



UNIVERSITI PUTRA MALAYSIA

**MOLECULAR PHYLOGENETICS AND POPULATION STRUCTURE  
OF TWO MAHSEER SPECIES (*Tor tambroides* BLEEKER AND *Tor  
douronensis* VALENCIENNES: CYPRINIDAE) IN MALAYSIA**

**YUZINE BIN ESA  
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VALENCIENNES: CYPRINIDAE) IN MALAYSIA**

**By**  
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**Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in  
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This study examines the phylogenetic relationships of mahseer (genus *Tor* and *Neolissochilus*) and their relationships with other selected cyprinids using sequence analysis of mitochondrial DNA *cytochrome c oxidase I* (COI) gene (464 base pairs). This study also describes the genetic structure of *Tor tambroides* and *Tor douronensis*, two important mahseer in Malaysia using both mitochondrial COI sequences and nuclear microsatellites DNA.

The findings of the phylogenetic study strongly support the reciprocally monophyletic status between genus *Tor* (*T. douronensis* and *T. tambroides*) and genus *Neolissochilus* (represented by *Neolissochilus stracheyi*.) thus, strengthen the taxonomic status for all the three indigenous mahseer. For the other indigenous cyprinids, an interesting finding was that

*Barbonymus gonionotus* was phylogenetically distinct from its morphologically similar species, *Barbonymus schwanenfeldii* (K2P distance value = 15.1%), and did not group together in a single *Barbonymus* clade.

The population structure analysis inferred from mitochondrial COI sequences found high levels of intra and inter-population variations in *T. douronensis*. The presence of fixed haplotype differences among the populations, along with high  $F_{ST}$  values indicated that there has been little or no migration occur among the extant populations separated by large geographic distances, or river systems. For *T. tambroides*, low level of mitochondrial variations was found among the populations. The reason is probably due to the high proportion of the HKE1 haplotype found in most populations (0.736-1.000) studied except from Endau-Rompin (HKE4 is the dominant haplotype), or by the small number of samples used in the present study.

The large genetic differences (3.3% to 5.2%) between the *T. douronensis* population from Sabah with its congeners from Sarawak, and the presence of fixed haplotypes supported the long period of genetic isolation of the mahseer of North Borneo, possibly prior to the Pleistocene glaciation periods. The sharing of haplotype HKE4 between samples of *T. tambroides* from Sarawak (Batang Ai (80%), Batang Baleh (100%) and Ulu Limbang (60%)) and Peninsular Malaysia (Endau-Rompin (97.9%) and Perak (15.8%)) indicated the

historical interconnection of drainages of Borneo and Peninsular Malaysia during the Tertiary and Quaternary periods (10-5 Ma) via the North Sunda River.

The population structure analysis using microsatellites found low levels of genetic diversity in both mahseers. The mean expected heterozygosity (0.3184) at 15 microsatellite loci found in *T. tambroides* and (0.2674) 13 loci found in *T. douronensis* were lower than the mean heterozygosity (0.5400) reported in most freshwater fish species but were comparable with those found in other studies of mahseer population structure using microsatellites. The results of the microsatellite analyses revealed a higher degree of population differentiation among extant populations of *T. tambroides* ( $F_{ST}$ : 0.0011 to 0.6494, genetic distances: 0.2% to 17.1%) compared with the *T. douronensis* populations ( $F_{ST}$ : 0.0057 to 0.3533, genetic distances: 0.0% to 4.8%). The population structuring among the *T. tambroides* populations was evidently higher using mitochondrial dataset compared with microsatellite dataset except in the Kelantan population where both dataset showed very low level of genetic diversity. In *T. douronensis*, microsatellites found very little genetic differentiation between the Sabah population and the other *T. douronensis* populations from Sarawak ( $F_{ST}$ : 0.0057 to 0.0537, genetic distances: 0.0% to 4.2%), in contrast to the mitochondrial results. The lack of adequate sample sizes in most populations in this study might have affected the observed microsatellite variations in both mahseer.

The identification of a single haplotype (ER8\*) consisted of four individuals from the Endau-Rompin population genetically closer to haplotypes of *T. douronensis* suggested that the haplotype ER8\* might actually represents a rare or cryptic *T. douronensis* haplotype occurred in Peninsular Malaysia. Nevertheless, additional microsatellites results using Bayesian cluster analysis showed that the samples of haplotype ER8\* were clustered together with the other *T. tambroides* samples with a high proportional membership of 92.8%. However, UPGMA dendrogram suggested a different viewpoint where samples of ER8\* were clustered together within the *T. douronensis* clusters with a weak support since a tied trees was found in the cluster analysis. Thus, the inclusion of nuclear microsatellite datasets could not fully resolve the genetic identity of haplotype ER8\* under the current study. Finally, the conservation unit criterion was assigned to the species (ESUs between *T. tambroides* and *T. douronensis*) and populations (MUs) levels of both mahseer.

Overall, the phylogenetic analysis of mitochondrial COI sequences has managed to provide new insights into the evolutionary relationship and classification of the cyprinids under study including the mahseer. The phylogenetic results are generally in good agreement and concordant with the current classification of the cyprinids based on morphology and meristic characters. The microsatellite markers in conjunction with mitochondrial COI markers demonstrated in this study have shown to be a better approach than a single molecular marker analysis in examining natural population of the mahseer.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Doktor Falsafah

**FILOGENETIK MOLEKUL DAN STRUKTUR POPULASI DUA SPESIES IKAN KELAH (*Tor tambroides* BLEEKER DAN *Tor douronensis* VALENCIENNES: CYPRINIDAE) DI MALAYSIA**

Oleh

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Okttober 2009

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Kajian ini telah dijalankan untuk memeriksa perhubungan filogenetik ikan kelah (genus *Tor* dan *Neolissochillus*) serta perhubungan dengan ikan siprinid terpilih yang lain menggunakan analisis penjujukan DNA mitokondria gen *sitokrom c oksides* I (COI) (464 pasangan bes). Kajian ini juga menghuraikan struktur populasi *Tor tambroides* dan *Tor douronensis*, dua spesies ikan kelah yang penting di Malaysia menggunakan kedua-dua kaedah penjujukan mitokondria COI dan DNA mikrosatelit nukleus.

Keputusan kajian filogenetik menyokong kuat status saling monofiletik di antara genus *Tor* (*T. douronensis* dan *T. tambroides*) dan genus *Neolissochillus* (diwakili oleh *Neolissochillus stracheyi*) seterusnya menyokong status taksonomi untuk ketiga-tiga ikan kelah indigenus tersebut. Untuk ikan siprinid indigenus yang lain, satu penemuan menarik iaitu *Barbonymus*

*gonionotus* yang secara secara filogenetiknya sangat berbeza daripada *Barbonymus schwanenfeldii*, spesies yang secara morfologi hampir sama (nilai jarak genetik K2P = 15.1%) dan tidak tergolong bersama di dalam satu kumpulan *Barbonymus*.

Analisis struktur populasi menggunakan penjajaran COI mitokondria mendapati variasi di peringkat intra dan inter-populasi yang tinggi dalam *T. douronensis*. Kehadiran perbezaan haplotip tetap antara populasi, disusuli dengan nilai  $F_{ST}$  yang tinggi antara populasi menunjukkan bahawa tiada atau terlalu sedikit proses migrasi yang berlaku antara populasi yang dipisahkan oleh jarak geografi atau sistem sungai yang jauh. Untuk *T. tambroides*, tahap variasi COI mitokondria yang rendah telah dijumpai di kalangan populasinya. Ini kemungkinan disebabkan oleh perkadaran tinggi haplotip HKE1 dikesan di dalam kebanyakan populasi (0.736 hingga 1.000) yang dikaji kecuali dari Endau-Rompin (HKE4 adalah haplotip yang dominan), atau kerana jumlah sampel ikan yang terlalu kecil digunakan di dalam kajian ini.

Perbezaan genetik yang besar (3.3% hingga 5.2%) di antara populasi *T. douronensis* dari Sabah dengan populasi dari Sarawak; dan kehadiran haplotip tetap menyokong jangka masa pemencilan genetik yang panjang untuk ikan kelah dari Borneo Utara, berkemungkinan sebelum era “Pleistocene” lagi. Perkongsian haplotip HKE4 di kalangan sampel *T. tambroides* dari Sarawak (Batang Ai (80%), Batang Baleh (100%) dan Ulu Limbang (60%)) dan Semenanjung Malaysia (Endau-Rompin (97.9%) dan Perak (15.8%)) menunjukkan,

secara sejarahnya, terdapat perhubungan di antara sungai di Borneo dan Semenanjung Malaysia semasa zaman Tertiar and Quaternar (10 - 5 Mya) melalui Sungai Sunda Utara.

Analisis struktur populasi menggunakan mikrosatelit mendapati tahap variasi genetik yang rendah dalam kedua-dua spesies ikan kelah tersebut. Min heterozigositi jangkaan (0.3184) pada 15 lokus DNA mikrosatelit yang dijumpai dalam *T. tambroides* dan (0.2674) 13 lokus mikrosatelit dalam *T. douronensis* adalah lebih rendah daripada min heterozigositi (0.5400) yang dilaporkan dalam kebanyakan kajian ikan air tawar, tetapi masih boleh dibandingkan dengan nilai yang dijumpai di dalam kajian struktur populasi ikan kelah lain dengan menggunakan mikrosatelit. Keputusan daripada analisis mikrosatelit menunjukkan darjah perbezaan genetik yang lebih besar di antara populasi *T. tambroides* ( $F_{ST}$ : 0.0011 hingga 0.6494, jarak genetik: 0.2% hingga 17.1%) berbanding dengan populasi *T. douronensis* ( $F_{ST}$ : 0.0057 hingga 0.3533, jarak genetik: 0.0% hingga 4.8%). Corak penstrukturan di kalangan populasi *T. tambroides* adalah lebih tinggi dengan menggunakan set data mitokondria COI berbanding dengan set data mikrosatelit, kecuali di dalam kes populasi dari Kelantan di mana keputusan daripada kedua-dua set data menunjukkan kadar variasi genetik yang sangat rendah. Dalam *T. douronensis*, analisis mikrosatelit mendapati perbezaan genetik yang sangat rendah di antara populasi dari Sabah dengan lain-lain populasi *T. douronensis* dari Sarawak ( $F_{ST}$ : 0.0057 hingga 0.0537, jarak genetik: 0.0% hingga 4.2%), namun berbeza daripada keputusan menggunakan mitokondria COI. Kekurangan saiz sampel bagi kebanyakan populasi dalam kajian ini berkemungkinan besar telah mempengaruhi tahap variasi mikrosatelit yang dijumpai bagi kedua-dua spesies ikan kelah tersebut.

Pengenalpastian satu haplotip (ER8\*) daripada empat individu ikan kelah dari populasi Endau-Rompin yang mana jarak genetiknya adalah lebih dekat dengan haplotip *T. douronensis* menunjukkan bahawa haplotip tersebut mungkin merupakan satu haplotip *T. douronensis* yang nadir atau bersifat kriptik yang pertama kali dikesan di Semenanjung Malaysia. Walau bagaimanapun, keputusan tambahan mikrosatelit menggunakan kaedah analisis kluster Bayesian menunjukkan sampel haplotip ER8\* tergolong bersama-sama dengan sampel *T. tambroides* pada kadar keahlian 92.8%. Namun, dendrogram UPGMA menunjukkan pendapat yang berlainan di mana sampel ER8\* tergolong bersama-sama di dalam kumpulan *T. douronensis* yang disokong secara lemah, kerana pokok yang dihasilkan adalah terikat bersama. Justeru, kemasukan set data mikrosatelit nukleus tidak dapat menyelesaikan identiti genetik haplotip ER8\*. Kriteria unit pemuliharaan ditentukan pada aras spesies (ESUs di antara *T. tambroides* dan *T. douronensis*) dan populasi (MUs) untuk kedua-dua ikan kelah tersebut.

Secara keseluruhannya, analisis filogenetik penjajaran mitokondria COI telah berjaya memberikan pandangan yang baru terhadap perhubungan evolusi dan pengelasan ikan siprinid di dalam kajian ini termasuk ikan kelah. Keputusan filogenetik secara amnya saling menyertuji dan selari dengan pengelasan semasa ikan siprinid berdasarkan ciri-ciri morfologi dan meristik. Penanda mikrosatelit dengan gabungan penanda mitokondria COI seperti yang ditunjukkan di dalam kajian ini, telah terbukti merupakan satu pendekatan yang lebih baik berbanding dengan analisis yang menggunakan satu penanda genetik sahaja di dalam kajian populasi semulajadi ikan kelah.

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I certify that an Examination Committee has met on **26 October 2009** to conduct the final examination of **Yuzine bin Esa** on his **Doctor of Philosophy** thesis entitled "**Molecular phylogenetics and population structure of two mahseer (*Tor tambroides* and *Tor douronensis* Valenciennes: Cyprinidae) in Malaysia**" in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the candidate be awarded the relevant degree. Members of the Examination Committee are as follows:

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Date: 11 February 2010

## **DECLARATION**

I hereby declare that the thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at UPM or other institutions

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**YUZINE BIN ESA**

Date: 24 December 2009



## TABLE OF CONTENT

	<b>Page</b>
<b>ABSTRACT</b>	ii
<b>ABSTRAK</b>	vi
<b>ACKNOWLEDGEMENTS</b>	x
<b>APPROVAL</b>	xii
<b>DECLARATION</b>	xiv
<b>LIST OF TABLES</b>	xviii
<b>LIST OF FIGURES</b>	xxi
<b>LIST OF ABBREVIATIONS</b>	xxiv
 <b>CHAPTER</b>	
<b>1 INTRODUCTION</b>	1
 <b>2 LITERATURE REVIEW</b> <span style="float: right;">6</span>	
2.1 Biology of mahseer	6
2.2 Economic importance of mahseer	10
2.3 Taxonomic problem of mahseer	11
2.4 Population genetic parameters	13
2.5 Molecular phylogenetics	16
2.6 Molecular works on mahseer and other cyprinids	18
2.7 Mitochondrial DNA	22
2.8 Microsatellites	24
 <b>3 PHYLOGENETIC ANALYSIS OF MAHSEER AND THEIR RELATIONSHIPS WITH SELECTED CYPRINIDS INFERRED FROM PARTIAL SEQUENCING OF A MITOCHONDRIAL CYTOCHROME C OXIDASE I (COI) GENE</b> <span style="float: right;">27</span>	
3.1 Introduction	27
3.2 Materials and methods	28
3.2.1 Sample sources and DNA extraction	28
3.2.2 Polymerase chain reaction (PCR) and sequencing	29
3.2.3 Purification process and DNA sequencing	32
3.2.4 Statistical analysis	33
3.3 Results	36
3.3.1 The characteristics of the COI dataset	36
3.3.2 Phylogenetic relationships among samples	37
3.4 Discussion	45

<b>4</b>	<b>MITOCHONDRIAL DNA (mtDNA) DIVERSITY, POPULATION STRUCTURE AND PHYLOGEOGRAPHY OF MAHSEER OF THE GENUS <i>Tor</i> (CYPRINIDAE) IN MALAYSIA</b>	<b>49</b>
4.1	Introduction	49
4.2	Materials and methods	51
4.2.1	Sample descriptions and collection locations	51
4.2.2	DNA extraction, polymerase chain reaction (PCR) and sequencing	53
4.2.3	Data Analysis	53
4.3	Results	56
4.3.1	Sequence variations	56
4.3.2	Haplotype distributions and genetic diversity	60
4.3.3	Phylogenetic relationships among haplotypes	68
4.4	Discussion	71
4.4.1	Mitochondrial DNA (mtDNA) variabilities of <i>Tor</i>	71
4.4.2	Phylogeography of <i>T. tambroides</i> and <i>T. douronensis</i>	73
4.4.3	Taxonomy of mahseer under study	74
<b>5</b>	<b>CROSS-SPECIES AMPLIFICATION ANALYSIS OF MAHSEER USING MICROSATELLITE PRIMERS DEVELOPED FROM THREE CYPRINIDS (<i>Barbus barbus</i>, <i>Barbonymus gonionotus</i> AND <i>Cyprinus carpio</i>)</b>	<b>76</b>
5.1	Introduction	76
5.2	Materials and methods	78
5.2.1	Sample collection	78
5.2.2	Microsatellite loci studied	78
5.2.3	PCR amplification and electrophoresis	79
5.2.4	Statistical analyses	81
5.3	Results	82
5.4	Discussion	87
<b>6</b>	<b>POPULATION GENETIC STRUCTURE OF MAHSEER INFERRED FROM ANALYSIS OF MICROSATELLITES LOCI</b>	<b>90</b>
6.1	Introduction	90
6.2	Materials and methods	92
6.2.1	Sample collection	92
6.2.2	Microsatellite genotyping	93
6.2.3	Statistical analyses	94
6.3	Results	97
6.3.1	Microsatellite polymorphism	97
6.3.2	Hardy-Weinberg equilibrium and linkage disequilibrium	106
6.3.3	Genetic differentiation among populations and species	122

6.4	Discussion	133
6.4.1	Genetic diversity and population differentiation	133
6.4.2	Comparisons of microsatellite data with mitochondrial sequences data	136
6.4.3	Resolving the genetic identity of haplotype ER8*	139
6.4.4	Conservation unit criterion and management implications	143
<b>7</b>	<b>GENERAL DISCUSSION</b>	<b>148</b>
<b>8</b>	<b>CONCLUSION</b>	<b>155</b>
<b>BIBLIOGRAPHY</b>		<b>158</b>
<b>APPENDICES</b>		<b>175</b>
<b>BIODATA OF STUDENT</b>		<b>194</b>

## LIST OF TABLES

Table	Page
Mahseer samples, their common name, haplotype code, number of sample (N), locations, percentage of identity index and GenBank Accession numbers	30
Samples of other indigenous cyprinids and mahseer from South Asia, their common name, number of sample (N), geographical origin and GenBank Accession Number used in this study	31
Samples of other cyprinids and outgroup species, their common name, number of sample (N), geographical origin, GenBank Accession Number and their references used in this study	34
Pairwise Kimura-two-Parameter (K2P) genetic distances among the 21 cyprinid species	43
Distribution of 11 observed mtDNA COI haplotypes, nucleotide diversity, number of haplotypes, haplotype diversity and number of polymorphic sites among populations of <i>T. tambroides</i>	61
Distribution of 14 observed mtDNA COI haplotypes, nucleotide diversity, number of haplotypes, haplotype diversity and number of polymorphic sites among populations of <i>T. douronensis</i>	62
Pairwise Tamura-Nei genetic distances (below the diagonal) and population subdivision ( $F_{ST}$ ) values and Chi-square probability test for population differentiation based on 1000 permutations of the sequence dataset (above the diagonal) among six populations of <i>Tor tambroides</i>	64
Pairwise Tamura-Nei genetic distances (below the diagonal) and population subdivision ( $F_{ST}$ ) values and Chi-square probability test for population differentiation based on 1000 permutations of the sequence dataset (above the diagonal) among six populations of <i>Tor douronensis</i>	65

Hierarchical analysis of molecular variance (AMOVA) among populations of <i>T. tambroides</i> and <i>T. douronensis</i>	67
Primers of microsatellite loci tested for cross-species amplification in <i>Tor douronensis</i> and <i>Tor tambroides</i>	80
Characteristics of successfully amplified primer pairs in <i>T. tambroides</i> and <i>T. douronensis</i>	83
Characteristics of five polymorphic microsatellite loci in <i>T. tambroides</i> and <i>Tor douronensis</i>	84
Parameters of genetic variability for polymorphic microsatellite locus in <i>T. tambroides</i> samples from three rivers	85
Parameters of genetic variability for polymorphic microsatellite locus in <i>T. douronensis</i> samples from three rivers	86
Allelic frequencies of 15 microsatellite loci from eight populations of <i>T. tambroides</i>	98
Allelic frequencies of 13 microsatellite loci from six populations of <i>T. douronensis</i>	103
Genetic variability at 15 microsatellite loci from eight populations in <i>T. tambroides</i>	107
Genetic variability at 13 microsatellite loci from six populations in <i>T. douronensis</i>	115
Heirarchical analysis of molecular variance (AMOVA) in <i>T. tambroides</i> and <i>T. douronensis</i>	123
Estimates of pairwise genetic distances (Nei, 1978; below diagonal) and $F_{ST}$ (Weir and Cockerham, 1984; upper diagonal) among eight populations of <i>T. tambroides</i>	125
Estimates of pairwise genetic distances (Nei, 1978; below diagonal) and $F_{ST}$ (Weir and Cockerham, 1984; upper diagonal) among six populations of <i>T. douronensis</i>	126

Results of assignment tests based on microsatellite gene frequencies of *T. tambroides* 129

Results of assignment tests based on microsatellite gene frequencies of *T. douronensis* 130

## LIST OF FIGURES

<b>Figure</b>		<b>Page</b>
<i>T. douronensis</i>		7
<i>T. douronensis</i> , showing a short median lobe		7
<i>T. tambroides</i>		8
<i>T. tambroides</i> , showing a long median lobe		8
A common habitat of mahseer in the upper stream of Malaysian rivers		9
The presence of median lobe in genus <i>Tor</i> (left) and its absence in genus <i>Neolissochilus</i> (right)		12
Differences in median lobe length among <i>T. tambroides</i> of Sarawak		12
Plot of transition (x) and transversion ( $\Delta$ ) against divergence using Tamura and Nei (1993) distance method onto the third codon position showing little saturation of the codon in the COI gene		37
Neighbour-Joining (NJ) tree (consensus tree) showing relationships among the cyprinids. The number at each node represents the bootstrap value (%) based on 1000 pseudoreplications for NJ analysis		38
Maximum-Parsimony (MP) tree showing relationships among the cyprinids. The number at each node represents the bootstrap value (%) based on 1000 pseudoreplications of the dataset		39
Maximum-Likelihood (ML) tree showing relationships among the cyprinids. The number at each node represents the bootstrap value (%) based on 1000 pseudoreplications of the dataset		40

Bayesian tree showing relationships among the cyprinids. The number at each node represents the posterior probabilities (in percentage) of the dataset	41
Map showing the sampling locations and sample sizes (N) of the mahseer	54
Plot of transition (x) and transversion ( $\Delta$ ) against divergence using Tamura and Nei (1993) distance method onto the third codon position showing no indication of saturation of the codon in the COI gene	58
Summary of variable sites of 28 observed mitochondrial DNA cytochrome c oxidase I (COI) haplotypes of the mahseer. Dots indicate identity with the HS1 haplotype sequence. HS= <i>T. douronensis</i> haplotypes, HKE= <i>T. tambroides</i> haplotypes, HNS= <i>N. stracheyi</i> haplotypes	59
Neighbour-Joining (NJ) tree showing relationships among the mahseer. The number at each node represents the bootstrap value (%) based on 1000 pseudoreplications of the dataset	69
Maximum parsimony (MP) tree showing relationships among the mahseer. The number at each node represents the bootstrap value (%) based on 1000 pseudoreplications of the dataset	70
Regression of fixation index ( $F_{ST}/(1-F_{ST})$ ) on geographical distance (Ln distance) for all pairwise comparisons of <i>T. tambroides</i> populations. Regression equation is shown	127
Regression of fixation index ( $F_{ST}/(1-F_{ST})$ ) on geographical distance (Ln distance) for all pairwise comparisons of <i>T. douronensis</i> populations. Regression equation is shown	127
Proportional membership (Q) of each individual of <i>T. tambroides</i> in the three clusters identified by STRUCTURE. The numbers in the X-axis correspond to a specific population: 1-Negeri Sembilan, 2-Pahang, 3-Perak, 4-Kelantan, 5-Endau-Rompin, 6-Baleh, 7-Ulu Limbang, 8-Batang Ai	131

Proportional membership (Q) of each individual of <i>T. douronensis</i> in the two clusters identified by STRUCTURE. The numbers in the X-axis correspond to a specific population: 1-Batang Ai, 2-Layar, 3-Bario, 4-Ba Kelalan, 5-Ulu Limbang, 6-Sabah	131
UPGMA cluster diagram based on Nei's (1978) unbiased genetic distance for eight populations of <i>T. tambroides</i> and six populations of <i>T. douronensis</i> based on 13 microsatelite loci	132
Proportional membership (Q) of each individual of <i>T. tambroides</i> , <i>T. douronensis</i> and ER8*in the clusters identified by STRUCTURE. The numbers in theX-axis correspond to a specific population: 1 to 8 = <i>T. tambroides</i> populations, 10 to 15 = <i>T. douronensis</i> populations, 9 = ER8*	141
UPGMA cluster diagram based on Nei's (1978) unbiased genetic distance for eight populations of <i>T. tambroides</i> , six populations of <i>T. douronensis</i> and mahseer samples of haplotype ER8* based on 13 microsatelite loci	142

## LIST OF ABBREVIATIONS

<b>µg</b>	microgram
<b>µl</b>	microlitre
<b>5X</b>	five times
<b>10X</b>	ten times
<b>bp</b>	base pair
<b>AMOVA</b>	analysis of molecular variance
<b>CA</b>	correct assignment
<b>COI</b>	cytochrome c oxidase I
<b>CTAB</b>	cetyl-trimethylammonium bromide
<b>ddH<sub>2</sub>O</b>	double distilled water
<b>DNA</b>	deoxyribonucleic acid
<b>dNTP</b>	deoxynucleotide triphosphate
<b>EDTA</b>	ethylenediamine tetraacetic acid
<b>ESU</b>	evolutionary significant unit
<b>g</b>	gram
<b>h</b>	hour
<b>HWE</b>	hardy-weinberg equilibrium
<b>IUCN</b>	international union for conservation nature
<b>K2P</b>	kimura-2-parameter
<b>kg</b>	kilogram
<b>LD</b>	linkage disequilibrium
<b>m</b>	metre