

## **UNIVERSITI PUTRA MALAYSIA**

# OPTIMIZATION OF MATERIAL REMOVAL RATE AND SURFACE ROUGHNESS USING THE TAGUCHI METHOD

**POORIA MATOORIAN** 

FK 2008 7



## OPTIMIZATION OF MATERIAL REMOVAL RATE AND SURFACE ROUGHNESS USING THE TAGUCHI METHOD

By

## POORIA MATOORIAN

Thesis Submitted to the School of Graduate Studies, Universiti Putra Malaysia, in Partial Fulfillment of the Requirement for the Degree of Master of Science

January 2008



## **DEDICATION**

May this work commemorate my parents, who dedicated themselves to bringing me up. Through all life's sunny and rainy days, I feel you were there, which encouraged me to head up with smile. I would like to thank you in my heart, and I know you are listening.

To all my friends I thank you for the past 26 years. I cannot think of better people to be with through this dance we call life.



Abstract of thesis presented to the Senate of Universiti Putra Malaysia in fulfilment of the requirement for the degree of Master of Science

OPTIMIZATION OF MATERIAL REMOVAL RATE AND SURFACE ROUGHNESS USING THE TAGUCHI METHOD

By

POORIA MATOORIAN

January 2008

Chairman : Shamsudin Sulaiman, PhD

Faculty : Engineering

A non-conventional hybrid machining method called electrical discharge turning (EDT) process is optimized in this research. The EDT process is a suitable method to produce small components with cylindrical geometries. This process is a type of hybrid electrical discharge machining (EDM), hence the material is removed by the action of electrical discharges between the tool electrode and the workpiece. It means materials of any hardness can be removed as long as the workpiece can conduct electricity. This makes the EDT process suitable for machining hard, difficult-to-machine materials. In this process linear geometry of a tool electrode reproducing the same geometry in the rotating workpiece cylindrically. In this study, a dressed copper block (8mm × 10mm × 50mm) serving as the forming tool electrode is fixed on the work table and rotary workpiece uses the rotational motion of 4th (C) axis of the machine. The Taguchi Robust Design method was used to determine the optimum machining performance namely the highest material removal rate (MRR) and the lowest surface roughness (SR) for EDT of High Speed Steel (HSS) 5%-Cobalt. Six control factors namely, Intensity, Pulse-on time, Pulse-off time, Voltage,



Servo, and Spindle speed were considered. Based on the analysis of variance (ANOVA) all six factors were influential for MRR but for SR rotational speed did not show any influence. Intensity was the most significant factor for both response of MRR and SR. Signal to Noise (S/N) analysis was performed and optimum levels of the mentioned factors for highest MRR and the lowest SR was achieved based on the S/N ratios. Results of confirmation tests shown the improvement of MRR and SR in optimum condition were 9.17 and 6.54 dB respectively. Finally general linear regression models were derived for 95% confidence interval to predict the output response. The p-value for the used  $\alpha$ -level of 0.05 concluded that at least one of the regression coefficients is significantly different from zero and the linear predictors are not sufficient to explain the variation.



Abstrak tesis yang dikemukakan kepada Senat Universiti Putra Malaysia sebagai memenuhi keperluan untuk ijazah Master Sains

PENGOPTIMUM KADAR PENYINGKIRAN BAHAN DAN KEKASARAN PERMUKAAN MENGGUNAKAN KAEDAH TAGUCHI

Oleh

POORIA MATOORIAN

Januari 2008

Pengerusi : Shamsuddin Sulaiman, PhD

Fakulti

: Kejuruteraan

Proses memesin hibrid bukan convensional dipanggil mesin larik nyahcas elektrik (EDT) dioptimumkan dalam penyelidikan ini. Proses EDT adalah kaedah sesuai untuk menghasilkan komponen kecil bergeometri selinder. Oleh kerana proces ini adalah hibrid dari pemesinsn nyahcas elektik (EDM), suatu bahan akan dikeluarkan melalui tindakan nyahcas elektrik antara elektrod dan bahankerja. Ini bermakna bahan dari setiap kekerasan boleh dibuang selama bahan boleh mendapat bekalan elektrik. Ini menjadikan proses EDT sangat sesuai untuk pemesinan yang sukar atau bahan yang sukar untuk dimesin. Dalam proses ini geometri lelurus alat elektrod dihasilkan semula dengan geometri yang sama dalam bahan kerja berpusing tetapi berbentuk selinder. Dalam kajian ini, satu blok kuprum (8mm x 10mm x 50mm) bertugas sebagai alatan elektrod di pasang pada meja kerja dan bahan kerja berpusing menggunakan gerakan pusingan paksi ke 4 (C). Kaedah Taguchi Robust Design adalah digunakan sebagai satu pendekatan bagi mengenal pasti pengoptimum prestasi mesin untuk kadar pembuangan bahan (MRR) dan kekasaran permukaan (SR) terendah untuk EDT keluli halaju tinggi (HSS) 5%. Enam kawalan faktor iaitu,

Intensity, Pulse-on time, Pulse-off time, Voltage, Servo, dan Spindle speed; diambil kira bagi tujuan penyelidikan ini. Daripada analisis varian (ANOVA) kesemua enam faktor telah dikenalpasti mempengaruhi MRR tetapi untuk SR kelajuan pusingan tidak menunjukkan pengaruhnya. Intensity telah kelihatan sebagai faktor yang paling ketara dalam MRR dan SR. Analisis petanda kebisingan (S/N) telah dilaksanakan dan paras optimum faktor berkenaan untuk MRR tertinggi dan SR terendah telah dicapai berdasarkan nisbah S/N. Ujian pengesahan menunjukkan pembaikan MRR dan SR paras optimum adalah 9.17 dB dan 6.54 dB. Akhirnya model regresi am dihasilkan untuk 95% jurang keyakinan untuk menjangkan tindakbalas output. Disimpulkan bahawa nilai-p untuk paras-α 0.05 memberi perbezaan pekali regresi yang ketara daripada sifar dan jangkaan lelurus tidak mencukupi untuk menerangkan perbezaanya.



#### ACKNOWLEDGEMENTS

This thesis has become possible due to the generous and ongoing support of many people; it is impossible to name all of you here.

I would like to thank my supervisory committee, Professor Dr. Shamsudin Sulaiman and Assoc. Professor Dr. Megat Mohammad Hamdan Megat Ahmad, for their support and guidance, in scientific matters and beyond. If by today I know a little bit about manufacturing, about a scientific approach in general, and about the difference between good and bad science, then I learned it from you.

I would like to thank my parents. Your unfailing support and encouragement have meant more than what you will ever know. With my heart, I thank you.

Gratefully acknowledge the support by Farakoosh Sepahan R&D Co. (Engineer Ehsan Imanian). I have welcomed and enjoyed many discussions in a productive and cooperative atmosphere.

Portion of this research was sponsored by Foundation Grant of Isfahan Oil Refinery Company. I would like to thank you for your support and cooperation.

Thanks to everyone in my country who assisted in this research, especially:

- Engineer Hamid Zarepoor, Azad University of Najafabad.
- Engineer Aminollah Mohammadi, Isfahan University of Technology.
- Engineer Davood Karimi, University of Tarbiat Modarres.



I certify that an Examination Committee has met on 14 January 2008 to conduct the final examination of Pooria Matoorian on his Master of Science entitled "Optimization of Material Removal Rate and Surface Roughness Using The Taguchi Method" in accordance with Universiti Pertanian Malaysia (Higher Degree) Act 1980 and Universiti Pertanian Malaysia (Higher Degree) Regulations 1981. The Committee recommends that the student be awarded the relevant degree. Members of the Examination Committee were as follows:

## Nor Mariah Adam, PhD

Associate Professor Faculty of Engineering University Putra Malaysia (Chairman)

## Napsiah Ismail, PhD

Associate Professor Faculty of Engineering University Putra Malaysia (Internal Examiner)

## Aidy Ali, PhD

Associate Professor Faculty of Engineering University Putra Malaysia (Internal Examiner)

## Jaharah A Ghani, PhD

Associate Professor Faculty of Engineering University Kembangsaan Malaysia (External Examiner)

### HASANAH MOHD. GHAZALI, PhD

Professor and Deputy Dean School of Graduate Studies Universiti Putra Malaysia

Date:



This thesis was submitted to the Senate of Universiti Putra Malaysia and has been accepted as fulfillment of the requirement for the degree of Master of Science. The members of the Supervisory Committee were as follows:

## Shamsudin Sulaiman, PhD

Professor Faculty of Engineering University Putra Malaysia (Chairman)

## Megat Mohamad Hamdan Megat Ahmad, PhD

Associate Professor Faculty of Engineering University Putra Malaysia (Member)

AINI IDERIS, PhD

Professor and Dean School of Graduate Studies Universiti Putra Malaysia

Date: 10 April 2008



## **DECLARATION**

I hereby declare that the thesis is based on my original work except for quotation and citations, which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at UPM or other institutions.

POUT L'AD
POORIA MATOORIAN

Date:



## TABLE OF CONTENTS

			Page
DEDICAT ABSTRAC ABSTRAI	CT		ii iii v
ACKNOW		MENTS	vii
APPROVA			VIII
DECLAR	ATION		X
LIST OF	<b>TABLES</b>		xiv
LIST OF I			xvi
LIST OF A	ABBREV	IATIONS	xviii
CHAPTEI	R		
1	INTR	RODUCTION	
	1.1		1
		1.1.1 Nontraditional Machining	1
		1.1.2 Thermal Removal Process	2
		1.1.3 Electrical Discharge Machining	3
	1.2	1.1.4 EDM of Cylindrical Parts Problem Statement	4 5
		Research Objectives	6
		Scope of Study	6
	1.5	1	7
2	LITE	RATURE REVIEW	
	2.1	Introduction	9
		2.1.1 History of EDM	9
	2.2	EDM Process	11
		2.2.1 Analysis of Pulses and Gap Conditions in EDM	13
	2.2	2.2.2 Illustration of Spark and Theories	15
	2.3	EDM of Cylindrical Parts 2.3.1 Electrical Discharge Turning (EDT)	19 19
		2.3.2 Electrical Discharge Grinding (EDG)	20
		2.3.3 Wire Electrical Discharge Grinding (WEDG)	20
	2.4		21
		2.4.1 Heat Treated Materials	21
		2.4.2 Ceramic	22
		2.4.3 Modern Composite Materials	23
	2.5	Major Areas of EDM Research	24
		2.5.1 EDM Performance Measures	24
		2.5.2 Process Monitoring and Control	28
		2.5.3 Parametric Optimization	31
	2.6	Statistical Design of Experiments	36
	2.7	1	37
	2.8	Project Planning Phase	38



		2.8.1 Step 1: State the Problem(s) 2.8.2 Step 2: Selecting Response Variable(s) and	39
		Measurement System	
		2.8.3 Step 3: Selecting Factors that may Influence	39
		Response(s)	
		2.8.4 Step 4: Identify Control and Noise Factors	4(
	2.0	2.8.5 Step 5: Select Levels for the Factors	4(
	2.9	1 2	4(
	2.10	1 &	41
	2 11	2.10.1 Randomization Strategy	4]
	2.11	Step 8: Analysis and Interpretation of Results	42 42
		2.11.1 Analysis of Variance (ANOVA) 2.11.2 S/N Ratio (signal to noise analysis)	42
		2.11.2 S/N Ratio (signal to hoise analysis) 2.11.3 Regression Analysis	48
	2 12	Confirmation Tests (Verification of study)	5(
		Discussion	50
	2.13	Discussion	30
3		ERIAL AND METHODS	
		Introduction	53
	3.2	Process Planning	55
		3.2.1 Variable Parameters	56
		3.2.2 Constant Parameters	60
		Response	63
		Design of Experiments	63
	3.5	Experimental Setup and Tests	65
		3.5.1 Tool Material	66
		3.5.2 Workpiece Material	67
		Measuring of MRR	69
		Measuring of SR	70
		Analysis	71
	3.9	Confirmation Tests	71
4	RESU	ULTS AND DISCUSSION	
	4.1	Introduction	72
	4.2	Analysis of Data for MRR	72
		4.2.1 Discussion of MRR Results	80
	4.3	Analysis of Data for Surface Roughness	85
		4.3.1 Discussion of Surface Roughness Results	91
5	CON	CLUSIONS AND RECOMMENDATIONS	
3		Conclusions	96
	5.2	Recommendation for Further Studies	97
	·	2.000	,
REFEREN	<b>ICES</b>		99
APPENDI	CES		105
BIODATA	OF THE	E AUTHER	142
LIST OF P			143
~ 1			



## LIST OF TABLES

Гable		Page
2.1	Summary of the literature on EDM optimizations	51
3.1	Table of variable factors	57
3.2	Table of constant parameters	60
3.3	Experimental layout of the test runs	64
3.4	Chemical composition and material properties of workpiece material	68
4.1	Experimental MRR data	73
4.2	Table of ANOVA for material removal rate	75
4.3	Significance level of variation parameters	75
4.4	ANOVA for verification of regression significance	77
4.5	Table of coefficients for regression analysis	77
4.6	S/N ratios for MRR	78
4.7	Optimum factor levels for MRR	78
4.8	Table of ANOVA for S/N ratio	79
4.9	Results of confirmation tests for MRR	79
4.10	Experimental SR data	85
4.11	Table of ANOVA for surface roughness	87
4.12	Significance level of variation parameters	87
4.13	ANOVA for verification of regression significance	88
4.14	Table of coefficients for regression analysis	89
4.15	S/N ratios for SR	89
4.16	Optimum factor levels for R <sub>a</sub>	90
4.17	Table of ANOVA for S/N ratio	90



4.18	Results of confirmation tests for R <sub>a</sub>	91
B.1	Experimental design terminology	113
B.2	Standard orthogonal arrays	121
B.3	L <sub>8</sub> orthogonal array and it's interaction Table	120
C.1	Two-level Orthogonal array Selection	130
C.2	Tree-level Orthogonal array Selection	130
C.3	Two-level Orthogonal Array Factor Assignment	13
C.4	Three-level Orthogonal Array Factor Assignment	13



## LIST OF FIGURES

Figure		Page
1.1	Summery of Nontraditional Machining Methods	2
1.2	Microcracks Appear in the Recast Layer	3
2.1	The Basic RC Relaxation Circuit	9
2.2	Schematic Illustration of Electrical Discharge Machining	11
2.3	Four Types of Gap Conditions in EDM	13
2.4	Evolution of a Single Spark in the EDM Process	17
2.5	EDM Process Variants for Cylindrical Parts	19
2.6	Electrode Shape Recovery During One Layer Machining	26
2.7	Visual illustration of R <sub>a</sub>	27
2.8	General Model of a Process or System	36
3.1	Methodology Flowchart of This Research	54
3.2	Components of Under Study System	56
3.3	ONA H300 EDM Machine	65
3.4	Schematic Illustration of Experimental Setup	66
3.5	Tool Electrode Made From Copper	67
3.6	Illustration of Conducted Tests	68
3.7	Sartoriuse LA230S, Used to Measure MRR	69
3.8	Mahr Perthometer M2 Used to Measure R <sub>a</sub>	70
4.1	Plot of Residuals Versus the Fitted Values	73
4.2	Normal Probability Plot of Residuals	74
4.3	Plot of Residuals Versus the Fitted Values	86
44	Normal Probability Plot of Residuals	86



<b>A</b> .1	Discrete and Continuous Probability Distributions	106
A.2	Normal Probability Distribution	108
A.3	Several Chi-square Distributions	109
B.1	Taguchi Quadratic Loss Function	118
B.2	Typical Interaction Plot	124
B.3	Two Standard Linear Graphs of L <sub>8</sub>	127
D.1	Plot of Main Factor-effects on MRR	132
D.2	Two-Factor Effects Versus MRR	136
D.3	Plot of Main Factor-effects on Ra	137
D 4	Two-factor Effects Versus R	141



## LIST OF ABBREVIATIONS / GLOSSARY OF TERMS

ANOVA Analysis of Variance

AWG Abrasive Water Jet

CBN Cubic Boron Nitride

CNC Computer Numerical Control

DOE Design of Experiment

DOF Degree of Freedom

EDG Electrical Discharge Grinding

EDM Electrical Discharge Machining

EDT Electrical Discharge Turning

EWR Electrode Wear Ratio

FFEs Fractional Factorial Experiments

GA Genetic Algorithm

HAZ Heat Affected Zone

HB Higher is Better

HF High Frequency

HMP Hybrid Machining Process

HRC Hardness Rockwell C

HSS High Speed Steel

LB Lower is Better

MMC Metal Matrix Composite

MRM Material Removal Mechanism

MRR Material Removal Rate

NB Nominal is Best



OA Orthogonal Array

QC Quality Control

RC Resistor Capacitor circuit

RF Radio Frequency

S/N Signal to Noise ratio

SF Surface Finish

SQ Surface Quality

SR Surface Roughness

SS Sum of Squares

TWP Tool Wear Process

TWR Tool Wear Ratio

WEDG Wire Electrical Discharge Grinding

WEDM Wire Electrical Discharge Machining

WEDT Wire Electrical Discharge Turning



#### **CHAPTER 1**

#### INTRODUCTION

## 1.1 Background of Electrical Discharge Machining (EDM)

## 1.1.1 Nontraditional Machining

The term nontraditional machining refers to a variety of thermal, chemical, electrical and mechanical material removal processes. The impetus for development of nontraditional machining methods has come from the revolution in materials, the demand for new standards of product performance and durability, the complex shapes of products engineered for specific purposes, and consideration of tool wear and economic return. It have also been developed to satisfy the trend toward increased precision and to create improved surface conditions (Walker, 1996). The requirements, and the resulting commercial and technological importance of the nontraditional processes, include:

- The need to machine recently developed metals and nonmetals. These new
  materials often have special properties (high strength, high hardness, and high
  toughness) which make term difficult or impossible to machine by
  conventional methods.
- The needs for unusual and/or complex part geometries that cannot easily be accomplished and in some cases are impossible to achieve by conventional machining.



3. The need to avoid surface damage that often accompanies the stresses raised by conventional machining (Groover, 2002).

Many of these requirements are associated with the aerospace and electronics industries, which have grown significantly in recent decades. Nontraditional machining methods are divided into three classifications: Thermal removal – Dissolution and Mechanical abrasion (Illustrated by Figure 1.1).

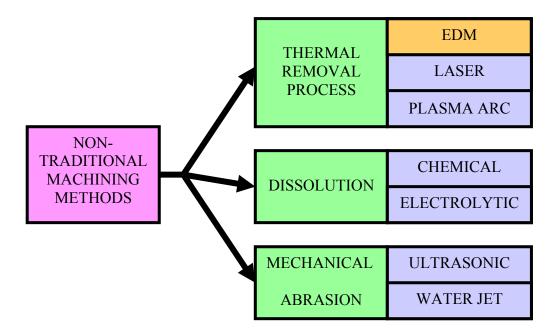


Figure 1.1: Nontraditional Machining Methods (Walker, 1996)

## 1.1.2 Thermal Removal Process

In thermal removal processes high-intensity heat is focused on a small area of the workpiece, causing it to be melted and vaporized. In all of the thermal removal processes not all of the material removed is vaporized but much of that is melted. Most of the melted material is expelled from the cut by the turbulence of the adjacent vaporization or by the flow of an "assist fluid" used in the process. Some material



remains and resolidifies on the surface and cooling rapidly as heat is transferred to the subsurface material. The remaining "recast layer" is likely to have microcracks and residual tensile surface stresses, encouraging those cracks to widen when the material is fatigued. Beneath the recast layer, there is typically a "heat-affected zone" where the material's grain structure may have been altered (see Figure 1.2). One kind of thermal removal process is electrical discharge machining (EDM). In EDM, sparks between the electrode and the workpiece perform the material removal process (Walker, 1996).

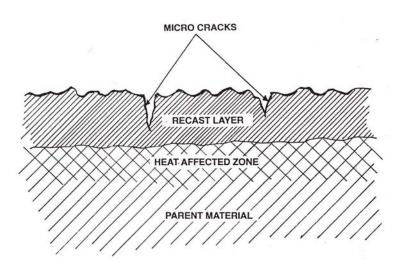


Figure 1.2: Microcracks Appear in the Recast Layer (Walker, 1996)

## 1.1.3 Electrical Discharge Machining

The principle of electrical discharge machining (EDM), also called electrodischarge or spark-erosion machining, is a non-traditional manufacturing process based on removing material from a part by means of a series of recurring electrical discharges (created by electric pulse generators at short intervals) between a tool called electrode and the workpiece in the presence of a dielectric fluid. This fluid makes it



possible to flush eroded particles (mainly in the form of hollow spheres) from the gap, and it is really important to maintain this flushing continuously (Kalpajian and Schmid, 2003).

There were a number of problems faced when mathematical modeling of the EDM process was done. The gap pollution, the hydrodynamic and thermodynamic behavior of the working fluid was hard to model. Getting a model in all with practical technological results was difficult. This inability along with the high demand from the market lead to a more pragmatic and application oriented research into the EDM process.

## 1.1.4 EDM of Cylindrical Parts

Today's industries especially electrical and aerospace need to produce rotary components, with high precision and high surface hardness, associated with critical application of them. To achieve these requirements, using traditional turning (mechanical material removal) process, to form the workpiece which is hardened in terms to high dimensional precision is not easy and sometimes impossible. Further more, high temperature of mechanical material removal will cause surface structure to be changed; it means workpiece has lost its surface texture (Boothroyd and Knight, 1989). Also a reverse implementation, hardening workpiece next to the machining process will cause failure on dimensional precision as it has been expected (Chanter, 1998). Since, producing small-cylindrical components through the conventional processes, applies a large amount of forces against the workpiece, therefore a probably deformation might be occurred, or sometimes approaching



satisfactory dimensional precision will be impossible. Spark-Erosion Machining is one of the convenience methods which can cover mentioned problems in both normal and micro dimensions (Qu, 2002 and Pham et al., 2007).

#### 1.2 Problem Statement

Electrical discharge machining is one of the most useful non-conventional machining methods in industry. As mentioned in section 1.1.3 this process is mostly used in die and mold industry but there are some hybrid machining processes based on EDM such as wire EDM, ultra sonic EDM, powder mixed EDM and so on which individually are kind of processes used for specific applications. As mentioned in section 1.1.4 electrical discharge turning process is a new approach in EDM process for shaping rotary, complex shaped and high-precision products suitable for hard to machine materials (Uhlmann, 2005) suitable for aerospace and engine industry such as servo actuators of helicopter, diesel injector plunger, engine valve, turbo-charger shaft and hydraulic pump actuator. High speed steel (since is a high resistant material) is one of the functional materials for these application and among usual tool materials pure copper is the most suitable electrode for erosion of any kind of steel (ONA H300, 2003). Two quality characteristics namely material removal rate (MRR) and surface roughness (SR) are the most important performance measures for all EDM processes (Qu, 2002). After material properties of tool and work piece these quality characteristics greatly depends on the machining parameters (McGeough, 1988). Usually the desired machining parameters are determined based on experiences or handbook values. However, this does not ensure that the selected machining parameters, results in optimal or near optimal machining performance



measures for that particular electrical discharge machine and environment (Lin et al., 2000; Tzeng and Chen, 2007). Therefore in this study the optimization of electrical discharge turning process includes machining parameters for optimum quality characteristics such as MRR and SR will be carried out in EDM environment of ONA technology. According to literature survey summarized in table 2.1 Taguchi Robust design method is proposed to solve this task.

## 1.3 Research Objectives

To accomplish this goal in the specific range of parameters of interest, the following objectives will be considered:

- To consider the significance of Intensity, Pulse durations (on-off), Ionization voltage, Servo and Rotational speed on material removal rate of EDT process and surface roughness of the EDT products.
- 2. To explore possible way of parameter adjustment to achieve the lowest surface roughness and the highest material removal rate.

## 1.4 Scope of Study

This study involves optimizing electrical discharge turning parameters and ranges namely: intensity (3 to 5A), pulse-on time (50 to 300 µs), pulse-off time (20 to 180 µs), voltage (80 to 160 V), servo (30 to 60 V) and spindle speed (15 to 40 RPM) in terms to highest MRR and lowest SR. The developed machining setup is innovated for applying on 4-axis EDM machine. Note that for this study surface roughness less

