

1 **Floor vibration serviceability in a multistory factory building**

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38 **Abstract**

39 Experimental and analytical modal analysis and in-operation vibration measurements were  
40 performed on the massive concrete structural floors of several structurally connected ‘units’  
41 of a six-level, multi-tenant industrial complex with total floor usable area exceeding 0.1km<sup>2</sup>.  
42 The aim of the systematic study was to characterise vibration sources and factors that affect  
43 vibration serviceability, which is a major concern when changing usage patterns lead to  
44 conflicting requirements for vibration generation and tolerance for different types of  
45 industrial/commercial user. This was a rare investigation aiming to provide information on  
46 specific performance and relevant technologies for occupancy decisions by tenants and  
47 building management of similar structures.

48 Floors evaluated were within different types of industrial single-occupant unit stacked up to  
49 six levels and having multi-bay floors with spans up to 12m with first vibration mode  
50 frequencies greater than 8Hz. These ‘high frequency floors’ display typical transient response  
51 behaviour to footfalls, with response levels controlled by modal mass.

52 Units were studied in typical operational conditions including warehousing, instrument  
53 assembly and testing, light electronic/mechanical manufacturing and machining. Vibration  
54 sources included internal and external vehicles, human footfalls and machinery.

55 The study showed the most onerous form of loading to be forklift trucks and that higher level  
56 floors of the same type were least serviceable. Experimental modal analysis showed a  
57 surprising range of modal properties for nominally identical floors of the same type and the  
58 relevance to performance of modal mass.

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## 60 **Vibration serviceability of industrial floors in Singapore**

61 In Singapore and other rapidly developing economies in Asia, small local and foreign  
62 companies representing a wide range of industries from light manufacturing through to  
63 precision electronics are concentrated in multi-tenant industrial parks such as the one studied  
64 in this paper. These are often very large single structures with spacious units at several levels  
65 and allowing for direct vehicle access. With a shift away from more traditional  
66 manufacturing industries to light high-technology fabrication, testing and services, changes in  
67 usage result in changing vibration serviceability requirements which may conflict with  
68 vibration generating activities of neighbors. To avoid such conflicts, some form of vibration  
69 rating could be used to inform potential tenants. An ideal candidate is the vibration criteria  
70 (VC) rating system used in design of facilities accommodating vibration-sensitive test or  
71 manufacture equipment e.g. for microelectronics production.

72 Following the collapse of the Hotel New World in Singapore in 1986 (SCOSS, 1988), local  
73 building designs have tended to be conservative and face very strict legal requirements on  
74 construction and safety. While structural safety is properly addressed, vibration serviceability  
75 is usually assumed to be satisfactory, which is typically the case with the heavy cast in-situ or  
76 precast concrete construction typically used for industrial parks. The result is that vibration  
77 serviceability assessments of the massive and stiff floors will rate them as ‘high frequency’  
78 i.e. not capable of experiencing resonance due to human footfalls (Wyatt, 1989, Pavic and  
79 Willford, 2005).

80 However, even for such high frequency floors, good vibration performance is not guaranteed  
81 and problematic vibration performance does occur. Unfortunately due to commercial  
82 sensitivities, studies of specific structures published in peer-reviewed journals that describe

83 both vibration measurements and modal testing are scarce and provide little detail  
84 (Brownjohn & Pavic 2006). What is available mostly relates to generic design and  
85 performance of microelectronics facilities (Amick et al., 1991).

86 Much more is known in relation to vibration performance of high profile structures like  
87 footbridges (Dallard et al., 2001), stadia (Rogers & Thompson, 2000) and gymnasia (Rainer  
88 & Swallow, 1986) and there is a comparative wealth of literature on the effect of high speed  
89 and underground railways on building vibrations (Xia et. al, 2009). This paper is a rare  
90 opportunity to report on vibration performance of a complete multi-use building, the means  
91 for assessing it, the factors affecting it and the implications for occupants.

## 92 **S1 Complex**

93 The S1 complex (Figure 1-3) is a structurally connected array of two-level industrial units  
94 stacked up to a total of six levels (plus mezzanines) that in Singapore is sometimes referred to  
95 as a stack-up factory (Pan and Mita, 2001). In this example there are 90 two-level industrial  
96 units with a total usable floor area over  $0.1\text{km}^2$  arranged over six levels in blocks structurally  
97 and logistically connected by spiral ramps and wide access roadways. These provide heavy  
98 vehicle access to the first, third and fifth levels i.e. to the lower levels of each unit.

99 S1 is divided into T1000, 42 T2000, and 24 T3000/5000 units, the numbers indicating the  
100 gross floor area for each unit. T1000s are arranged in two terraces each with twelve units (left  
101 side of Figure 1) and T2000 units are arranged in seven blocks of semi-detached (adjoining  
102 pair or duplex) stacked units (top row of units in Figure 1). The T5000/3000 units are  
103 arranged in four blocks of two adjacent but detached (stand alone) stacked units (lower row  
104 of units in Figure 1). These are the largest units, with footprint of  $74\text{m}\times 36\text{m}$  and comprise a



105 two-level T5000 unit with two smaller footprint two-level T3000 units stacked on top.  
106 T3000s lose interior area to an external car park at lower levels (3 and 5) only.

107 Figure 2 shows a vertical section through a T5000+T3000+T3000 six-level stack in the long  
108 (74m) axis of the units, with the driveways shown at the right.

109 While the unit type (1000/2000/3000/5000) refers to the nominal gross floor area in m<sup>2</sup> over  
110 the two levels, the maximum usable floor space is somewhat less. For example T5000 usable  
111 area at ground level is 2100m<sup>2</sup>.

112 Column heights range from 6.2m to 8.4m, with spans and bay sizes depending on type. In all  
113 units, continuous reinforced concrete columns support one-way spanning main beams, with  
114 1.2m wide precast pre-tensioned hollow core planks of varying depth forming the floors.

## 115 **Floor details**

116 Eight of the 90 units were available for evaluation of vibration serviceability, subject to  
117 occupant permission and suitability. Both levels in two of the units were assessed, providing  
118 a total of ten floors, each of which comprised multiple bays. Two T1000 floors were also  
119 studied but due to their unremarkable (and satisfactory) performance they are not reported  
120 here. In fact such units should be the best choice for vibration sensitive activities.

121 Table 1 summarises the ten floors and their usage while Figure 4 shows examples in  
122 operation and/or during testing. To identify the floors, the first number is the unit type, the  
123 second number is the roadway level (1, 3 or 5) and the letter L/U indicates lower or upper  
124 level. To provide a unique identification a tenant number is appended. Hence 2000-5U-6 is  
125 the upper floor of the T2000 unit having street address identified as level 5 and occupied by  
126 tenant 6.

127 Table 1 also provides performance information discussed in the paper e.g. the experimentally  
128 observed natural frequency of the lowest vibration mode or modes relevant to the response  
129 observations. The nature of ‘low modes’ and ‘high modes’ is described later, but all modes  
130 have frequencies exceeding 9Hz, and display transient rather than resonant response to  
131 footfalls. This type of response is the defining feature of a ‘high frequency’ floor (Brownjohn  
132 & Middleton, 2009).

133 Since all but one of the units reported here are T3000 and T2000, architectural plans of  
134 exemplar units are provided in Figure 5 and Figure 6.

135 The T3000 lower floor (Figure 4b and Figure 5) has internal 6m and 18m bays spanned by  
136 1.2m deep main beams at 7.5m intervals (partial detail of Figure 15). The external car park  
137 for levels 3 and 5 (the lower of the two levels in each T3000 unit) is a 12m span that is usable  
138 internal floor space in T5000 units that take levels 1 and 2. Precast (typical) 0.3m deep ×  
139 1.2m wide precast hollow core planks span the 7.5m bays between main beams, and 80mm  
140 concrete topping incorporating welded mesh reinforcement provides continuity throughout.  
141 Concrete is typically Grade 50.

142 For T2000 units (Figure 6 and Figure 3b) the orientation of main beams and planks is rotated  
143 90° compared to T3000. Main beams are typically 1.1m deep and span 12m or 9m while  
144 (typical) 0.38m × 1.2m planks span up to 12m.

145 External walls are masonry construction and are expected to provide limited vertical  
146 constraints to main beams while full height partitions were not used in any of the units tested.  
147 Hence the floors should behave structurally as systems of one-way beams continuous over  
148 column supports with simply supported planks acting as secondary beams and topping  
149 providing some composite action in both directions.

## 150 **Dynamic testing and experimental modal analysis (EMA)**

151 Modal tests, of eight of the ten floors, used single input/multiple output (SIMO) procedures  
152 (Ewins, 2000) referenced in UK design guidance for floor vibration serviceability (Steel  
153 Construction Institute 2009). This approach was chosen due to reliability required for the  
154 (ultimate) commercial application of the testing. In each case the roving hammer procedure  
155 was used, where accelerometers remained at fixed locations as an instrumented hammer was  
156 roved around test (measurement) points on the floor. A battery operated system comprising a  
157 four channel 24-bit NI USB-9233 driving three Endevco 7754-1000 IEPE accelerometers and  
158 an instrumented PCB hammer was used to provide sufficient data for modal analysis  
159 including partial mode shapes.

160 In addition, a detailed investigation of 2000-3L-4 to recover a full set of mode shapes over all  
161 bays used a long stroke shaker (APS400), Data Physics Quattro 4-channel 24-bit spectrum  
162 analyser and four Allied Signal QA700 servo-accelerometers.

163 The global rational fraction polynomial (GRFP) method (Richardson and Formenti, 1982) for  
164 system identification implemented in MODAL software (Brownjohn et al., 2001) and  
165 ME'scope software (Vibrant Technology Inc., 2003) was used for the shaker test data. GRFP  
166 and circle-fitting (Ewins, 2000) implemented in MODAL were used for the for hammer test  
167 data.

168 Frequency response function (FRF) measurements from all tested floors are summarized in  
169 Figure 7 plotted as absolute values of the inertance. Inertance (also known as accelerance) is  
170 the ratio of acceleration to force and has units of  $\text{mass}^{-1}$  and the examples in Figure 7 are the  
171 case where the excitation and measurement point are at the same location (or driving point) in  
172 the middle of a bay where mode shapes are expected to be largest.

173 System identification by GRFP curve fitting provided estimates of mode frequency, damping  
174 and mass, with numerical values summarised in Table 1.

175 What is immediately obvious from the two plots of Figure 7 is the varied performance of the  
176 nominally identical T2000 floors, despite their nominal similarity, compared to the  
177 similarities in the T5000/3000 floors. Also, while all floors exhibit first mode natural  
178 frequencies in the range 9-13Hz (most floors clearly show more than one mode in this range),  
179 the T5000/3000 floors have a cluster of modes in the 30-40Hz range with high point mobility  
180 values. These features are linked with modal mass values, for example the 30-40Hz  
181 T5000/3000 modes have modal masses between 28 and  $45 \times 10^3$  kg while the T2000 modes  
182 range from 45 to  $180 \times 10^3$  kg, with 2000-3L-4 having first mode masses at least twice those  
183 of the other two T2000 units. Some explanation for the two types of T5000/3000 mode and  
184 background on the T2000 performance is provided through finite element modeling.

185 Modal mass and damping are the most difficult parameters to identify, in particular  
186 experimental modal mass values are rarely reported, and the values presented are best  
187 estimates from a combination of system identification approaches (GRFP and circle fitting).  
188 Damping estimates are only narrowly spread for T2000 units (2.4% to 3%), similarly, but in a  
189 slightly higher range for T3000 units (2.9% to 3.8%). The lone T5000 unit has the lowest  
190 damping estimates and this is the only unit with logged complaints about floor vibrations.  
191 Generally the damping levels are consistent with values widely used in design for vibration  
192 serviceability and are unlikely to include contributions of non-structural elements that are  
193 dwarfed by the scale of the structures. As the modes occur at high frequencies and damping is  
194 not low the damping estimates should be biased significantly by classical signal processing  
195 effects of poor frequency resolution and there is no trend in values with frequency.

## 196 **Vibration transmissibility between lower and upper levels within a unit**

197 Within a stack of units there is a suspicion that vibrations can be transmitted between  
198 adjacent levels. In an experiment to check this, hammer testing was carried out on lower and  
199 upper levels of 2000-5L/U-6. The force was applied in the mid point of a 12m × 12m panel in  
200 the lower level only and the response recorded simultaneously at the same location (to obtain  
201 point mobility) and in the corresponding position on the upper floor (for transfer mobility).

202 Figure 8 shows the mobility (inertance) functions between the two floors and the hammer  
203 force. The two floors are far from independent: inertances are reduced by just over 50% for  
204 the upper level, and also the two floors move in antiphase, consistent with global mode  
205 involving column flexure. The frequencies given in Table 1, recovered from these functions,  
206 are the same, with no separate hammer testing on the upper level.

## 207 **Finite element modeling (FEA) and analytical modal analysis**

208 To provide insight to the dynamic behavior, several strategies were evaluated for a-posteriori  
209 finite element modeling to reconcile the experimental performance with structural  
210 characteristics. Initial models represented the one way spanning 1.2m wide planks and their  
211 much weak flexural rigidity in the transverse direction using orthotropic plate elements, but  
212 this approach could not reproduce adequately the observed dynamic characteristics. The  
213 grillage-only representation that worked best and which is briefly described here represented  
214 the cells of a hollow core unit in the main spanning direction as longitudinal beams with  
215 weaker transverse stiffness represented by small mass-less beams continuous through  
216 adjacent planks. Heights of both beam types were adjusted to include the structural effect of  
217 the topping.

218 For convenience, as shown in Figure 9, the beams along directions 2 and 1 are defined as  
 219 primary beams and secondary beams, respectively. The width of a primary beam (direction 1)  
 220 is:

$$b_1 = \frac{L_1}{N_h} \quad (1)$$

221 where  $N_h$  is the number of holes on the cross-section of a unit of hollow core slab. Thus, the  
 222 moment of inertia of the primary beam is:

$$I_1 = \frac{1}{12} b_1 h^3 - \frac{1}{64} \pi d^4 \quad (2)$$

223 Assuming the transverse properties of the hollow core slab to be represented by  $N_s$  secondary  
 224 beams, with  $N_s$  chosen for convenience, the width of the secondary beam is:

$$b_2 = \frac{L_1}{N_s} \quad (3)$$

225 The non-uniform moment of inertia of a secondary beam due to the holes is taken as the  
 226 average of the two extreme cross-sections:

$$I_2 = \frac{1}{2} \left[ \frac{1}{12} b_2 h^3 + \frac{1}{12} b_2 (h^3 - d^3) \right] \quad (4)$$

227 Hence, the depth of the equivalent solid secondary beam is:

$$h_e = \sqrt[3]{h^3 - \frac{1}{2} d^3} \quad (5)$$

228 To take the integral layer of topping (thickness  $h_t$ ) into account the heights of both primary

229 and secondary beams are further adjusted to  $h_p$  and  $h_s$ , which are given by Eq. (6) and Eq.  
230 (7), respectively.

$$h_p = h + h_t \quad (6)$$

$$h_s = h_e + h_t \quad (7)$$

231 The material of the two types of beams is assumed to be linear elastic and to take account of  
232 the steelwork, hence an equivalent Young's modulus of a reinforced concrete section is  
233 computed based on the following equivalence:

$$E_{RC} = \frac{A_C E_{Cq} + A_S E_S}{A_C + A_S} \approx E_{Cq} + \frac{A_S}{A_C} E_S \quad (8)$$

234 where  $A_C$  = Area of concrete cross-section,  $A_S$  = Area of the cross-section of rebars,  $E_S$  =  
235 Young's modulus of steel and  $E_{Cq}$  = Dynamic Young's modulus of concrete. Uncracked  
236 properties were used in the modeling, an approach used in vibration serviceability assessment  
237 of footbridges (Highways Agency, 2001).

238 Using ABAQUS, models of the different floors types tested were created, having first  
239 checked that models including all six levels but with low resolution did not result in  
240 significant changes in predicted modes. The final models were limited to a single level with  
241 fixed-ended half columns above and below, with no contribution from non-structural walls.

## 242 **Comparison of EMA and FEA modal characteristics**

243 The aim of the FEA was to expand the limited picture of dynamic behaviour revealed by the  
244 modal testing. While it was possible to estimate mode shapes experimentally for a relatively

245 fine grid covering most of 2000-3L-4 floor area, for other units the time and operational  
246 constraints restricted FRF measurements to a few points along bay midlines covering full  
247 length and width of the unit in one or both directions. Because of incomplete matching of  
248 nodes between test and analysis, and because of the relatively small number of clearly  
249 identified and relevant modes, matching was done visually. Modal assurance criterion  
250 (Ewins, 2000) does not provide additional insight with the type of mode shape evident with  
251 these floors and was not used. Modal mass was available only for experimental modes.

### 252 **T2000 floors**

253 Figure 10 shows the excellent correspondence among the first two modes identified by FEA  
254 and EMA, both characterised by motion in the wider bay with 12m-spanning hollow core  
255 slabs. The node lines correspond with (dashed) column-lines indicated in the right hand unit  
256 of Figure 6 and point A in the figure is also indicated in Figure 6.

### 257 **T5000/3000 floors**

258 Figure 11 shows the two lower frequency FEA modes for 3000-3L-8 along with EMA  
259 frequencies. The partial experimental mode shapes (not shown) compare well with the FEA  
260 shapes and indicate that these modes engage the whole 18m bay with half-sine pattern in  
261 main beam direction and increasing number of nodal points in the 7.5m bay direction. EMA  
262 shows that the jump to lower modal mass for modes above 30Hz occurs when 18m main  
263 beams appear to accommodate a whole sine wave mode shape.

264 Even with the constraints of partial experimental mode shapes it is clear that the FEA  
265 reproduces the nature of the experimental modes well enough. This means that the dynamic  
266 performance of the floors is an apparently simple result of the principal bending  
267 characteristics of the main beams and planks.



268 Although mode shapes and frequencies correspond, there is a problem with the modal (or  
269 generalised) mass estimate for unit 2000-3L-4 being much larger than values for other T2000  
270 floors. Experimental estimates for the two lower modes obtained from the GRFP procedure  
271 for both hammer and shaker testing agree with each other and with estimates from simpler  
272 circle-fitting and are consistent with the lower peak in the FRF of Figure 7.

273 An estimate of experimental modal mass (and likewise analytical mass, due to similar mode  
274 shape) can also be found using the area integral of squared mode shape ( $\phi^2$ ) scaled by mass  
275 density of the various structural components. Using unit-normalised mode shapes i.e. with  
276 maximum amplitude 1.0 (Brownjohn & Pavic, 2007) provides an estimate of  $63 \times 10^3$  kg. This  
277 value is far lower than the experimental estimate but is close to experimental modal mass  
278 estimates for other T2000 floors. There is no obvious explanation other than hidden structural  
279 features specific to this unit, and there have been no further opportunities for experimental or  
280 analytical investigation. The large modal mass is reflected in relatively good performance of  
281 this unit in the operational performance evaluation now described.

## 282 **Operational performance measurement and evaluation procedure**

283 The main aim of the exercise was to provide a reference study on vibration levels of high  
284 frequency industrial floors according to usage, with interpretation through modal properties.

285 Hence response measurements were made for the following conditions:

- 286 • Usual operation with a range of excitation sources including machinery and, in  
287 particular, forklift trucks.
- 288 • Controlled walking along paths such as indicated in Figure 5 and Figure 6 with  
289 prompting by metronome at specific pacing rates to allow direct comparison between  
290 units.

- 291       • Ambient response without machinery or pedestrian movement.

292 Measurements were made using the battery-operated system and data are presented in  
293 different forms, depending on which best describes the levels and character of response  
294 variation in time and frequency:

- 295       • Time series of accelerations, useful for showing absolute levels and for characterizing  
296 response due to walking and certain types of machinery,  
297       • Power spectral densities varying with time and frequency (3D spectrograms) and  
298       • One-third octave spectra of narrow-band root mean square (RMS) velocities,  
299 providing a standard performance metric by which all floors can be compared.

### 300 **Response to forklift trucks (FLTs)**

301 Information about effects of FLTs on floors is sparse, so far mostly appearing as doctoral  
302 studies in relation to low frequency floors (Eriksson, 1994; Ehland, 2010; Ehland et al.,  
303 2009). To add to this, example responses are presented for three different FLTs on three  
304 T3000 floors. Response is shown as time series and as peak-hold one-third octave spectra.

305 One-third octave spectra of velocities are typically used to describe and prescribe vibration  
306 environments for sensitive machines and instruments. RMS velocities are determined within  
307 one-third octave frequency bands having centre frequencies and bandwidths progressing  
308 approximately as  $2^{n/3}$  (American National Standards, 1986) but taking preferred values e.g.  
309 2Hz, 2.5Hz, 3.15Hz, 4Hz etc. The RMS values are usually obtained via discrete Fourier  
310 transforms (DFTs) of T second acceleration records converted to velocity at each spectral  
311 line. Squared line amplitudes within a band are summed and RMS values presented for all  
312 bands. Spectra can be shown as peak hold (maxima in each band over successive blocks) or  
313 as averages (in the RMS sense) over a complete multi-block record. Figure 12 shows

314 time series and corresponding spectra for FLT activities on three floors. Vibration criteria  
315 (VC) are represented as lines identified in Figure 12a defining (above 8Hz) constant levels  
316 the lowest of which that envelopes all RMS values classifies the vibration performance of the  
317 floor, a process is described e.g. by Brownjohn & Pavic (2006). Above 8Hz the VC lines  
318 form a 2<sup>n</sup> geometric progression from the lowest (VC-E) at 3µm/second to the highest (VC-  
319 A) at 50µm/second, with VC-C at 12.5 µm/second indicated as a thick line in the plots. The  
320 VCs and their application are defined by Amick et al., (2005). VC-E (not achieved by any of  
321 the floors studied) is the most stringent class specified for optical systems such as long-path  
322 lasers requiring ‘extra-ordinary dynamic stability’. An additional line at twice VC-A is the  
323 ISO-2631 base curve at 100µm/second (ISO, 2003) used as the vibration limit for hospital  
324 operating theatres. Only one of the three FLT response examples is completely bounded by  
325 any of the VC/ISO lines.

326 In the first FLT example, a diesel powered Toyota model 25 was driven along WP1 in floor  
327 3000-3L-7, which is identified in Figure 5. This floor has a smooth epoxy surface and the  
328 FLT apparently used pneumatic front tyres. The resulting response is strong across a wide  
329 band of frequencies, as shown in Figure 12a, including a significant component at the first  
330 (floor) mode frequency, 10.4Hz, leading to a rating >ISO: 10Hz.

331 The second FLT studied was a small electric Komatsu 15R unit used on the upper level  
332 (3000-3U-7) of the same unit. The response for movement of this vehicle along WP1 is  
333 shown in figure 12b. Again, this is a smooth floor, and the response was mainly in the first  
334 floor mode (9.5Hz) with a rating ISO: 10Hz, 20Hz.

335 The third FLT (Figure 4b) studied was an electric Toyota machine that was progressively  
336 moving loaded pallets from inside floor 3000-3L-8 to the structurally connected external car

337 park (right hand side of Figure 5). This floor surface is unsmoothed concrete, with a  
338 construction joint in the car park. Figure 12c shows a response measurement during a single  
339 round trip of the FLT, including the sharp transients while passing the joint. This is the  
340 strongest response recorded during the measurement campaign, with the FLT clearly exciting  
341 a number of vibration modes. The response massively exceeds even the ISO limit ( $\gg$ ISO:  
342 10Hz) with 1 mm/second RMS velocity.

343 VCs values for the three FLT examples based on the peak hold spectra are summarized in  
344 Table 1 column 10, with the frequency for the governing RMS (closest to VC envelope)  
345 given in the table.

#### 346 **Response to fixed machinery within unit**

347 Few of the units tested operated heavy manufacturing machinery, and the example shown is  
348 for the two folding presses visible in Figure 4a for which Figure 13 shows time series and  
349 peak hold one-third octave spectra. The strong accelerations are short-lived transients but  
350 reach peak levels similar to the worst case FLT response. In this case the shape of the  
351 spectrum neatly shows that both low and high modes are engaged, and that the lower modal  
352 mass of the high modes does not result in higher velocity response.

#### 353 **Response to controlled walking (footfall)**

354 The common denominator and performance benchmark among the measurements is expected  
355 to be the standard walking test, with a 100kg pedestrian. For T2000/3000/5000 floors the  
356 walking test comprised a sequence of round trips along a designated walking path at specific  
357 pacing rates from 90 to 144 paces or beats per minute (bpm), increasing by 6bpm each round  
358 trip, and prompted by a metronome. Note that most vibration qualification exercises do not

359 require walking faster than 120bpm (a brisk 2Hz pacing rate). The walking paths are  
360 indicated on the exemplar floor plans, Figure 5 and figure 6. The responses are examined in  
361 some details as they represent response to a standard loading in a narrow range of discrete  
362 multi-harmonic frequencies. They are presented in Figure 14-19 and the VCs are summarized  
363 in Table 1 column 11. Effects of strong machinery-induced transients not due to walking are  
364 deliberately excluded from the peak hold values.

365 Figure 14 shows a short time series sample of response in 3000-3L-8, the most intensely  
366 studied T3000 floor, which behaves very well as a high frequency floor, with the short-lived  
367 footfall-generated transients superimposed on the background ambient response. The  
368 maximum response occurs elsewhere in the time series when the first mode at 10.5Hz is  
369 excited by the sixth harmonic of walking at 102bpm, and the performance just fails VC-A  
370 (ISO: 10Hz).

371 Floor 3000-3L-7 footfall response (not shown) is also impulse-driven but heavily  
372 contaminated by other effects in this busy industrial unit and the rating is just within VC-A  
373 with a strong 10Hz band (corresponding to first vibration mode). The upper level 3000-3U-7  
374 has very similar performance and is also VC-A.

375 Figure 15a is the spectrogram of response at the expected most lively point in 5000-1U-10, in  
376 the vicinity of reported perceptible vibration response suspected by the tenant to be due to  
377 worker footfall. The floor quasi-static response to the harmonics of the (increasing) pacing  
378 rate is clear. Apart from the sharp lines after 600 seconds (that are clearly due to machinery),  
379 the strong broadband response from 350-550 seconds seems to have the same transient  
380 character but is not in time with the footfalls. Close examination of the time series (Figure  
381 15b) shows that the strong response has a different character to the footfall transient.

382 Observation of the testing equipment used by the tenant showed these not to be the cause, and  
383 given the transmissibility illustrated in Figure 8 a possible cause could be a tenant of a unit  
384 higher up the stack.

385 For empty floor 2000-5U-5 (Figure 16), responses are partially obscured by the steady  
386 background vibrations in first mode at 12Hz that are clear in the 3D spectrogram. Response is  
387 only shown for a point in the bay containing WP1 (Figure 5) for which response in first mode  
388 (12Hz) is strongest due to forcing at the fifth-harmonic of the fastest achievable pacing at 144  
389 bpm. This is partly because effective impulse increases with pacing rate (Pavic and Willford,  
390 2005) and partly because there is there is a small component of resonant response due to the  
391 fifth harmonic of the pacing rate. The response after 550 seconds is due mainly to walking  
392 along WP2 and the rating is ISO solely due to the 12.5Hz band.

393 Figure 17 for floor 2000-5L-6 shows response levels that clearly increase with pacing rate  
394 (larger effective impulse). The result is a rating of VC-A solely due to the first mode  
395 response, which occurs in the 12.5Hz band. The free decay from transient excitation is  
396 clearest in this example, as illustrated in Figure 17b) for the 98bpm pacing rate.

397 Figure 18 shows response in the heavily studied 2000-3L-4, which also behaves as a high  
398 frequency floor for footfall, but only the first cycle of response due to each footstep (WP1,  
399 Figure 5) is noticeable above the background noise. The rating is VC-A solely due to steady  
400 noise at 19Hz, which falls in the 20Hz band. The noise source is unknown (the unit is  
401 completely empty) but given the transfer mobility result from Figure 8 it is possible the  
402 source could be in the unit below which could not be accessed. Footfall has little effect on  
403 this floor and the first mode is only weakly excited, which is consistent with the anomalous  
404 high modal mass.

405 Finally, Figure 19 shows response to footfall in the only large ground level floor studied,  
406 2000-1L-3. Being slab-on-grade, no modes were observed in the 10-40Hz and the one-third  
407 octave spectrum is uniform with mild enhancement at 10Hz, for VC-D rating. There are no  
408 machines in this unit, but on occasion (not during the walking) the ambient response reaches  
409 VC-B due to a strong signal at 10Hz from the structurally connected neighbouring unit that  
410 uses heavy manufacturing machinery.

### 411 **Ambient response**

412 Ambient vibration levels were obtained for all ten floors in terms of one-third octave velocity  
413 spectra during periods without specific vibration sources such as machinery, vehicles or  
414 heavy footfall i.e. the background noise for a unit, but obtained at the most lively point on the  
415 floor, such as mid-bay. RMS averaging was used with T=10 seconds (Eriksson 1996) and  
416 ratings are shown in Table 1 column 9.

417 For the largest floor tested (5000-1U-10, Figure 4d), the average floor vibration performance  
418 is (just) VC-A due to steady machinery-induced vibration in the 12.5Hz band illustrated in  
419 Figure 15.

420 All four T3000 floors have governing ambient response in the 10Hz band due to the first  
421 floor vibration mode. For lower and upper floors of 3000-3L/U-7 performance is at VC-B  
422 due to broadband response around the floor first mode. Even though mode properties are  
423 similar in either floor, 3000-3U-7 is a whole vibration class 'worse' than the lower floor.

424 The performance for 3000-3L-8 (Figure 4b) is VC-C. This floor is used as a warehouse and is  
425 otherwise empty.

426 Floor 3000-5L-9 (Figure 4c) is the highest of its type tested and also the worst performing,

427 with peak hold worse than ISO. This is surprising given that the precision machining  
428 operations in this unit in operation would normally require a low-vibration environment.

429 All four T2000 floors have either weak or no internal vibration-generating activities and  
430 average response is VC-B. For 2000-3L-4 ambient vibration, maximum at 20Hz is almost as  
431 strong as walking (VC-B vs. VC-A), consistent with the unusually high modal mass values  
432 obtained by EMA. For other T2000 units response at the first mode frequency is largest. For  
433 the 2000-5L/U-6 pair response is slightly stronger in the upper level.

### 434 **Summary of performance**

435 Based on the set of measurements and the summary of Table 1, the following observations  
436 can be made about the relationship of vibration performance with factors such as type and  
437 size of unit, location, and sources of vibration.

438 In terms of vibration performance, there is little to choose between T3000 and T2000 floors  
439 whose ambient response is governed by differing factors. The largest floor (5000-1U-10,  
440 Figure 4d, Figure 15) performed worst, with no obvious internal cause. Otherwise, floors at  
441 higher levels appear to have stronger background vibration levels and floors at ground level  
442 had the lowest vibration levels for all forms of internal excitation.

443 Forklift trucks produced by far the worst effect among all the machinery-induced responses  
444 by an order of magnitude, judged by performance in 3000-3L-7 and 3000-3L-8, with worst-  
445 case velocities reaching 1mm/second. Best strategy for mitigating such effects is evidently a  
446 smooth floor free of construction joints. Transient loads by other machinery such as metal  
447 presses distribute energy across the spectrum so modal response is limited.

448 Although not described due to lack of space, from observing vehicle movements and



449 operations in neighbouring units, it appears that transmission from and across the structurally  
450 linking roadways is apparently negligible and can be disregarded. On the other hand the  
451 transmissibility test showed the potential for vibration transmission between levels.

452 Walking tests provide a means of comparing performance of floors by walking the same way  
453 in equivalent locations on different floors. For the T3000 floors footfall-induced vibrations  
454 were consistently around the VC-A/ISO boundary, while T2000 floors all performed in VC-  
455 A range, with the exception of 2000-5U-5. The largest floor with the largest modal mass had  
456 the best response to footfall (when external effects are excluded).

## 457 **Conclusions**

458 The aim of the modal analyses and vibration surveys was to characterise the vibration  
459 environment according usage and floor structural layout and to rationalise this behaviour  
460 against the modal floor modal properties. The study was intended to support a performance-  
461 based approach to floor selection, so that tenants and management could make best choice of  
462 unit for a particular usage profile. All floor first modes had frequencies above 9Hz and modal  
463 masses at least  $60 \times 10^3$ kg and with the exception of a ground floor slab (on grade) rated VC-  
464 B or worse for a single pedestrian. Modes above 30Hz had low modal mass (as low as  
465  $28 \times 10^3$  kg) but were not relevant for vibration performance. Surprisingly, the nominally  
466 similar T2000 units had widely varying modal and operational performance.

467 Should a low vibration environment be required, ground floor units would be preferable, with  
468 neighbours above or below not using heavy machinery or fork-lift trucks (FLT). Worst  
469 performance was observed with operation of FLTs, particularly those with stiff tyres moving  
470 over rough concrete with construction joints.

471 With a trend to more ‘high tech’ commercial operations in such industrial complexes, the  
472 issue of vibration serviceability will require careful consideration by prospective tenants and  
473 building management. Where smaller spans or floors at ground level are not available,  
474 specific evaluations would be advisable for vibration-sensitive activities. The use of vibration  
475 criteria A through E, widely used in vibration sensitive electronic/optical facilities seems to be  
476 appropriate for the type of structure studied here.

477 Even so, tenants with vibration-sensitive operations should use lower floors. This may be  
478 obvious to structural engineers but it is not obvious to many facility designers. Likewise the  
479 effects of machinery and vehicles can be mitigated by careful placement of fixed equipment  
480 and ensuring floors smooth and bump-free. Given the transfer mobility observed between two  
481 upper levels of a stack and evidence from two of the floors studied, there could be impact of  
482 strong vibration sources in higher or lower floors.

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531

532 Table 1 Floors tested: type, usage, modal parameter estimates and vibration ratings.

Floor	Usage	Low modes			High modes			Vibration criteria (VC), relevant figure and peak band centre frequency (Hz)		
		f / Hz	m /10 <sup>3</sup> kg	ζ/%	f /Hz	m /10 <sup>3</sup> kg	ζ/%	ambient	FLT	Walking
2000-1L-3	storage	-	-		-	-		-		D: 10Hz Figure 19
2000-3L-4	empty	12.9	180 /130	2.3	16.9	180	2.5	B: 20		A: 20 Figure 18
2000-5U-5	empty	11.6	120	3.0	15	79	4.8	B: 12.5		>ISO: 12.5 Figure 16
2000-5L-6	instrument assembly	12.4	80	2.4	19.9	150	3.7	B: 12.5		A: 12.5 Figure 17
2000-5U-6	storage	12.4	-	2.4	n/a	n/a	n/a	B: 12.5		-
3000-3L-7	metalwork	10.4 /12.2	60 /150	3.8/ 3.1	36.4	38	3.9	B: 10	>ISO Figure 12a	A: 10
3000-3U-7	storage	9.5 /10.7	120 /88	3.2/ n/a	39.1	45	4.4	B: 10	ISO Figure 12b	A: 10
3000-3L-8	warehouse	10.3 /12.8	120 /200	3.4/ 2.9	37.1	35	3	C: 10	>>ISO Figure 12c	ISO: 10 Figure 14
3000-5L-9	micro-electronics manufacture	9.6	n/a	n/a	37.3	28	4.6	A: 10		A: 10
5000-1U-10	optics assembly & test	11.1 /12.5	200 /200	1.5 2.3	35.5	36	2.2	A: 12.5		B: 12.5

533 n/a modal property not estimated



24 Figure 6 for location of point 'A'.

25

FEA: 9.8Hz (EMA 10.3Hz,  $m=120 \times 10^3$  kg)

FEA: 12.7Hz (EMA 12.8Hz)

26 Figure 11: Two analytical (FEA) modes that match experimental (EMA) modes for T3000 floor 3000-  
27 3L-8.

28

- a) FLT in 3000-3L-7 (>ISO)
- b) FLT in 3000-3U-7 (ISO)
- c) FLT in 3000-3L-8 (>>ISO)

29 Figure 12: Response to FLTs on T3000 floors as time series and peak-hold one-third octave spectra.

30 Figure 13: Response to fixed internal machinery: metal folding press in 3000-3L-7 (ISO: 32Hz).

31 Figure 14: Impulsive nature of floor response to walking (WP2,

32 Figure 5) in 3000-3L-8 (ISO: 10Hz).

33

a) pairs of bands throughout are return trips b) footfall transients and example of strong  
along walking path response to unknown excitation

34 Figure 15: Spectrogram and 10-second time series of midbay acceleration response in 5000-1U-10  
35 during walking test.

36

37

- a) Response low-pass filtered at 40Hz.
- b) 3D spectrogram for a)

38 Figure 16: Walking tests in 2000-5U-5 (>ISO: 12.5Hz).

39

40

- a) Response low pass filtered at 40Hz. Response increases with pacing rate due to increasing effective impulse
- b) Zoom into a) showing classical high frequency floor response and lack of resonance build-up

41 Figure 17: Walking tests in 2000-5L-6 (VC-A: 12.5Hz).

42

43

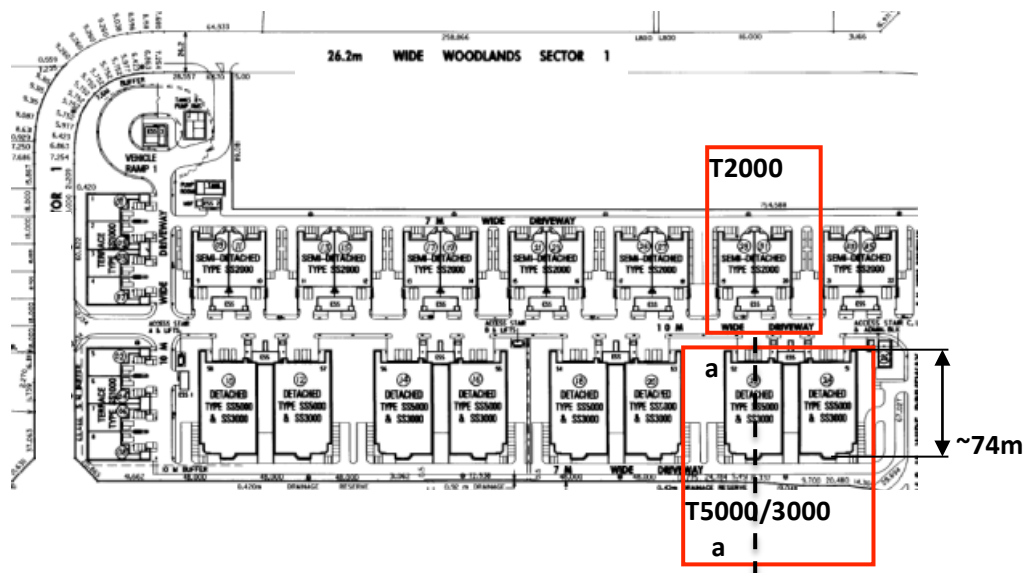
- a) Time series for complete walking record
- b) 3D spectrogram

44 Figure 18: Walking test (WP1,

45 Figure 6) in 2000-3L-4 (VC-A: 20Hz), shows impulsive response buried in ambient excitation at  
46 20Hz.

47 Figure 19: Walking test in 2000-1L-3 (VC-D: 10Hz)

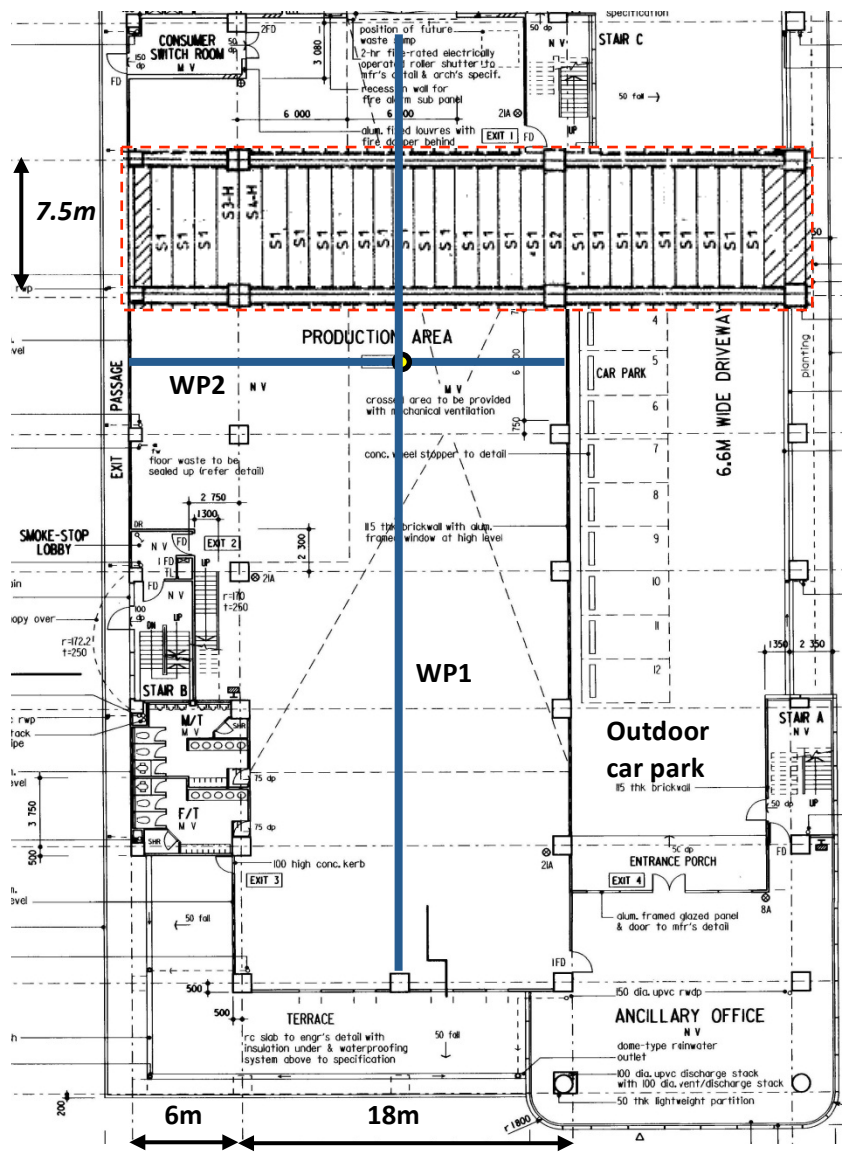




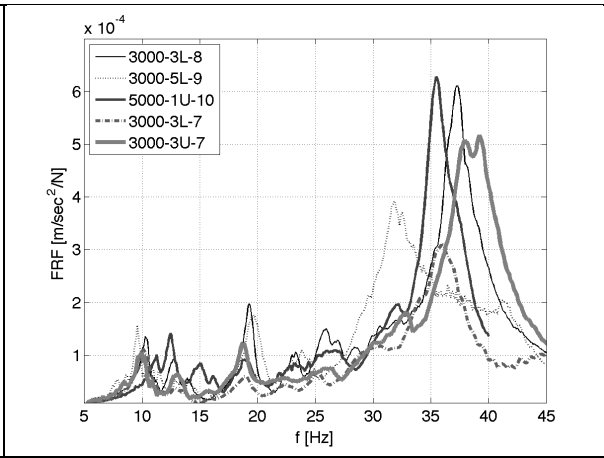
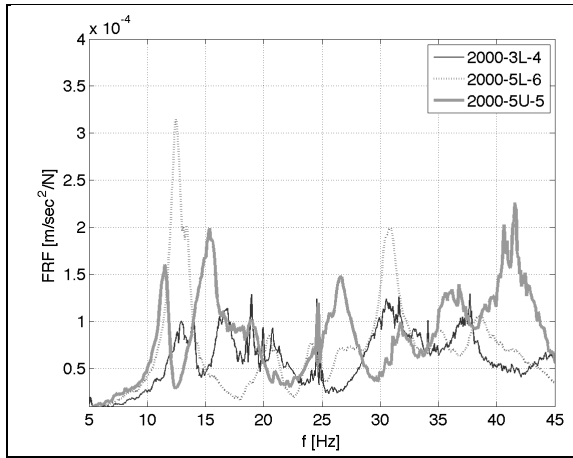


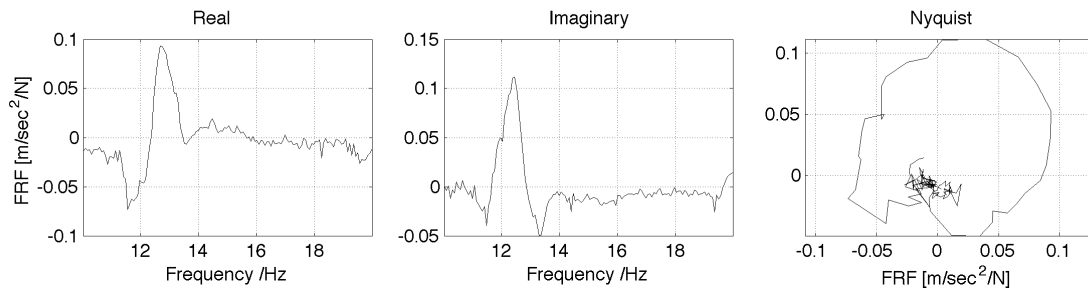
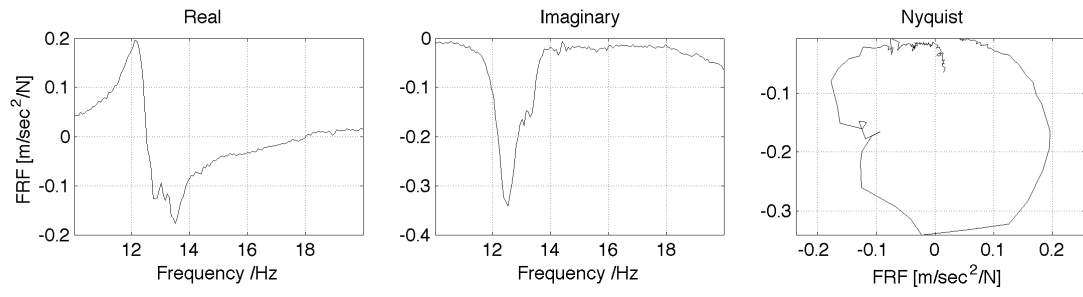




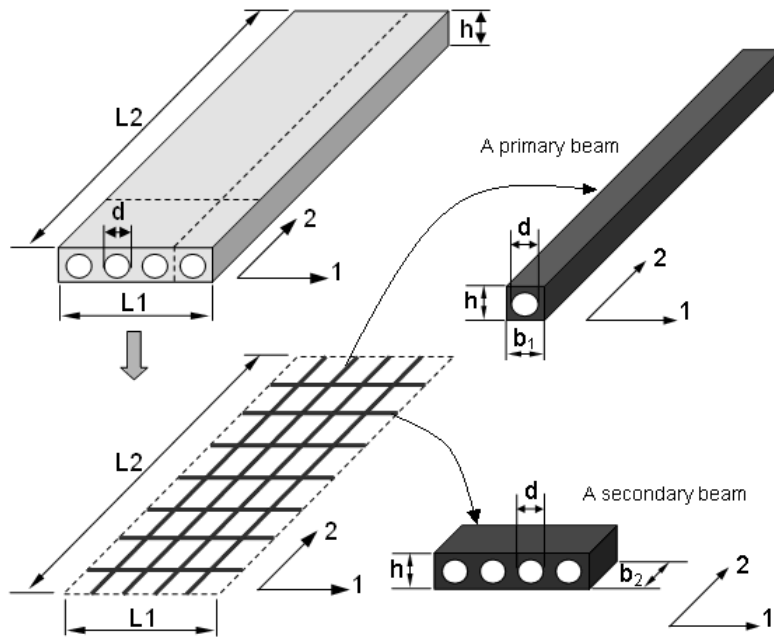


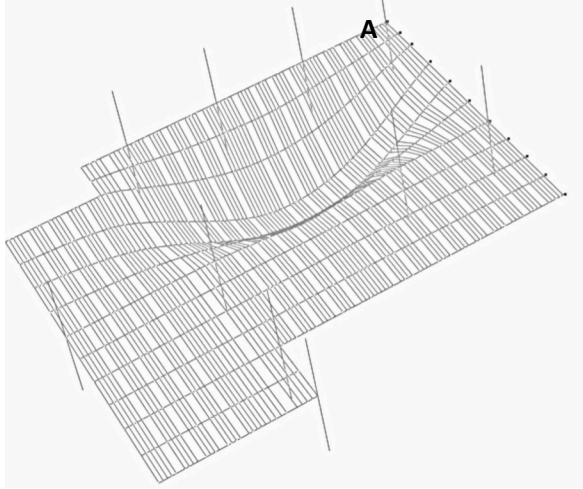




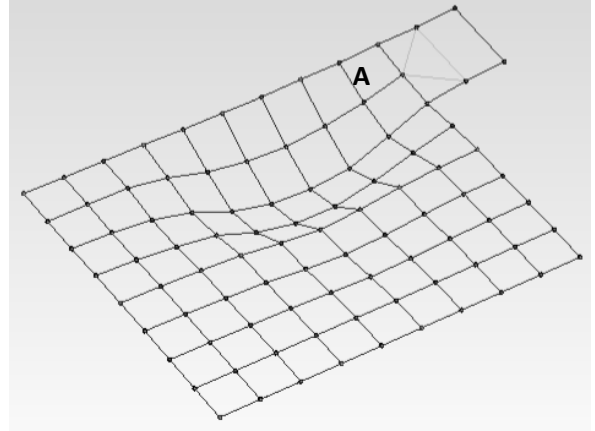




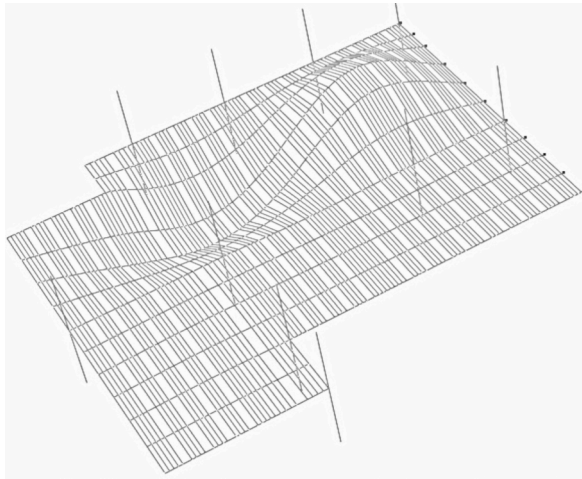




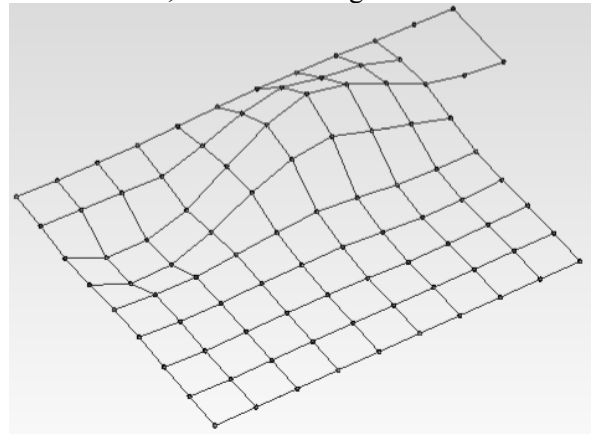
FEA: 12.5Hz



EMA 12.9Hz,  $m=180 \times 10^3$  kg



FEA: 16.5Hz



EMA 16.9Hz,  $m=180 \times 10^3$  kg

