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Haploinsufficiency of *ARFGEF1* is associated with developmental delay, intellectual disability and epilepsy with variable expressivity

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ABSTRACT

1 Purpose: ADP Ribosylation Factor Guanine nucleotide Exchange Factors (ARFGEFs) are a family of
2
3 proteins implicated in cellular trafficking between the Golgi apparatus and the plasma membrane
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5 through vesicle formation. Amongst them is ARFGEF1/BIG1, a protein involved in axon elongation,
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7 neurite development and polarization processes. *ARFGEF1* has been previously suggested as a
8
9 candidate gene for different types of epilepsies, although its implication in human disease has not
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11 been well characterized.
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16 Methods: International data sharing, *in-silico* predictions and *in-vitro* assays with mini-gene study,
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18 western blot analyses and RNA-sequencing.
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22 Results: we identified 13 individuals with heterozygous likely-pathogenic variants in *ARFGEF1*. These
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24 individuals displayed congruent clinical features of developmental delay, behavioral problems,
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26 abnormal findings on brain MRI, and epilepsy for almost half of them. While nearly half of the cohort
27
28 carried *de novo* variants, at least 40% of variants were inherited from mildly affected parents who
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30 were clinically reevaluated by reverse phenotyping. Our *in-silico* predictions and *in vitro* assays
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32 support the contention that *ARFGEF1*-related conditions are caused by haploinsufficiency, and are
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34 transmitted in an autosomal dominant fashion with variable expressivity.
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39 Conclusion: We provide evidence that loss-of-function variants in *ARFGEF1* are implicated in sporadic
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41 and familial cases of developmental delay with or without epilepsy.
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INTRODUCTION

1 Vesicular trafficking is a critical process in eukaryotic cells. It allows numerous membrane-
2 enclosed compartments to exchange proteins and lipids and is directly involved in countless cellular
3 mechanisms including organelle biogenesis, cellular signaling or membrane dynamics¹. Its paramount
4 importance is shown by the substantial number of human disorders that derive from the dysfunction
5 of inter-organellar trafficking^{2,3}. Amongst those involved in vesicle formation are ADP Ribosylation
6 Factors (ARF) which promote the coating of secretory vesicles in Golgi traffic and their molecular
7 switches: Guanine nucleotide Exchange Factors (GEFs) of the GBF1/BIG family⁴. These proteins are
8 composed of a Sec7 domain responsible for the catalysis of nucleotide exchange on ARFs⁵. Among
9 them is ADP-ribosylation factor guanine nucleotide exchange factor 1 (ARFGEF1), also known as
10 Brefeldin A-inhibited guanine nucleotide-exchange protein 1 (BIG1). ARFGEF1 is a 200 kDa protein
11 encoded by *ARFGEF1* (MIM *604141), a 39-exon gene mapping to the chromosome *8q13* locus. This
12 ubiquitously expressed small GTPase is highly conserved among mammals and eukaryotes^{4,6} and has
13 been shown to be implicated in vesicle formation and to be essential for the maintenance of the
14 Golgi apparatus' structure and function^{7,8}. Its implication in cell trafficking between the Golgi
15 apparatus and the plasma membrane has been shown to regulate axon elongation, neurite
16 development and maintenance, and the polarization process⁹.

17 After initial studies implicated the *8q13* locus, *ARFGEF1* was identified as the candidate gene
18 within that interval that accounts for rolandic epilepsy¹⁰, familial febrile convulsions^{11,12} and epileptic
19 encephalopathy^{13,14}. Furthermore, following the discovery of an *ARFGEF1* nonsense variant in a
20 patient diagnosed with Lennox-Gastaut Syndrome (LGS), Teoh and collaborators generated a
21 haploinsufficient mouse model using CRISPR/Cas9 technology. The mice displayed developmental
22 delay, altered cerebral and neuronal morphology and had a high susceptibility to seizures¹⁵. These
23 findings were congruent with previous investigations in which BIG1-deficient mice showed altered
24 axonal projection, delayed neural polarization, and had a smaller neocortex and hippocampus due to
25 increased neuronal apoptosis¹⁶.

1 Our data therefore suggest *ARFGEF1* as an interesting candidate gene for developmental
2 delay and epilepsy.
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6 **MATERIAL AND METHODS**

7 **In silico analysis**

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10 ARFGEF1 sequences were all obtained from NCBI RefSeq database as FASTA files and aligned with
11 EMBOSS Clustal Ω tool keeping its default settings¹⁷. Human and yeast sequences were also pairwise-
12 aligned with the EMBOSS water tool keeping its default settings. Modelling of the structure was
13 performed using the resolved fragment 3LTL available at the RCSB Protein Data Bank
14 (<https://www.rcsb.org/>). All structures were modelled using PyMol version 2.3.4
15 (<https://pymol.org/>). Different scripts were written to generate the structures. After calculation, all
16 were rendered with shaders on and saved as png files. The PyMol "Wizard" and "Protein contact
17 potential" tools were used to generate the putative mutant structures and to render the false
18 red/blue charge-smoothed surface representation respectively.
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35 **Splicing reporter minigenes analysis**

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37 In this study, we used the reference transcript NM_006421.4.
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39 To evaluate the impact on splicing of the NM_006421.4:c.2392G>A variant, we performed splicing
40 minigene reporter assays using the pCAS2 vector based on a previously described protocol¹⁸. This
41 functional assay is based on the comparative analysis of the splicing pattern of wild-type and mutant
42 after transfection of the pCAS2 plasmid in human cell lines. Briefly, the exon of interest and its
43 intronic flanking regions were amplified from a DNA sample, using a high-fidelity polymerase
44 (CloneAmp HiFi PCR, Takara). The resulting fragment was introduced into the pCAS2 plasmid
45 according manufacturer's instructions using the In-Fusion HD Cloning kit (CloneAmp HiFi PCR, Takara)
46 and was transformed into the kit's competent cells. Plasmids were purified (NucleoSpin Plasmid Mini
47 kit, Macherey-Nagel) and were Sanger sequenced between the cloning sites. Next, minigenes
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1 representing the wild-type and the mutant allele (1µg/well) were transfected into a human cell line
2 using the FuGENE 6 transfection reagent (Promega). Total RNA was isolated 24 hours after
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4 transfection using the NucleoSpin RNA Plus kit (Macherey Nagel) according to the manufacturer's
5
6 instructions. The concentration of total RNA was measured on a NanoDrop spectrophotometer
7
8 (NanoDrop Technologies). Reverse transcription was performed with the ProtoScript II First Strand
9
10 cDNA Synthesis Kit (New England Biolabs). PCRs were performed on cDNA with the Platinum DNA
11
12 Polymerase (Thermo Fisher Scientific) according to the PCR amplicons (PCR conditions and protocol
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14 details are available upon request). PCR amplicons were verified by electrophoresis (agarose gel or
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16 Caliper LabChip) and Sanger sequenced to evaluate the splicing effect.
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23 **Cell cultures, proteins extraction and western blot analysis**

24 Cell cultures, protein extraction and western blot analyses were performed as previously described¹⁹.
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26 Detailed information is available in supplemental methods.
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32 **RESULTS**

33 **Patient cohort and clinical features**

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37 At the time of the first evaluation, individual 1 was a 5-year-old boy with global
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39 developmental delay and without any relevant family history. Pregnancy and delivery had been
40
41 uneventful. He presented with severe language delay and was speaking only a couple of words at the
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43 age of 5 years. Clinical examination showed mild gait impairment suggestive of subtle cerebellar
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45 ataxia. Brain MRI performed at the age of 6 years revealed a thin corpus callosum. Psychometric
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47 evaluations revealed moderate intellectual disability. He had mild facial dysmorphism with a wide
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49 mouth, a high forehead and low-set ears. Trio exome sequencing (proband and both parents)
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51 identified a *de novo* guanine to adenine transition, lying within a CG dinucleotide, in the *ARFGEF1*
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53 gene (NM_006421.4:c.2392G>A), and predicted to result in a missense variant (p.(Asp798Asn))
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57 within the Sec7 domain at a genomic position showing intolerance to amino acid change (MetaDome
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1 non-synonymous over synonymous ratio dN/dS score of 0.22)²⁰ (Supplemental Figure 1) and
2 associated with deleterious *in silico* scores (Combined Annotation Dependent Depletion - CADD²¹=
3 34; PolyPhen-2²²= 1.0; Genomic Evolutionary Rate Profiling - GERP²³= 4.87).

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7 Through an international collaboration facilitated by GeneMatcher²⁴, Decipher and RD-
8 connect²⁵ platforms, we gathered 12 additional individuals from 10 unrelated families with rare
9 likely-pathogenic *ARFGEF1* variants (Supplemental Table 1) identified by exome sequencing. These
10 affected individuals displayed overlapping clinical features including developmental delay,
11 intellectual disability and behavioral problems with or without epilepsy (Supplemental Table 2).
12
13 Overall, 6/11 variants occurred *de novo* and 3/11 were inherited from an affected or mildly affected
14 father. Interestingly, Sanger sequencing results indicated that paternal variants were germline rather
15 than mosaic (Supplemental Figure 2). This observation was corroborated by the fact that in one
16 family (individuals 8's and 9's family), also a paternal aunt carried the variant. In 2/11 cases, the
17 mode of inheritance could not be established due to lack of parental DNA.
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30 Of note, 2 out of 11 variants had been observed once in the gnomAD cohort:
31 NM_006421.4:c.4033C>T/p.(Arg1345*) and NM_006421.4:c.3697C>T/p.(Gln1233*) but both were
32 absent from healthy gnomAD controls (individuals who were not selected as a case in a case/control
33 study of common disease) and one (p.(Gln1233*)) was absent in the gnomAD non-neurological
34 cohort (individuals who were not ascertained for having a neurological condition in a neurological
35 case/control study).
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44 Interestingly, 12/13 (92%) individuals were males and only 1/13 (8%) was a female (X^2 -
45 squared = 9.3077, df = 1, p-value = 0.002282 - Figure 1A). Twelve individuals were children with a
46 mean age on referral of 8.6 years (Table 1). All individuals were born following uneventful
47 pregnancies and delivered without perinatal complications with normal Apgar scores. Delivery was at
48 term for 12/13 individuals and at 34 weeks of gestation for one individual. Only one individual was
49 born to consanguineous parents. Two children (15%) had a birth weight under the 5th percentile with
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1 normal height and occipital-frontal circumference (OFC) while birth parameters were within
2 normative values for the other 11 individuals.
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4 All individuals had developmental delay of variable degree. First symptoms were noticed at a
5 mean age of 22 months old, and before 3 years of age for all individuals. Almost all individuals
6 (12/13) had predominant language delay, which was often severe, with 7/12 having a vocabulary of
7 only few words at 6 years of age. Gross and fine motor skills were impaired for most children (12/13
8 and 10/13, respectively) but with slow progressive improvement following adequate rehabilitation.
9 Of note, all children were ambulatory by 3 years of age. Behavioral problems were frequent (12/13
10 individuals) and often severe with variable occurrence of autism spectrum disorders, anxiety,
11 aggressivity, anger bursts, stereotypies, attention disorders and psychomotor agitation. Ten
12 individuals had intellectual disability (ID) of moderate (4/10) or mild (6/10) degree. None of the
13 children displayed severe ID and only one child had intellectual abilities at the lower limit of normal
14 (borderline Intelligence Quotient).
15

16 Associated clinical features included hyperopia (4/13), astigmatism (3/13), frequent otitis
17 media (2/13), strabismus (1/13), occipital meningocele (1/13), interstitial lung disease with
18 bronchiectasis and chronic atelectasis (1/13) and alcohol addiction in the only adult patient (1/13).
19 Most individuals (8/13) displayed facial dysmorphisms that were mostly mild. Some dysmorphic
20 features were shared amongst the individuals including large (5/8) and low-set (4/8) ears, wide
21 mouth (3/8), high forehead (3/8), bulbous nasal tip (3/8) and thin long facies (2/8) (Figure 2). We
22 performed a computer assisted facial visualization²⁶ from all available photographs in order to
23 generate a “typical” *ARFGEF1* patient’s face using the Facer program²⁷. These analyses suggested
24 that morphological features were mild in *ARFGEF1*-related disorders and highlighted some of the
25 morphological features identified in the individuals: high forehead, wide mouth and bulbous nasal tip
26 (Figure 2). Most recent available growth parameters were within normal ranges for 9 individuals
27 (69%) with 3 displaying macrocephaly (between +2.1 and 2.5 SD deviation) and 1 displaying a small
28 head circumference (-3.4 SD).
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Neurological examinations were mostly unremarkable or limited to signs related to motor-development delay while cerebellar ataxia was noted in two individuals and hypotonia in another two individuals. Of note, one patient displayed an action tremor and another presented with clumsiness and a balance disorder, raising the possibility of a mild underlying cerebellar ataxia in these two additional individuals. One patient presented with left laryngeal paralysis and limited left eye abduction. Six individuals were followed for epilepsy, while a seventh individual was treated with valproic acid but could not specify whether it was for neurologic or psychiatric reasons. Many seizure types were observed including tonic-clonic seizures (4/6), atonic seizures (2/6), myoclonic seizures (2/6), focal motor seizures (1/6) and typical absence seizures (1/6). Three patients were suspected to have Lennox-Gastaut Syndrome (one with generalized drug-resistant epilepsy with slow spike and wave on EEG, and two others who had generalized tonic-clonic seizures along with myoclonic and atonic seizures but without drug-resistance and for whom access to EEG was not possible) and one had childhood absence epilepsy. Brain MRIs were available for 10 individuals and revealed abnormalities in eight of them including white matter T2-weighted signal hyperintensity compatible with hypomyelination (3/8), type 1 Arnold-Chiari malformation (1/8), low-set cerebellar tonsils (1/8), thin corpus callosum (1/8) and meningocele with periventricular heterotopia and disrupted superior cerebellar vermis (1/8) (Figure 3).

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Family history was unremarkable in seven individuals (54%), while six (46%) had at least one relative with a history of developmental delay, learning disorder, behavioral problems, or milder phenotypes with only difficulties at school requiring special education or classroom accommodations (Figure 1). Furthermore, almost half (6/13) of the individuals inherited the variant from a parent who either presented with a milder version of their child's phenotype, or for whom retrospective phenotyping revealed a history of difficulties at school, learning disorder or a need for special education. For example, patient 7 is a child with severe drug-resistant epilepsy. Detailed clinical characterization following his molecular diagnosis revealed that his father, from whom he inherited the variant, had a self-limited childhood onset epilepsy. In individuals 8 and 9, revisiting the family

1 history revealed that their father had global developmental delay, with marked behavioral problems
2 (aggressivity and temper tantrums) resulting in difficulties at school. For individuals 10 and 11,
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4 further questioning revealed that their father had mild developmental delay and borderline intellect.
5
6 Additionally, two of individuals 8's and 9's paternal cousins displayed prominent difficulties at school,
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8 behavioral problems and delayed development requiring speech therapy, however none of them had
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10 genetic testing as no diagnostic procedure was initiated. Yet, the familial segregation study revealed
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12 that the mother of these two relatives (individuals 8's and 9's paternal aunt) carried the variant and
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14 displayed intellectual abilities at the lower limit of normal. Thus, we suspect that these two cousins
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16 also carry the familial *ARFGEF1* variant, although their biological samples were not available for
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18 confirmation.
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26 **Molecular findings and functional studies**

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28 Our cohort consisted of 9 loss-of-function (LoF) variants (6 stop-gain and 3 frameshift), 1
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30 variant impacting a splice site (Supplemental Table 1, Figure 1) and 1 missense variant. Given that all
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32 of the loss-of-function variants identified in our cohort introduced premature stop codons before the
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34 last exon or upstream to the 50-55 nucleotides preceding the last exon-intron junction, all LoF
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36 variants were predicted to result in nonsense-mediated mRNA decay (NMD)²⁸ rendering the locus
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38 haploinsufficient.
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42 *ARFGEF1* is a gene under high mutational constraint (Supplemental Figure 1). The gnomAD
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44 missense Z score was 5.37, with two regional missense constraint regions corresponding to amino
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46 acid positions 482-1301 and 1302-1850 with a calculated score of 0.37 (p-Value = 3.39×10^{-21}) and
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48 0.67 (p-Value = 2.38×10^{-5}) respectively (source Decipher <https://decipher.sanger.ac.uk/>, UniProt²⁹
49
50 accession Q9Y6D6 <https://www.uniprot.org>). The probability of loss-of-function intolerance (pLI)
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52 score is 1, with an observed/expected (oe) ratio equal to 0.08 and an upper bound confidence
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54 interval equal to 0.14 (source gnomAD³⁰ v2.1.1, <https://gnomad.broadinstitute.org/>).
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These observations suggested that the mechanism responsible for *ARFGEF1*-related disorders is haploinsufficiency. However, a functional assessment of the impact of the only missense variant identified in our cohort on pre-mRNA splicing or protein stability was required to corroborate our hypothesis. Splicing prediction using the Alamut Visual v.2.15 software predicted that no obvious splicing defect results from the c.2392G>A transition. However, this variant was predicted to create a new SRp40 Exonic Splice Enhancer (ESE) (Supplemental Figure 3). We thus performed functional assays in order to investigate the experimentally observed functional effect of the c.2392G>A variant.

To that end, we performed a minigene reporter assay using the pCAS2 vector as previously described (Supplemental Figure 4)¹⁸. This assay allows a rapid screening of aberrant splicing events driven by DNA variants, cloned within a reporter vector containing two exons (named A and B) derived from the human *SERPING1/C1NH* gene, separated by an intron with BamHI and MluI cloning sites, and under the CMV-promoter-driven transcriptional control. This assay showed that the c.2392A allele induced exon skipping in approximately 22% of the reporter transcripts, corresponding to a 2.4-fold increase as compared to the clones carrying the wild-type c.2392G allele associated with 9% baseline skipped transcripts (Supplemental Figure 4) suggesting that a splicing effect of this variant was unlikely. Then, we obtained a fibroblast cell line from patient 1 and performed targeted splicing analysis, using next-generation sequencing, on a PCR-derived amplicon obtained from retrotranscribed RNA. These analyses allowed us to exclude any possible splicing defect associated with c.2392G>A *in vitro* (Supplemental Figure 5). Of note, these experiments also revealed that the variant allelic frequency (VAF) of c.2392G>A in retrotranscribed RNA was close to 50%, indicating a biallelic expression of *ARFGEF1* in fibroblasts (Supplemental Figure 6). Using the same approach, we also investigated the splicing defect associated with the *de novo* c.3592-2A>G variant in a blood sample obtained from patient 3. As expected, the variant triggered exon 26 skipping, inducing the loss of 152 nucleotides and resulting in NMD (Supplemental Figure 7).

Structurally, the polypeptide surrounding the Asp798 residue is conserved across species (Figure 4). *In silico* three-dimensional modelling of the Asp798 residue within the Sec7 domain, based

1 on the human resolved fragment (PDB 3LTL), allowed us to predict the corresponding steric changes
2 induced by the Asp798Asn variant (Figure 4A) in support of a possible impact on protein misfolding
3 or catalytic activity.
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7 Using an ARFGEF1-specific antibody, we then performed Western Blot analyses on protein
8 extracts obtained from fibroblast cell lines obtained from Patient 1 and the symptomatic father of
9 Individuals 2 and 3 carrying the variant NM_006421.4:c.2392G>A; p.(Gln648*), and unrelated and
10 healthy control individuals (Figure 4B). Interestingly, both cell lines derived from affected individuals
11 revealed comparable reduced levels of protein expression when compared with healthy controls,
12 indicating that c.2392G>A (p.(Asp798Asn)) resulted in protein instability and degradation.
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21 Taken together, these data suggest that the pathogenic effect of these variants is compatible
22 with haploinsufficiency.
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28 DISCUSSION

29 Although high-density SNP array¹⁰, linkage analysis¹¹ and exome sequencing meta-analysis¹⁴
30 had previously linked the *ARFGEF1* locus to increased risk for rolandic epilepsy¹⁰ and epileptic
31 encephalopathy¹⁴, there had been no substantial evidence to support an association between
32 *ARFGEF1* and high penetrance of a neurodevelopmental disorder conforming to Mendelian
33 inheritance expectations. More recently, one patient with Lennox-Gastaut syndrome harboring a
34 truncating variant (p.Cys1455*) in *ARFGEF1* has been reported by Teoh and collaborators¹⁵, requiring
35 additional observations in order to confirm its implication as a disease-causing gene. Here, we
36 describe a cohort of 13 affected individuals gathered through an international collaboration that
37 harbor likely-pathogenic variants in *ARFGEF1* identified via exome sequencing.
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51 Interestingly, while almost half (6/13) of the individuals were followed for epilepsy, all of
52 them displayed developmental delay with impaired motor skills, speech delay, or both (Table 1).
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54 When analyzing the cohort as a whole, features of this disorder including mild motor delay, mild or
55 moderate intellectual disability, severe speech delay and numerous behavioral problems such as
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1 attention disorders, psychomotor agitation, anxiety or autism spectrum disorders. Regarding
2 epilepsy, 5 different seizure types were observed in our cohort, ranging from focal motor seizures to
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4 generalized tonic-clonic seizures and although EEGs were unavailable for some individuals, two
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6 patients were suspected to have Lennox-Gastaut Syndrome (LGS) and a third one was formally
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8 diagnosed with LGS (EEG available) leading to up to half of the individuals with epilepsy to display a
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10 LGS phenotype. *ARFGEF1* thus appears as a possible gene contributing to Lennox-Gastaut syndrome.
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14 This increased susceptibility to seizures may be related to *ARFGEF1*'s involvement in cellular
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16 trafficking of GABAA receptors. Indeed, previous work has shown that *ARFGEF1* is a binding partner
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18 of GABAA receptors, and that depletion of *ARFGEF1*, either through siRNA³¹ or haploinsufficient
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20 mouse models¹⁵, led to a decrease in GABAA receptors at the neuronal surface, which in turn might
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22 lead to impaired neuronal inhibition and higher seizure susceptibility. This point may prove critical in
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24 the future management of epileptic patients carrying pathogenic variants in *ARFGEF1* and will
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26 require special attention from physicians when using GABA-based drugs, whose efficiency might be
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28 reduced in such individuals.
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33 As shown by our genetic and functional data, the pathophysiology of variants in *ARFGEF1* is
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35 consistent with haploinsufficiency. This is consistent with previously published functional studies,
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37 particularly in yeast, which showed that all protein domains are critical for the overall functioning of
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39 the protein³². Based on the molecular data from our study, the variable clinical features and clinical
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41 severity observed in different individuals in our cohort does not correlate with the localization of the
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43 variants along the gene. However, identification of further individuals carrying deleterious variants
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45 might bring additional information about the clinical spectrum associated with *ARFGEF1*-related
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47 dysfunction.
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52 Family pedigrees and histories show *ARFGEF1*-related disorders display an autosomal
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54 dominant mode of inheritance (Figure 1A). As no rare copy number nor additional likely-pathogenic
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56 variants were identified in our cohort to account for inter-generational variability, variants in this
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58 gene seems to be subject to highly variable expressivity. Indeed, as explained above, one third of the
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1 children had inherited the variant from an apparently healthy parent for whom the question of a
2 genetic neurodevelopmental disorder had not been raised during the initial assessment but only
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4 once the *ARFGEF1* variant had been identified as part as the familial segregation analysis (reverse
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6 phenotyping, Figure 1). This point is not particularly surprising as mild difficulties at school or in
7
8 learning in general can sometimes be tolerated. The situation of individuals 8's and 9's cousins was
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10 particularly illustrative of this and also shows how two individuals carrying expected-pathogenic
11
12 variants in *ARFGEF1* can be found in gnomAD, including one in the non-neurological cohort.
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16 Regarding the findings on brain MRI, the most common abnormality was a delayed
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18 myelination shown by subcortical white matter signal hyperintensity. This is consistent with what
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20 was observed in mice which displayed altered neurodevelopment with delayed neural polarization
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22 and increased neural apoptosis^{15,16}. One individual - patient 3 with the focal motor seizures-
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24 displayed periventricular nodular heterotopies (PH), a feature that echoes the brain abnormalities
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26 observed in a Turkish family with *ARFGEF2* (Periventricular heterotopia with microcephaly – OMIM
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28 608097 - Autosomal Recessive) pathogenic variants who displayed severe developmental delay,
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30 microcephaly, early-onset refractory epilepsy, bilateral nodular periventricular heterotopia and
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32 frequent infections³³, and a phenotype that partially overlaps the one observed in our cohort. Two
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34 hypotheses have been put forward to explain PH in *ARFGEF2*-related disorders. First, BIG2 inhibition
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36 has been shown to increase phosphorylation of Filamin A (a protein well known to be associated with
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38 PH³⁴), thus disrupting cell intrinsic neuronal migration which favors PH³⁵. Second, BIG2 has been
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40 shown to be involved in neuron migration through i) regulation of actin dynamics and ii) its critical
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42 importance for vesicle and membrane trafficking, mechanisms that are fundamental regulators of
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44 proliferation and migration during human cerebral cortical development^{35,36}. We may thus
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46 hypothesize that *ARFGEF1* pathogenic variants could also generate PH as BIG1 has also been shown
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48 to be involved in intracellular vesicle trafficking⁷, axon elongation⁹ and actin dynamics/directed
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50 migration³⁶.
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1 Surprisingly, our cohort mostly consisted of male individuals (12/13) and the ascertained
2 inherited variants were inherited from mildly symptomatic fathers who carried Sanger-confirmed
3 heterozygous variants. This appears surprising as no clear difference is observed between male and
4 female individuals regarding tissue expression of ARFGEF1 according to databases such as GTEx³⁷ or
5 the human protein atlas³⁸. Furthermore, our RNA and protein expression data show that *ARFGEF1*
6 expression is biallelic in clinically accessible tissues (i.e. skin-derived fibroblasts and whole blood)
7 although we cannot exclude that it might be selectively imprinted in other tissues during
8 development. Thus, no clear biological explanation appears to account for this unbalanced sex ratio,
9 although members of the ARF family have been implicated in asymmetrical cell division in female
10 meiosis in the mouse^{39,40} and potentially provide an explanation for this observation. Further studies
11 and reports should help untangle whether a real sex-dependent incidence of the disorders exists or
12 whether this happened in our cohort simply by chance.

13 To conclude, we describe a cohort of 13 individuals with *de novo* or inherited likely-
14 pathogenic *ARFGEF1* variants affected with developmental disorders of varying degree, offering a
15 description of clinical phenotypes associated with this gene. We provide evidence that *ARFGEF1*
16 should be considered as a new gene responsible for intellectual disability, developmental delay and
17 syndromic epilepsy.

18 **DATA AVAILABILITY**

19 Data is available upon request.

20 **ACKNOWLEDGMENTS**

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ETHICS DECLARATION

1 All affected individuals or their legal representative gave their informed consent for the sequencing
2 procedures and the publication of their results alongside with clinical and molecular data. Special
3
4 consent forms were signed authorizing publication of pictures when relevant.
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7 The study was performed within the framework of the GAD (“Génétique des Anomalies du
8
9 Développement”) collection and approved by the appropriate institutional review board of Dijon
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11 University Hospital (DC2011-1332).
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LEGENDS

1
2 Figure 1: Family trees and visual representation of *ARFGEF1* variants on a protein model.

3
4 Table 1: Clinical features and variants found in the 13 individuals of the cohort

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6 Figure 2: Pictures of affected individuals carrying *ARFGEF1* expected-pathogenic variants

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9 Figure 3: Brain MRI

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11 Figure 4: In silico analyses regarding the missense p.Asp798Asn and Western Blot analyses

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Haploinsufficiency of *ARFGEF1* is associated with developmental delay, intellectual disability and epilepsy with variable expressivity

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2. Disclosure James R. Lupski has stock ownership in 23andMe, is a paid consultant for Regeneron Genetics Center, and is a co-inventor on multiple United States and European patents related to molecular diagnostics for inherited neuropathies, eye diseases, and bacterial genomic fingerprinting.
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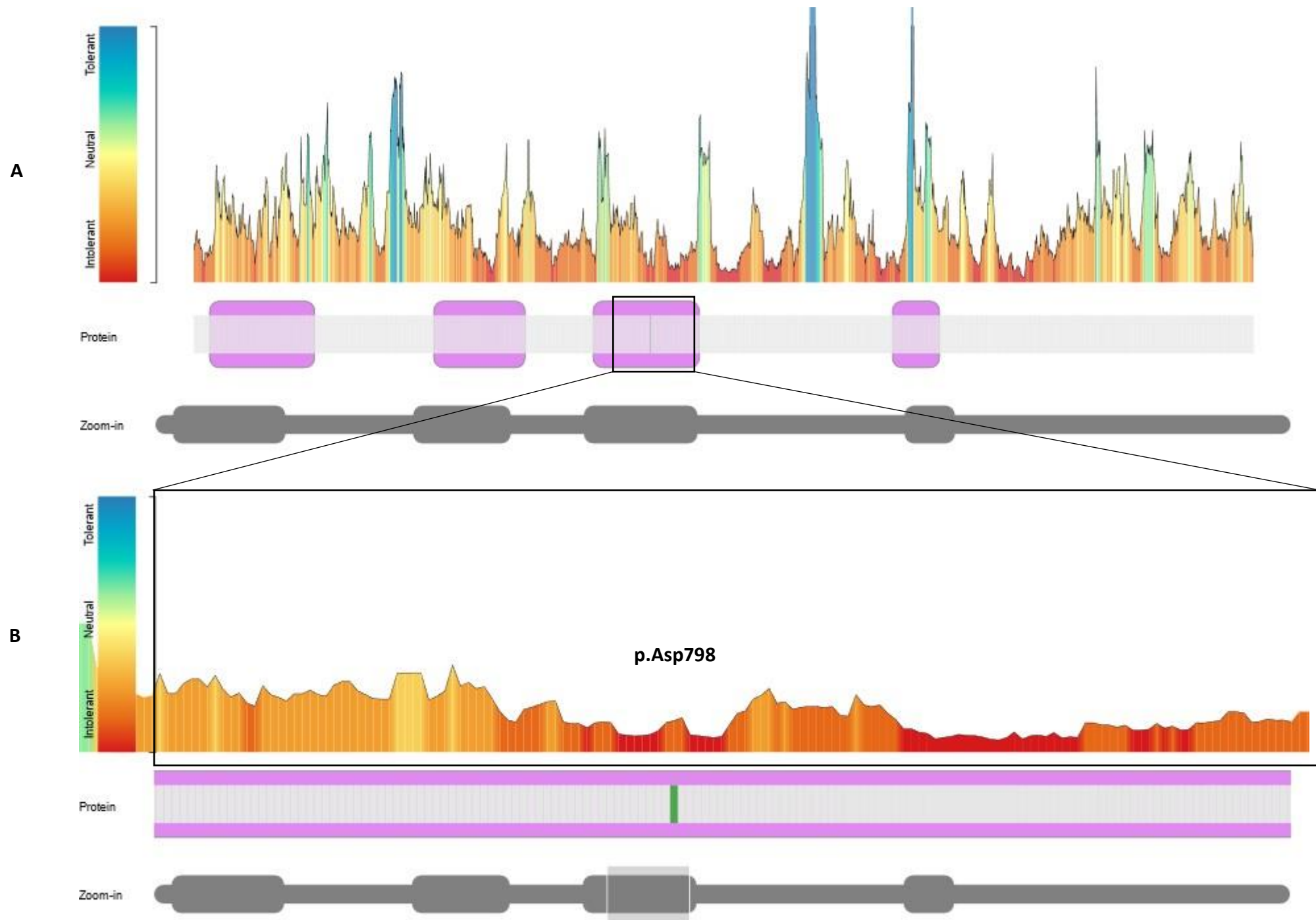
Table 1 - final

	Individual 1	Individual 2	Individual 3	Individual 4	Individual 5	Individual 6	Individual 7	Individual 8	Individual 9	Individual 10	Individual 11	Individual 12	Individual 13	Total
Age on follow-up	6 years old	10 years old	14 years old	11 years old	5 years old	3 months old	9 years old	11 years old	10 years old	13 years old	11 years old	32 years old	10 years old	
Gender	M	M	M	M	M	M	M	M	F	M	M	M	M	9M/1F
Motor delay	+	+	+	+	+	+	+	+	-	+	+	+	+	12/13
Speech Delay	+	+	+	+	-	+	+	+	+	+	+	+	+	12/13
Degree of delay	severe	moderate	severe	severe	-	mild	severe	mild	severe	mild	severe	mild	severe	
Behavioral problems	+	+	-	+	+	+	+	+	+	+	+	+	+	12/13
Type of disorder	psychomotor agitation	autism spectrum disorders		aggressivity, temper tantrums	hyperactivity, aggressivity, obsessive compulsive behaviors	ADHD	temper tantrums, anger bursts, severe psychomotor agitation, distractibility, attention disorders, enuresis, encopresis	autism disorders, anxiety, aggressivity	anxiety, attention disorders,	autism spectrum disorder, hyperactivity	attention disorder	aggressive behavior, alcohol addiction	oppositional defiant disorder, aggressivity, ADHD	
Intellectual Disability (ID)	+	+	-	+	-	+	+	+	+	+	-	+	-	10/13
Degree of ID	moderate	mild	intellectual functions at the lower limit	moderate	-	mild	moderate	mild	mild	moderate	-	mild	mild	
Neurological features	cerebellar ataxia	-	Impaired fine motor skills, slight balance disorder, left laryngeal paralysis; limitation of abduction of the left eye with strabismus	-	hypotonia	-	impaired fine and gross motor skills, balance disorders, dysarthria	impaired fine motor skills mild cerebellar ataxia, dysarthria	action tremor	-	impaired fine motor skills	-	-	7/13
Neurosensory disorders	-	-	-	-	-	hyperopia and high astigmatism	-	hyperopia	hyperopia, astigmatism	-	astigmatism, hyperopia	strabismus	mild unilateral hearing impairment	6/13
MRI findings	thin corpus callosum	mild myelination delay	occipital meningocele, disrupted superior cerebellar vermis, bilateral asymmetrical nodular heterotopias	-	-	-	pineal cyst, low-set cerebellar tonsils	subcortical white matter T2 signal hyperintensity congruent with myelination delay	subcortical white matter T2 signal hyperintensity congruent with myelination delay	UN	UN	UN	Type 1 Arnold-Chiari malformation	6/13

Table 1: Clinical features and variants found in the 13 individuals of the cohort. UN: unavailable

Feature	Individual 1	Individual 2	Individual 3	Individual 4	Individual 5	Individual 6	Individual 7	Individual 8	Individual 9	Individual 10	Individual 11	Individual 12	Individual 13	Total
Dysmorphic features	wide mouth, high forehead, low-set ears	frontal bossing, triangular face, facial hypertrichosis, pointed frontal hairline, thick eyebrows, short philtrum, protruding incisor teeth, generalized hirsutism, long face, wide mouth, low-set large ears	-	-	-	UN	hypotonic long face, wide mouth, large ears, bulbous nose tip	high forehead, large ears	high forehead, bulbous nose tip	brachycephaly, long and thin fingers, plagiocephaly, low-set large ears	long and thin fingers, large ears	small and low-set ears, long and thin fingers	-	8/13
Epilepsy	-	-	focal motor seizures	febrile seizures, generalized tonic-clonic seizures, atonic seizures, myoclonic and absence seizures. Drug-resistant epilepsy	Generalized tonic-clonic seizures, febrile seizures, myoclonic, atonic. Drug-resistant epilepsy	generalized tonic-clonic	generalized tonic-clonic seizures, febrile seizures, myoclonic, atonic	-	-	-	-	-	absence seizures	6/13
Exome Sequencing strategy	trio	trio	trio	trio	trio	solo	trio	solo	solo	trio	trio	solo	duo (mother)	
GrCh37/Hg19 genomic variants	chr8:g.68170369C>T	chr8:g.68112696G>A	chr8:g.68139835T>C	chr8:g.68172127del	chr8:68169969	chr8: 68152451	chr8:g.68200211delT	chr8:g.68178422G>A	chr8:g.6817842G>A	chr8:g.68139728G>A	chr8:g.68139728G>A	chr8:g.68138302G>A	chr8:68170366G>A	
cDNA variants.	NM_006421.4:c.2392G>A	NM_006421.4:c.5320C>T	NM_006421.4:c.3592-2A>G	NM_006421.4:c.2158del	NM_006421:c.C2524T	NM_006421.4:c.2923_2924dup	NM_006421.4:c.1006delA	NM_006421.4:c.1942C>T	NM_006421.4:c.1942C>T	NM_006421.4:c.3697C>T	NM_006421.4:c.3697C>T	NM_006421.4:c.4033C>T	NM_006421.5c.2395C>T	
aminoacid variants	p.(Asp798Asn)	p.(Arg1774*)	p.?	p.(Leu720Serfs*24)	p.(Gln842*)	p.(Cys976Phefs*6)	p.(Met336Trpfs*2)	p.(Gln648*)	p.(Gln648*)	p.(Gln1233*)	p.(Gln1233*)	p.(Arg1345*)	p.(Arg799*)	
Familial segregation	de novo	de novo	de novo	de novo	de novo	de novo	paternally inherited	paternally inherited	paternally inherited	paternally inherited	paternally inherited	UN	not inherited from mother, paternal sample unavailable	

Table 1 continuation: Clinical features and variants found in the 13 individuals of the cohort.



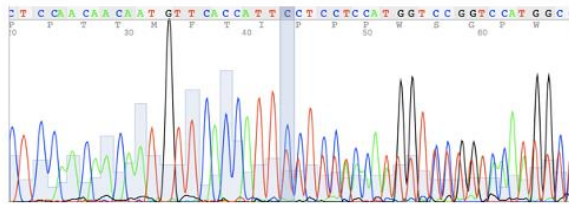
Supplemental Figure 1: Visualization of protein intolerance to amino acid change according to MetaDome. A: visualization of the overall protein (transcript NM_006421.4) intolerance to amino acid change. B: focus on the protein position p.Asp798, showing high intolerance to amino acid change.

chr8:g.68200211del
NM_006421.4:c.1006del
p.(Met336Trpfs*2)

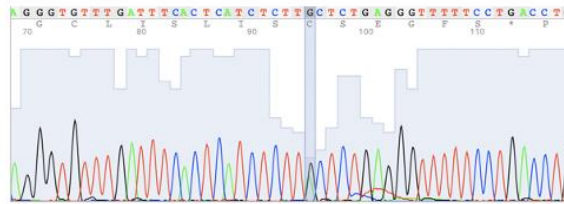
chr8:g.68178422G>A
NM_006421.4:c.1942C>T
p.(Gln648*)

chr8:g.68139728G>A
NM_006421.4:c.3697C>T
p.(Gln1233*)

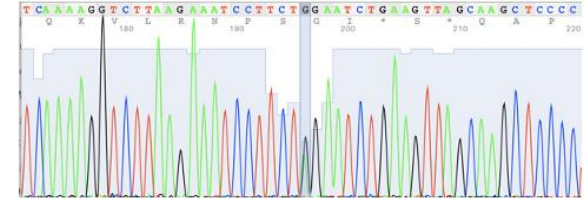
Individual 7



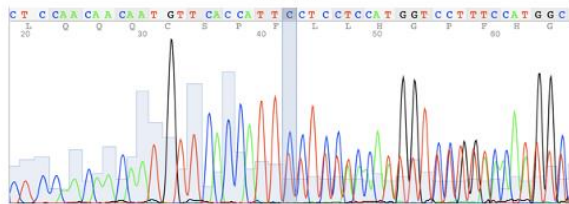
Individual 8



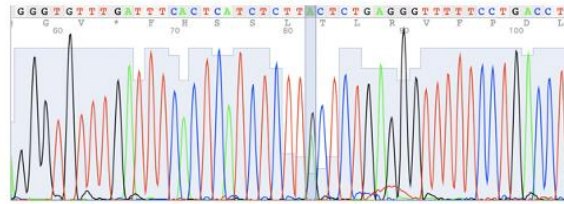
Individual 10



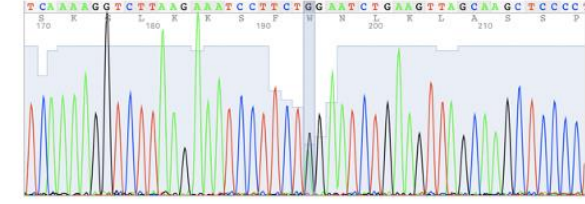
Father



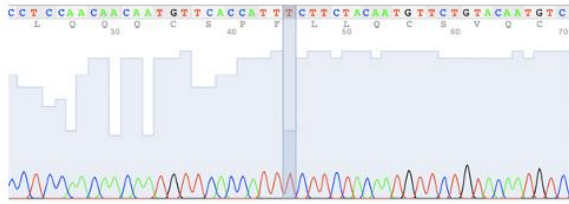
Father



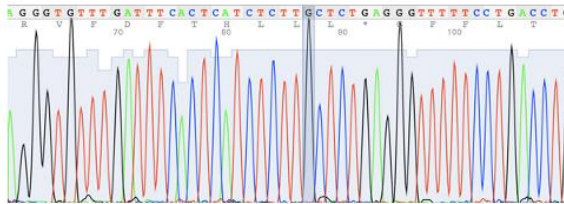
Father



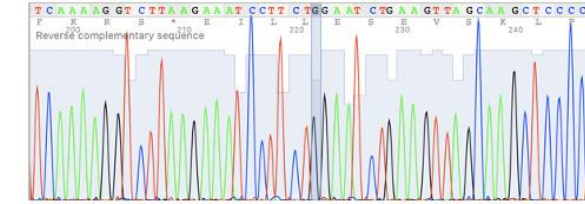
Mother



Mother



Mother



Supplemental Figure 2: Sanger Sequencing results of inherited variants. Causative variants were inherited from mildly affected fathers. Variant allele frequencies in fathers and affected children were close to 50%.

Genomic variant	Transcript variant	Protein variant	Familial segregation	ES Strategy	GnomAD	Number of individuals
chr8(GrCh37):g.68170369C>T	NM_006421.4:c.2392G>A	p.(Asp798Asn)	de novo	Trio	0	1
chr8(GrCh37):g.68112696G>A	NM_006421.4:c.5320C>T	p.(Arg1774*)	de novo	Trio	0	1
chr8(GrCh37):g.68139835T>C	NM_006421.4:c.3592-2A>G	p.?	de novo	Trio	0	1
chr8(GrCh37):g.68172127del	NM_006421.4 :c.2158del	p.(Leu720Serfs*24)	de novo	Trio	0	1
chr8(GrCh37):g.68169969G>A	NM_006421.4:c.2524C>T	p.(Gln842*)	de novo	Trio	0	1
chr8(GrCh37):g.68152452_68152453dup	NM_006421.4:c.2923_2924dup	p.(Cys976Phefs*6)	de novo	Proband-only	0	1
chr8(GrCh37):g.68200211delT	NM_006421.4:c.1006delA	p.(Met336Trpfs*2)	paternally inherited	Trio	0	1
chr8(GrCh37):g.68178422G>A	NM_006421.4:c.1942C>T	p.(Gln648*)	paternally inherited	Proband-only	0	2
chr8(GrCh37):g.68139728G>A	NM_006421.4:c.3697C>T	p.(Gln1233*)	paternally inherited	Trio	1	2
chr8(GrCh37):g.68138302G>A	NM_006421.4:c.4033C>T	p.(Arg1345*)	unknown	Proband-only	1	1
chr8(GrCh37):g.68170366G>A	NM_006421.4:c.2395C>T	p.(Arg799*)	not inherited from mother, paternal sample unavailable	Duo	0	1

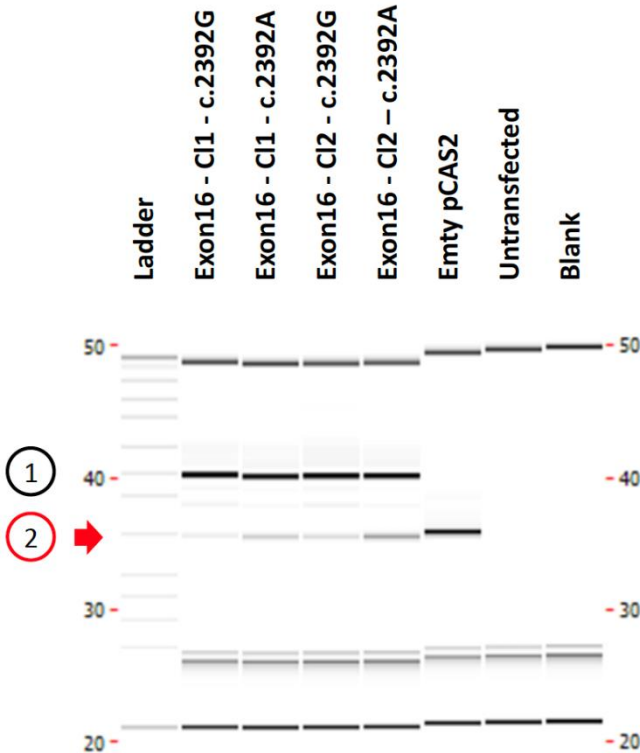
Supplemental Table 1 : Detailed molecular findings on the 11 ARFGEF1 variants identified in the cohort.

Development	Amount	Percentage
Psychomotor delay	12/13	92%
Speech delay	12/13	92%
Degree of speech delay		
Mild	4/12	33%
Moderate	1/12	8%
Severe	7/12	58%
Intellectual disability	10/13	77%
Degree of ID		
Borderline IQ	1/13	8%
Mild	5/10	50%
Moderate	4/10	40%
Severe	0/10	0%
Unavailable Data	1/10	
Behavioral Problems	12/13	92%
Type of disorder		
Aggressive behaviors	6/13	46%
Attention disorders	5/13	38%
Psychomotor agitation	4/13	31%
Autism spectrum disorder	3/13	23%
Anxiety	2/13	15%
Neurological features		
Epilepsy	6/13	46%
Hypotonia	2/13	15%
Cerebellar ataxia	2/13	15%
Morphological Features	8/13	62%
Large ears	5/8	63%
Low-set ears	4/8	50%
Bulbous nose-tip	3/8	38%
High forehead	3/8	38%
Wide mouth	3/8	38%
Long face	2/8	25%

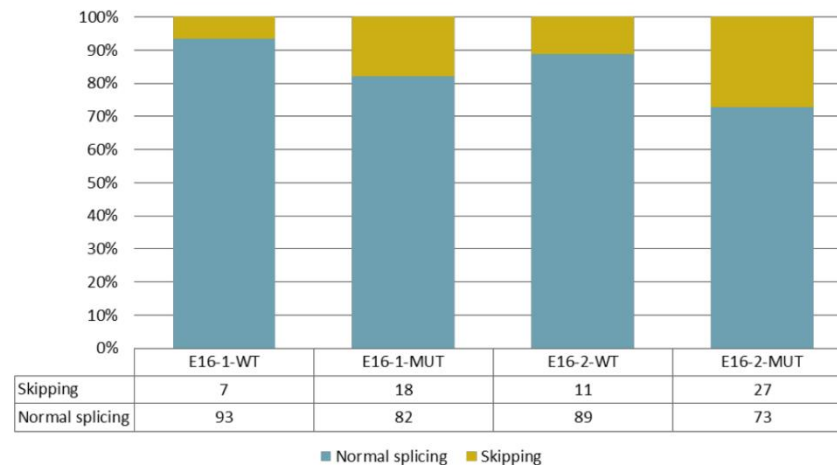
Supplemental table 2: Overall clinical phenotypes of patients with ARFGEF1 expected-pathogenic variants



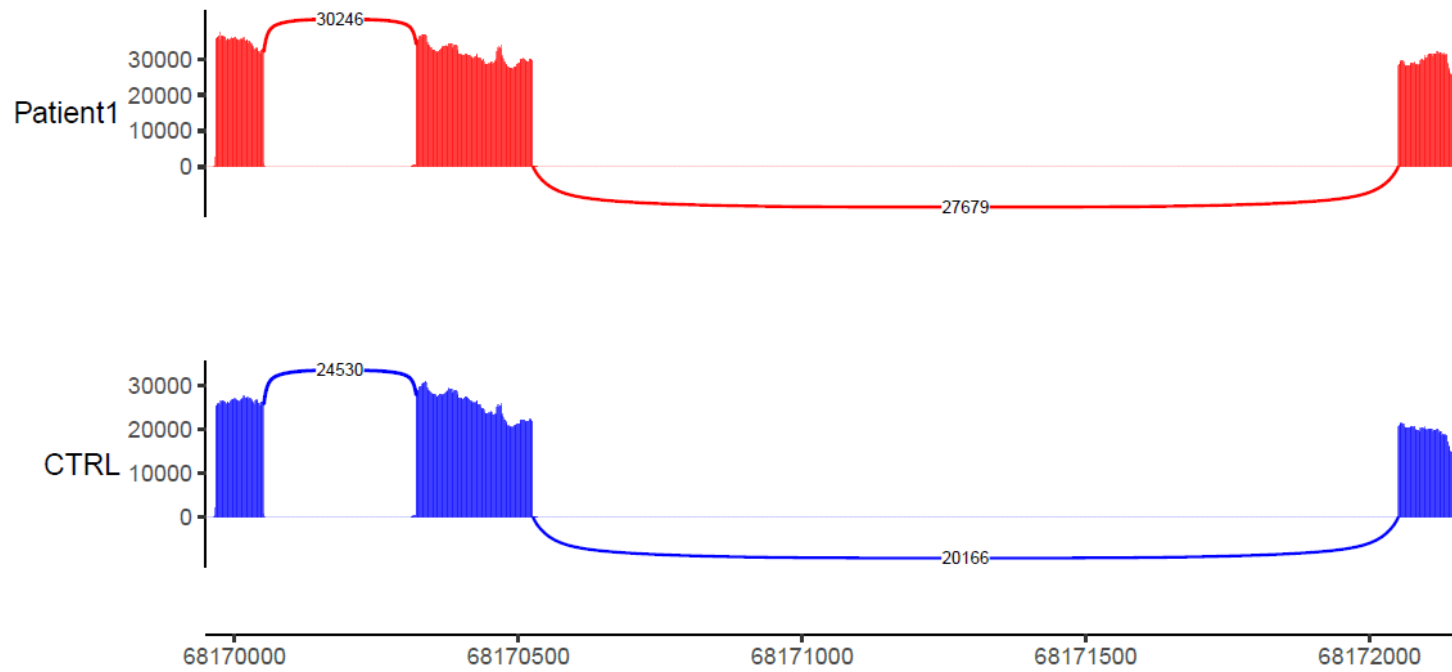
Supplemental Figure 3: Alamut prediction evaluating the splicing effect of the p.(Asp798Asn) variant. Note the prediction that c.2392G>A generates the formation of a new SRp40 exonic splice enhancer (green).



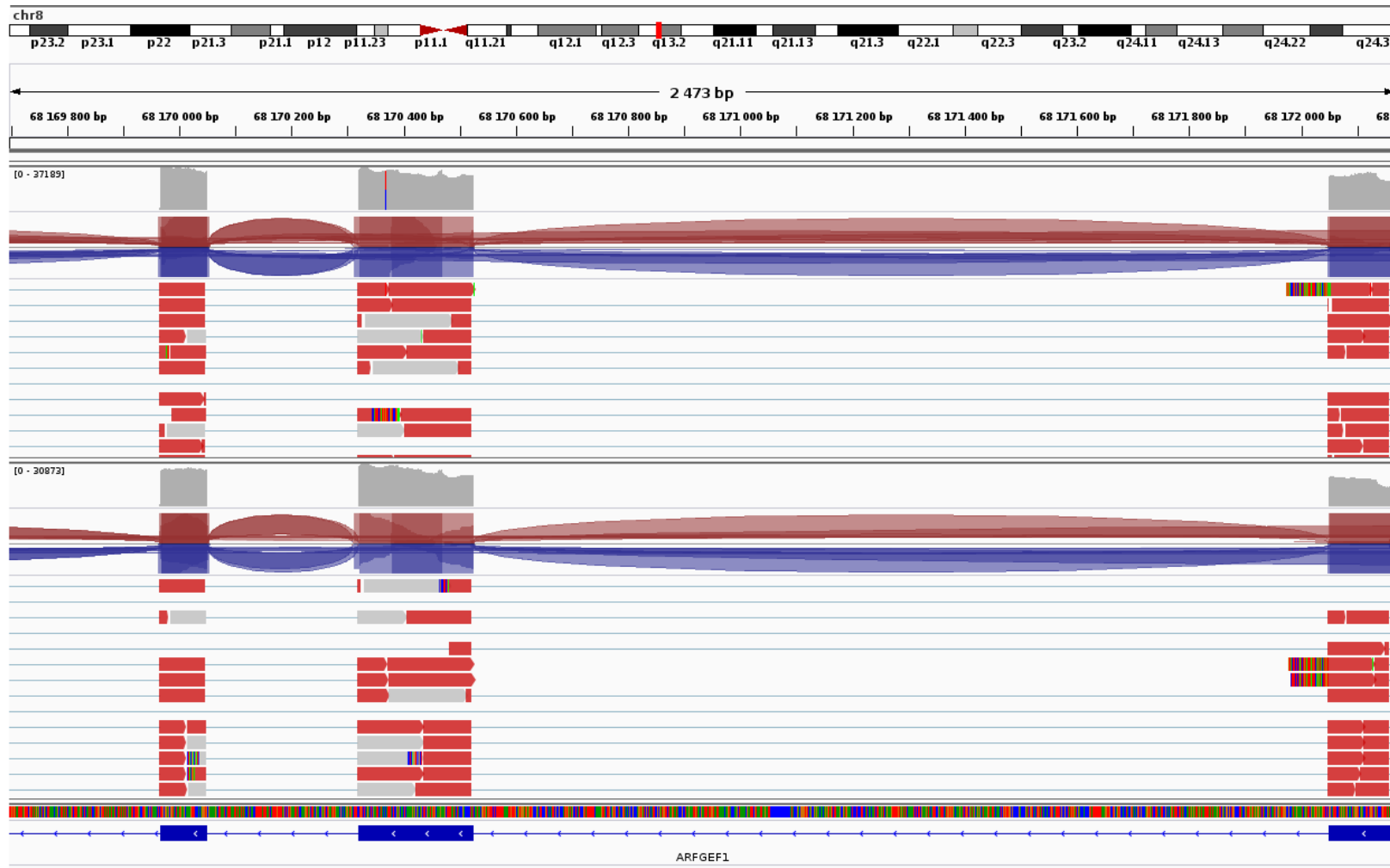
Percentage Normal splicing vs skipping E16



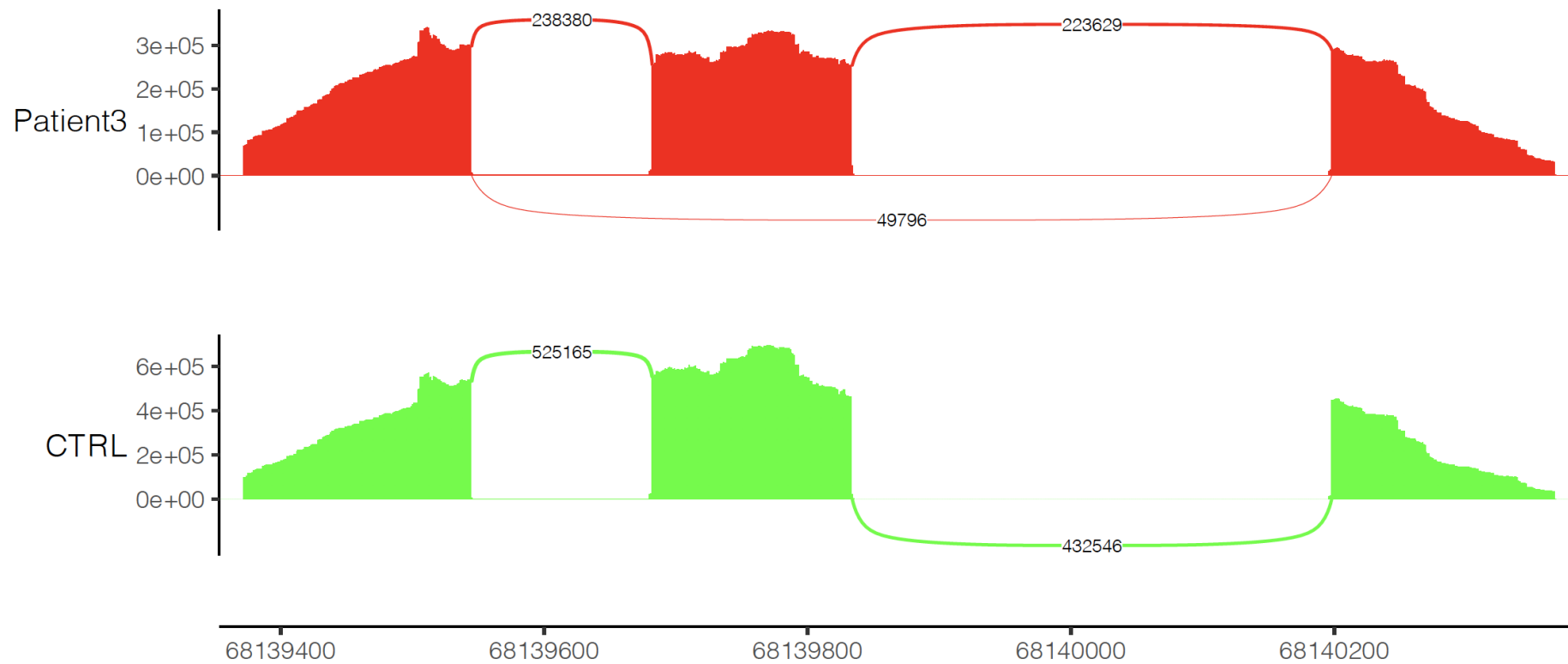
Supplementary Figure 4: Results of the minigene study on the missense variant p.(Asp798Asn). According to prediction programs compiled by Alamut Visual v2.15 (Interactive Biosoftware, Rouen, France), the variant NM_006421.4(ARFGEF1-Exon16):c.2392G>A does not affect canonical splice sites. However, the variant could have an effect by the prediction of the creation of a Srp40 binding site (top right panel). Two different transcripts were identified on Caliper LabChip electropherogram with minigene constructs of exon 16. Transcript 1 corresponds to the physiological splicing of the exon 16. Transcript 2 corresponds to the skipping of the exon 16 (top left panel). It is predominantly occurring in mutant constructs and was confirmed by sanger sequencing (only in mutant). These results suggest an effect of the c.2392G>A variant on splicing of the exon 16.



Supplemental Figure 5: Targeted amplicon RNA-seq studies evaluating splicing events for the c.2392G>A/p.(Asp798Asn) variant in fibroblast cell lines. Note the lack of visible difference between splicing events in the patient against the wild type control (CTRL).



Supplemental Figure 6: Targeted amplicon RNA-seq studies evaluating the allelic frequency in cDNA for the c.2392G>A/p.(Asp798Asn) variant in fibroblast cell lines. Patient 1 data are shown in the top panel. The allelic frequency of the c.2392G>A variant is close to 50%. A control sample obtained from a healthy individual is shown in the lower panel.



Supplemental Figure 7: Targeted amplicon RNA-seq studies evaluating splicing events for the c.3592-2A>G variant in blood. In Patient 3 (top panel), the intronic variant is responsible for exon 26 (center) skipping and consequently NMD. Residual read counts in support of the splicing anomaly between exon 24 (left) and 25 (right) are close to 20% of the wild-type allele. A control sample obtained from a healthy individual is shown in the lower panel.

SUPPLEMENTAL MATERIAL AND METHODS

Cell cultures

Fibroblasts from healthy donors and patients were obtained after consent for skin biopsy (patient 1 and symptomatic father of patient 2 and 3 from whom they inherited the variant). Fibroblasts were cultured in DMEM High Glucose medium (HyClone Thermo Scientific, Waltham, MA, USA) supplemented with 10% Fetal Bovine Serum (FBS, Thermo Scientific, Inc) and 1% ZellShield (Minerva, Biovalley, France). Cells were cultured at 37°C in a humidified 5% CO₂ atmosphere.

Transplanting and seeding were performed weekly as followed: cultures were washed with PBS (5mL) before being trypsinated (4 mL) and let to incubate for 5 minutes. Trypsin was then inhibited thanks to fetal veal serum by adding culture medium (8 mL). The resulting product was then centrifugated for 5 minutes at 500G and cell pellet was resuspended. Cell count was done thanks to a Malassez device with Trypan blue.

Total protein extraction and dosage

Once washed with cold PBS, each well was thoroughly scraped with 100µL RIPA and lysate was recovered and let to incubate on ice for 15 minutes before centrifugation for 15 minutes at 4°C and 16 000G. The final lysate was then transferred on a clean vial and stored at -20°C.

Protein dosage was performed using a BSA calibration scale (0, 50, 100, 200, 300, 400, 500 and 700 µg/mL) from the BCA protein dosage kit (Thermo Scientific©, Waltham, USA) and a 96-well-plate spectrophotometer reader Multiskan Go™ (Thermo scientific©) by measuring samples' absorbance at 562 nm. In brief, the BCA reagent (bicinchoninic acid) forms a colored complex with Cu₁ ions that has a 562 nm absorbance peak. This kit contains a BCA/Cu₂ mix that will be reduced to Cu₁ in presence of protein in an alkaline medium. Absorbance at 562 nm is then proportionate to the amount of protein in the medium.

Western Blot analyses

An equal quantity of protein (patients and control) was denaturated at 95°C for 5 minutes in Laemmli buffer containing β -mercaptoethanol (355mM final, Bio-Rad©, Hercules, California, USA). Proteins were run on a 10% SDS-PAGE in 25 mM Tris, 192 mM Glycine (and 1% SDS) at 100V for migration. This latter was made with 5% or 10% acrylamide.

Transfer was then performed from migration gel to PVDF Immobilon-P membrane (Millipore©) previously activated in methanol, in a buffer containing 6g/L Tris and 3g/L boric acid at 100V during one hour at 4°C. Membranes were then saturated in a washing buffer (PBS tween 0.05%) containing 5% non-fat dried milk during 45 minutes. Membranes were blotted overnight at 4°C with specific antibodies (1/1000) in PBS 0.05% Tween, 5% milk. Membranes were then washed 3 times in PBS 0.05% Tween and probed with an anti-rabbit for ARFGEF1 (Atlas Antibodies, Inc, reference HPA023822) or anti-mouse antibody for vinculin (Sigma, Inc, reference 073M4760V) coupled to horseradish peroxidase. The labeled proteins were detected using the Clarity™ Western ECL substrate (Bio-Rad Laboratories, Inc) according to the manufacturer's recommendations and visualized using ChemiDoc™ Imaging System (Bio-Rad Laboratories, Inc). Vinculin was used as cytoplasmic loading control.

Total RNA extraction and cDNA synthesis

RNA extraction was either performed from cell cultures or whole blood. Cells were washed in PBS and lysed in 1mL Trizol (Ambion). 200 μ L of chloroform were added, mixed, and incubated at room temperature (RT) for 3 minutes before centrifugation for 15 minutes at 4°C at 12 000G. The RNA-containing supernatant was then retrieved and 500 μ L of isopropanol were added and mixed before being incubated for 15 minutes at room temperature and then centrifugated at 4°C at 12000 G. The

RNA-containing pellet was then washed twice with 75% ethanol and centrifugated (5 minutes, 7500 G, 4°C). The ethanol was then carefully eliminated and the resulting dried-up pellet was resuspended in 20µL nuclease-free water.

Total RNA was extracted from whole blood collected in a PAXgene tube (Preanalytics GmbH, Hombrechtikon, Switzerland) using the PAXgene Blood RNA kit (Preanalytics GmbH, Hombrechtikon, Switzerland) following the standard protocol.

RNA concentration was measured out on a Multiskan Go™ (Thermo Scientific, Waltham, MA, USA) via absorbance reading à 260nm. The purity of nucleic acid was verified thanks to the evaluation of the absorbance ratio A260/A280 which evaluates protein contamination, and the A260/A230 ratio which evaluates the organic solvent contamination. The sample is considered pure if both ratios are between 1.8 and 2. cDNA was obtained using the QuantiTect Reverse Transcription kit (Qiagen GmbH, Hilden, Germany).

Targeted amplicon RNA sequencing

Primer pairs for cDNA sequencing were designed using the Primer 3 software (<https://primer3.ut.ee>).

Exons:	Primer:	Sequence:
13-23	Forward	ATCAGTATGTGAATCCCAACTCC
13-23	Reverse	CTTGCTATCTGTTTCCAGTCCAC
24-28	Forward	TCTACAAGGCTAGATGGAAATGC
24-28	Reverse	CGCTGGAAAGTGTTTTTCAAAT

PCRs were performed with the Prime Star GXL DNA polymerase kit (Takara Bio Inc) using the following touchdown conditions: initial denaturation 98°C for 3 min; 5 thermal cycles of denaturation at 94°C for 30 sec, primer annealing 65°C for 30 sec, primer extension 68°C for 1 min per Kb; 35 thermal cycles using 60°C instead of 65°C for primer annealing; followed by a final incubation at 10°C.

PCR products were verified by agarose gel electrophoresis and amplicons were purified with AMPure XP magnetic beads (Beckman Coulter Inc., Brea, CA, USA). Sequencing libraries were prepared with the Nextera XT kit (Illumina, San Diego, CA, USA) and sequenced on the Illumina Miseq using a paired-end protocol (2x150 bases in length).

RNA sequencing data were aligned on the human genome reference (GrCh37/Hg19) using the STAR aligner (version 2.5.2)⁵³ for each sample.

Data analysis was performed using direct inspection of spliced reads in the Integrative Genomics Viewer software (Broad Institute) and Sashimi plots were generated in Python (2.7.18) using the package `ggsashimi` (0.6.0).