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# Exploring the socio-cultural sustainability of old and new housing: two cases from Jordan.

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## Abstract

Vernacular architecture serves as inspiration and learning material to create more impactful and meaningful contemporary building solutions. However, most research in this area focuses on the technical aspects of sustainability. There remains a gap in sociocultural aspects of both contemporary and vernacular architecture and a coherent understanding of the indicators that inform this vernacular-inspired sustainable architecture is still lacking. The study aimed to propose and categorize indicators of a theoretical eco-cultural sustainability framework and indicators. This was underpinned by a literature review of existing sustainability assessment frameworks and tools. A qualitative approach was used comprising 81 semi-structured interviews from two case study areas, historic and contemporary development, in Jordan. Framework and thematic analysis guided the analysis stage. Factors related to cultural appropriation are the most prioritised by participants and linked to sustainability. It was also found that due to its intangibility and complexity, most sustainability frameworks in the built environment only focus on the environmental criteria and have failed to integrate cultural indicators. Therefore, this study makes a significant theoretical and practical contribution in that it bridges this gap by proposing tangible metrics relating to intangible cultural factors so that this can be effectively incorporated into existing design assessment.

**Keywords:** Eco-cultural design; sustainability assessment framework; sustainable development; indicators

## 1.0 Introduction

The United Nations estimates that the building industry accounts for more than 35% of global final energy use and nearly 40% of energy-related CO<sub>2</sub> emissions (Abergel *et al.* 2017). Around 55% of the global population live in cities compared to 30% in the 1950s. This percentage will exceed 60% by 2050 (UN, 2015). The trend of urbanisation is the result of ever changing ecological, social and economic aspects of human society that puts its toll on the environment (Mori & Christodoulou, 2012). Thus, the United Nations Industrial Development Organisation (UNIDO) proposed the ‘three pillars’ framework for sustainable development (UNIDO 2005). The sustainable development framework transcends the tangible and intangible themes of social, environmental and economics as well as institutional and regulation factors. The three pillar model has been criticised for being loosely defined and too conceptual (Pissourios, 2013). The definition also lacks many intangible and human cultural aspects, which has a major influence on human life in general, in particular, policymaking. (Memmott & Keys, 2015). Still, there has been keen interest in sustainability over the past decade, both within and outside of architectural research and practice (Lozano, 2011).

Sustainability within any field of research or practice requires measuring the aspects that represent the progress of such sustainable development (Cutaia, 2016). How to measure sustainability represents a major challenge for the implementation of sustainable solutions in the built environment, mainly because there is no universally agreed list of indicators (Böhringer & Jochem, 2007; Verma & Raghubanshi, 2018). Despite this, sustainability indicators remain useful as they represent the environmental, economic, social and cultural factors of sustainability (Mansour & Radford, 2014).

43 Therefore, indicators should be present in every type of assessment-oriented framework (Guzmán *et al.*2017a).  
44

45 Globally, many governmental and non-governmental bodies have proposed sustainability frameworks  
46 and tools for various sectors and activities, primarily to help reduce environmental impact.  
47 Sustainability assessment is considered a keystone for sustainable development within the built  
48 environment (Awadh, 2017). Among these sustainability assessment tools are the green building  
49 rating systems such as LEED (USA), CASBEE (Japan), BREEAM (UK) and SBTool (international). These  
50 tools are the product of the combination of domestic and international policies and the commercial  
51 need for environmentally assessed and sound products (Haapio & Viitaniemi, 2008). These assessment  
52 tools aim to promote sustainable development and follow larger legislative frameworks (Ness *et al.*2007, Srinivasan *et al.*2011). They usually follow the United Nations three pillars model of  
53 sustainability and sustainable development goals.  
54

55 The current assessment frameworks and tools for a sustainable built environment emphasises the  
56 ecological and physical factors over socio-cultural ones (Guzmán *et al.*2017b). The focus on  
57 environmentally tangible factors is driven by the pressing need for practical solutions to address  
58 ecological crises. It also may be related to the fact that the socio-cultural aspects are harder to  
59 implement. Furthermore, the views on the socio-cultural aspects of sustainability remain diverse (Wu  
60 *et al.*2016; Olakitan Atanda 2019). Wu *et al.* (2016) emphasised the importance of incorporating  
61 intangible indicators that are related to culture. However, this requires the input of various  
62 stakeholders in the assessment stages and most importantly, the final users of the building who are  
63 often neglected (Cassell *et al.*2005; Awadh 2017). Without this, there will be insufficient interpretation  
64 and integration of the socio-cultural aspects into sustainable building developments.

65 The primary aim of this research is to investigate the potential for better integration of the tangible  
66 and the non-tangible aspects of vernacular architecture for socio-cultural sustainable developments.  
67 The principles of vernacular architecture provide the basis to investigate this integration; alongside an  
68 architectural approach to incorporate the economic, socio-cultural and ecological principles. This  
69 paper presents knowledge from literature and primary data from case studies to propose a new  
70 theoretical framework for an eco-cultural approach for sustainable housing developments. It  
71 complements previous bodies of work on assessment tools and frameworks with socio-cultural  
72 aspects and their link to sustainable practice and physical solutions which has been largely ignored.

73 The paper is structured into four sections. The first section presents a general introduction to the  
74 concept of eco-cultural sustainability. From this a conceptual framework of eco-cultural indicators,  
75 their interrelationships were proposed to inform the next stage of the project. This framework and its  
76 indicators were evaluated during the primary work. The second section summarises the adopted  
77 qualitative methods for defining the categories and indicators. The third section presents the findings  
78 from the case studies and the final section concludes the main findings, refined framework, list of eco-  
79 cultural indicators and potential implications for further research.

## 80 **2.0 Eco-cultural indicators and the theoretical framework**

81 Previous research has investigated eco-cultural indicators in various ways. For example, Ferriss (2010);  
82 Al Rabady (2013); and Vallega (2007) focussed on the provision of cultural heritage landmarks. They  
83 argued that these historic structures provide a sense of place, local culture and tradition. Others like  
84 Atanda and Öztürk (2018); Al-Jamea (2014) presented the indicators as part of social sustainability.  
85 They interpreted cultural sustainability in the presence of cultural activity facilities and the artistic  
86 aspects of the human culture such as theatres, community centres and art schools building.

87 Significantly (Halicioglu 2012; Zhai & Previtali 2010; Motealleh *et al.* 2016; Ozorhon & Ozorhon 2014;  
88 Kirbaş & Hızlı 2016) regarded the ecological impact of vernacular architecture as components of  
89 cultural heritage and sustainability. While their themes and concerns were varied, their main concern  
90 was the physical parameters and aspects of vernacular architecture. This included its bioclimatic  
91 lessons, topographic and thermal properties of these vernacular architecture examples. Vernacular  
92 architecture was considered more in harmony with the local natural environment (Brown & Maudlin,  
93 2011). Yet mimicking vernacular architecture out of sentiment or purely for environmental concern  
94 can be ill-fated with cited examples including Hassan Fathy's project in New Gournia village.

95 Abel (2000; 1993) stated that architecture comprised of differentiated regional culture based on  
96 ecological principles and *eco-cultural* values. He argued that the performance of eco-cultural  
97 architecture should meet locally specific needs and socio-cultural systems. Chappells & Shove (2005)  
98 and Dessein *et al.*'s (2015), interpretation of the eco-cultural approach for sustainability was the most  
99 profound. They tried to overcome the modern view and considered society and nature as two  
100 equal entities. They presented cultural aspects as one of four circles of sustainability that also includes  
101 economics, environment and social factors. Moreover, Dessein *et al.* (2015) classified cultural  
102 indicators and their relationships to sustainable development into three themes: "in, for and as"  
103 sustainable development.

104 The "in" represent the role of culture in sustainability. This considers culture as more tangible and  
105 functional rather than an intangible heritage and sentiment approach (Wu *et al.*, 2016). Culture "for"  
106 sustainable development becomes the framework, context and regulator for the other three pillars.  
107 Culture "as" sustainable development sees culture as an essential foundation for integrating achieving  
108 and assessing sustainability.

109 Dessein *et al.* (2015) pointed out that existing culturally sensitive indicators are limited. However,  
110 defining the quantifiable and measurable cultural sustainability indicators can be challenging (Ewing  
111 & Handy, 2009). For instance, the indicators affecting the built environment vary even in a singular  
112 context. People with diverse values and ethics interact differently with mixed factors across context  
113 and regions (Jenkins, Smith, & Wang, 2006). They also change and evolve slowly within the same  
114 context over long periods of time (Rapoport, 1969). This is more noticeable with modernisation;  
115 where some vernacular architecture forms have stopped being used, yet, the characteristics that once  
116 defined it remains (Jenkins *et al.* 2006). Due to these challenges with culturally related indicators,  
117 studies have largely focussed on the quantitative approaches and physically tangible aspects of  
118 building and urban sustainability. Thus, this gap persists as a result of the abandonment of deep  
119 consideration of socio-cultural sustainability for developing a culturally responsive design.

120 The headline literature reviewed for this study are summarised in Table 1. Since the publication of the  
121 World Commission on Environment and Development (WCED) report in 1987, the discussion was  
122 mainly confined to the economic and natural parts of developments. Even though the UN report of  
123 1987 already pointed out that progress towards eco-cultural sustainable development requires the  
124 promotion of socially and culturally determined values and indicators that encourage ecological  
125 practices (Brundtland, 1987). It is only recently that research debate branched out to sociocultural  
126 issues within the built environment (Chiu, 2004). Moreover, recent decades witnessed fundamental  
127 changes in the prevailing social view towards the built environment (Wu *et al.*, 2016), thus reflected  
128 in the emergence of sustainability in building assessment tools.

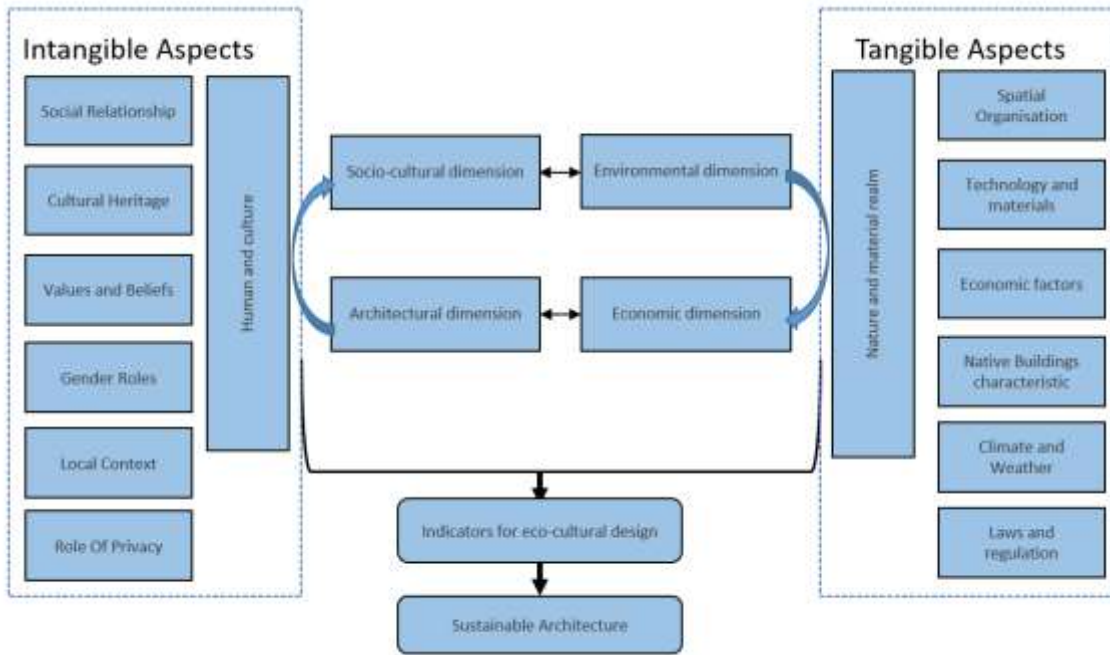
Title	Author(s) / year	Source	Main themes
Architecture and Identity: responses to cultural and technological change	Abel (2000; 1993)	<i>Editorial book</i>	Examines the possibility for authentic regional architecture by placing eco-culture in the centre of focus of the design process. Abel presented historical records of how local culture shaped architecture as much as the local environment did.
Debating the future of comfort: environmental sustainability, energy consumption and the indoor environment	Chappells & Shove (2005)	<i>Journal Of Building Research &amp; Information.</i>	Promoted debate about the indoor environment and associated ways of life, In order to avoid social and technical trajectories that are ultimately unsustainable. This paper aimed to inspire and initiate a discussion by demonstrating that comfort is a highly negotiable socio-cultural construct.
Culture in, for and as sustainable development: Conclusions from the COST Action IS1007 Investigating Cultural Sustainability	Dessein <i>et al.</i> (2015)	<i>Editorial book</i>	Conclusion from the COST Action project that investigated cultural sustainability in the built environment
Incorporating culture into sustainable development: A cultural sustainability index framework for green buildings	(Wu <i>et al.</i> 2016)	<i>Journal of Sustainable Development</i>	Added cultural sustainability for green buildings by recognising the three roles of culture in sustainable development as the fourth pillar for sustainability.
Redefining architecture to accommodate cultural difference: designing for cultural sustainability	(Rapoport, 2006)	<i>Editorial book</i>	Discussed the status of vernacular architecture in the 21 century and asserting that people have very different attitudes and ideas in response to varied physical environments. These responses vary from place to place because of changes and differences in the interplay of social., cultural, ritual, economic and physical factors.
The three dimensions: defining sustainable development	(UNIDO, 2005)	<i>Assessment framework</i>	The main framework presented by the United Nations asserting sustainability as 3 pillars (social, environmental and economic) that became widely accepted among researchers and among working frameworks of various countries and industries
Social and Cultural Sustainability: Criteria, Indicators, Verifier Variables for Measurement and Maps for Visualization to Support Planning	(Axelsson <i>et al.</i> 2013)	<i>Journal of the Human Environment</i>	Argue that policies on the economic use of natural resources require consideration to social and cultural values. In order to make those concrete in a planning context, this paper interpreted social and cultural criteria, identified indicators and, matched these with verified variables.
Conceptualizing the built environment as a social-ecological system	(Moffatt & Kohler, 2008)	<i>Journal of Building Research &amp; Information</i>	Argued that formulating a unified theory of the built environment required that the built environment be understood as a complex social-ecological system.
Sustainable architecture, design and housing	(Keitsch 2012; Abidin <i>et al.</i> 2008)	<i>Sustainable Development</i>	Argued that sustainable architecture should challenge new and ingenious architectural design at various levels. Examples include establishing a harmonious, long-lasting relationship between the inhabitants and their surrounding.
Various/ building assessment criteria and framework development/ the role of socio-cultural aspects in sustainability	(Alsubeh, 2013; Mahmoud, Zayed, & Fahmy, 2019; Olakitan Atanda, 2019)	<i>Sustainable Cities and Society</i>	This research quantified the environmental impacts of building construction with debate around a framework for developing domestic sustainable building and assessment criteria and Indicators.

131 Table 2 presents a summary of eco-cultural indicators found within the current literature. The review  
 132 included papers investigating influences of sustainable build environment (Danja, Dalibi, & Safarov,  
 133 2017; Dhahri & Omri, 2018; Guengerich, 2014; Mahdavi & Yarmand, 2013; Michiani & Asano, 2016;  
 134 Mizrak & Erkenez, 2014; Teng, Mu, Wang, Xu, & Liu, 2019; Verma & Raghubanshi, 2018) and lesson  
 135 from vernacular architecture (Adwan & Abu Muhsen, 2016; Agung Budi Sardjono, Gagoek Hardiman,  
 136 2016; Alves, 2017; Hărmănescu & Enache, 2016; Kamalipour & Zaroudi, 2014; Pocock, Steckler, &  
 137 Hanzalova, 2016; Weber, 2013). With a few exceptions, these indicators were considered essential,  
 138 but many were not objectively measured or investigated. Their importance was merely asserted  
 139 against concerns about the environmental characteristics of architecture and the importance of  
 140 including culture within future research.

141 Table 2: Indicators for vernacular architecture found in literature

Aspect	Identified Indicators	Measurement metrics
Social Sustainability	Structure of society. Social relations. Lifestyle. Behaviour habits. Governance system. Profession and employment. Behaviour habits. External influences. History of the society	Quantitative and /or qualitative approach Direct survey and interviews
Cultural Sustainability	Values. Customs. Belief systems. Privacy, Flexibility of use. Role of aesthetics. Colours. Privacy. Gender role. Cultural relevance. Dwelling functionality.	Theoretical background on spatial planning/ Space Syntax and policies relation
Environmental Sustainability	Geographical area. Landscape available technologies, available materials Climate and weather. Location geography. Energy performance. Thermal comfort. Indoor environment (ventilation and light). Waste and recycling.	Observation of physical conditions and building material. Empirical studies. Lab work computer simulation
Economic sustainability	Running bills, construction costs. Maintenance. Life cycle.	Observation of the residents' habits. Questionnaire /interview. Case study/samples and comparison of chosen samples.

142  
 143 The literature on vernacular, regional and sustainable architecture mainly points to factors that could  
 144 inform the physical aspects of architecture, including climatic and bioclimatic architecture, passive  
 145 design, environmental psychology (Weber 2013; Foruzanmehr and Vellinga 2011; Olesen *et al.*2011).  
 146 Yet, Oliver (2007); and (Rapoport, 2006) established that the tangible and intangible are inseparable  
 147 in creating contemporary and vernacular architecture alike and thus are essential to be considered for  
 148 a regional and eco-cultural approach. Therefore, architecture should be perceived as the physical  
 149 incarnation of the cultural and social world. Figure 1 illustrates the mechanism and the relationship  
 150 that bounds the tangible and intangible side of architecture.



151 Figure 1: Conceptual model of the eco-cultural system that places the built environment as a  
 152 result of interaction between people and nature

153

154 Table 3 summarises the main sustainability assessment tools in comparison with the Jordanian green  
 155 guide – relevant to the case study sites (JSBC 2011; IIS 2012; BRE 2016; USGBC 2014; JNBC 2013).  
 156 These tools have some bias toward physical metrics related to energy, environment and resources.  
 157 Socio-cultural indicators were implied rather than explicit. Therefore, the highlighted knowledge and  
 158 practice gaps for socio-cultural integration are also apparent in current in building assessment tools.  
 159 Further, the physical metrics, do not establish the building in its context or its development. The  
 160 natural environment and climate have indeed shaped new architectural practice but fall short of  
 161 considering the regional and cultural influences. This explains the increasing homogeneity in  
 162 architectural globally, with similar solutions now found from around the globe. In addition, the user  
 163 needs and requirements including their socio-cultural identity, though difficult to capture or measure  
 164 in all instance, are increasingly lost in the milieu of combined ‘sustainability’ and modernism.

165 Therefore, the review of building assessment tools and literature produced a database of indicators  
 166 (Table 4). Those that were duplicated or purely quantitative with no apparent relationship to socio-  
 167 cultural factors and were excluded.

Table 3. Comparative summary of four sustainable assessment methods

Scheme	BREEAM	LEED	CASBEE	SBTool	Jordan Green building guide
<b>Institution</b>	Build research Establishment (BRE)	Us green building council (USGBC)	Japan Sustainable Building Consortium (JSBC) and Institute for Building Environment and Energy Conservation (IBEC)	International Initiative for Sustainable Built Environment (IIS)	Royal Jordanian Scientific Society (RJSS) and Jordan Building Council (JBC)
<b>Country of Origin</b>	United Kingdom	USA	Japan	International	Jordan
<b>Type of Scheme</b>	Checklist scheme and Rating system on the form of Excel Pre-assessment estimators	Green building guide and rating system on the form of PDF format and Excel Checklists	Assessment Software and Technical Manuals	Software and rating system	Design guide and suggestions for passive design. Non-mandatory rating system
<b>Stages of Evaluation</b>	Design phase (outline And detailed designs)	Planning, design and Completion phases	Planning, design and Completion phases	Design phase (outline and detailed designs)	Planning, design
<b>Scale of focus</b>	Building and Neighbourhood scale new, refurbished and existing	Buildings and Neighbourhood and district. New, refurbished and existing	Building, Neighbourhood, district And city. New and existing	Buildings Neighbourhood And district. New, refurbished and existing	Building and site level New constructions only
<b>Rating approach</b>	Pre-weighted categories	Additive credits	BEE ranking chart based on ratio ranking	Logarithm weighting system	Pre-credited categories
<b>Main categories and weighting</b>	Governance 8 Social and Economic 31 Resources and Energy 47 Land Use and Ecology 18 Transport and Movement 15	Smart location and linkage 41 Neighbourhood pattern and design 28 Green Infrastructure and buildings 31 Innovation & Design Process 6 Regional Priority Credits 4	Resources and Environment Social Location and Pattern and Design Transportation and Mobility Innovation and Economic Which consist of 80 sub-criteria which are further re-categorised into two main groups: Q (Quality) and L (Loadings). $BEE = \frac{(\text{BuildingEnvironmentalQuality})}{(\text{BuildingEnvironmentalLoadings})}$	Site Selection, Project planning& Development 7.6 Energy and Resource Consumption 21 Environmental loadings 25.2 Indoor Environment Quality 21 Service quality 15.1 Social & Economic aspects 5 Cultural & perceptual Aspects 5 (-+5% based on context and third-party requirement)	Green Building management 20 Site Sustainability 24 Water Efficiency 110 Energy Efficiency 98 Healthy Indoor Environment 24 Materials & Resources 32



<b>Performance Rating Scale</b>	Outstanding = 35% Excellent = 70-84% Very good = 55 - 69% Good = 40 - 54% Pass = 25 - 39%	Platinum = 80% Gold = 60-79% Silver = 50- 59% Certified = 40 -49%	Poor: BEE <0.5 Fairly Poor: BEE = 0.5–1.0 Good: BEE = 1–1.5 Very good: BEE = 1.5–3; or BEE ≥3 and Q < 50 Excellent: BEE ≥3 and Q ≤ 50	-1 Poor 0 1 3 5 Excellent	Green Building A= 80% B =70 - 79% C = 60 - 69% D = 50- 59%
<b>Sub-categories</b>	Management; Health & Wellbeing; Energy; Transport Water; Materials; Land Use and Ecology Waste; Pollution; Innovation (additional)	Sustainable Sites Water Efficiency Energy and Atmosphere Materials and Resources Indoor Environmental quality -Innovation and Design Process -Regional Priority credits	Natural environmental; quality in urban development; service function for the designated area; contribution to the local community (history, culture, scenery and revitalisation); environmental impact on microclimates, façade; landscape; social infrastructure; management of the local environment	Urban form, Land use and infrastructure; Ecology and biodiversity; Energy and Water; Materials and waste; Comfort of outdoor areas; Safety; Amenities Mobility; Local and cultural identity; Employment promotion and investment	Site design; Land use; Transportation; Building envelope; Renewable energy; Natural lighting/lighting; Water; material; Waste reduction; Energy efficiency; Waste management
<b>Eco-cultural related indicators</b>	Economic impact, Demographic needs, services and amenities, Public realm, Utilities, Green infrastructure, Local Parking, Local Vernacular, Inclusive design, internal environment.	Smart location, proximity between work and housing; reduces car need, neighbourhood pattern and design; walkable streets, compact Development, Connected and Open Community; Mixed-Use Centres, Parking, Access to Recreation Facilities,	Natural environmental quality in urban development; service function for the designated area; contribution to the local community (history, culture, scenery and revitalisation); environmental impact on microclimates, façade and landscape; social infrastructure; management of the local society, security and safety measures	Facilities, Footpath, health and Safety, Affordability, local engagement in the design process, context analysis, building layout, cultural heritage and identity.	None

Table 4: Main indicators and categories for the formation of an Eco-cultural design process

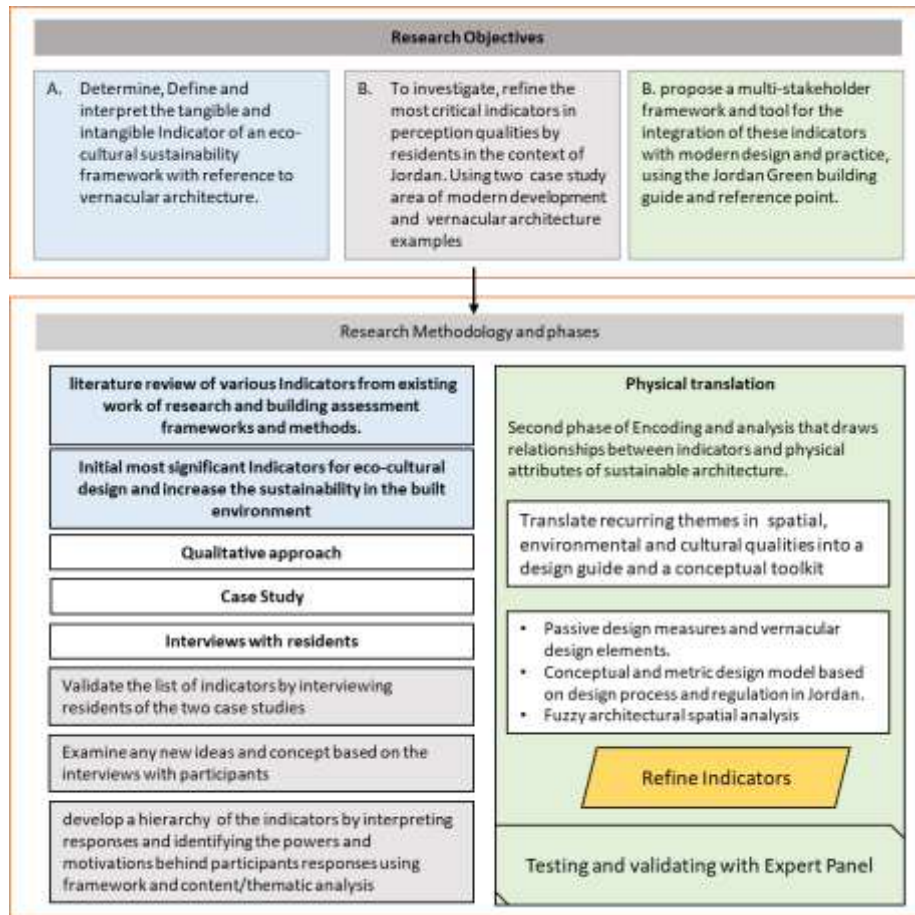
Dimension	Indicators from assessment frameworks and tools	Indicators from literature review
<b>Social</b>	Safety in the streets Proximity to services, facilities and amenities Public transportation Walkable Streets Public spaces Heritage valuation (Local vernacular) and landscapes Quality of housing Parks and facilities Childcare services Elderly and disable consideration Demographic needs and priorities Acoustics and noise Lighting	Values and Customs. Social relationships (naighbourhood). Systems of belief History and Vernacular architecture
<b>Economic</b>	Economic viability Local economy Employability Taxes Economic impact Training and skills	Economic conditions Affordability of house Laws and regulation
<b>Environmental</b>	Natural land use Compact and mix-used development Reuse of urban areas Built environment rehabilitation Air quality Energy efficiency Renewable energy Passive solar planning Centralized management of energy Consumption and quality of water Management of wastewater Adapting to climate change Sustainable materials Recycling Construction and demolition waste Management of urban solid waste	Climate and weather Energy saving Recycled materials High technology Water saving and harvesting Active systems Passive design Geography and location Available materials. Available technology

### 169 3.0 Method

170 The aim and objectives of the research require the involvement and perspective of the participants in  
 171 a way which may not be easily achieved if a quantitative method was employed. An eco-cultural  
 172 approach requires the understanding of views, perceptions, experiences, feelings and beliefs of the  
 173 inhabitants involved. A wholly quantitative approach often fails to fully explore these aspects  
 174 (Amaratunga, Newton, Baldry, & Sarshar, 2002; Atanda & Öztürk, 2018). Few qualitative studies have  
 175 used interviews with stakeholders (Alsubeh, 2013; Atanda, J.O. and Öztürk, A, 2018; Mahmoud *et*  
 176 *al.*2019). Stakeholder's participation in these studies is typically limited to experts and policymakers.  
 177 Also, reliance on quantitative data and findings ignored context-sensitive reactions of the participants.

178 Therefore, a qualitative approach that builds on previous quantitative findings and that can bridge the  
 179 gap between the tangible and intangible relationship of the sustainable built environment was  
 180 necessary. Thus, a qualitative deductive approach was utilised as follows: 1. Literature review to define

181 and classify existing indicators, sustainability assessment tools and design quality schemes. 2. Establish  
 182 and refine the theoretical model and indicators into user-defined eco-cultural indicators. This was  
 183 achieved using case studies and interviewing residents in the case study areas. Then, participants'  
 184 views on these indicators were coded, clustered and analysed to determine the relative value or  
 185 ascribed importance of the indicators. Figure 2 outlines the research design for this paper.



186 Figure 2: Research design

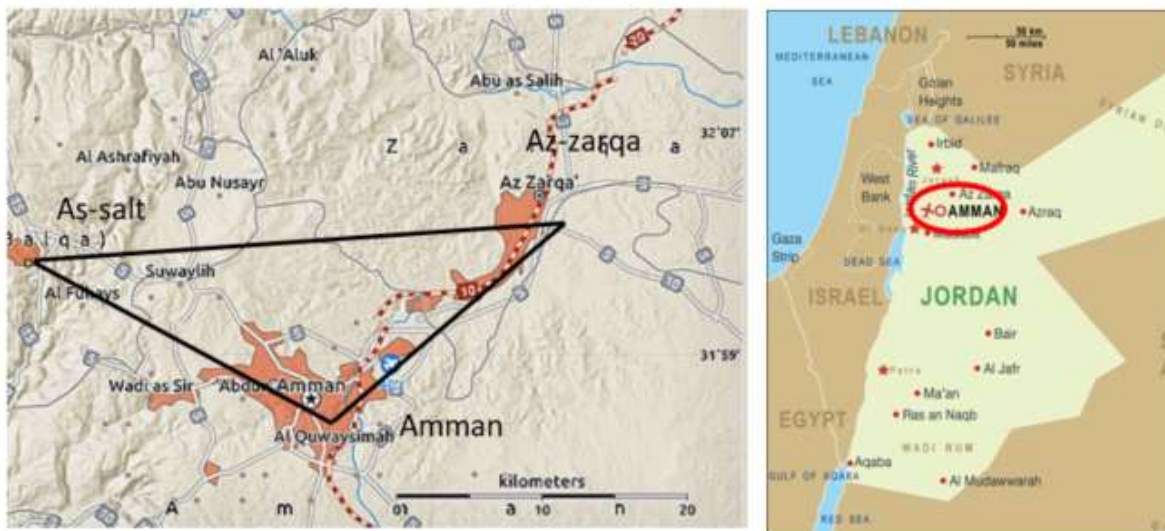
### 187 3.1 Case study

188 Focused research must be representative of a broader set of cases in order to provide insight into a  
 189 broader socio-cultural context (Yin, 2009). Therefore, two cases were selected in Jordan to represent  
 190 the modern and historic approach to housing development: the pilot phase of the newly developed  
 191 project of King Abdullah Bin Abdul Aziz city and the old downtown area of As-Salt city (Figure 3). These  
 192 comparative cases exemplify and provide a general understanding about the built environment within  
 193 a context, in this case, Jordan. By construction, the typical case is also a representative case of that  
 194 context (Yin, 2009). Typical cases serve an exploratory role. Here, the cases are selected based on a  
 195 set of descriptive characteristics and then probed for causal relationships. This is represented by  
 196 choosing two cities to be as representative as possible of contemporary Jordanian life. With the choice  
 197 of contemporary and historic development necessary to achieve spatial-temporal changes in  
 198 architecture, relationships between inhabitants and their perceptions about their built environment  
 199 (see Table 5).

200 Table 5 Overview of the two case studies.

<i>Quality</i>	<i>King Abdulla City in Zarqa</i>	<i>Salt City Historic Centre</i>
Size of population	3605 Inhabitant (during the time of the fieldwork)	No data about inhabited vernacular dwellings
Dwelling typology	Detached, semi-detached and row houses and apartments blokes	Detached, semi-detached, and courtyard vernacular dwellings
Type of case	New urban development	Historic town centre
Data source	Mawared Company (the developer and operator)	Various online data format and from As-Salt city council
Building material	Concrete and stone cladding use of local building forms, with modern features	Mud and stone houses with concrete renovation or additions. Use of vernacular building forms. With historic features
Zone and Climate	Jordan Badia (Desert) region and climate	Jordan highland region and climate
Economy	Industry, commerce and military-based employment	Tourism, agriculture and services

201  
202 Figure 3: Relative location of King Abdulla in Zarqa, As-Salt and Amman the capital of Jordan (JOBGB, 2012)



203 **3.2 Participant sampling**

204 Participants were recruited using an exponential non-discriminative snowball sampling technique  
 205 including knocking on doors, interviewing people at mosques and using the network of friends and  
 206 family. This technique allowed for the collection of data in a cost and time-effective manner as well as  
 207 reaching the hidden population (Creswell, 2011; Jamshed, 2014) especially in the case of As-Salt city  
 208 where the number of inhabitants in vernacular buildings is unknown. Participants had to be current  
 209 residents within the case study areas, over 18 and of any gender, employment, or economic  
 210 background. Participants filled out a recruiting questionnaire to gather their socio-demographic  
 211 characteristics (age, gender, education, work), provide information and characteristics of their current  
 212 dwellings and give ethical consent. 81 participants were interviewed from the two case study areas  
 213 (50 from the pilot phase of King Abdulla city and 31 from As-Salt City historic centre) during 29-04-  
 214 2018 to 27-05-2018. Table 6 summarises the demographic characteristics of the participants.

Table 6 Demographic summary of participants

	King Abdulla City		As-Salt City	
Number Sampled	50		31	
	Total number	As percent	Total number	As percent
<b>Gender</b>				
Male	24	47%	15	48%
Female	26	53%	16	52%
<b>Age</b>				
24 or younger	5	10%	5	16%
25-34	15	29%	4	13%
35-44	14	27%	4	13%
45-54	9	18%	1	3%
55-64	6	8%	8	26%
55-65	2	4%	6	19%
65-74	1	2%	2	6%
75 and older	1	2%	1	3%
<b>Family Size (Adults)</b>				
2	25	49%	13	42%
3	6	12%	8	26%
4	11	22%	8	26%
5	5	10%	0	0%
6	2	4%	0	0%
7	2	4%	0	0%
<b>Children</b>				
0	6	12%	16	46%
1	17	33%	3	10%
2	11	22%	6	19%
3	9	18%	3	10%
4	7	14%	1	3%
5	1	2%	1	3%
<b>Type of dwelling</b>				
apartment complex	20	41%	5	16%
Row house	5	10%	4	13%
Semi-detached house	15	29%	10	32%
Single Detached house	10	20%	12	39%
<b>Education level</b>				
Doctorate degree	1	2%	0	0%
Master's degree	3	6%	0	0%
Bachelor's degree	18	35%	4	13%
High school	6	12%	9	29%
Collage/technical training	10	20%	1	3%
Until high school	13	25%	15	49%
No schooling (illiterate)	0	0%	2	6%
<b>Employment</b>				
Employed for wages	17	33%	6	20%
Military	5	10%	0	0%
Unemployed	2	4%	2	6%
Retired	6	12%	5	16%
Self-employed	8	16%	5	16%
Stay in house parent	9	18%	10	32%
Student	4	8%	3	10%
<b>Ownership</b>				
Work housing	5	10%	0	0%
Living with extended family	1	2%	0	0%

	King Abdulla City		As-Salt City	
Own it	38	75%	21	68%
Rent it	7	14%	10	32%

216

217 Whilst the participants were randomly sampled, their age, gender and dwelling types were monitored  
 218 to avoid significant bias toward specific groups. For example; when there were significant interviews  
 219 with participants living in apartment blocks, efforts were made to recruit participants in other dwelling  
 220 typologies whilst maintaining the overall sample size and demographics. Access to other data was  
 221 facilitated by Mawared Co and As-Salt City Council.

### 222 3.3. Protocol and measures

223 The protocol utilised open-ended and semi-structured questions during home visits. Before beginning  
 224 the interview, the researcher read an introduction and asked for consent in order to record both the  
 225 voice and the demographic data of the participants. No names were collected and participants’  
 226 transcript and recording were coded using alphabets and numbers (e.g. A1 for King Abdulla City; B1  
 227 for As-Salt City). All interviews were conducted in the Arabic language. They were recorded using  
 228 digital recorders and then interpreted and transcribed to English.



238 Figure 4a: Zones of the pilot Phase in King Abdulla City (Personal communication from Mawared  
 239 Company, 3rd May 2018)

240 Figure 4b: Census of the most notable remaining vernacular buildings in As-Salt city centre assigned  
 241 into grades based on their condition, aesthetics and value as tourist attractions. (Darker blue shade  
 242 indicates more important buildings, yellow are newer or not assessed buildings) (Personal  
 243 communication from Salt City Department of Planning 15th May 2018)

244 While interviewing, participants were prompted with follow-up questions and explanations. Follow-  
 245 up questions were asked to gather more details about how the factors facilitate or hinder their  
 246 experience and perception of the local built environment. Suggestions for improvement were also  
 247 inquired (Table 7). Data collection was performed by the main researcher and with the aid of two  
 248 trained assistants (NQ, MY). The study protocol and questions were pre-approved by the University’s  
 249 ethics committee.

Table 7: Variables explored in the study and structure of the interview guide

<i>Type of indicator</i>	<i>Description and illustration</i>	<i>Question</i>
<b>1.0 Background/demographics</b>	Standard background information such as Gender, Age, Education, Marital Status, Employment Family Size	Consent to interview. Check the data copied from the Questionnaire.
<b>2.0 Cultural Indicators</b>	To elicit a description of the user's experience, behaviours, what a person has done or is doing within a built environment. In which ways would culture be reflected in buildings and advantages/disadvantages of the building towards cultural identity	What do you like ...? To what extent ...? Where do you ....?
<b>3.0 House organization and space arrangement</b>	To understand spatial arrangement of elements in dwellings in relation to lifestyle, social relation, family structure	What do you believe/know about...? Have you made any changes in ...?
<b>4.0 Vernacular architecture related metrics</b>	Figure out aspects of vernacular architecture that made it sustainable and culturally appropriate. To elicit the reactions to a certain quality or metrics of space, What the participants feel besides what he thinks	In which ways would culture be in aid of sustainable design? Advantages/disadvantages of the vernacular buildings towards cultural identity?
<b>5.0 Sustainability Indicators</b>	Level of user satisfaction based on the building sustainable features Attitude towards satisfaction & accessibility within green buildings indicators	Do you feel about ...? What do you know about...?
<b>6.0 Social Interaction, relationships and planning</b>	Sharing ideas/strategies for sustainable building practices on neighbourhood level to improve sustainable building practices.	Reflect your own experience about neighbourhood social interaction
<b>7.0 Economic indicators</b>	The relationship of socio-economic factors with sustainable elements of buildings (their cost and preference)	Which qualities would you choose? To what extent would factors e.g. cost determine these choices?
<b>Various Indicators</b>	To determine facts and information about the indicator.	Rank the following...? Survey rating questions



### 252 3.4 Data analysis

253 Data analysis was guided by framework analysis which sits within a broad family of analytical methods  
254 often termed thematic analysis or qualitative content analysis (Gale *et al.* 2013). Data analysis followed  
255 the work of Braun and Clarke (2006); Gale *et al.* (2013) and Ritchie and Spencer (2002). Framework  
256 analysis allowed for the use of indicators as a base that allows themes and concepts to emerge. It also  
257 supported both deductive and inductive approaches that allow for the unexpected and permits more  
258 socio-culture-located responses from interviewees. To analyse the interviews, data from transcripts  
259 were inputted to Nvivo 12. Transcripts were subject to multiple rounds of coding and analysis. In the  
260 deductive approach, codes were selected during the literature review phase (see section 2.2); in the  
261 inductive approach, codes and themes are created from the data through coding and refinement  
262 process (Gale *et al.*, 2013). More concepts and categorizations emerged based on textual comments,  
263 keywords and ideas that participants expressed during the interview discussions.

264 Framework analysis advocates the use of long tables for arranging data by comparing and contrasting  
265 information (Ritchie & Spencer, 2002). Krueger and Casey (2000) advocated the use of words, context,  
266 internal consistency, frequency, intensity of comments and how specific are participants as a mean  
267 for interpreting coded data in order to conduct a thematic analysis. As suggested and used by  
268 Sandelowski (2001) and Ferrer, Ruiz, & Mars (2015), qualitative findings are backed by quantitative  
269 counts of the interviewees discussing eco-cultural factors and extensiveness of comments. When an  
270 indicator was mentioned by less than 25% of the participants it was referred to as “few”, when 25%  
271 to 50% discussed it the word “some” was used, when between 50% and 75%, “many” and finally  
272 “most” was used when more than 75% of the participants discussed that indicator (*see* Table 9).



Table 8 Dwelling characteristics of the participants

	King Abdulla City					As-Salt City centre				
	Apartments complex	Other (Terraced house)	Semi-Detached house	Single Detached house	Grand Total	Apartments complex	Other types	Semi-Detached house	Single Detached house	Grand Total
<b>Total</b>	21	4	15	10	50	5	4	10	12	31
<b>Ownership</b>										
Borrow it (work housing)		4			5					
Own it	15		14	9	38	4		7	10	21
Rent it	5		1	2	7	1	4	3	2	10
<b>Number of Bedrooms</b>										
1						2		1	1	4
2	7	1	3		10	2	4	7	5	18
3	16	3	11	6	35	1		2	6	9
4	1		1	2	4					
<b>Number of Living rooms</b>										
1	16	4	2	1	21	2	4	8	9	23
2	8		12	5	26	3		2	3	8
3			1	2	3					
<b>Number of Bathrooms</b>										
1		2		1	3	4	4	10	8	26
2	21	2	9	2	34	1			4	5
3	1		6	6	13					
<b>Area (m<sup>2</sup>)</b>										
<100	3		2		5					
100-120	13	4	1		19				1	1
120-150	6		4	1	11	1	4	2	2	9
150-180			1	4	5			1		1
180-200			2	2	4					
>200			3	1	4	1				1
Unknown						1		6	6	13
<b>Family size (Total)</b>										
2		2	2		2		4			4

3	4	2	6		10			3	4	7
4	4		1	2	7	4		1	3	8
5	4		5	1	10			3	3	6
6	6		4	2	12	1		1	1	3
7	3			1	4			1		1
8	2			2	4			1	1	2
<b>Family size (Children)</b>										
0	4	2			6	3	4	3	7	17
1	5	2	9	3	17			1	2	3
2	7		1	2	10	1		2	3	6
3	4		3	1	8	1		2		3
4	3		3	1	7			1		1
5				1	1					
<b>Family size (Adults)</b>										
2	9	3	11	3	25	1	4	6	2	13
3	3	1	3		7	1		3	4	8
4	6		2	3	11	3			5	8
5	3			2	5			1	1	2
6	2				2					
7	1			1	2					
<b>The dwelling had a garden</b>						<b>The dwelling had a garden (or an inner court)</b>				
No	17	2	3	1		5	4	3	5	16
Yes	7	2	15	9	33		7	7	15	15
<b>The dwelling had a separate entrance</b>										
No	17	2			18	3		1		4
Yes	7	2	15	10	32	2	4	9	12	27
<b>Changes have been made to the house</b>										
No	7	1	7	5	23	1		1		2
Yes	17	3	7	4	28	4	4	9	12	29

275  
276

**Table 9 The main indicators influencing eco-cultural sustainability in residential buildings**  
\* (+) or (-) positive or negative effect of the Indicator on quality of architecture based on participant perception.

Main Eco-cultural Aspect	Eco-cultural category	For almost all (75–100%)	For a lot of (50–75%)	For some (25–50%)	For a few (0–25%)
<b>Cultural Aspects</b>	Aesthetic and visual Impact	The feeling of density and crowdedness (-) Relevance to vernacular architecture (+)	Continuity between buildings style (massing, typology, details and materials) (+) The use of mud as a material (-)	Green areas and trees (+) Cleanliness (+)	-
	Role of privacy and dwelling typology	Separate detach access to dwelling (+) Private outdoor zones (+) Not enough visual privacy in principal areas of the dwelling. (-) Private access to interiors of the dwelling (+) Hierarchy of zones and segregation between guests and family space (+)	Small room size (-) Not enough space for furniture (-)	A place to grow plants (+) The living area is part of circulation (-) Joint kitchen with a living room or dining area with a living room (-)	Efficiency of vertical transportation system and spatial efficiency (+)
	Controllability and adaptability	The ability to make an addition to the exterior (+) The ability to customise their dwelling (+)	Potential for horizontal or vertical extensions (+) Constraints imposed by structure, floor-to-floor heights and local authority (-)	-	Local control of mechanical systems, natural ventilation and sunlight through windows (-) Adaptability to future changes in the type of energy consumed (+)
<b>Social Aspects</b>	Design for social interaction	Interactive or overlooking dwelling entrances (+) Outdoor spaces and parks (+) Frequency of service of local public transportation (+)	Community or social centre (+) Walkable sidewalks with no obstacles (+) The parking spaces are far from the building entrance (-) Higher boundary walls around the property (+)	-	outdoor spaces overlook children’s playing area (+) Street and traffic safety (-)  Crime prevention and security inside and outside dwellings (-).
	Neighbours rights and regional loads	Limited access to daylight or ventilation by adjacent property (-) Impact of the construction process on residents and commercial facility users (-)	-	Privacy in internal and semi-outdoor areas (+)  Balconies overlooking each other’s or adjacent windows (-)	-

Main Eco-cultural Aspect	Eco-cultural category	For almost all (75–100%)	For a lot of (50–75%)	For some (25–50%)	For a few (0–25%)
		Degree of light pollution caused by building exterior lighting systems (-)			
<b>Economic Aspects</b>	Affordability and affordable dwelling.	Distinctions between affordable housing and low cost and low-quality construction (-) Durability of key materials (+) Low operating and maintenance cost (+)	-	Durability of the building envelope (+)  Ability to perform maintenance over a long-term period (+)	Affordability of residential rental or cost levels (+)  The negative impact of the project on land values of adjacent properties (-)
<b>environmental aspects</b>	Indoor environment	Effectiveness of natural ventilation during various seasons (+)  Low thermal comfort and energy performance (-)  Insulation (+)	Impact of orientation and topography of the site on the solar potential of buildings (+) Appropriate daylighting in primary occupancy areas (+) Control of glare from daylighting (+) Poor noise transmission through the exterior envelope (-)	Importance of mechanical heating and cooling systems (+)	Passive measures and energy saving (+)
	Outdoor environment quality	The use of renewable energy systems (+) Green spaces and vegetation (+)	Recycled and locally sourced materials (+)  Water management and rainwater harvesting (+)	Noise conditions (-)  Reduced car and parking footprint	Flood risk (-) Air quality conditions (pollution) (-)

277

## 278 **4.0 Results**

279 During the interviews, the conceptual set of eco-cultural indicators and associated issues were  
280 discussed. Participants' demographic and dwelling characteristics were captured (Table 8). It is  
281 pertinent to discuss the relevance of the eco-cultural indicators and main recurring themes based on  
282 the interviews with users and inhabitant in the Jordanian context. Therefore, each eco-cultural  
283 category was compared with the participant's dwelling characteristics and demographics to obtain  
284 the degree of their importance in the context of Jordanian residential new construction.

285 The eco-cultural framework itself is structured along the three aspects of sustainability in addition to  
286 the fourth cultural one. Each aspect is followed by a series of more practice-related indicators detailing  
287 components of eco-cultural buildings. The framework steps through what tangible and intangible  
288 indicators buildings react to and how they affect and inform each other's (Figure 6). The framework  
289 concludes with a discussion of overall strategies linking tangible and intangible aspects of the  
290 sustainable build environment (table 12). Attention was given to users' expectations and perceptions  
291 of a positive sustainable environment. The topics centred on the interrelationships between the four  
292 main themes. Participants were also asked to present examples or alternatives or solutions to the  
293 issues raised. Findings are supported by comments made during the interviews. Table 9 summarises  
294 the findings.

295 However, this framework is not meant to be comprehensive by using many examples. The aim is not  
296 to list all possible interpretation methods but to list those which explain the indicator. The same  
297 interpretation method can appear within multiple categories for this reason. The emphasis is on  
298 illustrating the point and view of the interviews and on allowing the reader to understand the  
299 dimensions of eco-cultural design.

300 It was found that the scope of eco-cultural sustainability indicators differs from the existing  
301 sustainability assessment frameworks and tools, primarily because of the differences in the user's  
302 perception of an ideal sustainable built environment. Moreover, context-specific issues in Jordan have  
303 resulted in unique challenges which have contributed to the final composition of the list of indicators.  
304 This means that the assessment weights and credit allocation for eco-cultural indicators should and  
305 will diverge from other assessment frameworks. The participants' responses also illustrate additional  
306 criteria to the ones identified in literature which would be important for integration into the Jordanian  
307 Green Guide for sustainable residential buildings. This tool and design guide will be further built on in  
308 future work. The findings are discussed thoroughly in the following sections under the 'four'  
309 sustainability dimensions: cultural, social, environmental and economic.

### 310 **4.1 Cultural Dimensions**

#### 311 **4.1.1 Aesthetics and visual Impact: materials, space and form**

312 For most participants from both cases, the quality of building materials and the visual impact were  
313 cultural determinants for whether the building was deemed "good for today's standards" or "durable"  
314 and sustainable. Participants from the King Abdulla city discussed the use of both modern or modern-  
315 looking elements and vernacular materials, quality of materials and build and in particular the use of  
316 stone. In total 34 out of 50 participants in King Abdulla and 24 out of 31 in Salt city mentioned at least  
317 one aspect of aesthetics and associate cultural value.

318 For most of Salt city participants, their aesthetic preferences were geared more towards the  
319 vernacular elements of architecture. They highlight how vernacular architectural elements can even  
320 help with passive and sustainable measures in architecture. For instance, long narrow windows for

321 more indirect sunlight, the use of plants around the building, the presence of a courtyard to create  
322 self-shaded areas and over-hangs on top of balconies and windows. B05 who is a 45-54 years old  
323 female, married and living with her family of 5 in a detached vernacular house explained:

324 *Vernacular houses like this one have more greenery around them and look closer to the*  
325 *environment than other non-vernacular houses. They were built using natural materials,*  
326 *have nicer bigger windows and courtyards with trees and water fountains that helps to*  
327 *make the house cooler in summer and thick walls that kept it warmer in winter....*

#### 328 4.1.2 Role of privacy and dwelling type

329 For most participants, privacy was one of the most recurring themes that people expressed during the  
330 interviews. They considered privacy to be deeply connected to the dwelling form, layout and cultural  
331 norms. Participants discussed privacy in interweaved relationships to segregation between genders,  
332 guests and hospitality. Participants also connected privacy to other tangible indicators like; dwelling  
333 typology, private outdoor spaces and internal circulation. *Many* participants interpreted privacy as  
334 being “concealed”, “hidden” and being “sheltered “in their house. This will provide them with the  
335 comfort and freedom to perform their daily life away from the prying eyes of neighbours or street  
336 dwellers. This freedom includes the ability of family members to move around the dwelling while  
337 guests are there, especially if the guests are males from outside of the family, otherwise, women need  
338 to stay in dignified clothing the entire time if they had to share spaces. So, it is important for the guest-  
339 hosting area and the entrance of the house to provide this privacy and freedom of movement.  
340 Participant A36 who is a 25-35 female, employed and lives in an apartment block compared:

341 *The apartment where she lives now compared to her old one: “In the house, the bedrooms*  
342 *used to open directly to the living room, there was no hall or corridor to separate that and*  
343 *it was rather uncomfortable, it was noisy and you never feel like you have your room,*  
344 *although we did have another guest room and this room was just for family members I still*  
345 *find that uncomfortable....”*

346 The participants considered that even a new house should consider the room size and room numbers;  
347 at least have three bedrooms (one separate from other rooms and near the entrance so guests do not  
348 see private areas), two separate living areas, dining area with the kitchen is a bonus. Participants living  
349 in apartments in King Abdulla city reported having fewer bedrooms compared to before and other  
350 especially functioned spaces like guest rooms or entrance halls were missing. There were also  
351 comments about the density and crowdedness of developments. They linked this to privacy as  
352 participant A11 who is 35-44 years old male and lives in an apartment with his 4 children and wife  
353 puts it when commenting on the photographs of buildings in the area:

354 *“I didn’t like that in the apartments. There are a lot of people living there, the number of*  
355 *apartments seems high there. Although the third building has nice balconies and seems to*  
356 *have a garage for the car and looks much nicer than the first and second buildings but still*  
357 *an apartment block but with better finishing materials. .... Having so many people living in*  
358 *the same building is a new thing to our society people used to live in a single-family house.*  
359 *Having a building with few apartments is alright but just not too many families ..... that is*  
360 *why I choose the second building because it looks like it has fewer apartment numbers and*  
361 *more space around it.....”*

362 Participants A16 who is a 44-54 years old female and live a detached house with her family of 5 said:

363 *... I mean we still have this culture of houses to be closed into itself and not be so exposed*  
364 *for people in the outside, to have much control for privacy which is a shared point with*  
365 *traditional houses. Covered windows, balconies are not so exposed and so are the other*  
366 *floor, it’s a shared point with most houses here in Jordan. Some houses the kitchen would*  
367 *be open to the living space which I don’t like,*

368 Participated stated that visual overcrowding, noise, ventilation and sunlight levels are always affected  
 369 when living in multi-apartment blocks where “too many” families live. According to interviewees,  
 370 proximity and setbacks from other buildings and adequate green spaces were repeatedly associated  
 371 with sustainable practice, image and reduced sense of privacy. Participants A47, a 25-34 years old  
 372 female, married and living in an apartment with her husband and two children said:

373 *...I would guess sustainable buildings would have a fewer number of apartments than non-*  
 374 *sustainable ones... it would also have a good space between it and the other buildings in*  
 375 *the neighbourhood to have optimal ventilation and sunlight and so you can plant trees*  
 376 *between them...*

377 **4.1.3 Adaptability and controllability**

378 47% of the participants in King Abdulla city and *most* of the participants in Salt city have made changes  
 379 to their houses (Table 8). These modifications were related either to enhancing the privacy in the  
 380 dwelling, making it more suitable to their lifestyle and to increase the value of their residence. Changes  
 381 include: enclosing the balcony completely or covering it with plants, metal or wooden arabesque,  
 382 adding a divider or a separator to increase the privacy and segregation between bedrooms and guest  
 383 reception area of the house like the living room or dining area or dividing living areas into two – one  
 384 for family, the other for guests. It was also found that most of the residents living in apartments  
 385 considered it inadequate for meeting their expectations. This included dissatisfaction with the number  
 386 of functional rooms. The interviewees needed more bedrooms and additional living areas as well as  
 387 spaces for studying and hosting guests rather than the more contemporary one big hall or an L-shaped  
 388 living area. Table 10a & 10b highlight the most recurring physical changes made or planned to be made  
 389 by residents alongside the accompanying reasons (some participants made two or more changes).

390 Table 10a: Types and reasons for changes to the original interior layout In King Abdulla city

Recurring changes in vernacular house	Reason	Related indicators	Type of indicator
Closing the balcony or terrace with walls	To create an additional room or increase indoor space	Circulation and house organization	Tangible
Add a divider between the living room and the corridor leading to bedrooms	Segregation of family members and guests To create an additional room or increase indoor space	Privacy and house organization	Intangible
Adding thermal Insulation	To help repel heat or cold	Environmental measures/ thermal comfort	Tangible
Increase the area of the balcony by building a slap near it	Have a terrace	Semi-outdoor spaces	Tangible
Adding a screen on the balcony or windows	To provide more visual privacy	Privacy	Intangible
Adding solar power panels	reduce unwanted sun rays	Environment/ thermal comfort	Tangible

391  
 392 Table 10b: Types and reasons for changes to the original interior layout in As-Salt city

Recurring changes in vernacular house	Reason	Related indicators	Type of indicator
General renovations	Poor condition of the house	Physical Parameter	Tangible

Covering the internal stonework with plaster	For renovation purposes	Materials	Tangible
Covering the internal courtyard roof with a new slap	To create an additional room or increase indoor space	Circulation and house Organization	Tangible
Closing the balcony or terrace with walls		Semi-outdoor spaces	Tangible
Add a new partition between big rooms	Privacy and segregation of family members and guests	Privacy and segregation	Intangible
Build a new room	To create an additional room or increase indoor space	Circulation and house Organization	Tangible
Adding an extra bathroom	Needed bathroom		Tangible/intangible
Changing the use of one of the rooms	To provide more privacy and noise control	Privacy/ noise	Intangible

## 393 4.2 Social Dimensions

### 394 4.2.1 Design for social interaction and accessibility

395 For *most* participants, the social image of sustainability relied on the availability of exterior spaces  
396 for social interaction. These aspects are considered in a limited way in assessment tools. They are  
397 discussed in isolation from each other and often ignore the direct relationship between the tangible  
398 and intangible aspects. This shows the need to reflect on the relationship between the indoor and  
399 form/exterior in association with people's social practices and lifestyle in order to avoid socio-  
400 cultural and technical clash that is eventually unsustainable. A10 who is a male 45-54 years old  
401 married male and lives in a single-detached house with his family of 6 explained:

402 *.... not much goes for social interaction, entrances are far from each other and it is hard to*  
403 *catch neighbours, we are waiting for our attached neighbour to come and join us...*

404 A50 who is a male age 25-35 and lives with his wife and child in a terrace house said:

405 *Our buildings are without sidewalk while other buildings in the same area have huge ones!*  
406 *Also parks and garages! I think the developer did a bad job planning the lots here, they*  
407 *could have made it better and more organized than it is and plan where each entrance of*  
408 *each lot is located, to be far from main roads in a way that provides security, interaction*  
409 *and privacy for each adjacent lot....*

410 B29 is 24 years old, single and lives with his parents and siblings in a single-detached house said  
411 about living in a vernacular neighbourhood:

412 *Old houses certainly gave more chances for people to meet and to be closer and more*  
413 *intimate, even between neighbours.*

414 On another hand, availability of services and walkability played more role in social provision of the  
415 community. A11 who is a male age 25-34 and lives in a semi-detached house describe:

416 *.... this development is still rather new with not many services and empty land lots and*  
417 *construction sites that make the air rather dusty from building material particles. Distance*  
418 *from services and markets can be challenging and does not encourage you to go and walk*  
419 *as you will always have to use the car for shopping for grocery, also for some part of the*  
420 *area the sidewalks are really in a bad condition with people taking them over and planting*  
421 *bushes and shrubs that obstacle the way.*



422 Salt city residents did not face these issues as the site contained many old established squares and  
423 wide stairs. B02 who is a 55-64 female said:

424 *Living here gives peace of mind and I like the idea of living in a place with history and*  
425 *connected to my heritage. It makes me feel more bonded to the place and history of the*  
426 *city. The location is good being central and has a lot of advantage where you don't have to*  
427 *own a car or pay for transport to work, school or the market. Nothing specific I didn't like.*

### 428 **4.3 Economic Dimensions**

429 Non-conventional or rarely studied economic aspects assert the difference between the affordability  
430 of housing and affordable housing (Pocock *et al.*2016). Most apartment blocks in King Abdulla city  
431 were built as part of a government scheme to provide more affordable homes. The scheme was not  
432 successful and *many* participants had bad views and attitude toward such schemes. A26 who is a  
433 below 25 years old male living in a detached house said:

434 *This project was supposed to be for lower-income citizens, but land prices and apartments*  
435 *are too expensive for them...I would not mind a bit expensive house if it was going to be*  
436 *more durable and sustainable.*

437 For most of the participants in Salt city, their main concern was the durability and maintenance cost  
438 for their old traditional houses. B24 who is 25-34 years old female living in a semi-detached house  
439 with her family explained:

440 *Maintenance work: fixing the ceiling, adding tiles to prevent water from coming in, paintwork and so*  
441 *on. Even if we have the money now, we don't feel encouraged to do it as it won't last long. It was less*  
442 *than a year since we did the ceiling and look, paint is falling off already.*

443 More than a few interviewees mentioned the negative impact that the new developments were  
444 having on the value (including rental) of their dwellings. In Salt city, the recent renovation and public  
445 works encouraged landlords to increase rent prices which affected many families and threatened  
446 them to leave and for many vernacular houses to sit empty and degrade due to the cycle of negligence.  
447 In King Abdulla city, prices went up sharply in recent years which affected the moving-in rate and thus  
448 social relations worsened. It also encouraged anti-social behaviours that endangered the project. The  
449 government also lowered the prices for the remaining units which led to the value for all the units that  
450 were sold previously to go down, creating a long list of people waiting compensation.

### 451 **4.4 Environmental aspects**

452 Nearly all the residents discussed environmental dimensions in relation to interior living qualities,  
453 external landscaping, or the use of high technology products and materials. *Most of* the participants  
454 discussed the need for good natural ventilation and sunlight and the indicators for achieving this  
455 included: orientation, window size and location of opening. Participants in King Abdulla city spoke  
456 about poor thermal comfort and energy systems. They linked poor thermal comfort to insulation  
457 methods and absence of central mechanical heating and cooling systems. Participants from Salt city  
458 praised how convenient their dwellings are in providing thermal comfort and energy saving. They  
459 linked it to good earth materials and passive measures of orientation, thermal walls and shading. B3  
460 who is a 65-74 years old male and lives in a single detached house with his wife said:

461 *Materials and methods of construction played the major role in regulating temperature.*  
462 *This house has very thick walls, this made it suitable for both hot summer and cold winter.*  
463 *The courtyard used to help in cooling too, sadly after we added the roof it's been hotter in*  
464 *summertime ...*

465 A05 who is a male living in a semi-detached house with his family of three said:

466 *A building that may use renewable energy sources like solar power, or which its utility bill*  
467 *is low compared to other type of buildings. Allows enough sunlight, a lot of green areas,*  
468 *recycling grey water too...*

469 Participants were divided in the matter of using recycled or more expensive sustainable materials and  
470 gave their approval subject to the quality or price of these high-performance materials. A07 who is a  
471 25-34 years old male living with his wife and child in an apartment block said:

472 ...If these materials make the house more sustainable then of course I would agree...

## 473 **5.0 Discussion**

474 It was observed that socio-cultural indicators dominated participant's perspective and image of  
475 sustainability. Cultural indicators in the built environment were frequently discussed in relationship  
476 to planning, internal environment and heritage relevance. For example, participants discussed the  
477 image of sustainability and its relationship with the quality of materials, design and even aesthetics.  
478 Bennetts *et al.* (2003), made the same remark about how the image of cultural sustainability in  
479 architecture is "highly contextual" and influenced with mostly shapes and materials. However, studies  
480 such as Satterfield *et al.* (2013), Plieninger *et al.* (2013) and Wu *et al.* (2016) limit cultural sustainability  
481 to the presence of cultural and spiritual facilities and the need to preserve current heritage buildings.

482 Most of the participants reported that vernacular architectural elements were more aesthetically  
483 appealing and performed better, stating that they are suitable for both local culture and climate. This  
484 aligns with previous research that asserts the bioclimatic potential of vernacular architecture (e.g.  
485 Memmott and Keys 2015; Eyüce 2007; Al-Sallal 2017; Weber 2013). Chiesa and Grosso, (2017) also  
486 found visual aspects to enhance satisfaction and the socio-cultural experiences of people in housing.  
487 Al-Sallal (2017); Weber (2013) went further which vernacular elements possess both a socio-cultural  
488 and environmental function. Many participants make little distinctions between vernacular and  
489 sustainable architecture. For example, the architectural design of the building envelope in vernacular  
490 architecture reflected not only aesthetic concerns but also performance (e.g. thermal performance).

491 Many participants also discussed the visual impact of multi-apartment blocks and described feelings  
492 of density and crowdedness. UNISCO, BREEAM and LEED all encourage high density mixed  
493 developments as a cornerstone for sustainable development (Ameen, Mourshed, & Li, 2015). Yet, few  
494 studies discussed occupant perception of density. Bradecki *et al.* (2017); Dave (2011) concluded that  
495 the feeling of high density is related to typology rather than being an issue of how many people are  
496 living within one square km or unit space. This aligns with what this study found; that perception of  
497 density is related to buildings form, typology, location of windows and setbacks. Nearly all the  
498 participants discussed how ventilation and natural sunlight in the dwelling could be affected by nearby  
499 buildings. Especially those who are living in multi-level apartments. This in part gears towards the  
500 association between tangible factors like window sizing with socio-cultural indicators and satisfaction  
501 levels.

502 For almost all the participants, privacy was the most important cultural trait that a dwelling must have.  
503 This transcends the inner part of the house to semi-open and semi-private outdoor zones like the  
504 garden, balconies and terraces. Participants also pointed out various vernacular elements that serve  
505 a dual function of environmental controller and privacy enhancer. The city of Salt has introverted  
506 planforms comprising of a series of rooms built around a central courtyard that is usually open to the  
507 sky. This type of plan satisfies cultural conditions while being a flexible space that can be adapted to  
508 the changing requirements of a large family. It also suits climatic conditions for passive cooling and

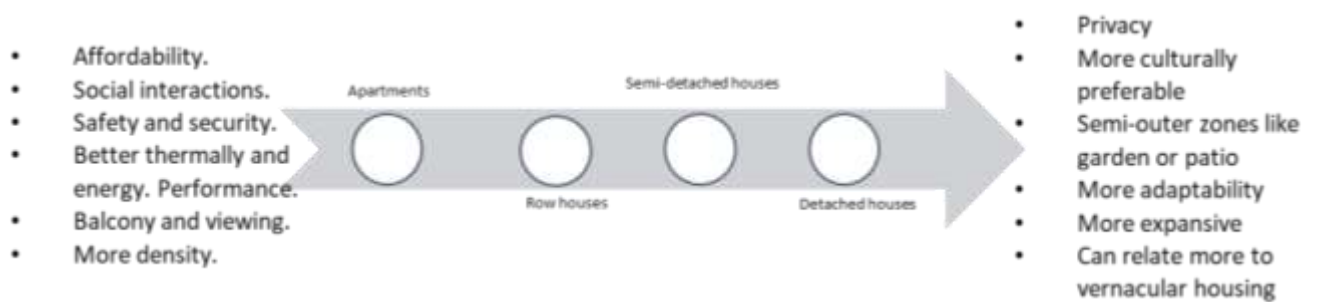
509 enhanced ventilation. Balbo (2013) found similar dual properties at the vernacular urban design level  
510 and this study confirms this at the typology-social level for modern occupants. Many participants also  
511 affirmed that functionality and circulation are also important. So far, consideration of these two  
512 factors is limited to distribution, communications and quality of the design rather than environmental  
513 requirements (Chiesa & Grosso, 2017). Not considering functional and environmental components  
514 together during the preliminary design phases may result in significant user changes and adjustments  
515 to the building post-construction at delayed, higher costs, time and disruption to the occupants.

516 In the sphere of social wellbeing, a lot of participants discussed the layout of dwellings for social  
517 interaction. While some of the participants connected wellbeing to the walkability of streets and  
518 presence of public spaces, the latter are the only aspects that are considered in assessment tools and  
519 literature like (Al-Jamea, 2014; Awadh, 2017; Olakitan Atanda, 2019). Where the relation and position  
520 of buildings and dwellings relative to one another are typically considered in a limited indirect way.  
521 Few participants mentioned the walkability of streets and sideways as an important factor. This was  
522 combined with their need for services and amenities to encourage inhabitants to meet and socialise.  
523 In sustainability assessment tools and research, the focus is geared towards urban and neighbourhood  
524 design that gives priority to safety, security, inclusivity and cultural facilities (Ewing and Handy 2009;  
525 Åhman 2013). On the other hand, participants' responses showed that outdoor design qualities and  
526 walkability come first in participant's perceptions of their social realm. Many participants stated that  
527 community centres and facilities are not as important as a good quality outdoor space with a design  
528 that respects all residents' privacy, access to ventilation, solar rights and services. They regarded the  
529 condition of sidewalks, presence of trees, landscaping, shading elements and connection to services  
530 as main encouragements to choose to walk rather than drive. This shows the need to reflect on the  
531 relationship between the indoor and form/exterior in association with people's social practices and  
532 lifestyle in order to avoid a socio-cultural and technical clash that promotes unsustainability.

533 Few participants connected sustainability and housing quality to economics. Nearly all live in the  
534 apartment blocks that were built as part of an affordable housing scheme. The affordable housing  
535 scheme failed due to selling all of the units due to its low quality, limited marketing and reports of the  
536 developers being involved in corruption. Non-conventional sustainable economic studies assert that  
537 affordability of housing and affordable housing, are not the same thing (Anacker, 2019). Moreover,  
538 sustainability assessment methods usually fail to differentiate this important aspect and its role for  
539 holistic sustainable design. Chiu 2004; Axelsson et al. 2013 emphasised bioclimatic, thermal comfort  
540 and energy performance of the dwelling. Although participants living in the multi-apartment  
541 affordable apartments praised their thermal performance, their discussion was more focused on build  
542 quality, lack of privacy, typology and high-density feel. Many explicitly expressed their plans to move  
543 out once they could afford something better. In conventional architectural practice, sustainability and  
544 affordable housing have rarely been considered alongside each other. Sustainability and affordability  
545 are often negatively correlated with each other because "more sustainable" often means "less  
546 affordable" (Friedman, 2012). Neighbourhood amenities such as access to services, pedestrian safety,  
547 access to complete streets and quality housing standards have important roles in determining the  
548 affordability of living in sustainable neighbourhoods (Friedman, 2012) Participants who can spend  
549 money on their dwellings are more likely to have more favourable attitudes. Therefore, there should  
550 be access to a diverse range of affordable housing typologies (e.g., high-rise and low-rise, detached or  
551 semi-detached, mixed-use or multi-functional buildings, etc.) rather than just one apartment type  
552 such as is the case in King Abdulla city.

553 Shirazi and Keivani (2017); Aksamija *et al.* (2015) highlighted the main features for social sustainability  
554 that also includes aspects like energy efficiency, thermal comfort, a healthy internal environment,

555 presence of trees and attention to the overall quality of life. Interestingly, participants interpreted  
 556 eco-cultural indicators in two ways: (a) sustainable site and form and; (b) indoor spatial and  
 557 environmental quality. The indoor environmental quality links local culture and values mainly with  
 558 indoor spatial layout. Responses also focused on thermal comfort related factors such as daylight and  
 559 ventilation. and how they affect the occupant’s comfort and wellbeing. The sustainable site is  
 560 concerned by how appropriate the public realm is for socialising, and how much privacy is achieved in  
 561 semi-private and private zones. These aspects are currently considered in a limited way in assessment  
 562 tools. They are often considered in isolation and ignore the direct relationship between the tangible  
 563 and intangible aspects. These links also exercise the influence of culture as a medium that gives both  
 564 the social and tangible environment – natural and built their characteristics. The physical environment  
 565 can be seen in heritage buildings, nature, landscapes and the fauna and flora. The social environment  
 566 is lifestyle, local traditions passed down generations, religion and beliefs. For this reason, this  
 567 understanding of sustainability also involves recognising how socio-cultural aspects have and should  
 568 still shape the physical built and natural environments. Figure 5 summarises the findings on the  
 569 qualities and issues that accompany each type of dwelling relative to scale. Moving away from each  
 570 side indicates the increase or decrease in the presence of these qualities. For example, a detached  
 571 type of house provides more privacy for a family but is less affordable than an apartment or other  
 572 types of dwelling.



573  
 574 Figure 5: Strength of metrics relative to the dwelling typology according to participants.

575 The findings highlight the connections participants made between intangible socio-cultural factors and  
 576 how they can be translated into tangible architectural elements such as space, form and resource use  
 577 and thermal performance. Tangible and intangible design metrics are important for fulfilling these  
 578 needs, for instance: privacy can be achieved by the size, position and orientation of openings and  
 579 space, whilst thermal comfort can be achieved with traditional building materials and thermal mass.  
 580 Therefore, the findings confirm that there is a need for better integration of the cultural aspects of  
 581 architecture to the three dimensions of sustainability during the design, planning and implementation  
 582 of housing schemes. Cultural metrics should be integrated holistically bearing in mind that this would  
 583 differ per location and context.

584 Table 12 summarises the findings. Figure 6 consolidates this to propose a visual represented of the  
 585 theoretical framework. The framework itself is structured along the three aspects of sustainability  
 586 alongside a fourth aspect: culture. The categories and indicators represent practice-related  
 587 components of eco-cultural architecture. Lines connect indicators that directly influence one another.  
 588 Lines represent the relationships between themes and indicators in the contexts of space and  
 589 envelope of buildings. These categories and relationships were conceptualised based on the  
 590 participants’ viewpoints towards sustainability and quality issues concerned with new and vernacular  
 591 residential dwellings. This framework integrates tangible and intangible indicators: placing value on  
 592 the historical, contemporary or a hybrid contemporary-historic built environment. It will be used to  
 593 propose a new eco-cultural assessment system for Jordanian residential buildings but can also be  
 594 applied to deliver improved regional and sustainable developments in similar contexts.

## 595 **5.0 Conclusion and future work**

596 This research aimed to inform the ‘fourth’ sustainable development approach by proposing a  
597 theoretical eco-cultural framework based on a coherent set of interrelated tangible and intangible  
598 indicators. The findings from the primary research were underpinned by literature which found that  
599 existing sustainability frameworks, assessment tools and guides fell short of integration cultural  
600 aspects and needs. This study employed interviews with current residents in two case study areas to  
601 assess stakeholders’ perspective and identify indicators for holistic sustainability. This approach was  
602 only used in a limited way with professional stakeholders in Olakitan study (2019) and within the  
603 research of heritage conservation like in the work of Ashley *et al.* (2015). It was also found that most  
604 frameworks for assessing sustainability in the built environment focus on the environmental criteria  
605 and the assessment tools rarely incorporate the cultural criteria categories and indicators. The lack of  
606 understanding regarding socio-cultural criteria was evident due to its intangibility and complexity. This  
607 study makes a significant theoretical and practical contribution in that it bridges this gap by proposing  
608 tangible metrics relating to intangible cultural factors so that this can be easily and effectively  
609 incorporated into existing design assessment tools, guides and standards. The next step is to test and  
610 validate the findings when incorporated into the Jordanian Green Building Guide and evaluate the  
611 outcomes with design and planning professionals.

612 The outcome of this research has several significant implementations for future practices and  
613 research:

- 614 • It is observed that user input could be better incorporated with better satisfaction impact in  
615 existing assessment methods. It would help to improve the sustainability of housing  
616 schemes if the user needs and requirements are considered e.g. to reduce material waste  
617 due to user changes and adaptations.
- 618 • The sample size needs to be extended to include more experts and building users in order to  
619 strengthen the quality and validity of the data. The study also needs to measure the relevant  
620 categories and indicators by the experts to back up results obtained from users.
- 621 • This work is situated in Jordan, but the outputs are scalable. Therefore, there is scope to  
622 apply and refine the findings for other contexts.
- 623 • Future work will investigate weightings and scales for the indicators to serve as a useful  
624 benchmarking measure in sustainability assessment tools.

Table 12 Interpreting eco-cultural indicators into physical and ecological measures

Socio-cultural category	Socio-cultural indicators	Physical interpretation	Ecological Advantage
<b>Aesthetic and visual Impact</b>	Relevance to vernacular architecture	Vernacular Architectural elements, Stone use as material,	Reduced direct glare and excess sunshine but allow for more light against the typical wide or rectangular windows. Stigma against earth and mud materials Narrow long windows, stone material, arches and vaults Shared or private courts to regulate temperature and create shaded zones.
	The feeling of density and crowdedness	Number of apartments in the building The proximity of dwellings from each other's Setbacks and empty spaces. Density/m2.	Units and dwelling have the best advantage of sunshine, views, wind patterns for indoor environment The number of dwellings that share access between flats 8 or fewer (2 flats X4 floors).
	Continuity between buildings style	Materials Architectural elements, Colours, Special treatments Similar massing and typology within one zone.	-
	Green areas and trees	Presence of tree lines and vegetation around the house and in streets	Reduce heat, ensure evaporation and reduce solar gains on streets
<b>Role of privacy and dwelling typology</b>	Private access to dwelling and Private outdoor zones	Relationships of dwellings entrances. Grouping units to take advantage of typography Arranging units to create shaded mutual or private outdoor zones Enclosing adjacent private outdoor areas with boundary walls.	Creating self-shaded and narrow alleys to reduce heat gains on dwellings  Protecting indoor zones from external noise and air pollution
	Ensure privacy in all principle areas inside the house. Hierarchy of zones and rooms from guest reception to communal and finally to bedrooms.	The spatial arrangement of quiet zones and living activities inside the house. Treatments for walls, floors and windows (materials and thicknesses).	Thermal zoning to enhance thermal comfort and energy efficiency.
	Dwelling typology and properties.	Minimum requirement for room dimensions for furniture use.	-

Socio-cultural category	Socio-cultural indicators	Physical interpretation	Ecological Advantage
		Living and other communal areas of the house are not necessary for circulation.	
<b>Controllability and adaptability</b>	The ability to make an addition to the exterior Potential for horizontal or vertical extension the structure. Local control of mechanical systems, natural ventilation and sunlight through windows.	Ability to control movable shading device and windows opening range. Ability to add more horizontal or vertical fixation for better passive design. Adaptability to future changes in the type of energy consumed including adding solar panels.	Renewable energy sources compatibility  Passive heating and cooling measures
<b>Design for social interaction</b> <b>Neighbours rights and regional loads</b>	Interactive or overlooking dwelling entrances Boundary walls around property. Outdoor spaces overlook children's playing area	Covered, semi-open (shaded) and open spaces relative to the total area of the house/building/neighbourhood. Orientation and relationship of these spaces with the house and other nearby buildings.	Units group on a way to maximise beneficial solar gains.

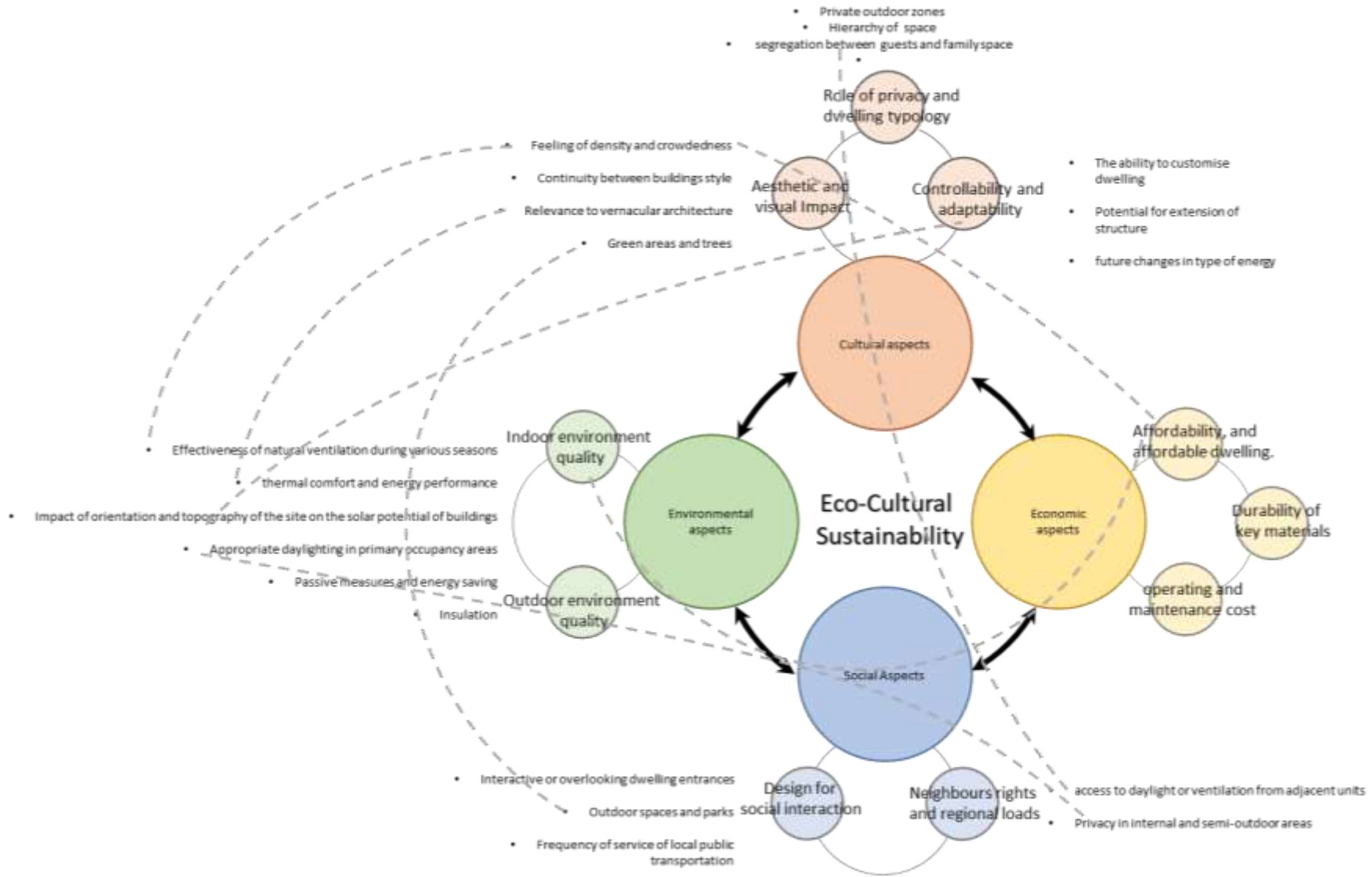


Figure 6: Theoretical framework of the relationships between the main indicators and categories of an eco-cultural design



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