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A comprehensive method for fractionating soil organic matter into pools not protected and protected from decomposition by physical and chemical mechanisms

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Running title: Fractionation of soil organic matter

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Abbreviations: CPMAS, cross-polarization magic-angle spinning; NMR, nuclear magnetic resonance; SOM, soil organic matter.

Keywords: Aggregates; C stabilization; Nuclear magnetic resonance; Physical fractionation; Soil organic matter

1 **Abstract**

2 The objective of this work was to describe a method for isolating meaningful and
3 measurable soil organic matter (SOM) pools that differ in the mechanisms by which
4 they are protected from decomposition. The method is appropriate for soil C
5 stabilization and sequestration studies and is different from previous ones in that it
6 allows free SOM located between aggregates (unprotected C pool) and SOM occluded
7 within both macroaggregates and microaggregates (C weakly and strongly protected by
8 physical mechanisms, respectively) to be recovered separately, freed from the soil
9 mineral matrix and the mineral-associated SOM pool (C pool protected by chemical
10 mechanisms) and thus well suited to advanced chemical characterization by ¹³C nuclear
11 magnetic resonance. Briefly, free SOM is isolated by an initial density separation.
12 Stable macroaggregates are broken up into stable microaggregates and intra-
13 macroaggregate SOM, which is then separated by density. Finally, intra-microaggregate
14 SOM is isolated from mineral-associated SOM by a third density separation after
15 ultrasonic disruption. The SOM dissolved during the fractionation procedure is also
16 recovered. Results obtained on soil samples with contrasting textures suggested that
17 clay content induces a decrease of the proportion of free organic C and an increase of
18 mineral-associated organic C content. Free SOM is characterized by a marked presence
19 of undecayed organic materials and biologically labile substances, such as
20 carbohydrates and proteins. In contrast, SOM occluded within aggregates, especially
21 within microaggregates, represents a more decomposed fraction, relatively enriched in
22 unsubstituted-aliphatic materials, most probably lipid biopolymers.

23 **1 Introduction**

24 Soil organic matter (SOM) comprises a wide variety of plant- and animal-derived
25 components differently susceptible to degradation, having cycling rates from weeks to
26 millennia [1]. According to widely accepted concepts, mechanisms of SOM
27 stabilization and protection from decomposition include: (a) occlusion within soil
28 aggregates (physical protection), which is due to the spatial inaccessibility for
29 decomposers, limited microbial turnover due to soil microbiota protection from
30 predation, reduced diffusion of enzymes, and limited O₂ diffusion; (b) intimate
31 interaction with mineral particles (chemical protection), which leads to a reduced
32 capacity of microorganisms to decompose bound substrates and to conformational
33 changes of organic molecules which make them unavailable for soil enzymes; and (c)
34 selective preservation and formation of SOM compounds with molecular structures
35 more resistant to decomposition (biochemical protection) [2-4].

36 There is a wide body of evidence in the literature indicating that indicates that
37 physical protection of SOM depends on the level of aggregation. In particular, C
38 stability has shown to be much greater within microaggregates than within
39 macroaggregates [5-7]. Based on these observations, Six et al. [3] developed a
40 successful fractionation scheme for isolating meaningful and measurable pools of SOM
41 that differ in cycling rates. This procedure isolates: (a) an unprotected C pool, which
42 consists of free coarse particulate organic matter (i.e. > 250 μm) and represents the most
43 labile material; (b) a physically protected C pool, consisting of the fine organic matter
44 occluded within microaggregates; (c) a chemically-protected C pool, consisting of the C
45 associated with the silt- and clay-sized fractions; and (d) a biochemically-protected C
46 pool, i.e., the nonhydrolyzable organic fraction that represents the oldest and most
47 resistant C pool in temperate soils. Briefly, coarse non-protected particulate organic
48 matter, microaggregates, and silt- and clay-associated C are isolated by a method that

49 accomplishes a complete break up of macroaggregates without breaking up
50 microaggregates, which are then separated by wet sieving. Fine unprotected particulate
51 organic matter that is collected together with the microaggregates (i.e. 53-250 μm) is
52 isolated by density flotation. Subsequently, microaggregates are dispersed and wet
53 sieved to isolate microaggregate protected C versus silt and clay associated C. Finally,
54 the silt- and clay-associated C fractions are hydrolyzed to differentiate the silt and clay
55 protected C versus biochemically protected C.

56 Advanced molecular characterization of unprotected and physically protected SOM
57 fractions is of intrinsic importance to analyze C transformation and stabilization
58 processes in soils. Nuclear magnetic resonance (NMR) is among the most powerful
59 analytical tools for investigating SOM structure and composition, because it can provide
60 information on the abundance of specific functional groups and structural entities [8-
61 10]. However, the presence of soil mineral components is problematic during NMR
62 analysis [8, 11, 12], and has limited the application of this analytical tool to the study of
63 the microaggregate SOM pool isolated by the method described by Six et al. [3].

64 Some authors have proposed physical fractionation procedures that are based on an
65 initial density separation, which yields free light organic matter, and a second density
66 separation after ultrasonic disruption, which gives light intra-aggregate organic matter
67 freed from the soil mineral matrix [3-15]. In broad outline, NMR results obtained by
68 these authors indicate that the proportion of O-alkyl C is lower and that of alkyl C
69 higher in the occluded light fraction than in the free light fraction, suggesting that the
70 occluded light fraction comprises more decomposed and transformed organic matter
71 relative to the free. Despite the importance for a better understanding of the mechanisms
72 of C storage in soils, however, these studies and the method described by Six et al. [3]
73 do not distinguish SOM pools associated with different aggregate sizes.

74 The objective of this work was to describe a comprehensive method for isolating

75 functionally different SOM fractions mainly based on Six et al. [3] and Sohi et al. [14]
76 that allows to recover separately free SOM located between aggregates (unprotected C
77 pool) and SOM occluded within both macroaggregates and microaggregates (C pool
78 weakly and strongly protected by physical mechanisms, respectively) freed from the
79 soil mineral matrix and the mineral-associated SOM pool (C pool protected by chemical
80 mechanisms) and thus well suited to advanced chemical characterization by NMR.

81

82 **2 Experimental**

83 2.1 Soil fractionation

84 The fractionation procedure, which is schematized in Fig. 1, uses a combination of
85 density, aggregate fractionation, and sonication procedures to separate (a) free SOM
86 between aggregates (unprotected C pool), (b) SOM occluded within macroaggregates
87 (C pool weakly protected by physical mechanisms), (c) SOM occluded within
88 microaggregates (C pool strongly protected by physical mechanisms), and (d) SOM
89 associated with the mineral fractions (chemically-protected C pool); the SOM dissolved
90 during the fractionation is also collected. In a first step, 150 mL of sodium polytungstate
91 at a density of 1.85 g mL^{-1} is added to 20.00 g of 2-mm-sieved, air-dried soil in a 250-
92 mL polycarbonate centrifuge bottle. The bottle is rotated at $1 \text{ revolution s}^{-1}$ for 30 s in
93 an overhead shaker and centrifuged at $13900 \times g$ for 30 min. The floating light fraction
94 (free particulate SOM) is separated from the heavy fraction by suction and filtration
95 through a glass fiber filter (particle retention in liquid, $1.6 \mu\text{m}$; GF/A, Whatman, UK).
96 In a second step, macroaggregates in the heavy fraction are broken up into stable
97 microaggregates by using a microaggregate isolator [6, 16]. In this step, the content of
98 the centrifuge bottle (i.e., the heavy fraction) is gently transferred to the top of a 250- μm
99 sieve, immersed in deionized water, and then shaken with 50 stainless steel beads (4
100 mm in diameter) at $150 \text{ strokes min}^{-1}$ on a reciprocating shaker under a continuous,

101 steady deionized water flow (about 0.2 mL min⁻¹). Microaggregates and other soil
102 components smaller than 250 µm are immediately flushed through the device and
103 transferred to a beaker, thus preventing the fragmentation of the microaggregates freed.
104 Shaking is stopped when water below the 250-µm sieve run clear and after visually
105 checking that all macroaggregates are broken. In a third step, the fraction flushed
106 through the 250-µm sieve mixed with the fraction collected over the sieve is oven-dried
107 at 70 °C and then gently transferred into a 250-mL polycarbonate centrifuge bottle
108 together with the filtrate from the first step (sodium polytungstate solution). The bottle
109 is rotated at 1 revolution s⁻¹ for 30 s and centrifuged at 13900 × g for 30 min. The
110 floating particles (intra-macroaggregate SOM) are separated from the heavy fraction by
111 suction and filtration through a glass fiber filter. Finally, the heavy fraction is
112 resuspended and dispersed in the sodium polytungstate solution from the third step by
113 sonicating at an energy input of 1500 J g⁻¹. According to previous studies on a variety of
114 soils, this ultrasonic dispersion energy is enough to maximize the breakdown of all
115 aggregates [14] while not causing detachment of SOM from primary organomineral
116 complexes and redistribution between fractions [17]. The floating particles (intra-
117 microaggregate SOM) are recovered after centrifugation using the same procedure
118 described above for free and intra-macroaggregate SOM fractions (i.e., suction and
119 filtration through a glass fiber filter).

120 In the first step, sieves larger than 2 mm can be used to allow coarser aggregate
121 sizes. As in the procedure described by Sohi et al. [14], the shaking and especially the
122 centrifugation in this fractionation scheme may break up weak macroaggregates. The
123 set of shaking and centrifugation conditions (especially in terms of volume, speed, and
124 time) arguably define the intra-macroaggregate SOM proportion that is released during
125 this step. For sake of simplicity, we used the terms "free SOM" and "intra-
126 macroaggregate SOM" in this work, even though the terms "free SOM and SOM

127 occluded within weak macroaggregates" and "SOM occluded within strong
128 macroaggregates", respectively, would be more accurate.

129 The residual heavy organomineral fraction recovered in the last step (mineral-
130 associated SOM) can be separated into sand-, silt-, and clay-size fractions as in Sohi et
131 al. [14]. Further, organomineral fractions can be hydrolyzed as in Six et al. [3] to
132 differentiate mineral protected C versus biochemically protected C. It is noteworthy
133 that, unlike in Six et al. [3], the method reported here allows recovering the dissolved C
134 remaining in the fractionation medium and, also importantly, avoids the manual wet
135 sieving procedure to separate the mineral-associated C from the microaggregate C pool,
136 which is strongly dependent upon the operator's ability.

137 2.2 Soils

138 The protocol was tested using four replicates of two agricultural top soil samples (0-
139 20 cm) with contrasting texture (Table 1). The fractions obtained were oven-dried at 70
140 °C, weighed, and ground with a mortar. Free and intra-aggregate fractions were ground
141 with the glass fiber filters to recover fine embedded particles [14]. For the NMR
142 analysis, composite samples were prepared by mixing equal weights of the four
143 corresponding replicates of each soil and soil fraction. Composite samples of the SOM
144 dissolved during the fractionation procedure (i.e., SOM remaining in the sodium
145 polytungstate solution) were also prepared by mixing equal volumes of the four
146 replicates.

147 2.3 Analytical methods

148 Total C and N contents of soils and SOM fractions were determined using an
149 Elementar Analysensysteme (Hanau, Germany) Vario MACRO CHNS elemental
150 analyzer, inorganic C content was determined with a Shimadzu (Duisburg, Germany)
151 TOC-5000A analyzer equipped with a SSM-5000 solid sample module, and total
152 organic C content was computed as the difference between total C and inorganic C.

153 Total C, inorganic C, and total organic C remaining in the composite samples of sodium
154 polytungstate solutions after fractionation (i.e., dissolved SOM pool) were determined
155 in triplicate using a Shimadzu TOC 5000A analyzer.

156 The solid-state cross-polarization magic-angle spinning (CPMAS) ^{13}C NMR spectra
157 of soils and soil fractions were obtained on a Bruker (Billerica, MA) Avance 400 MHz
158 NMR spectrometer, operating at a frequency of 100.62 MHz. Approximately 200 mg of
159 sample was packed into a zirconium rotor of 4-mm outer diameter with a Kel-F cap.
160 The rotor spin rate was set at 5 kHz. Forty thousand scans were accumulated for each
161 sample with a pulse delay of 0.5 s and a contact time of 3 ms. The NMR free induction
162 decay signals were digitized and Fourier transformed after application of a line
163 broadening of 80 Hz. The CPMAS ^{13}C -NMR spectra were then baseline corrected and
164 integrated into the following chemical shift regions: 0-45 ppm, aliphatic C; 45-110 ppm,
165 substituted-aliphatic C, including alcohols, amines, carbohydrates, ethers, methoxyl,
166 and acetal C; 110-160 ppm, aromatic C; and 160-220 ppm, carboxyl and carbonyl C.
167 The ^{13}C chemical shifts are reported relative to adamantane.

168

169 **3 Results and discussion**

170 3.1 Recoveries, C and N contents, and C/N ratio

171 Total organic C recovery in free, aggregate, and mineral-associated pools after
172 fractionation is 94.5% for soil A and 91.6% for soil B (Table 2). The recovery rate
173 increases to 102.3% and 101.8%, respectively, when the organic C dissolved during the
174 fractionation procedure is taken into account (Table 2).

175 Of the total organic C pool, free organic C accounts for 28.8% in soil A and 37.6%
176 in soil B, intra-macroaggregate organic C for 1.9% and 11.2%, intra-microaggregate
177 organic C for 7.8% and 10.8%, and mineral-associated organic C for 56.0% and 31.9%
178 (Table 2). The lower proportion of free organic C and the higher mineral-associated, or

179 chemical protected, organic C content in soil A than in soil B indicate a larger capacity
180 for stabilization of organic materials, which may be directly related to the higher clay
181 content. Chemical stabilization capacity is believed to be mainly governed by soil clay
182 content because of the increase of specific surface area of mineral particles with
183 decreasing particle size [19, 20]. Some data previously reported in the literature also
184 show increases in physically protected organic C with increasing soil clay content [15],
185 presumably due to the role of clays in aggregation and aggregate stability and the
186 related indirect effect on enhancing C storage by occluding organic materials [20]. In
187 the current study, however, the higher proportion of intra-aggregate, or physically
188 protected, organic C is found in soil B, which has lower clay but higher total organic C
189 content. Not only clays but also organic matter is well-known to play a paramount role
190 on soil aggregation and aggregate stability [21]. Thus, the higher total organic C content
191 of soil B could be offsetting the lower clay content for promoting aggregate formation.

192 Regardless of the soil origin, total N content increases in the order intra-
193 macroaggregate SOM < intra-microaggregate SOM < free SOM < mineral-associated
194 SOM (Table 2). Free SOM and intra-macroaggregate SOM exhibit the largest C/N
195 ratios, whereas the smallest values are obtained for the mineral-associated SOM fraction
196 (Table 2). Factors that may be involved in lowering of the C/N ratio include the
197 microbial transformation of plant residue material into stable organic matter and,
198 secondly, chemical fixation of NH₃ or amines by lignin-like substances [21]. The results
199 obtained thus suggest that free and intra-macroaggregate SOM consists of less
200 decomposed plant residues than the organic material occluded within microaggregates,
201 which in turn represents a less decomposed fraction than the chemically protected SOM
202 pool.

203 3.2 Molecular structure of soil organic matter pools

204 The CPMAS ^{13}C -NMR spectra of the soil samples and of the soil fractions are
205 shown in Fig. 3, and the corresponding estimates of C distribution derived from the
206 NMR integration are reported in Table 3. The CPMAS ^{13}C -NMR spectra of the two
207 soils and the mineral-associated SOM fractions are featureless, showing very low
208 signal-to-noise ratios (Fig. 3). These results may be related to the low C content and
209 presence of paramagnetic species containing unpaired electrons (e.g., Fe^{3+}), which
210 reduce the efficiency of signal acquisition [8,11]. On the contrary, the CPMAS ^{13}C -
211 NMR spectra of the free and aggregate SOM fractions show well-resolved peaks,
212 having much higher signal-to-noise ratios (Fig. 3).

213 The most intense peaks in the CPMAS ^{13}C -NMR spectra of the free and aggregate
214 SOM fractions of the two soils examined are in the chemical shift regions between 45
215 and 90 ppm and between 10 to 45 ppm. The signals in the former region are primarily
216 attributed to O-substituted alkyl C in carbohydrates and secondarily to methoxyl C and
217 N-substituted alkyl C in proteins, whereas the signals in the latter region are due to
218 unsubstituted-aliphatic C of methyl, methylene, and methine groups [8]. Other
219 important signals are also observed in the chemical shift regions due to anomeric C (at
220 about 105 ppm), unsubstituted and alkyl-substituted aromatic C (at about 125 ppm),
221 aromatic C in phenolic groups, aromatic amines, and aromatic ethers (at about 155
222 ppm), carboxyl C (at about 175 ppm), and carbonyl C in ketonic groups (between 190 to
223 224 ppm) [8].

224 For both soils examined, with respect to the aggregate SOM fractions, the free SOM
225 pool shows a smaller content of unsubstituted-aliphatic C and higher content of
226 substituted-aliphatic C (Table 3). This most probably indicates greater presence of
227 carbohydrates and proteinaceous materials arising from undecayed plant and microbial
228 tissues. The free SOM fraction of soil A has smaller aromatic, carboxyl, and carbonyl C
229 contents than the free SOM fraction of soil B (Table 3), probably reflecting the different

230 plant species from which these fractions mainly derive (wheat vs. vine). Compared to
231 the macroaggregate SOM fractions, the microaggregate SOM fractions of both soils
232 feature larger aliphatic C content and smaller aromatic, carboxyl, and carbonyl C
233 contents.

234 It is noteworthy that, except for the free SOM, which mainly consists of undecayed
235 plant material, the differences in chemical structure between the SOM pools are quite
236 similar in the examined soils, which suggests that SOM decomposition and stabilization
237 follow a major similar biogeochemical pattern. Biologically labile substances arising
238 from plants and microorganisms, such as carbohydrates and proteins, are preferentially
239 and gradually lost during SOM decomposition and incorporation into macro- and
240 microaggregates, whereas unsubstituted-aliphatic materials, likely from lipid
241 biopolymers such as cutin and suberin, are preserved and accumulated in physically
242 protected fractions, especially in microaggregates.

243 3.3 Concluding remarks

244 The fractionation scheme described here, based on a combination of density,
245 aggregate fractionation, and sonication procedures, has proved effective to separate
246 unprotected, intra-macroaggregate, and intra-microaggregate SOM pools freed from soil
247 mineral constituents and well suited for advanced chemical characterization. Soil
248 properties, especially clay content, seem to affect the quantitative distribution of SOM
249 in the isolated SOM pools, but not their major differences in chemical composition and
250 structure, which suggests that SOM decomposition and stabilization follow a common
251 biogeochemical pattern. In particular, free organic C content is lower in the soil with
252 lower clay content, whereas mineral-associated organic C content is higher. Despite the
253 soil properties, crop, and climatic conditions, free SOM between aggregates is
254 characterized by a relatively more marked presence of undecayed organic materials and
255 biologically labile substances, such as carbohydrates and proteins. In contrast, SOM

256 occluded within aggregates, especially within microaggregates, represents a more
257 decomposed fraction, relatively enriched in unsubstituted-aliphatic materials, most
258 probably lipid biopolymers. We expect that the application of this soil physical
259 fractionation procedure to SOM research will prove especially useful for characterizing
260 SOM structures and functions, as well as for developing a better understanding of the
261 mechanisms of C stabilization and sequestration in soils under diverse management
262 scenarios.

263

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Figure 1. Fractionation scheme to isolate soil organic matter (SOM) pools unprotected and protected from decomposition by physical and chemical mechanisms. Briefly, free SOM is isolated by an initial density separation; in a second step, stable macroaggregates in the heavy fraction are broken up into stable microaggregates and intra-macroaggregate SOM, which is separated by density; finally, intra-microaggregate SOM is isolated from mineral-associated SOM by a third density separation after ultrasonic disruption.

Figure 2. Microaggregate isolator used to break up stable macroaggregates into stable microaggregates [16].

Figure 3. Solid-state cross-polarization magic-angle spinning ^{13}C nuclear magnetic resonance spectra of soil samples (A and B) before and after fractionation into free, intra-macroaggregate, intra-microaggregate, and mineral-associated soil organic matter (SOM) pools. SN is the signal-to-noise ratio.

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The authors have declared no conflict of interest.

Table 1. Designation, origin, crop, and major properties of the soil samples used in this work.

	Soil A	Soil B
Sampling site	Arganda del Rey, Madrid, Spain	Verín, Galicia, Spain
Crop	Wheat	Vine
WRB soil group ^a	Regosol	Regosol
Texture	Clay	Sandy loam
Sand (g kg ⁻¹)	423	530
Silt (g kg ⁻¹)	193	296
Clay (g kg ⁻¹)	385	173
pH (1:2 soil-to-water ratio)	8.5	5.0
Total organic C (g kg ⁻¹)	12.20	15.37
Total N (g kg ⁻¹)	1.25	1.22

^aClassification according to the International Union of Soil Sciences Working Group on the World Reference Base for Soil Resources [18].

Table 2. Free, intra-macroaggregate, intra-microaggregate, and mineral-associated organic C and total N contents of soil samples (A and B) and the corresponding C/N ratios (\pm standard error, $n = 4$). Total organic C recovery in free, aggregate, and mineral-associated pools is 94.5% for soil A and 91.6% for soil B (102.3% and 101.8% when the organic C dissolved during the fractionation procedure recovered in the sodium polytungstate solution is taken into account).

Soil organic matter (SOM) pool	Total organic C		Total N		C/N ratio	
	(g kg ⁻¹)		(g kg ⁻¹)			
	Soil A	Soil B	Soil A	Soil B	Soil A	Soil B
Free SOM	3.51 \pm 0.21	5.78 \pm 0.26	0.27 \pm 0.01	0.34 \pm 0.02	13.0 \pm 0.2	17.0 \pm 0.3
Intra-macroaggregate SOM	0.24 \pm 0.02	1.73 \pm 0.03	0.02 \pm 0.00	0.10 \pm 0.00	15.6 \pm 0.8	17.5 \pm 0.2
Intra-microaggregate SOM	0.96 \pm 0.03	1.66 \pm 0.10	0.08 \pm 0.00	0.11 \pm 0.01	12.6 \pm 0.1	15.9 \pm 0.8
Mineral associated SOM	6.83 \pm 0.21	4.90 \pm 0.29	1.15 \pm 0.04	0.79 \pm 0.04	5.9 \pm 0.1	6.2 \pm 0.4
Dissolved SOM ^a	0.94 \pm 0.01	1.57 \pm 0.01	-	-	-	-

^a Soil organic matter dissolved during the fractionation procedure (\pm standard error, $n = 3$).

Table 3. Distribution of C in the free, intra-macroaggregate, and intra-microaggregate soil organic matter (SOM) pools isolated from two different soils (A and B) as estimated from CPMAS ^{13}C -NMR integration.

SOM pool	Unsubstituted-aliphatic C		Substituted-aliphatic C		Aromatic C		Carboxyl and carbonyl C	
	$(0 < \delta \leq 45 \text{ ppm})$		$(45 < \delta \leq 110 \text{ ppm})$		$(110 < \delta \leq 160 \text{ ppm})$		$(160 < \delta \leq 220 \text{ ppm})$	
	(%)		(%)		(%)		(%)	
	Soil A	Soil B	Soil A	Soil B	Soil A	Soil B	Soil A	Soil B
Free SOM	27.5	31.9	56.8	41.6	9.2	13.6	6.5	12.8
Intra-macroaggregate SOM	30.3	34.1	43.1	41.4	14.9	13.4	11.7	11.2
Intra-microaggregate SOM	34.8	40.8	42.1	39.7	12.4	9.0	10.6	10.5



