



UNIVERSITI PUTRA MALAYSIA

**SATELLITE REMOTE SENSING TECHNOLOGY FOR FOREST TYPE
CLASSIFICATION AND INVENTORY IN GUNUNG STONG FOREST
RESERVE, KELANTAN, MALAYSIA**

ZAILANI KHUZAIMAH

FH 2000 10

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By

ZAILANI KHUZAIMAH

**Thesis Submitted in Fulfilment of the Requirement for the Degree of
Master of Science in the Faculty of Forestry
Universiti Putra Malaysia**

November 2000



Abstract of thesis submitted to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science.

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Chairman : Professor Capt. Kamaruzaman Jusoff, Ph.D.

Faculty : Forestry

In Malaysia and other developing countries access to the forest area is often difficult and forest information is inadequate. Remote sensing in forestry is very valuable and it has become important due to its capability to collect data from large areas and its capability to generate information. In other words, remote sensing technology offers reliable information essential for forest management and inventory.

The objective of this study is to develop a technique for preliminary estimation of timber volume using satellite remote sensing imagery. Based on data analysis of the Landsat TM imagery, six classes of land cover were classified such as Primary Forest, Logged-Over Forest, Degraded Forest/Plantation, Shrub, Barren Land/Cloud and Water Bodies/Shadow. Using a forest canopy density technique, three volume intensity categories can be mapped out such as High Density ($>35 \text{ m}^3$ –

100 m³/ha), Medium Density (20 m³ – 35 m³/ha) and Low Density (below 20 m³/ha) with an overall accuracy assessment of about 97.30 percent. The results of these studies imply that Malaysian loggers can now utilize these maps to have a preliminary estimate of the timber volume in the concession areas without really “flying over” the inaccessible sites. Consequently from the government’s point of view, preliminary estimate of the concession fees can now be imposed on the loggers.

It can be concluded that satellite remote sensing (i.e. Landsat TM) can be successfully used in forest type classification and inventory for macro-forest planning in Malaysia. However, high resolution satellite remote sensing data such as IKONOS-1 or THEMATIC airborne data taken from aircraft should be further investigated for micro-planning in forest management due to its high resolution capability for individual tree counting and mapping.

Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk mendapatkan ijazah Master Sains.

**TEKNOLOGI SATELIT PENDERIAAN JARAK JAUH UNTUK
PENGKELASAN HUTAN DAN INENTORI DI HUTAN SIMPAN GUNUNG
STONG, KELANTAN, MALAYSIA**

Oleh

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Di Malaysia dan negara membangun yang lain, untuk masuk ke dalam kawasan hutan adalah sukar dan kurangnya maklumat mengenai hutan. Penggunaan penderiaan jarak jauh dalam perhutanan sangat berkesan dan akan menjadi penting disebabkan oleh keupayaan untuk mengumpul data dari kawasan yang luas dan berupaya untuk menghasilkan maklumat. Dengan kata lain teknologi penderiaan jarak jauh dapat menghasilkan maklumat yang diperlukan dengan lebih tepat untuk pengurusan dan inventori hutan.

Objektif kajian ini adalah untuk membangunkan teknik awal bagi mengira isipadu balak dengan menggunakan imej satelit penderiaan jarak jauh. Berpandukan kepada analisa data dari imej Landsat TM, enam kelas litupan tanah dapat dikelaskan dalam kawasan kajian iaitu

Hutan Prima, Hutan Lepas-Tebangan, Hutan Miskin/Kawasan Pertanian, Semak, Tanah Terbuka/Awan dan Jasad Air/Bayang. Dengan menggunakan teknik kepadatan silara hutan, tiga jenis kepadatan isipadu dapat di petakan iaitu Kepadatan Tinggi ($>35 \text{ m}^3 - 100 \text{ m}^3/\text{ha}$), Kepadatan Sederhana ($20 \text{ m}^3 - 35 \text{ m}^3/\text{ha}$), dan Kepadatan Rendah (dibawah $20 \text{ m}^3/\text{ha}$) dengan ketepatan keseluruhan lebih kurang 97.26%. Daripada keputusan kajian ini kini pembalok di Malaysia dapat menggunakan peta ini untuk membuat anggaran kasar nilai balok dalam kawasan konsesi tanpa memasuki kekawasan yang sukar untuk dimasuki. Bagi pihak kerajaan pula, dapat penganggaran jumlah cukai konsesi boleh di perkenalkan kepada pembalok.

Pada kesimpulannya, satelit penderiaan jarak jauh boleh dengan jayanya digunakan untuk pengkelasan hutan dan inventori di peringkat makro dalam perancangan hutan di Malaysia. Walaubagaimanapun penggunaan data satelit penderiaan jarak jauh yang beresolusi tinggi seperti data IKONOS-1 atau THEMATIC yang diambil dari pesawat udara perlu dikaji dalam penyelidikan dimasa hadapan untuk pengurusan hutan di peringkat mikro kerana data yang beresolusi tinggi berkeupayaan untuk pengiraan isipadu setiap pokok dan pemetaanya.

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I certify that an Examination Committee met on 21 November 2000 to conduct the final examination of Zailani Khuzaimah on his Master of Science thesis entitled "Satellite Remote Sensing Technology for Forest Type Classification and Inventory in Gunung Stong Forest Reserve, Kelantan" in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulation 1981. The committee recommends that the candidate be awarded the relevant degree. Members of the Examination Committee are as follows:

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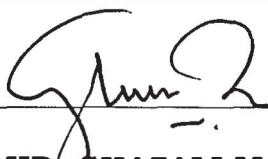
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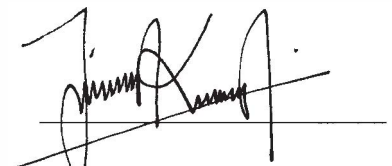
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DECLARATION

I hereby declare that the thesis is based on my original work except for quotations and citations, which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at UPM or other institutions.



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LIST OF ABBREVIATION

AVI	Advance Vegetation Index
BI	Bare Soil Index
CCT	Computer Compatible Tape
FCC	False Colour Composite
FCD	Forest Canopy Density
F.R.	Forest Reserve
GCP	Ground Control Point
GIS	Geographic Information System
GPS	Global Positioning System
ITTO	International Timber Trade Organization
MACRES	Malaysia Center for Remote Sensing
MLC	Maximum Likelihood Classification
MSS	Multispectral Scanner
PC	Principal Component
SI	Shadow Index
SPOT	Satellite Probatoire d'Observation de la Terre
TM	Thematic Mapper

CHAPTER I

INTRODUCTION

General

Forest plays a major role in supporting the life of mankind. For example, supplying timber, storing water, preventing landslides or debris flows, providing protection from strong winds and purifying the atmosphere. Recently, people have come to appreciate forests not only as a source a timber, but also as a place where contact with nature can be enjoyed.

Malaysian tropical rainforest is one of the most complex ecosystems in the world. Its unique natural heritage, which has evolved millions of years, and is rich and varied in plant and animal life. The remarkably rich flora of Malaysia is estimated to include at least 8,000 species of flowering plants, of which 2,500 are tree species. Therefore, there are many different rain forest formations, depending on factors such as edaphic conditions, drainage, and altitude. At an elevation of about 750m, there are species rich lowland and hill dipterocarp dominated forests in dryland areas and in the wetland areas mangrove, peat-swamp thrive. The upper montane rainforest formations grows

between 750m and 1,500m, respectively. The upper dipterocarps are replaced by oak and laurel dominated forest above about 12,000m.

The total land area of Peninsular Malaysia is approximately 13.2 mill. ha, of which 5.97 mil. ha or 45.3% is forested. This area comprises 5.67 mill. ha of Diptrocarp forest, 0.2 mil. ha mangrove forest (Anon, 1992). Of the forested area, 5.25 mil. ha have been designated Permanent Forest estate (PFE) and the balance in State land Forest. Although forest stand growth models have become increasingly important for updates inventory information and projecting future forest conditions, such updating are limited because of cropland abandonment, harvesting, and urban development. Such changes are extremely difficult to models and that is the major reason why satellite remote sensing data need to be fully utilized. Since the mean characteristics of forest strata change relatively little, the major inventory problem is to estimate the amount and location of such strata.

Conventional ground surveys, even though more reliable and accurate in forest inventory and mapping are not cost and time effective. This method cannot be used for updating the desire information at any short period of time. The study of growth and depletion of forest resources and damage areas, which require constant monitoring, is very slow with these methods. This is why it becomes imperative to resort to

the use of advanced and sophisticated technique of remote sensing for data collection and monitoring the changes (Tomar 1976).

New methods of mapping vegetation must be considered to meet the demand for timely and accurate information about ecosystems. This method must consider the entire landscape, including adjacent land under separate ownership, land designated as wilderness, and other land often excluded from inventories and analyses. Remote sensing and GIS are providing new tools for advancing ecosystem management. Satellite imagery is only one of the many remote sensing tools available to resources managers, yet it offers the most comprehensive perspectives of all. It allows users to view and manipulate large areas of land, such as an entire forest or region.

Remote sensing is a particularly useful tool for forestry applications. The spectral resolution of the sensors, and the repetitive coverage and digital nature of data allow detecting forest conditions which cannot be recognized by the human eye. Different techniques exist for differentiating and mapping forest units. For studying large area, data can be processed in an automated way; for thematic mapping, images are visually interpreted, enabling the forestry experts to use their knowledge and experience.

Justification

The area of tropical forest decreases and the ecosystem become poorer at an alarming rate due to shifting cultivation, uncontrolled logging and unplanned land uses. The most serious problems occur in the zones of tropical rain forest. Data collection is difficult and ground measurements are expensive due to poor infrastructure (Mikael *et al.* 1995).

In Malaysia and other developing countries access to the forest area is often lacking and forest information is inadequate. Remote sensing in forestry is very valuable and it has become important due to the capability of collecting data for large areas and transforming information without wasting a lot of time and cost (Kamaruzaman, 1992). In other words, remote sensing technology offers reliable information essential for forest management.

However, in recent years, tropical forest resources have contributed significantly to socio-economic development of this country. Consequently, there is growing awareness of its importance and prudent measures have been undertaken to ensure the conservation and management of the tropical forest as renewable resources.

During the last decade a number of studies have been conducted to determine the possible contributions of Landsat imagery for forest mapping, inventory and other uses using both visual as well as computer-aided techniques. However, much remain to be learned about the spectral characteristics of much different vegetation and the factors that influence the spectral response patterns that are measured by remote sensing systems.

In Malaysia, limited research has been done on forest typing. Most researcher emphasize on classification and mapping of degraded forestlands. For example, Wan Yusoff (1988) reported that by using Landsat MSS and SPOT data, recent logged-over forest compartments that have been intensively harvested were easily detected and mapped. The needs for research on the potential of remote sensing for forest typing are therefore deemed necessary.

Management of various economic aspects of forest is characterized by long production intervals. Consequently sound long-term planning is required, for which detailed forest maps and inventories are vital. In the course of preparation of forest maps and inventories, forested areas are divided into homogenous units (strata). Recent forest conditions in the strata are described in detail, and future management activities planned.

In countries where forest management is less extensive, the inventories are often based on surveys of randomly sampled areas resulting in accurate statistics of tree species distribution, timber volume and quality. This practice, however, is time-consuming and costly, and does not support the production of adequate forest maps. Satellite remote sensing helps by providing a synoptic view of large region and by displaying forest patterns. Forest mapping by remote sensing methods can be complemented by detailed ground survey; thus both accurate statistics and thematic maps can be obtained.

By applying remote sensing techniques, timber volume and forest stand structure data can be successfully analyzed with combination of additional ground data. The final output of remote sensing supported inventories is image maps and statistics, reliably documenting up-to-date documentation of forest conditions.



Objectives

The general objective of this study is therefore to assess the usefulness of satellite remote sensing technology in forest type classification and timber inventory. The specific objective is to estimate of the timber volume in Gunung Stong Forest Reserve, Kelantan, Malaysia using satellite remote sensing imagery.

CHAPTER II

LITERATURE REVIEW

Status of Forest Inventory in Malaysia

In Malaysia, forest inventories are carried out at the macro and operational level. The procedure used in each of the inventory differs in terms of sampling design, types of information being collected and the frequency of inventory being carried out (Masran and Samsudin, 1994).

In general, forest inventory at the macro level aims to collect and generate the following information on the forest resource base:-

- a. to determine the acreage and location of forest areas according to the forest type stratification;
- b. to assess changes in forest resources with respect to distribution, composition, forest stocking, forest stand and total tree volume according to its quality and productivity;
- c. to determine the standing volume of forest areas in accordance with the forest type stratification;

- d. to estimate the net and gross standing volumes of specific diameter classes according to species group/types and areas with potentials for exploitation; and
- e. to determine the location and assess both the quality and quantity of rattan, bamboo, palm and padanut resources.

As an example, in Peninsular Malaysia the third national forest inventory was recently carried out from 1991 to 1993. As a follow-up to the first two national forest inventories, this inventory collected the most recent and up-to-date data on the forest resources in P. Malaysia, for use in the present and future planning, management and development of the forest resources. The mode of implementation and inventory design under the third national forest inventory had been formulated through a joint project between the United Nations Development Program and the Food and Agriculture Organization of the United Nations. The design formulated was to accommodate the current conditions as well as the expected future conditions.

Currently, at the operational level, two types of forest inventory are being carried out for the inland forest especially in P. Malaysia, which are as follows:-

- a. pre-felling (pre-F) forest inventory; and
- b. post-felling (post-F) forest inventory.

The pre-felling forest inventory is carried out to determine the stand structure of the forest before harvesting commences in order to determine appropriate management (felling regimes which will be equitable to the logger and forest owner as well as to ensure ecological balance and environmental quality. The inventory is carried out using systematic line plots of 50m x 20m with four sub-plots of 25m x 20m, 10m x 10m, 5m x 5m, 2m x 2m.

The size classes of trees to be enumerated within the principal and four sub-plots and their sampling intensity are shown in Table 1.

Table 1: The Conventional Method of Forest Inventory Design in Malaysia

Sample plot	Size Class	Sampling Intensity (%)	Description
50m x 20m	>45cm dbh >30cm - 45 cm dbh	10.00	Big trees Small trees
25m x 20m	>15cm - 30cm dbh	5.00	Big poles
10m x 10m	>5cm - 15cm dbh	1.00	Small poles
5m x 5m	>1.5m ht. - 5cm dbh	0.25	Saplings
2m x 2m	15cm ht. - 1.5cm ht	0.04	Seedlings

The present of rattans, bamboo's, *Eugeissona triste* (bertam), palm and fens are also being enumerated in the principle plot of 50m x 20m,