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Abstract: Landslides present a geomorphological hazard in Alpine regions, threatening life, infrastructure and property. Here we present the development of a new regional landslide inventory (RI) for the European Alps. This database provides a substantial temporal and spatial picture of landsliding in the Alps; with particular focus on the Swiss and French Alps. We use segmented models to evaluate recording bias in the temporal record. Scaling relationships are used to calculate landslide area based on a given volume for similar types of landslide; 9.5% (n=752 of a total of 7919) of landslides in the RI have area data recorded; this figure is based on both the source data and areas calculated from the scaling relationship. Using a power-law we demonstrate that the log-linear trend, which exists between landslide area and frequency in inventories, is present for this historical dataset, however, none of the individual databases, nor a unification of these, contains a complete record. We conclude by discussing applications of this dataset for the detection and attribution of climate change to the frequency and magnitude of landslides in this region however, stress the implications for this based on the completeness of such datasets.

Suggested Reviewers: Oliver Korup korup@geo.uni-potsdam.de His knowledge and expertise with landslide inventories.

Bruce Malamud bruce.malamud@kcl.ac.uk His expertise with the application of the power-law distribution as described and used in this paper.

Miet Van Den Eekhaut miet.van-den-eeckhaut@jrc.ec.europa.eu For her knowledge of landslide databases in Europe, and for her perspectives on hazard and risk



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5 February 2014

Dear Editors,

Please find attached our article "Landslide Inventories for Climate Impacts Research in the European Alps" for consideration for publication in Geomorphology.

The manuscript describes and analyses a new landslide inventory, spanning six centuries and covering both the French and Swiss Alps. This inventory is, to our knowledge, unique in its temporal and geographical coverage, and in its detail, including information on geology, topography and size; which have been extracted and sampled into the inventory through methods detailed in this manuscript. The compilation of the inventory from several previously existing datasets is described, and methods for filling in missing data (such as area and volume data through the use of scaling relationships) are documented. We discuss the temporal resolution of the dataset through the use of segmented models, and then investigate the frequency-area distribution based on this, and in the context of other studies.

This paper should attract a wide readership from researchers interested in risk and hazard mapping, those interested in further developing and analysing this unique dataset, and from those using our methods as a template for the maintenance and collation of landslide inventories in other regions.

We appreciate your consideration of this manuscript for publication.

Yours sincerely

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1 Landslide Inventories for Climate Impacts Research in the European Alps

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2 Abstract

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14 Key words: Landslides, Hazards, Climate Change, Scaling, Power-Law

15	Highlights:	
16		• We present a newly collated landslide inventory for the European Alps
17		• We study the volume-area and frequency-magnitude statistics of landslides recorded
18		• We examine the recording of landslides through time to identify gaps and breaks
19		The inventory follows a robust power-law distribution
20		We discuss applicability to climate change studies and hazard assessment
21	1	

Abbrevi	<u>ations</u>
BRGM	

Appreviations	
BRGM	French National Database of the Bureau de Recherches Géologiques et Minières
EPSG	European Petroleum Survey Group
GB	Geologische Bundesanstalt
LSS	Combination of "Slides" and RTL
QGIS	Quantum Geographic Information System
RI	Regional Inventory
RTL	Rotational/Translational Landslide
RTM	Service de Restauration des terrains en Montagne de l'Isere, Grenoble, France
WSL	Swiss Flood and Landslide Damage Database of the Swiss Federal Institute for Forest, Snow and Landscape Research

22 1 Introduction

In the European Alps mean annual air temperatures have risen ~2°C during the 20th Century and since the end of the 23 24 Little Ice Age (Hæberli and Beniston, 1998) leading to widespread deglaciation and an increase in the frequency and 25 magnitude of certain natural hazards such as glacial lake outburst floods, flooding, avalanches and landsliding (Katz 26 and Brown, 1992; Keiler et al., 2010). While deglaciation in this area can be directly linked with this increase in 27 temperature (Hæberli, et al., 1999; Reichert et al., 2002), recording of the intensity and frequency of mass 28 movements in the region has been inconsistent over time, inhibiting our ability to test their relationship to changes 29 in the climate. However, rising regional population and the growth of infrastructure in the Alps over past decades 30 has necessitated a better understanding of the relationship between climate change and landsliding to enable 31 planners and insurance groups to mitigate and manage landslide risks.

32 In the European Alps, landslides constitute a natural hazard. Understanding their distribution is important to the 33 insurance industry and planners due to the damage they cause to infrastructure, property and life (e.g. Hilker et al., 34 2009; Mallet et al., 2010). This provides one of the key drivers for our research and there is considerable interest 35 from the insurance industry in understanding how landslide risk may change in the European Alps. While most 36 research tends to centre on basin scale landsliding, focussing on modelling and understanding the mechanisms and 37 precursors that lead to landslide initiation, for planners, interests lay with the potential hazards associated with 38 landslides and for the insurance industry, with the financial implications from damage caused by landsliding 39 (Jaboyedoff et al., 2003). This highlights the need for regional-scale approaches to understanding landslide risk.

40 Understanding landslide risk and hazard is a complex issue for heavily populated regions such as the Alps. For 41 example, population density varies seasonally (Guzzetti, 2005) and property values fluctuate, changing the values of 42 assets (Lee and Jones, 2004). In addition to this, varying landslide magnitude, velocity and the type of movement 43 (hence trigger) will also have implications for potential losses (Lee and Jones, 2004) therefore, establishing patterns 44 between the spatial and temporal distribution of landslides and their triggers can facilitate modelling future risk (e.g. 45 Crozier and Glade, 2004; Van Beek and Van Asch 2004). Uncertainty in risk prediction is a fundamental problem with 46 when dealing with complex and chaotic systems (e.g. Keiler et al., 2010), this can however, be overcome through 47 obtaining large databases and inventories (e.g. Dai et al, 2002); thus statistically constraining the uncertainty.

48 This paper describes the development of a new landslide inventory collated for the Alps based on the compilation of 49 existing datasets in this region. The aim of this inventory is to include, where possible, the date of landslide 50 occurrence, location and an indication of size in order to gain both a regional-scale picture of landsliding across the 51 Alps and a perspective of the frequency and magnitude of these over time. This regional-scale inventory (RI) allows 52 for the interrogation of landslide risk over a wide range of geologies and topographies. The temporal distribution of 53 landslide recording will firstly be assessed, with gaps and breaks in the RI being highlighted and discussed. We then 54 assess landslide frequency-size distributions, for both the RI and individual databases, testing the hypothesis that the 55 log-linear relationship between landside size and frequency is present for this dataset and robust over time. We 56 posit that these *historical* inventories can offer time-sensitive insights into how changes in the climate may affect the 57 frequency and magnitude of landslides. Finally we discuss the representativeness of historical landslide inventories 58 in Europe and prospects for future research.

59 2 Study region



60

Figure 1: A: Map indicating the study site within the European Alps (grey shaded area), which includes Switzerland and South-East France. B: Showing the area covered by the north-west (NW) and south-west (SW) CRS (after Auer *et al.*, 2007, personal communication Böhm and Haslinger).

The European Alps (Figure 1) have been chosen for this study as they are amongst the most heavily developed mountain regions in the world and are affected by a range of natural hazards. Both these and the regional climate have been extensively researched (Huggel *et al.*, 2002; Schmocker-Fackel and Naef, 2010; Huggel *et al.*, 2012). This 67 area therefore has high potential for investigating the relationship between changing climate and natural hazards. 68 Climatically, the Alps have been partitioned in several different ways and at different scales; for example by the 69 influence of the North Atlantic Oscillation (Bartolini et al., 2009) and by differences in key climate indicators (e.g. 70 temperature, precipitation, air pressure, etc.). Auer et al. (2007) distinguish four distinct climatic regions based on 71 differences in these climatic indicators (Figure 1, insert B). These climate regimes can potentially be used by 72 researchers to study the influence of climate on natural hazards in this region. In partitioning data by its spatial 73 extent in this way, it is possible to negate a lack of temporal data by making links between different climate regimes 74 in space, rather than over time.

75 3 Landslides

76 3.1.1 Classification

77 Classification provides a framework from which comparisons between different types of landslide at different locations can be made in order to understand common trigger mechanisms and processes involved. From a risk 78 79 perspective, it is important to classify landslides by process and trigger in order to evaluate differing rates of 80 movement which will affect mitigation and remediation works carried out to lessen potential damage caused. 81 Precursors and trigger mechanisms vary between location and landslide class, and have been shown to increase the 82 frequency of landsliding in certain areas due to differences in geology, lithology, topography, and terrain (Dapples et 83 al., 2002; Soldati et al., 2004; Dai and Lee, 2001); thus making classification across a range of geologies and 84 topographies paramount for the development of hazard mapping and landslide predictions.

85 Landslide location and velocity are the most important factors in determining landslide risk; with the two most 86 commonly used methods of landslide classification taking specifically the latter into consideration. The first, by 87 Varnes (1978), is the most widely-used landslide classification and is based on process, morphology, geometry, 88 movement, rate of displacement and the type of material. These factors included in this classification allow for 89 interrogation of the trigger mechanisms and antecedent conditions associated with the different landslide classes; 90 particularly the inclusion of process, movement and rate of displacement. The second and more recent classification 91 builds on the Varnes (1978) classification and additionally considers the size and rate of failure (Cruden and Varnes, 92 1996; Fell, 1994; Jakob, 2005). The Cruden and Varnes (1996) classification distinguishes landslides by velocity class, 93 while also referring to the effects of mitigation works on slow-moving slides (e.g. Velocity class 1) up to an 94 expectation for the loss of life (e.g. Velocity class 7). Both of these methods of classification are thus important for 95 risk assessment and hazard evaluation.

96 3.1.2 Landslides and climate

97 Recent climate change in the European Alps is manifested in fluctuations in precipitation (Casty et al., 2005) and 98 increases in temperature (Böhm, 2001; Büntgen et al., 2006) leading to the loss of permafrost (Harris et al., 2003) 99 and a shift to negative glacier mass balance (e.g. WGMS, 2013). It has also been argued that the recent warming 100 trend has influenced the "operation of all landscape elements" (Keiler et al., 2010, p. 2462) resulting in an increase in geomorphological hazards. Alongside this, land use and vegetation change (e.g. Theurillat and Guisan, 2004), 101 102 population increase and the addition of infrastructure in the European Alps (Fuchs and Bründl, 2005) has impacted 103 geomorphological processes, leading to increased slope instability and increased risk from natural hazards such as landsliding. In order to assess which areas are affected by this increased risk, it is important to understand the role 104 105 that landslides have played in the area over long periods of time, the nature of landslides, trigger mechanisms and 106 the inclusion of regional climate predictions.

107 There is a considerable amount of literature on the role of temperature and precipitation change (Buma and Dehn, 1998; Collinson et al., 2000; Soldati et al., 2004), climate and weather variability, seasonality and storminess (Szabó, 108 109 2003; Guthrie, et al., 2010) and the effects of climate extremes in driving landslides. On shorter timescales, heavy 110 precipitation leads to the initiation of shallow landslides, whilst longer-term trends in precipitation, from weeks to 111 months, act as precursors to deep-seated landslides (Iverson, 2000; Marques et al., 2008). On seasonal timescales, 112 both freeze-thaw action and the transition between wet and dry phases vary, exaggerating mechanical weathering (Abele, 1997; Chigira, 2002). Variability and increasing temperature trends have a two-fold effect on slopes and rock 113 114 walls in alpine environments; firstly by reducing the tensile and cohesive strength of rock masses resulting in a loss 115 of stability (Chemanda et al., 2005), and secondly, through permafrost degradation (Gruber et al., 2004; Schär et al., 116 2004; Harris et al., 2009) increasing the potential for slope failure. Permafrost is widespread in Alpine mountains 117 and has been affected by recent warming in the Alps (Hæberli and Beniston, 1998). The hot summer of 2003 was 118 characterised by a 3°C rise in temperature in Switzerland, which led to both a warming of the permafrost and an 119 increase in the depth of thaw (Gruber et al., 2004). As a result, there was widespread destabilisation of rock walls leading to increased rockfall in the region; illustrating how a short-term (seasonal) increase in temperature can have a large affect in sensitive alpine environments (Gruber *et al.*, 2004). Despite this wealth of literature discussing these factors at a large-scale, these studies cannot be up-scaled for regional hazard assessments as there is little research taking this regional-scale approach to encompass multiple landslide-affecting variables.

124 3.1.3 Space, time and scale

125 The precursors for landslides include: sediment availability, appropriate slope gradient, failure plane and appropriate antecedent conditions. The spatial distribution of landslide risk is determined both by the susceptibility 126 127 of the slope and the proximity of these areas to subjects of value (such as property or infrastructure). In addition to 128 this, antecedent conditions, trigger mechanism and topography of the region have implications for the potential 129 runout and risk, thus scale is an important factor to consider when modelling landslide risk. A decision must be 130 made pertaining to the scale at which these afore-mentioned factors can be realistically modelled, in order that the outputs can be deemed informative with respect to landslide predictions (e.g. Mercogliano et al., 2010; Hervas et al, 131 132 2010). Another important consideration is the scale at which it is feasible to predict landscape response to transient 133 triggers, such as climate or weather. This in turn must be applicable to those industries for which an understanding 134 of landslide risk is critical. The quantification of future risk lays in the consideration of these factors as an ensemble, as well as an understanding of the temporal and spatial patterns of landsliding in a region, in order to identify 135 136 susceptible areas and likely triggers (e.g. Grove, 1972).

137 Landslides are affected by climate over a wide range of timescales, with long-term climate change (i.e. the periods 138 between the Late glacial and the Holocene, or between the Atlantic and the Subboreal) correlating with higher 139 frequencies of landslide activity, referred to as landslide *clusters* (Soldati *et al.*, 2004). A long-term perspective of the 140 temporal variability of transient climatic triggers (i.e. changes in temperature and precipitation indices) is required to 141 test hypotheses made between climate and landsliding (Van Beek and Van Asch, 2004). As an example, increasing temperature and a change in its spatial pattern across the European Alps may affect the distribution of landslides 142 143 through space, time and magnitude; making understanding the influence of temperature, together with 144 precipitation, important in determining future risks posed by landslides (e.g. Marques et al., 2008). To fully 145 understand and assess the time-scales at which triggers result in landslide initiation, combinations of historical and 146 modern landslide inventories are essential.

147 3.1.4 Landslide inventories in Europe and their accessibility

148 The European Alps have been extensively studied with many academic publications investigating both individual and 149 clusters of landslides (e.g. Nieuwenhuijezen et al., 1990; Couture et al., 1997; Bertran, 2003; Giardino et al. 2004; 150 Casson et al., 2003; Meric et al., 2005; Squarzoni et al., 2005; Deline, 2009). More recently (in the past 20 years) 151 landslides have been increasingly documented in European-wide inventories and databases, giving us a picture of the spatial distribution (Van Den Eeckhaut and Hervas, 2012) while also highlighting regions with high levels of 152 landslide susceptibility. The temporal range covered by individual landslide inventories is highly variable. Historical 153 inventories look at landsliding over a range of time periods including millennia to centuries (e.g. Soldati et al., 2004), 154 155 whereas modern inventories tend to centre on basin-scale clusters occurring over short-periods of time, often 156 relating to a single trigger event (e.g. Malamud et al., 2004). Modern inventories are collated in the hours, days or weeks after the triggering event, while *historical* inventories are collated over years and decades to offer a 157 158 representation of the nature of landsliding in an area over time. Despite the extensive scientific research on landslides in the European Alps, data access is limited and the extraction of data from journals, printed and online 159 160 media can be time-consuming, exacerbated in by a lack of detailed location data for landslides, often given at the 161 regional level or from small-scale maps.

162 3.1.5 Statistics of landslide inventories

163 Landslides show a form of self-organised criticality whereby there is a commonality in form and process across a wide range of scales (Bak et al., 1987). This self-organised criticality presents itself as a log-linear trend between the 164 frequency of landsliding and landslide area (Hergarten and Neugebauer, 1998); small landslides are represented at 165 the high-frequency, low-magnitude portion of the distribution and large landslides by the linear, low-frequency tail 166 (Figure 2). This relationship is commonly evaluated using modern landslide inventories and can be used to provide 167 168 estimates for the potential of landslide occurrence in a given area, allowing assessments of statistical relationships 169 using modern and historical landslide inventories (e.g. Stark and Hovius, 2001; Malamud et al., 2004). This 170 frequency-area relationship appears to be robust and consistent across space and time (Stark and Hovius, 2001; 171 Guzzetti et al., 2002; Malamud et al., 2004) however, recent work has shown that this is not always the case. An 172 example of this comes from the Maily-Say region of the Tien-Shan where, between 1962 and 2007 there was an 173 increase in the recorded frequency of large landslides, which translated to an upward shift in the tail of the distribution (Schögel *et al.*, 2011); this was attributed to the growth of existing landslides or the coalescence of smaller landslides in the region (Torgoev *et al.*, 2010). This apparent divergence from the expected distribution makes it vital to collate and update comprehensive landslide inventories for further investigations.





Figure 2: Theoretical landslide frequency distributions based on a three-parameter inverse-gamma distribution over a range of magnitudes (adapted from Malamud *et al.,* 2004; p. 704).

180 Whilst landslide processes and mechanisms are understood in terms of individual landslides, recently this knowledge has been tuned to susceptibility mapping across wider areas and regions in order to better understand landslide risk 181 182 (Van Den Eeckhaut et al., 2010; Mallet et al., 2010). An example of this is the Safeland Project which uses landslide inventories for a number of specific landslide hotspots as model validation for short-term landslide forecasting 183 184 (Callerio et al., 2010; Mercogliano et al., 2010). Full exploitation of the risk management and assessment capabilities 185 of landslide inventories requires data appropriate to the application of inventory statistics and susceptibility mapping. For wider analysis, date, location, size and the type of landslide must be routinely recorded, while failure 186 to discriminate between different landslide classes restricts investigations into trigger mechanisms and long-term 187 trends (Figure 3). This is easy for modern landslide inventories however, historical inventories cover wider areas and 188 regions and these indicators are highly variable through space and time. This wide range of landslide-influencing 189 190 factors (which also include topography and geology) need to be organised and recorded in a standardised format to 191 ensure that all relevant data are included (see Figure 3).



Figure 3: Flow diagram showing the required components for a *complete* landslide inventory as a tool by which to both standardise inventories compiled from different sources and for susceptibility, hazard and risk investigations.

195 4 Material and methods

192

196 4.1.1 Landslide Databases and Inventories in Europe

197 A unified database, the RI, was created in order to address the afore-mentioned issues (section 3.1.5) with landslide 198 inventories. It was compiled from 6 existing databases together with academic publications (Table 1) with an aim to 199 consistently record important information (from Figure 3), maintained in a unified language from the individual 200 The identification number (ID) for each landslide was maintained from the original source, and databases. 201 additionally assigned a new ID for the RI. The locations of all landslides within the individual databases were 202 converted from the countries own coordinate reference system to latitude and longitude coordinates (EPSG: 4326) 203 using a Python script in Linux. The spatial distribution of the RI is highlighted in Figure 4, with recorded characteristics shown in Table 2. Some databases included an *information* column which was explored, translated 204 205 and information extracted, (particularly the size of the landslide) to the appropriate columns within the RI. In 206 addition to this a literature search was carried out for academic sources, and Google Earth used as a mapping tool for this academic portion of the RI; these were exported from Google Earth as Keyhole Markup Language (.kml) files 207 208 to Quantum Geographic Information Systems (QGIS) whereby the location was imported into the RI.

- Table 1: The sources used to create the RI were from online databases, research institutes and academic literature. Those
- used in the RI predominantly covered the Swiss and French Alps. This table highlights the sources, country of origin, and their
- 211 contribution to the RI (% and *n*).

	Source	Country	Contribution to inventory (%)	n
<u>National</u> database	French National Database of the Bureau de Recherches Géologiques et Minières (2013a; henceforth BRGM).	France	48.4	3836
<u>(Online)</u>	Geologische Bundesanstalt (2013; henceforth GB).	Austria	1.1	85
Research	Swiss Flood and Landslide Damage Database of the Swiss Federal Institute for Forest, Snow and Landscape Research (henceforth WSL; Hilker, N., personal communication)	Switzerland	41.5	3288
Institutes	Service de Restauration des terrains en Montagne de l'Isere, Grenoble, France (henceforth RTM ; Helmstetter, A., personal communication)	France	1.4	113
	Barcelonnette database (part of the Safeland Project; Mallet, JP., personal communication)	France	4.1	324
	Abele (1974, henceforth Abele; Korup, O., personal communication)	Europe	3.3	264
	Bertran (2003)	France		1
<u>Academic</u>	Casson <i>et al</i> . (2003)	France		1
sources	Couture <i>et al.</i> (1997)	France		4
	Deline (2009)	France	0.2	3
	Meric <i>et al</i> . (2005)	France		1
	Nieuwenhuijezen <i>et al.</i> (1990)	France		1
	Squarzoni <i>et al</i> . (2005)	France		1



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Figure 4: Locations of landslides recorded in the RI and distinguished by the contributing source (as detailed in Table 1). The insert shows the south-east region of France (excluding the BRGM, GB and WSL datasets) to show the locations of the Literature and Barcelonette datasets.

- Table 2: The following table shows the attributes recorded within the RI. Those featured are considered to be key
- 217 components for a complete landslide inventory (as detailed in Figure 3) with the addition of complimentary attributes which
- 218 were available from the source datasets.

Attribute title used in the RI	Description
mvmt_cd	Code describing the classification of landslide
lat/lon	Latitude and longitude coordinates (EPSG: 4326)
Crs	Course Resolution Subregion (Auer <i>et al.,</i> 2007)
ID	ID from original source
ID2	ID relating to the RI
Country	Country
Day/Month/Year	Date of occurrence
dep_ar	Area of deposit as recorded in the databases (m ²)
dep_vol	Volume of deposit as recorded in the databases (m ³)
mvmt/mvmt_cd	Description of the movement type from source / associated code
mvmt_2/mvmt2_cd	Broad classification of movement type (based on the 9 classifications) / associated code
ref	Reference for the data (academic, online reference, etc.)
slope	Angle of slope calculated in QGIS from 90m SRTM data
aspect	Slope aspect calculated in QGIS from 90m SRTM data
elevation	Elevation calculated in QGIS from 90m SRTM data
urn_litho1/2/3/4/5	Based on the BRGM geology data
L_ID/1/2/3/4	Based on Swiss Topo geology data
goology1/2	Classification of main geology type (loose sediment / sedimentary / metamorphic / igneous), based on BRGM /
REDIORAT/ 2	Swiss Topo geology data

219 4.1.2 Categorisation of landslides

Landslides are categorised in the RI into nine different classes (Table 3). Each individual database had its own classification system for different types of landslide and in order to distinguish these for analysis within the RI, unified definitions were created. These classifications and descriptions are based on a combination of the Varnes (1978) classification system (see also section 3.1.1), those specified within the contributing databases and are also based on the *information* and *notes* columns from each. For the purposes of the analysis of large datasets, such as the RI, a number of authors have discussed how *similarity in process* is necessary for asserting statistical assumptions across datasets, i.e. for the application of power-law statistics (Malamud *et al.*, 2004; Larsen *et al.*, 2010); it is for this

reason that unified definitions were required (this will later be discussed in detail in sections 4.1.3 and 4.1.5).

- Table 3: The following table details the different landslide classes as well as a description for each class; these were based on
- the Varnes (1978) classification as well as descriptions drawn from the RI sources. Finally a name is given describing the
- terms used in the RI (mvmt; Table 2), and the number of landslides in each category (*n*).

ID	Classification (translated from source)	Description	Name (material-based classification for RI)	n
1	Landslide	Rotational or translational landslide	RTL	5239
2	Rockfall landslide	Falling rocks & blocks / Sliding rock mass		1388
3	Rockfall / Topple	Rock	163	
4	Rockslide	Sliding rocks & blocks		37
5	Debris slide	Moderate to low water content / Some large particles & blocks entrained Material slides downslope	<u>cl'idaa</u>	14
6	Mud slide	Moderate to low water content / Small unconsolidated material slides downslope	Sildes	535
7	Debris flow	High water content & very liquid in movement Some large particles & blocks entrained	Floren	236
8	Mud flow	High water content & very liquid in movement Entrained material is fine grained (soil based)	FIOWS	34
9	Complex / Subsidence & collapse / Bank erosion / Creep	Combination of 2 or more classifications / Rupture of underground cavity / Erosion of banks resulting in slide / Slow gravitational creep	Complex	217
0	Unknown	Unspecified in the literature / database	Unknown	56

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232 4.1.3 Volume-Area scaling

233 From the complete RI of 5239 Rotational or Translational Landslides (henceforth RTL; c.f. Table 3) recorded, only ~10% had volume data, and ~4% had area data. Larsen et al. (2010) show that a log-linear scaling relationship exists 234 235 between area and volume for similar mass movements; this relationship was analysed for all landslide classes in the For this analysis the classification of landslides (as discussed in section 3.1.1) was very important as only 236 RL. 237 landslides of similar process are comparable. The scaling relationship was analysed for all classes of landslide 238 individually, with an additional investigation which grouped the RTL and Slide classes (henceforth LSS; c.f. Table 3) 239 being of similar process. Landslides with both area and volume data were plotted and linear models fitted (Figure 6). 240 The scaling exponent (γ) and intercept (α) from the linear models were substituted into Equation 1 and applied to the respective classifications in the remainder of the RI (for further discussion see section 5.1.2; Figure 7). 241

Equation 1: Describing the volume-area scaling relationship, from Larsen *et al.* (2010, p. 247).

 $\mathbf{v} = \boldsymbol{\alpha} \mathbf{A}^{\boldsymbol{\gamma}}$

243 where v = volume

244 A = area

245 α = intercept

246 γ = scaling exponent

247 4.1.4 Temporal recording of landslides in the RI

The temporal range of the RI was investigated in order to understand how the frequency of recording has changed over time. The cumulative frequency of landslide occurrence, for each database, was calculated at annual resolution and both linear and *segmented models* (Muggeo 2003, 2008) were applied to the data to test for breaks in linear trends. These distributions were additionally partitioned by landslide classification (c.f. Table 3) to determine whether the different classes have been consistently recorded through time and between the source datasets. Breaks in the *segmented models* are taken to represent periods in time at which there has been a change in the recording frequency of the different landslide classes.

255 4.1.5 Power-law distribution

The frequency-area distribution is often used to quantify the completeness of a modern inventory and has been 256 257 shown to follow a number of different empirical distributions including double Pareto (Stark and Hovius, 2001) and 258 an inverse Gamma distribution (Malamud et al., 2004; Figure 2); all exhibit a negative power-law relationship in the 259 tail of the distribution. Given the robustness of this empirical distribution and its applicability across a range of 260 triggers and geologies a series of theoretical three-parameter inverse gamma distributions (Figure 2; Equation 2) were created across a range of magnitudes (after Malamud et al., 2004) to assess the completeness of the RI (based 261 262 on the areas summarised in Table 5. The frequency density for LSS were then calculated from Equation 3 and 263 plotted against the theoretical distributions; if we assume that the theoretical distribution is valid for the given 264 datasets in the RI then we can calculate the total landslide area (Equation 4).

Equation 2: The three-parameter inverse-gamma distribution for landslide inventories (Malamud *et al.*, 2004, p. 694).

$$p(A_L; \rho, a, s) = \frac{1}{a\Gamma(\rho)} \left[\frac{a}{A_L - s}\right]^{\rho+1} exp\left[-\frac{a}{A_L - s}\right]$$

266wherea= location of maximum probability267 $\Gamma(\rho)$ = gamma function of ρ which controls the power-law decay268s= parameter controlling exponential rollover for low area values269 A_L = landslide area

Equation 3: From Malamud *et al.*, (2004, p. 703), calculating the frequency density of landslide area for incomplete inventories.

$$f(A_L) = \frac{\delta N_L}{\delta A_L} = N_{LT} p(A_L)$$

272	where	$f(A_L)$	= frequency density of landslide area
273		$\frac{\delta N_L}{\delta A_L}$	= δN_L being the number of landslides between A_L and $A_L + \delta A_L$
274		N_{LT}	= number of landslides in inventory

275 pA_L = Probability density for landslides of size A_L

Equation 4: Adapted from Malamud *et al.* (2004) to calculate the total area affected by landslides based on the maximum magnitude recorded in an incomplete inventory.

$$L_{Ta} = \left(\frac{a}{\rho-1}+s\right) \, \mathbf{10}^{ML}$$

278 where L_{Ta} = total area affected by landslides

279 *ML* = maximum landslide magnitude

280 **5 Results**

281 5.1.1 The Regional Inventory

The databases collated for this inventory include, where possible, all of the elements specified in Figure 3. The RI contains a total of 7919 landslides, of which 56 remain unclassified, 5239 are *RTL*, 1588 are *rockfalls*, *rock slides* and *rock topples*, 549 are *debris*- or *mud-slides*, 270 are classified as *debris* or *mud flows*, and 217 are *complex* landslides (see Table 3 for details). For area and volume data the main addition to the RI came predominantly through extracting data from the *information* sections of the source datasets; leading to the addition of 525 area/volume estimates for the WSL database, 15 from the GB (through the available online literature), 10 for the Barcelonnette database, 10 were extracted from the academic publications, while 265 were digitised from Abele (1974).



289



291 5.1.2 Volume-area scaling

292 The relationship between area and volume was investigated for all classes of landslide in the RI; this was carried out to define the scaling exponents (after Larsen et al. 2010) to calculate area for the landslides in the RI which only had 293 294 a given volume. The separate landslide classes were analysed individually as they represent different types of 295 movement with potentially different scaling relationships. Due to the low number of recorded values for Rock, Slide, 296 Flow and Complex failures (Figure 6), the exponents from the fitted linear models are inappropriate for application 297 to the remainder of the database, conversely, there were 83 RTL with both area and volume data. The analysis of RTL showed an expected strong positive correlation between area and volume with R²=0.922, y=1.386±0.045 and 298 $\log_{10}(\alpha)$ =-0.985±0.248 (Figure 6). A total of 3 *Slides* with area and volume data were considered in addition to *RTL* 299 300 (LSS) as these classes represent similar physical mechanisms and so, constitute a sensible comparison; with R²=0.923, $y=1.378\pm0.043$ and $log_{10}(\alpha)=-0.941\pm0.239$ (see Table 4 and Figure 6). 301

Volume-area scaling



302

Figure 6: Showing the log-linear relationship between area and volume which exists for *RTL* and *LSS* ($R^2 = 0.92$ for both). The scaling exponents (γ and α) are given in Table 4. Also shown are *Rock, Slides, Flows* and *Complex* movements for which both area and volume data were available; due to the limited number of points in these classes, no linear model was fitted to these.

Table 4: This shows the estimates of γ and $\log_{10}(\alpha)$ (± the standard error for each) attained from each source for *RTL* and *LSS*. The R² statistic for the fit of the linear models and the number of landslides used (*n*) are also shown. These are displayed along with the Larsen *et al.* (2010) results of the scaling exponents from investigations of combined datasets; see Larsen *et al.*, 2010, supplementary information for full details.

	Source	γ	log ₁₀ (α)	R²	n
RI	Abele (<i>RTL</i>)	1.359±0.100	-0.776±0.625	0.80	50
	WSL (<i>RTL</i>)	1.233±0.116	-0.394±0.506	0.78	34
	All (<i>RTL</i>)	1.386±0.045	-0.985±0.248	0.92	84
	All (<i>LSS</i>)	1.378±0.043	-0.941±0.239	0.92	87
	Global landslides (all)	1.332±0.005	-0.836±0.015	0.95	4231
et al)	Soil landslides	1.09 to 1.40±0.02	-1.48 to -0.37±0.06	0.81 to 0.95	11 to 956
arsen <i>e</i> (2010	Mixed soil & bedrock inventories	1.36±0.03 to 1.450	-1.131 to -0.59±0.03	0.88 to 0.98	201 to 677
	Bedrock landslides	1.34±0.02 to 1.92±0.48	-4.09±3.24 to -0.49±0.08	0.58 to 0.98	11 to 140
	Bedrock landslides (Alps & Apennines)	1.60±0.07	-2.36±0.45	0.82	87

The values attained for *RTL* and *LSS* are comparable to the range of values obtained by Larsen *et al.* (2010; Table 4 and Figure 7). As the *RTL* in the RI are *undifferentiated* (in terms of the material transported) it follows that the linear model obtained in this study would fall within the bounds of both mixed and soil inventories reported by Larsen *et al.* (2010). The RI linear model is closest to the lower bound of the mixed inventories, suggesting that soil *RTL* may be the dominant type for which area and volume data are more frequently recorded in the RI.



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Figure 7: The power-law statistics (see section 4.1.5) require area data for a given landslide and in order to achieve this for the RI, the scaling relationship between area and volume was investigated for *LSS* with both area and volume data. This figure shows the relationship (solid line), with the points representing both calculated and given area and volume data within the RI; more than doubling the number of areas available. The scaling relationship, shown here, is compared to data derived from Larsen *et al.* (2010) for global, soil and mixed inventories; this shows that the estimations of scaling exponents derived for the RI are reasonable in relation to other studies. The light grey shading highlights the range of "soil", the darker grey highlights the range of "mixed", with the darkest grey showing the overlap between the two (after Larsen *et al.*, 2010).

Table 5: The number of *LSS* (*n*) given in each source dataset and the associated range of areas (m²) for each; both before (pre AV scaling) and after (post AV scaling) the application of the scaling relationship.

		Pre AV	scaling	Post A			
Source	Given areas (n)	Calculated areas (n)	Min area (m²)	Max area (m²)	Min area (m²)	Max area (m²)	Temporal range
Abele	132	16	55,000	50,000,000	55,000	50,000,000	-
Barcelonette	0	5	-	-	20,502.24	493,301.99	1898 to 1989
BRGM	0	70	-	-	2.91	493301.99	1948 to 2002
Literature	2	0	500,000	1,100,000	500,000	1,100,000	-
GB	0	9	-	-	500.18	2381477.72	1804 to 2010
WSL	74	380	100	1,000,000	7.96	1,000,000	1972 to 2010
Total	208	480					

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327 5.1.3 Temporal range and landslide recording

The temporal resolution of the RI as a whole is highly variable, with some of the oldest landslides being dated to 1248 A.D. (in the BRGM and RTM databases) and 1451 A.D. (Barcelonnette). Despite this long record, the frequency of recording prior to the 1970s is relatively low, with a sharp increase at this time. For example in the BRGM database there were 589 landslides recorded between 1248 and 1969, and 2511 for the period 1970 to 2010 (Figure 8). *Segmented* linear models fitted to cumulative landslide frequency data for the BRGM and Barcelonette datasets show how recording frequency has not increased linearly through time (Figure 8). Conversely, the *RTL* and *complex* classes in the relatively short WSL dataset (from 1972) best fit a simple linear model, suggesting this database has been consistently recorded over time (Figure 8; see also Table 6).

Table 6: Results from the *segmented models* highlighting the timing of the model breaks; shown by source dataset, for each classification of landslide. Highlighted breaks are those indicated by dashed lines in Figure 8. *RTL exc.** gives the output of the *segmented models* in which anomalously high frequency landslide years were excluded (in the case of the BRGM these were 1990, 1994 and 2000, and for the WSL these were 1999, 2002 and 2005; see Figure 8).

Name (cf. Table 3)	BRGM breaks				Barcelonn breaks	ette	WSL breaks			
RTL	1772 1929 197		1973	1	1988	1937 1972		1999		
RTL exc.*	1922		1972		-		1993			
Rock	1782 1922 1973 1986		1986	1849		-				
Slide	1938 1981		81	-		-				
Flow			-		-		1828	1982	1988	2004
Complex	Complex 1898 1972 1989		1989	-		1995				

340 In all cases the segmented models provide a better fit than the linear models as changes in recording frequency are 341 clearly detected (Table 6 and Figure 8). A number of years with anomalously high frequencies of landsliding occur in 342 the latter portion of the record in the BRGM (1990, 1994, and 2000) and WSL datasets (1999, 2002 and 2005); these 343 were removed to test their influence on the segmented models. Following the removal, the BRGM dataset showed 344 an earlier linearity and consistency in recording, from 1972 onwards as opposed to 1988, for RTL. In the case of the WSL dataset, although the removal of these high frequency years resulted in an earlier break year of 1993, the linear 345 346 model provides a good fit to the data suggesting consistent landslide recording from the outset (Table 6). The 347 changes observed in these years require further analysis to determine the cause of such a sharp increase in the 348 numbers of observed landslides. Considering the landslide classes individually, there is a disparity in the recording 349 both within and across the respective source datasets; with differences in the frequency of recording between the 350 different classes of landslide within each dataset. In the case of the BRGM dataset, landslide recording was low prior 351 to the 1940/50's and this is consistent across all classes, with the exception of *complex* which did not see an increase 352 until 1989. Slide and complex classes are not well documented in this dataset however, this is possibly due to 353 discrepancies between terminology used at different times. For the Barcelonette dataset flows are the most commonly recorded class which is evident particularly when compared with the number of RTL and rock classes in 354 355 this dataset (Figure 8). Rock landslides in this dataset show a linearity in recording from 1849, which is much lower

than the other classes in this dataset; being 1972 for *RTL* and 1982 for *flows*. The WSL is maintained for the purpose of insurance and could be biased towards certain landslide classes which are more damaging, fast-flowing or less easy to predict or mitigate; these may naturally be more prevalent in this type of database. For this dataset, *RTL* are the most common class, with *complex* and *flows* making a small proportion of the data, only being recorded from 1980 and 1978 respectively. It is clear from this that the different classes are not being recorded simultaneously within the datasets, and this is potentially an issue for analyses attempting to understand changes in these over time.



Figure 8: Temporal distribution of the three main contributors to the RI at annual resolution. (Top) Histograms of each dataset, for all landslide classes. (Middle & bottom) Cumulative distributions of different landslide classes; each fitted with a linear segmented model (Muggeo 2003, 2008) to highlight breaks in data recording. All show the timing of the last break for each landslide class (given in Table 6; dashed black line). The segmented model for the BRGM and WSL *landslide* classes were recalculated, omitting anomalous years (as per discussion in this section), the last break from these are also included (RTL exc.*; grey lines).

370 5.1.4 Power-law distribution

371 The area-frequency distributions were created following the volume-area scaling using data set out in Table 5. RTL 372 and slides (LSS) were combined as they represent similar physical mechanisms; Malamud et al. (2004) noted that 373 differences in process associated with different landslide classes affect the area-frequency statistics, for example, 374 they have shown the distribution of rockfalls has a simple log-linear trend without an exponential rollover, as is observed for RTL distributions. The analysis shows that the data collated for the RI fit a range of theoretical 375 magnitudes from 2 to 7 (Figure 9), potentially due to the use of a number of different datasets in the compilation. 376 377 Analysis of the main contributors to this distribution (Figure 10) show that the Abele dataset, which includes the largest LSS recorded in the database, is causing the upwards shift in the tail from around 10[°] km². The WSL dataset 378 379 only crosses two magnitudes (from 2 through to 4) and best fits the log-linear tail of the theoretical relationship, although the slope of the line is less than that of the theoretical distribution (Figure 10). All datasets exhibit an 380 exponential-type rollover between 10^{-4.5} and 10⁻⁴ km², which is lower than that of the theoretical distribution, 381 occurring at $\sim 10^{-3}$ km² (Figure 9 and Figure 10). The distributions for the RI, BRGM and WSL, while exhibiting an 382 exponential rollover and a log-linear tail, are underrepresented by medium-sized LSS (between 10⁻⁴ and 10⁻² km²). 383 384 Conversely, the Abele dataset is centred on recording large landslides in the Alps and expectedly underrepresents 385 small- to medium-sized LSS.



386

Figure 9: Showing the theoretical three-parameter inverse-gamma distribution across a range of magnitudes (Equation 2) with calculated *LSS* frequency density (*n* = 688; Equation 3).



Figure 10: Three-parameter inverse-gamma distributions for calculated *LSS* frequency densities for the Abele (*n*=148), BRGM (*n*=70) and WSL (*n*=454) datasets.

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Table 7: Table showing the total *LSS* area recorded in the RI for each of the individual sources. Maximum magnitude was used to estimate the total area affected by *LSS* (based on Equation 4) as the area-frequency distribution is shown to be robust for the RI and therefore *LSS* area is unlikely to be over-recorded. The percentage of data estimated as *missing* is calculated from the discrepancy between recorded and estimated areas.

	Source	Total area recorded in RI (km ²)	Minimum magnitude	Maximum magnitude (ML)	Mean magnitude	Total estimated area (km ²); Equation 4	Data missed from RI (%)
	RI (all)	480.95	2.26	6.74	4.34	16948.18	97.16
ars & ated	Abele	461.60	2.87	6.74	5.36	16948.18	97.28
All ye: unda	BRGM	1.75	1.33	4.52	2.72	102.00	98.29
	WSL	9.57	2.21	4.41	3.42	79.28	87.93
Post 1972	RI (all)	15.11	2.25	4.56	3.56	112.50	86.57
	BRGM	1.42	1.27	4.56	2.45	112.50	98.74

Only considering data in the *complete portion* of the RI (i.e. post 1972 for *LSS*) improves the consistency of the RI data across the magnitudes compared with all RI data (Figure 10 and Table 7). The Abele dataset is automatically excluded as this portion of the RI is part of the undated ~15% (Figure 5), while the WSL dataset is not affected as this it was recorded from 1972. The analysis of this portion of the RI therefore consists of mainly the WSL and BRGM datasets. Including only dated *LSS* results in an increase in estimates of completeness for the RI; partly influenced by the reduction of the estimate of magnitude (Table 7). The low magnitude range shown for the post-1972 RI (Table 7)

Table 7) implies that this portion of time fits most consistently with the theoretical distribution however, while this portion of the RI more closely fits the theoretical distribution, there is an under-recording of *LSS* in the range 10⁻⁴ to 404 10^{-1} km² (Figure 11). Along with the WSL data, the post-1972 RI data show the lowest ranges in magnitude while 405 there is little change between the two estimates of magnitude for the BRGM (Table 7).



406

Figure 11: Showing the area frequency distribution for the post-1972 RI, BRGM and WSL datasets (for *LSS*). We estimate for *LSS* in the RI that between 86% (post-1972) and 97% (for *all* dates) of the area data are missing (Table 7).

409 **6 Discussion**

410 6.1.1 The Regional Inventory

We compiled an inventory (RI) of 7919 landslides in the European Alps; encompassing a range of landslide classes. Several of the databases used omitted a large proportion of important landslide elements such as magnitude, velocity and timing of failure, which are commonly recorded in *modern* databases (Sorriso-Valvo, 2002). We included these metrics where possible however, recording of such data is inconsistent. For example the BRGM include a category for landslide volume (m³), yet only 7.5% of 3836 (a total of 289) landslides downloaded for the RI included volume data. Despite these discrepancies, the post-1972 portion of the RI follows the theoretical frequency distribution (Figure 11) and is arguably representative of the region.

There is little consistency in recording different types of landslide across the RI through time (Figure 8). If we assume that a linear fit to the cumulative frequency of different landslide classes over time means that there is uniformity in recording, then we might expect to see the different datasets recording similar proportions of each class at similar times. This would result in consistent breaks across all landslide classes in the segmented models (Table 6 and Figure 8) however, this does not happen across all databases. Reasons for this may include that over the long temporal record of the RI, definitions have changed or there have been changes in how landslides are recorded. This premise cannot be fully tested at this stage as only the relatively short WSL dataset allows for this further interpretation of landslide classes (mvmt2/mvmt2_cd; Table 2) due to there being additional notes which include descriptions of the event. To align the RI with the classes defined in Table 3, a number of the landslides in the WSL were re-defined however, from this, a recording bias due to a change in definitions was not apparent over time.

428 A self-similar scaling relationship exists between landslide area and frequency, which manifests a log-linear trend in 429 the tail of the power-law distribution; we have demonstrated that this relationship is present for RTL in the RI 430 dataset (Figure 9) however, contrasting approaches to database collation result in a bimodal frequency distribution 431 for the RI (Figure 10). A post-1972 analysis shows that this portion of the RI provides the best fit to the theoretical 432 distribution and the lowest range of magnitudes (Figure 11 and Table 7). The main contributors to this post-1972 433 portion of the analysis were the WSL and BRGM datasets (Figure 10). At this point the Abele dataset is omitted from 434 analyses as landslides included in this dataset remain undated, whilst also being biased towards larger landslides (Figure 10). We highlight that a result of "no landslides" means that no landslide has been recorded, not that no 435 436 landslide has occurred. This again potentially introduces a bias towards very large landslides (as is common in 437 historical datasets) and towards those which have resulted in damage to life, infrastructure and property. The WSL, 438 being an insurance inventory, only records landslides when a claim is made however, RTL recorded in this portion of 439 the RI best represent the theoretical three-parameter inverse-gamma distribution (Table 7). In all cases, for the post-1972 portion of the RI, RTL between 10^{-4} and 10^{-1} km² are under-recorded (Figure 11) however, the 440 441 compilation of different datasets still provide a robust and comprehensive inventory, closely matching the 442 theoretical; we deduce that this portion of the RI is therefore applicable for use in susceptibility studies and hazard 443 assessments.

444 6.1.2 Consistency between inventories

The format of each of the available online databases differs as each is maintained by different organisations. For instance, the interface of the online GB database involves using links to both academic and internet-based publications; requiring methods of extraction similar to that of the *literature search* (c.f. section 4.1.1). Conversely, the BRGM has a user-friendly interface, whereby data can be downloaded individually or by region to a Comma 449 Separated Value (.csv) or Microsoft Excel format. Differences in language and classification schemes between 450 inventories also reduce comparability, specifically the terms used in each to describe different classes of landslide. 451 The WSL dataset for example, does not discriminate between RTL and saturated or hyper-concentrated flows, 452 although the trigger mechanisms differ; this lack of discrimination reduces the applicability of the dataset for climate change research as distinctions between these are vital in understanding relationships between cause and effect. 453 This reinforces the need for a unified classification system such as that developed for the RI. Construction of a 454 455 regional scale inventory is difficult due to these differences in language, terminology and data access across the Alps, 456 supporting the need for interdisciplinary and international collaboration to develop and maintain such datasets in a 457 unified language amongst different agents across the Alps and Europe.

458 In the past it has been difficult to attribute changes in the frequency of landsliding to climate change as the 459 recording of landslides through time has been inconsistent (Figure 8). This lack of consistency in recording of the 460 data restricts attempts to attain a long-term perspective on the frequency of an event, as it can be difficult to 461 distinguish a real increase in frequency from an increase in recording frequency. The temporal range of the RI is 462 relatively short in terms of the *well-documented* (i.e. post-1972) portion of the dataset (Figure 8). Breaks in the 463 temporal record were identified for the RI by the application of the segmented model (Table 6 and Figure 8). These 464 breaks could arise due to a number of factors including land-use change, mitigation works, inconsistencies in 465 recording, and changes in people's perception of risk. The BRGM dataset began development in 1994 (BRGM, 2013b) and one might expect to see an increase in the frequency of recording after this time as a result however, 466 this is not reflected in the breaks seen in the dataset, suggesting that the dataset is affected by other unidentified 467 influences. These all serve to obscure relationships between landslides and climate change however, we have 468 469 shown that creating a unified database (RI) can increase the reliability of identification of these breaks and 470 consistency in recording.

471 **7 Conclusion**

Landslide inventories and databases have the potential to enable us to develop a better understanding of landslide patterns across regions and through space and time. These preliminary analyses of a newly collated database for the European Alps demonstrate the potential information which can be drawn from a variety of sources. As the RI is by 475 no means exhaustive, we hope that this highlights the need for collaboration between researchers and agencies 476 across the European Alps. With increased input and research into these collations, a greater understanding of the 477 observed spatial and temporal patterns of landsliding can be gained. A number of publications (e.g. Soldati et al., 478 2001) have distinguished changes in the climate as being influential in determining the occurrence of landslide 479 clusters. Therefore, greater emphasis on extending records back through time and improving historical datasets in 480 the European Alps is important for attributing changes in landslide frequency and size to changes in the climate of 481 the region. Historical databases can offer insights into understanding changes in landslide size and spatial 482 distribution through time, facilitating future research and predictions in regions such as the Alps for the insurance 483 market and for policy makers.

484 The primary aim of this research was to construct a unified database for the European Alps to include both long-485 term records and all relevant metrics (Figure 3) to enable a clear indication of the spatial and temporal patterns of landsliding over the 20th Century. Our results show that we were successful in this for the post-1972 portion of the 486 487 data; firstly through the evidence from the power-law distributions (Figure 9 and Figure 10) and secondly from the use of segmented models (Figure 8). This shows that it is possible to improve records by collating and analysing 488 existing datasets; which can be greatly facilitated through interdisciplinary and international collaboration. The date 489 490 of initiation, landslide size and its classification are all important metrics to be included. Current increases in 491 temperature (e.g. Böhm, 2001; Büntgen et al., 2006) and precipitation variability (e.g. Casty et al., 2005) across the 492 European Alps, combined with future climate projections, necessitate an understanding of the relationships between 493 climate change and landsliding for policy makers and planners therefore, the construction of this database is an 494 important step towards analysing this relationship and offering future predictions.

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Landslide area (km^2)











Volume-area scaling



Area (m^2)

Volume (m^3)



Area (m^2)



Year

Year

Year



Area (km^2)



Figure



Area (km^2)