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### 1 A POLYPHASIC APPROACH TO THE STUDY OF THE GENUS NITZSCHIA

# 2 (BACILLARIOPHYTA): THREE NEW PLANKTONIC SPECIES FROM THE ADRIATIC

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- 28 Running title: Adriatic *Nitzschia* species

#### 29 Abstract

30 The paraphyletic diatom genus Nitzschia comprises over 1000 morphologically distinct pennate taxa, known from the benthos and plankton of freshwater, brackish and marine 31 environments. The principal diagnostic characters for delimitation of Nitzschia species 32 include valve shape, the position and structure of the raphe, presence/absence and shape of the 33 proximal raphe endings and terminal raphe fissures, areola structure, and specific 34 35 morphometric features such as cell size, and stria and fibula density. In this study, we isolated 12 diatom strains into culture from samples collected at the surface or greater depths of the 36 southeastern Adriatic Sea. Morphological analyses included LM, SEM and TEM 37 38 observations, which, along with specific morphometric features, allowed us to distinguish 39 three new Nitzschia species. These findings were congruent with the results of phylogenetic analyses performed on nuclear-encoded SSU (18S) rDNA and chloroplast-encoded rbcL and 40 41 psbC genes. One of the new species (Nitzschia dalmatica sp. nov.) formed a lineage within a clade of Bacillariaceae containing members of the Nitzschia sect. Dubiae, which was sister to 42 Psammodictyon. A second lineage was part of a novel clade that is significantly distinct from 43 other Nitzschia species sequenced so far and includes Nitzschia adhaerens sp. nov. and N. cf. 44 45 adhaerens. A further new species was found, Nitzschia inordinata sp. nov., which appeared as 46 the sister group to the N. adhaerens clade and the conopeoid Nitzschia species in our phylogenetic trees. Our findings contribute to the overall diversity of genus Nitzschia, 47 especially in identifying some deep branches within the Bacillariaceae, and highlight under-48 49 scoring of this genus in marine plankton. 50

51 Key index words: Adriatic Sea, diatoms, morphology, *Nitzschia*, phylogeny, phytoplankton
52 Abbreviations: *rbcL*, ribulose–1,5–bisphosphate

53 carboxylase/oxygenase large subunit; *psbC*, photosystem II CP43 protein; ML, maximum

54 likelihood; BI, Bayesian inference; BPP, Bayesian posterior probability; BS, Bootstrap
55 Introduction

Diatoms (Bacillariophyta) are mostly photoautotrophic, unicellular, eukaryotic, 56 heterokont, algae with a uniquely ornamented siliceous cell wall. They inhabit both the 57 plankton and benthos of marine, brackish, and freshwater habitats worldwide. Diatom 58 biodiversity is huge, though there have been different estimates of species numbers (e.g. 59 60 ~100,000 species according to Mann and Vanormelingen 2013; 12,000 described and 8,000 yet to be described species estimated by Guiry 2012), and numerous new taxa are described 61 every year. Introduction of molecular methods into diatom research, such as in specific gene 62 63 phylogenies or metabarcoding studies using 18S rDNA or chloroplast-encoded rbcL, has 64 definitely helped to enlarge our knowledge of diatom diversity (Nealson and Venter 2007, Agusti et al. 2015, de Vargas et al. 2016, Ruck et al. 2016, Dabek et al. 2017, Mejdandžić et 65 66 al. 2018, Lobban et al. 2019, Rimet et al. 2019, etc.).

The taxonomically intriguing and diverse genus *Nitzschia* is the second largest diatom 67 genus, with approx. 1500 species described so far, the largest genus being Navicula (although 68 the total for this genus is artificially high since many of its species are already known to need 69 70 transfer elsewhere). Morphologically, Nitzschia is recognized by cells living individually or in 71 colonies, with linear or lanceolate (more rarely broadly elliptical), not infrequently sigmoid cells, and a more-or-less transapically displaced (rarely almost central) keel (raphe canal) 72 supported by siliceous bridges (fibulae) (Hustedt 1930). Most Nitzschia cells have two 73 74 plastids, one in each half of the cell (in a 'fore and aft' arrangement). Phylogenetic analyses have shown Nitzschia to be paraphyletic, with species of other genera -75 Bacillaria, Cylindrotheca, Cymbellonitzschia, Denticula, Fragilariopsis, Hantzschia, 76 Psammodictyon, Pseudo-nitzschia, Simonsenia and Tryblionella – nested within it (e.g. 77

78 Lundholm et al. 2002, Rimet et al. 2011 [in their maximum likelihood analysis of SSU rDNA

aligned by Clustal], Stepanek et al. 2016, Witkowski et al. 2016, Carballeira et al. 2017). At 79 80 first, the family or order (Bacillariaceae or Bacillariales) comprised by these genera appeared to be monophyletic (references as above and Ruck and Theriot 2011) but more recent studies 81 (e.g. Ashworth et al. 2017, Lobban et al. 2019) have suggested that the Bacillariales might be 82 83 paraphyletic, also containing the genera *Craspedostauros*, *Staurotropis* and *Achnanthes*; these genera lack fibulae and differ from traditional Bacillariales in several other aspects of 84 morphology, including raphe position and structure, and areola structure. Introducing more 85 sequences into phylogenies can often change the placements of certain genera and/or species, 86 and it is therefore important to expand our molecular sampling effort to bridge our knowledge 87 88 gaps regarding taxonomy. Moreover, polyphasic approaches combining morphology and phylogeny have been fruitful in descriptions of several novel Bacillariales species, mostly of 89 Pseudo-nitzschia and Nitzschia (Lundholm et al. 2002, Quijano-Scheggia et al. 2009, Smida 90 91 et al. 2014, Witkowski et al. 2016, Carballeira et al. 2017, Barkia et al. 2019, Lobban et al. 2019). 92

Nitzschia is ubiquitous, occupying freshwater, brackish and marine habitats (e.g. Cleve 93 and Grunow 1880, Lange-Bertalot et al. 2017, Lobban et al. 2019). In freshwater lakes, 94 95 Nitzschia can be common in the phytoplankton, especially in East African lakes (Sitoki et al. 96 2013, Grady et al. 2020). Most of these Nitzschia species are needle-shaped (N. lacustris, N. bacata, N. nyassensis, N. kavirondoensis, N. rusingae, N. fenestralis, N. aequalis, N. 97 *mediocris*, etc.), and some of them can comprise >30% or even 100% of the total diatom 98 99 community (Sitoki et al. 2013, Grady et al. 2020). In marine phytoplankton, Nitzschia has historically been camouflaged within a category of 'small pennate diatoms'. Among these are 100 101 a number of small, single-celled, more or less bicapitate *Nitzschia* species (including N. bicapitata, N. curvilineata, N. bifurcata, N. braarudii, N. capitata, N. ikeanae, N. reimersenii, 102 N. schaunslandii and N. subinflata (Kaczmarska et al. 1986, Lee and Fryxell 1996)), which 103

can reach very high relative abundances sometimes accounting for 60%, 70% or even 90% of
the total number of diatom cells (Semina and Mokeeva 1994). These bicapitate *Nitzschia*species vary in their size and shape and have been recorded in equatorial and subantarctic
regions, including the coasts of West Africa, the Gulf of California, the Indian Ocean and the
North Atlantic (Hustedt 1958, Hasle 1960, 1964, Simonsen 1974, Kaczmarska and Fryxell
1986, Kaczmarska et al. 1986).

110 The Adriatic Sea is an enclosed basin in the northernmost Mediterranean Sea, characterized by extreme oligotrophy. It is divided bathymetrically into three areas: the 111 shallow North, shallow to deep Middle and deep South Adriatic Sea (Gačić et al. 2001, 112 113 Poulain 2001). The South Adriatic represents a physically dynamic habitat, in which 114 phytoplankton thrives in seasonal blooms and diatom cells sink and enrich deep water column layers with carbon (Batistić et al. 2012, Bosak et al. 2016). Research on Nitzschia in the 115 116 Adriatic Sea has been scarce, especially in marine plankton, where most of the studies have focused on regularly blooming, potentially harmful, toxin-producing species of the genus 117 Pseudo-nitzschia (Burić et al. 2008, Ljubešić et al. 2011, Marić et al. 2011, Penna et al. 2012). 118 One Nitzschia species that has been reported as 'blooming' in the oligotrophic waters of 119 120 South Adriatic Sea is *N. sicula*, aggregating on (mini) faecal pellets of microzooplankton Nauplii; it was recorded in high abundances of 14,000–19,000 cells  $L^{-1}$  (Viličić et al. 1994). 121 The three new species reported in this paper -N. adhaerens, N. dalmatica and N. 122 inordinata – were found in plankton of the southeastern Adriatic Sea (Croatian coastal and 123 open waters), with frequencies of occurrence of 20%, 5% and 22%, respectively, among the 124 65 samples counted (unpublished data from BIOTA [Bio-tracing Adriatic Water Masses] 125 2016 cruise). The aim of this study is to classify and describe the three new Nitzschia species, 126 using a polyphasic approach combining extensive morphological and phylogenetical analyses. 127 By using both light and electron (scanning and transmission) microscopy and constructing a 128

phylogeny based on three genes – nuclear SSU rDNA (further on SSU) and plastid-encoded *rbcL* and psbC – this study aligns with recent research on raphid diatoms, and contributes to the phylogeny of the genus *Nitzschia* and other genera positioned within the Bacillariales.

#### 133 Materials and methods

#### 134 *Culture establishment*

Samples containing Nitzschia cells were collected during the BIOTA (Bio-tracing 135 Adriatic Water Masses) project in March 2016 at four stations in the southeast Adriatic Sea: 136 P150 (42° 32' E 17° 59'); P300 (N 42°27' E 17°55'); P600 (N 42°24' E 17°55') and P1000 (N 137 42°20' E 17°49'). Samples were taken with phytoplankton nets (20 µm pore-size mesh) or 5-L 138 Niskin bottles. Those collected with Niskin bottles were taken at various depths (30, 100, 250 139 and 400 m) filtered through 20 µm nitrocellulose and 3 µm polycarbonate filters. 140 141 Phytoplankton net samples were taken by dragging the net vertically from 20 m depth to surface. Both phytoplankton net and seawater samples were immediately inoculated into 0.22-142 143 µm filtered seawater taken from the collection site and enriched with f/2 nutrients (Guillard's f/2 Marine Water Enrichment Solution, Sigma–Aldrich, United Kingdom). Upon returning to 144 the laboratory, xenic monoclonal cultures of 12 different strains (PMFBION1, PMFBION2, 145 146 PMFBION3, PMFBIONA1, BIOTAII-3, BIOTAII-18, BIOTAII-23, BIOTAII-44, BIOTAII-59, BIOTAII-60, BIOTAII-74 and BIOTAII-84) were isolated by micropipette under the light 147 microscope (Olympus CKX41, Olympus, Tokyo, Japan). Strains were maintained in plastic 148 culture flasks (Jet Biofil ®, China) in 30 mL of f/2 liquid medium and transferred weekly 149 through a period of 4 months. Culture conditions were: temperature 18–19°C, a light intensity 150 of 30  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> and a photoperiod of 16h:8h of light and dark. 151

152 *Type designation* 

Holotype slides of representative strains for each described species are deposited in the
Croatian National Diatom Collection, University of Zagreb, Faculty of Science, Zagreb,
Croatia under accession numbers with herbarium acronym 'HRNDC' (Thiers 2020). Isotype
slides have been deposited at the Royal Botanic Garden Edinburgh, Edinburgh, UK, as
Diatom Collection slides under accession numbers with herbarium acronym 'E' (Thiers
2020). Designated strains and full accession numbers are given after species description. *Microscopy*

Cultures were treated to remove the organic matter from diatom frustules using 160 Simonsen's cleaning method (Simonsen 1974, Hasle 1978). In this, formaldehyde-fixed (final 161 162 conc. 4%) and sedimented samples of cultures collected during a 4-month period of growth (approx. 5 mL) were first rinsed with distilled water, followed by addition of an equal amount 163 of saturated KMnO<sub>4</sub> (or diluted 50%) for oxidation of organic matter and allowed to react for 164 165 24 h. The next day an equal amount of concentrated HCl was added, gently heated over an alcohol burner flame, and then rinsed with distilled water five times until the solution reached 166 neutral pH. Permanent slides were prepared by drying cleaned material on coverslips and 167 mounting in Naphrax (Brunel microscopes, Chippenham) following Hasle (1978). Light 168 169 microscopy was performed with a Zeiss Axio Imager A2 light microscope (Carl Zeiss, 170 Oberkochen, Germany) equipped with DIC and phase contrast, combined with an Axiocam 305 camera, or with an Olympus BX51 microscope (Olympus, Tokyo, Japan). Permanent 171 slides chosen for holotype materials of new species are deposited in the Croatian National 172 173 Diatom Collection, University of Zagreb, Faculty of Science, Croatia, while isotypes are deposited at Diatom Collection, Royal Botanic Garden Edinburgh, Edinburgh, United 174 175 Kingdom (herbarium abbreviation E).

For SEM, parts of the oxidized suspensions were filtered and rinsed with deionized
water through a 3-μm Isopore<sup>TM</sup> polycarbonate membrane filter (Merck Millipore); the filters

were mounted on aluminium stubs and coated with platinum using a BAL-TEC MED 020 178 179 Modular High Vacuum Coating System for 30 s at 100 mA. An ultra-high-resolution analytical field emission Hitachi SU-70 scanning electron microscope (Hitachi High-180 Technologies Corporation, Tokyo, Japan) was used for the analysis, operated at 5 kV and with 181 10 mm working distance. When needed, specimens were tilted to 35° inclination. SEM 182 images were taken using the lower (SE-L) secondary electron detector signal. For TEM, 183 184 cleaned material was directly deposited onto Formvar-carbon-coated copper grids, air-dried, and examined with a FEI Morgagni 268D microscope (Eindhoven, The Netherlands). The 185 general diatom terminology used for the morphological descriptions follows Ross et al. 186 187 (1979), Hustedt (1930) and Round et al. (1990).

188 DNA isolation, PCR amplification and sequencing

Genomic DNA was isolated from 50 mL of cell cultures obtained in the exponential 189 190 phase of growth using the DNeasy Plant Mini Kit (Qiagen) according to the manufacturer's instructions. The purity of the extracted DNA was assessed with the NanoDrop<sup>™</sup> 191 spectrophotometer (BioSpec-nano [Shimadzu]). The nuclear gene (18S rDNA) and two 192 chloroplast-encoded genes (*rbcL*, *psbC*) were amplified using the EmeraldAmpMax PCR 193 Master Mix<sup>©</sup> (Takara Bio, USA) following the PCR protocol described in Ruck and Theriot 194 195 (2011). When necessary, a nested PCR reaction was done with PCR product from the first reaction as the template for the second reaction. The primers used for amplification are listed 196 in Table S1 in the Supporting information. PCR products were visualized in a 1% agarose gel 197 and then purified with Macherey-Nagel NucleoSpin® Gel and PCR Clean-up kit (Macherey-198 Nagel, Düren, Germany). The purified products were sent for Sanger sequencing (Macrogen<sup>®</sup>, 199 Amsterdam, the Netherlands). All sequences were checked and paired (5'-3' and 3'-5' ends)200 using Sequencher 4.1.4 (Gene Code Corporation, Ann Arbor, Michigan, USA). Blast analysis 201 was done for all sequences with the blastn tool available at 202

<u>http://blast.ncbi.nlm.nih.gov/Blast.cgi</u> and 30 sequences belonging to 11 Adriatic *Nitzschia*strains were deposited in GenBank (accession numbers available in Appendix S1 in the
Supporting information).

206 Multiple sequence alignment and phylogeny inference

A total of 340 taxa were included in the phylogenetic analyses, of which 162 belonged 207 to the genus Nitzschia. Four separate datasets were defined and analysed: (1) a concatenated 208 209 alignment of nuclear-encoded SSU and chloroplast-encoded rbcL and psbC for 67 taxa; (2) a concatenated SSU and *rbcL* alignment (169 taxa); (3) a single-gene *rbcL* alignment (340 210 taxa); and (4) a single-gene psbC alignment (70 taxa). The sequences used, with voucher 211 212 strain information and GenBank, Thonon Culture Collection, and BOLD accession numbers, 213 are listed in datasheet Appendix S1 in the Supporting information. Eunotia was selected for the outgroup, since it represents the group of diatoms sister to all other raphids, together with 214 215 selected species of Diploneis, Amphora, Pleurosigma, Trachyneis, which are members of the likely sister group of the Bacillariales-plus clade (defined as all Bacillariales taxa plus 216 217 Craspedostauros, Staurotropis, Achnanthes and Undatella) (sources of phylogenetic data on raphid diatoms included Stepanek and Kociolek 2014, Witkowski et al. 2016, Ashworth et al. 218 2017, Lobban et al. 2019). Alignment of 18S rDNA was done with ssu-align software 219 220 (Version 0.1.1; eddylab.org/software/ssu-align, © 2016 Howard Hughes Medical Institute; Nawrocki 2009) following the default settings for aligning sequences according to eukaryotic 221 SSU secondary structure and masking poorly aligned and unsupported parts of the alignment. 222 223 Chloroplast-encoded *rbcL* and *psbC* genes were aligned based on their conceptual translations into amino acid sequences in Mesquite (Version 3.04; Maddison and Maddison 2015). The 224 225 alignments are available at https://zenodo.org/record/1322635. Phylogenetic analyses of each dataset first included identification of an appropriate 226

227 model of nucleotide substitution and rate variation across sites using a model selection routine

available in the IQ-TREE v. 1.5.5. (Nguyen et al. 2015). In addition, we performed a 228 229 partition-merging procedure that joined two or more alignment partitions when the merge did not incur a substantial cost to the model likelihood. Model and partition selection were done 230 using the Bayesian information criterion (BIC), which penalizes for the number of parameters 231 in a model. The initial partition models split the single-gene alignments into codons, and the 232 concatenated alignments were split first into genes and then into codons. Phylogenies were 233 234 reconstructed using maximum likelihood (ML) and Bayesian inference (BI) in IQ-TREE (Nguyen et al. 2015) and MrBayes v. 3.2.6. (Ronquist et al. 2012), respectively. We 235 performed a total of 200 ML optimizations, 50 for each single-gene alignment and 50 for 236 237 concatenated matrices, and finally chose the one with smallest BIC score as the 'best' tree 238 (treefiles available at https://zenodo.org/deposit/1322635). ML optimizations were performed under default settings in IQ-TREE, each starting from a different random seed number, i.e. 239 240 different point in parameter space, for a more exhaustive search of the likelihood surface. We varied the strength of perturbation of the nearest neighbour interchange during tree 241 242 rearrangement, repeating the optimization many times, which is helpful for avoiding local optima during the likelihood optimization (Nguyen et al. 2015). Clade support was assessed 243 244 using IQ-TREE's UltraFast bootstrap routine (Minh et al. 2013) with 1000 pseudoreplicates. 245 Bayesian analyses were carried out in the same fashion for each dataset, with the best set of partitions as identified by IQ-TREE, but with different parametrization for the 246 substitution rate matrix. Instead of the models identified as optimal by IQ-TREE, we used the 247 248 Generalized Time-Reversible model (GTR). Among-site rate variation in MrBayes was accommodated via a  $\Gamma$  distribution with four rate categories and by estimating the proportion 249 250 of invariant sites. We ran four simultaneous Markov chain Monte Carlo (MCMC) simulations, each composed of one cold and three heated chains, for a total of 10 million 251 generations with a sampling frequency of one thousand generations. Stationarity and 252

convergence among the MCMC runs were assessed from the MrBayes output (standard
deviation of split frequencies and potential scale reduction factor) and by inspecting the
posterior distributions in the program Tracer v. 1.6. (Rambaut and Drummond 2007). The
burn-in fraction was 25% of the sampled posterior distributions. Majority rule phylograms of
the post-burn-in distributions of four MrBayes runs available as .tre files are at
https://zenodo.org/record/1322635.

- 259
- 260 **Results**
- 261 *Phylogeny of three new* Nitzschia species

262 All of the Bayesian inference and Maximum Likelihood (BI/ML) trees generated from all four datasets (concatenated SSU+*rbcL*+*psbC*, concatenated SSU+*rbcL*, and single-gene 263 *rbcL* and *psbC* datasets) recovered *Nitzschia* as paraphyletic, spread out among other 264 265 Bacillariales (Bacillaria, Cylindrotheca, Denticula, Hantzschia, Psammodictyon and Tryblionella) (Fig. 1, A and B; Figs. S1–S3, A and B). The Bacillariales-plus clade (B-plus), 266 consisting of Bacillariales together with Achnanthes, Craspedostauros, Staurotropis and 267 Undatella was recovered as monophyletic, supported with high Bayesian posterior probability 268 269 value/Bootstrap values (BPP/BS) = 1/95 in the three-gene (SSU+*rbcL*+*psbC*) phylogeny (Fig. 270 1 A and B); node support in phylogenies constructed with two or one genes, therefore based on fewer nucleotide positions, decreased from SSU+*rbcL* to *rbcL*, and further to the *psbC* 271 phylogeny (BPP/BS = 0.85/91, 0.84/63 and 0.81/62, respectively: Figs. S1-S3 A and B). 272 273 Genera outside the Bacillariales-plus clades (Amphora, Diploneis, Pleurosigma and Trachyneis) showed different positioning in respect to the Bacillariales-plus clade. In the 274 SSU+*rbcL* and *rbcL* phylogenies all of these genera were outside the Bacillariales-plus clade, 275 while in the *psbC* phylogeny some *Amphora* taxa were nested within the Bacillariales-plus 276 clade (Fig. S3 A and B). Eunotia (Eunotiales) was the monophyletic sister to other raphids, as 277

expected from previous analyses (see Introduction) and served as an outgroup with

279 BPP/BS=1/100 (Fig. 1 A and B; Figs. S1–S3 A and B).

Within the paraphyletic genus Nitzschia, the 10 new isolates from the Adriatic Sea 280 were clearly separated from all previously sequenced taxa and formed three clades that were 281 widely separated in the phylogeny: the three new species are Nitzschia dalmatica sp. nov. 282 (strains PMFBIONA1, PMFBION3, BIOTAII-74 and BIOTAII-84), Nitzschia adhaerens sp. 283 284 nov. (strains PMFBION1, PMFBION2, BIOTAII-18, BIOTAII-59 and BIOTAII-60) and *Nitzschia inordinata* sp. nov. (strain BIOTAII-44) (Fig. 1 A and B; Figs. S1–S3 A and B). 285 The *N. dalmatica* clade (four strains) was monophyletic with BPP/BS = 1/100, and 286 287 sister to Nitzschia sp. strain UTKSA0111 (strain information given in Appendix S1; BPP/BS 288 = 1/100) in all datasets (Fig. 1 A and B; Figs. S1–S3 A and B). These two species branched off within a 'dubiiformis' group that (using information from all the trees included here) 289 290 contains N. dubiiformis, N. traheaformis, N. pellucida and N. dubia, as well as some unidentified *Nitzschia* species (Figs. S1, S2). The combined *dalmatica* + '*dubiiformis*' group 291 was resolved as monophyletic in the SSU+rbcL dataset (BPP/BS = 1/97, Fig. S1 A and B) and 292 sister to *Psammodictyon* (BPP/BS = 1/100, Fig. S1 A and B). The same was found in the BI 293 294 tree of the *rbcL* dataset, but the ML tree did not resolve the '*dubiiformis*' group as 295 monophyletic (Fig. S2B). The *N. adhaerens* clade (five strains) was monophyletic in all datasets with BPP/BS =296

The *N. adhaerens* clade (five strains) was monophyletic in all datasets with BPP/BS = 1/100 in three-gene phylogeny (Fig. 1 A and B), 0.61/96 in SSU+*rbcL* phylogeny (Fig. S1 A and B), 0.99/100 in *rbcL* phylogeny (Fig. S2 A and B) and 1/100 in *psbC* phylogeny (Fig. S3, A and B). The closest relatives to *N. adhaerens* in all datasets were *Nitzschia* sp. UTKSA0106 and *N.* cf. *adhaerens* BIOTAII-23 strain (Fig. 1 A and B; Figs. S1–S3 A and B). In the three-gene and *psbC* phylogenies, *N.* cf. *adhaerens* BIOTAII-23 and *Nitzschia* sp. UTKSA0106 comprised the sister clade to *N. adhaerens* with BPP/BS support = 0.70/67 and 0.96/96,

respectively (Fig. 1 A and B; Fig. S3 A and B). BIOTAII-23 showed morphological 303 304 similarities with N. adhaerens in morphometry (Table 1, Figure S4 in the Supporting information), the lanceolate valve shape, its continuous raphe positioned on an elevated, 305 discrete keel, and the tiny round to rectangular areolae occluded by finely perforated hymens; 306 however, its phylogenetic position prevents inclusion in N. adhaerens. Beyond N. cf. 307 adhaerens and Nitzschia sp. UTKSA0106, the relationships of N. adhaerens are rather unclear 308 309 and inconsistent; for example, the next closest relatives in the three-gene tree are conopeumbearing species ('tholophora' species: Lobban et al. 2019), such as N. cf. volvendirostrata and 310 N. celaenoi, but Nitzschia inordinata sp. nov. and Bacillaria sp. SH349 in the SSU+rbcL and 311 312 *rbcL* phylogenies (Figs. S1–S2, A and B). N. inordinata was represented by one strain (BIOTAII-44), which was recovered as 313 sister to a clade containing N. adhaerens, Nitzschia sp. UTKSA0106 and Nitzschia cf. 314 315 adhaerens. In some analyses (three-gene, psbC) this clade also included conopeum-bearing

316 *Nitzschia* species (e.g. *N.* cf. *volvendirostrata*, *N. dissipata*: Fig. 1 A and B; Fig. S3 A and B).

In the SSU+*rbcL* and *rbcL* phylogenies, *N. inordinata* grouped with *Bacillaria* sp. strain

318 SH349 (BI/BS = 1/100; Figs. S1–S2 A and B).

319 Morphology and description of new taxa

320 The three new Nitzschia species are presented below, and morphometric data for all strains [valve length (VL), valve width (VW), fibula density in 10 µm (FD), stria density in 10 321 μm (SD) and the areola density in 1 μm (AD)] are given in Table 1. For N. adhaerens and N. 322 323 dalmatica, one strain each was chosen to provide holotype material according to the criteria of having at least a *rbcL* sequence, well-preserved cleaned material, and measurements made in 324 both LM and EM. The ranges of the measured parameters given in the species descriptions 325 326 are drawn from all the strains and also from natural material (original net and phytoplankton samples from which the strains were derived and measured). 327

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#### 329 *Nitzschia dalmatica* Mucko & Bosak, sp. nov. (Figures 2A–K, 3A–H)

Description: Living cells with two plate-like plastids, one in each apical half of the 330 cell (Fig. 2A). Frustules broadly linear in girdle view, tapering towards rounded poles and 331 somewhat constricted in the middle (Fig. 2B), with numerous girdle bands (Fig. 2D). Girdle 332 bands open and perforated by two or three rows of round pores (Fig. 3 G and H). Valves 333 334 linear-lanceolate, 12–41 µm long and 3–6 µm wide, with 10–19 fibulae in 10 µm, 39–45 striae in 10 µm and 5–7 areolae in 1 µm (Table 1); apices cuneate to slightly capitate (Fig. 2 335 B-C). External and internal valve views reveal an eccentric and elevated keel indented in the 336 337 middle (Fig. 2 E and F). Terminal raphe fissures straight or slightly curved (Fig. 2 G–K). 338 Internal terminal raphe fissure simple, finishing in a helictoglossa (Fig. 2H, arrowhead). Central nodule well silicified, external proximal raphe endings slightly curved and droplet-339 340 like (Fig. 3 A–C). Transapical striae uniseriate, parallel and relatively dense, extending uninterrupted from the bottom of the keel to the valve margin (Fig. 3 A–E). Virgae elevated 341 342 and thickened (Fig. 3D), sometimes bifurcating towards the valve margin (Fig. 3C, arrowhead). Keel containing two rows of areolae, one on each side of the raphe (very 343 344 occasionally there are two areolae instead of one: e.g. at arrowhead in Fig. 3D). Each keel 345 areola surrounded externally by an elevated silicified ring (Fig. 2 G and I, Fig. 3 A and D). Areolae within the striae round, very small, occluded with finely perforated hymens (Fig. 3F). 346 Fibulae relatively coarse, rib-like, present along the whole length of the keel except for a wide 347 348 central interspace opposite the central nodule, irregularly spaced (Fig. 2F and H, Fig. 3 B and 349 E).

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Representative DNA sequences: SSU: MH734172; rbcL: MH687908; psbC:

351 MH687897

352 *Holotype*: HRNDC 000010 permanent slide of strain BIOTAII-84 (illustrated in Fig. 2
353 B–D).

354 *Isotype*: E 5897 permanent slide of strain BIOTAII-84.

355 *Type locality*: Croatia: southeast Adriatic Sea (P150 station, 30 m of depth; 42°32' N;

356 17°59′ E). Cells isolated from the Niskin bottle sample collected on 8<sup>th</sup> March 2016 onboard

357 RV *Naše More* by M. Mucko.

358 *Etymology*: This species has been named after the historical region of the southeastern 359 Adriatic Sea coast, 'Dalmatia', where the species was discovered.

360 *Comparisons with similar species:* In the sectional classification of *Nitzschia* 

formulated by Grunow (in Cleve and Grunow 1880), *N. dalmatica* would have been classified

in the sect. *Dubiae*, because of its only moderately eccentrically placed raphe system and

363 somewhat constricted centre. Among the species assigned to this group by Grunow (ibid.),

Hustedt (1939, 1955, 1957) and Krammer and Lange-Bertalot (1988), there are several that

bear some resemblance to *N. dalmatica* in having finely striated valves (>30 in 10  $\mu$ m). These

include (in date order of publication): *N. pellucida* Grunow, *N. normanii* Grunow, *N.* 

367 subhybrida Hustedt, N. dubiiformis Hustedt, N. thermaloides Hustedt, N. pseudohybrida

368 Hustedt, *N. hybridaeformis* Hustedt, *N. aestuarii* Hustedt, *N. translucida* Hustedt and *N.* 

369 *traheaformis* Chunlian Li, Witkowski & Shu-xian Yu. Most of these have coarser striation

than *N. dalmatica* (39–45 striae in 10  $\mu$ m) and can be separated from it rather easily,

371 providing care is taken to ensure that the light microscope is properly set up to resolve striae

with densities between 30 and 40 in 10 µm. This applies to *N. pellucida* and *N. normanii* [c.

373 32 and 30–32 striae in 10  $\mu$ m in the original description of Cleve and Grunow (1880) and

- Krammer and Lange-Bertalot (1988), respectively]; *N. subhybrida* [c. 32 striae in 10 µm in
- the photographs by Simonsen (1987)]; *N. hybridaeformis* Hustedt [c. 35 striae in 10 μm
- according to Hustedt (1955) and measured by us as 34-36 in 10  $\mu$ m from the illustrations of

the holotype provided by Simonsen (1987) and online at http://hustedt.awi.de]; N. 377 378 pseudohybrida Hustedt and N. thermaloides [in both we measured c. 34 striae in 10 µm in illustrations by Simonsen (1987) or online, in contrast to c. 40 in 10 µm in the original 379 descriptions given by Hustedt (1955)]; N. aestuarii and N. translucida [in both we measured 380 34–35 striae in 10 µm in the photographs by Simonsen (1987), which is slightly higher than 381 was reported by Hustedt (1959) for *aestuarii* and slightly lower than for *translucida*]; and N. 382 383 traheaformis [with 32–34 striae in 10 µm but otherwise very similar to N. dalmatica (Witkowski et al. 2016)]. In addition, N. thermaloides has a noticeably smaller central 384 interspace than N. dalmatica (it is about twice the width of other interspaces in N. 385 386 thermaloides but three times the width in N. dalmatica) and a less constricted centre. N. 387 normanii, N. aestuarii and N. translucida are also less constricted than N. dalmatica and hence appear more linear; in contrast, N. subhybrida and N. pellucida have a much more 388 389 constricted centre than N. dalmatica [compare the illustrations of Simonsen (1987, pl. 99, figs 8-12) and Cleve and Grunow (1880, pl. 5, fig. 96), respectively, with our Fig. 2D), partly 390 reflecting the more central keel in these species. N. hybridaeformis is a larger diatom than N. 391 dalmatica (60–93  $\times$  6–8 µm) and has more widely spaced fibulae (5–10 in 10 µm). 392 393 Possibly the most difficult species to separate from N. dalmatica (39–45 striae in  $10 \mu$ ) 394 is N. dubiiformis since, unlike the species discussed in the previous paragraph, N. dubiiformis has very finely striated valves, with c. 43–44 striae in 10 µm according to Simonsen (1987, p. 395 260). Furthermore, the valves and frustules have a similar shape to N. dalmatica. However, N. 396 397 *dubiiformis* is a larger diatom (the original description gives  $40-50 \times 5-7 \mu m$ ) and the fibulae appear smaller and more evenly spaced than in N. dalmatica, forming a rather neat marginal 398 row in girdle view (Hustedt 1939, figs 111, 112; Simonsen 1987, pl. 383, figs 1-7). 399 Species diagnosis: Nitzschia dalmatica is identified and distinguished from similar 400 taxa by the following character states: eccentric and elevated keel indented in the middle; 401

dense (39–45 striae in 10 µm) transapical striae uniseriate, separated with elevated and
thickened virgae; a row of round keel areolae with elevated silicified rings present on both
sides of the raphe; external proximal raphe endings slightly curved and droplet-like.

### 405 *Nitzschia adhaerens* Mucko & Bosak, sp. nov. (Figures 4A–I, 5A–I)

Description: Frustules linear-lanceolate in girdle view; live cells with two plate-like 406 vellow-brown plastids, one in each half of the cell (Fig. 4A). Cells have several porose girdle 407 408 bands per theca, but the details are unclear (Fig. S5 in the Supporting information). Valvocopula open, with two or three rows of round pores enclosed by finely perforated 409 hymens (Fig. 5 H and I). Valves lanceolate, 10–34 µm long and 2–5 µm wide, with apices 410 411 that are cuneate in valve view and apparently very slightly spathulate in girdle view (Fig. 4 C, D and G); there are 16–25 fibulae and 48–56 striae in 10 µm (hence the striae cannot be 412 resolved in LM). Keel narrow, discrete and elevated about valve face (i.e. there is an abrupt 413 414 transition from valve face to keel: Figs. 4 B–E and H, 5B), almost central (Fig. 4 E, F and H). Terminal raphe fissures curved (Fig. 5 A–C). External proximal raphe endings absent (Figs. 415 416 4H and 5D). Striation of the valve very fine and delicate, not resolvable in LM (Fig. 4 B–D), comprising uniseriate striae of tiny round to rectangular areolae (Fig. 4 F–I, 5B); the areolae 417 418  $(5-6 \text{ in } 1 \mu \text{m})$  occluded by finely perforated hymens (Fig. 5G); these lie at the outer apertures 419 of the areolae, so that the external valve face appears smooth (Figs. 4H and 5C). Each uniseriate transapical stria ends up by two areolae within the keel (Fig. 5 A, C-E and G). 420 Virgae flat, never bifurcating (Fig. 4 F–I). Fibulae relatively dense (16-25 in 10 µm), 421 422 regularly spaced throughout keel (Fig. 4 F and H); sometimes two fibulae are fused together (Fig. 4I, arrowhead). 423 *Representative DNA sequences:* SSU: MH734165; *rbcL*: MH687900; *psbC*: 424

425 MH687889

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*Holotype*: HRNDC 000011 permanent slide of strain BIOTAII-18 (illustrated in Fig. 4 B–D).

428 *Isotype*: E 5898 permanent slide of strain BIOTAII-18.

*Type locality*: Croatia: Southeast Adriatic Sea (P600, 250 m of depth; 42°24′ N; 17°55′
E). Niskin bottle sample collected on 8<sup>th</sup> March 2016 onboard RV *Naše More* by M. Mucko. *Etymology*: The specific epithet refers to observations of the cells in net samples, which were
sticking to (adhering to) the setae of the colonial planktonic diatom *Chaetoceros*.

Comparisons with similar species: In Grunow's Nitzschia classification (in Cleve and 433 Grunow 1880), Nitzschia adhaerens would almost certainly have been placed in section 434 435 Bacillaria. Subsequently it would have been put in the section Dissipatae when the original 436 concept of *Bacillaria* (as an independent genus characterized by its unique motile colonies) was restored by Hustedt (e.g. see 1939, p. 661). The characteristic that would have led to 437 438 these hypothetical assignments is the almost central position of raphe system and the absence of longitudinal lines on either side of the keel in LM (though in fact the type of the 439 Dissipatae, N. dissipata, does have these, which reflect the presence of external conopea in 440 this species and its relatives, e.g. N. sigmoidea, N. recta: Mann 1978, 1986, Lobban et al. 441 442 2019). Rather few Nitzschia species have been described with near-central raphes and those 443 that do exist [e.g. *N. longa* Grunow, *N. praelonga* Cleve and *N. cursoria* (Donkin) Grunow] are mostly coarsely structured, with striation densities < 20 in 10  $\mu$ m. The only one known to 444 us with finer striation is *N. linkei* Hustedt, with c. 33 striae in 10 µm according to the original 445 446 description (Hustedt 1939). However, this striation density is much lower than in N. adhaerens (>48 in 10  $\mu$ m) and N. linkei is a larger diatom (40–55  $\times$  7–9  $\mu$ m rather than 17–34 447  $\times$  2.5–5 µm); therefore confusion is very unlikely. 448

449 *Species diagnosis: Nitzschia adhaerens* is identified and distinguished from similar
450 taxa by the following character states: keel narrow, discrete and elevated about valve face,

almost central; transapical striae uniseriate and not resolvable in LM composed of tiny round
to rectangular areolae enclosed by finely perforated hymens; each stria ends up by two areolae
within the keel; proximal raphe endings absent.

## 454 Nitzschia inordinata Mucko & Bosak, sp. nov. (Figures 6A–G, 7A–F)

Description: Live cells containing two plate-like yellow-brown plastids, one in each 455 half of the cell (Fig. 6A). Valves sigmoid, 91–152 µm long and 4–8 µm wide, with a 456 457 moderately eccentric keel and strongly drawn-out subcapitate apices (Fig. 6 B, D and E); with 7–10 fibulae and 20–24 striae in 10 µm. Girdle bands open and sigmoid (Fig. 6C), perforated 458 by one row of round pores (Fig. 7G). Keel elevated above the valve face (Fig. 6 D and E) and 459 460 enclosed internally by thick fibulae (Figs 6F and 7B). External proximal raphe endings absent (Fig. 7A). Terminal raphe fissures sharply bent (about 30°) (Fig. 6D). Valve striation 461 interrupted, starting on the elevated keel, absent in a depressed area of the valve face parallel 462 463 to the raphe, and then resuming and continuing to the valve margin (Figs. 6F, 7 A–D). Each stria uniseriate, containing round areolae (Fig. 7 A-E) occluded by finely perforated hymens 464 with pores in a hexagonal array (Fig. 7F). Virgae thickened and slightly elevated externally, 465 especially in a depressed area without striation adjacent to the bases of the fibulae (Fig. 7C). 466 467 Fibulae regularly spaced along the keel, slender and riblike at the centre (Fig. 6F) but 468 becoming proportionately more massive towards the apices (Fig. 6E). *Representative DNA sequences:* SSU: MH734171; *rbcL*: MH687906; *psbC*: 469 MH687895 470 Holotype: HRNDC 000012 permanent slide of strain BIOTAII-44 (illustrated in Fig. 6 471

472 B and C).

473 *Isotype*: E 5899 permanent slide of strain BIOTAII-44.

474 *Type locality*: Croatia: Southeast Adriatic Sea (P150 station, 30 m of depth; 42°32′ N;
475 17°59′ E). Cells isolated from Niskin bottle sample collected on 8<sup>th</sup> March 2016 onboard RV
476 *Naše More* by M. Mucko.

477 *Etymology*: The specific epithet refers to the irregular spacing of the areolae within the478 striae.

Comparisons with similar species: A variety of marine Nitzschia species have been 479 480 described with sigmoid frustules. Among them are some classified in the section Obtusae (Cleve and Grunow 1880, Krammer and Lange-Bertalot 1988) because of their highly 481 distinctive proximal raphe endings, which are deflected inwards and end in convergent or 482 483 almost parallel transapical grooves (e.g. Mann 1978, figs 865, 875, 881). N. inordinata cannot be confused with these, nor with other sigmoid species that possess proximal raphe endings. 484 In LM, N. inordinata resembles N. lorenziana: the two have similar dimensions (N. 485 486 lorenziana valves have lengths of 37–190 µm and widths of 3–7 µm according to Krammer and Lange-Bertalot 1988) and the ranges of fibula densities overlap (6–10 in 10  $\mu$ m in 487 lorenziana, 7-10 in inordinata). However, the stria densities differ, those of lorenziana being 488 coarser (13–19 in 10 µm rather than 20–24) and SEM (Poulin et al. 1990) reveals that, in 489 490 lorenziana, the striae are biseriate, central raphe endings are present, the terminal fissures are 491 forked rather than being bent to one side), and the fibulae are elongated apically (each one subtending two of the biseriate transapical striae) rather than being narrow ribs as in N. 492 inordinata. Among sigmoid species without proximal raphe endings, few have striation as 493 494 coarse as in N. inordinata ( $\leq 25$  in 10 µm). The first one is N. perlonga Pantocsek (1902), which has extremely long (>480 µm) non-attenuate linear valves and occurs in freshwater. 495 496 The most similar brackish or marine species seem to be those within the *N. sigma* complex, as their valves also taper from centre to poles. However, the fibula structure differs: N. 497 *inordinata* has relatively simple riblike fibulae over most of the valve (though becoming 498

relatively more massive towards the poles) that flare slightly at the entrance to the keel (Fig. 7B), whereas in the *N. sigma* complex the fibulae expand (and delimit portulae) at two levels (at the opening into the cell lumen and at the entrance to the keel), so that the space between them is partially enclosed to form a chamber (Mann 1978, figs 845, 846, 849, 850). In addition, in *N. sigma* the terminal raphe fissures continue almost straight to the valve margin (ibid., figs 841, 851), contrasting with the sharply bent fissures in *N. inordinata*; furthermore, the striae are not interrupted near the bases of the fibulae, unlike in *N. inordinata*.

506 *Species diagnosis: Nitzschia inordinata* is identified and distinguished from similar 507 taxa by the following character states: Valves sigmoid; keel moderately eccentric; apices 508 subcapitate and strongly drawn-out; proximal raphe endings absent; transapical striae 509 uniseriate; areolae occluded by finely perforated hymens with pores in a hexagonal array; 510 valve striation interrupted in depressed valve face area; fibulae slender and riblike at the 511 centre but more massive towards the apices.

### 512 **Discussion**

Species delimitation within Nitzschia is problematic due to the lack of unique 513 morphological characters to group them and because DNA sequence data are only available 514 515 for a small minority of species (Witkowski et al. 2004, Trobajo et al. 2013, Rimet et al. 2014). 516 Furthermore, some characters, such as fibula and stria densities or cell width, may change due to daily or seasonal variation of environmental parameters (Trobajo et al. 2004, 2011), or 517 within the life cycle, such as in N. inconspicua (Mann et al. 2013). Nowadays, we are 518 observing an increase in newly described Nitzschia species, most likely due to higher 519 culturing efforts and the combination of morphological and phylogenetic investigations 520 521 (Smida et al. 2014, Witkowski et al. 2016, Barkia et al. 2019, Lobban et al. 2019). The same trend in using both morphology and phylogeny for descriptions of new pennate species and 522 genera, sometimes also involving sequencing of complete genomes or detailed genus 523

524 overviews, is recorded for other diatoms, such as *Proschkinia* (Gastineau et al. 2019,

525 Majewska et al. 2019, Kim et al. 2020), Entomoneis (Mejdandžić et al. 2017b, 2018),

526 Amphora and Halamphora (Stepanek and Kociolek 2019), Dorofeyukea (Kulikovskiy et al.

527 2019) and *Simonsenia* (Kim et al. 2019). This study follows the methodology in combining

528 morphology with phylogeny to describe three new *Nitzschia* species.

529 Phylogenetic relationships and morphology comparisons

530 Our results show that *Nitzschia* is paraphyletic, which is congruent with similar 531 previous studies (e.g. Rimet et al. 2011, Witkowski et al. 2015, 2016, Barkia et al. 2019, 532 Lobban et al. 2019).

533 In the SSU+*rbcL* and *rbcL* trees, *N. dalmatica* was resolved within a monophyletic group of morphologically similar species with near-central or moderately eccentric raphe 534 system. This grouping of *Nitzschia* species is evident in some previously published molecular 535 536 phylogenies of Bacillariaceae (e.g. Witkowski et al. 2016, An et al. 2017) and corresponds to Nitzschia section Dubiae as amended by Hustedt (1955) or the Dubiae-Bilobatae of Krammer 537 and Lange-Bertalot (1988). The species that appears most similar to N. dalmatica in LM and 538 metrics is N. dubiiformis, but the two are clearly separated in the gene trees, where N. 539 dalmatica's nearest relative is Nitzschia sp. UTKSA0111 (the two are sister lineages 540 541 supported with high BPP/BS values: 100/100 in all trees; Figs. 1 A and B, S1–S3 A and B). The morphology of this strain is not fully known; however an LM image is available online 542 (http://www.protistcentral.org/Photo/get/photo\_id/6413) and shows a single valve that, like N. 543 *dalmatica*, belongs to the '*Dubiae–Bilobatae*' group and measures  $41 \times 4.6 \,\mu\text{m}$  with 544 imperceptible striation and 8–9 fibulae in 10 µm. It is therefore much longer than the 545 546 specimens of *N. dalmatica* we observed (though this could reflect different stages in a size reduction cycle) and, perhaps more importantly, it has a much lower fibula density. In 547 addition, the UTKSA0111 valve is much more strongly constricted centrally than in N. 548

*dalmatica*. Striation of UTKSA0111 is resolvable with SEM, counting 39–41 stria in 10 μm
and 6–7 areolae in 1 μm. Additionally, both *N. dalmatica* and strain UTKSA0111 have well
silicified central nodule without areolae and keel with two rows of areolae which are
externally surrounded by elevated silicified rings (Matt P. Ashworth, personal
communication).

The second novel species, N. adhaerens, is part of a separate lineage, also containing 554 555 our clone BIOTAII-23 and Nitzschia sp. UTKSA0106, that seems (three-gene and SSU+rbcL trees) to be related to a group characterized by the possession of conopea, i.e. external silica 556 flaps extending out laterally from the keel (Mann 1978, 1986). The latter group includes N. 557 558 dissipata, N. volvendirostrata, N. nanodissipata and the type species of Nitzschia, N. sigmoidea, which also share with each other delicate striation (relative to the size of the 559 valves), a moderately eccentric or nearly central raphe, and an absence of central raphe 560 561 endings. This suite of characters has been called the 'tholophora' morphology of *Nitzschia* by Lobban et al. (2019), who described 14 new Nitzschia species within this group, all with 562 conopea and all phylogenetically close to each other; we omitted their new sequences from 563 our analyses, considering that the 162 Nitzschia taxa represented in our trees are enough to 564 565 give good sequence sampling depth. N. adhaerens, although it is a delicately structured 566 species like those in the 'tholophora' group, does not possess conopea, but it is unclear 567 whether this is symplesiomorphic or whether conopea have been lost secondarily. Important for resolving this point is to know whether or not the diatoms closest to N. adhaerens also 568 569 lack conopea. The most closely related species (strain) to N. adhaerens in all trees is yet undescribed Nitzschia sp. UTKSA0106. The morphology of this strain is not fully known and 570 571 it may or may not have conopea, but its valves are clearly differentiated from N. adhaerens by their slightly spathulate ends in girdle view (i.e. a polar expansion of the keel) and their 572 narrow, protracted poles (Matt P. Ashworth, personal communication, and LM images online 573

at http://www.protistcentral.org/Photo/get/photo\_id/6410). UTKSA0106 valves also differ 574 575 from *N. adhaerens* in being larger  $(54-57 \times 7.7 \,\mu\text{m}$  in the online photographs) and having much lower fibula density (9-11 in 10 µm). The other diatom related to N. adhaerens in our 576 molecular datasets is our BIOTAII-23, which sometimes appears as the sister to N. adhaerens 577 578 (SSU+*rbcL*, *rbcL*), and sometimes as sister to UTKSA0106 (three-gene, *psbC*). BIOTAII-23 definitely lacks conopea and is morphologically similar to the strains we include within N. 579 adhaerens. The fibula densities in strain BIOTAII-23 are within the range measured in the N. 580 adhaerens strains but with different lower and upper limits (17-20 in 10 µm in BIOTAII-23 581 and 16–25 in 10 µm in N. adhaerens), and the valves seem wider (4–6 µm rather than the 3–4 582 of most *adhaerens*); other morphometrics - length and stria density - match. However, 583 584 genetically these two are separated with high support (three-gene phylogeny). For the moment BIOTAII-23 is referred to as Nitzschia cf. adhaerens. 585

In the phylogenetic trees, the third new species, N. inordinata (for which we have only 586 a single isolate), is either sister to the clade containing both the 'tholophora' Nitzschia and the 587 adhaerens group (three-gene, psbC), or on a separate branch within it, together with 588 'Bacillaria' SH349 (SSU+rbcL, rbcL). Because of its sigmoid shape, lack of proximal raphe 589 endings, and rather coarse striation, N. inordinata would probably have been allocated to sect. 590 591 Sigmata by Grunow, but our SSU+rbcL and rbcL trees show N. inordinata and N. sigma to be not only distinct (see Results) but also distantly related. The close relative of N. inordinata, 592 593 'Bacillaria' strain SH349, was sequenced and its LM morphology described by An et al. 594 (2017). The valve length and width given for 'Bacillaria' SH349 (115.7 and 10 µm, respectively) are within the range of *N. inordinata*, as are the stria and fibula densities (20 and 595 10 fibulae in 10 µm, respectively). We obtained unmounted material of strain SH349 from 596 597 Professor J.H. Noh and examined it under SEM. It showed a similar morphology to N. inordinata: the fibula and keel structure are the same, both species have irregularly arranged 598

areolae towards the valve face margin, and both have an interruption to the striae at the bases

600 of the fibulae (unpublished observations: images available from D.G. Mann on request).

601 However, *N. inordinata* is easily separated from '*Bacillaria*' SH349 because it is clearly

sigmoid, whereas SH349 is not (An et al. 2017, fig. 3g).

The valve shape, raphe position and metric characters reported for 'Bacillaria' SH349 603 (lanceolate outline, nearly central raphe, measuring  $115.7 \times 10 \,\mu$ m, with 10 fibulae and 20 604 605 striae in 10 µm) fall within Grunow's concept (in Cleve and Grunow 1880) of Nitzschia socialis, a species described by Gregory (1857) and named for its tendency to be found, even 606 after acid cleaning, in groups of cells orientated parallel to each other. Ralfs (in Prichard 607 608 1861, p. 784) transferred *N. socialis* to *Bacillaria* and, in doing so, implied that the groups of cells Gregory observed reflect the existence of motile colonies (as in *Bacillaria paxillifera*), 609 because Ralfs explicitly prescribed motile colonies as a defining characteristic of Bacillaria 610 611 (his description of the genus stated "frustules ... united into a short band, moving on each other by a sliding motion without separation"). However, as far as we know, the existence of 612 motile colonies in N. socialis has never been confirmed and strain SH349 too was not seen to 613 form motile colonies (Prof. J.H. Noh, personal communication 22 May 2019). Assignment to 614 615 Bacillaria is consistent with the near-central position of the raphe in SH349 and the close 616 match of its SSU with "Bacillaria cf. paxillifer" strain BA14c (GenBank HM805020). However, strain BA14c too does not form the special motile colonies that are supposed to 617 characterize the genus Bacillaria (observations of strain BA14c by Dr. F. Pniewski and 618 619 ourselves; see also the photographs of BA14 clones available online at https://ccba.ug.edu.pl/), though its SSU sequence matches another "Bacillaria paxillifer" 620 sequence in GenBank (M87325) with 98.48% identity (BLAST). It is therefore unclear 621 whether the special motile colonies supposed to be characteristic of Bacillaria are indeed a 622 synapomorphy for a monophyletic group. 623

Summarizing: there is insufficient information about several of the 'Bacillaria' clones 624 625 that have been sequenced to be able to judge how they differ from N. inordinata morphologically and whether they should be assigned to *Bacillaria*, given that they do not 626 form motile colonies. The closest known relative to N. inordinata is strain SH349, which 627 seems to be identifiable as Nitzschia (Bacillaria?) socialis sensu Grunow (in Cleve and 628 Grunow 1880). These two diatoms occupy an interesting position in phylogenetic trees, on a 629 630 deep branch that may be basal to the 'tholophora' and *adhaerens* groups. Neither are close to 'true' Bacillaria (i.e. the species with motile colonies: cf. Jahn and Schmid 2007). 631 The deep branch represented by N. inordinata and N. adhaerens, together with the 632 633 'tholophora' Nitzschia species, exists alongside some other known deep branches (e.g. 634 Carballeira et al. 2017, Kim et al. 2019, Lobban et al. 2019), viz. Bacillaria, Hantzschia, and a few isolated 'Nitzschia' species (including N. lorenziana Grun. and the Nitzschia clone 635 636 TCC886, identified currently as N. palea: see Figs S1 and S2) that do not obviously group with any others. The discovery of the adhaerens-inordinata clade suggests that, for 637 understanding the early evolution and diversification of Bacillariaceae, it is important to make 638 further studies of the Bacillaria-like isolates SH349 and BA14c (see above) and to target 639 640 other marine Nitzschia species with near-central raphe systems, such as two others that 641 Grunow included in his section 'Bacillaria', namely N. longa (which has an unusual keel structure: Hustedt 1955) and N. praelonga. 642

643 Small pennate Nitzschia diversity in marine plankton

Relative to the number of benthic species, not many *Nitzschia* species are planktonic,
especially in marine waters. Most of the few that are – such as *N. longissima* (Hasle and
Syvertsen 1996), *N. bicapitata* (Fryxell, 2000) and two of the species described here (*N. dalmatica* and *N. inordinata*) – occur as single cells, even though theoretical considerations
and experimental data (e.g. Reynolds 2006) confirm that the formation of stellate or chainlike

colonies (like those of N. asterionelloides, Fragilariopsis and Pseudo-nitzschia: Hustedt 649 650 1942, Hasle and Syvertsen 1996) can often be considered adaptive in relation to sedimentation. Although discovered in the plankton, a different habitat (i.e. benthos) for N. 651 dalmatica cannot be ruled out due to drifting of diatom flora from coastal to open waters 652 systems by currents and waves. According to Fryxell (2000), cells of small bicapitate 653 *Nitzschia* species occurring in the plankton frequently aggregate on substrates, thus 654 655 representing a major food source for some grazers, as was observed with our new species N. adhaerens, which aggregated on large chains of Chaetoceros. Nitzschia adhaerens like N. 656 *dalmatica* therefore can probably have two habitats – one existing as an epiphyte on large 657 658 Chaetoceros chains, and one existing solely in plankton. Spicular cell shape can also be considered to be an adaptation to planktonic existence, because of the high surface area to 659 volume ratio (Reynolds 2006), and it is noticeable that most of the planktonic Nitzschia 660 661 species occurring in tropical freshwaters (e.g. Kilham et al. 1986, Grady et al. 2020) are spicular, as is *N. inordinata* among the species described here. Less elongate and squat 662 Nitzschia species are generally benthic and either adhere to surfaces, or live free, moving 663 through sediments. 664

Single-celled pennate diatoms in the Adriatic Sea were previously reported by Batistić 665 666 et al. 2012 and Bosak et al. 2016 as 'shade-flora', part of a larger, deep-dwelling phytoplankton community found at the bottom of the photic zone or below it (~150 up to 500 667 m of depth). Most of those single-celled pennates were taxonomically assigned to Navicula cf. 668 669 distans, N. cf. directa and species belonging to Nitzschia cf. bicapitata compex (Bosak et al. 2016). Successful culturing from samples collected at those depths has not yet been 670 attempted, as far as we know, although survival of diatoms in low light conditions has already 671 been confirmed (Smayda and Mitchell-Innes 1974, Waite and Harrison 1992, Jochem 1999, 672 etc.). This is important to emphasize as most of the *N*. adhaerens and *N*. cf. adhaerens strains 673

were isolated from 100, 250 or even 400 m of depth (BIOTAII-59, BIOTAII-60, BIOTAII-18 674 675 and BIOTAII-23, respectively). General phytoplankton investigations conducted both in the Adriatic Sea and elsewhere (Baltic Sea, Atlantic Ocean, Indian Ocean and Pacific Ocean) 676 have usually classified 'unidentified pennate diatoms' into size classes [nano (>2 and <20µm) 677 678 and micro (>20 and <200µm)], which are abundant and frequently occurring in coastal and open waters (Piiparinen et al. 2010, Cerino et al. 2012, Brandini et al., 2014, Estrada et al. 679 680 2016). Investigating these solitary pennate diatoms in the marine plankton has become of great importance, because of their high contribution to the overall phytoplankton community, 681 microbial loop, and carbon fluxes from surface to bottom layers in the oceans. In this study, 682 683 we have isolated strains of small Nitzschia species from net phytoplankton samples, but also 684 from 30, 100, 250 and 400 m of depth. Mejdandžić et al. (2018) successfully cultivated diatoms from *Entomoneis* from the same samples, as well as undescribed *Haslea* species 685 686 (Mejdandžić et al. 2017a) and some other unpublished strains from other pennate diatom genera (Navicula, Psammodictyon, Diploneis); thus showing that marine pennate planktonic 687 diatoms are subjected to sinking and can survive harsh environmental conditions. These cells 688 can be returned to the surface through intense vertical convection in the South Adriatic Sea 689 690 during the winter period (Batistić et al. 2012; Korlević et al. 2015), which gives cells a new 691 opportunity to increase their numbers in the photic zone.

It is not surprising to discover new species of marine planktonic pennate diatoms, especially those belonging to the paraphyletic genus *Nitzschia*, since most investigations of marine phytoplankton do not make a detailed examination of diatom cells in samples, and *Nitzschia* species need especially careful LM and SEM observations to determine their morphology and identity. Additionally, there are a lot of different criteria to take into consideration when delimiting a species within marine planktonic pennate diatoms, such as morphological variations, sexual reproduction (if observed), variation in genetic material

within different populations of same species (which is difficult to obtain), and phenotypic
plasticity and changes in culturing conditions. With that in mind, this study shows that an
investigation combining most of the wanted criteria, including fine-grained morphological
observations and multigene phylogenies of related species and genera, is needed to resolve
planktonic pennate diatoms.

704

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- 998 **Tables and Figure captions**
- 999 Table Captions
- **Table 1.** Morphometric parameters measured under light (LM) and electron microscopy (EM)
- 1001 in 11 strains belonging to three new Adriatic Nitzschia species, strain BIOTAII-23
- 1002 representing *N*. cf. *adhaerens* and natural material where new species cells were observed. VL
- 1003 valve length; VW valve width; SN stria density in 10  $\mu$ m; FN fibula density in 10  $\mu$ m;
- 1004 AN areola density in 1  $\mu$ m.

Species	Strain name	Measured cells (no.)	VL (µm)	VW (µm)	FN	SN	AN
tica	BIOTAII-74	LM n=26	23-29	4-6	14-15		
	BIOTAII-74	EM n=18	23-26	4-5	16-19	39-44	5-7
	BIOTAII-84	LM n=31	18-23	3-6	14-15		
	BIOTAII-84	EM n=16	21-22	4-5	14	39-44	5-6
nai	PMFBION3	LM n=31	21-27	3-5	14-16		
lah	PMFBION3	EM n=14	20-26	3-5	14-16	39-44	5-6
V. 6	PMFBIONA1	LM n=31	21-27	3-5	14-16		
I	PMFBIONA1	EM n=13	22-26	3-5	14-16	39-45	
	Natural material	LM n=22	12-41	3-5	10-18		
	BIOTAII-3	LM n=49	21-31	3-4	16-19		
	BIOTAII-3	EM n=10	23-27	3-4	23-24	48-55	5-6
	BIOTAII-18	LM n=28	21-24	3-4	21-23		
s	BIOTAII-18	EM n=9	20-27	3	23-24	48-54	5-6
ren	BIOTAII-59	LM n=24	24-26	3-4	21-24		
aeı	BIOTAII-60	LM n=31	24-26	3-5	20-22		
Чрı	BIOTAII-60	EM n=15	22-34	3-4	20-25	50-56	6
N. 6	PMFBION1	LM n=6	26-27	3-4	18-19		
	PMFBION2	LM n=21	17-25	3-4	19-24		
	PMFBION2	EM n=5	21-24	2-3	22	49-50	
	Natural material	LM n=20	10-25	2-3	16-18		
	N. cf. adhaerens	LM n=33	21-29	4-6	16-19		
	(BIOTAII-23)	EM n=20	17-27	4-6	17-20	49-51	5-6
а	BIOTAII-44	LM n=24	140-152	6-8	7-10	21-24	3
N. inordinat	Natural material	LM n=9	91–141	4-6	7	20-22	

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1018 Figure Captions

1019 **Figure 1.** A: Majority rule phylogram of the post-burn-in distributions of the four MrBayes

1020 runs inferred from a concatenated dataset of three markers: SSU, *rbcL* and *psbC*. Branch

support is summarized above branches as Bayesian posterior probability. **B**: "Best" Maximum

1022 Likelihood tree inferred from a concatenated dataset of three markers: SSU, *rbcL* and *psbC*.

1023 Branch support is summarized above branches as Maximum Likelihood bootstrap values.

1024 Three new species of the Adriatic strains are in **bold** and **highlighted**.

1025

Figure 2. Nitzschia dalmatica sp. nov. Mucko & Bosak (A–D) LM; (E–I) SEM; (J–K) TEM; 1026 1027 (A–D, F, I) strain BIOTAII-84; (E, G, H) strain BIOTAII-73; (J–K) strain PMFBIONA1. (A) 1028 Live broadly linear cell containing two yellow-brown plate-like plastids. (B–K) Cleaned material. (B, C) Linear-lanceolate valves with constricted raphe-bearing margin in the central 1029 area and cuneate to slightly capitate apices. (D) A cell in girdle view with numerous girdle 1030 bands. (E, F) External and internal valve views with eccentric and elevated keel. (G, I) 1031 External view of the valve with cuneate to capitate apex with slightly curved terminal raphe 1032 1033 fissure; note the rimmed areolae in the keel. (H) Internal valve view with cuneate to capitate apex, dense striation and coarse irregularly spaced fibulae. (J, K) Straight to slightly curved 1034

1035 terminal raphe fissures. Scale bars (A–F) 10  $\mu$ m; (G, H) 2  $\mu$ m; (I) 1  $\mu$ m; (J) 0.2  $\mu$ m; (K) 0.5 1036  $\mu$ m.

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Figure 3. Nitzschia dalmatica sp. nov. Mucko & Bosak (A, B, D, E) SEM; (C, F, G, H) TEM; 1038 (A, B, D) strain BIOTAII-74; (E) strain BIOTAII-84; (C, F, G, H) strain PMFBIONA1. (A-1039 C) External and internal valve view with proximal raphe endings curved and droplet-like. 1040 1041 Note the bifurcated virga (C, arrowhead). (D) Thickened and elevated virgae and keel areolae with raised rims; note the occasional presence of two areolae opposite a single valve stria 1042 (arrowhead). (E) Internal valve view with round areolae within striae and robust, irregularly 1043 1044 spaced, riblike fibulae. (F) Finely perforate hymenate areolae. (G, H) Open numerous girdle 1045 bands, each perforated by two or three rows of round pores. Scale bars  $(A-E) 2 \mu m$ ; (F) 0.2 1046 μm; (G, H) 2 μm.

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Figure 4. Nitzschia adhaerens sp. nov. Mucko & Bosak (A–D) LM; (E, G–I) SEM; (F) TEM; 1048 1049 (A-E, H, I) strain BIOTAII-18; (F) strain BIOTAII-3; (G) strain BIOTAII-60. (A) Linear-1050 lanceolate living cells with two yellow-brown plate-like plastids. (B–I) Cleaned material. (B) Spindle-shaped valve with cuneate apices in valve view. (C) A valve in girdle view, showing 1051 1052 the very slightly spathulate apices. (D) Frustule in girdle view. (E) External valve view with slightly eccentric, narrow keel. (F) Slightly eccentric keel with regularly spaced fibulae. (G) 1053 Internal valve view revealing fine, uniseriate striae and riblike fibulae. (H) External valve 1054 1055 view showing the fine hymenate, round to rectangular areolae; note (by comparison with I) that the hymens lie near the external apertures of the areolae. (I) Internal valve view with 1056 regularly spaced riblike fibulae, which are sometimes fused together (arrowhead). Scale bars 1057 (A–G) 10 μm; (H, I) 2 μm. 1058

1060 Figure 5. Nitzschia adhaerens sp. nov. Mucko & Bosak (A, D, G, H) TEM; (B, C, E, F, I)

1061 SEM; (A, D, G, H) strain BIOTAII-3; (B, C) strain BIOTAII-60; (E, D, I) strain BIOTAII-18.

1062 (A) Curved terminal raphe fissure and finely hymenate areolae. (B, C) External valve view of

1063 apex with curved terminal raphe fissure ending in an elliptical terminal pore. (D) Central area

- 1064 of the valve showing continuous raphe and regularly spaced fibulae. (E, F) Valve apex curved
- to one side of cell. (G) Details of round to rectangular hymenate areolae with fine
- 1066 perforations. (H, I) Details of valvocopulae with two or three rows of areolae like those of the 1067 valve. Scale bars (A–F) 1  $\mu$ m; (G, H) 0.5  $\mu$ m; (I) 5  $\mu$ m.
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1069 Figure 6. *Nitzschia inordinata* sp. nov. Mucko & Bosak (A–C) LM; (D–F) SEM; (A–F)

strain BIOTAII-44. (A) Two live cells after cell division with two yellow-brown plate-like

1071 plastids and pronounced lipid globules. (B–F) Cleaned material. (B) Sigmoid valve with

1072 moderately eccentric keel and strongly drawn-out apices. (C) Open sigmoid girdle band. (D)

1073 Exterior of the protracted and subcapitate apex with elevated keel and abruptly bent terminal

1074 raphe fissure. (E) Internal view of valve apex with thick fibulae enclosing the keel. (F)

1075 External valve view of central area showing continuous raphe and irregularly spaced areolae

1076 within the striae. Scale bars (A–C) 20  $\mu$ m; (D–F) 5  $\mu$ m.

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1078 Figure 7. *Nitzschia inordinata* sp. nov. Mucko & Bosak (A–C) SEM; (D–G) TEM; (A–G)

strain BIOTAII-44. (A) Internal view of central valve area showing riblike fibulae, a

1080 longitudinal area devoid of pores adjacent to the fibula bases, and striae containing irregularly

- spaced round areolae. (B) Internal valve view with ±regularly spaced riblike fibulae. (C)
- 1082 Details of external valve view showing elevated keel, valve depression without areolae, only
- 1083 thickened virgae, and irregularly spaced areolae towards the valve margin. (D) Round,
- 1084 irregularly spaced areolae within uniseriate striae. (E, F) Detail of the round areolae and the

hymen (F) with tiny pores in a hexagonal array. (G) One row of pores on a girdle band. Scale
bars (A–D) 5 μm; (D, E, G) 1 μm; (F) 100 nm.

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### 1088 Supplementary Material:

**Table S1.** Primers used to amplify SSU, *rbcL* and *psbC* fragments in this study. Primers in
bold were used for nested PCR reaction.

1091 Appendix S1. Datasheet containing information about taxa (strains) used for phylogeny. Taxa

1092 and strain name, other strain names (if mentioned in other databases), location of isolation and

associated SSU, *rbcL* and *psbC* sequences deposited either in GenBank, r-Syst or BOLD

1094 database are provided for all taxa (if data were available in the literature). Taxa described in

this study are in bold.

1096 **Figure S1.** Majority rule phylogram of post-burn-in distributions of the four MrBayes runs

1097 (A) and 'best' Maximum Likelihood phylogram (B) constructed from concatenated

1098 SSU+*rbcL* alignment containing 169 taxa. Bayesian posterior probability and bootstrap values

1099 are indicated above branches or with arrows. New *Nitzschia* species are highlighted.

1100 Figure S2. Majority rule phylogram of post-burn-in distributions of the four MrBayes runs

1101 (A) and 'best' Maximum Likelihood phylogram (B) constructed from *rbcL* alignment

1102 containing 340 taxa. Bayesian posterior probability and bootstrap values are indicated above

1103 branches or with arrows. New *Nitzschia* species are highlighted.

1104 **Figure S3.** Majority rule phylogram of post-burn-in distributions of the four MrBayes runs

1105 (A) and 'best' Maximum Likelihood phylogram (B) constructed from *psbC* alignment

1106 containing 70 taxa. Bayesian posterior probability and bootstrap values are indicated above

1107 branches or with arrows. New *Nitzschia* species are highlighted.

1108 **Figure S4.** *Nitzschia* cf. *adhaerens* strain BIOTAII-23 SEM images showing valve features.

- **Figure S5.** *Nitzschia adhaerens* strain BIOTAII-3 SEM showing two joined valves and girdle
- 1110 structure.