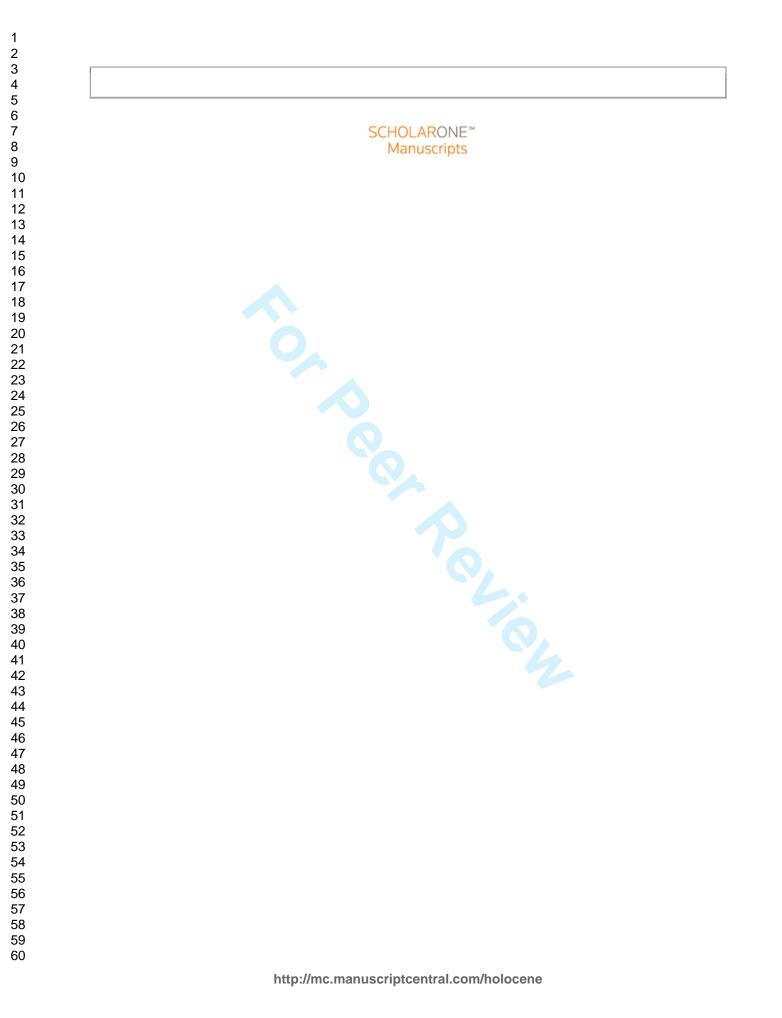


The Holocene

Holocene land-sea climatic links on the equatorial Pacific coast (Bay of Guayaquil, Ecuador)

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Complete List of Authors:	Seilles, Brice; Ecole Pratique des Hautes Etudes, UMR 5805 CNRS EPOC Sanchez Goñi, Maria Fernanda; Ecole Pratique des Hautes Etudes, UMR 5805 CNRS EPOC Ledru, Marie-Pierre; IRD, UMR 226, Institut des Sciences de l'Evolution de Montpellier (ISEM) (UM2 CNRS IRD) Urrego, Dunia; University of Exeter, College of Life & Environmental Sciences Martinez, Philippe; University of Bordeaux, UMR 5805 CNRS EPOC Hanquiez, Vincent; University of Bordeaux, UMR 5805 CNRS EPOC Schneider, Ralph; University of Kiel, Institute of Geosciences
Keywords:	ITCZ, Humboldt Current, ENSO, Ecuadorian western Cordillera, Pacific SST, Holocene
Abstract:	We analyzed the pollen content of a marine core located near the bay of Guayaquil in Ecuador to document the link between sea surface temperatures (SST) and changes in rainfall regimes on the adjacent continent during the Holocene. Based on the expansion/regression of five vegetation types, we observe three successive climatic patterns. In the first phase, between 11,700 and 7700 cal yr BP, the presence of a cloud (Andean) forest in the mid altitudes and mangroves in the estuary of the Guayas Basin, were associated with a maximum in boreal summer insolation, a northernmost position of the Intertropical Convergence Zone (ITCZ), a land- sea thermal contrast, and dryness. Between 7700 and 2850 cal yr BP, the expansion of the coastal herbs and the regression of the mangrove indicate a drier climate with weak ITCZ and low ENSO variability while austral winter insolation gradually increased. The interval between 4200 and 2850 cal yr BP was marked by the coolest and driest climatic conditions of the Humboldt Current. After 2850 cal yr BP, high variability and amplitude increased, indicating high variability in land-sea connections. The ITCZ reached the latitude of Guayaquil only after 2500 cal yr BP inducing the bimodal precipitation regime we observe today. Our study shows that besides insolation, the ITCZ position and ENSO frequency, changes in eastern equatorial Pacific SSTs play a major role in determining the composition of the ecosystems and the hydrological cycle of the Ecuadorian Pacific coast and the Western Cordillera in Ecuador.

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9 180	Brice Seillès ¹ , Maria Fernanda Sánchez Goñi ¹ , Marie Pierre Ledru ² , Dunia H. Urrego ^{1,3} , Philippe Martinez ⁴ , Vincent	
11	Hanquiez ⁴ and Ralph Schneider ⁵	
f2		
13 14		
1 5	1. Ecole Pratique des Hautes Etudes (EPHE), UMR-CNRS 5805 EPOC, University of Bordeaux, Allée Geoffroy St Hilaire,	
16 1⁄7	33615 Pessac, France, <u>mf.sanchezgoni@epoc.u-bordeaux1.fr</u>	
18	Sours ressac, France, misanchezgom@epoc.u-bordeaux1.n	
f 9		
20 21	2. IRD, UMR 226, Institut des Sciences de l'Evolution de Montpellier (ISEM) (UM ² CNRS IRD <u>EPHE</u>), Place Eugène	
22 10 23	Bataillon cc 061, 34095 Montpellier Cedex, France, marie-pierre.ledru@ird.fr	
23	Batalion et 601, 54655 Wontpeller etdez, Mane, <u>mane perferencerdemon</u>	
<u>1</u> 24 25		
1226	3. College of Life & Environmental Sciences, University of Exeter, Amory Building B302, Rennes Drive, EX4 4RJ, Exeter,	
27	UK, <u>D.Urrego@exeter.ac.uk</u>	Field Code Changed
¹ 28 29		
29 14 30		
₁31 32	4. University of Bordeaux, UMR CNRS 5805 EPOC, Allée Geoffroy St Hilaire, 33615 Pessac, France,	
3∠ 1€3	p.martinez@epoc.u-bordeaux1.fr	Field Code Changed
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1375 26		
36 ¹ 37	5. Institute of Geosciences, University of Kiel, Ludewig-Meyn-Str. 10, 24118 Kiel, Germany, schneider@gpi.uni-kiel.de	Field Code Changed
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<i>2</i> ₹3	Corresponding author: M.F. Sánchez Goñi, mf.sanchezgoni@epoc.u-bordeaux1.fr	
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ම 7	Abstract
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10	between sea surface temperatures (SST) and changes in rainfall regimes on the adjacent continent during the
11 12	Holocene. Based on the expansion/regression of five vegetation types, we observe three successive climatic
13 14	patterns. In the first phase, between 11,700 and 7700 cal yr BP, the presence of a cloud (Andean) forest in the mid
Ц5 16	altitudes and mangroves in the estuary of the Guayas Basin, were associated with <u>a</u> maximum in boreal summer
ѣ7 18	insolation, a northernmost position of the Intertropical Convergence Zone (ITCZ), a land- sea thermal contrast, and
f 9	dryness. Between 7700 and 2850 cal yr BP, the expansion of the coastal herbs and the regression of the mangrove
20 21 22 23	indicate a drier climate with noweak ITCZ and low ENSO variability while austral winter insolation gradually
22 23	increased. The interval between 4200 and 2850 cal yr BP was marked by the coolest and driest climatic conditions of
2 <u>4</u> 25	the Holocene due to the absence of ITCZ weak influence of the ITCZ and a strengthening of the Humboldt Current.
26 27	After 2850 cal yr BP, high variability and amplitude of the Andean forest changes occurred when ENSO frequency and
28	amplitude increased, indicating high variability in land-sea connections. The ITCZ reached the latitude of Guayaquil
29 30	only after 2500 cal yr BP inducing the bimodal precipitation regime we observe today. Our study shows that besides
31 32	insolation, the ITCZ position and ENSO frequency, changes in eastern equatorial Pacific SSTs play a major role in
33 34	determining the composition of the ecosystems and the hydrological cycle of the Ecuadorian Pacific coast and the
35 36	western Cordillera Western Cordillera in Ecuador.
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key words : ITCZ, Humboldt Current, ENSO, Ecuador, ian Western Cordillera, Pacific SST, Holocene

1 - Introduction

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The equatorial Eastern Pacific coast represents one of the largest desertie areas of the planet. This desert stops abruptly on the Peruvian-Equatorial margin, between 1° and 3°S latitude, when where within a few kilometers of distance, it is suddenly replaced by a luxuriant and diverse tropical rainforest (Barthlottt et al., 2005). This contrasted biogeographical pattern is created by the boundary effect of the position of the Intertropical Convergence Zone (ITCZ) from the north, and of-by the intensity of the cold Humboldt Current from the south (Jorgensen and Leon-Yanez 1999). The interplay between the northern and the pole-equator southern hemisphere seasonal insolation and pole-equator temperature gradient controls the position and amplitude of the ITCZ, oscillating between 10°N and 3°S, and the characteristic bimodal regional rainfall distribution of the tropics (Garreaud et al., 2009). Today, the cooling of surface waters in the southeastern equatorial Pacific during the austral winter, displaces the SST maximum (and thus the zone of convergence) into the Northern Hemisphere, and inversely during the austral summer. Superimposed to this ocean-atmospheric coupling, the climate is regularly submitted to the interannual variability of the El Niño Southern Oescillation (ENSO) (Vuille et al., 2000; Garreaud et al., 2009; Schneider et al., 2014). On the equatorial eastern Eastern equatorial Pacific, ENSO is characterized by an abrupt change in Sea Surface Temperature (SST) that affects the amplitude of the seasonal shifts of the ITCZ or the location of the northernmost limit of influence of the Humboldt Current that will control the hydrological cycle on the continent (Leduc et al., 2009; Garreaud et al., 2009). Seasonal shifts of the ITCZ during the Holocene are well documented on the northern coast of Venezuela (Haug et al., 2001). The seasonally varved marine record of Cariaco off the coast of Venezuela shows the Holocene wettest period between 10,500 and 5400 cal yr BP, a period called the Holocene Ithermal Mmaximum and, is related to a more northerly position of the ITCZ. This moist period is followed by large century-scale variations in precipitation between 3800 and 2800 cal yr BP explained by increased frequency of ENSO events. Marine and terrestrial records off the Peruvian and Ecuadetorian margin reveal that the ITCZ position also varied trough time (Cane et al., 2005), and the hydroclimate was in anti-phase with that of the Cariaco basin (Mollier-Vogel et al., 2013). Superimposed to this long-term hydroclimate variability, millennial-scale ENSO events in the southern and northern tropics punctuated the Holocene. The frequency of these events increased from 7000 to 1000 cal yr BP, with a 2 to 7-year cyclicity since 5000 cal yr BP (Moy et al., 2002). The maximum of ENSO frequency was reached between 3500 and 2600 cal yr BP (Haug et al., 2001; Riedinger et al., 2002), possibly in response to a threshold reached by the gradual decrease in

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boreal summer insolation (Rodbell et al., 1999; Clement et al., 2000), and leading to the observed marked aridity/humid trends period at Cariaco/Guayas. Recent climate simulations showed that insolation is the major driver of SST changes in the equatorial Eeastern Pacific and has a greater effect on seasonality and interannual variability since the beginning of the Holocene (Braconnot et al., 2012). However, the processes and degree of coupling and processes between, on the one hand, equatorial Eeastern Pacific SST-and-, rainfall distribution and intensity on land and, on the other hand, insolation forcing, ITCZ position and ENSO variability, are far from being understood. Here, we address this issue by presenting the first continuous marine pollen record of the equatorial Eeastern Pacific tropical margin and use the related changes in vegetation and SST to characterize the boundaries of the ITCZ and the Humboldt Current during the Holocene. This direct comparison between terrestrial and oceanic climatic tracers is an original approach for this complex region. 2. Present-day Environmental environmental setting 2.1 - Climate and oceanic circulation The climate of the Guayaquil region is controlled by seasonal shifts of the ITCZ. The rainfall distribution shows a is bimodal with a 4-month rainy season (JFMA) when the ITCZ is located at the latitude of Guayaquil and a long dry season (JJASON) when the ITCZ is located further north at the equator (climate diagram in Fig. 1). Consequently today the maxima in precipitation and river discharge in the Guayaquil basin and western CordilleraWestern Cordillera mid-elevation is observed during the austral summer (Rincón-Martínez et al., 2010). Further south, the rainfall distribution of the Pacific coast and of the western Andean Cordillera, from southern Perú until the latitude of Guayaquil, is under the influence of the Humboldt current, a cold current that provokes an upwelling along the western margin of the South American continent, maintaining a long line of coastal desert (Vuille et al., 2000, Buytaert et al., 2006). Aridity is found along the path of the cold Humboldt Current, which stabilizes the atmosphere under high pressures. The desert stops exactly south of Guayaquil where the Humboldt Current is deviated to the

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10 3 7	Anomalies in the seasonal pattern of rainfall distribution are observed during the ENSO, when the temperature	
10 6 5 9	gradient between the eastern-Eastern and Wwestern Pacific is modified. This interannual variability shows two	
1070	phases (Wyrtki, 1975; Markgraf and Diaz 2000): La Niña (cold) phase is characterized by SST decrease in the	
11 ¹⁰⁸ 12	equatorial Eeastern equatorial Pacific margin and drier climate on the continent; during the El Niño (warm) phase of	
109 109 14	the oscillation, the sea current is reversed leading to wind decrease in the eastern equatorial Eastern tropical Pacific	
11 <mark>0</mark> 5 16	and warm water along the Peruvian margin and more precipitation on the continent. Warming of the eastern	
11117	tropical Pacific is therefore related to precipitation increase in this regionENSO is the refore the main cause of	
18 ¹¹ /29	present-day climatic variability of these regions at the edge of the tropical Pacific Ocean (Tudhope et al., 2001; Moy	
20 ¹¹³ 21	et al., 2002). Additionally, previous results show that ENSO variability at multi-decadal scale is more important for	
1 <u>14</u> 2 23	long-term climate characterization than considering the interannual variability (or number of El Niño La Niña events /	
11 2 34 25	year) (Morales et al., 2012, Ledru et al., 2013).	
25 11266		
27 ¹¹ 78	2.2 - Present-day vegetation and its pollen representation	
29 ¹¹⁸ 30	As the bay of Guayaquil is situated in a transitional zone generated by the interplay between the cold Humboldt	
1131 32	Current and the Equatorial warm current, the distribution of the ecosystems is divided into two main types: a	
1203 34	desertic vegetation cover in the South south and a wet tropical ecosystem forests with mangrove swamps in the	
1235 36	Northnorth of the study area. Within the basin of Guayaquil the distribution of the vegetation is subdivided into five	
¹² 37	main ecosystems (pie chart in Fig. 1, Table I). Their respective pollen indicator taxa have been grouped according to	
123 123 39	published pollen-vegetation calibration datasets (Urrego et al. 2011; Ledru et al 2013) and list of plants (Jorgensen	
1240 41	and Leon-Yanez 1999)-with:	
12 5 2 43	1. The Andean forest refers to the evergreen and ombrophilous forests up to a mean elevation of 3600 m. This	Formatted: Space After: 0 pt
12464	vegetation is essentially represented by Podocarpus, Alnus, Morella (Myrica) and Myrsine, and associated with warm	
45 ¹² 46	cool and relatively wet environmental conditions all year round. <u>Alnus occurs on wet soils along rivers in this</u>	Formatted: Font: Italic
47 128 48	montane forest but can also occur as swamp forest (carr) around water bodies (Marchant et al., 2002). Modern	
12 4 9 50	studies on the pollen representation of the Eeastern Cordillerawestern CordilleraEastern Cordillera vegetation	
13501 52	(Urrego et al., 2011) show that Hedyosmum is also a frequent component of this forest between 1500 and 3000 m	
1353	asl, although it also occurs in the Pacific forest.	
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132 7	2. The Pacific rainforest is composed of evergreen and semi-deciduous species and distributed between the coastal	
1383 9	piedmont in the periphery of the mangrove swamp and the western-Western Cordillera. This forest is essentially	
13#0	represented by Urticaceae/Moraceae-type, and directly affected by the evolution-development (expansions and	
11 ¹³⁵ 2	<pre>/contractions) of the mangrove_forest.</pre>	
136 136 14	3. The Coastal herbs group is composed of desert shrubs and herbs and essentially represented by	
₁₃ 1,5 16	Chenopodiaceae/Amaranthaceae, Acalypha and Ambrosia peruana that develop under cold and dry conditions.	
1387 18	4. The Páramos or high-elevation tropical grasslands are located above treeline the upper forest line and are	
13 0 9	represented by <u>Acaena-Polylepis-type and Asteraceae-Baccharis-type that groups all the Asteraceae tubuliflorae</u>	Formatted: Font: 11 pt
		Formatted: Font: 11 pt, Not Highlight
20 ¹⁴⁰ 21	excluding <u>Ambrosia-type</u> , and <u>Acaena Polylepis-type</u>	Formatted: Font: 11 pt
22		Formatted: Font: 11 pt, Italic
141 23	5. The mangrove swampforest, essentially represented by <i>Rhizophora</i> corresponds to coastal vegetation related to a	Formatted: Font: 11 pt
1424	wat and calty caline environment in the tranical and culturarical regions dominated by the Equatorial equatorial	Formatted: Font: 11 pt, Not Highlight
25	wet and salty saline environment in the tropical and subtropical regions dominated by the Equatorial equatorial	Formatted: Font: 11 pt
1426	warm current. It is impacted by the marine dynamics (sea level, tidal system, salinity) but also by hydric and nutrient	
27 ¹⁴ 28	contributions of the riverine runoff.	
29 ¹⁴⁵ 30	Pollen grains from these main vegetation types are mostly transported by the Guayas River as previous works+	Formatted: Indent: First line: 0.49", Space After: 10 pt, Line spacing: Double
1481 32	(e.g. Heusser and Balsam, 1977) clearly show that cores located close to river mouth recruit preferentially pollen via	
14 3 3 34	fluvial transport. This is particularly true for this region where the dominant winds come from the ocean. Once in the	Formatted: Not Highlight
1435	sea, planktonic filter feeder organisms consume sinking debris (including pollen grains) and produce feacal pellets	Formatted: Font: +Body (Calibri), 11 pt
36 ¹⁴⁹ 37	which have a greater sinking velocity in the water column (Hooghiemstra et al., 2006).	Formatted: Font: +Body (Calibri), 11 pt, English (U.S.)
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40 15 41		Formatted: Font: +Body (Calibri), 11 pt
42	Table I	Formatted: Font: +Body (Calibri), 11 pt, English (U.S.)
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44 ¹⁵³ 45		Formatted: Font: +Body (Calibri), 11 pt, English (U.S.)
1546 47	3-Material and methods	Formatted: Indent: First line: 0.49"
15 45 8 49	3.1- The marine sedimentary sequence	
1550	A piston core of 1,062 cm <u>length (</u> ,-M772-056,(°44, 99' S, 81°07, 25'W, and 3 50 m water depth) was drilled in 2008	
51 ¹⁵ 72	in the southern part of the Bay of Guayaquil (Mollier-Vogel et al., 2013). This region is characterized by a	
153 158 54	sedimentary platform, the biggest sedimentary basin of the Andes, and the largest drainage system in western	
1595 56 57 58	Ecuador (Stevenson, 1981), with an outer shelf break into the continental margin located at a water depth of ~100 m	
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160	(Witt and Bourgois, 2010). It is the outcome of This platform, which begins on the island of Puna and extends up to	1	Formatted: Font: 11 pt
16 1 9	100 km into the Ecuadorian inland and up to an elevation of more than 6000 m, resulted from a major subsidence		
16 20	phenomenon combined with an important sedimentary load of fluviomarine alluvia sediments from the estuary of		Formatted: Not Highlight
11 ¹⁶⁷ 2	the river Guayas , which begins on the island of Puna and extends up to 100 km into the Ecuadorian inland and up to	l	Formatted: Font: 11 pt
13 164 14	an elevation of more than 6000 m. Today the sediments at the coring site are dominated by siliciclastic material, and		
₁₆ 55' 16	secondarily they contain marine biogenic carbonates (Mollier-Vogel et al., 2013). Sedimentary discharge into the Gulf		
16 57 18	of Guayaquil is mainly linked to the Guayas River runoff, which integrates rainfall from a catchment located North		
1679	north of Guayaquil on the western flank of the Ecuadorian Andes (Twilley et al., 2001). The catchment area of this		
20 ¹⁶⁸ 21	river drains the 32.674 km ² (Fig. 1) of the Guayaquil basin that represents 64% of the total drainage sediments		
169 23	(Rincón-Martínez et al., 2010). The Guayas River discharge closely tracks the integrated precipitation and snow		
1724 25	melting from land to t he basin catchment without any time lag (Twilley et al., 2001).		
1726 27			
¹⁷² 8	3.2.1- Chronology		
29 173 30	The chronology of core M772-056 is based on 11 radiocarbon measurements on the planktonic foraminifera		
1741 32	Neogloboquadrina dutertrei performed at the Leibniz Laboratory for Radiometric Dating and Stable Isotope		
17 3 3 34	Research, Kiel University (Mollier-Vogel et al., 2013) (Table II). Ages- ¹⁴ C ages were converted into calendar ages		
17365	using the CALIB 6.0 program (Stuiver and Reimer, 1993). Radiocarbon ages were first corrected using MARINE09		
36 ¹⁷ 37	(Reimer et al., 2009), with a constant R of 200 +/-50 years based on sites with known reservoir ages situated closest		
178 178 39	to our core location in the marine reservoir correction database (<u>http://calib.qub.ac.uk/marine/</u>). The age model was	[Field Code Changed
1 4 0 41	established by linear interpolation (Mollier-Vogel et al., 2013).		
18 62 43			
18444	Table II		
45 ¹⁸² 46			
47 ¹⁸³ 48	3.2.2- Micropaleontological analyses		
1849 50	One hundred twenty-five silty-clay samples (between 4 and -10 cm ³) were taken at 10 cm intervals except for the	1	Formatted: Font: 11 pt, Superscript
18 51 52	upper 80 cm that covers the last millennium, where the sampling resolution was 5 cm. Pollen preparation follows the		
1853	protocol detailed at (<u>http://www.epoc.u-bordeaux.fr/index.php?lang=fr&page=eq_paleo_pollens</u>). After chemical	(Field Code Changed
54 ¹⁸⁷ 55	treatment (cold 10%, 25% and 50% HCl, cold 45% and 70% HF and then KOH), the samples were sieved through a 5		
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5 18	μm nylon mesh to concentrate the palynomorphs in the final residue. Two <i>Lycopodium</i> tablets with known	
7 1899	concentration were added to each sample to calculate the pollen and spore concentrations. The final residue for	
9		
1900 11	pollen analysis was mounted unstained in bidistilled glycerine. Pollen was grains were counted using a Zeiss	
11 ¹⁹¹ 2	Axioscope light microscope at ×400 and ×1000 (oil immersion) magnification. The identification of the different	
13 192 14	palynomorphs was based on a recent pollen reference collection held at the Institute of Evolutionary Science at the	
₁₉ 35 16	University of Montpellier-2, and on published morphological descriptions (Hooghiemstra, 1984; Roubik and Moreno	
19 47 18	1991). In most of the 125 samples analyzed, we counted more than 125 pollen grains-excluding spores, and between	
1969	25-40 morphotypes including herbs, shrubs and trees. The pollen percentages for terrestrial taxa are based on a	
¹⁹⁶ 21	main pollen sum which excludes spores, aquatics, unidentifiable (corroded, broken, crumpled) and unknowns. The	
20 ¹⁹⁶ 21 197 197 23	percentages for fern spores, aquatics, unidentifiable and unknowns are based on the -total sum that corresponds to	
1924 25	all the counted pollen grains and the main pollen sum plus fern spores, aquatics, - identified, aquatic, unidentifiable	Fo
19 296	and unknowns. During this study, we identified and counted a total of 94 taxa, 18,207 pollen grains and 3138	
27 ²⁰ 28	monolete and trilete Pteridophyte pteridophyte spores. The 94 terrestrial spore and pollen taxa were grouped into	
29 201 30	six main groups according to their ecological affinities: the five vegetation types of the basin of Guayaquil:, Páramo,	
2021 32	coastal herbs, mangrove, Andean and Pacific forests, and a group called ubiquitous that includes taxa that are found	
20 3 3 34	under several types of vegetation cover (Table I). Pollen zones were originally established by visual inspection of the	
20345	pollen percentage curvesdiagram and later confirmed by a constrained hierarchical clustering analysis based on	
36 ²⁰ 37	Euclidean distance between samples was applied to the Holocene pollen diagram that confirmed the pollen zones	
206 206 39	established by visual inspection. We used chclst function from the R package Rioja (Juggins, 2009). The 94 terrestrial	
₂₀ 40 41	spore and pollen taxa were grouped into six main groups according to their ecological affinities: the five vegetation	
20 8 2 43	types of the basin of Guyaquil, Páramo, coastal herbs, mangrove, Andean and Pacific forests, and a group called	
20194	ubiquitous that includes taxa that are found under several types of vegetation cover (Table I).	
45 ²¹⁰ 46		
211 48	4-Results and interpretation	
2 <u>14</u> 9 50	4.1- Long-term vegetation and climate changes in the Guayaquil basin during the Holocene	
21 5 1	The chronology of core M772 056-5 encompasses the very end of the Late Gglacial and the entire Holocene, from	
52 ²¹ 5/3	12,300 cal years-yr BP to the present. The sedimentation rate is relatively constant varying between ~63 and 117 cm	
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2193 7	kyr ⁻¹ . The temporal resolution of the pollen analysis is one century on average oscillating ranging between 170 and			
2165	48 years. The last millennium has the finest resolution, i.e. 60 years in averageseveral decades.			
9 21170	The interpretation of the pollen diagram was assisted by the analysis of the top core sample, taken at 3.5 cm core			
11 ²¹⁸ 2	depth, that shows the pollen representation of the vegetation of the Guayaquil basin during the last decade (Fig. 1).			
219 14	We observed that the pollen assemblage is mainly composed of arboreal pollen, including 60.8% of Andean forest			
₂₂ 65 16	(7.2 % of Alnus) and 1.3-% of Pacific rainforest, 19-% of mangrove, 3.9-% of Páramo and 16.3% of coastal herbs. These			
22 117	pollen percentages respect reflect the pollen production and proportion of the surface occupied by the five main			
18 22f29	vegetation communities of the Guayaquil basin and the efficiency of pollen transport to the ocean floor (Figure 1).			
20 ²²³ 21	Therefore, we consider that <u>changes in</u> the pollen record accurately represents an integrated image of the			
2 <u>24</u> 224 23	vegetation dynamics in the Guayaquil basin during the Holocene (Fig. 2), and therefore hence of the regional climate,			
22 <u>3</u> 4 25	as previously observed for other world regions (Heusser, 1985; Dupont, 2003: Hooghiemstra et al., 2006). The cluster			
22266	analysis performed on the total pollen counts show two major clusters that are in turn sub divided into two and			
27 ²² 78	three zones, respectively (Figure 2).			
29 228 30				
2231 32	Figure 2			
2303				
34 2 33 5	In t ⁺ he first cluster, 12,300-7700 cal yr BP, is divided intowe recognize two zones. The first zone, between 12,300 and	[Formatted: Fon	t: 11 pt
36 ²³ 37	10,000 cal yr BP, is characterized by the progressive increase and full development of the mangrove (Rhizophora) and			
2338 233 39	Andean forest (Alnus, Podocarpus, Myrica, Myrsine) while the coastal herbs-vegetation (Chenopodiaceae-type,			
2 <u>34</u> 0 41	Acalypha and Ambrosia-type) frequencies-progressively decrease. In the second zone, 10,000-7700 cal yr BP, the			
23452	mangrove started to decrease, the Andean forest shows a maximum and while a reduction of the coastal vegetation		Formatted: Not	Highlight
43 23¢4	relatively low frequencies of the coastal herbs are is observed. Warmer and wetter conditions are revealed by this	[Formatted: Fon	t: 11 pt
45 ²³ 46	first cluster.			
238 48	In t ^T he second cluster, from 7700 until the present, is divided into three zones can be recognized.z The first zone	€ ≻	Formatted: Not	
23 9 9 50	from 7700 to 4200 cal yr BP is characterized by a substantial increase of Alnus frequencies woodlands, the maximum		Formatted: Fon Formatted: Not	
2 45 1	frequency proportion of Podocarpus and a slow decrease in mangrove swamps reflecting relatively warm climate and	\sim	Formatted: Fon Formatted: Not	-
52 ²⁴ 53	the wettest conditions frequencies. However, t <u>T</u> he strong expansion high frequencies of Podocarpus, Alnus, Myrica	Ì` `]	Formatted: Fon	it: 11 pt
54 ²⁴² 55	Morella and fern spores in the Andean forest group is followed by their progressively decrease during this zone-time	`.>	Formatted: Not Formatted: Fon	
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5 24 <u></u> 3	interval while the coastal herbs vegetation (Chenopodiaceae-type, Acalypha and Ambrosia-type) increaseexpands.		
7			
2424 9	The mangrove frequencies-forest shows low and high stands with <u>a maximum frequencies-development</u> at 6000 cal		
2450	yr BP and two minima at 7500 cal yr BP and at 5600 cal yr BP. Maximum warmth and humidity conditions reverse		
11 ²⁴⁶ 2	during this zone as reflected by the long-term substantial decrease of the Andean and mangrove forests. The second		Formatted: Not Highlight
247 14	zone, between 4200 and 2850 cal yr BP, is characterized <u>by</u> the maximum frequencies <u>expansion</u> of the c oastal	1	Formatted: Font: 11 pt
₂₄ 85	herbsvegetation, low pollen percentagesreduction of Alnus woodlands, the minimum frequencies of the other		Formatted: Not Highlight
16 2497	Andean forest taxa (Podocarpus, Myrica Morella, Myrsine) and the lowest frequency proportion of the mangrove		Formatted: Font: 11 pt Formatted: Not Highlight
18 250g	taxa. This zone reveals the driest conditions in the Guayas basin. The third zone, from 2850 cal yr BP to the present		Formatted: Font: 11 pt
20 ²⁵¹ 21	day, is a period characterized by a slight decrease but still high Páramo (Asteraceae-Baccharis-type,		Formatted: Not Highlight
21 252 23	Polylepis/Acaena-Polylepis-type) and coastal herb frequenciesvegetation (Chenopodiaceae-type, Acalypha and		Formatted: Font: 11 pt, Not Italic, Not Highlight
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25334	Ambrosia-type), and the increase of Alnus and mangrove-frequencies. An alternation of increases and decreases in		Formatted: Not Highlight
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25 2 6	the Andean forest cover oscillates is inferred between 2850 and 850 cal yr BP. This trend reverses with an increase of	Ì	Formatted: Not Highlight
27	Andean faract from 850 callyr DD up to the present at the expenses of both the Dárama and the coactal barbs. A wet		Formatted: Font: 11 pt
²⁵ 258	Andean forest from 850 cal yr BP up to the present at the expenses of both the Páramo and the coastal herbs. A wet	***	Formatted: Not Highlight
29 256 30	trend characterizes this time period.	- 11	Formatted: Font: 11 pt
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25371 32	Our marine pollen sequence-record M772056-5 was compared with the closest terrestrial pollen record, i.e. the	Ň	Formatted: Font: 11 pt
25333	Surucucho pollen sequence (Fig. 3) located in the Guayaquil basin at more than 3000 m asl (Colinvaux et al., 1997;		
34 25 39 5	Weng et al., 2004). Both marine and terrestrial pollen sequences show similar fluctuations in the evolution		
36 ²⁶ 37	development of the Alnus forest. As already shown by the pollen analysis of the top-most core sample, the marine		
261 261 39	sequence accurately records not only the coastal vegetation but also the vegetation occupying the river basin further		
2620	inland and up to high altitudes <u>(3600 m)</u> . Andean alders <u>Alders</u> reach their maximum expansion from 8000 cal yr BP		
41 26 432	to the present, indicating warm and moist conditions at high altitudes, except between 4200 and 2850 cal yr BP		
43 2644	when Alnus groves woodlands declined synchronously with the Andean forest reduction (Colinvaux et al., 1997;		
45 ²⁶⁵ 46	Weng et al., 2004), reflecting the regional driest and coldest period of the Holocene.		
46 265 48			
₂₆ 49 50	Figure 3		
26531			
52 26 9 3	4.2- Short-term vegetation and climate changes		
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270 7	Superimposed to these long-term trends, our results suggest twenty-nine short-term vegetation oscillations-changes
2781 9	from Andean forest to coastal herbs-vegetation and back to forest and inversely (Fig. 2).
27/20	The 3000 -year period between the onset of the Holocene, $11,700$ and 7_7700 cal yr BP was interrupted by five multi-
11 ²⁷⁷ 2	centennial cooling and drying events. These oscillations are inferred from the repeated contractions of the Andean
274 274 14	forest: odd pollen subzones M772-1 and M772-3 and even pollen subzones M772-6 to 10. The first maximum of the
₂₇ 5 16	Andean forest, M772-5, occurred at around 10,100 cal yr BP, synchronous with the highest values of mangrove.
2 <i>7</i> 67	Then, the mangrove contracted contemporaneously with a second maximum of Andean forest between 9700 and
27179	9250 cal yr BP (M772-7). Between 9250 and 9000 cal yr BP, the Andean forest setback was associated with the
20 ²⁷⁸ 21	maxim <u>un</u> a values of the Pacific forest (M772-8) (Fig. 2).
2 79 23	A- <u>The long-term</u> regional drying trend characterized the second long term phase from 7700 to 2850 cal yr BP- This
2804 25	phase was punctuated by three multi-centennial dry events (Andean forest contractions at odd-zones M772-12 to
2826 27	16). After the driest period of the Holocene in Guayas <u>, between</u> 4200 and 2850 cal yr BP, the third long-term phase
²⁸ 28	marked by a wetter trend since 2850 cal yr BP was interrupted by two dry intervals respectively at -2400-1950 cal yr
29 2830 30	BP and 1600-1400 cal yr BP (zones M772-20-21 and 2223) marked by the reduction of both Andean forest and
28 <mark>3</mark> 1 32	mangrove and a minima of <i>Podocarpus</i> . The last 1000 years have been analyzed at an increasedhigher resolution
28 3 3 34	(Fig. 2) and despite coarser ¹⁴ C chronology for this interval, the M772 056-5 record suggests five intervals of
28365	landscape and regional vegetation climate changes that indicate an alternation of wetter and drier periods. The drier
36 ²⁸ 37	intervals 1000-750, 450-350, 200-100 cal yr BP (MM772-24, 26 and 28) are characterized with reflected by low or
2888 2888 39	decreasing Andean forest, mangrove <u>swamps</u> and Alnus frequencies woodlands. The highest values most abundantee
2890 41	presence of Alnus occurred-between 650 and 450 cal yr BP and 350 and 200 cal yr BP (MM772-25 and 27) record
29402	moisture increase in the Guayas Basin. In the last century, from 100 cal yr BP to 33 cal yr BP (M772-29), we observe a
43 2944	the simultaneous expansion of the mangrove and of the Andean forest, for the second time after the one observed
45 ²⁹² 46	at the beginning of the Holocene, which relates toindicates a warming trend.
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5-Discussion

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2951 5.1- Related changes in vegetation and sea-surface temperature in the Guayaquil basin
 52
 2953 The interval between 12,000 and 10,000 cal yr BP is characterized by the progressive development of the mangrove
 54 and the Andean forest with a maximum at around 10,000 cal yr BP (Fig. 4). Terrigenous input estimated from the
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298 7	same core (see log Ti/Ca on in figure Fig. 4), show high river discharges at the onset of the Holocene in agreement	
29®9 9	with the concomitant rapid and strong glacier melting observed in the Cordillera (Mollier-Vogel et al., 2013; Jomelli	
зоро	et al 2011). The progressive decrease in terrigenous input after the early-Holocene peak of glacier melting suggests	
11 ³⁰¹ 2	weak-low precipitation on the continent. On the other hand the increase of Andean forest suggests warming and	
302 14	higher moisture rateslevels. However the <u>concomitant</u> observed low <i>Alnus</i> frequencies-percentages could	
₃₀ 35 16	characterize both cooling in coastal areas and a different moisture regime than that prevailing today with drier	
30147 18	edaphic conditions and more cloud dripping. At the same time, SSTs remain low (Mollier-Vogel et al., 2013). Such	
30 69	divergences between coastal and highland temperatures are also observed in modern climate reconstructions with	
20 ³⁰⁶ 21	the development of a strong vertical stratification of temperature trends in the atmosphere (Vuille et al., 2015). This	
307 23	specific pattern of vegetation can be explained by the development of a cloud forest formation of fog and cloud	
30&4 25	condensation on the flanks of the western Western cordillera Cordillera enhanced by a high temperature contrast	
30 2 96 27	between a cold sea and a warming land at the onset of the interglacial as already noticed by Jomelli et al. (2011).	
³¹ 28		
29 ³¹¹ 30	Figure 4	
3131 3132 32		
31333	Between 10,000 and 7700 cal yr BP the cloudandean forest was <u>continuously present-maintained</u> although	
34 31 3 45	progressively decreasing. We know from the Atlantic side that the ITCZ was maintained in a northernmost position	
36 ³¹ 37	(Haug et al 2001). In this study, relatively cold SSTs also show the absence weak of influence of the ITCZ on the	Formatted: Font: 11 pt
316 316 39	Pacific side at lower latitudes making a link with the ITCZ on the Atlantic. The position of the ITCZ to the north of our	
3140 41	study area prevented from the installation of a bimodal seasonality that would bring austral summer rainfalls. At	Formatted: Font: 11 pt
31482	Guayas during this time interval, the SST was warmer than during the previous time interval, the land-sea	
43 31494	temperature contrast weaker and the cloud formation on the continent less active. The climate became warmer and	
45 ³²⁰ 46	the continent progressively drier. The development of Alnus overall followed the progressive SST warming (Fig. 4).	
47 321 48	This land-sea coupling can be related to the progressive increase of austral winter insolation at the latitude of	
3 <u>24</u> 9 50	Guayaquil.	
3 251	Between 7700 and 4200 cal yr BP SSTs show maximum values. The vegetation was characterized by a maximum of	
52 3253	Alnus and Podocarpus stands while the Andean forest cover continues to decrease. This opposite trend between	
54 ³²⁵ 55	Alnus and the rest of the Andean forest was also observed during the last glacial maximum (Mourguiart and Ledru,	
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326 7	2003). Alnus is a heliophilous species that and requires less atmospheric moisture supply than the Andean forest	
3287	although it benefits from azonal wet soils which may exist even under relatively low precipitation levels	
9 32/80	Mangrove swamps substantially decreased from 7700 cal yr BP, and reached a minimum between ~5500 and ~2850	
11 ³²⁰ 12	cal yr BP that could indicate a decrease of SST due to the stronger penetration of the Humboldt Current in the bay or	
₃₃₀ 14	mean La-Niña like conditions as observed in the southern Pacific (Carré et al 2011). However, as the SST reached	
₃₃ 15 16	their highest values during this interval (Fig. 4), the first hypothesis is rejected. Previous studies showed the tight link	
33 27	between fluctuations in the mangrove extent and sea level changes in the Caribbean region (Ellison and Stoddart,	
18 ³³⁸ 9	1991; Parkinson et al., 1994). Therefore, mangrove contraction in the bay of Guayaquil seems to respond	
20 ³³⁴ 21	preferentially to the reduction of marshlands due to <u>a deceleration in sea level risesea level deceleration</u> . Between	Formatted: Font: 11 pt
335 23	7700 and 6000 cal yr BP a slight re-expansion of the mangrove coincides with the stabilization of the sea level dated	Formatted: Not Highlight Formatted: Font: 11 pt
₃ <u>3</u> 64 25	between 7000 and 6000 cal yr BP (Lambeck and Chappell, 2001; Siddall et al, 2003), and the formation of <u>a</u> delta	
33276 27	(Stanley and Warne, 1994). The maximum reduction of mangrove <u>stands</u> at ~5000 cal yr BP was also documented in	Formatted: Font: 11 pt, Not Italic
³³ 28	the Panamá basin, and interpreted as the replacement of the mangrove swamp by the lowland forest as the result of	
339 339 30	the sea level stabilization and the progradation of fluvial sediments (González and et al., 2006). However this second	
₃₄ 31 [∣] 32	hypothesis does not explain the decrease in precipitation and the contraction of the Andean forest on the continent.	
34 3 3 34	Another hypothesis is that the southern shift of the ITCZ did not reach the latitude of Guayas during the austral	
34 3 5	summer and remained to at a northern position as shown by the Cariaco record (Haug et al 2001). The SSTs were	
36 ³⁴ 37	warm but the summer rainy season was weak or absent as shown by the low terrigenous input in the sediment.	
₃₄₄ 39	According to the study of Haug et al. (2001), the ITCZ started to move south after 5400 cal yr BP which is also in	
₃₄ 30 41		
34 62 43		
34 4 74	the expansion of the Andean forest on the flanks of the western cordillera during this interval.	
45 ³⁴⁸ 46	From 4200 to 2850 cal yr BP the contraction of <i>Alnus_dominated</i> woodlands contemporaneously with the reduction	Formatted: Font: 11 pt, Not Italic
₃₄₉ 7 48	of Andean forest and mangrove characterize cooler and drier climatic conditions on the continent. Both the	
₃₅ 49 50	expansion of the coastal desert herbs, and particularly high-salinity tolerant plants such as Chenopodiaceae, and the	
3551 52	cooler SSTs suggest a more northernmost influence of the Humboldt Current than today. The weak river discharges	Formatted: Font: 11 pt
³⁵ 3 3	attest of low moisture rates on the continent. The influence of the ITCZ was still weak or absent and seasonal	
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359 7	After 2850 cal yr BP the re-expansion of the Andean alders and of the mangrove swamp characterizes the return to			
3583 9	warmer conditions on land. After a short cooling, SSTs also exhibit a warming trend. The Andean forest long-term			
3560	decreasing decrease trend [∞] from [∞] 2850 up to 1850 cal yr BP can be explained by low elevation cloud formation due			
11 ³⁵⁷ 2	to <u>a</u> weak land-sea temperature contrast. This trend reversed during the last two millennia as that which were	5	Formatted:	· ·
358 14	marked by a rainfall increased. The last 2850 cal yr BP was also punctuated by a high frequency and amplitude of		Formatted: Formatted:	Not Highlight Font: 11 pt
₃₅ 15 16	contraction/expansion of the different ecosystems, Andean forest, mangrove or coastal desert, with three alternated		Formatted: Formatted:	Not Highlight Font: 11 pt
36 b7	phases of major expansion – regression of the Andean forest versus coastal herbsvegetation. The refore, tThe			
18 36µ9	observed increase in of Alnus frequencies woodlands in the forest over the last two millennia could also be J			
20 ³⁶² 21	however, also explained by agroforestry as demonstrated in an Andean archeological site (Chepstow-Lusty et al.,			
363 23	2000).			
3644				
25 36 2 6	5.2- Orbitally-driven climatic variability in the Guayaquil region.			
27 ³⁶ 28	The last 12,000 years are characterized by three steps in the amount of insolation (Fig. 4). The first step shows			
36 <u>3</u> 0	maxima in the Northern Hemisphere (NH) <u>boreal-summer insolation</u> and minima in Southern Hemisphere (SH)			
3681 32	summer austral winter insolation. The second step is a progressive increase of the insolation values in the SH with			
3693	the associated progressive decrease in the NH. The third step is the reverse situation when comparing with the early			
34 37 8 5	Holocene.			
36 ³⁷ 37	In the early Holocene the maximum summer insolation at 65°N<u>variations in the NH mid and high latitudes</u> (Berger et			
372 372 39	al., 1978), and the progressive sea level rise associated with the last deglaciation, ended at ~7000 cal yr BP. Between			
3 /3 0 41	the early and late Holocene the progressive decrease/increase of insolation values in the NH/SH is well reflected in			
37442	the global trends of vegetation development with, for instance, the progressive decrease of Andean forests and			
43 37454	mangroves and the increase of coastal herbs. However superimposed to this orbital forcing, variability in the SSTs			
45 ³⁷ 46	and the mean position of the ITCZ bring some local effects in the climate and environmental features of the			
377 48	continent such as the extremely dry event observed between 4200 and 2850 cal yr BP.			
3789 50	Our data indicate that long-term changes of the Andean forest cover were controlled by insolation variations at 65°N			
3 7591	affecting the ITCZ position and related precipitation. The period between 4200 and 2850 cal yr BP coincides with low			
52 3893	boreal summer insolation but high summer insolation at 3°S, and shows a minimum development of Andean forest,			
54 ³⁸¹ 55	mangrove and Alnus synchronous with an optimal development of coastal herbs and slightly lower SSTs. Insolation			
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forcing drives the temperature gradients between low and high latitudes and consequently the position of the ITCZ. A southern migration of the ITCZ should induce a rainfall increase in the Guayaguil basin. However, we observe the driest conditions of the Holocene suggesting that the ITCZ was located further north, somewhere between 1°S and 3°S where high moisture rates are observed (Lim et al 2014). This period, 4,200-2,850 cal yr BP, also coincides with weaker ENSO frequency compared to the last 2000 yr (Moy et al 2002).

The third long-term phase, since 2-850 cal yr BP up to the present, is characterized by progressive, but irregular, increases in both SSTs and river discharge (terrigenous material) that followed the same trend than the austral-SH winter summer insolation. Annual rainfall distribution responds to the southward ITCZ shifts that reached the latitude of Guayaquil and adopted the same bimodal seasonality than today (Fig. 1). However Andean forest, coastal herbs and mangrove do not follow the orbital trend and show high variability with an opposite pattern between, on the one hand, mangrove and Andean forest expansion and, coastal herbs regression on the other hand.

5.3- Millennial scale variability in the Guayaquil basin

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29 ³⁹30 Superimposed to the orbitally-driven climate variability, a succession of millennial-scale warm-wet/cool- dry intervals 3931 32 are recorded in the region of Guayaquil. Most of the regional forest cover contractions, indicating cooling/drying 39**373** events are contemporaneous with SST decreases that are weak, but larger than the error of the alkenone method 34 3**985** (0.4°C) (Pailler and Bard, 2002). We observe that the weak and low frequency ENSO events identified between 12,000 and 5,000 cal yr BP (Moy et al., 2002; Liu et al., 2014) coincide with muted Andean forest 400 400 39 contraction/expansion in the Guayaquil basin (Fig. 5). We also observe that the high variability and amplitude of the 40**4**0 Andean forest changes is observed when ENSO frequency and amplitude increased, i.e. during the last 3000 years 40**42** (Fig. 5). Based on Andean forest changes, a major increase of precipitation in the Bay of Guayaquil occurred at 43 40434 ~3,000, 2,000 and 1,200 cal yr BP which coincides with precipitation increase observed recorded at the Galapagos 45 ⁴⁰⁴46 lake El Junco (Conroy et al., 2008). On the other hand, the coolest events observed at ~2,500, 1,500 and 1,000 cal yr 405 48 BP in the <u>Guayas</u> Guayaquil basin are contemporaneous with cooling in the Bay of Guayaquul and could be related to a further northward penetration of the Humboldt Current along the coast of Peru. Therefore we infer abrupt 50 40**51** changes in the upwelling system driven either by the Humboldt Current or by ENSO at multidecadal scales, or both, 52 for the last millennia, thus reinforcing (or weakening) the average ITCZ-forced high (low) precipitations in the Guayaquil Guayas basin.

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⁴¹ ² 13	5.4 The last 1000 years
414 14	During the last 1000 years the re-expansion of the glaciers or during the Little Ice Age (LIA) is associated with three Formatted: Font: 11 pt
₄₁ 55 16	dry phases interrupted by two wet phases composed of a wet phase interrupted by a dry one phase in the Eastern
41 67 18	Ecuadorian Andes; the wet phase is bracketed by two drier ones (Reuter et al 2009; Ledru et al 2013). During this
4179	interval, we also observed in the western Cordillera Western Cordillera five changes in the development of Andean
20 ⁴¹⁸ 21	forest (Fig. 6). Forest contraction, ~400-300 cal yr BP, is inferred in the middle of the LIA bracketed by two periods of
4 <u>19</u> 23	higher Andean forest cover indicating a one century dry event mimicking the climatic evolution already inferred from
4204 25	regional speleothem and other pollen records. These five intervals are also observed in the pollen record of
42 <u>2</u> 6	Papallacta (00°21'30 S; 78°11'37 W at an elevation of 3815 m asl). They reflect changes in the Pacific Ocean SST and
27 ⁴² 28	ITCZ shifts on both sides of the Andean Cordillera. Between 1000 and 750 cal yr BP the dry environment is related to
423 423 30	low SST and low terrigenous deposits in the Bay of Guayaquil. At Cascayunga (Reuter et al., 2009) the moisture rates
4241 32	decrease showing that our records are in-phase and may display a regional climate trend. This interval reflects cold
4 <u>2</u> \$3 34	and dry climatic conditions during a high interdecadal ENSO variability and a northern position of the ITCZ that
42365	reduced the amount of rainfalls at the latitude of Guayaquil.
36 ⁴² 37	
428 428 39	Figure 6
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41 43402	Between 750 and 450 cal yr BP the high terrigenous are in phase with the high moisture rates showed as shown by in
43 4344	the speleothem record in Cascayunga (Reuter et al., 2009). However the Andean forest was not well developed
45 ⁴³² 46	during this interval and we rather infer a melting phase of the glacier at high elevation in phase with the high SST
46 433 48	than higher precipitation rates on the western Cordillera Western Cordillera. Our pollen record also shows a
₄₃ 49	development in the desertic environment related to the strength of the Humboldt Current that could be related to
50 43 5 1	the low interdecadal ENSO variability at low latitudes.
52 4353	Between 450 and 350 cal yr BP the SSTs are decreasing and the terrigenous input is low reflecting cold and wet
+33 54 437 55	climatic conditions with a progressive drying trend along this interval. The ITCZ is reaching the latitude of Guayaquil
⁴ 355 56	Conditions with a progressive orging trend along this interval. The fire is reaching the latitude of Gudydquit
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at the beginning of the interval and progressively moving northward as attested by the following interval, b. Between 350 and 250 cal yr BP where the vegetation, the low SST and the low terrigenous input characterize reflect the presence of stronger Humboldt Current, the absence of the and a weak influence of the ITCZ under low interdecadal ENSO variability. During this interval the moisture rates were lower than in the previous phase on both sides of the Cordillera. This interval characterized the Little Ice Age in the Ecuadorian Andes (Ledru et al 2013). After 250 cal yr BP the SST increase and the composition of the vegetation reflects the installation of a warm and wet climate on the continent. The moisture rates observed at Guayaquil are out of phase with the speleothem record of Cascayunga as were those of Papallacta on the eastern Cordillera Eastern Cordillera. A different origin for moisture, such as cloud dripping and upslope convective activity, was inferred at Papallacta to explain these differences between groundwater level (speleothem) and development of a wet rainforest on the slopes of the Cordillera (pollen records).

6-Conclusions

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Our marine record is well connected with the orbitally-driven and SST-controlled climate changes of the tropical 451 451 30 Andes (Jomelli et al 2011; Polissar et al 2013). Moreover we assess the responses of the vegetation to these forcings and their associated ocean-atmosphere couplings on the equatorial Pacific coast and on the Wwestern Ceordillera. We confirm that marine pollen records collected from the river outlets accurately represent an integrated image of 34 the regional vegetation of the adjacent landmasses and, consequently, the climatic parameters under which this vegetation developed. We show that changes in insolation, SSTs and ITCZ control the hydrological cycle in this area. Derived changes in the seasonality, in the strength of the Humboldt Current activity and in multi decadal scale ENSO variability show three main phases of ocean-atmosphere coupling during a continuous increase/decrease trend of 4**5%82** southernaustral-winter/northern-boreal summer hemisphere-insolation. These three climatic phases are associated to specific vegetation assemblages on the continent and more specifically on the Western Cordillera. During the early 45494 to middle Holocene, between 11,700 and 7700 cal yr BP, climate conditions in the Guayaquil Guayas basin were controlled by the position of the ITCZ. The ITCZ was located further north and southern/northern hemisphere austral <u>/boreal summer</u>insolation was at a minimum/maximum. Rainfalls were scarcePrecipitation was low but clouds formed on the western Cordillera Western Cordillera due to a warm land-cold sea thermal contrast while glaciers were rapidly melting in the Andes. This dry period coincides with simulated relatively weak ENSO strength. The progressive increase of SSTs-induced, along the sea level rise, induced the full development of the mangrove in the

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466	Bay of Guayaquil. In the second phase, 7700-2850 cal yr BP, the SSTs reached a maximum and showed high variability	
46 37 9	between two extreme cold events (at 4 ,500 and 3 ,500 cal yr BP) and one extreme warm event (4 000 cal yr BP).	
46 8 0	The progressive southward shift of the mean position of the ITCZ had not yet reached the latitude of Guayaquil and	
11 ⁴⁶⁹ 12	precipitations remained low on the western cordillera. The development of the vegetation is following the	(
470 ³ 14	progressive increase/decrease of austral southern/northern wintehemispherer/boreal summer insolation in	[
₄₇ 15 16	southern/northern hemisphere and is characterized by the regression of the Andean forest on the western	
4727 18	Cordillera Western Cordillera while Alnus became more abundant. The simultaneous regression of the mangrove and	ر - ا در - ا
47 β 9	expansion of the dry coastal herbs suggest lower moisture rates-mainly related, in agreement with model simulations	
20 ⁴⁷⁴ 21	(Braconnot et al 2011), mainly related to the absence of the seasonal shift of the ITCZ under at this latitude as the	
4 75 23	SSTs remained high and ENSO variability was low. The short interval between 4200 and 2850 cal yr BP shows the	
47 4 25	coolest and driest climatic conditions of the Holocene and a northward shift of the Humboldt Current influence are	
47276 27	inferred together with a northern position of the ITCZ. In the third phase, between 2850 cal yr BP and today, a high	
⁴⁷ 28	variability in the land-sea connections likely related with the high variability in frequency and strength of ENSO is	
4 <u>79</u> 479 30	documented by successive large expansion and contraction phases of the tropical forest and coastal herbs. Pacific	
4831 32	Ocean SSTs represent the main climate forcing with abrupt changes within the system such as those induced by	
48 <u>1</u> 3 34	ENSO. The continent became globally warmer and wetter with a strong variability during the latest part of the	
48 3 5	Holocene. The southern limit of the ITCZ reached the latitude of Guayaquil after 2500 years ago and induced the	
36 ⁴⁸³ 7	bimodal seasonal climate that still prevails today. We conclude that changes in equatorial Eastern Pacific Ocean SSTs	;
484 39	and summer insolation are the main determinants drivers of for the composition of the ecosystems and the	
48 3 0 41	hydrological cycle of the eastern Pacific coast and the western Cordillera Western Cordillera.	
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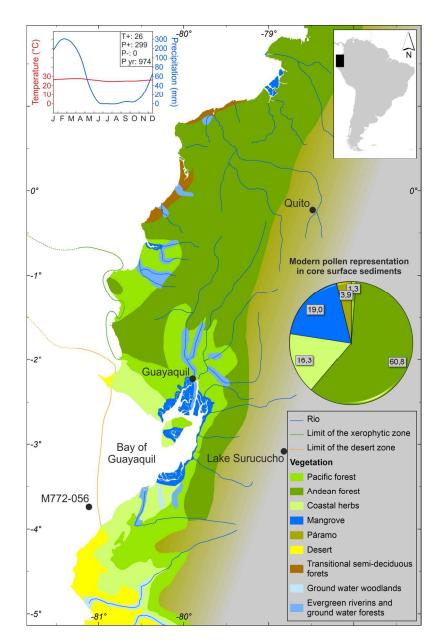
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20 ⁶¹¹ 21	Figure 1 - Map of the Guyaquil basin showing the distribution of the main vegetation types (redrawn from Troll,	
6 <u>12</u> 23	1968). Top left a pie diagram showings the pollen assemblage from the core top sample that represents the different	
61234 25	pollen percentages (numbers in black) of the vegetation communities (same colors as the map) occupying the	
6 1216	Guayas basin during the last decades.	
27 ⁶¹ 278	Figure 2 - Pollen <u>percentage</u> diagram with selected taxa of core M772-056. <u>Changes in pollen perentages are Pollen</u>	
616 30	frequencies are represented along a time scaleplotted against age. Dashed black lines indicate the 5 main pollen	
61 <mark>3</mark> 1 32	zones; grey solid lines indicate the pollen sub-zones. Blue and orange background for humid and dry conditions,	
6 133	respectively.	
34 61 39 5	Figure 3 - Alnus pollen frequencies percentage values along a time scale at Surucucho (Colinvaux et al. 1997) and in	
36 ⁶² 37	core M772-056 .	
621 621 30	Figure 4 – Direct comparison between SST changes in the Bay of Guyaquil and vegetation-based atmospheric	
6220	changes in the Guayas basin Environmental changes in the Bay of Guyaquil during the Holocenefrom the analysis of	
41 62 4 2	core M772-056: a) Mangrove and coastal vegetation pollen percentage curves with insolation values for both	
43 6244	hemisphere (from Berger and Loutre), b) <u>Alnus pollen percentage curve</u> , c) Andean forest pollen percentage curve, d)	Formatted: Font: Italic
45 ⁶²⁵ 46	TI/Caand changes in SSTUK' a7-based SST changes are represented by Uk37 (from (Mollier-Vogel et al., 2013), e) Log(Formatted: Not Superscript/ Subscript
40 626 48	TI/Ca) record, and and changes in pollen indicator frequencies, Andean forest, Alnus, coastal herbs, mangrove (this	Formatted: Subscript
₆₂ 49	study). <u>F) changes in-boreal (65°N) summer and austral (3°S) winter summer? insolations (Berger, 1978).</u> Blue and	
50 62531	orange background for humid and dry conditions, respectively.	
52 62 9 3	Figure 5 - ENSO variability (from Moy et al. 2002) and Andean forest pollen frequencies percentage curve (this study)	
54 630 55	during the Holocene.	
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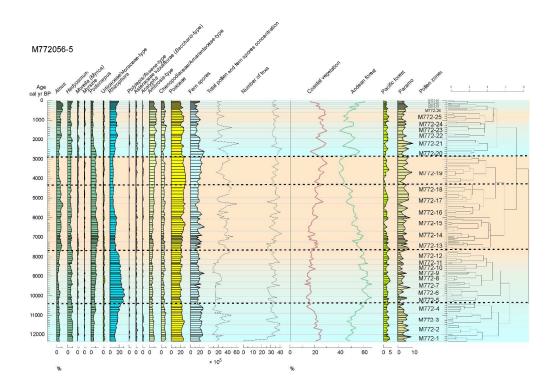
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5 639 7	Figure 6 <u>Precipitation changes during t</u> The last 1000 y <u>ea</u> rs on the equatorial <u>Eastern Equatorial</u> Pacific coastal	
63 2 9	region of South America from the : a) δ^{18} O speleothem record of Cascayunga (CAS A+D, Reuter et al., 2009), b) Alnus,	
63 β Ο	Mangrove and Coastal vegetation pollen percentage curves from core M772-056, c) Andean forest pollen percentage	
11 ⁶³⁴ 2	curve from core M772-056, d) Uk' _{a7} -based SST from core M772-056, e) [Ti/Ca] curve from core M772-056. Blue and	 Formatted: Subscript
635 14	orange arrows show wet and dry phases during the Last Little Ice Age (LIA), respectively. Black dashed lines indicate	
₆₃₆ 5 16	the three hydro-climate intervals based on terrigenous input in the Bay of Guayaquil discussed in the text.	
17 ⁶³⁷ 8	Table I - List of the identified pollen taxa in marine core M772 056-5 (Guayaquil Basin, Eastern Equatorial Pacific) and	
638 638 20	clustering into included in the 5 main ecological groups after their main ecological affinityfound in the Holocene	
₆₃ 21 22	sediments of marine core M772 056 5 (Guayaquil Basin, eastern equatorial Pacific).	
23 ⁶⁴ 24	Table II- Radiocarbon dates (¹⁴ C-AMS) and sample specific data used for the age model of core M772-056 (Mollier-	 Formatted: Superscript
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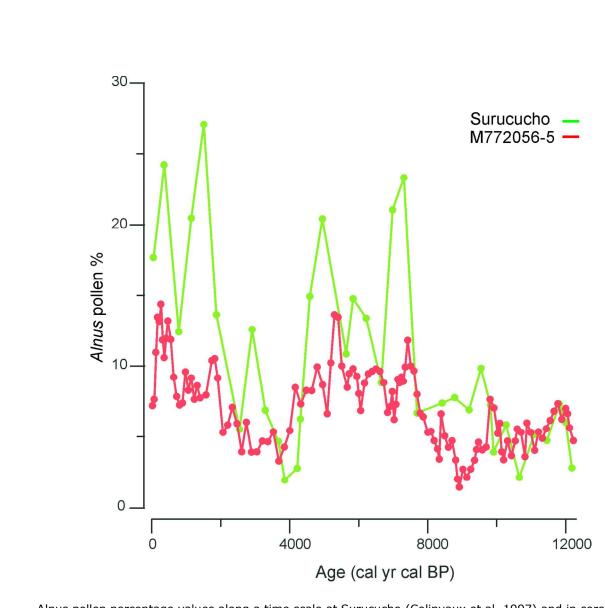
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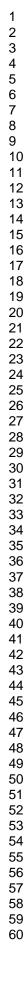
Map of the Guyaquil basin showing the distribution of the main vegetation types (redrawn from Troll, 1968). Top left a pie diagram showing the pollen assemblage from the core top sample that represents the different pollen percentages (numbers in black) of the vegetation communities (same colors as the map) occupying the Guayas basin during the last decades. 141x215mm (299 x 299 DPI)

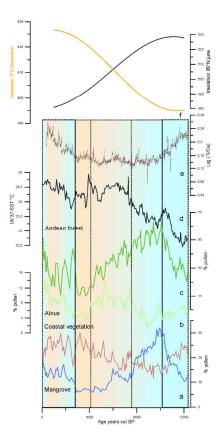


Pollen percentage diagram with selected taxa of core M772-056. Changes in pollen perentages are plotted against age. Dashed black lines indicate the 5 main pollen zones; grey solid lines indicate the pollen subzones. Blue and orange background for humid and dry conditions, respectively. 263x183mm (300 x 300 DPI)



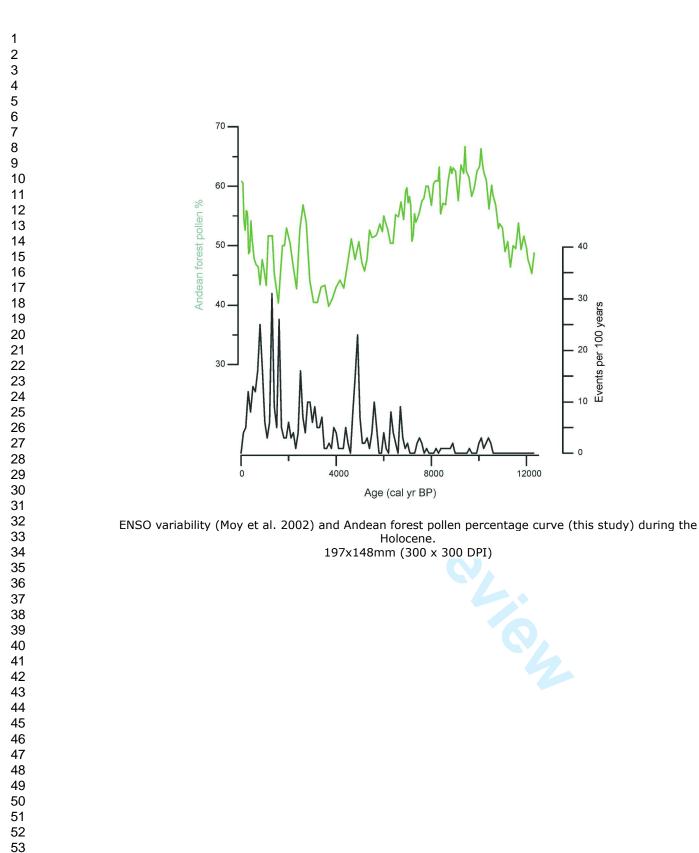
Alnus pollen percentage values along a time scale at Surucucho (Colinvaux et al. 1997) and in core M772-056 . 147x148mm (300 x 300 DPI)

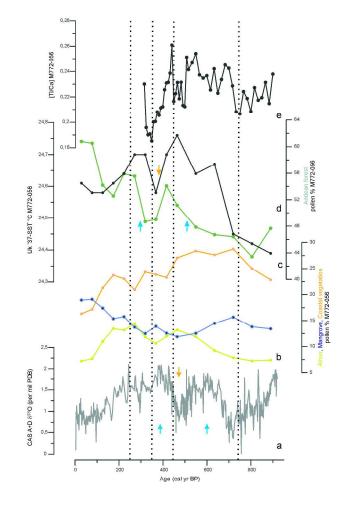




Direct comparison between SST changes in the Bay of Guyaquil and vegetation-based atmospheric changes in the Guayas basin from the analysis of core M772-056: a) Mangrove and coastal vegetation pollen percentage curves, b) Alnus pollen percentage curve, c) Andean forest pollen percentage curve, d) Uk'37based SST changes (Mollier-Vogel et al., 2013), e) Log(TI/Ca) record, and F) boreal (65°N) summer and austral (3°S) summer insolations (Berger, 1978). Blue and orange background for humid and dry conditions, respectively. 217x356mm (300 x 300 DPI)

Events per 100 years





Precipitation changes during the last 1000 years on the Eastern Equatorial Pacific coastal region : a) δ180 speleothem record of Cascayunga (CAS A+D, Reuter et al., 2009), b) Alnus, Mangrove and Coastal vegetation pollen percentage curves from core M772-056, c) Andean forest pollen percentage curve from core M772-056, d) Uk'37-based SST from core M772-056, e) [Ti/Ca] curve from core M772-056. Blue and orange arrows show wet and dry phases during the Little Ice Age (LIA), respectively. Black dashed lines indicate the three hydro-climate intervals based on terrigenous input in the Bay of Guayaquil discussed in the text.

293x338mm (300 x 300 DPI)

Table 1

Forest			Open vegetation		
Mangrove	Pacific forest	Andean forest	Páramo	Coastal herbs & shrubs	Ubiquists
Rhizophora	Acanthaceae	Alnus	Baccharis-type	Acalypha	Bromeliaceae
Acrostichum	Alchornea	Araliaceae	(Asteraceae	Ambrosia-type	Cyperaceae
	Annonaceae	Bocconia	tubuliflorae)	Apiaceae Daucus-	Fabaceae-type
	Araceae	Bromeliaceae	Polylepis/Acaena	type	Hedyosmum
	Arecaceae-type	Caesalpiniaceae	-type	Bromeliaceae	Melastomatacea
	Anacardiaceae	Cerastium/Stellar		Campanulaceae	Poaceae
	Banara-type	ia-type		Cerastium/Stellaria-	Scrophulariacea
	Bignoniaceae	Clethra		type	
	Bombacaceae	Clusiaceae		Chenopodiaceae/A	
	Bromeliaceae	Convolvulaceae		marantaceae-type	
	Burseraceae-type	Maripa-type		Cyperaceae	
	Cucurbitaceae	Daphnopsis		Ericaceae	
	Dictyocaryum	Dodonaea		Gentianacea	
	Diospyros	Drimys		Malvaceae	
	Euphorbia/Mabea	Ericaceae		Plantago	
	-type	Hedyosmum		Poaceae	
	Guazuma	llex		Polygonaceae	
	Hedyosmum	Juglans		Solanaceae	
	Hyeronima,	Lamiaceae		Ranunculaceae	
	Iriartea	Malpighiaceae		Taraxacum-type	
	Loranthaceae	Morella (Myrica)		(Asteraceae	
	Meliaceae	Myrsine		liguliflorae)	
	Mimosa	Onagraceae		Thalictrum	
	Myrtaceae	Passiflora			
	Phyllanthus	Polylepis/Acaena-			
	Rubiaceae	type			
	Sapium	Podocarpus			
	Sapotaceae	Proteaceae			
	Sterculiaceae-	Symplocos			
	type	Vallea,			
	Ulmaceae	Vicia,			
	Urticaceae/Morac	Vismia			
	eae-type	Weinmannia			

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HOLOCENE

Table 2- Radiocarbon dates (¹⁴C-AMS) and sample specific data used for the age model of core M772-

056 (Mollier-Vogel et al., 2013).

Depth (cm)	Sample material	Radiocarbon age (¹⁴ C yr BP)	Age range (cal yr BP, 2σ)	Age (cal yr BP mean value)
2	Neogloboquadrina dutertrei	0	0	0
49	N. dutertrei	1085 ± 25	416-616	516
138	N. dutertrei	2575 ± 30	1850-2153	2001
199	N. dutertrei	3255 ± 25	2717-2965	2841
338	N. dutertrei	4960 ± 30	4850-5225	5037
399	N. dutertrei	5510 ± 40	5563-5853	5708
508	N. dutertrei	6620 ± 35	6735-7088	6911
599	N. dutertrei	7430 ± 35	7574-7826	7700
693	N. dutertrei	8220 ± 60	8326-8678	8502
769	N. dutertrei	8960 ± 45	9271-9538	9404
893	N. dutertrei	10,085 ± 45	10,596-11,060	10,828
999	N. dutertrei	11,030 ± 50	12,052-12,561	12,306