



Applying Complexity Theory to a Hospital Complex Patient Care Program

FELICE BORGHMANS 

VENESSER FERNANDES 

HARVEY NEWNHAM 

*Author affiliations can be found in the back matter of this article

PERSPECTIVE PAPER

]u[ubiquity press

ABSTRACT

Increasingly, complexity science concepts are informing health care design and practice. The present paper describes the implementation of early complexity science principles in a Complex Care Program with the aim of strengthening the provision of integrated care. Grounded in cybernetic network theory, Stafford Beer's Viable Systems Model [1] provided the guiding principles for the program's redesign. The Viable Systems Model with its broadly applicable principles [1], is now the conceptual model of information management in the program. Beer's framework has enabled a relatively small number of clinicians to coordinate care for a large cohort of patients with significant clinical complexity, and a multitude of providers, in the community setting.

CORRESPONDING AUTHOR:

Felice Borghmans

Monash University, AU

felice.borghmans@monash.edu

KEYWORDS:

Complexity; systems;
information; integration

TO CITE THIS ARTICLE:

Borghmans F, Fernandes V, Newnham H. Applying Complexity Theory to a Hospital Complex Patient Care Program. *International Journal of Integrated Care*, 2021; 21(2): 19, 1–6. DOI: <https://doi.org/10.5334/ijic.5634>

INTRODUCTION

Our Hospital's Complex Care Program (CCP) provides care coordination and clinical support for people with chronic health and psychosocial complexities. In 2016 we undertook a service redesign to augment the program's capacity for responsive, well-integrated, patient care. The changes made were informed largely by Beer's Viable Systems Model (VSM), an approach grounded in early complexity theory principles of cybernetic network theory [2]. This paper describes the program's change journey of translating generic complex systems' principles into a healthcare practice context.

OVERVIEW OF THE CARE CONTEXT

Public hospital run CCPs were introduced in the early 2000's to ease hospital demand pressures [3], by reducing so-called 'avoidable' hospital presentations [4, 5]. Their objective is to improve the coordination and integration of services for people with chronic health conditions and biopsychosocial complexities [3, 6]. Our CCP employs approximately 55 healthcare professionals of which just over half are nurses, about one quarter are social workers and case managers, and the rest are allied health professionals and medical doctors. Currently, the CCP coordinates care for 300 or more patients at a time, largely in the community setting.

INITIAL OBSERVATIONS: THE PARADOX OF CARE INTEGRATION AND MODEL FRAGMENTATION

Prior to its redesign, the CCP structure consisted of numerous small services, each with an area of expertise.

Some teams specialised in psychosocial issues, others were biomedically orientated, one team focused on the care of older persons, and several independent clinical experts held small caseloads. This workforce design resulted from the project-like nature of CCPs in the early 2000's, that saw a gradual implementation of various approaches [6]. See *Figure 1*.

The incremental nature of the program's development had produced a fragmented service structure that stood in contrast to the CCP's core principles of care integration [7]. The program structure fostered siloed work practices that acted as a barrier to well-coordinated healthcare. Additionally, while systems of information sharing and collaboration are fundamental to integrated care practices [8], the CCP's information systems were disjointed. The program operated two client databases, and most patient information was stored separately to the hospital's main electronic medical record (EMR). Hence, pertinent CCP patient information was inaccessible to hospital clinicians. In addition, each small team had unique documentation templates, which fragmented information even within the CCP.

A consistent purpose and role scope are critical to the identity and strategic intent of a service and should differentiate it from other services [1]. In contrast, a multitude of teams, each with distinctive orientations meant the CCP lacked a cohesive identity. Furthermore, because patient eligibility for the program was determined at the clinician level, access to the CCP was highly variable, which confused referrers to the program.

Regarding care delivery, the CCP employed a key worker model, which is thought to foster client-carer engagement [9]. However, the nebulous issues encountered in complex care demand collaborative problem solving and the sharing of expertise [10]. Hence, the CCP's key worker model required augmentation to foster dynamic capabilities [11] across the workforce,

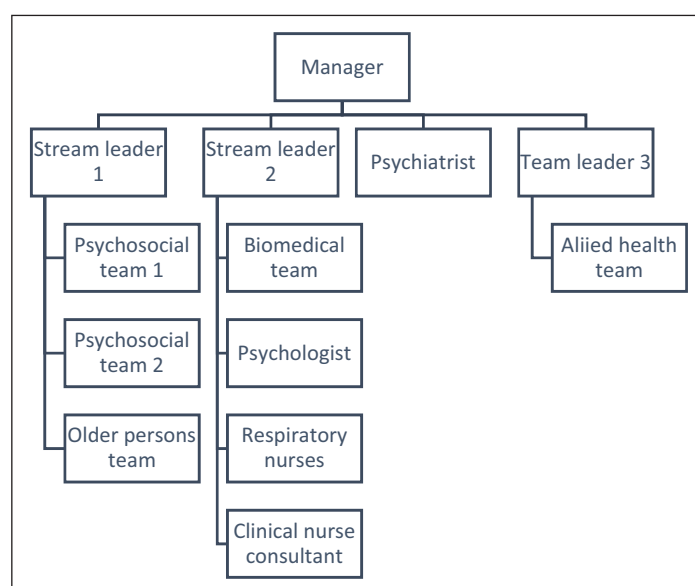


Figure 1 The CCP structure prior to redesign.

and to strengthen oversight for patients with significant medical or psychosocial complexity.

In summary, the design of the CCP constrained its capacity to manage effectively both the internal and external informational complexity to which it was exposed. As a result, it was unclear if the CCP was effective in achieving its objectives of safe, effective, and well-integrated care and the reduction of potentially preventable hospital attendances.

MATCHING THE MODEL DESIGN TO ITS PURPOSE

In researching strategies by which to manage systemic complexity we came across Beer's VSM [1]. The VSM purports to promote effective and adaptive system responsiveness to internal and external informational complexity, with general applicability across systems [1]. The VSM was adopted as the starting point for a redesign of the CCP and it has since become an embedded component of the program's operational and clinical practice framework.

THE VSM MODEL'S COMPLEXITY PRINCIPLES AND THEIR OPERATIONAL APPLICATION IN THE CCP

Healthcare programs deal with a vast quantity and variety of information, thus managing this complexity is a central concern [12]. Furthermore, information accessibility is

crucial to well-integrated care coordination [8]. The VSM supports attenuation, augmentation, and organisation, to optimise information coordination and accessibility [1, 13]. The VSM framework consists of five co-dependent functions represented by the symbols S1 through to S5, where each function (S) is discrete [14], and each sub-component of a system consists of all five functions. As such, subsystems are 'nested' within larger systems as an integrated arrangement of information sharing and management (see *Figure 2*). In the CCP, individual staff and teams represent subsystems, nested within the larger system; the CCP itself.

S1 represents the function of production that is aligned to the system's core purpose [2]. The CCP's purpose is complex healthcare coordination and integration [3]. Through staff consultation the program's purpose, and the role scope of clinicians, was articulated and distilled into a unified service identity, tied to its eligibility criteria. The program's identity was further strengthened by joining with the hospital's central point of access for ambulatory services. This had the effect of eliminating variable decision-making about patient eligibility to the service and reducing referrer confusion.

S2 is the coordination function of a system [2]. The CCP fully integrated with the hospital's EMR to foster improved oversight and coordination of care across the hospital system. Furthermore, the CCP's multitude of documentation types were consolidated into generic templates for use across the program. The standardised formats have made it easier for clinicians to find pertinent information, and improved consistency in documentation. In addition, while the key worker approach has been

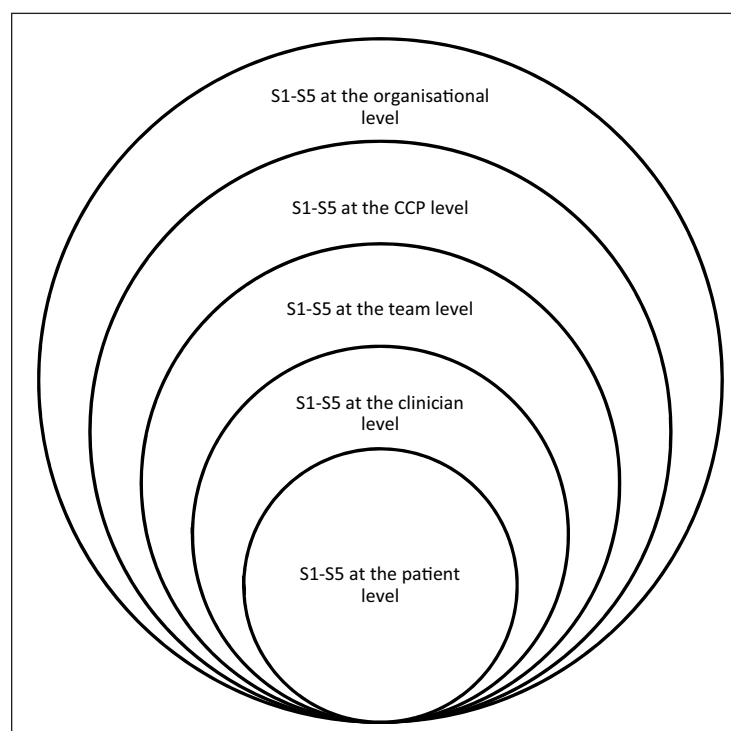


Figure 2 The nested systems design adapted from Beer's VSM.

largely retained, the CCP has strengthened its model of interdisciplinary care through the adoption of Snowden’s Cynefin Framework of decision-making [10]. This flexible team-oriented approach to deliberation is ideally suited to the nebulous issues experienced by patients in the CCP [10].

Beer’s S3 function represents reflexive internal regulation in response to changes within or external to a system [2]. The siloed workforce configuration of the CCP constrained S3 capability by partitioning decision making and the sharing of resources. It required a workforce restructure to augment S3 functionality within the service (see **Figure 3**). The workforce has been reconfigured into four generic interdisciplinary complex care teams. The teams are supported by senior clinicians whose role it is to match clinical resources to patient needs. The generic teams are assisted by area operations leads who in turn are supported by the CCP manager. A fifth ‘centralised team’, made up of the CCP’s ‘rarer clinical resources’, provides individualised discipline specific expertise across the complex care teams.

Reflexive service delivery regulation has been further augmented by redesigning roles across the entirety of the service. Each role has a defined practice scope yet is maximally autonomous within that scope, to foster adaptive decision making. Furthermore, in Beer’s VSM, the bounded identity and autonomy of functions is coupled with a robust network of communication, to support flexible, responsive care [1]. Hence, a detailed communication framework now underpins the CCP model. A set of targeted meeting types (such as morning huddles, weekly care planning) promotes timely information exchange so that the status of patients, and the configuration of their care team, is known to relevant parties and readjusted as needed.

The S4 function of the VSM accounts for intelligence; knowledge of changes occurring inside and outside

of a system that may require internal adaptation to stay relevant [2]. S4 underscores the need for robust communication within the program to remain contemporary and responsive to community and organisational needs. Since healthcare occurs in a dynamical environment [15, 16] the timely acquisition of critical information at the right level of decision-making enables proactive adjustments to care [1]. Therefore, maximising clinician autonomy, and fostering robust feedback, are vital elements of adaptive healthcare in the CCP [13].

Finally, S5 represents the system’s executive function; its direction setting and future planning, which requires all the other elements, S1 to S4, to align and to communicate [14]. For the CCP, S5 requires the cohesive orientation of all team members to the program’s funded objectives: The reduction of potentially preventable hospital attendance through effective coordination of care for complex biopsychosocial health needs [3]. Yet, to be meaningfully adopted the objectives must align with clinicians’ aims and values also [17]. Thus, the knowledge contribution of clinicians skilled in working with patients that have complex health needs has been critical to the implementation and continuation of changes to the program.

IMPLICATIONS FOR PRACTICE

The VSM incorporates “invariant” elements that, in combination, enabled us to better integrate interdisciplinary care effectively [1]. We recommend its application in programs seeking to manage the complexity of caring for patients with diverse needs and dealing with multiple stakeholders across different parts of the healthcare sector.

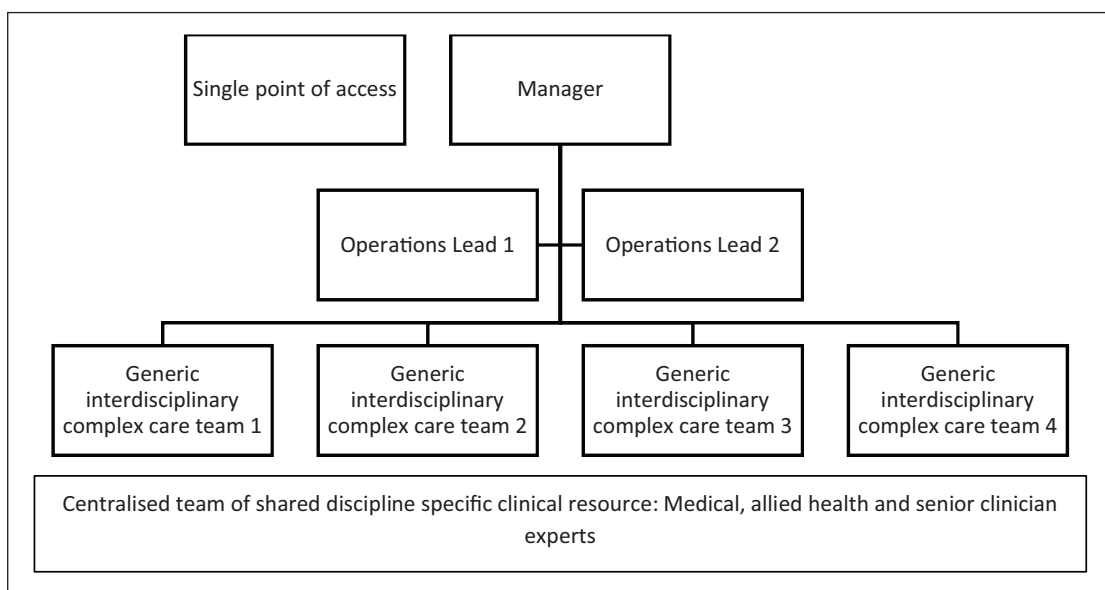


Figure 3 The redesigned workforce structure of the CCP.

CONCLUSION AND RECOMMENDATIONS

Complexity thinking, informed by Beer's VSM [1] has improved the integration of information and care across the CCP. However, one cannot 'set and forget' work of this nature. Complexity thinking exposes the dynamical nature of myriad systems informing healthcare [12]. Healthcare models demand constant attention and reflexive engagement to stay relevant [1]. Nevertheless, our experience to date suggests complexity thinking may be applicable in similar settings of care.

COMPETING INTERESTS

The authors have no competing interests to declare.

AUTHOR AFFILIATIONS

Felice Borghmans  orcid.org/0000-0002-6887-2708
Monash University, AU

Venesser Fernandes  orcid.org/0000-0003-3907-7673
Monash University, AU

Harvey Newnham  orcid.org/0000-0002-4732-0859
Monash University, AU

REFERENCES

1. **Beer S.** The Viable System Model: Its Provenance, Development, Methodology, and Pathology. *The Journal of the Operational Research Society*. 1984; 35(1): 7–25. DOI: <https://doi.org/10.1057/jors.1984.2>
2. **Brockelsby J, Cummings S.** Designing a viable organization structure. *Long Range Planning*. 1996; 29(1): 49–57. DOI: [https://doi.org/10.1016/0024-6301\(95\)00065-8](https://doi.org/10.1016/0024-6301(95)00065-8)
3. **Department of Health and Human Services.** *Hospital Admission Risk Program Monitoring and Evaluation Framework*; 2011.
4. **Ham C, Imison C, Jennings M.** *Avoiding hospital admissions: Lessons from the evidence*. London: The King's Fund; 2010.
5. **O'Cathain A, Knowles E, Maheswaran R, Turner J, Hirst E, Goodacre S,** et al. Hospital characteristics affecting potentially avoidable emergency admissions: National ecological study. *Health Serv Manage Res*. 2013; 26(4): 110–8. DOI: <https://doi.org/10.1177/0951484814525357>
6. **The Department of Health.** Victoria – Appendix D – Jurisdictional responses – Review of cardiovascular disease programs 2012 [Available from: <https://www1.health.gov.au/internet/publications/publishing.nsf/Content/cardio-pubs-review~cardio-pubs-review-appendix-d~cardio-pubs-review-appendix-d-vic>].
7. **Wagner EH, Groves T.** Care for chronic diseases.: The efficacy of coordinated and patient centred care is established, but now is the time to test its effectiveness. *British Medical Journal*. 2002; 325(7370). DOI: <https://doi.org/10.1136/bmj.325.7370.913>
8. **Wagner EH.** Effective teamwork and quality of care. *Med Care*. 2004; 42(11): 1037–9. DOI: <https://doi.org/10.1097/01.mlr.0000145875.60036.ed>
9. **Parr S.** Conceptualising 'The Relationship' in Intensive Key Worker Support as a Therapeutic Medium. *Journal of Social Work Practice*. 2015; 30(1): 25–42. DOI: <https://doi.org/10.1080/02650533.2015.1073146>
10. **Snowden D.** The Cynefin Framework: Cognitive Edge; 2010 [Available from: <https://www.youtube.com/watch?v=N7oz366X0-8>].
11. **Peteraf M, Di Stefano G, Verona G.** The elephant in the room of dynamic capabilities: Bringing two diverging conversations together. *Strategic Management Journal*. 2013; 34(12): 1389–410. DOI: <https://doi.org/10.1002/smj.2078>
12. **Howell MD, Stevens JP, Companies MH.** *Understanding healthcare delivery science*. New York: McGraw-Hill Education; 2020.
13. **Vriens D, Espejo R, Achterbergh J.** Cybernetically sound organizational structures I: de Sitter's design theory. *Kybernetes*. 2011; 40(3/4): 405–24. DOI: <https://doi.org/10.1108/03684921111133656>
14. **Rowe J.** The cybernetics of organising: management and leadership. *Kybernetes*. 2010; 39(7): 1100–11. DOI: <https://doi.org/10.1108/03684921011062728>
15. **Lipsitz LA.** Understanding health care as a complex system: The foundation for unintended consequences. *JAMA*. 2012; 308(3): 243–4. DOI: <https://doi.org/10.1001/jama.2012.7551>
16. **Persaud DD.** Enhancing learning, innovation, adaptation, and sustainability in health care organizations: The ELIAS performance management framework. *The Health Care Manager*. 2014; 33(3): 183–204. DOI: <https://doi.org/10.1097/HCM.000000000000014>
17. **Surie G, Hazy JK.** Generative leadership: Nurturing innovation in complex systems. *E: CO Emergence: Complexity and Organization*. 2006; 8(4): 13–26.

TO CITE THIS ARTICLE:

Borghmans F, Fernandes V, Newnham H. Applying Complexity Theory to a Hospital Complex Patient Care Program. *International Journal of Integrated Care*, 2021; 21(2): 19, 1–6. DOI: <https://doi.org/10.5334/ijic.5634>

Submitted: 02 November 2020 Accepted: 15 March 2021 Published: 18 May 2021

COPYRIGHT:

© 2021 The Author(s). This is an open-access article distributed under the terms of the Creative Commons Attribution 4.0 International License (CC-BY 4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited. See <http://creativecommons.org/licenses/by/4.0/>.

International Journal of Integrated Care is a peer-reviewed open access journal published by Ubiquity Press.

