

1 An offset in TEX₈₆ values between interbedded lithologies: implications for
2 sea-surface temperature reconstructions

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13

14 ABSTRACT

15 The TEX₈₆ (TetraEther indeX of tetraethers consisting of 86 carbon atoms) sea-surface
16 temperature (SST) proxy is based on the distribution of isoprenoid glycerol dialkyl glycerol
17 tetraether (GDGT) membrane lipids of pelagic Thaumarchaeota that are preserved in marine
18 sediments. It is a valuable tool for reconstructing past SSTs from sedimentary archives,
19 however there are still major uncertainties as to the effects of variables other than
20 temperature on the proxy. Here we present the first study of GDGT variability across early
21 Cretaceous interbedded pelagic and shelf-sourced turbiditic sediments from two Deep Sea
22 Drilling Project (DSDP) sites in the western North Atlantic. The results indicate that a small,
23 but consistent, offset in TEX₈₆ ratios, equivalent to ~1–2°C of temperature difference,
24 occurs between interbedded lithologies of a similar age. The offset can be attributed to
25 spatial differences in sea-surface temperatures or thaumarchaeotal populations between the

26 shelf and the open ocean, or to secondary diagenetic effects related to oxic degradation of
27 the GDGTs. Of these, a difference in either thaumarchaeotal taxa or ecology between those
28 living in the shelf and ocean areas seems most plausible. Regardless of the root cause of the
29 offset, these findings highlight the necessity of careful sample selection prior to TEX_{86}
30 analysis, to ensure robust interpretation of palaeotemperature trends.

31

32 *Keywords: TEX_{86} ; sea-surface temperatures; stable-isotopes; proxy validation; Cretaceous;*
33 *GDGTs*

34

35 **1. Introduction**

36 *1.1. The TEX_{86} proxy*

37 TEX_{86} (TetraEther indeX of tetraethers consisting of 86 carbon atoms) is an organic
38 palaeotemperature proxy that has been used to reconstruct SSTs from sediments of Middle
39 Jurassic and younger age (e.g., Schouten et al., 2002; Littler et al. 2011; Jenkyns et al.,
40 2012; Schouten et al., 2013). The technique makes use of the relationship between the ratio
41 of different core GDGT lipids (without their polar head groups) synthesized by marine
42 Thaumarchaeota (previously “Marine Group I Crenarchaeota”; see Brochier-Armanet et al.,
43 2008), which varies according to the temperature of the seawater in which the organisms
44 live (Schouten et al., 2002, 2013). These pelagic GDGTs are exported to the seafloor where
45 they are thought to be stable on the timescale of thousands to millions of years (Schouten et
46 al., 2002, 2004; Sinninghe Damsté et al., 2002; Kim et al., 2009b). The application of
47 modern core-top calibrations (Schouten et al., 2002; Kim et al., 2008; 2010) to sedimentary
48 records allows the average temperature of past surface oceans to be determined. The TEX_{86}
49 proxy is therefore a powerful tool in the reconstruction of past climates, particularly where
50 traditional calcite-based palaeotemperature proxies cannot be applied with confidence, for

51 example in the low-carbonate settings of the high latitudes (e.g., Sluijs et al., 2006) or in
52 deeply buried, carbonate-rich sediments prone to diagenetic alteration (e.g., Littler et al.,
53 2011).

54 TEX₈₆ ratios in modern core-top sediments have been shown empirically to best
55 correlate with mean annual average sea-surface temperature (maSST) at the site of
56 deposition, (e.g., Schouten et al., 2002; Kim et al., 2008; Wuchter et al., 2005, 2006b),
57 although a season-specific correlation with either winter or summer surface temperatures
58 cannot be ruled-out on the basis of the latest core-top dataset (Kim et al., 2010). Several
59 studies have also shown that core GDGTs in open-ocean marine sediments are more labile
60 and thus less subject to long-distance lateral advection than other organic molecules, such as
61 n-alkanes and alkenones, thus giving confidence in their utility for site-specific maSST
62 reconstructions (Mollenhauer et al., 2007, 2008; Shah et al., 2008; Kim et al., 2009a).
63 However, there is still much to learn about the ecology of the Thaumarchaeota themselves,
64 whose very existence only came to light 20 years ago, and whose important role in global
65 biogeochemical cycles as ammonia-oxidizers is still being explored (e.g., Furrman et al.,
66 1992; De Long, 1992; Ouverney and Fuhrman, 2000; Pearson et al., 2001; Könneke, et al.,
67 2005; Francis et al., 2005; Martens-Habbena et al., 2009; Stahl and de la Torre, 2012). With
68 regard to the fidelity of the TEX₈₆ proxy, there are also uncertainties regarding both the
69 depth habit and seasonality preferences of the source organisms, and the possible additional
70 contribution of GDGTs from production sources in deeper water or the sedimentary
71 environment (e.g., Murray et al., 1998; Karner et al., 2001; Wuchter et al., 2005, 2006b;
72 Herfort et al., 2006; Ingalls et al., 2006; Huguet et al., 2007a; Mincer et al., 2007; Shah et
73 al., 2008; Weijers et al., 2011; Lengger et al., 2012).

74 The long-term persistence of the core GDGTs has been demonstrated by records
75 stretching back at least 160 million years (Middle Jurassic, e.g., Jenkyns et al., 2012).

76 However, to-date there has been no systematic investigation into the distribution of core
77 GDGTs in different lithologies within the marine environment, in sediments older than the
78 Miocene (Menzel et al., 2006; Huguet et al., 2009). The results of the Neogene studies show
79 that GDGT distributions can vary with lithology, but the explanation for this variability
80 ranges from biological and oceanographic (Menzel et al., 2006) to diagenetic (Huguet et al.,
81 2009), suggesting a complex mixture of controls that may influence TEX₈₆ values in ancient
82 sediments. Understanding the magnitude and direction of such influences on TEX₈₆ is
83 important, as deep-sea marine sediments frequently contain a mixture of autochthonous
84 pelagic deposits and allochthonous sediments transported from the shelf (e.g., Hsü et al.,
85 1974). If differences in TEX₈₆ ratio do exist in different lithologies then this has
86 implications for sampling strategies and interpretations of maSST trends in palaeoclimate
87 reconstructions. The aim of this study is to explore the differences in TEX₈₆ between
88 intercalated pelagic and transported early Cretaceous sediments from two western North
89 Atlantic DSDP sites (DSDP Sites 534 and 603; Fig. 1), in order to investigate the possible
90 effect of lithology on GDGT distribution and the TEX₈₆ proxy.

91

92 **2. Materials and Methods**

93 *2.1. Early Cretaceous sediments from the western North Atlantic*

94 At DSDP Sites 534 and 603, Berriasian–Barremian (125–145 Ma) sediments
95 belonging to the Blake Bahama Formation are characterised by pelagic bioturbated chalks
96 and laminated marls interbedded with fine–medium grained, graded sandstones and
97 homogeneous mudstones. The latter lithologies are interpreted as allochthonous material
98 sourced from the adjacent shelf area, deposited by distal turbidites into the deep-sea (Fig. 2;
99 Sheridan et al., 1983; Robertson and Bliefnick, 1983; van Hinte et al., 1987). Here we focus
100 on laminated marls and homogeneous mudstones that are common throughout the

101 formation, and represent pelagic and transported deposition, respectively. The transported
102 mudstones can be regarded as having been deposited geologically instantaneously, and
103 therefore, when in close proximity to the pelagic marls are likely to be very similar in age.

104 The laminated, coccolith-rich, mid-grey marls were deposited in a fully open-ocean
105 setting, well above the calcite compensation depth (CCD) (Sheridan et al., 1983; Robertson
106 and Bliefnick, 1983; van Hinte et al., 1987). The sub-mm-scale laminations suggests
107 deposition in a largely dysoxic environment (Fig. 2), and are likely the result of cyclic
108 changes in clay input and/or periodic fluctuations in phytoplankton productivity in the
109 western North Atlantic during the early Cretaceous (Robertson and Bliefnick, 1983;
110 Robertson, 1984). By contrast, sedimentological, geochemical and micropalaeontological
111 evidence all indicates an allochthonous origin for the homogeneous mudstones (Sheridan et
112 al., 1983; Robertson and Bliefnick, 1983; van Hinte et al., 1987). The sharp basal contacts
113 are suggestive of energetic lateral emplacement of the mudstones, while the lack of laminae
114 suggests either intense bioturbation or turbulent mixing during deposition (Fig. 2; Sheridan
115 et al., 1983; Robertson and Bliefnick, 1983; Robertson, 1984; van Hinte et al., 1987).
116 Furthermore, at Site 603, there is a higher proportion of terrestrially-sourced organic matter
117 in the mudstones, as evidenced by geochemical data and the presence of woody fragments,
118 suggesting a more proximal origin for this lithology (Katz 1983; Herbin et al., 1983; Dean
119 and Arthur, 1987; Dunham et al., 1987). The composition of calcareous nannofossil
120 assemblages in the pelagic marls and homogeneous mudstones at Site 603 also indicate
121 different depositional origins, with a higher proportion of the shelf-dwelling *Nannoconus*
122 and *Micrantholithus* genera present in the mudstones (Covington and Wise, 1987;
123 Applegate et al., 1989; herein). No such observations have yet been made at Site 534.

124

125 *2.2. Sample collection and stratigraphy*

126 Pairs of Upper Berriasian to Upper Hauterivian samples were taken from DSDP Site
127 534, each consisting of a closely-spaced laminated marl and a homogeneous mudstone
128 sample, with a maximum offset of 73 cm and an average offset of 13 cm between each half
129 of the pair. Of the 23 pairs analysed, only 16 yielded sufficient GDGTs for TEX₈₆ analysis.
130 Unfortunately, it was not possible to collect more pairs of samples due to to core disturbance
131 and a lack of sufficient GDGTs in some samples. In ten of the sixteen pairs, the
132 allochthonous mudstone was located stratigraphically above the pelagic marl (by an average
133 of 17 cm, maximum of 73 cm), ensuring samples of very similar geological age. This
134 equates to a maximum temporal offset between samples of ~34 kyrs (73 cm offset), a
135 minimum offset of ~0.25 kyrs (1 cm offset), and an average offset of ~4.2 kyrs (17 cm
136 offset), based on the age model used by Littler et al., (2011). At Site 603, thirty-nine
137 unpaired marl-mudstone samples from the lower Valanginian to upper Hauterivian were
138 analysed. These are not as closely-spaced as the samples from Site 534, but they provide
139 similar data in support of observations from Site 534. The stratigraphy of each site is based
140 on previous magnetostratigraphic and calcareous nannofossil biostratigraphic studies (Ogg,
141 1987; Bergen, 1994; Covington and Wise, 1987; Bornemann et al. 2008; Littler et al.,
142 2011).

143

144 *2.3. GDGT analysis and SST calibrations*

145 Powdered samples were solvent extracted and analysed according to the
146 methodology of Schouten et al. (2007). Samples were analysed using high-performance
147 liquid chromatography/atmospheric pressure positive ion chemical ionization mass
148 spectrometry (HPLC/APCI-MS), using an Agilent 1200 series LC/MSD SL, coupled to a
149 G6130A single quadrupole mass spectrometer (MS). The distribution of relevant core
150 GDGTs (Fig. S1) are considered in terms of both the TEX₈₆^H (high temperature calibration)

151 and TEX_{86}^L (low temperature calibration) indices (Equations 1 and 2), and, where
152 applicable, these distributions are converted to maSST estimates using Equations 3 and 4
153 (Kim et al. 2010):

154

$$155 \quad TEX_{86}^H = \log\left(\frac{[GDGT\ 2] + [GDGT\ 3] + [GDGT\ 4']}{[GDGT\ 1] + [GDGT\ 2] + [GDGT\ 3] + [GDGT\ 4']} \right) \quad (1)$$

156

$$157 \quad TEX_{86}^L = \log\left(\frac{[GDGT - 2]}{[GDGT - 1] + [GDGT - 2] + [GDGT - 3]} \right) \quad (2)$$

158

$$159 \quad SST = (68.4 * TEX_{86}^H) + 38.6 \quad (3)$$

160

$$161 \quad SST = (67.5 * TEX_{86}^L) + 46.9 \quad (4)$$

162

163 Despite the high temperature nature of the Cretaceous SSTs, it was deemed necessary to
164 include the ‘low temperature calibration’, TEX_{86}^L , for completeness as this calibration
165 utilises different GDGTs and so may be sensitive to different environmental or ecological
166 differences between the two lithological types. Moreover, there is some controversy
167 regarding which is the most appropriate calibration to use in high temperature greenhouse
168 worlds, therefore inclusion of both calibrations is prudent (e.g., Hollis et al., 2012; Taylor et
169 al., 2013). Repeat analysis of an in-house standard and reference to previous work (Schouten
170 et al., 2007) suggests the analytical error associated with the TEX_{86} technique using SIM
171 HPLC-MS techniques is ± 0.012 . This small analytical error equates to an error in maSST of
172 ± 0.6 to 0.9°C (TEX_{86}^H) for the range of TEX_{86} values in this study. However the standard
173 residual error (1σ) associated with the core-top calibration of Kim et al. (2010) is $\pm 4^\circ\text{C}$ for

174 $\text{TEX}_{86}^{\text{L}}$ and ± 2.5 °C for $\text{TEX}_{86}^{\text{H}}$. As no internal GDGT standard with a known GDGT
175 concentration was available, the isoprenoid GDGT relative concentrations are expressed as
176 the arbitrary abundance of GDGTs 1, 2, 3 and 4', scaled per gram of total bulk sediment
177 extracted, per μl of solvent introduced onto the HPLC column, and normalised to the
178 isoprenoid GDGT abundance of the internal standard for that analysis. The abundance of
179 branched GDGTs (GDGTs I, II and III) is expressed using the same normalisation method.

180 The BIT Index, a measure of the amount of terrestrial input to the marine
181 environment, was calculated after Hopmans et al., (2004) according to the equation:

182

$$183 \quad \text{BIT Index} = \left(\frac{[\text{GDGT - I}] + [\text{GDGT - II}] + [\text{GDGT - III}]}{[\text{GDGT - I}] + [\text{GDGT - II}] + [\text{GDGT - III}] + [\text{GDGT - 4}]} \right)$$

184

185 2.4. TOC%, $\text{CaCO}_3\%$ and $\delta^{13}\text{C}_{\text{org}}$ analysis

186 Total carbon (TC) and total organic carbon content (%TOC) were determined by
187 combustion of powdered samples in a Thermo Electron 1112 series Flash EA. For TOC the
188 sample was decarbonated in 10% HCl in silver capsules. % CaCO_3 was calculated from the
189 difference between TC and TOC. Reproducibility of %C of an internal standard was better
190 than $\pm 0.1\%$. For organic carbon isotope ($\delta^{13}\text{C}_{\text{org}}$) analysis samples were decarbonated in
191 10% HCl, washed to neutrality and dried. Samples were weighed into tin capsules and
192 analysed using a Flash EA connected to a ThermoFinnegan Delta V continuous flow IRMS,
193 at the Bloomsbury Environmental Isotope Facility (BEIF) at UCL. Repeat analysis of
194 internal and external standards suggests reproducibility is $\pm 0.1\%$. All stable-isotope data are
195 reported in ‰ deviation from Vienna Peedee belemnite (VPDB).

196

197 2.5. Calcareous nannofossil analysis

198 Calcareous nannofossil preservation and assemblage composition was determined
199 using simple smear slides and light microscopy, and observation of broken rock surfaces
200 using scanning electron microscopy (Bown and Young, 1998; Bown et al., 2008). In the
201 smear slide observations, 150 fields of view (FOV) were examined for each sample, and the
202 abundance of certain key species was determined: Abundant (“A”; >100 specimens),
203 Common (“C”; 30 – 99 specimens), Few (“F”; 9 – 29 specimens), Occasional (“O”; 3 – 8
204 specimens) or Rare (“R”; 1 – 2 specimens).

205 *2.6. Statistical analysis*

206 Simple cross-plots and linear regressions quantified with R^2 values were used to
207 ascertain the relationships between the different geochemical variables. P values to test for
208 significance were calculated on the basis of two-tailed Student’s T tests. Additionally,
209 Principle Component Analysis (PCA) was carried out in SPSS 20 (Macintosh version)
210 following the methodology of Norman and Streiner (2007), on the paired samples from
211 DSDP Site 534.

212

213 **3. Results**

214 The laminated marls and the homogeneous mudstones display clear geochemical and
215 micropalaeontological differences at both Sites 534 and 603, thus supporting a different
216 depositional origin for the two lithologies (Table 1; Fig. 3; Fig. S2–S4). Importantly,
217 differences in GDGT distribution and abundance are also seen at both sites, suggesting
218 variables other than maSST influenced the TEX_{86} ratio in these early Cretaceous sediments
219 (Table 1; Fig. 3; Fig. S5). A summary of geochemical data for each site are presented in
220 Table 1, all geochemical data are plotted in Fig. 3 and are presented in full in the
221 Supplementary Information. Calcareous nannofossil data and SEM images are available in
222 the Supplementary Information (Figs. S2–S4).

223

224 *3.1. Lithological characterisation*

225 The laminated marls at both sites have higher CaCO₃ contents (averaging 70%) than
226 the homogeneous mudstones, reflecting the higher calcareous nannofossil content in this
227 lithology (Table 1; Fig. 3). The TOC distribution is more complex, but at Site 534 the
228 homogeneous mudstones generally have a higher TOC content than the corresponding
229 marls, except in certain intervals where marls have unusual organic-matter enrichment, such
230 as the mid-Valanginian (Table 1; Fig. 3).

231 The $\delta^{13}\text{C}_{\text{org}}$ data show a clear difference between lithologies, with the mudstones
232 being on average $\sim 1.5\text{‰}$ heavier than the marls at Site 534, and $\sim 2\text{‰}$ heavier at Site 603
233 (Table 1; Fig. 3). This is consistent with a higher degree of terrestrially-sourced higher plant
234 matter in the mudstones relative to the marls, coherent with the woody fragments often
235 visible in these sediments, and with earlier stable-isotope data (Dean and Arthur, 1987). The
236 abundance of terrestrial organic matter in the marls would therefore support a more neritic
237 origin for the mudstones relative to the pelagic marls. Furthermore, the mudstones at both
238 sites frequently contain pyrite framboids suggestive of reducing conditions, perhaps related
239 to the increased organic matter input and dysoxic setting of the shelf where they were
240 initially deposited, whereas the marls rarely contain any trace of pyrite (e.g., Supplementary
241 Fig. 2b).

242 While there is no systematic difference in nannofossil preservation between the two
243 lithologies, which ranges from poor to good depending on age, there are many more well-
244 preserved coccospheres and delicate taxa in the laminated marls than the homogeneous
245 mudstones, overall, particularly at Site 603 (Supplementary Fig. 2). This, combined with the
246 laminated fabric, agrees well with the notion of pelagic deposition in a quiet dysoxic
247 environment, largely free from the effects of scouring bottom currents (Robertson and

248 Bliefnick, 1983; Robertson, 1984). In agreement with previous work, the mudstones at Site
249 603 contain a higher proportion of nannoliths, such as *Nannoconus* spp., and
250 *Micrantholithus* spp., compared with the corresponding marls, suggesting a neritic origin for
251 the transported mudstones, although this trend is not apparent at Site 534 where on average
252 there appears to be equal abundances of nannoliths in the mudstones and marls (Applegate
253 et al., 1989; Bornemann et al., 2008) (Figs. S3 and S4). It should be noted, however, that the
254 study interval at both Sites 534 and 603 broadly corresponds to the so called ‘Valanginian
255 nannoconid decline’ associated with the Weissert OAE, (Fig. 3 grey bar), which may have
256 influenced the abundance of nannoliths in the sediments at both sites (Erba et al., 2004).
257 This is supported by the fact that the separation between the mudstones and the marls, in
258 terms of nannolith abundance, does not become significant at Site 603 until after the crisis
259 ended in the mid Hauterivian (Chron M9) when the two records clearly diverge.
260 Additionally the nannoconid crisis is often reported as coinciding with a peak in *D. lehmanii*
261 abundance, which can clearly be seen at both Sites 534 and 603 (Figs. S3–S4)

262

263 3.2. GDGT distributions

264 Although 23 pairs of samples were analysed at Site 534, it was only possible to
265 determine the TEX₈₆ values in 16 of the pairs (a ~70% success rate) as the GDGT
266 abundances were too low in the remaining samples. A similar success rate was noted for
267 samples from Site 603. However, from the available data, there is a clear difference in
268 GDGT distribution and abundance between the two lithologies at both sites, see below
269 (Table 1, Fig. 3).

270 Due to very low abundances of branched GDGTs, the BIT Index could only be
271 determined in six samples at Site 534 and four samples at Site 603, and was effectively zero
272 in the remainder of the samples. The BIT Index value for all samples, regardless of

273 lithology, was below 0.200, and generally below 0.100 (Table 1; Fig. 3), suggesting that
274 these sediments contain little or no GDGTs synthesized by soil bacteria/archaea, and
275 therefore, that the TEX₈₆ values have not been unduly influenced by input of soil organic
276 matter (Hopmans et al., 2004; Weijers et al., 2006, 2010). Trends are difficult to discern as
277 the abundance of branched GDGTs was often below analytical detectability, but from the
278 sparse data available the BIT Index appears somewhat higher in the mudstones than marls of
279 similar age (Fig. 3), providing some supporting evidence for a neritic origin for the
280 mudstones. In further support of a proximal source, at Site 603 many more homogeneous
281 mudstone samples (n = 20) had detectible quantities of branched GDGTs (particularly
282 GDGTs I and II, Fig. S1) relative to the laminated marls (n = 2), and also had 16 times
283 higher average abundances of branched GDGTs (Table 1). However, as noted above, the
284 BIT Index values for the homogeneous mudstones at both Sites 534 and 603 are still very
285 low and therefore suggestive of minimal soil organic matter input, but not necessarily low
286 input of larger terrestrially-sourced debris such as wood fragments, a phenomenon which
287 has also been observed in other proximal modern settings (e.g., Huguet et al., 2007b; Walsh
288 et al., 2008). Additionally, the use of the BIT Index to track terrestrial input in ancient
289 sediments has been called into question by recent studies suggesting that fluctuations in
290 Crenarchaeol (GDGT 4) concentration, linked to marine and lacustrine productivity cycles,
291 can also drive large changes in the BIT Index (e.g., Fietz et al., 2011; Smith et al. 2012).
292 However, it is clear from the data presented in this study that the differences in BIT Index
293 values between the marls and mudstones is driven by both the abundance of the branched
294 GDGTs (which appears to be higher in the mudstones) and by the abundance of
295 Crenarchaeol (which is lower in the mudstones), at both Sites 534 and 603 (Supplementary
296 Data), and therefore is likely to reflect genuine variation in the input of terrestrially-sourced
297 GDGTs rather than merely biasing by temporal variation in marine Crenarchaeol input.

298 At site 534 the pelagic marls often contain higher normalised abundances of core
299 isoprenoid GDGTs (GDGTs 1, 2, 3, and 4') than transported mudstone samples of a similar
300 age (Table 1; Fig. 3). This is manifested in the three-fold higher average GDGT abundances
301 observed in the marls relative to the mudstones at this site ($P= 0.046$; Table 1). However,
302 this pattern is not evident from the Site 603 sediments, where similar average isoprenoid
303 GDGT abundances are observed in both lithologies (Table 1). There is also a persistent
304 difference in average $\text{TEX}_{86}^{\text{H}}$ ($\log \text{TEX}_{86}$) and $\text{TEX}_{86}^{\text{L}}$ values between the two lithologies.
305 At Site 534, the ratios are consistently higher in the pelagic sediments (Fig. 3; Table 1), with
306 average differences between the two lithologies equating to a 0.8°C ($\text{TEX}_{86}^{\text{H}}$ calibration) to
307 2.1°C ($\text{TEX}_{86}^{\text{L}}$ calibration) difference in maSST estimates. The maximum difference
308 observed between the two lithologies in the same pair at Site 534 equates to a difference of
309 4°C using the $\text{TEX}_{86}^{\text{L}}$ calibration. While these differences are small overall, the P values for
310 the two lithology populations, in terms of $\text{TEX}_{86}^{\text{H}}$, are <0.0001 for both sites, suggesting
311 they are significantly different (Table 1). The statistical data for the $\text{TEX}_{86}^{\text{L}}$ values also
312 suggest a significant ($P = 0.0003$) difference between these two populations at Site 534.
313 Although the difference in average $\text{TEX}_{86}^{\text{H}}$ values between marl-mudstone pairs at Site 534
314 is only above combined analytical error in three out of sixteen cases, the separation between
315 pairs is greater using the $\text{TEX}_{86}^{\text{L}}$ calibration, being above combined error in eleven out of
316 sixteen cases (Fig. 3). Furthermore the systematic direction of offset, whereby the marls
317 nearly always yield “warmer” maSST estimates at both sites, suggest that these lithological
318 differences are not merely artifacts of random analytical error and are likely to reflect either
319 genuine SST or ecological differences between the lithologies (Fig. 3). It should be noted
320 that although lower concentrations of GDGTs have been shown to skew TEX_{86} values to
321 lower values (Schouten et al. 2007), we do not ascribe the differences between the marls and
322 the mudstones to this phenomena for three reasons: 1) the very weakest samples below a

323 threshold isoprenoid GDGT abundance value were excluded from consideration at both sites
324 in order to minimise possible analytical bias from very low GDGT concentrations; 2) there
325 is no significant relationship between differences in core GDGT abundances and differences
326 in TEX₈₆ values between the lithologies in each pair ($R^2 = 0.2$ and 0.1 for TEX₈₆^L and
327 TEX₈₆^H, respectively; Fig. S5); 3) there is no significant difference in the normalised
328 isoprenoid GDGT abundance at Site 603 between the marls and the mudstones, despite a
329 significant difference in TEX₈₆ values.

330

331 **4. Discussion**

332 The new palaeontological and geochemical data presented here (Fig. 3; Figs. S2–S4)
333 support previous observations and confirm the distinction between the autochthonous
334 pelagic laminated marls and allochthonous homogeneous mudstones (Sheridan et al., 1983;
335 Robertson and Bliefnick, 1983; Robertson, 1984; van Hinte et al., 1987; Dean and Arthur,
336 1987; Covington and Wise, 1987; Applegate et al., 1989; Bornemann et al., 2008).
337 Furthermore, systematic differences between lithologies in GDGT distribution and
338 abundance suggest a complex origin for the TEX₈₆ signal in these sediments.

339 In general, the distribution of core GDGTs in sediments is thought to predominantly
340 reflect the temperature of the surface-ocean in which they were synthesized, with the flux of
341 pelagic Thaumarchaeota carried to the seafloor through biopackaging processes (e.g.,
342 Wakeham et al., 2003; Wuchter et al., 2005, 2006b). However, there are factors other than
343 maSST that can affect the core GDGT distribution in sediments and we posit three factors
344 that could explain the observed differences in core GDGT distribution between the pelagic
345 and transported facies at Sites 534 and 603:

346 (1) The different GDGT distributions record a genuine temporal or spatial difference
347 in maSST;

348 (2) Different populations or ecological preferences of Thaumarchaeota in the shelf
349 and the open ocean areas produced different distributions of core GDGTs in the sediments;

350 (3) The GDGT distributions of one or both of the lithologies was influenced by
351 secondary alteration, most likely related to oxic degradation, which modified the primary
352 values.

353 Note that we can discount diagenetic thermal alteration here as downhole core GDGT
354 distributions do not change with depth and the hopane index shows no evidence for
355 overmaturation at either site (Littler et al., 2011).

356

357 *4. 1. A primary temporal or spatial difference in maSST?*

358 Although the variability in TEX₈₆ indices could represent orbitally-forced climatic
359 variability within the Cretaceous greenhouse, we suggest that this is not the case. Firstly, the
360 occurrence of transported mudstones at Site 534 do not appear to display a cyclic
361 stratigraphic pattern, as might be expected if orbital forcing was influencing the redeposition
362 of the mudstone into the deep ocean. Secondly, the transported mudstones have
363 characteristics of distal turbidites (e.g., Fig. 2) and so are likely to have been deposited in a
364 geologically brief interval of time. Therefore, we assume that the closest spaced sample
365 pairs in our data represent near synchronous periods of deposition on the shelf (mudstones)
366 and in the deep-sea (hemipelagic marls). Furthermore, comparison of age-diagnostic
367 calcareous nannofossil taxa in each of the lithological pairs suggests that each was deposited
368 within the same subzone, and are therefore unlikely to differ significantly in age from one
369 another. These pairs also display a consistent direction of offset in TEX₈₆ values; thereby
370 suggesting that the variability in apparent SST is not due to temporal fluctuations in climate,
371 which would perhaps be expected to display a more random pattern of change (Fig. 3).

372 Due to their distinct provenance it would not be unexpected to find different TEX₈₆
373 values in the mudstones and the marls, reflecting different maSSTs on the shelf and in the
374 open-ocean, as is often observed in the modern ocean (e.g., Narayan et al., 2010). The
375 “cooler” maSST values observed in the shelf-sourced mudstones, if taken at face value,
376 could be indicative of upwelled cold waters on the shelf. However, both modelling studies
377 and palaeoceanographic occurrences of organic-rich facies suggest that upwelling was more
378 likely on the eastern side of the proto-North Atlantic basin rather than in the west, where
379 Sites 534 and 603 are located, which makes this scenario less likely (Parrish and Curtis,
380 1982; Summerhayes, 1987; Handoh et al., 2003; Fig. 1). Likewise the presence of a cold
381 coastal current along the western edge of the basin cannot be ruled out on the basis of
382 present evidence, but as the dominant direction of paleocirculation is thought to have been
383 clockwise, the western coast of the proto-North Atlantic basin would be expected to have
384 been bathed in warmer water from the lower latitudes rather than anomalously cooler waters
385 (e.g., Poulsen et al. 2001; Puceat et al., 2005). It therefore seems unlikely, but still possible,
386 that enhanced coastal upwelling or a cold current on the western side of the basin was
387 responsible for the difference in apparent maSSTs between the two lithologies, and that a
388 genuine spatial difference in SSTs is not the root cause of the variation in TEX₈₆ values.

389

390 4. 2. Differences in Thaumarchaeotal ecology and taxa between the shelf and the deep sea?

391 There are several possible biological explanations for the offset in TEX₈₆ between
392 the pelagic and shelf sediments, including: i) spatially diverse thaumarchaeotal populations,
393 with different responses to maSST, or ii) differences in the seasonality of GDGT production
394 between the open ocean and the shelf, or iii) the influence of *in situ* sedimentary production
395 of GDGTs. These factors are difficult to deconvolve in these ancient sediments, particularly
396 in the light of the evolving understanding of modern temperate Archaea.

397 i) If taxonomically different populations of Thaumarchaeota existed in the near-
398 surface waters of the shelf and open-ocean source areas, it is possible that their response to
399 similar maSSTs, in terms of the GDGT distributions in their membranes, may have been
400 different. However, evidence for this phenomenon in the modern oceans is somewhat scant.
401 Early genetic studies of Thaumarchaeota suggested cosmopolitan distribution in the marine
402 pelagic realm (DeLong, 1992; DeLong et al., 1994; Fuhrman et al., 1993; Massana et al.,
403 2000), but recent studies have reported more heterogeneous distributions, perhaps controlled
404 in part by the availability of ammonia (e.g., Francis et al., 2005; Agogu e et al., 2008; Sintes
405 et al., 2012; Hatzenpichler, 2012; Stahl and de la Torre, 2012). In support of this
406 heterogeneity, Cao et al., (2011) found evidence of spatially-distinct archaeal populations in
407 coastal vs. open marine surface sediments in the western Pacific, which they suggest is
408 controlled by varying water depth and related issues such as differing nutrient supplies.
409 Furthermore, recent core-top studies documenting modern TEX₈₆ values along depth-
410 transects in the South China Sea (SCS), found that while the deeper sites faithfully recorded
411 the measured average annual SSTs for that region, the shallower coastal samples
412 consistently underestimated the true annual average SST by ~2.5–9 C (Wei et al., 2011; Ge
413 et al., 2013). These spatial differences in GDGT distribution in the SCS, which are not due
414 to variations in terrestrial input as recorded by the BIT Index, are likely to be related to
415 differing Thaumarchaeotal ecology between shallow and deeper waters. This trend, of
416 apparently “cooler” coastal sediments relative to deeper waters is similar to that observed in
417 the Cretaceous sediments in this study, but with a larger magnitude of offset.

418 ii) Although the TEX₈₆ proxy is considered to be a maSST proxy, in the most recent
419 global core-top calibration TEX₈₆ values were found to correlate equally well to winter
420 SSTs ($R^2=0.88$) and summer SSTs ($R^2=0.80$) as to maSSTs ($R^2=0.86$) (Kim et al. 2010).
421 Indeed, there is evidence to suggest that Thaumarchaeota are more abundant in the water

422 column during winter than summer, particularly in the high latitudes and within shelf seas
423 (e.g., Murray et al., 1998; Church et al., 2003; Wuchter et al., 2005, 2006a; Pitcher et al.,
424 2011). This could result in cooler than maSST TEX₈₆-based values skewed by winter
425 temperatures, as is seen in the relatively shallow, nutrient rich environment of the southern
426 North Sea, and perhaps also in the oligotrophic SCS (Herfort et al., 2006; Wei et al., 2011;
427 Ge et al., 2013). Intriguingly, this pattern of winter biased (cooler) TEX₈₆ values in near-
428 shore sediments relative to the open ocean is also observed in the modern Mediterranean, a
429 basin somewhat analogous to the low-latitude, partially-restricted Cretaceous proto-North
430 Atlantic (Leider et al., 2010). This seasonal skewing of temperature could therefore explain
431 the differences between Cretaceous shelf and open ocean, particularly if there was a
432 difference in nutrient content between the two regions, which fuelled periods of ammonia
433 supply and/or phytoplankton scavenging activity at different times of the year.

434 iii) Although GDGTs can be synthesised by organisms living within the sediment
435 as well as the water column (e.g., Francis et al., 2005; Biddle et al., 2006; Lipp and
436 Hinrichs, 2009), recent work suggests that the contribution of *in situ* sedimentary core
437 GDGTs production is small, due to recycling of pelagic core GDGTs in the sediment by
438 living Archaea (e.g., Takano et al., 2010; Liu et al., 2011; Bogus et al., 2012; Schouten et
439 al., 2013) and due to the relatively rapid degradation of benthic-derived intact polar lipid
440 (IPL) GDGTs within the sediment (Lengger et al., 2012). This suggests that *in situ*
441 production of GDGTs should not significantly affect TEX₈₆ ratios and, therefore, cannot be
442 responsible for the differences observed in both core GDGT distribution and abundance in
443 the Cretaceous sediments. However, further work should ascertain whether any IPL-GDGTs
444 remain in the Cretaceous sediments at Sites 534 and 603, as although these molecules were
445 once thought to be quickly degraded in the marine environment (e.g., Biddle et al., 2006;
446 Huguet et al., 2010), it may be that certain types of IPL-GDGTs are more resistant to

447 degradation and so may have an influence on the TEX₈₆ values of the host sediment if they
448 are at different stages of degradation (e.g., Liu et al., 2011; Lengger et al., 2012).

449

450 4. 3. Degradation

451 Previous studies have reported that oxic degradation has little or no systematic effect
452 on the TEX₈₆ ratio in the modern marine environment (Schouten et al., 2004; Kim et al.,
453 2009b), but have also suggested that prolonged oxic degradation would reduce the total
454 abundance of core GDGTs preserved in the sediment (Schouten et al., 2004; Kim et al.,
455 2009b; Lengger et al., 2012). Data from the Plio-Pleistocene Madeira Abyssal Plane (MAP)
456 turbidite sequences suggest that oxic degradation could affect core GDGT distribution as
457 well as core GDGT abundance, but the direction of change in TEX₈₆ is inconclusive
458 (Huguet et al., 2009). A study of recent Arabian Sea sediments showed differences in core
459 GDGT distribution and, consequently, TEX₈₆ values between shallow organic-rich
460 sediments located in the OMZ (higher TEX₈₆ values), and deeper oxic sediments with a
461 lower organic-matter content (lower TEX₈₆ values), but the differences were attributed to
462 greater incorporation of deep-water GDGTs in the deeper oxic site, and not to preferential
463 preservation or degradation of specific GDGTs (Lengger et al., 2012).

464 The lack of obvious oxidation-fronts at the tops of the Cretaceous transported
465 mudstones, and the laminated nature of the pelagic sediments, suggest that the seafloor was
466 not sufficiently oxic to cause post-depositional “burn-down”, as is commonly seen in recent
467 turbidites from the MAP (e.g., Wilson et al., 1986; Thomson et al., 1987). However, the
468 homogeneous mudstones, deposited in the anoxic region of the shelf and subsequently
469 transported to the dysoxic deep-sea (perhaps in a geological instant), should have
470 experienced even less oxidizing conditions (e.g., Robertson and Bleifnick, 1983; Robertson,
471 1984). Therefore, by analogy with modern evidence, we would expect a lower abundance of

472 core isoprenoid GDGTs in the dysoxic laminated marls relative to the anoxic homogeneous
473 mudstones, which is not consistent with the observed trends at Site 534, where the marls
474 have higher GDGT abundances, or at Site 603, where the two are indistinguishable (Fig. 3;
475 Table 1). It is unlikely that better preservation of core GDGTs in the carbonate-rich marls
476 can account for any difference in GDGT abundance, as fine-grained mudstones generally
477 show better preservation of organic matter than coeval carbonate-rich strata, largely due to
478 their lower porosity. Therefore, although differential degradation of core GDGTs in the
479 intercalated lithologies cannot be excluded as the cause of the TEX₈₆ offset, this does not
480 seem to be the most parsimonious solution, and it is instead more likely that a primary
481 difference in GDGT abundance due to differences in thaumarchaeotal ecology or taxonomy
482 is the cause of the offset.

483

484 **6. Conclusions and implications**

485 A difference in core GDGT distribution and abundance between interbedded
486 autochthonous pelagic marls and allochthonous mudstones is observed in early Cretaceous
487 sediments at North Atlantic Sites 534 and 603. Both the TEX₈₆^H and TEX₈₆^L ratios are
488 consistently higher in the pelagic marls than in the allochthonous mudstones, which
489 translates to an average maSST offset of ~1–2 °C, depending on calibration (Kim et al.,
490 2010). This offset may appear small, but any variation introduced by differences in host
491 lithology could be interpreted as a genuine and significant temporal maSST variation in the
492 proto-North Atlantic, when the true temporal variation in maSST may have been very low.
493 On the strength of the available evidence in these ancient sediments, it is challenging to
494 assign a definite cause for the difference in isoprenoid GDGT distribution between
495 lithologies, but it appears unlikely that the offset represents genuine temporal or spatial
496 maSST variation between the shelf and the open ocean, as the sediments are likely to be

497 coeval and no clear evidence to support enhanced coastal upwelling in the western proto-
498 North Atlantic can be found. While oxic degradation is known to influence the distribution
499 and abundance of core GDGTs in oxidised allochthonous sediments elsewhere, no evidence
500 for such oxidation is seen in the early Cretaceous sediments in this study, which have
501 characteristics of dysoxic deposition. Instead, it appears more likely that the offset reflects a
502 primary ecological difference in thaumarchaeotal community structure, spatial distribution
503 or seasonal abundance. However, other oceanographic processes such as upwelling cannot
504 be totally discounted, and clearly more work is needed to further characterise the ecology of
505 the enigmatic Thaumarchaeota in modern settings in order to better inform the use of the
506 TEX₈₆ proxy in ancient settings.

507 Importantly, it should be noted that the results of this study do not generally
508 undermine the use of the TEX₈₆ palaeotemperature proxy in ancient marine settings.
509 Previous work that determined early Cretaceous maSSTs from the proto-North Atlantic sites
510 reported data from the pelagic laminated marls only, and therefore the conclusions of that
511 study are not compromised by the lithological variability discussed here (Littler et al.,
512 2011). The utility of the TEX₈₆ proxy for reconstructing past climates, particularly where
513 other proxies have limited range, has been successfully demonstrated in a range of studies
514 (e.g., Sluijs et al., 2006; Bijl et al., 2009), but the data from Sites 534 and 603 emphasise
515 that factors other than maSST may be influencing GDGT distributions in ancient marine
516 sediments. Therefore, careful consideration of depositional environment and history is
517 required in any palaeotemperature reconstruction using TEX₈₆.

518

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520

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807

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809

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Figure Captions

Fig. 1. Reconstructed palaeogeography of the proto-North Atlantic region in the Early Hauterivian (~133 Ma). Location of DSDP Sites 534 and 603 shown as black circles; likely surface circulation pattern shown as grey arrows after Puceat et al., (2005) and Poulsen et al. (2001), with area of probable upwelling (hatched area) after Parrish and Curtis (1982) and Handoh et al., (2003). Palaeogeography adapted from the Ocean Drilling Stratigraphic Network plate tectonic reconstruction service (<http://www.odsn.de/odsn/services/paleomap/paleomap.html>).

Fig. 2. A representative core section from DSDP 76-534A-64-02, 110–122 cm. DLM = Dark coloured Laminated Marl; LLM = Light coloured Laminated Marl; HM = Homogeneous mudstone; SS = Sandstone; BC = Bioturbated Chalk. Although obscured by fragmentation of the core, sharp boundaries between the base of the homogeneous mudstones and the top of the laminated marls can be seen, with a more gradational boundary between the top of the mudstones and the base of the marls.

Fig. 3. Geochemical data from a) DSDP Site 534 and b) DSDP Site 603. Error bars on the $\text{TEX}_{86}^{\text{H}}$ and $\text{TEX}_{86}^{\text{L}}$ data represent the analytical error (± 0.012 ; 1σ). Calibration error when converting to maSST is ± 4 °C for $\text{TEX}_{86}^{\text{L}}$ and ± 2.5 °C for $\text{TEX}_{86}^{\text{H}}$ (Kim et al., 2010). GDGT = the abundance of isoprenoid GDGTs (1, 2, 3 and 4'), normalised per gram of dry bulk sediment and per μl of solvent injected onto the LC column, normalised to the isoprenoid GDGT abundance of the internal standard for that analysis. Grey bar in *Nannoconus spp.* box represents approximate duration of the 'nannoconid crisis', after Erba et al., 2004.

Table 1. Summary of averaged geochemical results from a) DSDP Site 534, b) DSDP Site 603. n = the number of analyses in each category, as not all samples yielded data for each geochemical parameter. Iso-GDGT = isoprenoid GDGT abundances (GDGTs 1, 2, 3 and 4'), normalised per gram of bulk sediment extracted and per μl of solvent injected on the LC column, normalised to the isoprenoid GDGT abundance of the internal standard for that analysis. Br-GDGT = Branched GDGT abundance, scaled in the same way as the isoprenoid GDGTs. Note that the abundance of Br-GDGTs in many of the samples was '0', hence the average abundance values here will not be representative of the mean of the successful samples. Note the n = values listed under Br-GDGT represent the number of samples containing any branched GDGTs, not the number of samples for which there were sufficient samples to construct a BIT Index. P values are for unpaired, two-tailed Student's T-tests.

Supplementary Figure Captions

Fig. S1. The structure and nomenclature of the isoprenoid and branched core GDGT molecules discussed in this paper.

Fig. S2a. Scanning electron micrographs of rock surfaces from paired marl and mudstone samples (ABCD). **A and B** = DSDP 534A-60-04, 63cm; homogeneous mudstone. **A** = X 7,500 mag. Clayrich containing well-preserved coccoliths. A *Cretarhabdus madingleyensis* is indicated by a yellow arrow, showing fine central structures, and a somewhat etched *Axopodorhabdus dietzmannii* is indicated by green

arrow. **B** = X 7000 mag. Clay flakes and a *Nannoconus* sp. **C and D** = DSDP 534A-60-04, 66cm; laminated marl. **C** = X 8,000 mag. Well preserved coccoliths and clay flakes. *Lithraphidites carniolensis* is common throughout (purple arrow) and *Rotelapillus laffittei* (pale blue arrow) is quite common and shows good preservation of the delicate central bars. **D** = X 8,000 mag. *Watznaueria barnesiae* coccosphere. Some secondary calcite is visible, but coccoliths are generally well-preserved. **E and F** = DSDP 534A-69-05, 34 cm; laminated marl. **E** = X 5,500 mag. Well-preserved *Watznaueria* sp. coccosphere, surrounded by *W. barnesiae* and *Diazomatolithus lehmanii* fragments. *Cretarhabdus conicus* visible in bottom left (red arrow), and small *Zeugrhabdotus* spp. (green arrow) to the left. **F** = X 6,000 mag. Well-preserved coccoliths including *Axopodorhabdus dietzmannii* (yellow arrow), with *D. lehmanii*, (red arrows), with *W. barnesiae* (blue arrows), *Stradnerlithus geometricus* (purple arrows) and two different *Zeugrhabdotus* spp. (green arrows).

Fig. S2b. Scanning electron micrographs of rock surfaces from sample DSDP 603B-57-05, 49 cm (**ABCD**); laminated marl, excellent preservation. **A** = X 4,300 mag. Dominated by very well-preserved calcareous nannofossils, including *W. barnesiae* (yellow arrows), *D. lehmanii* (red arrows), *Zeugrhabdotus* spp. (green arrow), *R. laffittei* (purple arrow), *Stradnerlithus asymmetricus* (blue arrow). **B** = X 16,000 mag. *Cretarhabdus inaequalis* showing well-preserved central grill structure. **C** = X 4,300 mag. Well-preserved *Stradnerlithus asymmetricus* (blue arrow) surrounded by *Biscutum constans* (yellow arrow) and *D. lehmanii* (red arrow). **D** = X 13,000 mag. Well-preserved *Helenea chiastia*. **E and F** = DSDP 603B-75-03, 85 cm; homogeneous mudstone. **E** = X 1,500 mag. A cluster of pyrite framboids surrounded by clay flakes. **F** = X 2,700 mag. Clay containing moderately preserved calcareous

nannofossils, including *D. lehmanii* (red arrows) and a small *Zeugrhabdotus* spp. (green arrow).

Fig. S3. Calcareous nannofossil data from DSDP Site 534, showing abundance data from selected species and genera only. Grey bar indicates approximate span of the ‘nannoconid crisis’ after Erba et al., 2004, which is also associated with a peak in *D. lehmanii* in Tethyan sections.

Fig. S4. Calcareous nannofossil data from DSDP Site 603, showing abundance data from selected species and genera only. Grey bar indicates approximate span of the ‘nannoconid crisis’ after Erba et al., 2004, which is also associated with a peak in *D. lehmanii* in Tethyan sections.

Fig. S5. a) Cross-plots for data from DSDP Site 534. The difference (Δ) between each individual lithology pair (a mudstone and a marl from a similar depth in the core), in terms of TEX_{86} ratios and isoprenoid GDGT concentrations (normalised to 1 g of dry bulk sediment and 1 μl of solvent injected onto the LC column, and to the GDGT abundance of the internal standard), are cross-plotted to show the lack of relationship; i.e., the difference in GDGT concentration between the mudstone and the marls is not causing the offset in TEX_{86} values, regardless of calibration. Simple linear regressions are shown and quantified with R^2 values. **b)** PCA analysis carried out in SPSS. Scree plot and component plot for the 9 variables that characterize the Site 534 samples (difference between marls and mudstones in terms of: depth, TEX_{86} , $\text{TEX}_{86}^{\text{L}}$, $\text{TEX}_{86}^{\text{H}}$, GDGT abundance, GDGT-2 / GDGT-3 ratio, %TOC, % CaCO_3 , and $\delta^{13}\text{C}$). The Kaiser-Meyer-Olkin Measure of Sampling Adequacy is below the 0.6 threshold,

suggesting this dataset does not pass the basic assumptions required for PCA analysis. Additionally, no principle components could be identified at the rotated component matrix stage, and no clustering is obvious from the component plot, suggesting no strong underlying relationship between the various geochemical variables.

XLS file:

Supplementary Data. All geochemical data including raw isoprenoid and branched GDGT abundances.

Fig. 1.

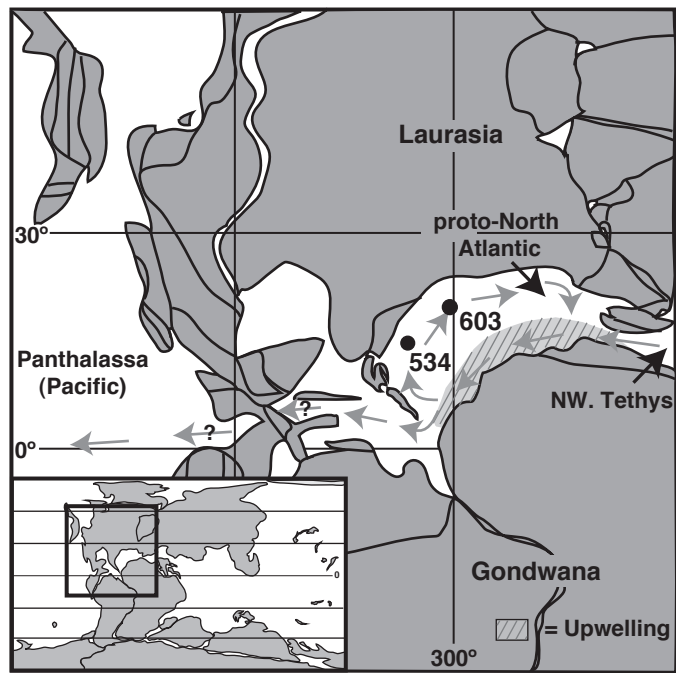


Fig. 2.

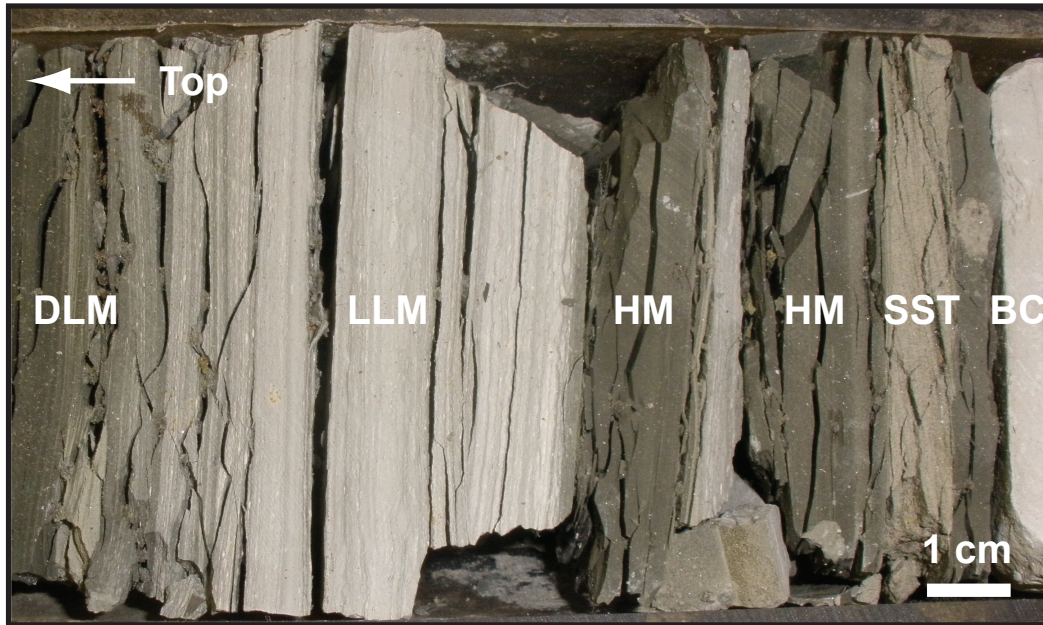
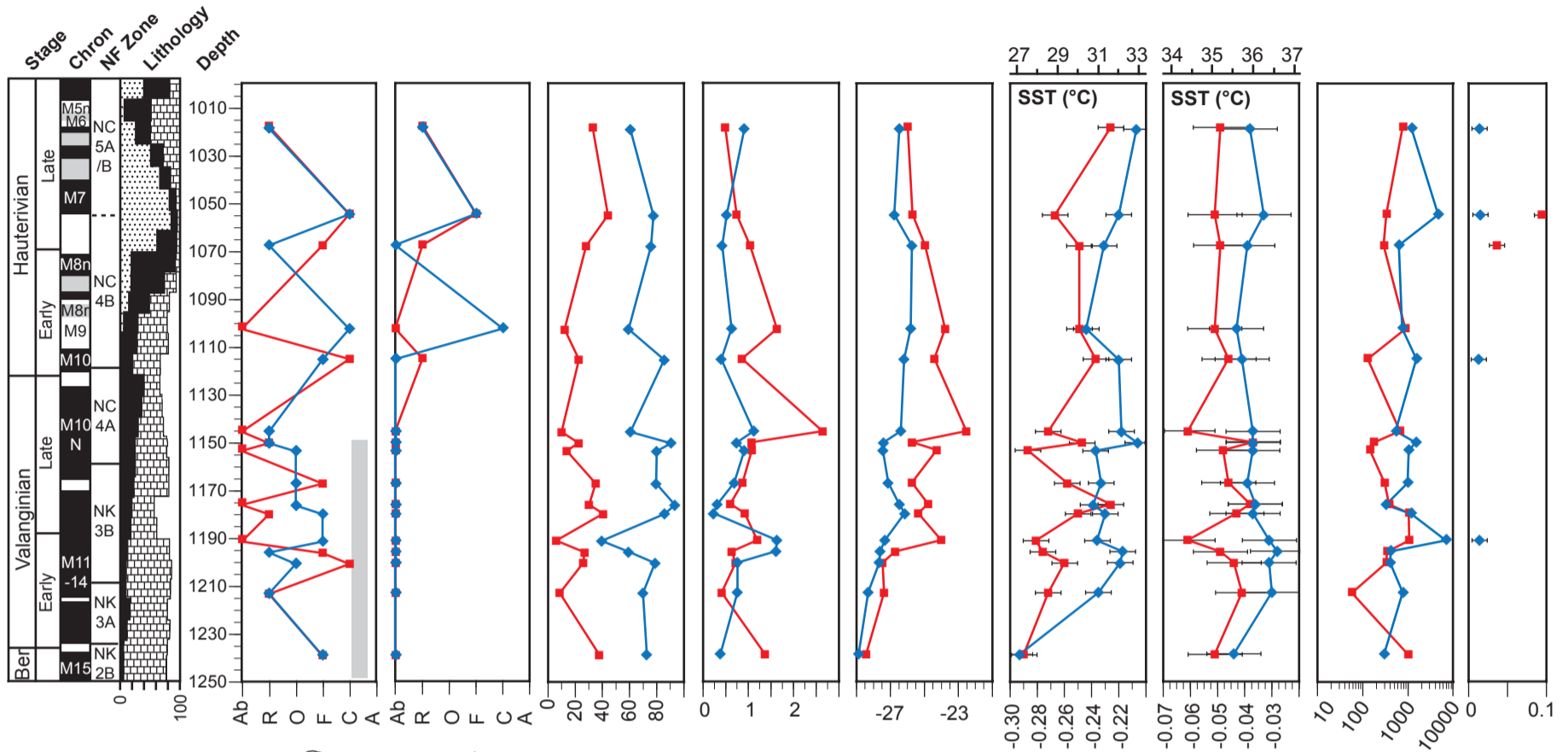
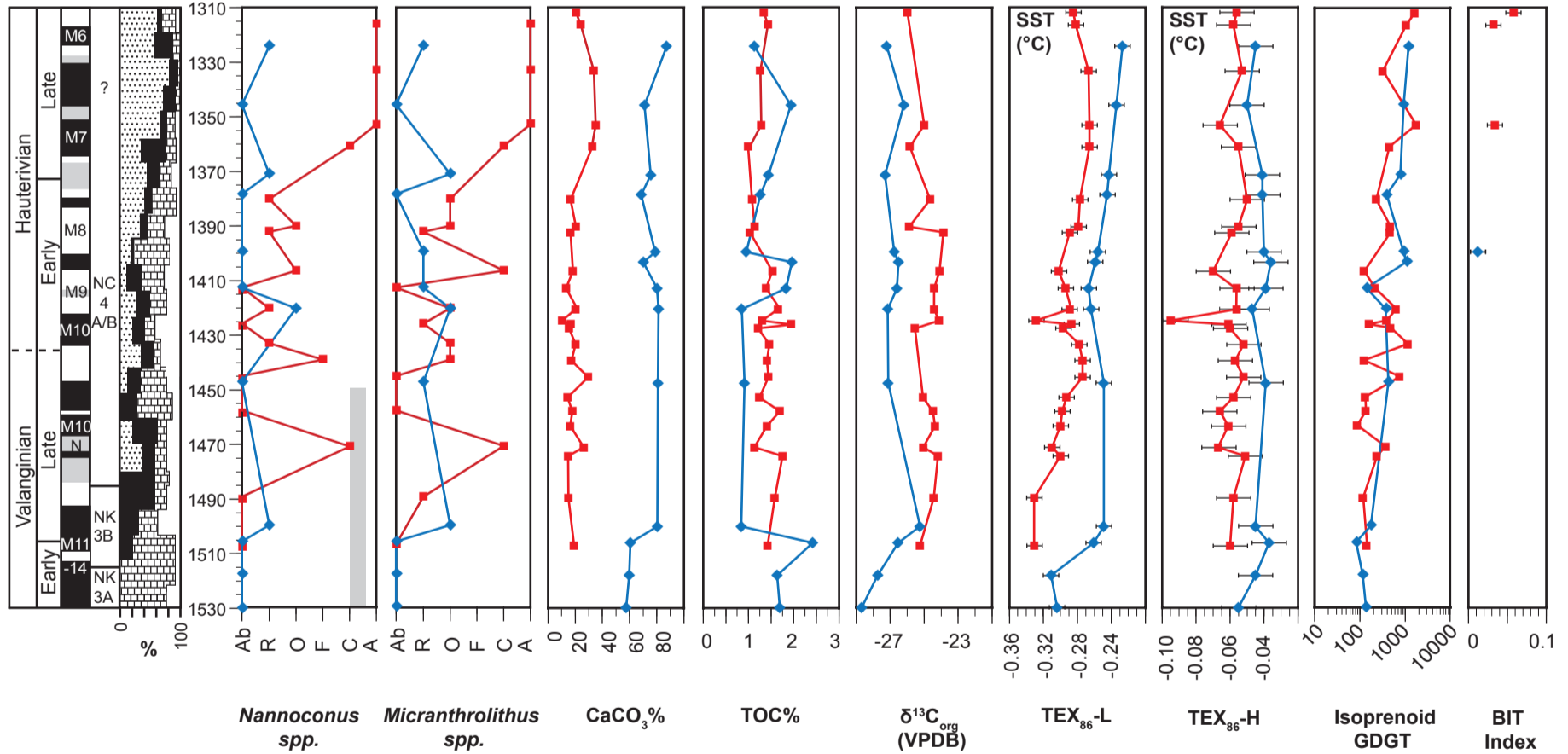


Fig. 3.

a) DSDP Site 534

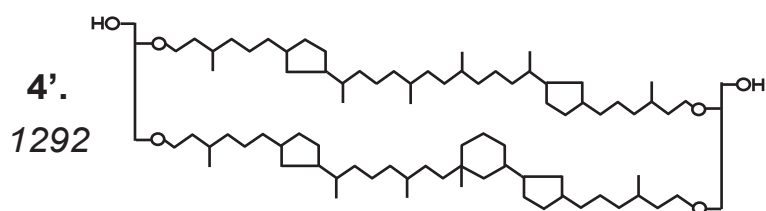
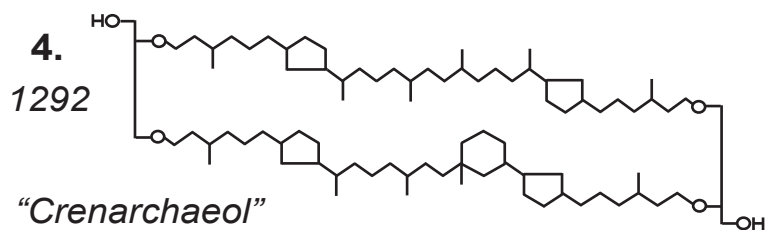
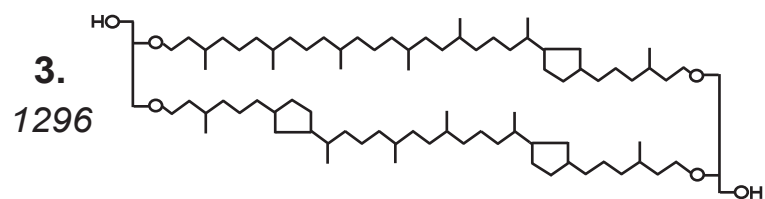
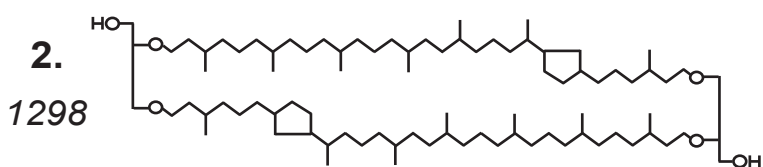
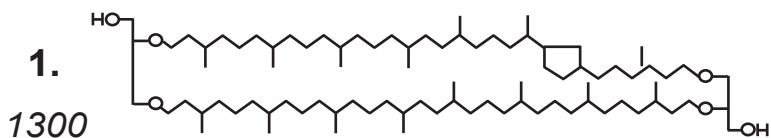
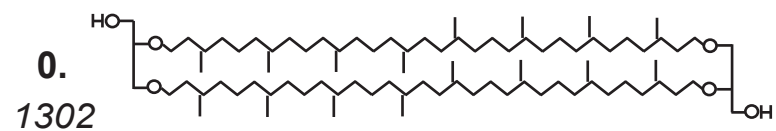


b) DSDP Site 603



◆ = Laminated marl □ = Bioturbated chalk ■ = Homogeneous mudstone ▨ = Sandstone

Isoprenoid GDGTs



Branched GDGTs

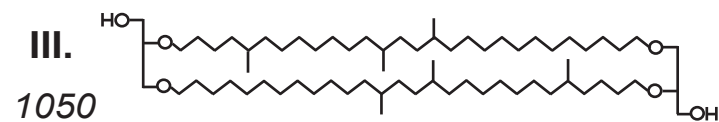
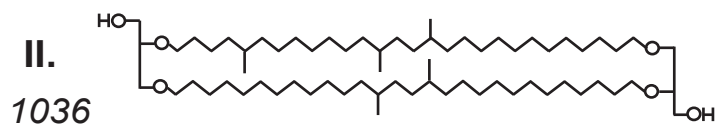
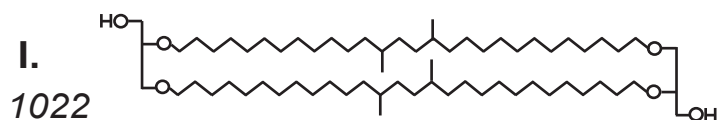


Fig. S1. The structure and nomenclature of the core GDGT molecules discussed in this paper.

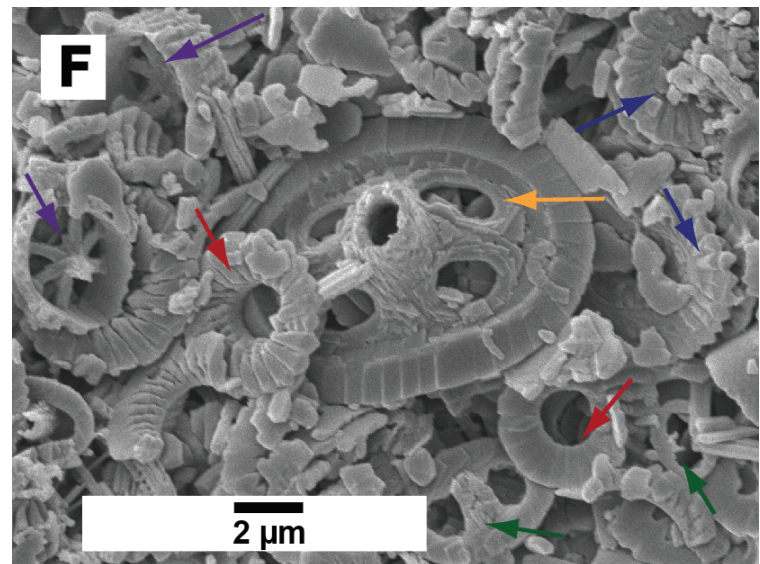
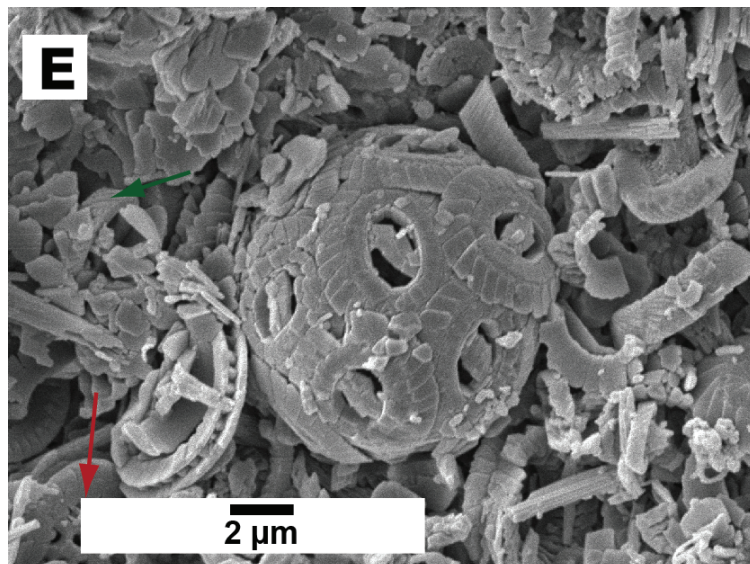
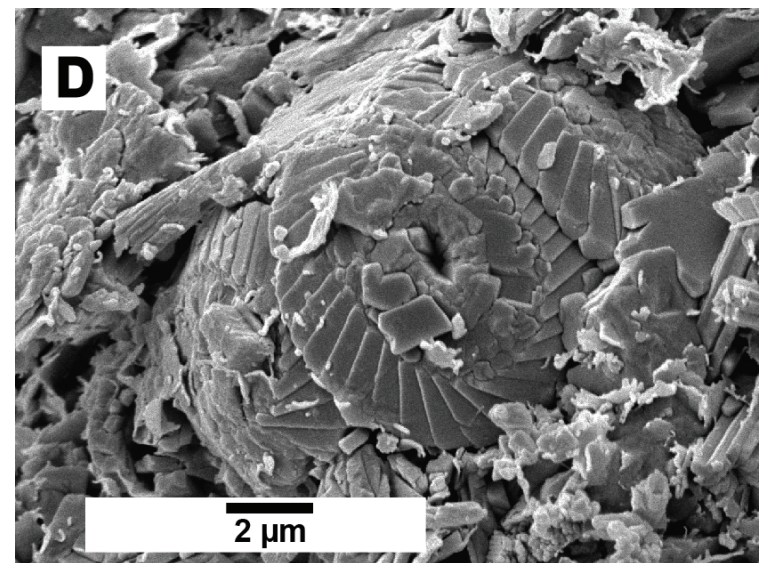
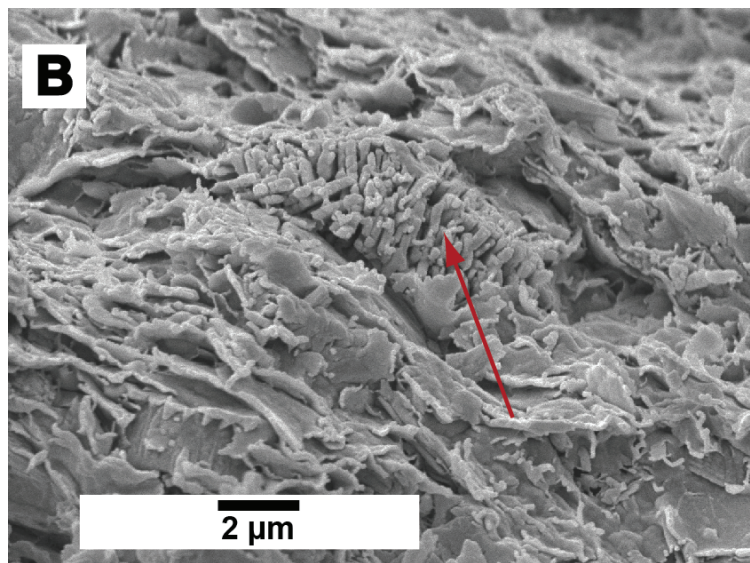
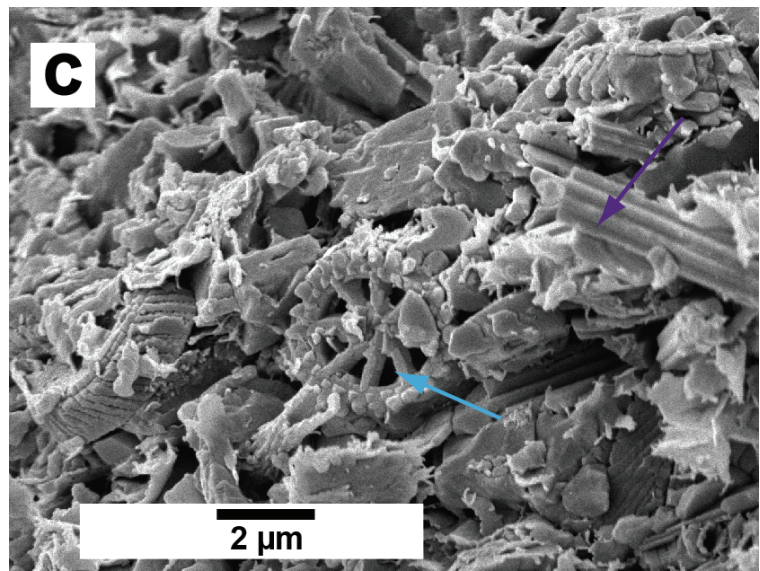
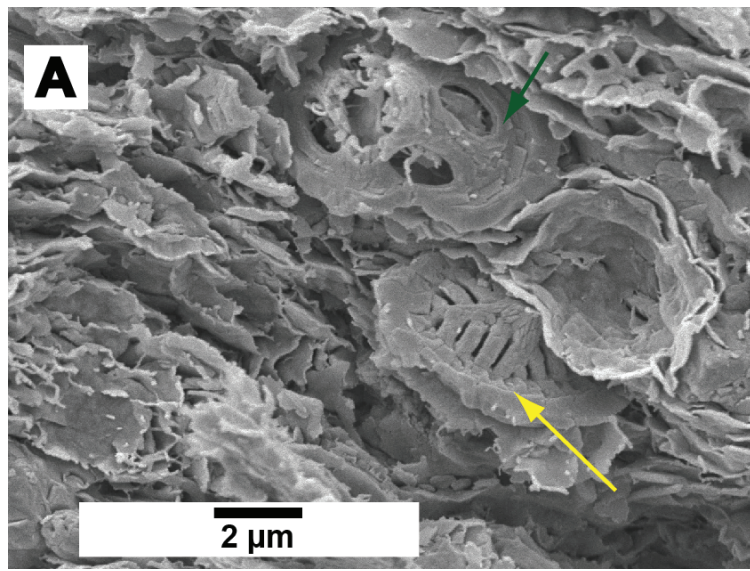


Fig. S2a. Scanning electron micrographs of rock surfaces from paired marl and mudstone samples from DSDP Site 534. A & B = DSDP 534A-60-04, 63cm; homogeneous mudstone. **A** = X 7,500 mag. Clay-rich layer containing well-preserved coccoliths. A *Cretarhabdus madingleyensis* is indicated by a yellow arrow, showing fine central structures, and a somewhat etched *Axopodorhabdus dietzmannii* is indicated by green arrow. **B** = X 7,000 mag. Clay flakes and a *Nannoconus* sp. **C & D** = DSDP 534A-60-04, 66cm; laminated marl. **C** = X 8,000 mag. Well-preserved coccoliths and clay flakes. *Lithraphidites carniolensis* is common throughout (purple arrow) and *Rotelapillus laffittei* (pale blue arrow) is quite common and shows good preservation of the delicate central bars. **D** = X 8,000 mag. *Watznaueria barnesiae* coccosphere. Some secondary calcite is visible, but coccoliths are generally well-preserved. **E & F** = DSDP 534A-69-05, 34 cm; laminated marl. **E** = X 5,500 mag. Well-preserved *Watznaueria* sp. coccosphere, surrounded by *W. barnesiae* and *Diazomatolithus lehmanii* fragments. *Cretarhabdus conicus* visible in bottom left (red arrow), and small *Zeugrhabdotus* spp. (green arrow) to the left. **F** = X 6,000 mag. Well-preserved coccoliths including *Axopodorhabdus dietzmannii* (yellow arrow), with *D. lehmanii*, (red arrows), with *W. barnesiae* (blue arrows), *Stradnerlithus geometricus* (purple arrows) and two different *Zeugrhabdotus* spp. (green arrows).

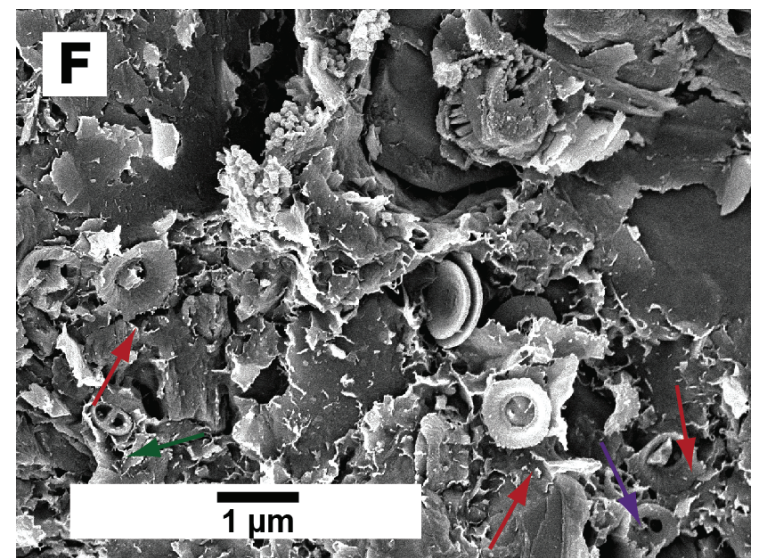
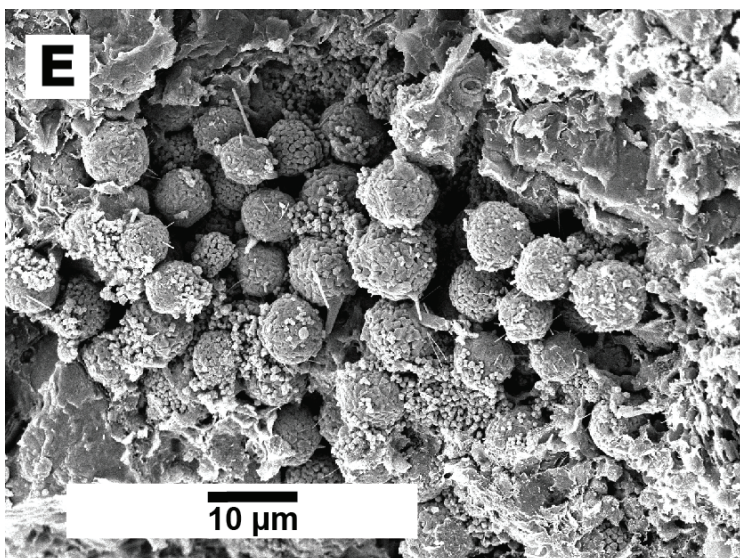
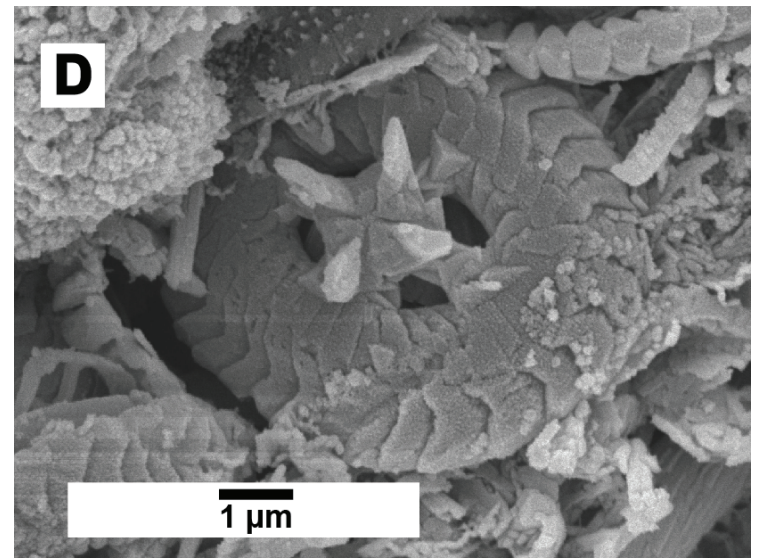
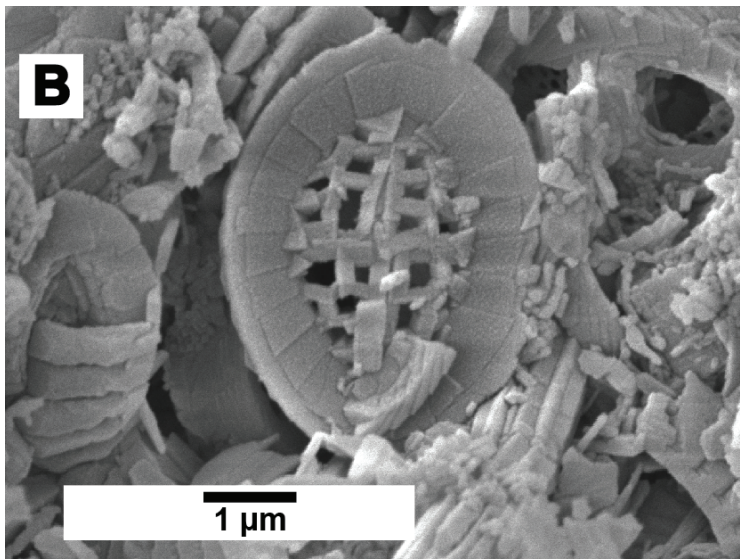
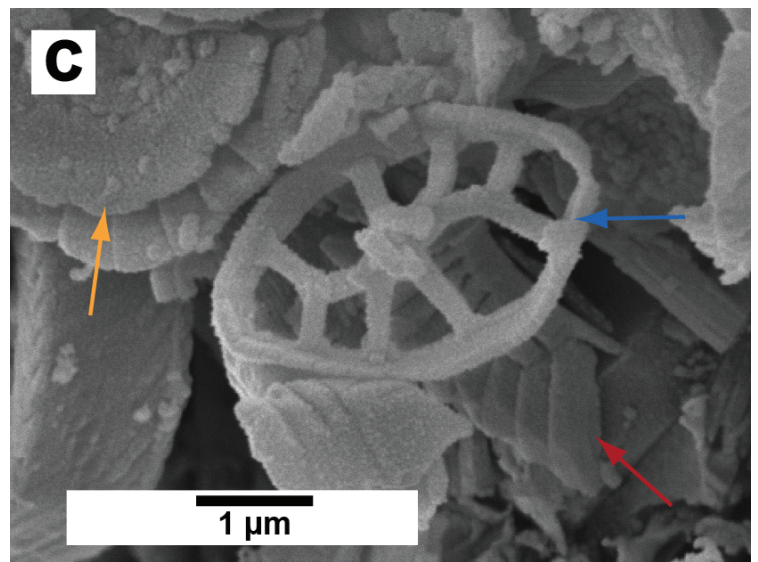
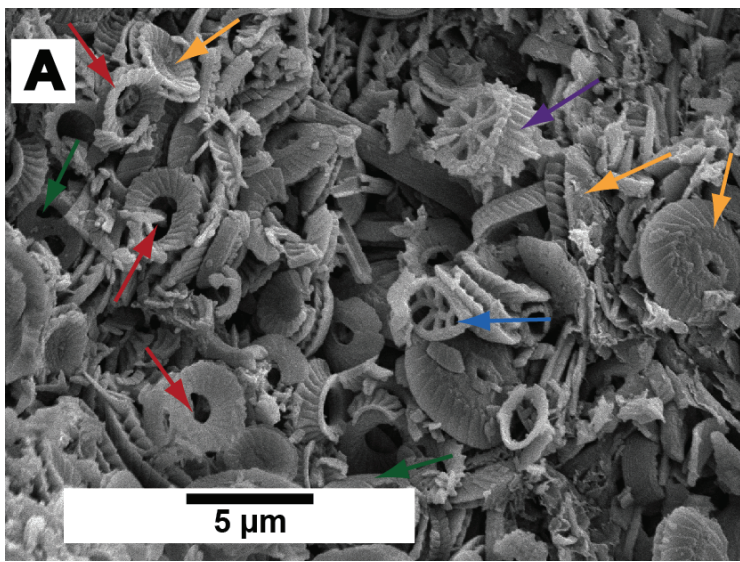


Fig. S2b. Scanning electron micrographs of rock surfaces from sample DSDP 603B-57-5, 49 cm (**ABCD**); laminated marl, excellent preservation. **A** = X 4,300 mag. Dominated by very well-preserved calcareous nannofossils, including *W. barnesiae* (yellow arrows), *D. lehmanii* (red arrows), *Zeugrhabdotus* spp. (green arrow), *R. laffitei* (purple arrow), *Stradnerlithus asymmetricus* (blue arrow). **B** = X 16,000 mag. *Cretarhabdus inaequalis* showing well-preserved central grill structure. **C** = X 4,300 mag. Well-preserved *Stradnerlithus asymmetricus* (blue arrow) surrounded by *Biscutum constans* (yellow arrow) and *D. lehmanii* (red arrow). **D** = X 13,000 mag. Well-preserved *Heleneia chiastia*. **E & F** = DSDP 603B-75-03, 85 cm; homogeneous mudstone. **E** = X 1,500 mag. A cluster of pyrite framboids surrounded by clay flakes. **F** = X 2,700 mag. Clay containing moderately-preserved calcareous nannofossils, including *D. lehmanii* (red arrows) and a small *Zeugrhabdotus* spp. (green arrow).

DSDP Site 534; calcareous nannofossil abundances

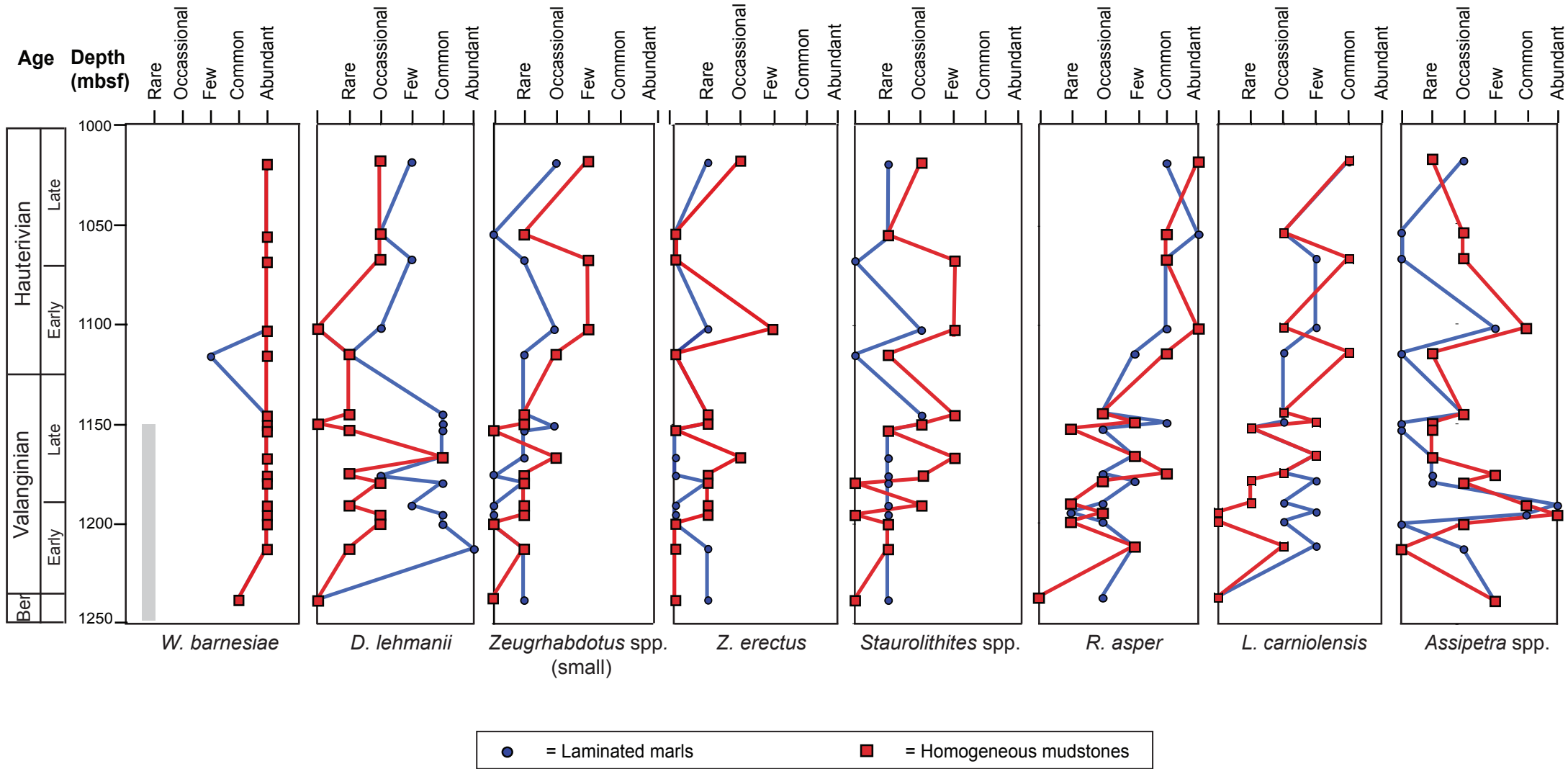


Fig. S3. Calcareous nannofossil data from DSDP Site 534, showing abundance data from selected species and genera. Grey bar indicates approximate span of the 'nannoconid crisis' after Erba et al., 2004, which is also associated with a peak in *D. lehmanii* in Tethyan sections.

DSDP Site 603; calcareous nannofossil abundances

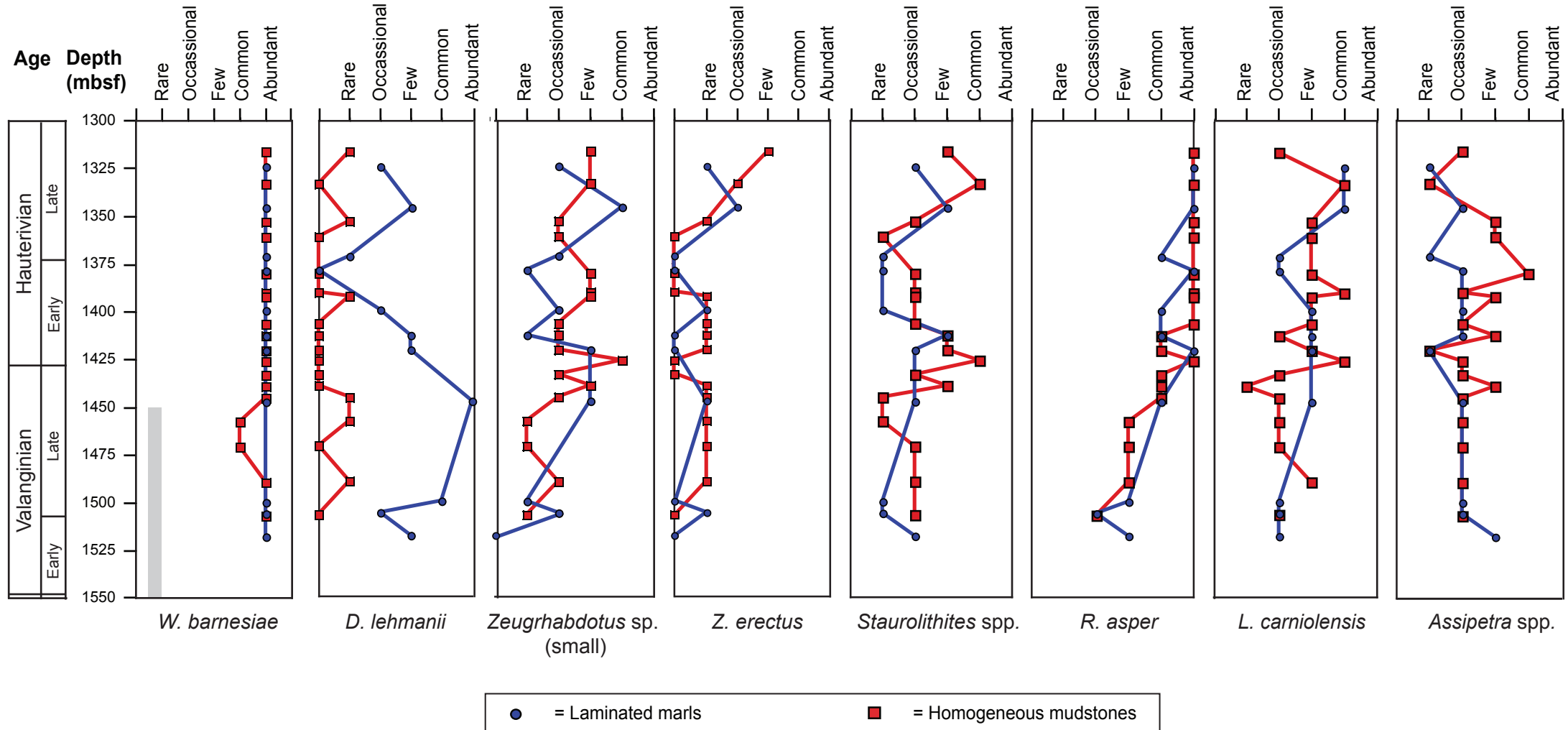
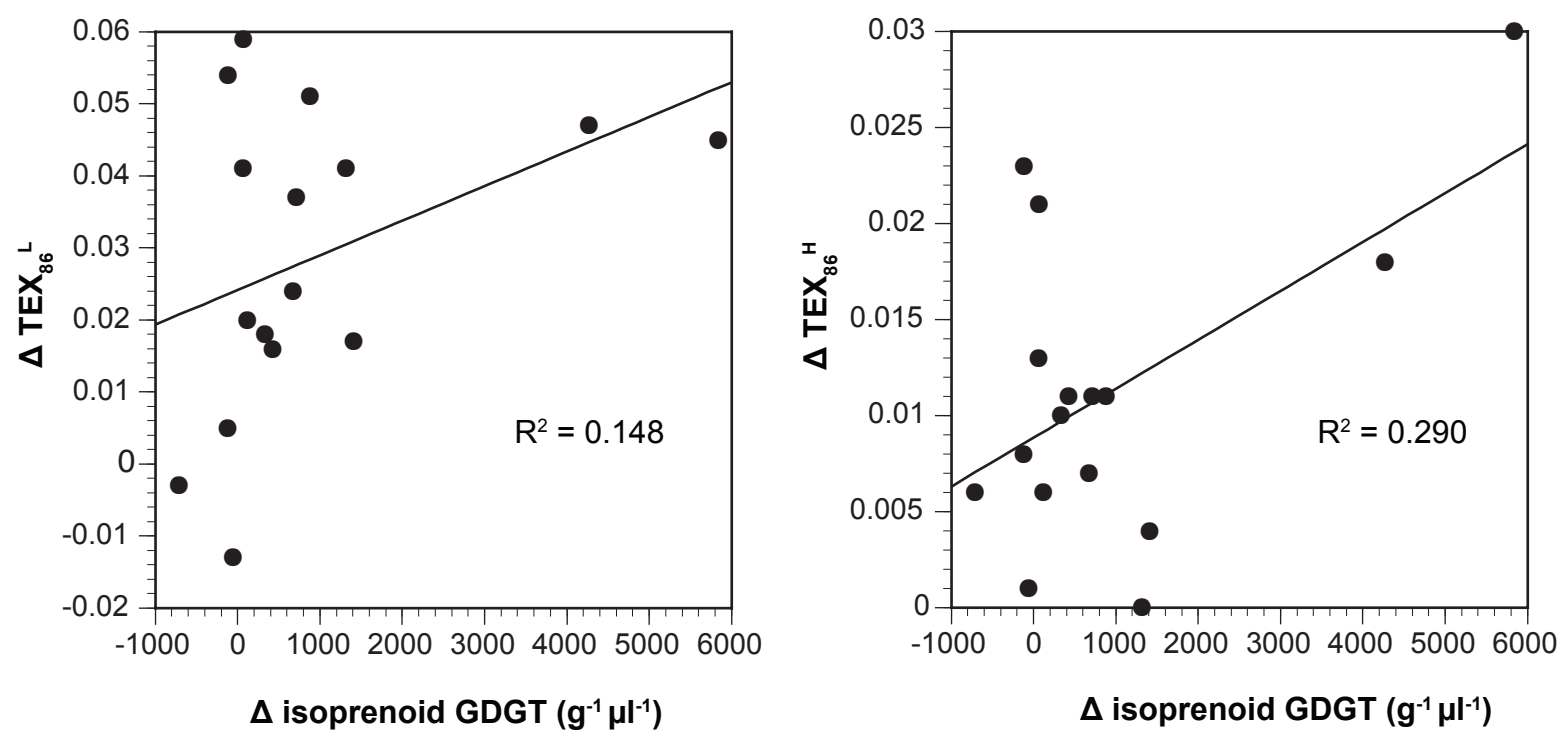


Fig. S4. Calcareous nannofossil data from DSDP Site 603, showing abundance data from selected species and genera. Grey bar indicates approximate span of the 'nannoconid crisis' after Erba et al., 2004, which is also associated with a peak in *D. lehmanii* in Tethyan sections.

a)



b)

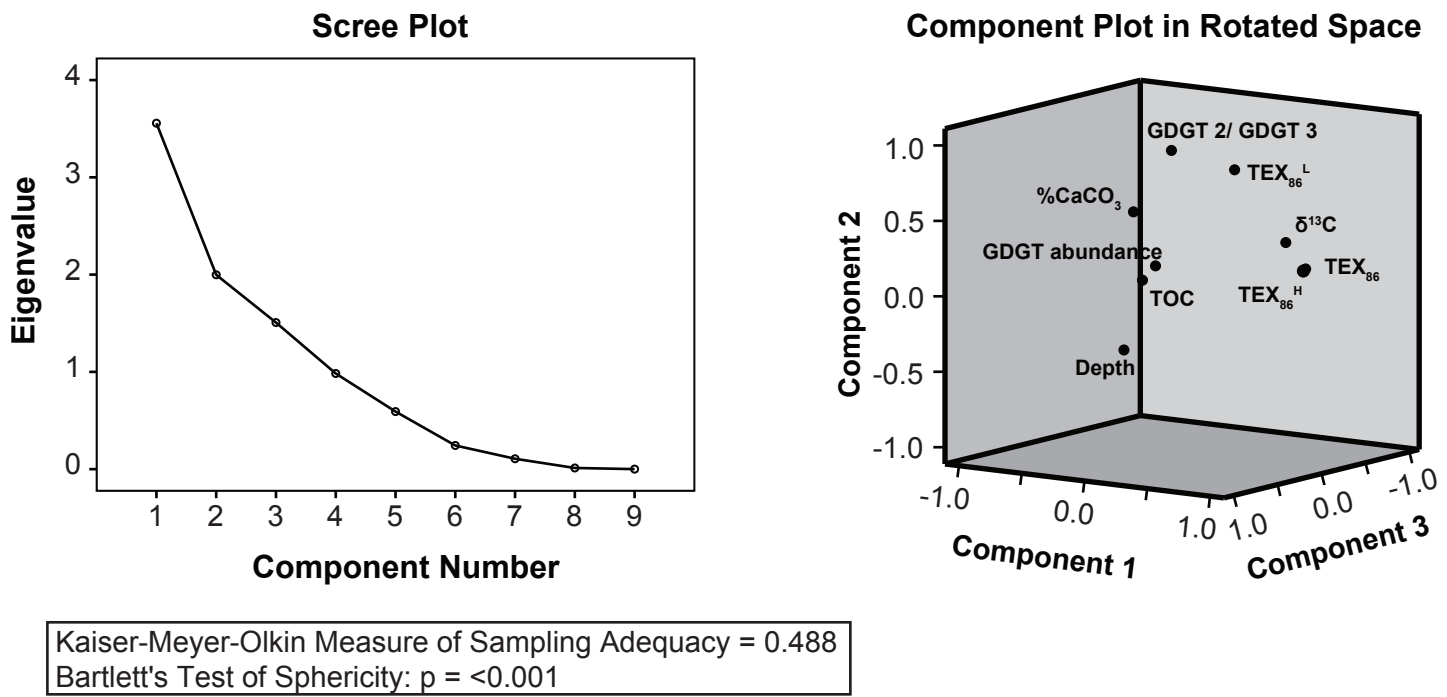


Fig. S5. a) Cross-plots for data from DSDP Site 534. The difference (Δ) between each individual lithology pair (a mudstone and a marl from a similar depth in the core), in terms of TEX_{86} ratios and isoprenoid GDGT concentrations (normalised to 1 g of dry bulk sediment and 1 μl of solvent injected onto the LC column, and to the GDGT abundance of the internal standard), are cross-plotted to show the lack of relationship; i.e., the difference in GDGT concentration between the mudstone and the marls is not causing the offset in TEX_{86} values, regardless of calibration. Simple linear regressions are shown and quantified with R^2 values. **b)** PCA analysis carried out in SPSS. Scree plot and component plot for the 9 variables that characterize the Site 534 samples (difference between marls and mudstones in terms of: depth, TEX_{86} , TEX_{86}^L , TEX_{86}^H , GDGT abundance, GDGT-2 / GDGT-3 ratio, \%TOC , \%CaCO_3 , and $\delta^{13}\text{C}$). The Kaiser-Meyer-Olkin Measure of Sampling Adequacy is below the 0.6 threshold, suggesting this dataset does not pass the assumptions required for PCA analysis. Additionally, no principle components could be identified at the rotated component matrix stage, and no clustering is obvious from the component plot, suggesting no strong underlying relationship between the various geochemical variables.