene (Fig. 4). The onset of the pollen record and the largest D/SS peak are probably
330 linked to the formation of the lake. D/SS increases occur between ca. 6 and 5 ka, and
331 between ca. 4.5 and 2.5 ka.

332

333 **4.2 Lake Fúquene** (van der Hammen and Hooghiemstra, 2003)

334 The Fúquene2 record comes from an intra-Andean valley at 2540 m elevation, a position
335 centrally located in the current altitudinal range of Andean forests. The location of Lake
336 Fúquene makes this record highly sensitive to temperature-driven migrations of montane
337 taxa. During glacial times this area was covered by cold Páramo vegetation, and during
338 interglacials sub-Andean forest taxa reached up to ca. 2300 m (Groot et al., 2011). The
339 short distance between sub-Andean forest and the lake explains pollen from sub-Andean
340 taxa also being represented in AP%.

341 Páramo taxa show high percentages between ca. 30 and 17.5 ka, but also vary at
342 several intervals (Fig. 2). Andean and sub-Andean taxa (AP%) show an overall increase
343 starting around 15.6 ka, with a trough between ca. 13 and 11 ka, and showing some
344 fluctuations during the Holocene. DCA1 follows remarkably well the variability of AP%
345 (Fig. 3), indicating that this ordination axis is probably tracking temperature-driven UFL
346 migrations. Pleistocene downslope migrations of the UFL can be inferred from AP%
347 decreases around 26, 18 and 13 ka (Fig. 3). AP% increases and upslope UFL migrations
348 are observed at ca. 23.3 and 14 ka. D/SS also shows variations that suggest increases in
349 lake levels after at ca. 22, 12, 8 and 3 ka (Fig. 4).

350

351 **4.3 Lake La Cocha** (González-Carranza et al., 2012)

352 Lake La Cocha sits in a valley at 2780 m elevation on the eastern flank of the Andes.
353 Amazonian moisture causes abundant orographic rains at this site. Centrally located in
354 the current altitudinal range of the Andean forest (2300 to 3650 m elevation), the AP%
355 record also includes taxa from the sub-Andean forest. During the deglaciation, the UFL
356 was below the elevation of the valley and Páramo vegetation surrounded the lake. AP%
357 reflects temperature changes in this record, although inverse hydrological modelling
358 suggests that Holocene vegetation changes at this site are driven both by increased
359 temperature and moisture (Van Boxel et al., 2014).

360 Andean and sub-Andean taxa (AP%) in this record increase consistently while
361 Páramo taxa decrease at the Pleistocene-Holocene transition (Fig. 2). Short but clear
362 increases of AP% are detected around 11.5, 9.5, 8 ka. The trend of DCA1 z-scores
363 closely follows AP% (Fig. 3). AP% variability increases during the Holocene and
364 displays a shift around 6 ka. Two increases in D/SS suggest lake level increases between
365 ca. 11 and 6 ka, and between ca. 4 and 2 ka, interrupted by a decreased lake stand centred
366 ca. 5 ka (Fig. 4).

367

368 **4.4 Lake Surucucho** (Colinvaux et al., 1997)

369 Lake Surucucho is located at 3180 m elevation. Sub-Andean forests reach up to 2800 m
370 in this part of the Andes, while the subpáramo is found at 3500 m elevation. The Andean
371 forest thus covers a vertical range of approximately 700 m. AP% values include Andean
372 taxa at this site and reflect UFL shifts.

373 Puna and subpuna taxa dominate the pollen record during the late Pleistocene (Fig.
374 2). Andean forest taxa increase gradually from ca. 12 ka and remain relatively abundant
375 during the Holocene, despite the persistent abundance of Puna and subpuna taxa. DCA1
376 z-scores and AP% follow a similar trend indicating that temperature is their common
377 driver (Fig. 3). AP% decreases before 18 ka and increases again around 14.5 ka. At ca.
378 11.3 ka we observe a two-fold increase in AP% and a shift in DCA1 z-scores. D/SS is
379 relatively high during the late Pleistocene with a peak at ca. 17 ka. D/SS decrease after
380 ca. 10 ka and are low throughout the Holocene (Fig. 4).

381

382 **4.5 Lake Chochos** (Bush et al., 2005)

383 Lake Chochos is located at 3285 m elevation and sits on the eastern flank of the Andes.
384 The record is centrally located in the altitudinal range of UFL glacial-interglacial
385 migrations. AP% includes Andean taxa and is expected to reflect temperature-driven
386 UFL shifts at this site.

387 Percentages of Andean forest taxa (AP%) are high at the end of the Pleistocene and
388 gradually decrease between ca. 17 and 12 ka (Fig. 2). Andean taxa show some
389 fluctuations during this interval, while Puna and subpuna taxa increase. Between ca. 12
390 and 10 ka, Andean taxa dominate the record again and Puna and subpuna taxa show
391 relatively low proportions. AP% and DCA1 z-scores show different trends, suggesting
392 that different drivers affect these records (Fig. 3). D/SS are high between ca. 14 and 6 ka,
393 with the highest peak centred at ca. 8.2 ka (Fig. 4).

394

395 **4.6 Lake Pacucha** (Valencia et al., 2010)

396 Lake Pacucha is located at 3095 m elevation in the Peruvian Andes. The vegetation
397 around the lake is strongly influenced by small-scale topography with mesic forests on
398 the windward slopes and xeric forests in the rain shadow areas. The natural UFL lies
399 between 3300-3600 m, where vegetation changes into shrublands of 100 to 200 m
400 vertical extension. Upslope, this shrubby vegetation transitions into herbaceous Puna up
401 to 4300-4500 m. As the site is located ca. 300 m below the UFL, AP% changes are
402 expected to be very sensitive to temperature-driven altitudinal shifts of the UFL. AP% at
403 Lake Pacucha includes Andean taxa.

404 Puna and subpuna taxa dominate until ca. 15.6 ka. Andean forest taxa then show a
405 three-fold increase and exceed Puna and subpuna taxa proportions by at least 10%,
406 displaying a peak centred around 15 ka (Fig. 2). Puna and subpuna taxa increase again at
407 ca. 13 ka, while the percentages of Andean forest taxa decrease approximately two-fold.
408 Andean forest taxa percentages recover after ca. 11.5 ka. During the Holocene, both
409 Andean forest and Puna taxa vary and appear to follow the same trend. AP% varies
410 independently from DCA1 z-scores, indicating little correlation between the two markers
411 (Fig. 3). D/SS is high and shows several fluctuations until ca. 11.9 ka, with minima
412 around 18 and 16.5 ka.

413

414 **4.7 Lake Consuelo** (Urrego et al., 2010) Neotoma ID-numbers 17995, 18051.

415 Lake Consuelo is located at 1360 m on the eastern flank of the Andes. Amazonian
416 moisture causes significant orographic rains at this site, covering the lake in semi-
417 permanent ground-level clouds. Located in the lower part of the current altitudinal range
418 of sub-Andean forest, the AP% record is mainly composed of sub-Andean taxa. Lowland
419 taxa were grouped for Lake Consuelo, but showed less variation than sub-Andean taxa.
420 The vertical distance from Lake Consuelo to the UFL is large, and even during glacial
421 times the lake remained surrounded by cool Andean forests. Changes in AP% are
422 expected to reflect temperature-driven shifts of sub-Andean forests.

423 Sub-Andean forest taxa dominate the record and reach up to 80% (Fig. 2). Despite
424 its mid-elevation location, the record shows over 30% of the subpuna vegetation during
425 the Pleistocene. The trends of DCA1 z-scores and AP% are similar, but the signals seem
426 more consistent during the Holocene (Fig. 3). D/SS are low between ca. 30 and 10 ka,
427 and show a series of early- Holocene peaks centred around 8 ka (Fig. 4). During the early
428 and late Holocene D/SS are primary low.

429

430 **4.8 Lake Titicaca** (Paduano et al., 2003; Hanselman et al., 2011)

431 Lake Titicaca is located at 3810 m elevation; the highest site in our transect study. Today

432 the lake is surrounded by Puna vegetation, and Andean forests occur below 3200 m.
433 Glaciers must have reached the lake basin during glacial times and vegetation comparable
434 to the modern Puna brava (4500-5300 m) probably surrounded the lake. AP% includes
435 Andean taxa and is expected to reflect altitudinal shifts of the UFL. However, the
436 significant distance between the UFL and the lake (between ca. 600 and 1500 m)
437 potentially causes two sources of bias in the AP% values: (1) registered changes in AP%
438 may not be sensitive to minor changes in UFL position and (2) AP% increases may lead
439 the real migration of the UFL due to upslope aeolian pollen transport (Jansen et al.,
440 2013).

441 Two pollen records are available from Lake Titicaca, and in both Puna taxa
442 dominate the pollen spectra (Fig. 2). Andean forest taxa account for less than 10% of the
443 pollen sum, and reflect the downslope location of the UFL. Puna taxa fluctuate during the
444 Pleistocene, and decrease between ca. 17.8 and 13.8 ka. DCA1 z-scores and AP%
445 fluctuate differently during the Pleistocene, but are consistent during the Holocene (Fig.
446 3). The core from the centre of the lake did not record aquatic vegetation and D/SS could
447 only be calculated for the record collected closer to the shore. However, given the lake's
448 size and depth, the abundance of shoreline and shallow aquatics is still very low. D/SS is
449 mostly driven by deep-water indicators and is therefore not comparable with the other
450 records (Fig. 4).

451

452 **5. Discussion**

453 **5.1 Extracting climatic information from pollen records**

454 Our comparison of AP% and DCA1 z-scores to extract climate change information
455 from pollen records allows us to highlight differences between the two approaches. On
456 the one hand, ordination analyses like DCA attempt to find the clearest relationships
457 within the pollen dataset, both between pollen taxa and between time slices. The
458 strongest source of variability in one dataset may be precipitation while it may be
459 temperature in another. As a result, ordination scores are not always comparable between
460 sites even after standardization. Relationships between pollen taxa may be due to
461 ecological affinities, and in this sense, this step of the ordination analysis is somewhat
462 equivalent to the taxa grouping done for AP%. However, ordination analyses do not
463 involve *a priori* information (i.e. ecological knowledge) and are only driven by the main
464 sources of variability within the pollen dataset. This is why ordination analyses have been
465 argued to have an advantage over AP%: because each pollen taxon is free to be correlated
466 with any other taxon (Urrego et al., 2005; Colinvaux et al., 1996; Bush et al., 2004). A
467 taxon that today would be grouped as Andean is free to have more affinity with lowland

468 taxa in the past. It is difficult to allow for this flexibility with AP%, which uses modern
469 ecology to group fossil taxa. On the other hand, ordination analyses produce results that
470 require ecological knowledge for interpretation. The ordination results consist of axis
471 scores for pollen taxa and for time slices that are non-dimensional, lack direction, and can
472 be rotated as desired (Hill and Gauch, 1980). Additionally, information extracted from
473 the ordination axes can only be used in relative terms. As a result, *a posteriori* ecological
474 knowledge of the taxa with the highest loadings is necessary to interpret the main sources
475 of variability within the pollen dataset. Ordination-based interpretation of pollen records
476 may be more appropriate for non-analogue species re-assortments, but still requires
477 knowledge on modern species affinities to extract climate or environmental change
478 information from ordination results.

479 Using *a priori* ecological knowledge to calculate AP% has been criticized due to
480 potential subjectivity involved in the classification of pollen taxa (Colinvaux et al., 1997).
481 This potential subjectivity relates to the fact that boundaries between vegetation
482 formations are rarely clear cut, hence ecological grouping of transitional or wide-ranging
483 taxa is left to the palynologist's discretion. AP% has also been criticised because of the
484 underlying assumption that species respond to change as an assemblage rather than
485 individualistically (Urrego et al., 2010). The record from Lake La Cocha reveals
486 individualistic changes in pollen abundance (González-Carranza et al., 2012), but also
487 clear variations in AP% that may respond to shifting Andean and sub-Andean
488 associations. The record of Lake La Cocha is therefore a good example of how ecological
489 grouping associated with AP% allows for individualist migrations within groups.

490 The main advantage AP% has over ordination scores is that AP% gives a direction
491 to the observed change from the start. AP% can be translated into temperature-driven
492 UFL migrations (Hooghiemstra et al., 2012) and is comparable between sites. Such site-
493 to-site comparisons of ordination scores are not possible because DCA results are driven
494 by the main source of variability within each site, and this may differ from one site to the
495 other. AP% is also particularly sensitive in high to mid-elevation sites. For instance in
496 Fúquene and Pacucha, AP% is relatively high during the Holocene compared to the
497 Pleistocene (Fig. 3) indicating the signal of post-glacial warming. The sensitivity of AP%
498 can be low however where forest composition remains within one ecological group. In
499 Lake Consuelo AP% remains high during the last glacial and interglacial periods,
500 indicating that the area had a relatively stable forest cover. Despite differences in site
501 sensitivity, AP% changes are comparable between sites.

502 We also calculated RoC (Urrego et al., 2009) and D/SS ratios to explore their
503 sensitivity to environmental change. RoC values appear to be sensitive to changes in

504 sedimentation rate, while showing little difference when calculated based on DCA results
505 vs. raw pollen percentages. As an example of this sensitivity we show RoC calculated for
506 the La Cocha record (Fig. S1). We refrain from using RoC in this paper as age
507 uncertainties may be inflated when pollen records of varying quality are compared. One
508 way to circumvent RoC dependency on age and sedimentation uncertainties is to preserve
509 the ecological dissimilarity distances calculated between pollen assemblages as a measure
510 of pollen taxa turnover (for an example see Urrego et al. (2013)).

511 Another climate change indicator calculated here from pollen records is the D/SS
512 ratio. Assuming that the lakes have minimal losses by underground leaks or outflow,
513 D/SS values potentially indicate lake level changes due to fluctuations in precipitation
514 and evaporation. Increases in D/SS are associated with high abundance of deep-aquatic
515 taxa and likely indicate high lake-level stands. Low D/SS indicates relatively high
516 abundance of aquatic vegetation from shallow waters and reduced water bodies. A
517 potential bias for D/SS is that some taxa included in the 'aquatic pollen sum' have
518 different growth forms. For instance, *Isöetes* is an aquatic fern growing up to 6-m water
519 depth in lakes and is indicative of relatively deep-water conditions. However, in fluvial
520 and fluvio-lacustrine environments *Isöetes* species may also occur on sand banks (Torres
521 et al., 2005). The D/SS ratio is based on relative abundances and is calculated in the same
522 way for all sites. Therefore, calculating D/SS makes differences in pollen/spore
523 production a systematic bias, and allows comparisons among sites and samples within
524 one record. Additionally, the sensitivity of D/SS may depend on water depth. In Lake
525 Titicaca for instance where water depth is more than 200 m, the D/SS ratio is
526 uninformative because no aquatic taxa were recorded (Fig. 4).

527

528 **5.2 Temperature and moisture availability during the Pleistocene to Holocene** 529 **transition in the tropical Andes**

530 The eight pollen records from the tropical Andes consistently record Pleistocene
531 altitudinal migrations of Andean and sub-Andean forests linked to glacial cooling.
532 Páramo and subpáramo, or Puna and subpuna vegetation characterize the Pleistocene,
533 while the Holocene is characterised by sub-Andean and Andean forest (Fig. 2). Such
534 forest migrations and inferred temperature change are consistent with other pollen
535 records from the region (e.g. Hansen et al. (2003)), tropical air temperatures changes
536 derived from Andean ice-core isotopic signals (Thompson, 2005), dating of Andean
537 moraines (Smith et al., 2008; van der Hammen et al., 1980/1981), high-elevation Andean
538 lake $\delta^{18}\text{O}$ records (Baker et al., 2001; Seltzer et al., 2000), and $\delta^{18}\text{O}$ from Andean
539 speleothems (Cheng et al., 2013). SST reconstructions from the western tropical Atlantic

540 similarly document large fluctuations between the Late Pleistocene and Holocene
541 (Rühlemann et al., 1999), but their magnitude is believed to be less than air-temperature
542 changes recorded by the vegetation and other terrestrial markers.

543 The pollen records show an overall warming trend during the Pleistocene-Holocene
544 transition, but the onset of post-glacial warming differs in timing among records. Taking
545 the Fúquene record as an example for the northern Andean sites, the first post-glacial
546 warming occurred around 15.6 ka (Fig. 2), but is interrupted by a cooling period between
547 ca. 13 and 11 ka. In Lake Surucucho, the record of Andean forest taxa suggests a steady
548 increase in air temperatures starting around 13 ka. On the other hand, the record of Lake
549 Pacucha in the southern Andes shows a clear trend towards warming starting around 15.6
550 ka, with a relatively short-lived cooling between ca. 13 and 11.5 ka, followed by another
551 warming. These differences in the onset of post-glacial warming in the Andes are
552 consistent with reconstructions of snowline depressions starting ca. 21 ka in the Peruvian
553 Andes (Smith et al., 2005), the onset of SST warming in the tropical Atlantic ca. 17 ka
554 (Rühlemann et al., 1999), and shifts in stable oxygen isotopes from the Sajama ice cap at
555 ca. 15.5 ka (Thompson et al., 1998).

556 Changes in D/SS in the selected sites suggest that Pleistocene moisture availability
557 differed from that of the Holocene. D/SS in Northern Andean sites (i.e., Llano Grande,
558 Fúquene, and La Cocha) may indicate increasing lake levels during the Pleistocene-
559 Holocene transition (Fig. 4). Another increase in lake levels is recorded at Fúquene and
560 La Cocha around 8 ka, but not in Llano Grande. Central and Southern sites (i.e.
561 Surucucho, Pacucha, Titicaca and the onset of the pollen record in Lake Chochos)
562 indicate large water bodies and probably high moisture availability through the
563 Pleistocene-Holocene transition and up to 8 ka. D/SS in Lake Consuelo follows a
564 different trend to that observed in other central and southern Andean sites during the late
565 Pleistocene. These differences may be due to the buffering effect of semi-permanent
566 ground-level cloud cover during the last glacial (Urrego et al., 2010). D/SS in lakes
567 Consuelo and Chochos suggest high lake-level stands between ca. 10 and 6 ka and
568 peaking around 8 ka (Fig. 4), analogous to D/SS increases observed in Northern Andean
569 sites. Moisture in Northern Andean sites is mostly linked to the ITCZ, while southern
570 sites are mostly influenced by precipitation from the SASM (Table 1). Overall, these data
571 suggest a north-south difference in lake levels and moisture availability during the
572 Pleistocene-Holocene transition that are consistent with glacial-interglacial atmospheric
573 reorganisations of the ITCZ (Haug et al., 2001) and the SASM (Cruz et al., 2006).

574

575 **5.3 The signature of millennial-scale climate changes in the tropical Andes**

576 The signature of millennial-scale climate variability is discernible in most pollen records
577 used for our analysis (Fig. 3). AP% decreases in Fúquene, Surucucho and Pacucha
578 approximately coincide with the timing of HS1 (18-15.6 ka, Sánchez Goñi and Harrison
579 (2010). In Lake Titicaca AP% increases during HS1, but the direction of this change is
580 comparable with the change in other records because of the altitudinal location of the site,
581 i.e. above treeline. HS2 (26.5-24.3 ka, Sánchez Goñi and Harrison, 2010) is also
582 insinuated in the low-resolution record from Fúquene by a slight decrease in AP%. In
583 Llano Grande, two AP% decreases observed during the Pleistocene-Holocene transition
584 are roughly consistent with the timing of the Younger Dryas (YD, 12.9-11.6 ka,
585 (Rasmussen et al., 2006; Mangerud et al., 1974). Decreases in AP% during the YD are
586 also apparent in Fúquene, Surucucho, Chochos, and Pacucha. The AP% fluctuations
587 observed during North-Atlantic millennial-scale cooling events are best explained by
588 downslope migrations of Andean vegetation and the UFL linked to air temperature
589 cooling in the tropical Andes.

590 The AP% records from Lake La Cocha and Consuelo appear to be less sensitive to
591 millennial-scale air temperature cooling recorded in the other sites. In Consuelo in
592 particular the signature of post-glacial warming is marked in the DCA1 z-scores but not
593 in AP% (Fig. 3). DCA1 z-cores in Consuelo only show a few millennial-scale variations
594 that seem unrelated to North-Atlantic cooling events. AP% in Consuelo remains largely
595 unchanged and indicates continuous Andean and sub-Andean forest cover at this site
596 throughout the record (Urrego et al., 2010). The low sensitivity of AP% in Consuelo may
597 also be related to the distance between the site and the UFL as well as the buffering effect
598 of ground-level cloud cover. In La Cocha, UFL sits closer to the site but millennial-scale
599 and centennial-scale climate variability seem to be superimposed in the record
600 (González-Carranza et al., 2012). La Cocha is also a site constantly influenced by
601 ground-level cloud, which may buffer the effect of air temperature cooling on the
602 vegetation.

603 The signature of GI warming events is best shown for GI1, while the signals of
604 GI2, GI3 and GI4 are hardly recorded (Fig. 3). GI1 (14.6-12.7 ka, Wolff et al., 2010) is
605 suggested by AP% increases in Llano Grande, Fúquene, Surucucho, Chochos and
606 Pacucha. These AP% increases seem more conspicuous and of longer duration in
607 Fúquene and Pacucha. Shifts in DCA1 z-scores are also apparent around the onset of GI1
608 in Chochos and Consuelo. In the record from Lake Titicaca, the signal of GI1 is either
609 weak or not captured due to the elevation of the site. The onset of the records from Llano
610 Grande and La Cocha probably indicates the formation of these two lakes during GI1 and
611 may be due to increased regional moisture and/or glacial retreats. Differences between

612 the signal of GI1 and other warming events may be related to the duration of this
613 warming event in Greenland compared with other GI. Lasting 1900 years (Wolff et al.,
614 2010), GI1 is more likely to be captured in records with the resolution available for this
615 regional comparison (Table 1). Overall, GI1 potentially coincides with upslope UFL
616 migration and regional warming in the tropical Andes, as well as the formation of some
617 Andean lakes.

618 One important question is whether the signature of millennial-scale climate
619 variability is consistent in the northern and southern tropical Andes. The signature of HS
620 and YD are generally recorded as downslope UFL migrations and air temperature cooling
621 in our transect. Based on the longer records, we also observe a temporal consistency
622 between the signals of different HS. HS1 and HS2 are both linked to AP% decreases and
623 cooling in Fúquene, although the magnitude of change differs. The GI1 signal is overall
624 comparable between northern and southern records and indicates upslope UFL migrations
625 in the tropical Andes. These trends are spatially consistent between northern and southern
626 sites, and imply a common forcing. Air temperature cooling during HS and YD could
627 potentially be linked to cold front advection from the Northern hemisphere reaching as
628 far as 13°S (Pacucha) or 16°S if we take the record from Titicaca into account. Cold
629 advection both from the northern and southern hemisphere are common in the tropical
630 Andes and can produce freezing conditions down to 2500 m elevation (Gan and Rao,
631 1994). The air temperature cooling recorded by the Andean vegetation during YD and
632 HS1 could be explained by increased intensity or frequency of northern hemisphere cold
633 advection. On the other hand, upslope UFL migrations and air temperature warming
634 during GI1 could be related to reduced intensity or frequency of northern hemisphere
635 cold advection.

636 To address the consistency of air temperature change recorded by the Andean
637 vegetation with changes recorded by the ocean and the cryosphere, we compare the
638 pollen records from Fúquene and Pacucha with SST reconstructions from the Guyana
639 Basin and the isotopic record from the Sajama ice cap (Fig. 5). Fúquene and Pacucha are
640 used for this comparison as a northern and a southern Andean site, respectively. We also
641 plot the NGRIP and EPICA isotope records in an attempt to assess the relative
642 importance of northern-hemisphere versus southern-hemisphere forcing. Air temperature
643 fluctuations recorded by the Andean vegetation both in the northern and southern Andes
644 are consistent with changes in tropical Atlantic SST (Rama-Corredor et al., 2015) and the
645 isotopic record from the Sajama ice cap (Thompson et al., 1998), especially during HS1,
646 GI1 and YD (Fig. 5). When compared with the long-term variability within each record,
647 the amplitude of change recorded by the Andean vegetation during GI1 and YD seems

648 comparable to that of the Sajama ice core record. The vegetation records and the isotopic
649 signal of the Sajama ice core are comparable despite differences in moisture sources,
650 reiterating that together these changes are best explained by fluctuations in air
651 temperature. The SST record from the tropical Atlantic suggests reduced amplitude of
652 temperature changes during the same period compared to the Andean vegetation and the
653 ice core record. This comparison suggests a potential difference between the magnitude
654 of temperature change in the ocean and the atmosphere that could relate to the thermal
655 inertia of the ocean. Additionally, the climatic trends observed in the Andean records are
656 comparable to the Greenland ice core record (NGRIPmembers, 2004), and show fewer
657 similarities with Antarctica (EPICA, 2006). The observed similarities point to northern-
658 hemisphere climate variability forcing UFL migrations and air temperature cooling in the
659 northern and southern tropical Andes during HS and YD.

660 Millennial-scale vegetation changes in the tropical Andes show great variability,
661 and appear to be asynchronous to those of tropical Atlantic SST and the isotopic signal of
662 Andean ice core records (Fig. 5). Vascular plant biomarkers preserved in the Cariaco
663 Basin have suggested that tropical vegetation lagged climate change by several decades
664 (Hughen et al., 2004). A similar time lag between the response of vegetation and marine
665 markers in northeastern South America is estimated to be 1000 to 2000 years during HS
666 (Jennerjahn et al., 2004). Our explorations with regard to the asynchronicity of these
667 signals remain within the constraints of available dating and sampling resolution.
668 However, our results suggest that vegetation responses to millennial-scale climate
669 variability are overall very rapid.

670

671 **6. Conclusions**

672 Records of past vegetation change in the tropical Andes showed that altitudinal
673 migrations of the vegetation are best explained by millennial-scale cooling and warming
674 of air temperatures linked to northern-hemisphere forcing. Taking into account
675 differences in the sensitivity of individual sites, the signature of HS is overall consistent
676 among northern and southern Andean records and indicates downslope shifts of the UFL
677 and cooling. The air temperature cooling needed to produce such migrations could
678 potentially have resulted from increased intensity and duration of cold advection from the
679 northern hemisphere. GI1 potentially coincides with upslope UFL migration and regional
680 warming in the tropical Andes, as well as the formation of some Andean lakes. The air
681 temperature change recorded by the Andean vegetation was consistent with millennial-
682 scale cryosphere and ocean temperature changes, but suggests a potential difference
683 between the magnitude of temperature change in the ocean and the atmosphere. Our

684 analysis also suggests a north-south difference in the moisture availability during the
685 Pleistocene-Holocene transition that can potentially be related to reorganisations of the
686 ITCZ and the SASM.

687 We showed that AP% and DCA scores, two approaches to extract environmental
688 variability from pollen records, are complementary rather than divergent. Transforming
689 raw pollen counts into percentages of ecologically meaningful groups (e.g. AP%) or into
690 ordination values results in records that are seldom driven by similar factors. The two
691 approaches rely on a reasonable understanding of ecological affinities and knowledge of
692 the regional vegetation. This information is used *a priori* for AP% and *a posteriori* for
693 ordination scores. AP% and DCA axis scores remain as vegetation markers and are not
694 independent records of environmental change. Such records are still needed for most of
695 the studied sequences. Along with the development of pollen records, independent
696 markers of temperature or precipitation (i.e. biochemical or isotopic markers) are needed
697 in the American tropics (Urrego et al., 2014), and future work should preferably generate
698 combinations of proxies to disentangle differences between the magnitude of atmospheric
699 and oceanic change. Integrated multi-tracer approaches will help minimize chronological
700 uncertainty and may shed light on the underlying forcing of these rapid shifts in the
701 climate system.

702

703 **7. Acknowledgements**

704 This paper is a result of the project ‘Latin American Abrupt Climate Changes and
705 Environmental Responses’ (LaACER) funded by PAGES and INQUA. B.M. thanks
706 CSIC-Ramón and Cajal post-doctoral program RYC-2013-14073.

707

708 The plot data of this manuscript is freely available from: ***

709

710 **8. References**

711 Arz, H. W., Pätzold, J., and Wefer, G.: Correlated millennial-scale changes in surface
712 hydrography and terrigenous sediment yield inferred from the last-glacial marine
713 deposits off Northeastern Brazil, *Quaternary Research*, 50, 157-166, 1998.

714 Baker, P. A., Rigsby, C. A., Seltzer, G. O., Fritz, S. C., Lowenstein, T. K., Bacher, N. P.,
715 and Veliz, C.: Tropical climate changes at millennial and orbital timescales on the
716 Bolivian Altiplano., *Nature*, 409, 698-701, 2001.

717 Bakker, J., Moscol, M., and Hooghiemstra, H.: Holocene environmental change at the
718 upper forest line in northern Ecuador, *The Holocene*, 18, 877-893, 2008.

- 719 Birks, H. J. B., and Birks, H. H.: Quaternary Palaeoecology, University Park Press,
720 Baltimore, 1980.
- 721 Bogotá, R. G., Groot, M. H. M., Hooghiemstra, H., Lourens, L. J., Linden, M. V. d., and
722 Berrio, J. C.: Rapid climate change from north Andean Lake Fuquene pollen records
723 driven by obliquity: implications for a basin-wide biostratigraphic zonation for the last
724 284 ka, Quaternary Science Reviews, 30, 3321-3337, 10.1016/j.quascirev.2011.08.003,
725 2011.
- 726 Broccoli, A. J., Dahl, K. A., and Stouffer, R. J.: Response of the ITCZ to Northern
727 Hemisphere cooling, Geophysical Research Letters, 33, L01702, doi:
728 10.1029/2005GL024546, 2006.
- 729 Bush, M. B., Silman, M. R., and Urrego, D. H.: 48,000 years of climate and forest change
730 in a biodiversity hot spot, Science, 303, 827-829, doi:10.1126/science.1090795, 2004.
- 731 Bush, M. B., Hansen, B. C. S., Rodbell, D. T., Seltzer, G. O., Young, K. R., León, B.,
732 Abbott, M. B., Silman, M. R., and Gosling, W. D.: A 17 000-year history of Andean
733 climate and vegetation change from Laguna de Chochos, Peru, Journal of Quaternary
734 Science, 20, 703-714, 2005.
- 735 Cheng, H., Sinha, A., Cruz, F. W., Wang, X., Edwards, R. L., d'Horta, F. M., Ribas, C.
736 C., Vuille, M., Stott, L. D., and Auler, A. S.: Climate change patterns in Amazonia and
737 biodiversity, Nature communications, 4, 1-6, DOI: 10.1038/ncomms2415, 2013.
- 738 Chiang, J. C., and Bitz, C. M.: Influence of high latitude ice cover on the marine
739 Intertropical Convergence Zone, Climate Dynamics, 25, 477-496, 2005.
- 740 Colinvaux, P. A., De Oliveira, P. E., Moreno, J. E., Miller, M. C., and Bush, M. B.: A
741 long pollen record from lowland Amazonia: Forest and cooling in glacial times., Science,
742 274, 85-88, 1996.
- 743 Colinvaux, P. A., Bush, M. B., Steinitz-Kannan, M., and Miller, M. C.: Glacial and
744 postglacial pollen records from the Ecuadorian Andes and Amazon, Quaternary
745 Research, 48, 69-78, 1997.
- 746 Cruz, F. W., Burns, S. J., Karmann, I., Sharp, W. D., Vuille, M., Cardoso, A. O., Ferrari,
747 J. A., Silva Dias, P. L., and Viana Jr., O.: Insolation-driven changes in atmospheric
748 circulation over the past 116,000 years in subtropical Brazil, Nature, 434, 63-66, 2005.
- 749 Cruz, F. W., Burns, S. J., Karmann, I., Sharp, W. D., Vuille, M., and Ferrari, J. A.: A
750 stalagmite record of changes in atmospheric circulation and soil processes in the
751 Brazilian subtropics during the Late Pleistocene, Quaternary Science Reviews, 25, 2749-
752 2761, 2006.
- 753 EPICA: One-to-one coupling of glacial climate variability in Greenland and Antarctica,
754 Nature, 444, 195-198, doi: 10.1038/nature05301, 2006.

- 755 Escobar, J., Hodell, D. A., Brenner, M., Curtis, J. H., Gilli, A., Mueller, A. D.,
756 Anselmetti, F. S., Ariztegui, D., Grzesik, D. A., and Pérez, L.: A ~ 43-ka record of
757 paleoenvironmental change in the Central American lowlands inferred from stable
758 isotopes of lacustrine ostracods, *Quaternary Science Reviews*, 37, 92-104, 2012.
- 759 Fritz, S. C., Baker, P. A., Ekdahl, E., Seltzer, G. O., and Stevens, L. R.: Millennial-scale
760 climate variability during the Last Glacial period in the tropical Andes, *Quaternary
761 Science Reviews*, 29, 1017-1024, 2010.
- 762 Fu, R., Dickinson, R. E., Chen, M., and Wang, H.: How do tropical sea surface
763 temperatures influence the seasonal distribution of precipitation in the equatorial
764 Amazon?, *Journal of Climate*, 14, 4003-4026, 2001.
- 765 Gan, M. A., and Rao, V. B.: The influence of the Andes Cordillera on transient
766 disturbances, *Monthly Weather Review*, 122, 1141-1157, 1994.
- 767 Garreaud, R., and Wallace, J. M.: Summertime incursions of midlatitude air into
768 subtropical and tropical South America, *Monthly Weather Review*, 126, 2713-2733,
769 1998.
- 770 Garreaud, R. D.: Cold air incursions over subtropical South America: Mean structure and
771 dynamics, *Monthly Weather Review*, 128, 2544-2559, 2000.
- 772 Garreaud, R. D., Vuille, M., Compagnucci, R., and Marengo, J.: Present-day South
773 American climate, *Palaeogeography, Palaeoclimatology, Palaeoecology*, 281, 180-195,
774 doi:10.1016/j.palaeo.2007.10.032, 2009.
- 775 González-Carranza, Z., Hooghiemstra, H., and Vélez, M. I.: Major altitudinal shifts in
776 Andean vegetation on the Amazonian flank show temporary loss of biota in the
777 Holocene, *The Holocene*, 22, 1227-1241, 10.1177/0959683612451183, 2012.
- 778 Graham, A.: The Andes: a geological overview from a biological perspective, *Ann.
779 Missouri Bot. Gard.*, 96, 371-385, 2009.
- 780 Groot, M., Bogotá, R., Lourens, L., Hooghiemstra, H., Vriend, M., Berrio, J., Tuenter, E.,
781 Van der Plicht, J., Van Geel, B., and Ziegler, M.: Ultra-high resolution pollen record
782 from the northern Andes reveals rapid shifts in montane climates within the last two
783 glacial cycles, *Climate of the Past*, 7, 299-316, doi:10.5194/cp-7-299-2011, 2011.
- 784 Hanselman, J. A., Bush, M. B., Gosling, W. D., Collins, A., Knox, C., Baker, P. A., and
785 Fritz, S. C.: A 370,000-year record of vegetation and fire history around Lake Titicaca
786 (Bolivia/Peru), *Palaeogeography, Palaeoclimatology, Palaeoecology*, 305, 201-214, doi:
787 10.1016/j.palaeo.2011.03.002, 2011.
- 788 Hansen, B. C. S., Rodbell, D. T., Seltzer, G. O., León, B., Young, K. R., and Abbott, M.:
789 Late-glacial and Holocene vegetational history from two sites in the western Cordillera of
790 southwestern Ecuador, *Palaeogeography, Palaeoclimatology, Palaeoecology*, 194, 79-
791 108, 2003.

- 792 Harrison, S. P., and Sanchez Goñi, M. F.: Global patterns of vegetation response to
793 millennial-scale variability and rapid climate change during the last glacial period,
794 *Quaternary Science Reviews*, 29, 2957-2980, 10.1016/j.quascirev.2010.07.016, 2010.
- 795 Haug, G. H., Hughen, K. A., Sigman, D., Peterson, L. C., and Röhl, U.: Southward
796 Migration of the intertropical convergence zone through the Holocene, *Science*, 293,
797 1304-1308, 2001.
- 798 Heinrich, H.: Origin and consequences of cyclic ice rafting in the Northeast Atlantic
799 Ocean during the past 130,000 years, *Quaternary Research*, 29, 142-152,
800 [http://dx.doi.org/10.1016/0033-5894\(88\)90057-9](http://dx.doi.org/10.1016/0033-5894(88)90057-9), 1988.
- 801 Hemming, S. R.: Heinrich events: Massive late Pleistocene detritus layers of the North
802 Atlantic and their global climate imprint, *Reviews of Geophysics*, 42, RG1005, DOI:
803 10.1029/2003RG000128, 2004.
- 804 Hessler, I., Dupont, L., Bonnefille, R., Behling, H., González, C., Helmens, K. F.,
805 Hooghiemstra, H., Lebamba, J., Ledru, M.-P., LÉzine, A.-M., Maley, J., Marret, F., and
806 Vincens, A.: Millennial-scale changes in vegetation records from tropical Africa and
807 South America during the last glacial, *Quaternary Science Reviews*, 29, 2882-2899, doi:
808 10.1016/j.quascirev.2009.11.029, 2010.
- 809 Hill, M. O., and Gauch, H. G.: Detrended Correspondence Analysis: an improved
810 ordination technique, *Vegetatio*, 42, 47-58, 1980.
- 811 Hooghiemstra, H.: Vegetational and climatic history of the high plain of Bogota,
812 Colombia: A continuous record of the last 3.5 million Years, Gantner Verlag, Vaduz, 368
813 pp., 1984.
- 814 Hooghiemstra, H., and van der Hammen, T.: Quaternary ice-age in the Colombian
815 Andes: developing an understanding of our legacy, *Philosophical Transactions of the*
816 *Royal Society of London* 359, 173-181, 2004.
- 817 Hooghiemstra, H., Berrio, J. C., Groot, M. H., Bogotá-A, R. G., Olivera, M. M., and
818 González-Carranza, Z.: The dynamic history of the upper forest line ecotone in the
819 northern Andes, in: *Ecotones Between Forest and Grassland*, Springer, New York,
820 Heidelberg, Dordrecht, London, 229-246, 2012.
- 821 Hooghiemstra, H., Berrio, J.C., Groot, M.H.M., Bogotá-A, R.G., Moscol-Olivera, M.,
822 González-Carranza, Z.: The dynamic history of the upper forest line ecotone in the
823 northern Andes, in: *Ecotones between forest and grassland*, edited by: Randall, R. W.,
824 Springer Science+Business Media, New York, Heidelberg, Dordrecht, London, 229-246,
825 2012.
- 826 Hughen, K. A., Overpeck, J. T., Peterson, L. C., and Trumbore, S.: Rapid climate
827 changes in the tropical Atlantic region during the last deglaciation, *Nature*, 380, 51-54,
828 1996.

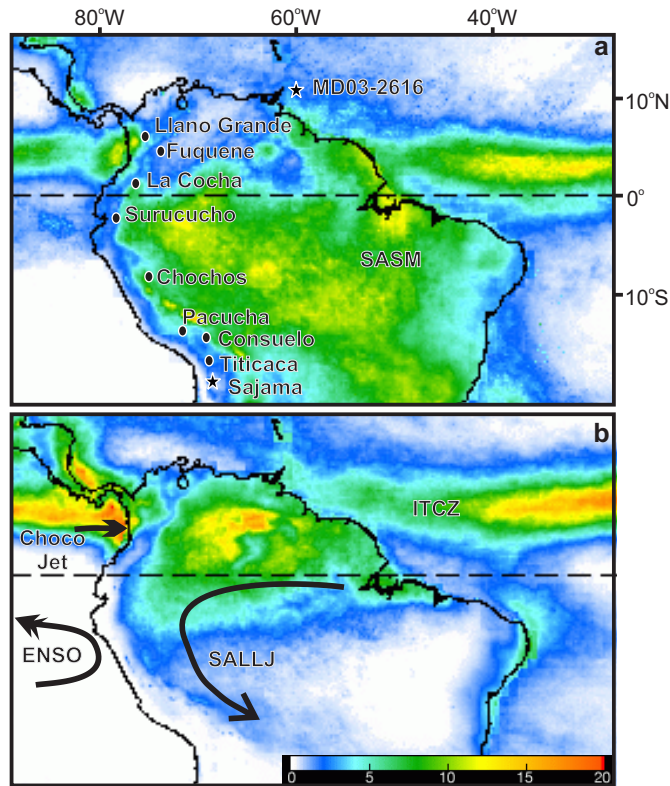
- 829 Huguen, K. A., Eglinton, T. I., Xu, L., and Makou, M.: Abrupt Tropical Vegetation
830 Response to Rapid Climate Changes, *Science*, 304, 1955-1959, 2004.
- 831 Jansen, B., de Boer, E. J., Cleef, A. M., Hooghiemstra, H., Moscol-Olivera, M.,
832 Tonneijck, F. H., and Verstraten, J. M.: Reconstruction of late Holocene forest dynamics
833 in northern Ecuador from biomarkers and pollen in soil cores, *Palaeogeography,*
834 *Palaeoclimatology, Palaeoecology*, 386, 607-619, 2013.
- 835 Jennerjahn, T. C., Ittekkot, V., Arz, H. W., Behling, H., Pätzold, J., and Wefer, G.:
836 Asynchronous Terrestrial and Marine Signals of Climate Change During Heinrich
837 Events, *Science*, 306, 2236-2239, 10.1126/science.1102490, 2004.
- 838 Killeen, T. J., Douglas, M., Consiglio, T., Jørgensen, P. M., and Mejia, J.: Dry spots and
839 wet spots in the Andean hotspot, *Journal of Biogeography*, 34, 1357-1373, 2007.
- 840 Körner, C.: The use of 'altitude' in ecological research, *Trends in Ecology and Evolution*,
841 22, 569-574, DOI: 10.1016/j.tree.2007.09.006, 2007.
- 842 Lachniet, M. S., Asmerom, Y., Bernal, J. P., Polyak, V. J., and Vazquez-Selem, L.:
843 Orbital pacing and ocean circulation-induced collapses of the Mesoamerican monsoon
844 over the past 22,000 y, *Proceedings of the National Academy of Sciences*, 110, 9255-
845 9260, 2013.
- 846 Luteyn, J. L., and Churchill, S. P.: Páramos: a checklist of plant diversity, geographical
847 distribution, and botanical literature, New York Botanical Garden Press New York, 1999.
- 848 Mangerud, J. A. N., Andersen, S. T., Berglund, B. E., and Donner, J. J.: Quaternary
849 stratigraphy of Norden, a proposal for terminology and classification, *Boreas*, 3, 109-126,
850 10.1111/j.1502-3885.1974.tb00669.x, 1974.
- 851 McCune, B., and Grace, J. B.: Analysis of ecological communities, MjM Software
852 Design, Gleneden Beach, Oregon, 300 pp., 2002.
- 853 Mosblech, N. A. S., Bush, M. B., Gosling, W. D., Hodell, D., Thomas, L., van Calsteren,
854 P., Correa-Metrio, A., Valencia, B. G., Curtis, J., and van Woesik, R.: North Atlantic
855 forcing of Amazonian precipitation during the last ice age, *Nature Geoscience*, 5, 817-
856 820, 2012.
- 857 NGRIPmembers: High-resolution record of Northern Hemisphere climate extending into
858 the last interglacial period, *Nature*, 431, 147-151, 2004.
- 859 Paduano, G. M., Bush, M. B., Baker, P. A., Fritz, S. C., and Seltzer, G. O.: A vegetation
860 and fire history of Lake Titicaca since the Last Glacial Maximum, *Palaeogeography,*
861 *Palaeoclimatology, Palaeoecology*, 194, 259-279, 2003.
- 862 Peterson, L. C., Haug, G. H., Huguen, K. A., and Röhl, U.: Rapid changes in the
863 Hydrologic cycle of the Tropical Atlantic during the Last Glacial, *Science*, 290, 1947-
864 1951, 2000.

- 865 Poveda, G., and Mesa, O. J.: Feedbacks between hydrological processes in tropical South
866 America and large-scale ocean-atmospheric phenomena, *Journal of Climate*, 10, 2690-
867 2702, 1997.
- 868 Poveda, G., Waylen, P. R., and Pulwarty, R. S.: Annual and inter-annual variability of the
869 present climate in northern South America and southern Mesoamerica, *Palaeogeography,*
870 *Palaeoclimatology, Palaeoecology*, 234, 3-27, 2006.
- 871 Poveda, G., Álvarez, D. M., and Rueda, Ó. A.: Hydro-climatic variability over the Andes
872 of Colombia associated with ENSO: a review of climatic processes and their impact on
873 one of the Earth's most important biodiversity hotspots, *Climate Dynamics*, 36, 2233-
874 2249, DOI: 10.1007/s00382-010-0931-y, 2011.
- 875 Rama-Corredor, O., Martrat, B., Grimalt, J., Lopez-Otalvaro, G. E., Flores, J. A., and
876 Sierro, F. J.: Parallelisms between sea surface temperature changes in the Western
877 Tropical Atlantic (Guiana basin) and high latitude climate signals over the last 140,000
878 years, *Climate of the Past*, 11, 1297-1311, doi:10.5194/cp-11-1297-2015, 2015.
- 879 Rasmussen, S. O., Andersen, K. K., Svensson, A. M., Steffensen, J. P., Vinther, B. M.,
880 Clausen, H. B., Siggaard-Andersen, M. L., Johnsen, S. J., Larsen, L. B., Dahl-Jensen, D.,
881 Bigler, M., Röthlisberger, R., Fischer, H., Goto-Azuma, K., Hansson, M. E., and Ruth,
882 U.: A new Greenland ice core chronology for the last glacial termination, *Journal of*
883 *Geophysical Research: Atmospheres*, 111, D06102, 10.1029/2005JD006079, 2006.
- 884 Reese, C. A., and Liu, K.: A modern pollen rain study from the central Andes region of
885 South America, *Journal of Biogeography*, 32, 709-718, 2005.
- 886 Reimer, P. J., Bard, E., Bayliss, A., Beck, J. W., Blackwell, P. G., Bronk Ramsey, C.,
887 Buck, C. E., Cheng, H., Edwards, R. L., Friedrich, M., Grootes, P. M., Guilderson, T. P.,
888 Haflidason, H., Hajdas, I., Hatté, C., Heaton, T. J., Hoffmann, D. L., Hogg, A. G.,
889 Hughen, K. A., Kaiser, K. F., Kromer, B., Manning, S. W., Niu, M., Reimer, R. W.,
890 Richards, D. A., Scott, E. M., Southon, J. R., Staff, R. A., Turney, C. S. M., and Plicht, J.
891 v. d.: IntCal13 and Marine13 radiocarbon age calibration curves 0-50,000 years cal BP,
892 *Radiocarbon*, 55, 1869-1887, 2013.
- 893 Ruddiman, W. F.: *Earth's Climate: past and future*, Macmillan, 2001.
- 894 Rühlemann, C., Mulitza, S., Müller, P. J., Wefer, G., and Zahn, R.: Warming of the
895 tropical Atlantic Ocean and slowdown of thermohaline circulation during the last
896 deglaciation, *Nature*, 402, 511-514, 1999.
- 897 Rühlemann, C., Mulitza, S., Lohmann, G., Paul, A., Matthias, P., and Wefer, G.: Abrupt
898 Warming of the Intermediate-Depth Atlantic Ocean in Response to Thermohaline
899 Circulation Slowdown During the last deglaciation, *PAGES news*, 11, 17-19, 2003.
- 900 Sánchez Goñi, M. F., and Harrison, S. P.: Millennial-scale climate variability and
901 vegetation changes during the Last Glacial: Concepts and terminology, *Quaternary*
902 *Science Reviews*, 29, 2823-2827, 2010.

- 903 Schmidt, M. W., Spero, H. J., and Lea, D. W.: Links between salinity variation in the
904 Caribbean and North Atlantic thermohaline circulation, *Nature*, 428, 160-163, 2004.
- 905 Seltzer, G., Rodbell, D., and Burns, S.: Isotopic evidence for late Quaternary climatic
906 change in tropical South America, *Geology*, 28, 35-38, 2000.
- 907 Smith, J. A., Seltzer, G. O., Farber, D. L., Rodbell, D. T., and Finkel, R. C.: Early Local
908 Last Glacial Maximum in the Tropical Andes, *Science*, 308, 678-681, 2005.
- 909 Smith, J. A., Mark, B. G., and Rodbell, D. T.: The timing and magnitude of mountain
910 glaciation in the tropical Andes, *Journal of Quaternary Science*, 23, 609-634,
911 10.1002/jqs.1224, 2008.
- 912 Thompson, L. G., Davis, M. E., Mosley-Thompson, E., Sowers, T. A., Henderson, K. A.,
913 Zagorodnov, V. S., Lin, P.-N., Mikhailenko, V. N., Campen, R. K., Bolzan, J. F., Cole-
914 Dai, J., and Francou, B.: A 25,000-year tropical climate history from Bolivian ice cores.,
915 *Science*, 282, 1858-1864, 1998.
- 916 Thompson, L. G.: Tropical ice core records: evidence for asynchronous glaciations on
917 Milankovitch timescales, *Journal of Quaternary Science*, 20, 723-733, 2005.
- 918 Torres, V., Vandenberghe, J., and Hooghiemstra, H.: An environmental reconstruction of
919 the sediment infill of the Bogotá basin (Colombia) during the last 3 million years from
920 abiotic and biotic proxies, *Palaeogeography, Palaeoclimatology, Palaeoecology*, 226,
921 127-148, 2005.
- 922 Urrego, D. H., Silman, M. R., and Bush, M. B.: The Last Glacial Maximum: stability and
923 change in a western Amazonian cloud forest, *Journal of Quaternary Science*, 20, 693-701,
924 2005.
- 925 Urrego, D. H., Bush, M. B., Silman, M. R., Correa-Metrio, A., Ledru, M.-P., Mayle, F.
926 E., Paduano, G., and Valencia, B. G.: Millennial-scale Ecological Changes in Tropical
927 South America since the Last Glacial Maximum, in: *Past climate variability from the Last
928 Glacial Maximum to the Holocene in South America and surrounding regions*, edited by:
929 Vimeux, F., Sylvestre, F., and Khodri, M., *Developments in Paleoenvironmental
930 Research Series (DPER)*, Springer, Paris, 283-300, 2009.
- 931 Urrego, D. H., Bush, M. B., and Silman, M. R.: A long history of cloud and forest
932 migration from Lake Consuelo, Peru, *Quaternary Research*, 73, 364-373,
933 10.1016/j.yqres.2009.10.005, 2010.
- 934 Urrego, D. H., Silman, M. R., Correa-Metrio, A., and Bush, M. B.: Pollen-vegetation
935 relationships along steep climatic gradients in western Amazonia, *Journal of Vegetation
936 Science*, 22, 795-806, 10.1111/j.1654-1103.2011.01289.x, 2011.
- 937 Urrego, D. H., Bush, M. B., Silman, M. R., Niccum, B. A., De La Rosa, P., McMichael,
938 C., Hagen, S., and Palace, M.: Holocene fires, forest stability and human occupation in
939 southwestern Amazonia, *Journal of Biogeography*, 40, 521-533, 10.1111/jbi.12016, 2013.

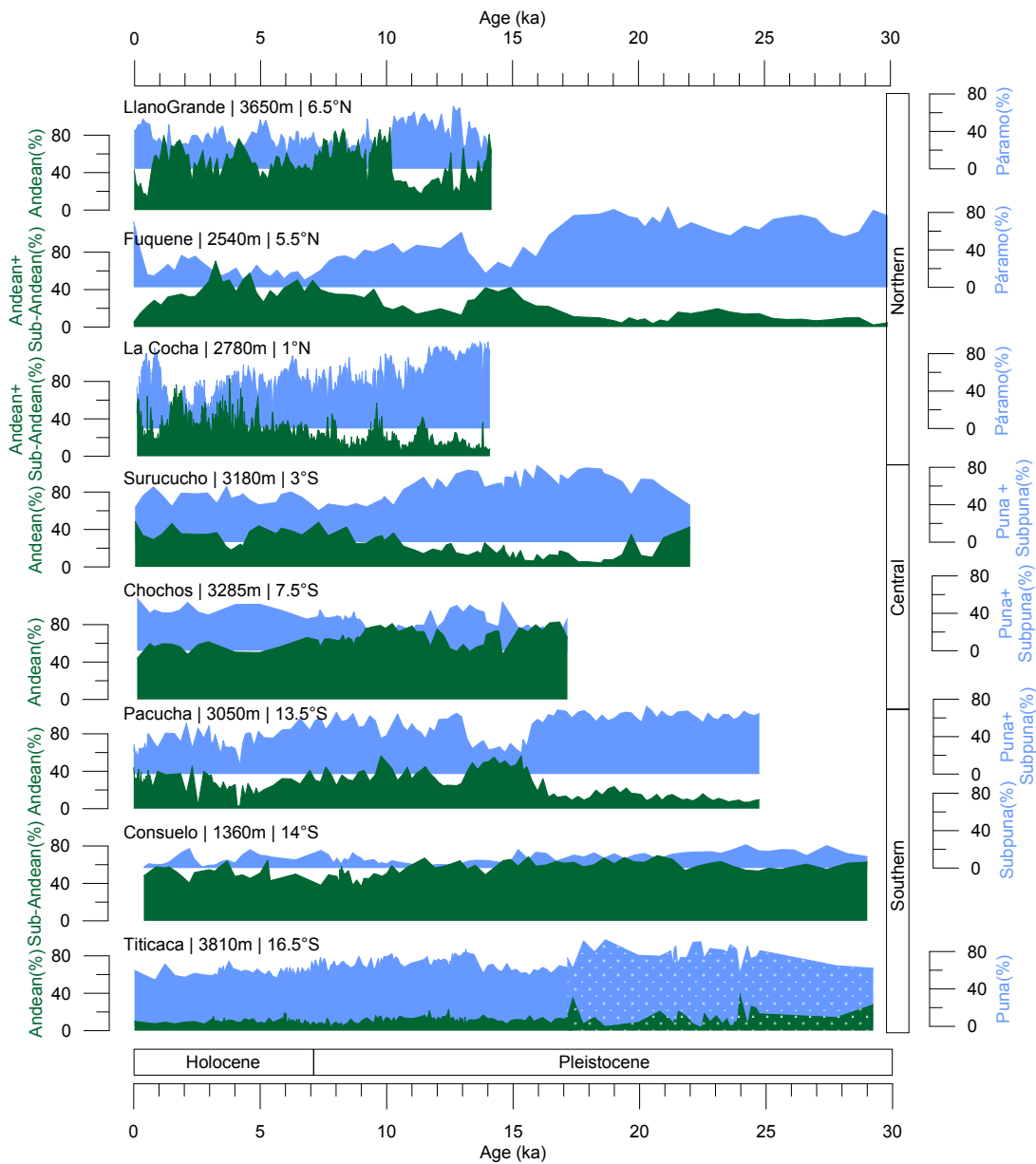
- 940 Urrego, D. H., Bernal, J. P., Chiessi, C. M., Cruz, F. W., Sanchez Goñi, M. F., Power,
941 M., Hooghiemstra, H., and participants, L.: Millennial-scale climate variability in the
942 American tropics and subtropics, *PAGES Mag*, 22, 94-95, 2014.
- 943 Valencia, B. G., Urrego, D. H., Silman, M. R., and Bush, M. B.: From ice age to modern:
944 a record of landscape change in an Andean cloud forest, *Journal of Biogeography*, 37,
945 1637-1647, 10.1111/j.1365-2699.2010.02318.x, 2010.
- 946 Van Boxel, J., González-Carranza, Z., Hooghiemstra, H., Bierkens, M., and Vélez, M.:
947 Reconstructing past precipitation from lake levels and inverse modelling for Andean
948 Lake La Cocha, *Journal of Paleolimnology*, 51, 63-77, 2014.
- 949 van der Hammen, T., Barelds, J., De Jong, H., and De Veer, A. A.: Glacial sequence and
950 environmental history in the Sierra Nevada del Cocuy (Colombia), *Palaeogeography*,
951 *Palaeoclimatology, Palaeoecology*, 32, 247-340, 1980/1981.
- 952 van der Hammen, T., and Hooghiemstra, H.: Interglacial-glacial Fuquene-3 pollen record
953 from Colombia: an Eemian to Holocene climate record, *Global and Planetary Change*,
954 36, 181-199, 2003.
- 955 Velásquez, C. A., and Hooghiemstra, H.: Pollen-based 17-kyr forest dynamics and
956 climate change from the Western Cordillera of Colombia; no-analogue associations and
957 temporarily lost biomes, *Review of Palaeobotany and Palynology*, 194, 38-49,
958 10.1016/j.revpalbo.2013.03.001, 2013.
- 959 Vuille, M., and Bradley, R. S.: Mean annual temperature trends and their vertical
960 structure in the tropical Andes, *Geophysical Research Letters*, 27, 3885-3888, 2000.
- 961 Vuille, M., Bradley, R. S., and Keimig, F.: Interannual climate variability in the Central
962 Andes and its relation to tropical Pacific and Atlantic forcing., *Journal of Geophysical*
963 *Research*, 105, 12447-12460, 2000.
- 964 Vuille, M., and Werner, M.: Stable isotopes in precipitation recording South American
965 summer monsoon and ENSO variability: observations and model results, *Climate*
966 *Dynamics*, 25, 401-413, 2005.
- 967 Weigend, M.: Observations on the biogeography of the Amotape-Huancabamba Zone in
968 northern Peru, *The Botanical Review*, 68, 38-54, 10.1663/0006-
969 8101(2002)068[0038:OOTBOT]2.0.CO;2, 2002.
- 970 Weng, C., Bush, M. B., and Silman, M. R.: An analysis of modern pollen rain on an
971 elevational gradient in southern Peru., *Journal of Tropical Ecology*, 20, 113-124, 2004.
- 972 Wolff, E. W., Chappellaz, J., Blunier, T., Rasmussen, S. O., and Svensson, A.:
973 Millennial-scale variability during the last glacial: The ice core record, *Quaternary*
974 *Science Reviews*, 29, 2828-2838, 10.1016/j.quascirev.2009.10.013, 2010.

- 975 Zhou, J., and Lau, K. M.: Does a monsoon climate exist over South America?, *Journal of*
976 *Climate*, 11, 1020-1040, 1998.
- 977 Zhou, J., and Lau, K. M.: Principal modes of interannual and decadal variability of
978 summer rainfall over South America, *International Journal of Climatology*, 21, 1623-
979 1644, 2001.
980

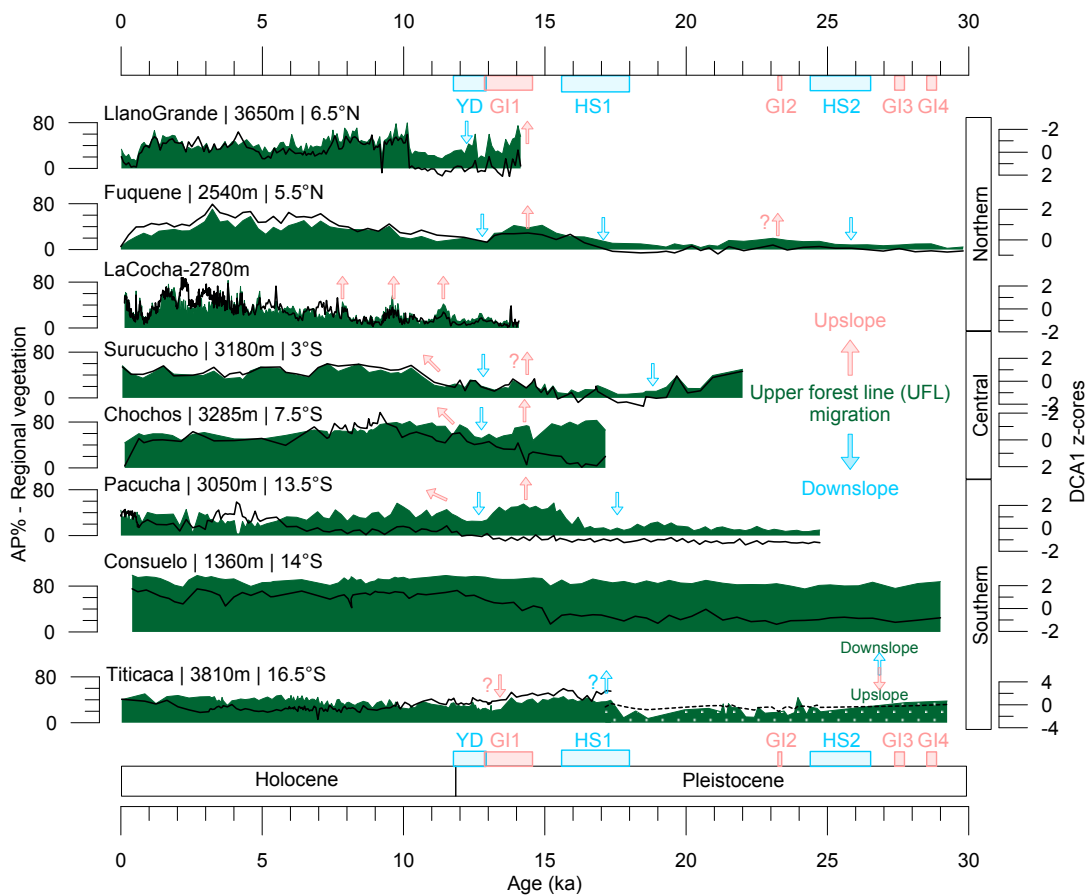


982
 983
 984
 985
 986
 987
 988
 989
 990
 991
 992

Figure 1. Average daily rainfall rates during the months of January (a) and July (b) from 1998-2007 from the Tropical Rainfall Measuring Mission. Black circles show the locations of pollen records described in Table 1. Stars indicate the location of the sea surface temperature record from the tropical Atlantic (MD03-2616) and the Sajama ice core. The rainfall distribution depicts the average southern and northern positions of the Intertropical Convergence Zone (ITCZ), and the South American Summer Monsoon (SASM). Arrows indicate the approximate location of relevant atmospheric and oceanic systems: South America Low Level Jet (SALLJ), El Niño Southern Oscillation (ENSO) and the Chocó Jet.

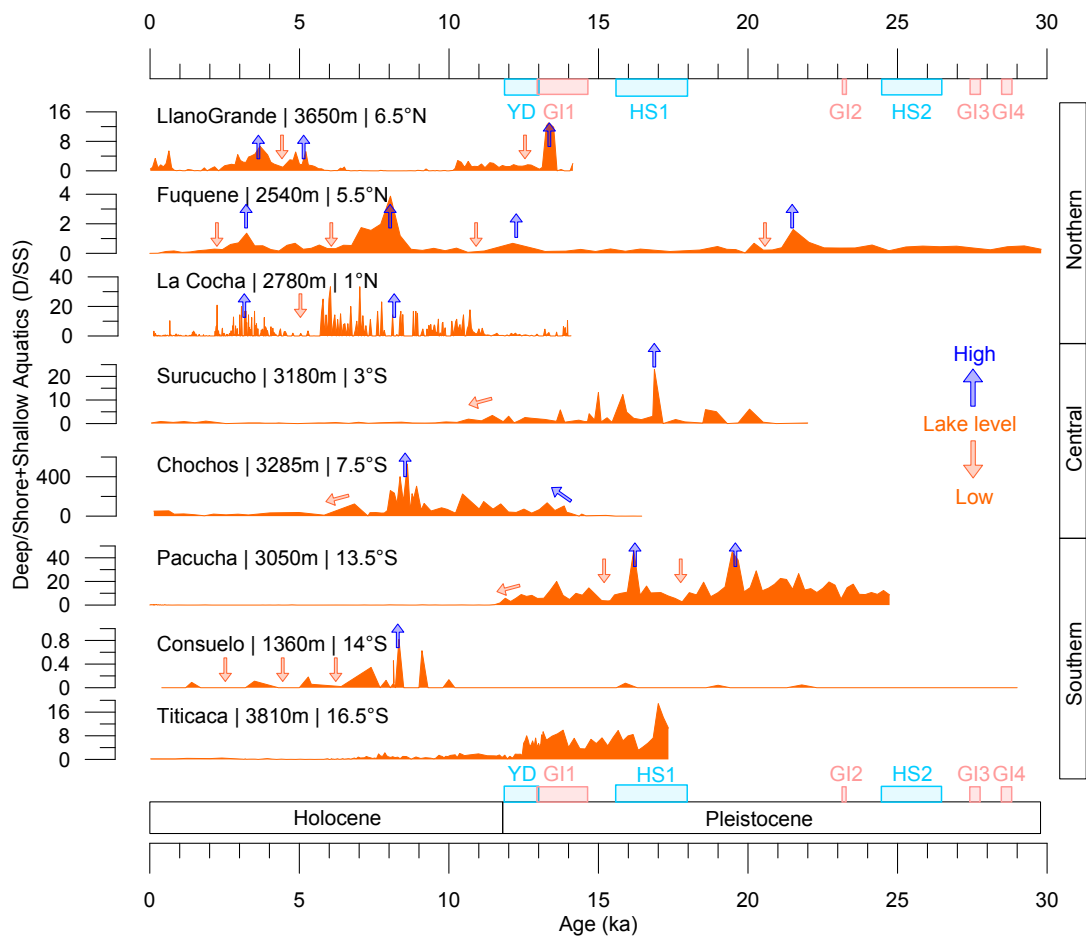


993
 994 **Figure 2.** Summary pollen diagrams of selected pollen records from the tropical Andes (Fig. 1,
 995 Table 1) plotted on against time in thousands of years (ka). Site name, elevation rounded
 996 up to the nearest 5 m, and latitude to the nearest half degree are shown next to each
 997 record. Pollen taxa are grouped into Andean and sub-Andean taxa (green) and Páramo,
 998 Puna or subpuna taxa (blue). Taxa groupings follow original papers when available. For
 999 sites published without ecological groups, taxa have been grouped for the first time. Two
 1000 pollen records are available from Lake Titicaca, and here they are differentiated with a
 1001 dotted pattern for the Hanselman et al. (2011) record, and solid pattern for the Paduano et
 1002 al. (2003) record.
 1003



1004
 1005
 1006
 1007
 1008
 1009
 1010
 1011
 1012
 1013
 1014
 1015

Figure 3. Temporal changes in regional vegetation AP% (green polygons) and DCA1 z-scores (black line) plotted on a linear time scale for selected pollen records from the tropical Andes (Fig. 1, Table 1). Site name, elevation rounded up to the nearest 5 m, and latitude to the nearest half degree are shown next to each record. Two pollen records are available from Lake Titicaca, and here they are differentiated with a dotted pattern for the Hanselman et al. (2011) record, and solid pattern for the Paduano et al. (2003) record. Heinrich stadials (HS) are drawn for reference as defined by Sánchez-Goñi & Harrison (2010). The Younger Dryas (YD) follows the timing of Greenland stadial 1 (Rasmussen et al. 2006) and the chronozone defined by Mangerud et al. (1974). The timing of Greenland interstadials (GI) is based on Wolff et al. (2010).



1016
 1017
 1018
 1019
 1020
 1021
 1022
 1023
 1024
 1025

Figure 4. Temporal changes in the ratio of aquatic taxa characteristic of deep water to taxa from shallow water and wet shores (D/SS) for selected sites in the tropical Andes (Fig 1, Table 1). Site name, elevation rounded up to the nearest 5 m, and latitude to the nearest half degree are shown next to each record. Heinrich stadials (HS) are drawn for reference as defined by Sánchez-Goñi & Harrison (2010). The Younger Dryas (YD) follows the timing of Greenland stadial 1 (Rasmussen et al. 2006) and the chronozone defined by Mangerud et al. (1974). The timing of Greenland interstadials (GI) is based on Wolff et al. (2010).

Table 1. Site description and details on temporal resolution and time span for eight selected pollen records in the tropical Andes. Sites are listed in a latitudinal order from North to South.

Site	Coordinates	Elevation (m asl)*	Andean position	Main moisture source	Time span (ka)	Number of ¹⁴ C dates	Mean temporal resolution ± SD**	Source	Latitudinal position
Llano Grande	N 06°29' W 76°6'	3650	Inter-Andean	Atlantic ITCZ, ENSO	14	6	99±35.6	Velásquez et al. (2013)	Northern
Fúquene2	N 05°27' W 73°46'	2540	Inter-Andean	Atlantic ITCZ, ENSO	36	10	433±167	Hammen & Hooghiemstra (2003)	
La Cocha	N 01°06' W 77°09'	2780	Eastern flank	Amazonian convection	14	18	26.7±16.6	González-Carranza et al. (2012)	
Surucucho	S 02°51' W 79°08'	3180	Eastern flank	Amazonian convection	21.9	9	318±175	Colinvaux et al. (1997)	
Chochos	S 07°38'S W 77°28'	3285	Eastern flank	Amazonian convection, SASM	17.5	9	270±210	Bush et al. (2005)	Central
Pacucha	S 13°36' W 73°19'	3050	Eastern flank	SASM, LLJ	24.9	18	198±57	Valencia et al. (2010)	Southern
Consuelo	S 13°57' W 68°59'	1360	Eastern flank	SASM, LLJ	43.5	26	365±303	Urrego et al. (2010)	
Titicaca	S 16°20' W 65°59'	3810	Altiplano	SASM	19.7, 350	17, 18	113±100, 530±720	Paduano et al. (2003), Hanselman et al. (2011)	

* m asl: metres above sea level; **SD: standard deviation