1	Mass spectrometry and machine learning for the accurate diagnosis of
2	benzylpenicillin and multidrug resistance of <i>Staphylococcus aureus</i> in
3	bovine mastitis
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#### 22 Abstract

23 Staphylococcus aureus is a serious human and animal pathogen threat exhibiting extraordinary 24 capacity for acquiring new antibiotic resistance traits in the pathogen population worldwide. 25 The development of fast, affordable and effective diagnostic solutions capable of discriminating 26 between antibiotic-resistant and susceptible S. aureus strains would be of huge benefit for effective 27 disease detection and treatment. Here we develop a diagnostics solution that uses Matrix-Assisted 28 Laser Desorption/Ionisation - Time of Flight Mass Spectrometry (MALDI-TOF) and machine 29 learning, to identify signature profiles of antibiotic resistance to either multidrug or benzylpenicillin in 30 S. aureus isolates. Using ten different supervised learning techniques, we have analysed a set of 82 S. 31 aureus isolates collected from 67 cows diagnosed with bovine mastitis across 24 farms. For the 32 multidrug phenotyping analysis, LDA, linear SVM, RBF SVM, logistic regression, naïve Bayes, MLP 33 neural network and QDA had Cohen's kappa values over 85.00%. For the benzylpenicillin 34 phenotyping analysis, RBF SVM, MLP neural network, naïve Bayes, logistic regression, linear SVM, 35 QDA, LDA, and random forests had Cohen's kappa values over 85.00%. For the benzylpenicillin the 36 diagnostic systems achieved up to (mean result ± standard deviation over 30 runs on the test set) : 37 accuracy =  $97.54\% \pm 1.91\%$ , sensitivity =  $99.93\% \pm 0.25\%$ , specificity =  $95.04\% \pm 3.83\%$ , and 38 Cohen's kappa =  $95.04\% \pm 3.83\%$ . 39 Moreover, the diagnostic platform complemented by a protein-protein network and 3D structural 40 protein information framework allowed the identification of five molecular determinants underlying 41 the susceptible and resistant profiles. Four proteins were able to classify multidrug-resistant and 42 susceptible strains with 96.81%  $\pm$  0.43% accuracy. Five proteins, including the previous four, were 43 able to classify benzylpenicillin resistant and susceptible strains with  $97.54\% \pm 1.91\%$  accuracy. 44 Our approach may open up new avenues for the development of a fast, affordable and effective day-45 to-day diagnostic solution, which would offer new opportunities for targeting resistant bacteria. 46

#### 47 Author Summary

48 Antibiotic resistance is one of the biggest threats to human and animal health. The incessant 49 emergence of new multidrug-resistant bacteria needs to be counterbalanced by the implementation of 50 effective diagnostics solutions to detect resistance and support treatment selection. 51 The objective of this study is the development of effective diagnostic solutions to identify resistance 52 to benzylpenicillin and other drugs in S. aureus strains infecting dairy cattle. S. aureus is one of the 53 most common pathogens of clinical mastitis in the dairy industry, affecting productivity, profitability, 54 animal health and welfare, and has an extraordinary capacity for acquiring new antibiotic resistance 55 traits. 56 Our diagnostic solution combines machine learning and mass spectrometry. The application to a test 57 set of 82 S. aureus isolates collected from 67 cows diagnosed with bovine mastitis across 24 farms 58 discriminated between multidrug-resistant and susceptible strains with (mean result  $\pm$  standard 59 deviation over 30 runs on the test set) 96.81%  $\pm 0.43\%$  accuracy, and between benzylpenicillin-

60 resistant and susceptible strains with 97.54%  $\pm$  1.91% accuracy. Through a dedicated bioinformatics 61 pipeline developed on the results of machine learning, we were able to obtain new insights into the 62 molecular determinants and mechanism underlying the antibiotic resistance phenotypes. We believe 63 that our approach may open up new avenues for the development of a fast, affordable and effective 64 diagnostic solution which would offer new opportunities for targeting resistant bacteria and support 65 with timely, accurate and targeted treatment selection.

66

# 67 Introduction

*Staphylococcus aureus* is a major opportunistic pathogen, infecting both humans and a wide variety of
animals including dairy cattle, which have been recently proven to pose an important zoonotic
potential, being the principal animal reservoir of novel human epidemic clones [1]. Worldwide, *S. aureus* is one of the most frequently isolated pathogens of bovine mastitis, which remains a
significant problem in the dairy industry by affecting productivity, profitability, animal health and
welfare [2]. The majority of bovine mastitis infections caused by *S. aureus* exhibit subclinical and
chronic manifestations resulting in long-term intramammary persistence [3]. *S. aureus* can reproduce

75 swiftly upon entering the mammary gland and induce immune reactions that can lead to tissue injuries 76 [4]. Most of the time, the immune response of the cow itself cannot successfully eliminate the S. 77 aureus infection and treatment is needed [4]. Existing S. aureus vaccines are not considered as a 78 preventive solution due to their yet unproven effectiveness against infections [5]. 79 In 2000, Gentillini et al. [6] indicated that beta-lactams (penicillins and cephalosporins), 80 aminoglycosides, macrolides and lincosamides were the most commonly used antibiotics for 81 treatment of bovine mastitis. In addition, according to a recent survey [7] in 2018, penicillins, 82 aminoglycosides and third/fourth generation cephalosporins were the most common antibiotics used 83 on the treatment for bovine mastitis in the UK. The first examples of using benzylpenicillin for bovine 84 mastitis treatment can be traced back to the 1940s [8]. However, penicillin-resistant S. aureus strains, 85 carrying a penicillinase/beta-lactamase emerged shortly after its first clinical usage and by the early 86 1950s, they became pandemic [8]. In 1959 a penicillin derivative, methicillin, that was resistant to  $\beta$ -87 lactamase hydrolysis was synthetized. However, immediately after methicillin was used clinically, 88 methicillin-resistant S. aureus (MRSA) strains were isolated [9, 10]. Resistance to methicillin is 89 conferred by the acquisition of a mobile genetic element, the staphylococcal cassette chromosome 90 (SCCmec) carrying the gene mecA encoding a penicillin-binding protein (PBP2a) [9, 10]. Over the 91 years, mutations, acquisition and accumulation of antibiotic resistance-conferring genes, divergent 92 mecA gene homologues (mecC) [11, 12] and SCCmec elements [11] have led to the emergence of 93 multi-resistant MRSA strains [13]. 94 Nowadays, MRSA are resistant to virtually all β-lactam antibiotics [11]. Since its emergence in the 95 early 2000's, livestock-associated methicillin-resistant S. aureus (LA-MRSA) has become an 96 emerging problem in many parts of the world [14-16]. The detection of mecC MRSA from dairy 97 cattle in England [12] was reported in 2011. The first isolation of both mecA and mecC LA-MRSA. In 98 bulk milk from dairy cattle in the UK was reported in 2012 [17]. Worryingly, a number of studies 99 have suggested possible human-livestock MRSA transmissions [16, 18-20]. In addition, several 100 studies have reported that persons with occupational livestock exposure may be at increased risk of 101 becoming colonized with LA-MRSA [21]. More than 90% of current human-associated isolates [22] 102 and varying from 84% to 92% of dairy-related isolates were observed to be penicillin-resistant [23,

103 24]. However, the UK surveillance report between 2016 and 2018 showed that penicillin resistance in
104 *S. aureus* was relatively low (20.4% on average) in British dairy cattle [25].

105 It is not uncommon in dairy cattle practice to give antibiotics to healthy animals to prevent the 106 insurgence of diseases, and to sick animals often without certainty about the actual bacterial origin of 107 the disease. Even when the disease is of recognised bacterial origin, broad-spectrum antibiotics are 108 often used, instead of targeting the specific bacterial strain causing the illness. Underlying such 109 prescription practices is the lack of fast, affordable and effective diagnostic solutions, which leaves 110 the veterinarian to primarily rely on educated guesses. These practices have serious consequences, 111 amongst which is the appearance and diffusion of multidrug antibiotic resistance profiles in the 112 pathogen population.

S. aureus has an extraordinary capacity of acquiring new resistance traits by the integration into its genome of exogenous genetic material via horizontal gene transfer and mutational events [26, 27]. In *Staphylococcus* spp, the major targets underlying mechanisms of resistance are the cell envelope, the ribosome and nucleic acids [28]. However, several studies have identified hypothetical proteins as also being associated with drug resistance specifically in *S. aureus* [29].

118 Characterising the proteins, alone or in combination, that contribute to resistance, can potentially lead 119 to improved diagnostic tools and therapeutics against antibiotic-resistant *S. aureus* and may hold the 120 key to unlocking this global health problem. In veterinary medicine, the identification of multidrug-121 resistant (MDR) pathogens and the identification of their antibiotic resistance profiles is done by 122 conventional methods such as disk diffusion, epsilometer test, Vitek, macrodilution and microdilution 123 [30]. However, such diagnostic tools are not affordable and quick enough to offer real-time control of 124 invasive infections.

Matrix-Assisted Laser Desorption/Ionisation – Time of Flight Mass Spectrometry (MALDI-TOF) has
been an alternative way of detecting antibiotic resistance thanks to its low-cost and speed [31].

127 Antibiotic resistance profiles of several organisms could be determined by MALDI-TOF [32-34], and,

128 in combination with machine learning techniques, larger datasets, a wide range of microbial species

identification and complex antimicrobial resistance profile could be analysed faster and more easily

and economically, revolutionizing the field of microbiology [35]. S. aureus was one of the most

131	frequently studied genera for antimicrobial resistance prediction [36-40]. Rapid and accurate
132	classification of MRSA and methicillin-sensitive S. aureus (MSSA) based on MALDI-TOF spectral
133	of clinical samples were obtained by several studies [36, 38, 39]. Analogously, high accuracy results
134	have been obtained when applying machine learning approaches to MALDI-TOF spectral data for the
135	prediction of the broad-spectrum antibiotic vancomycin. In particular, successful separation of
136	vancomycin-intermediate (VISA) from vancomycin-susceptible S. aureus (VSSA) on the basis of
137	MALDI-TOF data collected from clinical samples [37, 40, 41]. Recently, van Oosten and Klein [42],
138	developed classification models for S. aureus which assign the mechanisms of action of antibacterial
139	drugs.
140	The objective of this study was to find a fast and more accurate alternative to standard susceptibility
141	tests, to profile multidrug and benzylpenicillin resistance in S. aureus isolates. To this end, we tested
142	the discriminatory power given by the combination of supervised machine learning and MALDI-TOF,
143	complemented by a protein-protein interaction (PPI) network and a protein structural analysis
144	workflow. Here for the first time, we demonstrate that this approach can be used to develop diagnostic
145	solutions that can discriminate with high performance between benzylpenicillin- and multidrug-
146	resistant and susceptible bovine mastitis-causing S. aureus isolates.
147	
148	Results
149	Sample Analysis
150	In this study, 82 S. aureus isolates had been cultured from milk samples collected between March
151	2004 and May 2005. The samples were from 24 herds each in a different farm (24 farms) where 23
152	farms were in England (most of them in the south) and one farm was in Wales (Llangathen,
153	Carmarthen). The locations of the farms and S. aureus isolates collected from each farm are shown in
154	Figure 1 and the breakdown of isolates per farm is shown on Supplementary Table 1. Moreover,
155	Supplementary Table 2 indicates the antimicrobial susceptibility profile of the resistant isolates that
156	were obtained from the same animal.
157	VITEK analysis showed that the cohort consisted of 31 benzylpenicillin-resistant and 51
158	benzylpenicillin-susceptible isolates. Amongst the resistant isolates, 16 isolates were found to be only

159 penicillin-resistant, while 15 isolates had resistance to multiple antibiotics, among these 15 isolates 13 160 were found to be resistant to three or more antibiotics, with at least one antimicrobial agent in three antimicrobial classes (multidrug-resistant, MDR), while two isolates were resistant to two or more 161 162 antibiotics with at least one antimicrobial agent in two antimicrobial classes (extensively drug-163 resistant, XDR). We considered the MDR and XDR as one class and named it as MDR for simplicity. 164 As shown in Figure 2, out of 15 multidrug-resistant isolates, 11 isolates were resistant to 165 benzylpenicillin, clindamycin, erythromycin, tilmicosin and tylosin; 1 isolate was resistant to 166 benzylpenicillin, clindamycin, tilmicosin and tylosin; 1 isolate was resistant to benzylpenicillin, 167 tetracycline and tilmicosin; 1 isolate was resistant to benzylpenicillin and tetracycline, and 1 isolate 168 was resistant to benzylpenicillin, cefalotin, cefoxitin and oxacillin. 51 isolates were found to be 169 susceptible to all antibiotics used in this study which were benzylpenicillin, cefoxitin, oxacillin, 170 cefalotin, ceftiofur, cefquinome, amikacin, gentamicin, kanamycin, neomycin, enrofloxacin, 171 clindamycin, erythromycin, tilmicosin, tylosin, tetracycline, florfenicol and 172 trimethoprim/sulfamethoxazole. 173 174 Generation of MALDI-TOF peak lists and set-up of the classifiers 175 A total of 312 MALDI-TOF raw data spectra had been obtained from 82 S. aureus isolates, on 176 average 4 replicate spectra per isolate. The peak lists, i.e. the lists of paired mass/charge (m/z) ratios

and corresponding intensity values, were extracted from the raw spectra as specified in the MethodsSection.

179 Supervised machine learning algorithms were used to implement classifiers to verify if the MALDI-

180 TOF peaks associated with isolates could be used to predict their resistance or susceptibility to

181 benzylpenicillin and multidrug. Being based on supervised learning, all methods required the

- 182 availability of training datasets for model building and validation datasets for assessing the
- 183 performance of the classifier. The prediction performance of each classifier was evaluated measuring

accuracy, sensitivity, specificity and kappa. Thirty iterations of nested cross-validation (described in

185 Methods) were used to train each classifier.

The following classification methods, available in the scikit-learn library in Python, were tested: naïve
Bayes, linear and non-linear (RBF kernel) support vector machines (SVM), decision tree, random
forests, multi-layer perceptron neural networks (MLP), AdaBoost (AdaBoost-SAMME version),
logistic regression, linear discriminant analysis (LDA) and quadratic discriminant analysis (QDA).

191 Analysis of multidrug-resistant vs susceptible isolates

192 We first focused on investigating the possibility to develop a classifier to verify if MALDI-TOF peak 193 lists associated with isolates could be used to predict their multidrug phenotype. Specifically, we 194 considered the spectra of 15 multidrug-resistant isolates (13 MDR and 2 XDR) and 51 susceptible 195 isolates (susceptible to all antibiotics tested in this study). A total of 249 raw spectra were analysed. 196 The pre-processing led to the identification of four different peaks (Table 1) found to appear in at 197 least 30% of all number of spectra. Due to the unbalanced nature of this specific data set (76% of 198 samples were susceptible and only 24% were resistant), we first standardised the four features by a 199 down-sampling method to build robust classifiers [43]. At each one of the 30 runs, 15 samples were 200 randomly chosen out of the initial 51 susceptible samples and a final balanced (50% resistant, 50% 201 susceptible) dataset was generated. The four peaks were then used as features to build ten classifiers 202 and to develop predictive models for the multidrug phenotype. Before the classification, features were 203 standardised (mean centred and unit variance) then resistant and susceptible isolates were labelled as 204 0 and 1, respectively. 30 runs using nested cross-validation were performed. Amongst the investigated 205 machine learning approaches, LDA, linear SVM and RBF SVM were found as the top three best 206 performance showing algorithms, respectively. Diagnostic systems trained on individual isolates 207 coming from 24 different farms achieved up to (mean result  $\pm$  standard deviation over 30 runs on the 208 test set): accuracy = 96.81%  $\pm 0.43$  %, sensitivity = 99.88%  $\pm 0.41$ %, specificity = 95.96%  $\pm 0.52$ %, 209 and kappa =  $91.83\% \pm 1.37\%$  in LDA algorithm. Detailed performance results of all classifiers on test 210 data can be found in Figure 3.

211

Table 1. Statistical evaluation of the 4 peaks with an overall frequency of appearance higher
than 30% based on the multidrug resistant vs susceptible data set.

Mass (kDa)	РТТА	PWKW	Ave1	Ave2	StdDev1	StdDev2	PA	PA1	PA2
4.807	3.78E-12	1.34E-07	7.27	19.55	5.89	3.72	66.88	35.71	98.04
6.422	0.00036	0.041891	6.92	10.30	4.54	2.00	45.31	35.71	54.90
6.891	0.02021	0.12752	31.98	43.04	23.96	14.89	80.18	64.29	96.07
9.621	6.81E-08	3.73E-07	32.39	43.00	3.28	6.23	100.00	100.00	100.00

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PTTA is the *p*-value of Welch's *t*-test; PKWK is the *p*-value of Wilcoxon test; index 1 refers to resistant isolates; index
2 refers to susceptible isolates; Ave is the overall intensity average; Ave1 is the intensity average of class 'Resistant';
Ave2 is the intensity average of class 'Susceptible'; StdDev is the overall intensity standard deviation; StdDev1 is the
intensity standard deviation of class 'Resistant'; StdDev2 is the intensity standard deviation of class 'Susceptible'; PA
is the overall proportion of appearance; PA1 is the proportion of appearance of class 'Resistant'; PA2 is the proportion of appearance of class 'Susceptible'.

221

# 222 Analysis of benzylpenicillin-resistant only vs susceptible isolates

Next, we investigated resistance and susceptibility to benzylpenicillin only. This was to isolate
specific patterns underlying resistance to this specific antibiotic. We chose benzylpenicillin because it
was the only antibiotic for which we had singly resistant isolates.

226 To this aim, the spectra of the 16 benzylpenicillin-resistant only isolates and 51 susceptible isolates 227 (susceptible to all antibiotics tested in this study) were first pre-processed as described in the Methods 228 Section. Five peaks were found in at least 30% of the overall number of spectra (Table 2). Due to the 229 unbalanced nature of this specific data set (76% of samples are susceptible and only 24% are 230 resistant), we first standardised the five features by a down-sampling method to build robust 231 classifiers [43]. At each one of the 30 runs, 16 samples were randomly chosen out of the initial 51 232 susceptible samples and a final balanced (50% resistant, 50% susceptible) dataset was generated. The 233 five peaks were then used as features to build ten classifiers and to develop predictive models for the 234 benzylpenicillin phenotype. Before the classification, features were standardised (mean centred and 235 unit variance) then resistant and susceptible isolates were labelled as 0 and 1, respectively. 30 runs 236 using nested cross-validation was performed. Amongst the investigated machine learning approaches 237 RBF SVM, neural network and logistic regression were those that achieved the best performance. 238 Diagnostic systems trained on individual isolates coming from 24 different farms achieved up to

(mean result  $\pm$  standard deviation over 30 runs on the test set); accuracy = 97.54%  $\pm$  1.91%,

240 sensitivity =  $99.93\% \pm 0.25\%$ , specificity =  $95.04\% \pm 3.83\%$ , and kappa =  $95.04\% \pm 3.83\%$  in RBF 241 SVM algorithm. Detailed performance results of all classifiers on test data can be found in Figure 4. Notably, four peaks (4.807kDa, 6.422kDa, 6.891kDa and 9.621kDa) were found common in the 242 243 analysis of benzylpenicillin-resistant vs susceptible and multidrug-resistant vs susceptible isolates. 244 When comparing the intensities of these four peaks in the two datasets (resistant vs. susceptible) we 245 observed that 4.807kDa, 6.891kDa and 9.621kDa had a higher average in susceptible isolates 246 consistently while 6.422kDa had a higher average of intensity in benzylpenicillin-resistant only 247 isolates class. 4.305kDa which was specific to benzylpenicillin-resistant only analysis had higher 248 average intensity in resistant than susceptible isolates.

249

#### 250 Table 2. Statistical evaluation of the 5 peaks with an overall frequency of appearance higher than

251 **30% based on the benzylpenicillin resistant only vs susceptible data set.** 

Mass (kDa)	РТТА	PWKW	Ave1	Ave2	StdDev1	StdDev2	PA	PA1	PA2
4.305	0.258564	0.213998	10.20	9.34	2.60	2.64	34.33	37.50	33.33
4.807	7.02E-08	5.96E-07	12.94	19.55	4.02	3.72	92.54	75.00	98.04
6.422	0.39999	0.50342	10.81	10.30	2.44	2.00	58.21	68.75	54.90
6.891	5.69E-12	8.31E-08	10.00	43.04	8.80	14.89	76.12	56.16	96.07
9.621	1.81E-10	3.35E-08	29.84	43.00	5.54	6.23	100.00	100.00	100.00

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PTTA is the *p*-value of Welch's *t*-test; PKWK is the *p*-value of Wilcoxon test; index 1 refers to resistant isolates; index
2 refers to susceptible isolates; Ave is the overall intensity average; Ave1 is the intensity average of class 'Resistant';
Ave2 is the intensity average of class 'Susceptible'; StdDev is the overall intensity standard deviation; StdDev1 is the
intensity standard deviation of class 'Resistant'; StdDev2 is the intensity standard deviation of class 'Susceptible'; PA
is the overall proportion of appearance; PA1 is the proportion of appearance of class 'Resistant'; PA2 is the proportion of appearance of class 'Susceptible'.

259

# Machine learning analyses undertaken to prove the effectiveness of our method to differentiate susceptibility/resistance profiles rather than strain differences

262 Because two of the five discriminant proteins found in this work were of ribosomal origins and

- ribosomal proteins have been used for the discrimination of major *S. aureus* lineages based on
- 264 MALDI-TOF analysis [44-47], we performed further analyses in support that our classifiers were

265 picking up susceptibility/resistance differences rather than strain differences. First, we investigated if 266 and how in the sole presence of the ribosomal peaks as input features or in their absence the 267 performance of the classifiers changed and how. As shown in Supplementary Table 3 by removing 268 only the ribosomal proteins from the analysis of both multidrug and benzyl-penicillin datasets, the 269 performance of the classifiers decreases but not significantly, all indicators are still above 80%. 270 However, when using only the ribosomal proteins as input features for the analysis of both multidrug 271 and benzyl-penicillin datasets, the specificity and Cohen's kappa indicators drop to unacceptable 272 values for both the multidrug and benzyl-penicillin predicted phenotypes. Altogether these results 273 indicate that the ribosomal proteins in combination with the other discriminant proteins are 274 contributing to the susceptibility/resistance classification but do not play a major role in the 275 classification.

276

# Biomarker Characterization – Identification of the proteins found to correspond to the MALDI TOF spectral peaks recognised as discriminant by the trained classifiers

279 The five peaks identified as providing optimal discrimination between benzylpenicillin-resistant only 280 and susceptible isolates were further analysed to identify their correspondent S. aureus proteins. It 281 should be noted that the four peaks identified as providing optimal discrimination between multidrug-282 resistant and susceptible were also amongst these peaks. When compared to the reference S. aureus 283 Newbould 305 (ATCC 29740) proteome, the five peak masses identified the following five S. aureus 284 proteins: two hypothetical proteins (molecular weights of 4801.95 and 6901.37 Da), RpmJ, RpmD 285 and DNA-binding protein HU. The molecular weights of the corresponding proteins changed slightly 286 from those in the original spectra as a result of the search criteria outlined in the Methods (Table 3). In 287 order to better understand the functions and roles of these proteins within the drug resistance 288 phenotype, we characterised the molecular functions (MF), cellular components (CC), and biological 289 processes (BP) they may carry out. RpmJ and RpmD are the 50S ribosomal proteins L36 and L30, 290 respectively. HU is a histone-like DNA-binding protein, which interacts with DNA to protect from 291 denaturation [48]. For the hypothetical proteins, we used 3D threading methods to predict the Gene 292 Ontology (GO) functions (Figure 5). The hypothetical protein of 4801.95Da was annotated as COPII-

293 coated vesicle cargo loading (BP), intracellular protein transport (BP), proteolysis (BP), homophilic 294 cell adhesion via plasma membrane adhesion molecules (BP) and ion binding (MF). The hypothetical 295 protein of 6901.37Da was annotated as being involved with the small molecule metabolic process 296 (BP), antibiotic metabolic process (BP), lipid transport (BP) and ion binding (MF). 297 With the aim to further characterise the function of these proteins we did a PSI-BLAST comparative 298 analysis; all discriminant proteins with 100% coverage and significant e-values are shown in Table 3. 299 Next, we investigated the drug resistance interactome by building the protein-protein interaction 300 network. The benzylpenicillin PPI network, including the four significant proteins (RpmJ, RpmD, HU 301 and HP2) and their 149 first neighbours, was generated (Figure 6). It should be noted that HP1 could 302 not be found in the S. aureus proteome that was available in STRING database. GO and KEGG 303 analyses of the network showed enrichment for ribosome, nucleic acid binding and catalytic activity 304 (Figure 7). 305 Tetracycline resistance protein (TetM) and elongation factor G (FusA) were found as the first

306 neighbours of RpmJ and RpmD based on the experimental findings of their homologs in E. coli [49, 307 50]. Additional four proteins (MecA, BlaZ, PbpA and metallo-beta-lactamase (MBL)) were 308 associated with beta-lactams, rRNA adenine N-6-methyltransferase (ErmA), macrolides resistance, 309 multidrug efflux pump (NorA) and ABC transporter protein (ABC-2). These proteins were found to 310 interact with some first neighbours of the discriminant proteins in the network. Penicillin-binding 311 protein 2 prime (MecA) was shown to share a common interactor, cell division protein (DivIB), with 312 the discriminant protein RpmD. The interactions of MecA-DivIB (interaction score: 0.639) and DivIB-RpmD (interaction score: 0.864) are based on experimental/biological data coming from 313 314 homologs in other species [51]. MecA was also shown to share a common interactor, DNA 315 polymerase I (PolA), with the discriminant protein HU. While the interaction of MecA-PolA was 316 based on text mining (interaction score: 0.432), the interaction of PolA-HU was based on 317 experimental/biological data (interaction score: 0.668) obtained from homologs in other species [52, 318 53]. PolA was the only protein which links (based on text mining) HU to other beta-lactam resistance 319 proteins such as penicillin-binding protein I (PbpA) (interaction score: 0.499) and beta-lactamase 320 (BlaZ) (interaction score: 0.425) [52, 54]. PbpA was also shown to share the common interactor

321 DivIB with discriminant proteins RpmD and RpmJ. ErmA was shown to share common nodes 322 (ribosomal proteins) with the discriminant proteins RpmD and RpmJ. ErmA was shown, based on text 323 mining, to also interact with PolA, linked to HU as previously described, (interaction score: 0.611) 324 [55] and to other proteins (RpsA, MetG and GuaA), based on co-expression, gene fusion and co-325 occurrence (interaction scores >0.400). NorA was shown to share a common interactor, DNA 326 topoisomerase (TopA) with the discriminant protein HU. ABC-2 was shown to share common 327 interactors, signal recognition particle proteins FfH and FtsY with discriminant proteins RpmD and 328 RpmJ. MBL was shown to share a common interactor, putative fatty oxidation complex protein 329 (AID38649.1), with discriminant protein RpmJ based on co-expression, gene fusion and co-330 occurrence (interaction scores > 0.400). 331 Notably, the PPI analysis of the benzylpenicillin-resistant proteome, 153 proteins – a total of 4 332 discriminant proteins and 149 first neighbour proteins – showed higher connectivity (clustering 333 coefficient 0.728) than the complete S. aureus proteome network (clustering coefficient 0.421). The 334 average number of neighbours per protein was 68.719 in the benzylpenicillin-resistant proteome 335 network and 27.190 in the complete S. aureus proteome network. In terms of network density, the 336 values ranged between 0.452 (benzylpenicillin-resistant proteome network) and 0.009 (complete S. 337 *aureus* proteome network) and for the network heterogeneity the values ranged between 0.528 338 benzylpenicillin-resistant proteome network) and 1.243 (complete S. aureus proteome network).

# 339 Table 3. Annotation of the *S. aureus* proteins corresponding to the five MALDI-TOF peaks recognized as significant by the trained classifiers: peak

340 mass charge ratio, predicted protein mass, top PSI-BLAST matches, conserved domain analyses, cellular locations and overexpressed classes are shown.

MALDI-			IdentityDomain(e-value)(e-value)		<b>PSORTB</b> location	Ol Class
TOF Peak	Protein (IVIW)	PSI-BLAST Match			(score)	Overexpressed Class
m/z 4305.59	RpmJ (4305.36Da)	50S ribosomal protein L36	100.00% (4e-16)	Ribosomal_L36 (1.2e-19)	Cytoplasmic (10.00)	Benzylpenicillin resistant isolates
<i>m/z</i> 4807.21	HP1 (4801.95Da)	Uncharacterized protein	100.00% (4e-14)	No conserved domain was identified.	Cytoplasmic membrane (9.55)	Susceptible isolates
<i>m/z</i> 6422.37	RpmD (6422.48Da)	50S ribosomal protein L30	100.00% (4e-33)	Ribosomal_L30 (3.4e-21)	Cytoplasmic (9.67)	Benzylpenicillin resistant isolates
m/z 6891.17	HP2 (6901.37Da)	Membrane protein	100.00% (1e-07)	No conserved domain was identified.	Cytoplasmic membrane (9.55)	Susceptible isolates
<i>m/z</i> 9621.26	DNA-binding protein HBsu (9626.01Da)	HU family DNA- binding protein	100.00% (2e-56)	Bacterial DNA-binding protein (6.2e-37)	Cytoplasmic (9.67)	Susceptible isolates

341

HP: hypothetical protein. Column 1 shows the mass charge ratio of the MALDI-TOF peaks identified by the machine learning framework; column 2 shows the predicted molecular weights of
the proteins corresponding to the MALDI-TOF peaks; column 3 shows best PSI-BLAST matches; column 4 shows the identities and e-values obtained with the PSI-BLAST matches; column
5 shows the domain and e-value predicted with CDD database; column 6 shows the results obtained with the PSORTB predictor; and column 7 shows the overexpressed class where the
corresponding proteins have the highest intensity.

#### 346 Discussion

347 Antibiotic-resistant *S. aureus* infections are a major concern in human and veterinary medicine.

348 Recently, dairy cattle have been shown to be an important risk factor for zoonotic transfer [1]. Fast,

349 affordable and effective diagnostic solutions which are able to detect the specific *S. aureus* strains and

- 350 their antibiotic resistance and susceptibility profiles are key to support effective and targeted
- 351 treatment selection.

352 Motivated by identifying the most effective method to discriminate (MDR- and benzylpenicillin-)

353 resistant and susceptible *S. aureus* strains, we approached the task in a principled way by applying

354 optimization techniques to overcome uncertainty in data features and by using a wide repertoire of

355 classification methods. In general, most of the classifiers tested achieved high performance and had

kappa values over 85.00%. However, amongst the investigated machine learning approaches RBF

357 SVM, neural network and logistic regression were those that achieved the best performance.

358 Diagnostic systems trained on individual isolates coming from 24 different farms achieved up to

(mean result  $\pm$  standard deviation over 30 runs on the test set): accuracy = 97.54%  $\pm$  1.91%,

360 sensitivity =  $99.93\% \pm 0.25\%$ , specificity =  $95.04\% \pm 3.83\%$ , and kappa =  $95.04\% \pm 3.83\%$  in RBF

361 SVM algorithm. We showed that our classification methods while offering high out-of-sample

accuracy can also be solved in practical computational times.

363 While our primary aim was to develop machine learning-powered diagnostics discriminating 364 benzylpenicillin-resistant and susceptible isolates of bovine mastitis-causing S. aureus, we also 365 characterized the molecular determinants and interactions underlying the identified antibiotic 366 resistance and susceptible patterns. Several isolates were obtained from the same animal, some of 367 them also presented the same antimicrobial susceptibility profile, possibly suggesting that they 368 represent the same strain. Moreover, none of the S. aureus isolates, except one, were found resistant 369 to cefoxitin or oxacillin, despite being resistant to penicillin, suggesting that penicillin-resistant S. 370 aureus isolates in this study were maybe indeed producers of penicillinase instead of being MRSA. 371 This might be related to the fact that since the first report of S. aureus resistant to methicillin detected 372 in a dairy herd in the United Kingdom [12] and from the first isolation in 2012, of both mecA and 373 mecC LA-MRSA in bulk milk from dairy cattle in the UK [17], frequency of detection of mecA and

374 *mecC* LA-MRSA in the UK, gathered from surveillance and large-scale dairy cattle studies, [11, 17]

375 remained low [15]. The low frequency of resistance to cefoxitin or oxacillin found in our cohort is

376 possibly reflecting that LA-MRSA is present in the UK, possibly at a low prevalence level.

377 Our findings showed that the five MALDI-TOF peaks recognized as significant by the trained

378 classifiers were found to correspond to two ribosomal proteins (RpmJ and RpmD), DNA-binding HU

379 protein and two hypothetical proteins. RpmD, DNA-binding HU protein and two hypothetical

380 proteins were also found to give the best discrimination between multidrug-resistant and susceptible

381 profiles of *S. aureus*.

382 The notion that components of the ribosome are important in the growth rate and antibiotic resistance 383 of bacteria is a well-known concept [56]. Among those determinants involved in intrinsic resistance, 384 ribosomal proteins have been found to deal with the general response to stress [57]. Similarly, recent 385 findings highlighted the existence of ribosomal mutations conferring resistance to antibiotics of 386 several classes not targeting the ribosome [56]. Specifically, it has been shown that ribosomal 387 mutations can contribute to the evolution of multidrug-resistant profiles, by inducing ribosomal mis-388 assembly, that in turn leads to a systematic transcriptional cell alteration, ultimately impacting 389 resistance to multiple antibiotics by interfering with different cellular pathways [56]. RpmJ was 390 shown to be up-regulated in *Pseudomonas aeruginosa* when treated with ciprofloxacin and 391 fluoroquinolone [58] and similarly in S. epidermidis [59]. Moreover, rpmJ was shown to confer 392 intrinsic multidrug resistance to a varied set of antibiotics (nitrofurantoin, sulfamethoxazole, 393 rifampicin, tetracycline, vancomycin, ampicillin, colistin, erythromycin) in E. coli, where deletion of 394 this gene caused the bacteria to become more sensitive than wild type [60]. In comparison, fewer 395 literature works have been published about rpmD and antibiotic resistance. Sharma-Kuinekel and 396 collaborators showed that rpmD was downregulated in S. aureus strains which had the antibiotic 397 tolerance related LytSR system silenced [61].

398 The discriminant protein DNA-binding HU protein was found essential in the bacterial survival and 399 growth of *S. aureus* [62]. It was also previously found to be correlated to antibiotic resistance by

400 being upregulated in the mutant *S. aureus* isolates with silenced serine/threonine kinase PknB, which

401 also has a penicillin-binding domain [63]. Besides the proteins with known functions, we also

identified two hypothetical proteins, but we were unable to find any evidence so far linking them to
antibiotic resistance. Although it was not possible for us to identify the function of these hypothetical
proteins, by applying PSI-BLAST and PSORTb v3.0 together with 3D threading modelling searches,
the hypothetical proteins are predicted to be involved in pathways such as antibiotic metabolic
process, lipid/protein transport and ion binding.

Although the elected mechanism to acquire resistance in *S. aureus* is through horizontal gene transfer,
spontaneous mutations in the core genome and positive selection are also mechanisms used by the
bacteria to acquire several resistances (e.g., fluoroquinolones, linezolid and daptomycin) [27]. The
spontaneous mutation mechanisms involving ribosomal proteins in *S. aureus* has been previously
found to raise antibiotic resistance (e.g. vancomycin) [64]. Future efforts may integrate genome
sequencing analysis of the isolated strains towards elucidating and understanding the mechanisms
underlying the antibiotic resistance.

We were not surprised that known genes such as *blaZ*, *mecA*, *pbpA*, conferring resistance to penicillin
in *S. aureus* were not amongst the MALDI-TOF peaks recognized as significant by the trained
classifiers. This is because the mass range resolution of the MALDI-TOF was set to be between 2kDa
and 12kDa, and the BlaZ, MecA, PbpA are all proteins with molecular weights higher than 20kDa.
However, our PPI cluster analysis results showed that these proteins known to confer resistance have
all been found to interact with most of the proteins corresponding to the MALDI-TOF peaks and to
form a highly connected benzylpenicillin proteome network.

While our approach successfully developed a diagnostic solution to identify antibiotic-resistant
signatures, there are limitations to our method which future work may build upon. For one, the
working range of 2-12kDa does not give the possibility to study the complete *S. aureus* proteome in
relation to a specific phenotype. .

The MDR and XDR isolates, collectively named multidrug-resistant isolates, used in this study were
all resistant to benzylpenicillin in addition to other antimicrobial agents. Therefore, there is a bias
towards peaks determining resistance or susceptibility to benzylpenicillin, which may explain why all
4 multidrug discriminant peaks occurred within the set of benzylpenicillin-only discriminant peaks.

429 In this work, we have opted to pre-process all the data together as previously done by several studies 430 [42, 65-68] instead of splitting it into a training and validation sets for several reasons. First, given the 431 low number of samples in each of the two minority classes (multidrug resistant and benzylpenicillin-432 only resistant) it would have been not possible to have a sufficient number of observations in each set 433 and each partition being enough representative to yield a good peak selection. Moreover, because 434 some of the peaks appeared in just a subset of these samples (minority classes), the random sampling 435 of the data performed could increase the chances of getting spurious peaks in the training set that 436 would not represent the whole minority class. To avoid these problems, we pre-processed all the data 437 together.

438 Moreover, this study has been confined to a relatively small number of isolates. Ideally, a larger 439 number of isolates would have allowed to refine the machine learning predictions. However, other 440 studies attempted the analysis of antimicrobial resistance on S. aureus with MALDI-TOF and 441 machine learning and similar sample size. For example, Tang et al. [39], to implement heterogenous 442 VISA (hVISA) detection models, examined 10 MSSA and 10 MRSA clinical isolates recovered from 443 individual patients. Wang et al. [40], used MALDI-TOF mass spectra obtained from 35 hVISA/ VISA 444 and 90 VSSA isolates. Mather et al. [37], tested 21 VISA, 21 hVISA, and 38 VSSA isolates to 445 develop their SVM based models. Usually, the larger the dataset the greater is the statistical power for 446 pattern recognition. However, in our machine learning approach, we have used the Nested CV 447 approach which is known to produce robust and unbiased performance estimates regardless of sample 448 size [69]. The machine learning performance indicators associated with our models are high 449 suggesting that models were sufficiently trained. 450

In addition, we acknowledge, as a limitation of this study, that our data were collected from farms only in England and Wales. However, this should not pose a restriction on our method's ability to predict resistance or susceptibility in other farms across the globe. If it is given a sufficiently diverse distribution of data to train the supervised learning algorithms, this would reduce any geographical bias that could affect predictive capability. This study should be considered a proof-of-principle where we conducted a feasibility work to invest on with larger samples and geographical areas.

456 Finally, the downside of requiring larger sample sizes is limitations in data availability, often 457 requiring reliance on public databases and thus compromise on the type of available data and possible 458 studies. Unfortunately, in omics and other technology-based data collection analysis, very often only 459 small samples are available, this is because of limited in vivo experiments, protocols, involvement of 460 human participants and costs. For example, whilst not being able to rely on large amounts of data, we 461 had the unprecedented possibility to demonstrate that our methodology is associated with high 462 classification accuracy even when using small sample size, this applicability may facilitate research 463 scenarios where only limited data is available.

464 In addition to the machine learning analyses undertaken to prove the effectiveness of our method to 465 differentiate susceptibility/resistance profiles rather than strain differences, we also compared the 466 MALDI-TOF spectral peaks spectral peaks (4305.59Da, 4807.21Da, 6422.3Da, 6891.17Da and 467 9621.26Da) recognised as discriminant by our trained classifiers with the peaks previously found in 468 literature to discriminate the main clonal lineages of S. aureus [41, 44-47]. When we compared our 469 peaks with those found by Wolters et al. [45], Böhme et al. [46] and Camoez et al. [47], no common 470 peaks were found between the studies. However, similarities were found between our results and the 471 findings reported by Josten et al. [44] and Lasch et al. [70]. 472 In particular, the peaks at *m*/*z* 4305.59 (RpmJ), 6422.37 (RpmD), 6891.17 (HP2) and 9621.26 (DNA

binding protein HU) were revealed to be in common between our study and Josten *et al.* [44].

However, the variant (m/z 6397) of the ribosomal protein RpmD found by Josten *et al.* [44] to be

discriminant for the subgroup of CC22 strains was not present in our spectra as we only detected the

476 peak at *m*/*z* 6422.37 corresponding to RpmD. Moreover, although the protein RpmD was considered a

477 biomarker by Josten *et al.* [44], it only showed a limited sensitivity (0.167), reflecting a low level of

478 conservation of the mutations in the clonal lineages. For example, the CC22 biomarker was not

479 conserved in all spa types of this clonal complex [44]. The peaks m/z 4305.59 (4306 in Josten *et al.* 

480 [44]), 6891 (6889 in Josten *et al.* [44]) and 9621.26 (9627 in Josten *et al.* [44]) although identified in

the S. aureus spectra by Josten et al. [44] were not included in the list of markers distinguishing the

482 different strains. Moreover, Lasch *et al.* [70] analysed 59 diverse *S. aureus* isolates from 6 different

483 lineages using MALDI-TOF mass spectrometry. Based on their results over a gel view representation

484 and a hierarchical cluster analysis, the authors indicated that, with a few exceptions, CC-specific 485 biomarkers for S. aureus are an exception rather than a rule. The authors found 3 regions that could be 486 considered biomarkers for some lineages: m/z 3875 and 3891 (CC5); m/z 6552 and 6592 (CC8); m/z487 5002 and 5032 (CC22). Therefore, none of the peaks used in our study were considered biomarkers 488 by Lasch et al. [70]. The results found by Lasch et al. [70] clearly suggests that typing S. aureus can 489 be rather unsuccessful due to a lack of stable biomarkers to distinct clonal groups, a low classification 490 accuracy based on different CC types and a cluster analysis that indicate the limited possibilities to 491 differentiate S. aureus below species levels.

492 Further comparisons were also made with existing literature coupling MALDI-TOF mass

493 spectrometry with a refined analysis framework to accurate classify resistant and susceptible *S. aureus* 

494 strains. In particular, the peaks (m/z 4305.59, 4807.21, 6422.3, 6891.17 and 9621.26) recognised as

discriminant for the susceptible and resistant profiles in this study with those previously found [36,

496 39] differentiating MSSA and MRSA recovered from clinical samples or at distinguishing VSSA

497 from hVISA/VISA [37, 40] no similar peaks were detected under the experimental conditions chosen

498 here. In particular, our peaks often mapped in the higher and non-overlapping mass range of the

499 spectrum. Whereas, when we compared our peaks with those found by Asakura *et al.* [41] to

500 differentiate VISA, hVISA, and VSSA clinical isolates, we found that one peak (m/z 4306) was in

501 common between the two studies. This peak is among 23 other peaks that were found to be

502 statistically significant among VISA, hVISA and VSSA ( $p < 10^{-4}$ , Kruskal-Wallis test). This peak

corresponds to the ribosomal protein RpmJ. Indicating that ribosomal proteins can be correlated with
resistance phenotypes. This was also reported by Josten *et al.* [44] when analysing the peak pattern of

505 401 MRSA and MSSA strains (see above).

Although we have not typed our strains, which we acknowledge as a limitation of our study, we

507 believe that it is not unreasonable to assume that we have classified the resistance/susceptibility

508 phenotype and not the strains. Our supervised learning-based classifier consisted of a binary

509 classification (resistant/susceptible), where each observation (isolate) was labelled according to the

510 MIC values obtained for each specific isolate. Given the high performance indicators accompanying

511 our classification and given the variety of different peaks among strains as shown by Josten *et al.* 

512 [44], Wolters et al. [45], Böhme et al. [46], Camoez et al. [47] and Lasch et al. [70], it is very 513 unlikely that we could separate all the different strains circulating in just two groups and importantly 514 with such high performance indicators. From a machine learning point of view, given the limited 515 number of observations, relative high number of possible strains, binary outcome, number of 516 genetic/molecular traits different among the strains it would not had been possible to separate the 517 different strains in just two groups especially with such high-performance scores. This is also in 518 agreement with Lasch et al. [70] that although performing an elegant modular/hierarchical ANN 519 analysis of spectra from the S. aureus data set (we only did a one-step machine learning 520 classification), apart from a fairly good classification accuracy for CC8 strains of S. aureus and, to a 521 lesser extent for strains of CC5 (80%) and CC30 (78%), the classification accuracy for the other 522 strains was unacceptably low. Despite intensive efforts aiming at improving these outcomes, neither 523 variations of the spectral pre-processing nor of the network topology resulted in better classification 524 results according to the authors.

525 Overall, we demonstrated that the combination of supervised machine learning and MALDI-TOF 526 mass spectrometry can be used to develop an effective computational diagnostic solution that can 527 discriminate between benzylpenicillin/multidrug-resistant and susceptible *S. aureus* strains. Our 528 solution could save time and money with respect to traditional susceptibility testing which is not 529 viable for day-to-day monitoring of antibiotic resistance. Our solution could support farmers with 530 timely, accurate and targeted treatment selection.

531

532 Methods

#### 533 Ethics statement

This study received an ethical review and approval from the Clinical Ethical Review panel at the
School of Veterinary Medicine and Science, University of Nottingham (approval Reference number:
2067 170717). All data is owned by QMMS ltd.

- 537
- 538 Data Source

539 82 *S. aureus* isolates were collected from 67 animals that were diagnosed with bovine mastitis in 24

540 different farms, in England and Wales between March 2004 and May 2005. The animals with mastitis

- 541 were either primiparous (n=9) or multiparous (n=73, median parity=4). On the day of sample
- collection, the days in milk of the cows varied from 1 to 569 days with a median value of 160 days.
- 543

#### 544 Sample Analysis

545 Bovine mastitis-causing S. aureus isolates were tested on VITEK 2 AST-GP79 using one Antibiotic 546 Susceptibility Testing (AST) card per isolate. Each card was filled with at least one positive control 547 well with no antibiotic and multiple wells with increasing concentrations of antibiotics. We tested 548 susceptibility to the following antibiotics: benzylpenicillin, cefoxitin, oxacillin, cefalotin, ceftiofur, 549 cefquinome, amikacin, gentamicin, kanamycin, neomycin, enrofloxacin, clindamycin, erythromycin, 550 tilmicosin, tylosin, tetracycline, florfenicol and trimethoprim/sulfamethoxazole. Using the VITEK 2 551 we measured the growth and viability of the isolates in all wells compared to the control wells. 552 Relative bacterial growth in each antibiotic well was calculated and compared with the positive 553 control wells. The minimum inhibitory concentration (MIC) values were calculated by comparing the 554 growth of the bacteria to the growth of isolates with known MICs. The S. aureus isolates were 555 labelled as either resistant or susceptible according to their antibiotic resistance profiles based on 556 CLSI breakpoints (VET01-S3) [71].

557

### 558 Generation of MALDI-TOF Spectra

559 All S. aureus isolates were stored at -80 °C since their recovery in 2004/5 using a microbead 560 preservation system (Technical Service Consultants Ltd, Lancashire). Isolates were recovered onto 561 Blood agar and incubated at 37 °C for 24 hours. If no growth was initially observed the isolates were 562 sub-cultured another 24 hours. All isolated were sub-cultured on blood agar at 37 °C for 24 hours 563 prior to MALDI-TOF analysis. The same storage and growth conditions were applied to all isolates. 564 The pure cultures were then analysed using the Time-of-flight (TOF) MALDI mass spectrometer 565 (Bruker Daltonics, Billerica, MA), Microflex – Flex Control Version 3.4, Bruker Daltonics. The order 566 of sample analysis was randomised, the Bruker Bacterial Test Standard (BTS) (Bruker Daltonics) was

567 used for calibration control on every plate. For each isolate, six technical replicates were generated 568 from 240 desorption's per replicate (6 x 40 shots), and protein mass spectra acquired in the range 569 2000 to 20,000 Da were generated. Spectra were compared visually using Biotyper 3.1 (Bruker 570 Daltonics) to remove low intensity spectra or spectra with substantial background noise. All the 571 samples used in this study were further analysed visually on Matlab for insufficient resolution 572 (defined as a measure to distinguish two peaks of slightly different m/z values [72]), low intensity or 573 substantial background. However, no samples were discarded for these reasons. The. Technical 574 replicates were further compared using composite correlation indices (CCI) to remove dissimilar 575 spectra with CCI < 0.99 [73]. At least three good quality spectra per isolate were required for 576 inclusion of the isolate in the analysis. Moreover, when three qualifying technical replicates could not 577 be obtained the sample was re-analysed in order to get at least 3 replicates. All the 82 isolates used in 578 this study had three good quality technical replicates.

### 579 Data Processing

580 The pre-processing steps of MALDI-TOF mass spectra were performed using MATLAB

581 Bioinformatics Toolbox Release 2017b, The MathWorks, Inc., Natick, Massachusetts, United States.

582 Our analysis was done using 82 *S. aureus* isolates with each sample having 3 to 6 replicates.

583 The pre-processing followed these 8 steps:

**584** 1. **Mean Computing:** the replicates of each biological isolate were averaged.

585 2. M/Z Cropping: the mass range was cropped to be between 2kDa and 12kDa.

**Resampling:** the data was up-sampled from 13,740 to 20,000 points.

587 4. Baseline Correction: for each biological isolate, baseline correction was applied by using a
588 window of 200 Da with a step size of 200 Da to shift the window. The quantile method (10% value)
589 was used to find the likely baseline value in every window. Shape-preserving piecewise cubic

590 interpolation approximation was applied to regress the varying baseline. The regressed baseline was

591 not smoothed. The resulting baseline was subtracted from the spectrum.

5. **Normalisation:** the area under the curve (AUC) of every spectrum was normalised to the

593 median and post-rescaled such that the maximum intensity was 100.

594 6. Noise reduction: each sample was denoised using least-squares polynomial with a window of595 35 Da and a 2-degree polynomial function.

596 7. Alignment: to align the spectrograms, a set of reference peaks was required. Specifically, the 597 peaks were selected if present in at least 30% of all spectra. The 30% threshold was chosen following 598 the workflow suggested in the ClinProTools software documentation [74]. In addition, the first pre-599 processing step of our workflow consists of averaging all the 3 or more technical replicates of each 600 sample. Therefore, after this averaging step we have one spectrum per sample and consequently the 601 30% threshold used to select the peaks is applied to all samples. By applying the 30% threshold we 602 are selecting only the peaks that are present and hence relevant across both the resistant and 603 susceptible classes, as shown in Tables 1 and 2 in the Results section. The alignment was estimated 604 using the default values of msalign function (Bioinformatics Toolbox).

8. Peak Detection: To retain a reasonable intensity a signal-to-noise ratio threshold was defined
at 10% to discard all peaks below it. Therefore, since the spectra were previously normalised to an
overall maximum intensity of 100, any point below 10 is considered noise. A minimum distance of
20Da between neighbouring peaks was set, i.e., two peaks must be at least 20Da apart to be
considered different.

610

#### 611 Spectral Features

612 After detecting all the peaks in each spectrum, a peak list report was prepared similarly to

613 ClinProTools 3.0 [74]. Specifically, the peaks were selected if present in at least 30% of all spectra.

- 614 The selected peaks were further pre-processed to have zero mean and unit variance. Such peaks
- 615 represented the spectral features used in the classification analysis.

616

# 617 Classification Methods

618 The performance of the classifiers, naïve Bayes [75], linear and non-linear (RBF kernel) support

619 vector machines (SVM) [76], decision tree [77], random forests [78], multi-layer perceptron neural

620 networks (MLP) [79], AdaBoost (AdaBoost-SAMME version [80]), logistic regression [81], linear

621	discriminant analysis (LDA) [82] and quadratic discriminant analysis (QDA) [82], was investigated
622	using the scikit-learn library in Python [83].
623	For the classifiers, the following set of values were employed for the hyper-parameter searches:
624	- Logistic Regression: inverse of regularization strength $C = [0.001, 0.01, 0.1, 1, 10, 100, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0$
625	1000].
626	- Linear SVM: penalty parameter of the hinge loss error $C = [0.001, 0.01, 0.1, 1, 10, 100, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.01, 0.0$
627	1000].
628	- Decision tree: maximum depth of tree = $[10, 20, 30, 50, 100]$ .
629	- Random Forests and Adaboost: Number of estimators = [2, 4, 8, 16, 32, 64].
630	- MLP Neural Network: $\alpha$ (L2 penalty parameter) = [0.001, 0.01, 0.1, 1, 10, 100], learning rate
631	(initial learning rate used to control the step size in updating the weights with adam solver) =
632	[0.001, 0.01, 0.1, 1] and hidden layer sizes = $[10, 20, 40, 100, 200, 300, 400, 500]$ .
633	- Non-linear SVM with RBF kernel: $\gamma$ (RBF kernel coefficient) = [0.0001, 0.001, 0.01, 0.1] and
634	C (L2 penalty parameter) = $[0.001, 0.01, 0.1, 1, 10, 100, 1000]$ .
635	- Naive Bayes, LDA and QDA do not have hyper-parameters.
636	
637	Prediction Performance
638	The prediction performance of each classifier was evaluated by considering the following indicators,
639	assuming P and N as the total number of positive (benzylpenicillin/multidrug-resistant) and negative
640	(multidrug susceptible) isolates, respectively and using T for true (correct) and F for false (wrong)
641	predictions:
642	- Sensitivity (True Positive Rate) = TP / P
643	- Specificity (True Negative Rate) = TN / N
644	- Accuracy = $(TP+TN)/(P+N)$
645	- Kappa = $(p_o - p_e)/(1-p_e)$ where $p_o = (TP+TN)/(P+N)$ and $p_e = (P^*(TP+FN) + N^*(FP+TN))$
646	/(P+N) <sup>2</sup>
647	

648 Performance Analysis

649 Nested Cross-validation (NCV) [84], which is a well-established cross-validation technique was

employed to assess the performance and select the hyper-parameters of the proposed classifiers.

In NCV there is an outer loop split of the data set into test and training sets. For each training set, a grid search (inner loop) is run, in order to find the best hyper-parameters of the classifier using accuracy as a performance metric. Then, the test set is used to score the best classifier found in the inner loop. These scores tell us how well the classifier model generalises, given the best hyper-parameters found in the inner loop.

656 Thirty iterations were carried out, wherein each iteration an NCV was employed. The inner loop of

the NCV finds the best hyper-parameters of each classifier (when suited) using a stratified 3-fold

658 cross-validation; the outer loop measures the accuracy, sensitivity, specificity and kappa using a 5-

659 fold stratified cross-validation, in order to compare all the classifiers [85].

660

# Biomarker Characterization – Identification of the protein corresponding to MALDI-TOF spectral peaks recognised as discriminant by the trained classifiers

663 A dedicated bioinformatics pipeline was developed to find correspondences between individual peaks 664 selected by the machine learning-based classifiers and actual proteins of S. aureus. First, amino acid 665 sequences of the proteins in the S. aureus Newbould 305 (ATCC 29740) proteome, which is 666 considered the model bovine mastitis strain [86], were retrieved from the PATRIC database in 667 FASTA format. The molecular weights of the proteins were calculated using the Compute pI/Mw tool 668 on ExPASy [87]. The proteins were filtered in the range of  $\pm$  200Da of the mass of individual peaks. 669 Then, N-terminal methionine cleavage was predicted using the online prediction tool TermiNator [88] 670 and the theoretical molecular weights of the proteins were re-calculated using compute pI/Mw tool 671 according to presence or absence of the initial methionine. Finally, proteins with a maximum of 0.2% 672 difference in mass to the individual peaks for the successful identification of correspondence were 673 selected. 674 To further investigate the function of the identified proteins, we studied protein-protein interactions

675 (PPI) as previously described [89]. The PPI dataset of *S. aureus* (strain NCTC 8325/PS 47) was

676 obtained from the STRING database [90] and nodes (proteins) with interaction scores lower than

677 medium confidence level (interaction scores < 0.400) were filtered out. The remaining nodes 678 (proteins) were analysed in Cytoscape 3.7.1 based on the following parameters: the average number 679 of neighbours, clustering coefficient, network density and network heterogeneity [91-93]. 680 The characterisation of antibiotic-resistant genes of the beta-lactam, macrolide and tetracycline 681 antibiotic classes in the PPIs, were obtained from ResFinder v3.1 [94] and using them as queries in a 682 comparative BLAST search against the S. aureus proteome. The functions of the genes in the network 683 were annotated with Gene Ontology terms (biological process, molecular function and cellular 684 component) and KEGG pathways. Finally, to gain a more in-depth understanding of the protein 685 functions, homology and threading 3D models for discriminant proteins were built. 3D homology 686 modelling was used for the proteins with good quality templates in the Swiss-Model repository [95] 687 and the models built by using Swiss-PdbViewer [96]. The 3D models of hypothetical proteins were 688 generated by using the threading technique on I-TASSER, where biological functions were predicted 689 as well [97]. The 3D Models of all discriminant proteins were visualized and edited in UCSF Chimera 690 [98].

Homologs of the discriminant proteins were checked in the NCBI database by position-specific
iterative basic local alignment tool (PSI-BLAST). Functional domains were searched against the CDD
v3.17-52910 PSSMs database. PSORTb v3.0 was used to predict cellular locations of the discriminant
proteins [99].

695

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# 705 References

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- 1033
- **1034 FIGURE CAPTIONS**
- 1035
- **Figure 1. Location of the enrolled farms in the United Kingdom.** The circles represent the location
- 1037 of the farms and the size of the circles indicate the number of *S. aureus* isolates in the farms. The
- 1038 highest number of isolates provided by a single farm was 21, while the lowest was 1. The green
- 1039 colour represents the susceptible *S. aureus* isolates while the dark and light blue is for multidrug-
- 1040 resistant and benzylpenicillin-resistant only *S. aureus* isolates, respectively. The base layer map of the
- 1041 UK can be accessed at https://gadm.org/maps/GBR.html.
- 1042
- 1043 Figure 2. UpSet plot comparing the profiles of benzylpenicillin-resistant *Staphylococcus aureus*
- 1044 isolates. The total size of resistant *S. aureus* isolates is shown on the left bar plot. Antibiotic-resistant

profiles of *S. aureus* isolates are visualized by the bottom plot and the occurrence is represented onthe top bar plot.

1047

#### 1048 Figure 3. Supervised machine learning prediction of multidrug resistance spectral signature

1049 profiles. Prediction performance results of different classifiers (logistic regression, linear SVM, RBF

1050 SVM, MLP neural network, decision tree, random forest, AdaBoost, naïve Bayes, quadratic

- 1051 discriminant analysis (QDA) and linear discriminant analysis (LDA)) that were used to classify the
- 1052 multidrug resistance profiles are shown on the X-axis. Four performance indicators have been used to

1053 evaluate the classification: accuracy, kappa, sensitivity and specificity. The scores for each

- 1054 performance metric are indicated in the Y-axis.
- 1055

#### 1056 Figure 4. Supervised machine learning prediction of benzylpenicillin resistance spectral

1057 signature profiles. Prediction performance results of ten different classifiers (logistic regression,

1058 linear SVM, RBF SVM, MLP neural network, decision tree, random forest, AdaBoost, naïve Bayes,

quadratic discriminant analysis (QDA) and linear discriminant analysis (LDA)) that were used to
classify the benzylpenicillin resistance profiles are shown on the X-axis. Four performance indicators
have been used to evaluate the classification: accuracy, kappa, sensitivity and specificity. The scores
for each performance metric are indicated in the Y-axis.

1063

# 1064Figure 5. 3D structures of the five proteins found to correspond to the MALDI-TOF spectral

1065 peaks recognized as discriminant between benzylpenicillin resistant and susceptible isolates.

1066 Top row from left to right: homology model of ribosomal protein L36p (RpmJ, mw: 4305.36Da),

- threading model of hypothetical protein (HP1, mw: 4801.95Da) and homology model of ribosomal
- 1068 protein L30p (RpmD, mw: 6422.48Da). Bottom row from left to right: threading model of
- 1069 hypothetical protein (HP2, mw: 6901.37Da) and homology model of bacterial DNA-binding protein
- 1070 (HU, mw: 9626.01Da).
- 1071

#### 1072 Figure 6. Protein-protein interaction network of the proteins found to correspond to the

# 1073 MALDI-TOF spectral peaks recognized as discriminant between benzylpenicillin resistant and

- 1074 susceptible isolates. The PPI network showing the four discriminant proteins, green circles, (RpmJ,
- 1075 RpmD, HU and hypothetical protein 2 (HP2)) and their first neighbour interactors (orange colours).
- 1076 Amongst these first shell interacting partners, purple nodes represent the antibiotic-resistant proteins
- 1077 (BlaZ, NorA, MecA, PbpA, ErmA, ABC-2, TetM, FusA and MBL) predicted by ResFinder v3.1 [94].
- 1078

#### 1079 Figure 7. Functional enrichment analysis of the benzylpenicillin network in *Staphylococcus*

### 1080 *aureus* based on Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG)

- **1081 pathways.** The network contains the 4 discriminant proteins, that were found to be discriminant
- 1082 between benzylpenicillin resistant and susceptible isolates, and their 149 first neighbours. GO consists

1083 of cellular component (CC), molecular function (MF) and biological process (BP). In each ontology,

1084 the enriched categories and the number of genes populating them are shown. Likewise, the enriched

1085 KEGG pathways and the number of genes populating each pathway are indicated.

1086

**1087** Supplementary Table 1. Breakdown of samples per farm

1088

1089 Supplementary Table 2. Antimicrobial susceptibility profile of the resistant isolates that were1090 obtained from the same animal

1091

Supplementary Table 3. A) Supervised machine learning prediction of multidrug resistance spectral
signature profiles using the Linear Discriminant Analysis (LDA) classifier. Prediction performance
results using all the peaks (4807m/z, 6422m/z, 6891m/z and 9621m/z); only the non-ribosomal peaks
(4807m/z, 6422m/z, 6891m/z and 9621m/z) and only the ribosomal peak (6422m/z). B) Supervised
machine learning prediction of multidrug resistance spectral signature profiles using a non-linear
(RBF kernel) support vector machine (RBF-SVM) classifier. Prediction performance results using all
the peaks (4305m/z, 4807m/z, 6422m/z, 6891m/z and 9621m/z); only the non-ribosomal peaks

1099 (4807 m/z, 6422 m/z, 6891 m/z and 9621 m/z) and only the ribosomal peak (4305 m/z and 6422 m/z).