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Examensarbete i ämnet biologi

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Umeå

2015

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Credits: 30 HEC

Level: A2E

Course title: Master degree thesis in Biology at the Department of Wildlife, Fish, and Environmental Studies

Course code: EX0764

Programme/education: Management of Fish and Wildlife Populations – Master`s Programme

Place of publication: Umeå

Year of publication: 2015

Cover picture: Robert Spitzer

Title of series: Examensarbete i ämnet biologi

Number of part of series: 2015:9

Online publication: <http://stud.epsilon.slu.se>

Keywords: molecular ecology, SNPs, pedigree reconstruction, rarefaction, population estimates

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1 Abstract

Reliable population estimates are an important aspect of sustainable wildlife management but usually difficult to obtain for rare and elusive large carnivores. I tested a new method developed by Creel and Rosenblatt (2013) to estimate the population size of two Swedish brown bear (*Ursus arctos*) populations. The Creel-Rosenblatt estimator (CRE) projects beyond the count of genotypes by including individuals that were inferred from the pedigree as well as undetected individuals into the population estimates. Using a recently developed panel of 96 single nucleotide polymorphisms (SNPs), hunter-collected fecal samples were genotyped for reconstructing pedigrees. Based on 434 genotypes from Dalarna-Gävleborg and 265 from Västerbotten, the CRE population estimates ($\hat{N} = 623$ for Dalarna-Gävleborg, $\hat{N} = 404$ for Västerbotten) fell within the 95% CI of the official estimations. As predicted in simulations, the CRE performed best if the sampling intensity was $>40\%$. At present no agreed method exists to calculate confidence limits for CRE estimates. As an interim solution I suggest using genotype count and maximum inference from the pedigree for assigning lower and upper bounds. To complement the work by Fahlen (2014) on conservation genetics for the Dalarna-Gävleborg population, I used the genetic and spatial data from Västerbotten in subsequent analyses to assess genetic health, population structure and movement patterns. I found slight indication of inbreeding ($F_{is} = 0.025$), male-mediated gene flow from one migrant of the western lineage and possible segmentation into two major sub-populations. Non-invasive genetic sampling in combination with pedigree reconstruction and spatial analysis shows promising potential for future studies of population dynamics, dispersal and reproductive success.

2 Introduction

Part one of Charles Krebs (1999) renowned book *Ecological Methodology* opens with perhaps the most foundational question of all wildlife ecology studies: “How many are there?” (p. 17). While researchers and managers alike are generally in agreement about the importance of the question, finding an answer often proves to be tantalizingly difficult. In addition, the implications of getting it wrong are potentially severe because reliable estimates of population size and trends form the basis of key management decisions. Common examples are the setting of harvesting quotas (Wilson and Delahay 2001) or the IUCN Red-listing of endangered species (Vié et al. 2009). Ironically, solid estimates are especially difficult to obtain for rare and elusive species which frequently also happen to be the ones of highest concern. This includes most large carnivores (Creel and Rosenblatt 2013, Kindberg et al. 2009). They generally occur in comparatively low numbers across large home ranges, making them expensive to study (Zuercher et al. 2003) and are often ambiguously or outright controversially viewed by the public (Ericsson and Heberlein 2003, Kellert et al. 1996). As a consequence, many carnivore populations remain poorly understood due to a paucity of data (Karanth and Chellam 2009) or bias arising from public pressure (Balme et al. 2014, Zimmermann et al. 2010). The Scandinavian brown bear (*Ursus arctos*) is a typical example for the challenges surrounding large carnivore research but also unique in the sense that it is arguably one of the best studied carnivores in Europe. The Scandinavian Brown Bear Research Project (SBBRP), a collaboration between Swedish-Norwegian and international researchers, presently lists 18 doctoral theses and 175 publications in peer-reviewed journals about the species on their website (Björnprojektet 2015).

After the last ice age, brown bear recolonization of Sweden probably occurred from around 11,000 BP until 6,000 BP when the vegetation started to resemble its present state (Hewitt 1999). Studies of maternally-inherited mitochondrial DNA (mtDNA) have shown that brown bears in Sweden belong to two genetically distinct lineages with approximately 7% differentiation between them (Taberlet and Bouvet 1994). The western lineage, found in south-central Sweden, originated from the Iberian refugium (today's France and Spain) whereas the eastern lineage, found throughout northern Sweden can be traced to Karelia in Russia (Taberlet and Bouvet 1994). Norman et al. (2013) reported a sub-division into three mitochondrial-based haplotypes: South (western lineage), North A and North B (eastern lineage). At present the two lineages remain largely separated around a well-documented contact zone at the height of Östersund in central Sweden (Taberlet et al. 1995). Gene flow across the contact zone by migrants has been recorded several times for males but not for females (Fahlen 2014, Taberlet et al. 1995, Waits et al. 2000). Monitoring population genetics is especially important in case of the western mtDNA haplotype (southern population) which is only found in Europe whereas the eastern haplotype is also prevalent in Asia and North America (Hirata et al. 2013, Korsten et al. 2009, Saarma et al. 2007, Waits et al. 2000). Intense hunting pressure during the 19th century led to a rapid decline of the Swedish bear population, resulting in an estimated low of about only 130 individuals in the 1930s (Waits et al. 2000). After the introduction of protective measures, like the removal of bounties, the numbers quickly recovered (Saether et al. 1998, Zedrosser et al. 2011) to an estimated 3,300 individuals today (Kindberg et al. 2011). However, while positive trends are certainly encouraging, they should not be allowed to convey a false sense of security leading to the potentially premature conclusion that a species future is ensured. Corroboration of this point could be seen in the circumstance that at the time of writing the status of the Swedish brown bear population was downgraded from its 2010 rating of 'vital' (livskraftig) to 'near-threatened' (nära hotad) due to a pronounced population decline (Artdatenbanken 2015).

Many methods have been developed to estimate the size and trends of animal populations in the wild, including indices, distance sampling and capture-mark-recapture (CMR) (Sutherland 2006). A crucial aspect of CMR studies is the reliable marking and re-identification of individuals during one or multiple recapture events. However, frequent physical restraint and handling of rare and elusive animals, particularly large predators, is ethically questionable, impractical, costly and potentially dangerous to both animals and researchers. Non-invasively collected DNA samples (e.g. from feces or hair) in combination with rapidly improving molecular techniques offer a promising way to bypass these obstacles (Kohn and Wayne 1997, Swenson et al. 2011, Taberlet et al. 1999, Waits and Paetkau 2005). They also present the unique opportunity to involve the public through citizen science as has been practiced in Sweden with hunter-collected brown bear feces since 2001 (Bellemain et al. 2005). Moreover, genetic methods have the advantage that they allow for analyses beyond genotyping individuals for identification. Common examples include kinship assessment and provenience determination.

Single nucleotide polymorphisms (SNPs; pronounced 'snips') are a fairly novel type of genetic marker (Morin et al. 2004) that is rapidly becoming a powerful tool for studying genetic variation in populations (Brumfield et al. 2003). Compared with microsatellites, another type of frequently used genetic marker, SNPs offer lower error rates from mistyping and allelic dropout (Morin and McCarthy 2007). They are also easily reproducible across laboratories and can have high genomic resolution (Anderson and Garza 2006). Because only short intact sequences (50-70 bp) of DNA are required for successful amplification of SNPs via polymerase chain reaction (PCR), SNPs are especially

suitable when working with degraded DNA as is usually the case with non-invasively obtained samples (Morin et al. 2004). SNPs are commonly found across the genome (Vignal et al. 2002). They occur in DNA wherever a base pair has variable nucleotides at a locus. Usually SNP loci are bi-allelic (Sripichai and Fucharoen 2007), resulting in three (two homozygous and one heterozygous) possible genotypes for that locus. Due to lower statistical power, higher numbers of SNPs than microsatellites have to be used to detect an effect (Morin et al. 2009). In the past this inevitably led to higher costs but recent advancements in sequencing technology have since removed that obstacle (Anita Norman, pers. comm.). As shown in Figure 1 the probability of identity (PID) rapidly declines with as little as 7 loci. The genetic data used in this study was produced with a SNP chip specifically developed for the Scandinavian brown bear which included 85 autosomal SNPs (Norman et al. 2013).

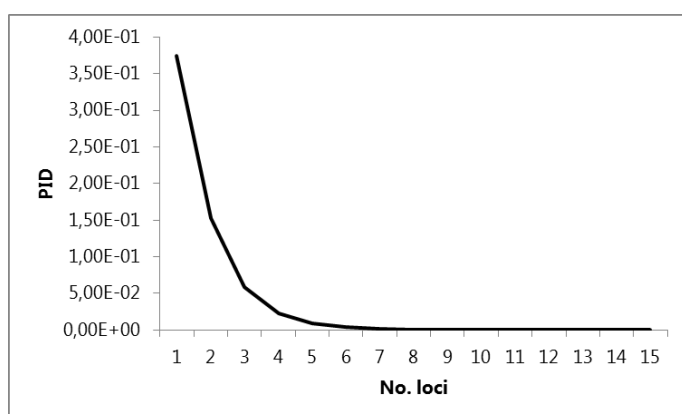


Figure 1 Rapid decline of probability of identity (PID) with increasing number of loci. PID is the probability that two individuals drawn at random from a population will have the same genotype at multiple loci (Waits et al. 2001). Calculations were carried out with the software GIMLET version 1.3.3 (Valière 2002) using genomic data of 434 bears from Dalarna-Gävleborg. For better visibility the x-axis was truncated at 15. Across all 85 autosomal loci used in this study, the PID reaches practically zero (3.13×10^{-34}).

Using a large number of SNPs effectively eliminates the “shadow effect”, where two or more individuals are identified as one due to low numbers or variability of the genetic markers, ultimately leading to an underestimation of the population size (Mills et al. 2001). While genetic markers can help to avoid many pitfalls in population studies, direct genetic census methods are virtually identical to conventional CMR methods in their logic (Creel and Rosenblatt 2013) and prone to some of the same biases. The genotype simply becomes a ‘molecular tag’ (Schwartz et al. 2007) which replaces traditional means of identification like earmarks or distinct morphological features.

Indirect genetic methods based on pedigree reconstruction include individuals which were not directly sampled and genotyped but rather inferred by their genetic fingerprint into the population estimates. Because an individual must breed and leave offspring in order to become visible in the pedigree, these methods seemed inevitably limited to estimating the number of breeders in a population rather than total population size.

Creel and Rosenblatt (2013) used demographic data of African lions (*Panthera leo*) from Zambia in a simulation model to develop a new, pedigree-based estimator for total population size. Their method, henceforth referred to as the Creel-Rosenblatt estimator (CRE) accounts for individuals that were directly sampled, inferred from the pedigree, as well as “invisible” non-breeders.

Because precise population estimates and large numbers of genetic samples exist for the Swedish brown bear it makes the species uniquely suited for testing the performance of the CRE outside of a simulation environment.

Here, I apply the CRE to estimate the size of two brown bear populations (Dalarna-Gävleborg and Västerbotten). To the best of my knowledge this constitutes the first time that the estimator is applied to empirical population data. Contrary to CMR methods, the CRE requires only one sampling event. This could potentially make the method competitive with regard to cost and effort.

Furthermore, since genetic data is an especially powerful tool when combined with demographic or spatial information (Deyoung and Honeycutt 2005) I also include some analyses based on such data.

In terms of genetically characterizing Swedish bear populations, this thesis builds on the work of Fahlen (2014) who investigated conservation genetics of the Dalarna-Gävleborg bears. Thus, my own work for these parts will primarily focus on the Västerbotten population.

Within the larger framework of the 16th Swedish Environmental Objective “A Rich Diversity of Plant and Animal Life” (Regeringskansliet 2014) this study aims at contributing to the sustainable management of the brown bear by

- (I) evaluating the Creel-Rosenblatt estimator as a new census method based on pedigree reconstruction
- (II) genetically characterizing the Västerbotten brown bear population and comparing it to Fahlen (2014) results for Dalarna-Gävleborg
- (III) using geographic coordinate data recorded by citizen scientists during the collection of fecal samples to investigate spatial population structuring and movements.

Specifically, I hypothesize that

- (i) pedigree reconstruction in combination with the Creel-Rosenblatt estimator can be used to estimate total population size from empirical genetic data with similar accuracy as suggested by simulations
- (ii) in case of single sampling events the Creel-Rosenblatt estimator performs equal to or better than the alternative methods (direct counts and rarefaction analysis)
- (iii) the Västerbotten brown bear population does not suffer from inbreeding
- (iv) migrants from the southern population are present in Västerbotten
- (v) spatial information from fecal samples can be used to infer population structure and movements
- (vi) the pedigree will become increasingly incomplete towards the borders of a sampling area
- (vii) reproductive success can be linked to spatial movements.

3 Material and methods

3.1 Study area and data collection

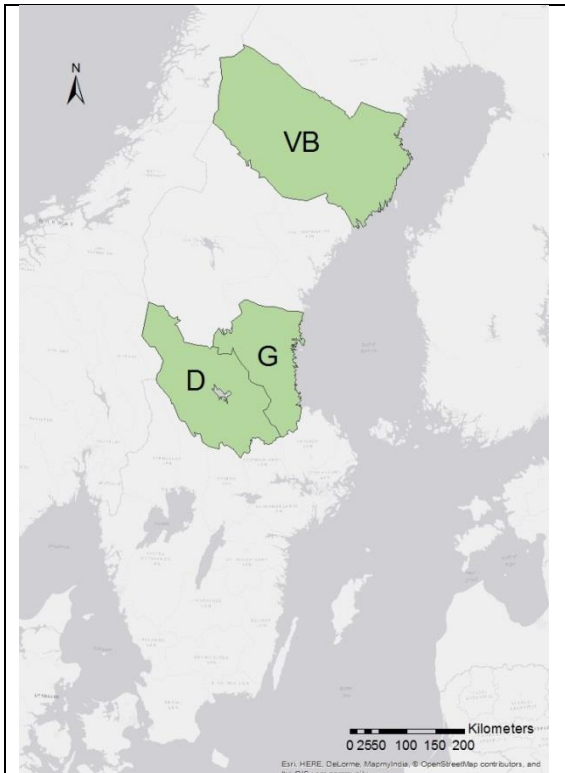


Figure 2 Map showing the location of the study areas; Västerbotten (VB), Dalarna (D) and Gävleborg (G).

The study area (Fig. 2) encompasses the Swedish counties of Dalarna-Gävleborg (ca. 46,300 km²) and Västerbotten (ca. 55,200 km²). The landscape is a mosaic of lakes, wetlands and extensive stands of boreal forest dominated by Scots pine (*Pinus sylvestris*) and Norway spruce (*Picea abies*). Common deciduous tree species include birches (*Betula* spp), alders (*Alnus* spp) and European aspen (*Populus tremula*).

To the west the area is delimited by the Scandinavian mountain range and in the east by the Baltic Sea. Agriculture decreases with northern latitude and the majority of settlements are situated along the coast (Kindberg 2010). Forestry operations are common throughout the entire area. The southern border of Dalarna-Gävleborg also demarcates the approximate southern limit of the brown bear distribution in Sweden.

Dalarna-Gävleborg is home to an estimated number of 793 bears, 95% CI [621, 1179] (Kindberg and Swenson 2013). In 2015 the Västerbotten population was estimated to be

362 bears, 95% CI [310, 459] (Jonas Kindberg, pers. comm.). Fecal samples were collected by volunteers (predominantly moose-hunters) following the protocol of Bellemain et al. (2005) during the periods of August-October 2012 in Dalarna-Gävleborg and August-December 2014 in Västerbotten.

With the samples the hunters enclosed records of the collection date and coordinates of the sampling location and mailed them to the county administration board (in case of the Dalarna-Gävleborg collection) or in Västerbotten directly to the genetics laboratory at SLU in Umeå. Upon arrival samples were stored in 70% Ethanol solution at -20°C as recommended by Frantzen et al. (1998).

3.2 Molecular analysis

DNA extraction from the Dalarna-Gävleborg samples was carried out by Bioforsk, Norway following procedures described by Schregel et al. (2012). In Västerbotten, DNA extraction was performed at SLU using the QIA-symphony SP (Qiagen; Hilden, Germany) robot according to the manufacturer's instructions.

SNPs were genotyped on the Fluidigm Biomark (Fluidigm Corporation; San Francisco, USA) using a 96 SNP panel developed by Norman et al. (2013). Since its first publication the panel has undergone slight modifications (e.g. two linked SNPs were substituted with Y-chromosome SNPs) and now consists of 85 autosomal SNPs, four mtDNA SNPs as well as four Y-chromosome and three X-chromosome markers for sex determination. Each run included negative controls with water in place of DNA. The genotype clusters assigned by the Biomark software were manually screened and loci of questionable cluster affiliation invalidated and removed from subsequent analyses.

Species and sex were assigned according to the following criteria:

bear = *mtDNA SNP calls* ≥ 3

male = *Y-chromosome SNP calls* ≥ 3

female = *Y-chromosome SNP calls* = 0 and *X-chromosome SNP calls* ≥ 2

Allelic state of the four mitochondrial markers was used to determine the mitochondrial haplotype for each individual, which could then be assigned its provenience (North A, North B or South).

For analyses on the Dalarna-Gävleborg bears I used the same set of consensus genotypes as Fahlen (2014). For Västerbotten I prepared the consensus genotypes by manually comparing multiple genotyping results for the same individual. I started with the genotype that showed the highest PCR success across the 85 autosomal and three X-chromosome loci and substituted “No Call” gaps with the correct allele whenever it could be determined by comparison to the other genotypes of the same individual. Likewise, I counted the mismatches between the allelic state at loci of the putative consensus genotype compared to the other genotypes and estimated the typing error for individuals (TE_{in}) as

$$TE_{in} = \text{No. of mismatches} / \text{No. of compared loci.}$$

For example, 8 mismatches in a set of 5 genotyping outputs with 85 loci would result in a typing error for that individual of

$$TE_{in} = 8 / (5 * 85) = 0.019$$

The overall typing error (TE) used in the pedigree reconstruction corresponds to the mean of the TE_{in} values.

3.3 Data analysis

3.3.1 Pedigree reconstruction

To reconstruct pedigrees I used FRANz software version 2.0.0 (Riester et al. 2009) which uses Markov Chain Monte Carlo (MCMC) simulation for estimating the statistical confidence of parentage inference and produces a number of output files including a maximum likelihood (ML) pedigree. The software requires specifying an approximate maximum number of females and males (Nfmax and Nmmax) to avoid an empty pedigree due to convergence of the Markov Chain to a very high number of individuals (N) (Riester et al. 2009). Because I was working under the assumption of an unknown population size I used my estimates from rarefaction analysis (see next chapter) and the sex ratio present in the genotyped samples to set Nfmax / Nmmax to 538 / 419 (Dalarna-Gävleborg) and 249 / 239 (Västerbotten) respectively. I specified the TE as 1.538×10^{-4} for Dalarna-Gävleborg (Anita Norman, pers. comm.) and 0.01 for Västerbotten.

I tested for noise in the software by running three different amounts of randomly selected genotypes from Dalarna-Gävleborg 10 times each in FRANz and compared the parentage and full-sibling (FS) outputs. Likewise, I tested for the effect of an incorrectly specified TE by running the same set of genotypes with the TE settings increasing from the true 1.538×10^{-4} to 0.1.

3.3.2 Population estimates

3.3.2.1 Rarefaction analysis

Rarefaction, often also referred to as accumulation-curve method (ACM), has traditionally been used to estimate species diversity in an area by plotting the cumulative number of newly recorded species against the total number sampled (Colwell and Coddington 1994). The same underlying logic can be applied for estimating population size by substituting the species count with the number of unique individuals/genotypes. One disadvantage of the method is that it often requires a high sampling intensity in order for the accumulation curve to asymptote. Furthermore, Krebs (1999) points out that “the only way one can extrapolate [rarefaction curves] beyond the limits of the samples is by assuming an underlying statistical distribution” (p. 415). A number of equations to fit and extrapolate accumulation data have since been suggested including the following three, which have been used by molecular ecologists (Petit and Valiere 2006):

- (1) $y = \frac{ax}{b+x}$ Kohn et al. (1999)
- (2) $y = a(1 - e^{(bx)})$ Eggert et al. (2003)
- (3) $y = a - a\left(1 - \left(\frac{1}{a}\right)^x\right)$ Petit and Valiere (2006)

In these models y equals the number of unique genotypes, x corresponds to the number of samples (genotyped feces), b is the rate of decline in the slope and the asymptote a represents the estimated population size. I calculated the parameters a and b through nonlinear iterative regression using the statistical software package JMP Pro version 11.0.0 (SAS Institute).

Model (1) has been used by Kohn et al. (1999) to estimate the size of a coyote (*Canis latrans*) population in California and model (2) by Eggert et al. (2003) to do the same for forest elephants (*Loxodonta cyclotis*) in Ghana.

Model (3) was suggested by Petit and Valiere (2006) who accredit it to a personal communication with D. Chessel. It has since been used by Frantz et al. (2004) for estimating the numbers in a population of Eurasian badgers (*Meles meles*). Simulations by Valière (2002) have shown that Chessel’s model tends to underestimate population size whereas the equation suggested by Kohn et al. (1999) is prone to overestimations if the sampling effort is high. The model used by Eggert et al. (2003) appears to fall in between the two. For my analyses I choose the model which best fit my data based on highest R^2 in combination with the lowest values for root average square error (RASE) and average absolute error (AAE).

3.3.2.2 Pedigree reconstruction method (Creel-Rosenblatt estimator)

The pedigree-based estimator suggested by Creel and Rosenblatt (2013) builds on the logic that total population size (\hat{N}) can be estimated as the sum of directly sampled individuals (N_s), breeders whose presence can be inferred from pedigree reconstruction (N_{in}) and the “invisible” non-breeders who are undetectable in the pedigree (N_{iv}).

$$(1) \quad \hat{N} = N_s + N_{in} + N_{iv}$$

The steps of the mathematical deduction from this basic assumption to the final estimator (equation 2) are detailed in Creel and Rosenblatt's (2013) paper. The term B_s refers to the sampled breeders (known individuals with descendants in the pedigree).

$$(2) \quad \hat{N} = N_s + 2N_{in} - \frac{N_{in}B_s}{N_s + N_{in}}$$

Because the data available for analyses were limited to sex and genotype of individuals, only first-order genetic relationships (parent-offspring dyads and triads or full siblings) could be reconstructed with reasonable certainty.

In my analyses I used the ML pedigree output of the FRANz software which specifies the putative sire and dam of sampled individuals (see Fig. 3).

ID	SIRE	DAM
AC2014-001	AC2014-148	AC2014-223
AC2014-003	*	AC2014-019
AC2014-004	*	*
AC2014-005	AC2014-017	*
AC2014-006	*	*
AC2014-007	*	*
AC2014-008	*	*
AC2014-009	AC2014-161	*

Figure 3 Section of the reconstructed pedigree for bears from Västerbotten. ID refers to a sampled individual; Sire and Dam to its putative parents.

In accordance with the methods used by Creel and Rosenblatt (2013) I inferred individuals as the missing parent in known parent-offspring dyads. In the above example this would correspond to three; one sire and two dams. However, assuming that each missing parent in the dyads constitutes a new individual would most likely be an overestimation because males frequently mate with several females and vice versa (Steyaert et al. 2012). This means, for example, that an inferred sire is likely to be the missing father in several of the mother-offspring dyads. Therefore, I used the improbable scenario in which the number of inferred individuals (N_{in}) equals the number of dyads in the pedigree, only for the estimation of the upper bound of the population estimate.

For a more realistic estimate that accounts for multiple parentages of inferred individuals, I first determined the ratio of all the known individual dams to the known individual sires ($R_{d:s}$). I then used this ratio to infer the missing counterparts from the individual dams and sires in the pedigree dyads (see Box 1 for an example). In this way, the ratio of dams to sires with the inferred individuals included remains the same as it was in the original pedigree. I consider this to be the most likely reflection of the true situation in the population.

Another problem pointed out by Creel and Rosenblatt (2013) is the circumstance that there is no way to ascertain how many of the inferred individuals are actually still alive at the time of the estimate. In their simulations the authors addressed this issue by tracking all individuals and accounting for known deaths. This approach is not applicable to my assumed scenario of a one-time sampling event. Even over the course of several sampling periods it would be difficult to keep track of individual deaths. However, bears are long lived and have low annual mortality rates once they reach their reproductive age at about four to five years (Swenson et al. 2001) as shown in Figure 4. Although the potential bias resulting from an unknown death rate among inferred individuals is probably small, it should not be ignored.

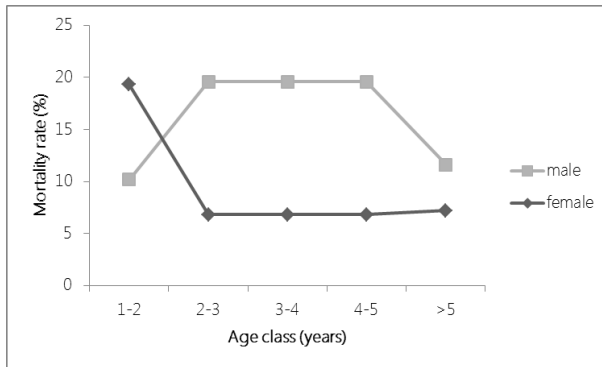


Figure 4 Annual mortality rates of bears in Sweden at different ages based on values taken from Nilsson (2013). While the cause of higher female mortality at age 1-2 is currently still unresolved, the higher male mortality rates from ages 2-5 can be explained by the increased dispersal mobility and less cautious behavior of young males (Bischof et al. 2009). At ages >5 the average annual mortality rate is 7.2% for females and 11.6% for males.

To account for mortality among inferred individuals I assumed them to be of typical breeding age (>5 years) and thus applied average annual mortality rates of 7.2% to inferred dams and 11.6% to sires respectively.

A current limitation of both the Creel-Rosenblatt estimator and rarefaction analysis is the lack of a consensus method for assigning confidence limits. In their rarefaction analyses Bellemain et al. (2005) used 1,000 random iterations of the genotype sampling order, resulting in a range of slightly different asymptotes for the rarefaction curves. In a similar approach I bootstrapped the asymptote a of the rarefaction models with 1,000 iterations in JMP Pro (SAS Institute) and calculated 95% confidence limits from the 2.5 and 97.5 percentiles of the bootstrap values (Kindberg et al. 2011). However, this method is more indicative of the sampling variance rather than the true estimator variance (Bellemain et al. 2005).

For the Creel-Rosenblatt estimates I did not assign confidence limits *per se*. Instead, I designated the count of sampled genotypes as the lower bound and set an upper bound by treating N_{in} as equal to the number of dyads in the pedigree. For the estimate of the upper bound I also assumed zero mortality among the inferred individuals.

To test the performance of the CRE at different sampling intensities, I randomly took samples corresponding to 15%, 30% and 45% of the presumed (= estimated by rarefaction) population size. Throughout this thesis, sampling intensity (SI) is denoted as in the following example, taken from the Dalarna-Gävleborg results:

$$SI_{45} (55)$$

The first number always represents the sample as a percentage of the rarefaction population estimate (45% of 957) while the second number in parentheses corresponds to the percentage in relation to the official population estimate (793 for Dalarna-Gävleborg). Thus, the second value is probably more representative of the true sampling intensity. For Dalarna-Gävleborg $SI_{45} (55)$ constituted the highest sampling intensity that could be tested because only 434 individuals were sampled ($434 / 793 = 0.547$). For Västerbotten, the CRE could be tested up to an SI of 73%. In order to compare the CRE to rarefaction analysis, I calculated rarefaction curves for the same sampling intensities.

Box 1 CRE population estimate for the Dalarna-Gävleborg brown bears

$N_s = 434$ [Number of individuals (genotypes) directly sampled]
 $B_s = 160$ [Number of sampled breeders (sires and dams in the pedigree)]

Number of sires in the pedigree: 71
 Number of dams in the pedigree: 89

$$R_{d:s} = 89 / 71 = 1.25 \rightarrow 1 \text{ sire} = 1.25 \text{ dams}$$

Parent-offspring dyads	Inferred individuals	Mortality correction
Sires (individuals): 56	$56 * 1.25 = 70$ (dams)	$70 - (70 * 0.072) = 65$
Dams (individuals): 65	$65 / 1.25 = 52$ (sires)	$52 - (52 * 0.116) = 46$
		$N_{in} = 111$

$$\hat{N} = N_s + 2N_{in} - \frac{N_{in}B_s}{N_s + N_{in}}$$

$$\hat{N} = 434 + 2*111 - \frac{111*160}{434+111}$$

$$\hat{N} = 623$$

The total number of dyads in the pedigree is 171. Under the assumptions that N_{in} = number of dyads in the pedigree and no mortality, it follows that

$$Upper \ bound = 434 + 2*171 - \frac{171*160}{434+171} = 731.$$

With the lower bound formed by N_s the CRE population estimate for Dalarna-Gävleborg is

$$\hat{N} = 623 [434, 731].$$

3.3.3 Genetic characteristics

3.3.3.1 Genetic diversity, inbreeding and gene flow

I tested for deviations from Hardy-Weinberg equilibrium (HWE) using the software Genepop version 4.2 (Rousset 2008) with Markov Chain settings of 10,000 dememorizations, 20 batches and 5,000 iterations per batch. Values for expected heterozygosity (H_e) under HWE and observed heterozygosity (H_o) as a measure for nuclear genetic diversity were obtained from the FRANz (Riester et al. 2009) summary output file. I calculated the within population inbreeding coefficient (F_{is}) according to Weir and Cockerham (1984) in Genepop version 4.2 (Rousset 2008).

F_{is} values are bounded by -1 (all individuals heterozygous) and 1 (all homozygous). In other words, a positive F_{is} indicates heterozygote deficiency in comparison with HWE expectations (Waples 2015), which is a sign of inbreeding if that value is significantly different from zero. I tested for statistical significance using a one-sample t-test in JMP Pro (SAS Institute). As for all statistical tests in this study, the significance level was set to $\alpha = .05$.

The test for HWE revealed that in the Västerbotten genotypes 8 of the 85 autosomal loci highly significantly deviated from HWE ($p < .001$). Because this could indicate that they are under selection, they were removed for the final estimation of F_{is} .

Fahlen (2014) reported seven male migrants from the eastern lineage in Dalarna-Gävleborg. I searched for indications of migrant-mediated gene flow from the western lineage (Dalarna-Gävleborg) to Västerbotten by scanning the mtDNA genetic profiles of the Västerbotten samples for the southern haplotype.

3.3.3.2 Population structure

I investigated possible sub-structuring of the Västerbotten population by following similar methods as employed by Fahlen (2014) in her analyses of the Dalarna-Gävleborg bears. First, I used the software package STRUCTURE version 2.3.4 (Pritchard et al. 2000) to test for genetic clusters (K) based on the autosomal SNPs ($n = 85$) of the sampled individuals ($n = 265$). STRUCTURE uses a Markov Chain Monte Carlo based Bayesian algorithm for the estimation of K . Individuals are assigned to each cluster according to their genetic profile at multiple sites (multilocus genotype) in the genome (Pritchard et al. 2010).

Following suggestions by Evanno et al. (2005) I set the length of the burn-in and MCMC to 10,000 and ran 20 iterations for each putative number of K (1-10). The results were analyzed in Structure Harvester Web v0.6.94 (Earl and vonHoldt 2012) and the most probable number of genetic clusters determined based on the highest $\text{LnP}(D)$ and the delta K (ΔK) methods (Evanno et al. 2005).

Second, I used principal component analysis (PCA) in JMP Pro (SAS Institute) to search for clustering patterns.

While the STRUCTURE and PCA analyses solely utilized genetic information, I used GENELAND version 4.0.5 (Guillot et al. 2005) to apply a clustering algorithm that also takes spatial information into account. GENELAND is an extension package for the statistical software R (R Development Core Team 2008) and combines spatial with genetic data in a MCMC process. This approach has the potential to reveal landscape features that interfere with gene flow (fragmentation), which favors the formation of genetically distinct sub-populations. Following recommendations by Guillot et al. (2015) I fixed the maximum rate (rate.max) of the Poisson process at 265 (= individuals in the dataset), the maximum number of nuclei in the Poisson-Voronoi tessellation at 795 (= $3 \times \text{rate.max}$) and the uncertainty of the coordinates at 15,000m (= median distance of all known bear movements). I chose an uncorrelated allele frequency model and carried out five independent runs. The run with the highest posterior probability was then post-processed with a burn-in of 200. I inferred the number of clusters K from the highest mode of the plot depicting the numbers of population clusters predicted by the MCMC as well as from the maps of posterior probabilities. The spatial clusters suggested by GENELAND were then compared to possible fragmenting landscape features (e.g. roads) using the GIS package ArcMap version 10.2.2 (ESRI 2014).

3.3.4 Spatial investigations

3.3.4.1 *The effect of borders on the pedigree*

I assumed that the pedigree would become less complete (contain fewer parent-offspring pairs) the further sampling occurred from the core area around the center point of the sampling frame. This is because many breeding individuals might have moved beyond the borders of the sampling area and therefore may have been missed during the sample collection. In both areas, Dalarna-Gävleborg and Västerbotten, the only true population border is the Baltic Sea to the east. To the north and the south, bears occur beyond the borders of the sampling areas. Most interesting is the border to the west, formed by the Scandinavian mountain range. Because mountain terrain can be rugged and difficult to access, the sampling intensity by hunters was lower there than in other areas. If the pedigree were to show similar levels of incompleteness along the western border compared with the “open” borders to the north and the south, it could indicate that bears in the mountains were missed in the sampling. If this were the case it would lead to an underestimation of the population size. If, on the other hand, the mountains form a true border like the Baltic Sea, then the pedigree should be equally complete in both these locations.

From the coordinate data that was provided along with the hunter-collected fecal samples, the median centers of all known locations for an individual were calculated using R (R Development Core Team 2008). Appendix IV contains two maps with these results. The median was considered to be less biased than the mean because of its lower sensitivity to large outliers. Inferring home ranges from the locations of fecal samples is prone to errors but Bellemain et al. (2005) reported that the majority of fecal sites fall inside the home range or within 10 km of it. I determined the center point of the sampling area as the median center of all individual locations using the median center spatial statistics tool in ArcMap. I then sampled the individuals closest to the center point and the four borders (North, South, East & West) respectively at sample sizes of $n = 100$ for Dalarna-Gävleborg and $n = 70$ in Västerbotten. The number of samples for Västerbotten had to be lower to avoid overlap because fewer individuals in total were available to sample from. In a second step I also sampled males and females separately (Dalarna-Gävleborg, $n = 50$; Västerbotten, $n = 30$) to investigate if there are detectable differences between mother-daughter and father-son dyads.

To test for differences in the completeness of the pedigree I used Pearson’s Chi-square test for homogeneity of proportions with the proportions corresponding to the number of parent-offspring pairs in the pedigree per number of sampled individuals.

To further test whether there is a spatial effect on parent-offspring pairs in sex-separated pedigrees, I also sampled males and females randomly across the whole sampling area (Dalarna-Gävleborg, $n = 50$; Västerbotten, $n = 30$).

3.3.4.2 *Movement and reproductive success*

When at least two sampling locations of the same individual were known, I used ArcMap to measure the Euclidian distance. In case of multiple locations the maximum distance was measured. I tested for differences in travel distances between males and females in both sampling areas using one-way ANOVA followed by a *post hoc* Tukey-Kramer test in JMP Pro.

The frequency at which an individual occurs in the pedigree can be seen as a measure of reproductive success. I used simple linear regression to predict frequency in the pedigree based on distance travelled.

3.3.4.3 Spatial Clusters

I used Optimized Hot Spot Analysis with the Fishnet Polygon option selected in ArcMap to search for population clusters based solely on spatial data (median centers of individuals). The method reveals areas with significantly higher clustering (hot spots) as well significantly lower clustering (cold spots) compared to what could be expected from a random distribution of the analyzed features (locations of individual bears in this study). I used the results to search for regions with high concentrations of bears, especially putative female core areas.

4 Results

The 2012 consensus genotype dataset for Dalarna-Gävleborg contained 434 genotypes; 244 females and 190 males. The female to male ratio was 1.28 which is significantly different from 1:1 ($\chi^2(1) = 6.72, p = .010$).

From the 2014 Västerbotten survey, 677 fecal samples could be linked to 270 individual bears. Five of those were excluded from subsequent analyses due to inconclusive sex. The consensus genotype dataset therefore contained 265 individuals; 136 females and 129 males. The female to male sex ratio of 1.05 did not differ significantly from 1:1 ($\chi^2(1) = 0.19, p = .667$).

The typing error was estimated as 1.538×10^{-4} for Dalarna-Gävleborg and 0.01 for Västerbotten.

4.1 Pedigree reconstruction

The FRANz (Riester et al. 2009) reconstructed pedigrees can be found in Appendix I (Dalarna-Gävleborg) and Appendix II (Västerbotten).

As shown in Figure 5, the MCMC algorithms used by FRANz to construct ML pedigrees do not appear to be prone to noise. The software consistently produced nearly identical results for assigned parentage and full-sibling (FS) relationship across multiple runs of the same data at three different sampling intensities (Fig. 5a). Although higher sampling intensities (= more genotypes) produce larger numbers of parent-offspring pairs in the resulting pedigrees, the variation in the results from multiple runs is practically the same at all three tested sampling intensities (Fig. 5b) with the exception of the number of putative full-siblings (No. FS). However, the FS assignment is currently still an experimental feature in FRANz (Riester et al. 2009).

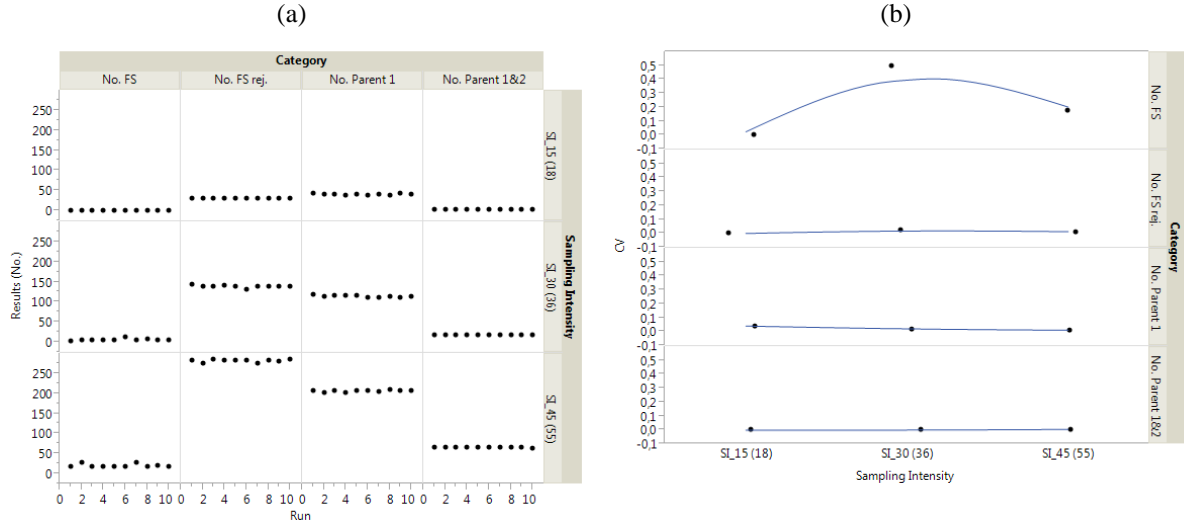


Figure 5 Test results for intrinsic noise in the FRANz pedigree reconstruction software. “SI” stands for sampling intensity and “No.” for number of identified full-siblings (FS), parent-offspring dyads (Parent 1) and parent-offspring triads (Parent 1&2). The estimates of key parameters (parentage and full-sibling assignment) remain constant across multiple runs (a). The variation of results from multiple runs, plotted as the coefficient of variation (CV) on the y-axis in (b), is practically the same at different sampling intensities except for the experimental full-sibling (No. FS) feature. These results indicate that the ML pedigrees produced by FRANz remain unbiased by changes in sample size.

Figure 6 shows that incorrect settings of the typing error can strongly affect the resulting pedigrees. If the typing error setting is higher than the true value, FRANz will construct many false parent-offspring pairs. Conversely, typing error settings which are much below the true value could result in an empty pedigree.

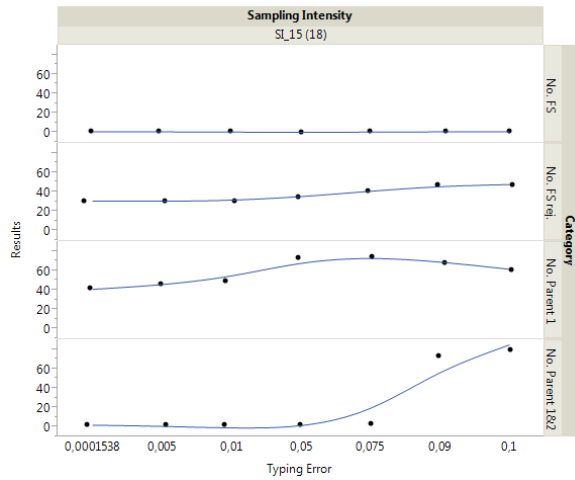


Figure 6 The effect of incorrect typing error (TE) settings on the ML pedigrees constructed by FRANz. In this example the true TE is 1.538×10^{-4} . Higher settings results in an increasing number of false parent-offspring dyads (No. Parent 1) and triads (No. Parents 1&2) in the pedigree.

4.2 Population estimates

4.2.1 Rarefaction analysis

For Dalarna-Gävleborg the rarefaction analysis was based on 873 samples containing 404 genotypes and 677 samples encompassing 270 genotypes for Västerbotten respectively. Figure 7 shows the estimated population sizes suggested by the amplitudes a of the

extrapolation curves constructed from three different models. Table 1 provides a summary of the model parameters and comparisons, indicating that the model suggested by Kohn et al. (1999) is the best fit for the data from both Dalarna-Gävleborg and Västerbotten. The resulting population estimates are

$$\hat{N} = 957 \text{ (Dalarna-Gävleborg)}$$

$$\hat{N} = 488 \text{ (Västerbotten).}$$

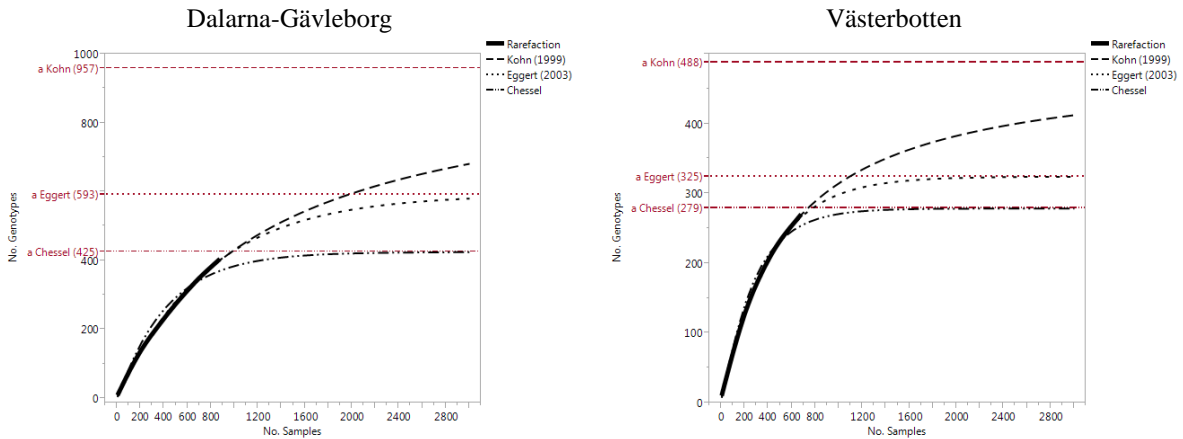


Figure 7 Rarefaction analysis results for Dalarna-Gävleborg (a) and Västerbotten (b). The bold black line represents the rarefaction curve based on the fecal samples collected in both counties. The dashed lines are the extrapolations by the three models fitted to the data. The values for the asymptotes a (= estimated population size) for each model are denoted by the dashed lines in red.

Table 1 Comparison of the three rarefaction analysis models. As indicated by the highest R^2 and lowest values for root average square error (RASE) and average absolute error (AAE), the model suggested by Kohn (1999) provides the best fit to the rarefaction curve data. The amplitude values (a) correspond to the estimated population sizes.

	Dalarna-Gävleborg					Västerbotten				
	Parameters		Measures of fit			Parameters		Measures of fit		
	a	b	R^2	RASE	AAE	a	b	R^2	RASE	AAE
Kohn (1999)	957.37	1213.56	0.999	4.32	3.72	488.06	548.09	0.999	1.80	1.44
Eggert (2003)	592.63	-0.0013	0.998	5.10	4.37	324.57	-0.0025	0.999	2.28	1.99
Chessel	425.22	n.a.	0.971	18.95	16.64	278.80	n.a.	0.985	9.18	7.98

4.2.2 Pedigree reconstruction method (Creel-Rosenblatt estimator)

Figure 8 depicts the results for the population size estimates based on the Creel-Rosenblatt estimator and rarefaction analysis in comparison to the official county estimates that were calculated using the closed population model Mth2 in the software MARK (White and Burnham 1999) and the large carnivore observation index (LCOI) (Jonas Kindberg, pers. comm.). For the Dalarna-Gävleborg bear population the CRE estimate is $\hat{N} = 623$ with a lower bound of 434 (= count of genotypes) and an upper limit of 731 (assuming no mortality in the maximum number of individuals that could be inferred from the pedigree). The rarefaction analysis suggested $\hat{N} = 957$ individuals, 95% CI [947, 967]. For Västerbotten the results are $\hat{N} = 404$ (lower bound: 265, upper bound: 476) for the CRE and $\hat{N} = 488$, 95% CI [486, 489] for the rarefaction.

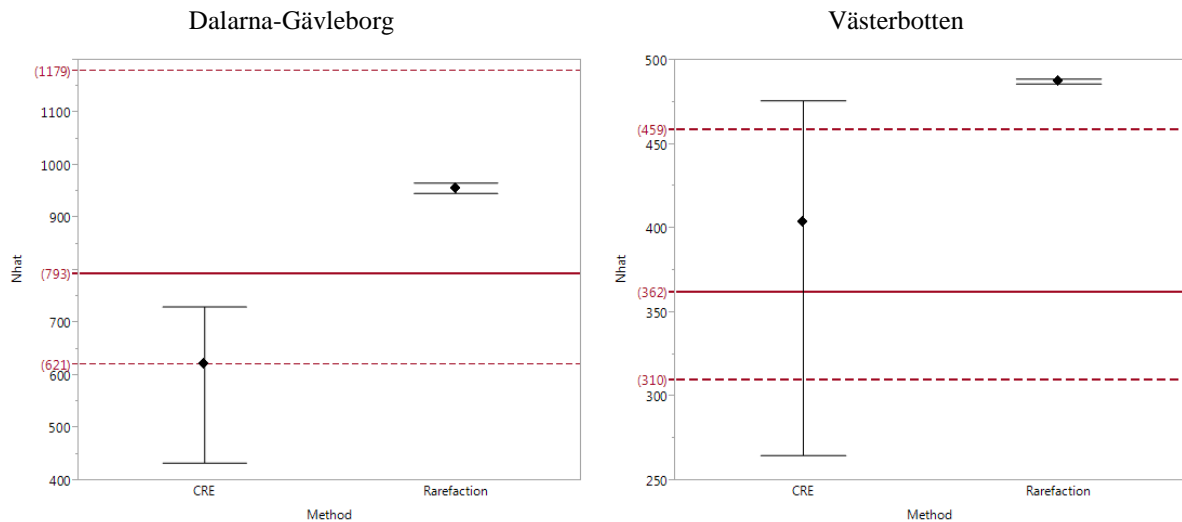


Figure 8 Population estimates by the Creel-Rosenblatt estimator (CRE) and from rarefaction analysis. The bold red lines correspond to the official population estimates and their 95% confidence interval (dashed red lines). The error bars on the CRE estimates do *not* denote confidence limits. Instead, the lower bound represents the genotype count and the upper bound the maximum estimate based on the assumptions of no mortality and no mating with multiple partners among the inferred individuals. In the rarefaction results the error bars represent 95% bootstrap confidence limits. In both counties the CRE population size results fall within the 95% confidence limits of the official estimations. The rarefaction analysis based on Kohn's (1999) model appears to have a tendency to overestimate.

Table 2 lists the numbers of individual genotypes that were used at the different sampling intensities as well as the resulting population estimated based on the CRE and rarefaction. The performance of the two estimators in comparison to each other and to the official population estimates is shown in Fig. 9a and the model gain of the CRE over a simple count of directly sampled individuals in Fig. 9b.

Table 2 Bear population estimates (\hat{N}) for Dalarna-Gävleborg (DG) and Västerbotten (V) based on the Creel-Rosenblatt estimator (CRE) and rarefaction analysis (Rf) at different sampling intensities (SI). The bold values for SI correspond to the percentage that the number of individuals in the sample (n) represents compared to the estimated total population size based on rarefaction analysis. The two numbers following in parentheses are the percentages which n represents of the official population estimates for the counties (first value DG, second value V). For example: The initial rarefaction estimate for the DG was 957. A 15% SI corresponds to $n = 144$. The official population estimate, however, is 793 of which a sample size of $n = 144$ represents 18%.

		Sampling intensity (SI)															
		15% (18, 20)				30% (36, 40)				45% (55, 60)				54% (n.a., 73)			
		n		\hat{N}		n		\hat{N}		n		\hat{N}		n		\hat{N}	
		<i>CRE</i>		<i>Rf</i>		<i>CRE</i>		<i>Rf</i>		<i>CRE</i>		<i>Rf</i>		<i>CRE</i>		<i>Rf</i>	
DG	144	193	489	287	409	733	434	623	957	n.a.	n.a.	n.a.					
		(144, 204)	[475, 503]	(287, 474)	[717, 750]	(434, 731)	[947, 967]										
V	73	93	192	146	211	419	219	318	493	265	404	488					
		(73, 101)	[179, 203]	(146, 234)	[406, 433]	(219, 388)	[486, 499]	(265, 476)	[486, 489]								

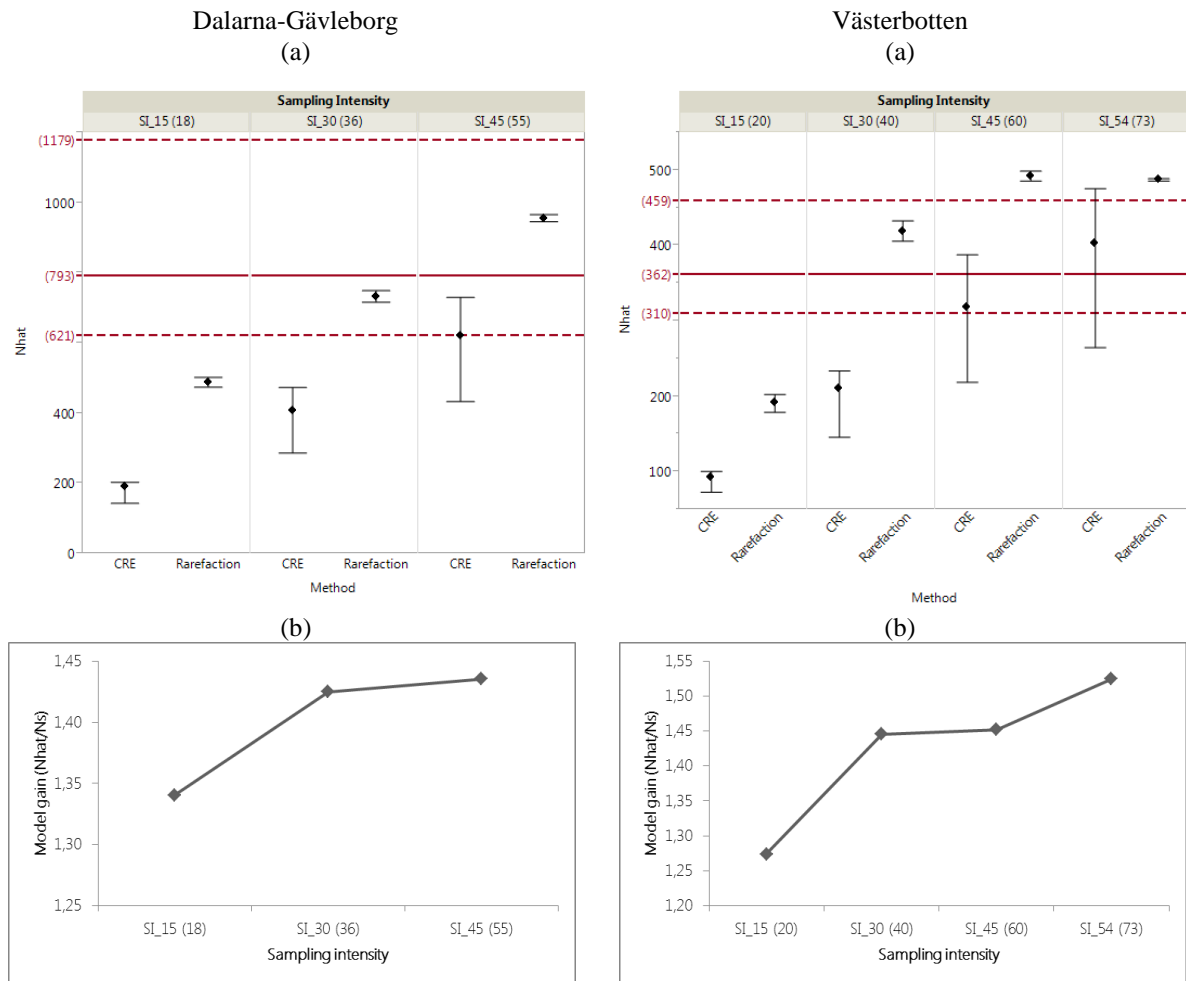


Figure 9 Comparison of the performance of the Creel-Rosenblatt estimator (CRE) and rarefaction analysis at different sampling intensities (a) and the gains of the CRE relative to a count of sampled individuals N_s (b).

4.3 Genetic characteristics

4.3.1 Genetic diversity, inbreeding and gene flow

For the Dalarna-Gävleborg population Fahlen (2014) reported HWE, an average F_{is} of -0.0014 and male-mediated gene flow from 7 migrants of the eastern lineage.

In Västerbotten mean H_o (0.431) was lower than H_e (0.448). The initial analysis across all 85 autosomal SNPs showed 13 loci out of HWE and an average F_{is} of 0.035. Eight loci that displayed a highly significant ($p < .001$) deviation from HWE were removed. After removal, deviation from HWE was no longer significant, $\chi^2(154) = 167.02$, $p = .22$. The corresponding mean inbreeding coefficient was slightly lower at $F_{is} = 0.025$ but still significantly different from zero, $t(76) = 3.21$, $p = .002$.

I found one male migrant (AC2014-081) with the southern haplotype (western lineage). The pedigree indicated that the individual had bred with at least four females. One of his male offspring had in turn also already produced offspring (Fig. 10).

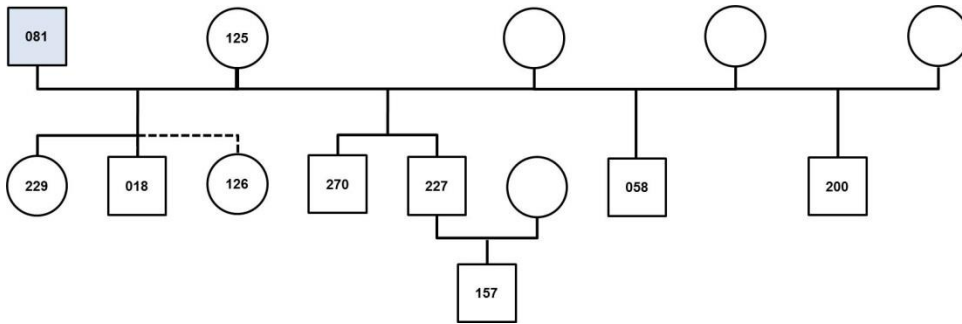


Figure 10 Known relationships of a male migrant (081) from the western lineage (southern haplotype) in Västerbotten. The putative female offspring 126 is connected with a dashed line because 081 and 125 were identified as parents in the pedigree but no full-sibling relationship with 018 and 229 was found by the ‘fullsibtest’ experimental feature in FRANz. Empty circles represent unsampled females that were inferred by pedigree reconstruction.

4.3.2 Population structure

For Dalarna-Gävleborg Fahlen (2014) reported $K = 1$ genetic clusters based on the LnP(D) method and the PCA. The *ad hoc* statistic ΔK suggested three sub-populations ($K = 3$). In Västerbotten LnP(D) appeared a bit inconclusive (see discussion) whereas ΔK suggested two sub-populations. The PCA resulted in one diffuse cluster and the GENELAND results based on spatio-genetic data indicated four clusters (Fig. 11 & 12).

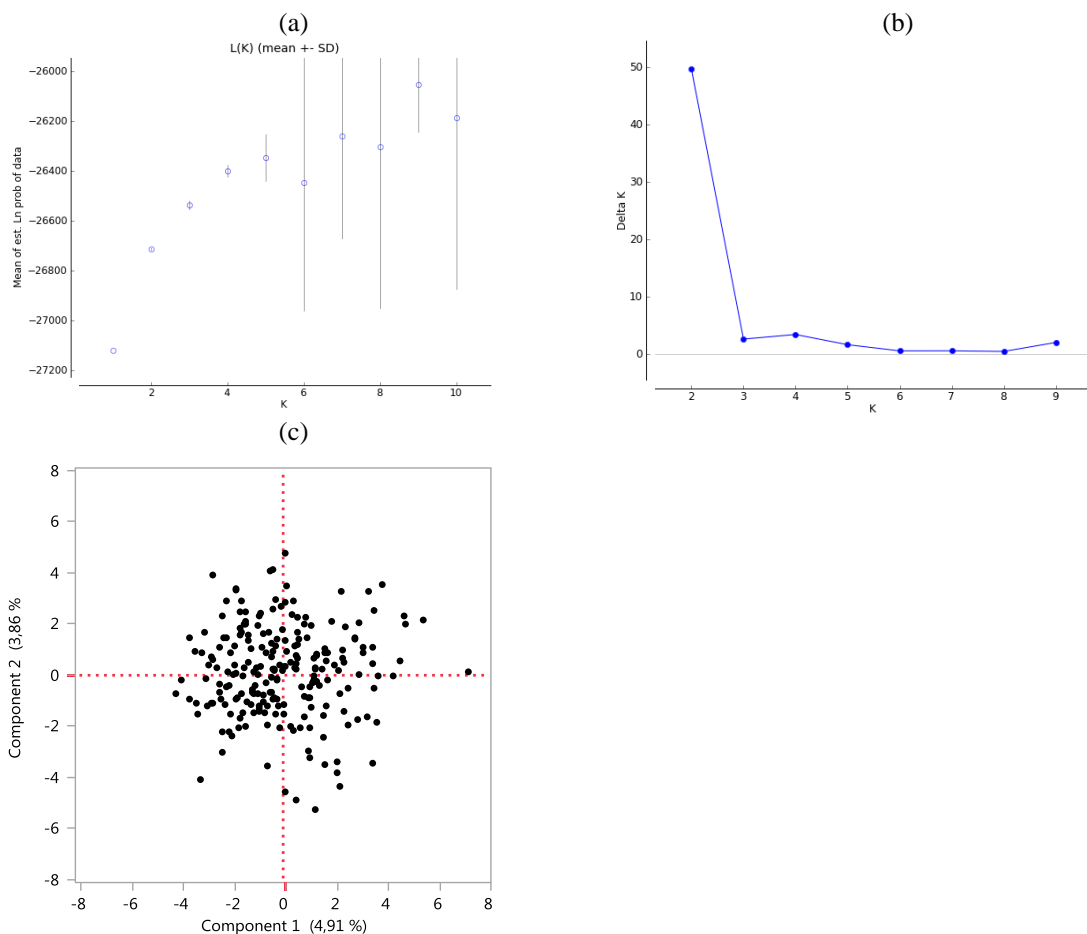


Figure 11 Suggested number of sub-populations (clusters) K in Västerbotten according to different methods; (a) LnP(D), (b) ΔK and (c) PCA.

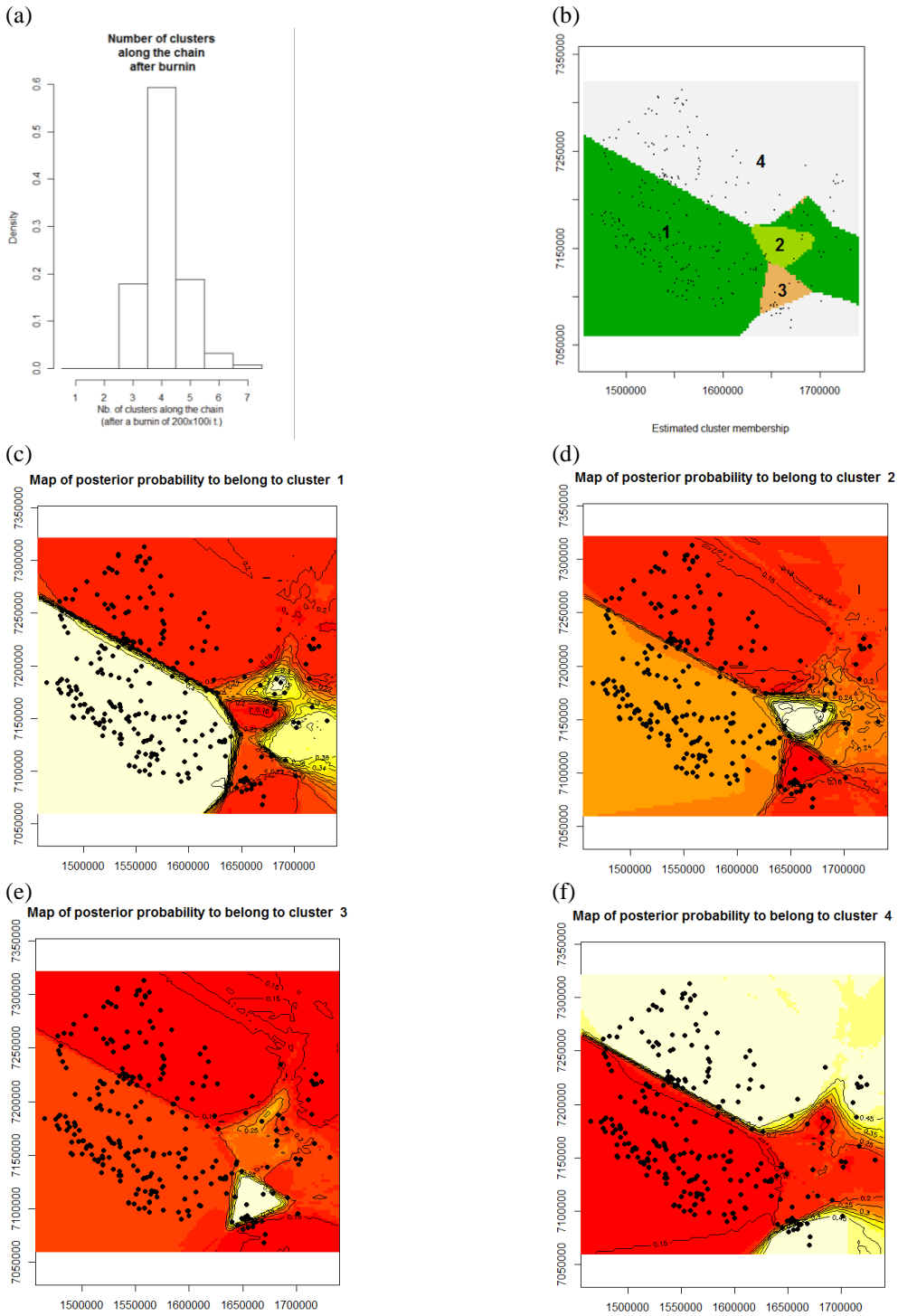


Figure 12 The GENELAND analysis suggests four (a) spatio-genetically distinct population clusters (b). The maps (c-f) depict the posterior probabilities of individuals (black dots) belonging to a particular cluster on a white-to-red graded scale with white representing the highest values.

The comparison of the clusters suggested by GENELAND to major roads as a possible explanation for population fragmentation (see Appendix III), revealed no clearly discernable pattern.

4.4 Spatial investigations

4.4.1 The effect of borders on the pedigree

I found no significant differences in the completeness of the pedigree between the core area and the four peripheral border areas in Dalarna-Gävleborg, $\chi^2(4) = 7.05$, $p = .134$ or Västerbotten, $\chi^2(4) = 1.97$, $p = .74$.

When males and females were sampled separately, significantly more mother-daughter than father-son dyads were found in Dalarna-Gävleborg, $\chi^2(9) = 62.79$, $p < .0001$. When the same number of males and females ($n = 50$) were sampled randomly across the whole area there was no significant difference between the proportions of mother-daughter and father-son dyads per sampled individuals, $z = -0.521$, $p = .602$.

In Västerbotten, visual inspection of the data (Fig. 13) suggests the same trend but the difference in proportions between male and female dyads was not significant, $\chi^2(9) = 15.28$, $p = .083$. The two-sample z -test for proportions when females and males ($n = 30$) were sampled randomly across the whole area was also not significant, $z = -0.645$, $p = .52$.

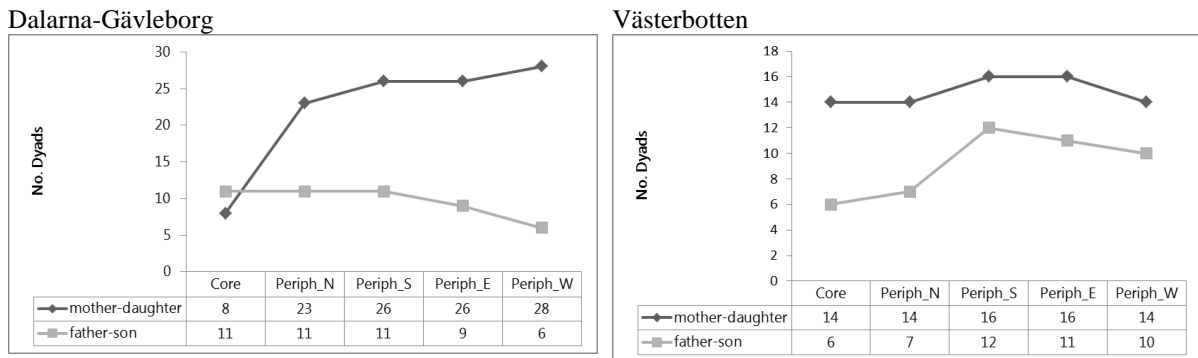


Figure 13 Mother-daughter and father-son dyads in the pedigree per sampled individuals ($n = 50$ in Dalarna-Gävleborg, $n = 30$ in Västerbotten) in the core and along the peripheral boundaries of the counties. Except for the core of Dalarna-Gävleborg there are generally more mother-daughter than father-son dyads in each area although results for Västerbotten are not statistically significant.

4.4.2 Movement and reproductive success

In Dalarna-Gävleborg the movement distances inferred from multiple fecal sites for males ($n = 62$) averaged $M = 29.0$ km, $SD = 31.4$ km. The median was 17.0 km, the maximum 204.0 km and the minimum 0.05 km. For females ($n = 70$) the mean was $M = 26.9$ km, $SD = 33.7$ km. Median distance was 16.4 km, the maximum 177.2 km and the minimum 0.6 km.

In Västerbotten males ($n = 65$) moved on average $M = 28.1$ km, $SD = 34.7$ km with the median being 15.3 km and the minimum / maximum distances 188.6 km and 0.4 km respectively. The numbers for females ($n = 65$) are $M = 11.1$ km, $SD = 8.2$ km, median = 8.6 km, max = 33.6 km and min = 0.6 km.

One-way ANOVA for testing difference in average movement was significant, $F(3, 258) = 5.50$, $p = .001$. The *post hoc* Tukey-Kramer test indicated that females in Västerbotten ($M = 11.1$ km, $SD = 8.2$ km) travelled significantly shorter distances than the other groups (males and females in Dalarna-Gävleborg, males in Västerbotten).

The regression of 'frequency in the pedigree' on 'travel distance' was significant in Dalarna-Gävleborg, $F(1, 30) = 4.18$, $p = .043$, $R^2 = .031$ (Fig. 14). No significant correlation was found in Västerbotten, $r = -0.094$, 95% CI [-0.26, 0.08], $p = .29$.

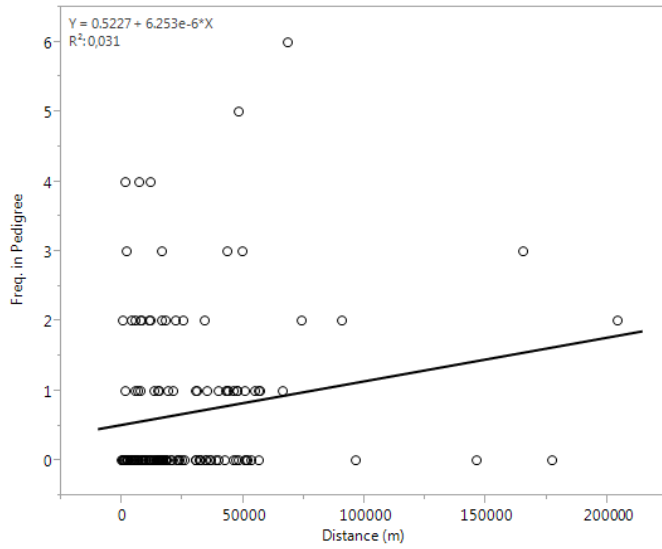


Figure 14 Regression of frequency in the pedigree on distance travelled by bears in Dalarna-Gävleborg. The positive correlation suggests that larger movements result in more offspring. However, the effect is very weak as indicated by the low coefficient of determination (R^2), explaining only approximately 3% of the variation.

4.4.3 Spatial clusters

The Optimized Hot Spot Analysis detected areas with significantly higher and lower spatial clustering of female and male individuals in both counties (Fig. 15).

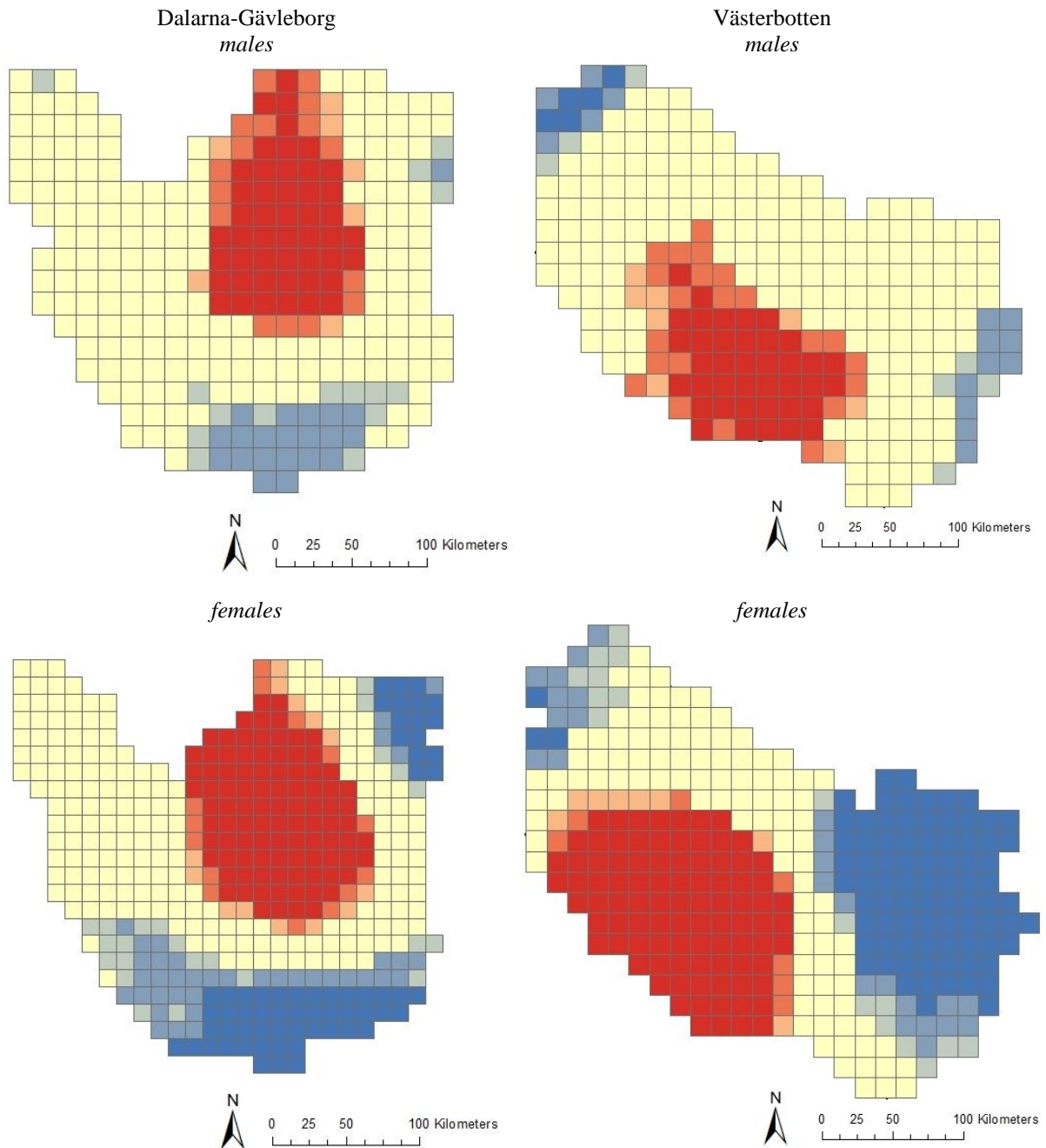


Figure 15 Optimized Hot Spot Analysis clusters of males and females in Dalarna-Gävleborg and Västerbotten. Red areas (hot spots) indicate significantly higher clustering of individuals than could be expected from random distribution of all sampled individuals and blue areas (cold spots) represent the opposite (significantly less clustering). While the hot spots could to some degree be due to higher sampling effort in these areas, the much larger cold spots in the female distribution in both counties suggest that females really are more concentrated in the hot spot areas. This could be an indication of female core areas.

5 Discussion

In this study, I used the Creel-Rosenblatt estimator (CRE) as a new, pedigree reconstruction-based method to estimate the size of two Swedish brown bear populations. SNP genetic data obtained from non-invasive fecal samples was used in the reconstruction of pedigrees and for investigations into population structure, genetic health and spatial patterns. With regard to my hypotheses I summarize:

- (i) Total population size could be estimated with the CRE using pedigree reconstruction on the basis of SNP genotypes with 85 autosomal loci. The performance of the estimator on empirical genetic data was similar to the results of simulations by Creel and Rosenblatt (2013).
- (ii) If the sampling intensity is $\geq 40\%$ the CRE provides results that are comparable to rarefaction analysis and also to capture-mark-recapture methods; at lower sampling intensities the CRE tends to underestimate. The gain in population size estimates relative to a count of genotypes ranged from 27-52%.
- (iii) An inbreeding coefficient of $F_{is} = 0.025$ could indicate low levels of inbreeding in Västerbotten. However, the value is not high enough to consider the population genetically unhealthy.
- (iv) One male migrant from the western lineage (southern haplotype) was found.
- (v) The use of spatial data extended the range of possible tests for population structuring. Female core areas suggested by clusters inferred from purely spatial data corresponded with the findings of others. While more prone to bias and error than observational data, inferred movements from fecal site locations appear to be a viable source for spatial analyses.
- (vi) There was no significant difference in the completeness of pedigrees from samples collected at different parts (core and peripheries) of the study area.
- (vii) There was no strong evidence for linking movements to reproductive success.

Pedigree reconstruction

The sampling effort by citizen volunteers across the study area was high enough to allow for the construction of rarefaction curves for an initial approximation of the population size which was needed for the parametrization of the FRANz pedigree reconstruction software. If the sampling effort is too low, the rarefaction graph would not start to converge asymptotically but remain linear (with every collected sample corresponding to a new genotype) and no population estimate could be made. In such a situation and in the absence of prior population estimates, heuristics based on general knowledge about the species could be used. For example, if approximate home range sizes are known, the maximum population size could be estimated as the number of potential home ranges in an area. Correct settings of the typing error are of particular importance. FRANz constructs maximum likelihood pedigrees largely based on genetic similarity between genotypes. A common exclusion criterion for putative parent-offspring dyads is the presence of opposing homozygotes. For example, if an assumed parent is homozygous at a particular locus (e.g. TT) then its offspring cannot be homozygous for a different allele (e.g. AA) at that same locus (Calus et al. 2011). However, it is possible that an identified homozygous locus resulted from a common error like misprinting or allelic dropout during the genotyping process. In such a case, the pedigree reconstruction software needs the typing error as a measure of how many such instances it can reasonably expect and tolerate. If the error rate

is set below its true value, the software will reject many otherwise perfectly fine parent-offspring matches based on a few erroneously genotyped loci. In the extreme, this could result in an empty pedigree. Conversely, if the error rate is set too high, the software will ignore too many true exclusion scenarios and establish relationship links between individuals who are in reality unrelated (Wang and Santure 2009).

The implications of neglecting to address the typing error in genetic data can be dramatic. In a study on wolves in Yellowstone National Park, Creel et al. (2003) showed that ignoring typing errors resulted in a 5.5 fold overestimation of population size. In the Yellowstone example, typing errors led to the so called “ghost effect” where one genotype is falsely interpreted as several different ones. Non-invasively collected samples, particularly feces, are especially prone to typing errors due to the often heavily degraded DNA and contamination by non-target DNA from bacteria and food. In a later paper, Creel and Rosenblatt (2013) point out that “ironically the ghost effect becomes stronger if the number of loci is increased to eliminate the shadow effect”, with the latter being the misidentification of several individuals as one.

A common way to estimate the typing error and to obtain reliable genotypes is to perform several genotyping runs on the same sample (Hess et al. 2012) in a so-called multiple tube approach (Taberlet et al. 1999). Alternatively, FRANz offers the option to specify known parent-offspring relationships *a priori* and the software then estimates the error rate automatically from these pairs (Riester et al. 2009). However, no prior information about relatedness of the sampled bears was available for this study.

Population estimates

A useful measurement for precision is the percentage relative precision (PRP) which relates a population estimate to its 95% confidence limits (Sutherland 2006). The PRP of the official bear population estimates was 21% in Västerbotten and 35% in Dalarna-Gävleborg. The circumstance that precise population estimates were available prior to this study provided an ideal framework for testing a new census method because it allowed for verification of the results.

The pedigree reconstruction-based population estimates of the CRE fell within the confidence limits of the official numbers. This is an indication that the method provides a viable alternative to CMR approaches with the added benefit that it can even be employed to data from a single sampling event. The CRE increased the number of detected individuals by 27-52% compared to a simple count. However, as already demonstrated by Creel and Rosenblatt (2013) in their simulations, the method works best if the sampling intensity exceeds ~40%. At lower sampling intensities, the estimator tends to severely underestimate the true population size and also underperforms in comparison with rarefaction analysis. This can be explained by the fact that small sample sizes usually do not contain many parent-offspring pairs which severely restricts the pedigree reconstruction. At much higher sampling intensities (e.g. >80%) hardly any information is gained over a simple count. Moreover, the risk of overestimation also increases. If, to give an extreme example, 100% of individuals were sampled and no reliable information of mortalities was available, the CRE would severely overestimate the size of the population because individuals (albeit dead) would still be inferred from the pedigree. This situation can be avoided by comparing the CRE estimates to those obtained from rarefaction analysis on the same data. I recommend to always consider all three suggested rarefaction models. The model which best fits the data based on R^2 and the error readings, should be treated as the most informative one but the others can still provide useful auxiliary information. For example, in situations when sampling intensity is high, the model suggested by Kohn et al.

(1999) tends to overestimate while Chessel's model (Petit and Valiere 2006) is more likely to underestimate the population size. Thus, the best population estimate probably lies in between.

In their simulations, Creel and Rosenblatt (2013) tracked all individuals throughout the simulated period of 15 years which means that they consistently had accurate information about parent-offspring relationships and mortalities. Based on these data, they were able to infer individuals (as the missing parent in parent-offspring dyads) without error. In pedigrees reconstructed from empirical genetic field data, this inference is less straightforward for species with multiple mating behaviors. The authors already address this matter by recommending a refinement of their model to account for mating behavior and population subdivision.

In the pedigrees that were reconstructed for this study, inferring the missing parent in each dyad as a new individual would most likely lead to an overestimation because male bears mate with multiple females and vice versa (Steyaert et al. 2012). As pointed out earlier, I therefore used this assumption only for calculating the upper limits of the CRE population estimates. Naturally, each offspring can only have one mother and one father. However, if there are, for example, five mother-offspring dyads in the pedigree, the number of inferred fathers could be anywhere between one and five. Using the ratio of known dams and sires for inference of individuals remains somewhat unsatisfactory because it probably does not fully reflect reality. However, for lack of a better method given the data, it should suffice and prevent overestimation.

A preferable approach would be to start from the maximum inference (N_{in} = number of dyads) and then account for multiple parentages based on the relatedness of the offspring. For example, offspring with the same parents should be detectable as full-siblings. FRANz currently offers an experimental feature (--fullsibtest) to identify full-siblings. However, in almost all instances the detected full-siblings corresponded to parent-offspring triads in the pedigree. From these constellations no further individuals could be inferred because in parent-offspring triads there is no "missing" parent. Additionally, I found instances in which two individuals shared the same parents according to the pedigree but were not detected as full-siblings by the --fullsibtest routine. For many of the reconstructed parent-offspring dyads I suspected offspring to be half-siblings, individuals who share one parent. If, for instance, in the above example all offspring in the five mother-offspring dyads were half-siblings, we could then infer the presence of only one individual (their common father). Relatedness between all sampled individuals could be calculated as the Lynch-Ritland relatedness coefficient (Lynch and Ritland 1999). However, the coefficient only captures the degree of relatedness and not the specific relationship. Half-siblings share on average approximately 25% of alleles but the same is true for grandparent-grandoffspring and avuncular relationships (Blouin 2003). Thus, the true relationship between two individuals can usually not be inferred from their degree of relatedness alone.

In order to really improve inferences from the pedigree, information about the age of the sampled individuals is needed. If genetic relatedness can be combined with age in the analysis, the most probable relationships can easily be determined.

I recommend keeping track of each genotyped individual from the date it was first recorded. Even if the true age remains unknown, a minimum age can be assigned and over the course of several sampling periods, individuals can at least be compared on the basis of age relative to one another. This would considerably improve the accuracy of the CRE population estimates.

Another current weakness of the CRE lies in the difficulty to assign confidence limits. Further research is needed to develop appropriate methods. Bayesian simulations or bootstrapping might be viable options.

Furthermore, the CRE is only suitable for non-cyclical, long-lived species with either low or well documented mortality rates due to the possible bias arising from inferring individuals which might be dead by the time their existence is concluded from the pedigree (Creel and Rosenblatt 2013). For the Swedish bear population individual mortalities would most likely be detected because natural mortality of adults is minute in comparison to hunting or traffic accidents which are recorded (Morner et al. 2005).

Sometimes population studies are faced with the problem that juveniles tend to be missed in the sampling because they are hiding in a den or otherwise sheltered area (Logan 2001, Ross and Jalkotzy 1992). However, this is not applicable to bears because cubs start following their mothers around as soon as they emerge from their dens after hibernation. For well-studied populations that are regularly sampled, the CRE offers no immediate advantage over established CMR methods in terms of estimating population size. However, if sampling is repeated over a number of years, the required sampling effort to maintain a desired sampling coverage is strongly reduced as genotyped individuals accumulate. In simulations by Creel and Rosenblatt (2013) the proportion of the population that had to be sampled typically dropped to $\leq 20\%$ within three years (Fig. 16).

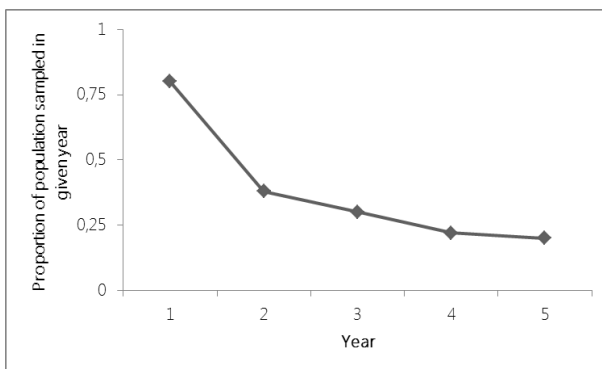


Figure 16 The proportion of the population that has to be sampled in in each given year to maintain a desired sampling coverage (here, approximately 75%) strongly declines over time if the monitored species is long-lived and has a low turnover rate. The graph is based on results published by Creel and Rosenblatt (2013).

If the results of the simulations are verified by empirical studies, the CRE has the potential to outperform CMR in terms of the required sampling effort, time and cost. In the meantime, efforts should be undertaken to improve established CMR methods by incorporating individuals inferred by pedigree reconstruction into the calculations.

The CRE could also prove to be very useful in situations where budgetary or logistic restraints make repeated, large scale sampling events unrealistic; for example in remote regions or developing countries. The use of non-invasively collected samples like feces could aid in making studies under such adverse conditions feasible. The identification, collection and transport of target feces require little training, which is advantageous in the recruitment of citizen volunteers. The negative trade-offs in terms of possible contamination and degradation can be alleviated to some degree by using SNPs as the molecular marker of choice. Because of their reproducibility across laboratories, the genotyping work and costs could also be shared between cooperating research institutions.

Genetic characteristics

The significant departure from Hardy-Weinberg equilibrium was largely due to 8 of the 85 autosomal loci. Further study is needed to determine the cause of the deviation from Hardy-Weinberg proportion at these loci. Possible explanations include genotyping errors and selection (Waples 2015). An indication that selection pressure really might be at work is the circumstance that the average F_{is} value of 0.035 for all 85 loci corresponds well with findings of Schregel et al. (2012) who reported an F_{is} of 0.04 for bears from Västerbotten. However, their study used short tandem repeats (STRs) rather than SNPs as genetic markers so the results might not be directly comparable. Until the question is resolved, the F_{is} of 0.025, which was based on an analysis that excluded the 8 questionable loci, should be treated as the more probable value for the inbreeding coefficient. The positive F_{is} indicates heterozygote deficiency which can suggest inbreeding. However, the number is close to zero and presently need not raise serious concern about the genetic health of the Västerbotten population. To provide a comparison, Liberg et al. (2005) reported inbreeding coefficients one order of magnitude higher (≥ 0.25) for a Scandinavian wolf (*Canis lupus*) population that showed clear signs of inbreeding depression like reduced litter sizes and the resulting decline of the population growth rate (λ). Fahlen (2014) reported male-mediated gene flow from the eastern lineage into the southern Swedish bear population and points out the current paucity of data on the reproductive success of migrants. This study found one male migrant with the southern haplotype in Västerbotten that had produced offspring with four females.

Population structure

Researchers who use the software package STRUCTURE often infer the number of genetic clusters K from the highest $\text{LnP}(D)$ which is the log likelihood for each step in the MCMC based Bayesian algorithm the software uses to estimate the number of clusters (Pritchard et al. 2000). This approach is frequently not very conclusive because multiple runs for each K often show significant overlap of the $\text{LnP}(D)$ values (Fig. 11a). A more reliable method is the one based on ΔK as proposed by Evanno et al. (2005) which suggested two clusters (or sub-populations) for Västerbotten. It should be noted, however, that the method assumes that there really is population sub-structuring present. In other words, values of $K = 1$ cannot be detected. In a recent paper, Meirmans (2015) cautions against relying too much on the “optimal” K values suggested by STRUCTURE and recommends to consider only clusters with a clear biological explanation. The GENELAND analysis (Fig. 12), which was based on the combination of spatial and genetic data, suggested four clusters. This result is also not fully convincing because the posterior probabilities for individuals belonging to a particular cluster are mostly around 0.4 which is rather low. However, similar values were deemed sufficient for the inference of population clusters in a study by Rieux et al. (2011). It is interesting that the majority of individuals in the GENELAND results are assigned to two clusters (1 and 4) with clusters 2 and 3 encompassing only tiny proportions. This could be viewed as further evidence of there being two sub-populations in Västerbotten as suggested by the ΔK results. Moreover, the spatial division between cluster 1 and 4 appears to coincide with county Highway E12 (see Appendix III). It is possible that the E12 presents an obstacle that fragments the population and restricts gene flow although no such effect was found in connection with other highways of similar size. However, Highway E12 also runs mainly along the side of the Umeälven and a chain of major lakes which might reinforce the fragmenting characteristics. Further studies of landscape genetics (Manel et al. 2013) are needed for clarification. Until then the results should be viewed as speculative.

The PCA result (Fig. 11c) suggests only one cluster although a very slight division can be seen at the center of the score plot. However, the individuals within these putative “clusters” did not conclusively match the individuals in clusters 1 and 4 of the GENELAND analysis but were distributed seemingly random over the whole study area. Although SNPs generally have sufficient power to detect population structure (Mesnick et al. 2011), the SNP panel used in this study was designed with primary focus on relationships and might therefore not be fully informative for population structure. While I found indication of at least two sub-populations in Västerbotten the results are not conclusive and it cannot be ruled out that no such genetic structuring exists. Further studies could investigate whether the putative two sub-populations suggested by the analysis of nuclear DNA correspond with the two known mtDNA haplotypes (North A and North B) in Västerbotten.

Spatial investigations

Contrary to my hypothesis I found no significant differences in the completeness of the pedigree when sampling individuals from the peripheries of the study area compared to the center regions. This suggests that many individuals roam widely with frequent crossings in and out of the study area.

More mother-daughter than father-son dyads were found when sampling the same number of male and female individuals in specific areas. This may be explained by the often reported female philopatry in brown bears (Blanchard and Knight 1991, Saarma and Kojola 2007, Støen et al. 2005). Related females tend to stay together in overlapping home ranges (Støen et al. 2006) and are slow to colonize new areas (Glenn and Miller 1980).

Additionally, disturbance along the peripheral regions of female core areas, such as hunting, can easily prevent female expansion (Swenson et al. 1994).

When comparing the known maximum movement distances between males and females from both counties, the females from Västerbotten stand out with on average significantly shorter movements. This observation appears to be not just the result of missing a few long-distance outliers because the median distance was also only approximately half of what was found for the other groups. It is important to bear in mind, that movement distances inferred from fecal sites can be strongly biased. For example, at least two samples have to be collected and successfully genotyped to infer a distance. It is possible that this simply was not the case for several far-roaming females in Västerbotten or perhaps they moved to areas where sampling intensity was low. Moreover, movement patterns also differ throughout the year. Huber and Roth (1993) reported similar daily movement distances for male and female bears in Croatia while on an annual basis, male ranges were up to five times larger than those of females. Especially during the mating season in spring, males roam widely in search of females (Krofel et al. 2010). Similarly, Dahle and Swenson (2003) found home ranges of males to be larger in Scandinavia but also observed clear seasonal patterns. During the berry season in the autumn, roaming is much reduced in both sexes once suitable feeding areas are found and the main focus is placed on gaining weight for the upcoming hibernation period. In marginal habitats, like northern Alaska, it has been observed that the search for food takes precedence even during mating season (Edwards and Derocher 2015). In both counties, the collection of fecal samples started in August but finished much later in Västerbotten (December) than in Dalarna-Gävleborg (October). It is possible, that many of the female samples in Västerbotten were collected at a time when movements were much reduced at the end of the berry season. That no significant differences in movement were found between males and females in Dalarna-Gävleborg corresponds well with the reports of similar behaviors during the berry season.

The slight positive correlation found between movement distances and frequency of occurrence in the pedigree as an indicator of reproductive success should be viewed as explorative and does not necessarily imply causation. The most obvious potential covariate I could not control for due to the absence of data is age. Obviously, the older an individual is the more chances it had to breed and will therefore more frequently appear as a parent in the pedigree. Moreover, older individuals might also move over larger distances. However, just because an individual moved longer distances during a particular sampling period does not necessarily mean that this is its general behavior. Nevertheless, the question of whether higher mobility of individuals results in more instances of mating remains an intriguing one and could only be answered through monitoring of actual movements over several mating periods. Pedigree reconstruction could then be used to evaluate whether individuals of similar age achieve different levels of reproductive success based on their mobility. The clusters inferred from purely spatial data (Fig. 15) show distinct cold and hot spots for both males and females. Some of the effect is most likely due to higher sampling intensity in the hot spot areas because they coincide with reasonably accessible regions that are also much frequented by moose hunters. However, using only the median distances from several samples removed some of the possible bias resulting from variations in sampling effort. Moreover, in both counties the cold spots are much more pronounced for females. This lends support to the assumption that the female hot spots really are female core areas. The clusters are also a good match to areas of female concentration reported by Manel et al. (2004).

6 Conclusions

This study shows that SNP-based pedigree reconstruction can be used to estimate total population size for species with long generation times. This includes most large carnivores. The Creel-Rosenblatt estimator (CRE) can be applied to data from a single sampling event but should in such instances be supplemented with rarefaction analysis for comparison. The CRE shows great promise for use in longer term monitoring programs due to a rapid decline of the required sampling effort after the first intensive sampling event. However, further empirical studies are needed to confirm this. Future improvements of the method include devising a procedure to calculate confidence limits and to account for species-specific mating behavior. Incorporating age data into the pedigree reconstruction would much improve the accuracy of the estimates.

The Västerbotten bear population can be considered genetically healthy despite a slight indication of inbreeding, especially since there is evidence for male-mediated gene flow from the southern population. The degree of population sub-structuring remains at present inconclusive and should be further investigated, particularly with regard to the possible fragmenting effects of Highway E12.

I found indications that spatial data obtained from non-invasively collected fecal samples allows for inferences about movements if the limitations are clearly addressed. In combination with pedigree reconstruction, future studies could focus on dispersal patterns and reproductive success.

7 Acknowledgements

I thank my supervisors Göran Spong and Anita Norman for their invaluable support, advice and encouragement. Helena Königsson patiently explained lab procedures and the interpretation of Biomark genotype outputs. Joanna Fahlen's work on bears in Dalarna-Gävleborg provided valuable insights and guidance for my own research. Michael

Schneider from the County Administration Board kindly directed me towards current estimates of bear mortality rates and Jonas Kindberg from the Scandinavian Brown Bear Project emailed the official 2015 bear census results which were indispensable as a baseline for comparison.

Last but not least, credit is due to the numerous hunters and other volunteers who participated in the sample collections. Without their effort none of this work would have been possible.

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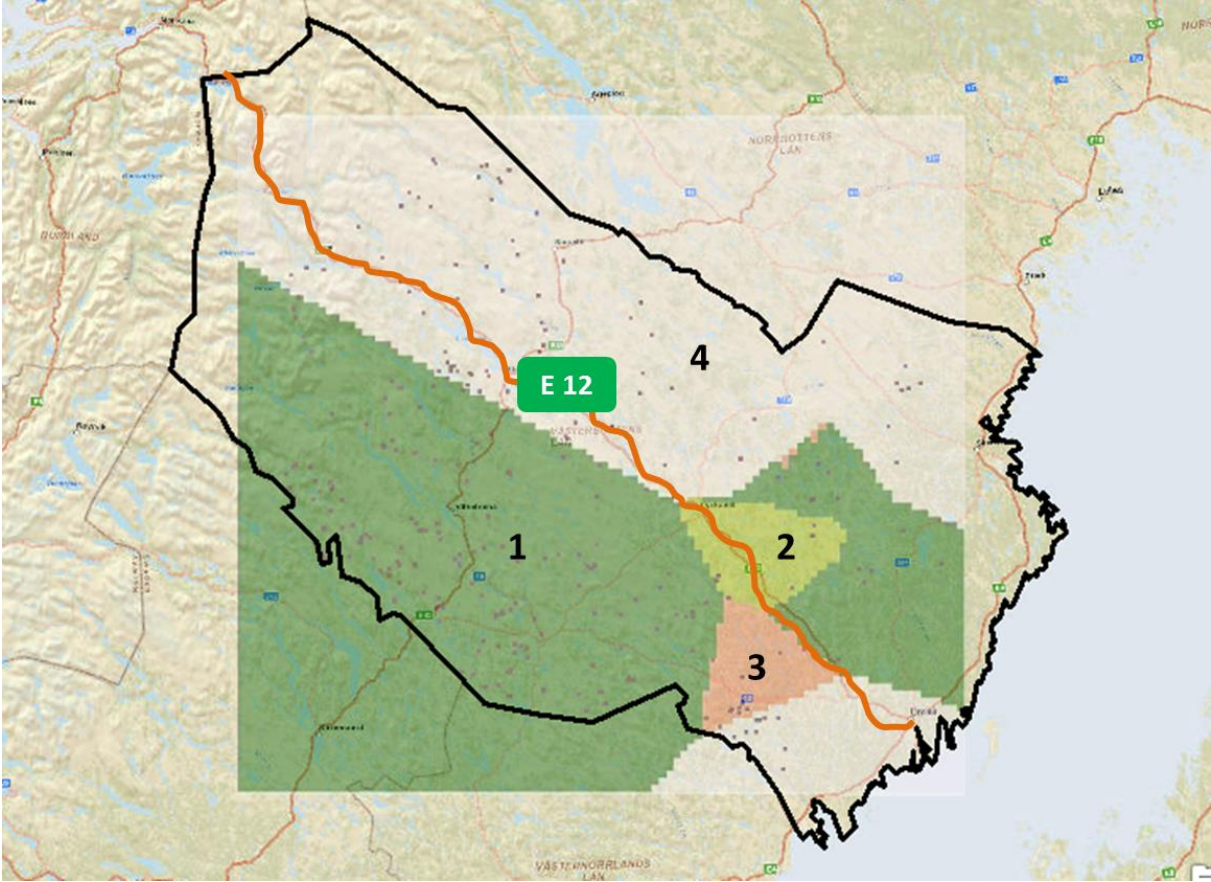
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Appendix II Västerbotten Pedigree

ID	SIRE	DAM	ID	SIRE	DAM	ID	SIRE	DAM	ID	SIRE	DAM	ID	SIRE	DAM	ID	SIRE	DAM	
AC2014-001	AC2014-148	AC2014-223	AC2014-062	*	AC2014-122	*	AC2014-183	AC2014-048	AC2014-096	AC2014-248	*	AC2014-183	AC2014-048	AC2014-096	AC2014-248	*	AC2014-209	
AC2014-003	*	AC2014-019	AC2014-063	*	AC2014-123	AC2014-162	*	AC2014-184	*	AC2014-249	*	AC2014-184	*	AC2014-250	*	AC2014-249	*	
AC2014-004	*	AC2014-004	AC2014-064	*	AC2014-124	AC2014-124	*	AC2014-185	*	AC2014-250	*	AC2014-185	*	AC2014-096	AC2014-250	*	AC2014-250	
AC2014-005	AC2014-017	*	AC2014-065	*	AC2014-125	AC2014-125	*	AC2014-186	AC2014-164	*	AC2014-251	*	AC2014-186	AC2014-164	*	AC2014-251	*	
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AC2014-007	*	AC2014-007	AC2014-067	*	AC2014-127	AC2014-128	*	AC2014-188	*	AC2014-253	*	AC2014-188	*	AC2014-253	*	AC2014-253	*	
AC2014-008	*	AC2014-008	AC2014-068	AC2014-148	AC2014-129	*	AC2014-189	AC2014-131	*	AC2014-254	*	AC2014-189	AC2014-131	*	AC2014-254	*	AC2014-123	
AC2014-009	AC2014-161	*	AC2014-069	*	AC2014-130	*	AC2014-190	AC2014-131	*	AC2014-255	*	AC2014-190	AC2014-131	*	AC2014-255	*	AC2014-123	
AC2014-010	*	AC2014-186	AC2014-070	*	AC2014-131	AC2014-221	*	AC2014-191	AC2014-129	*	AC2014-256	*	AC2014-191	AC2014-129	*	AC2014-256	*	
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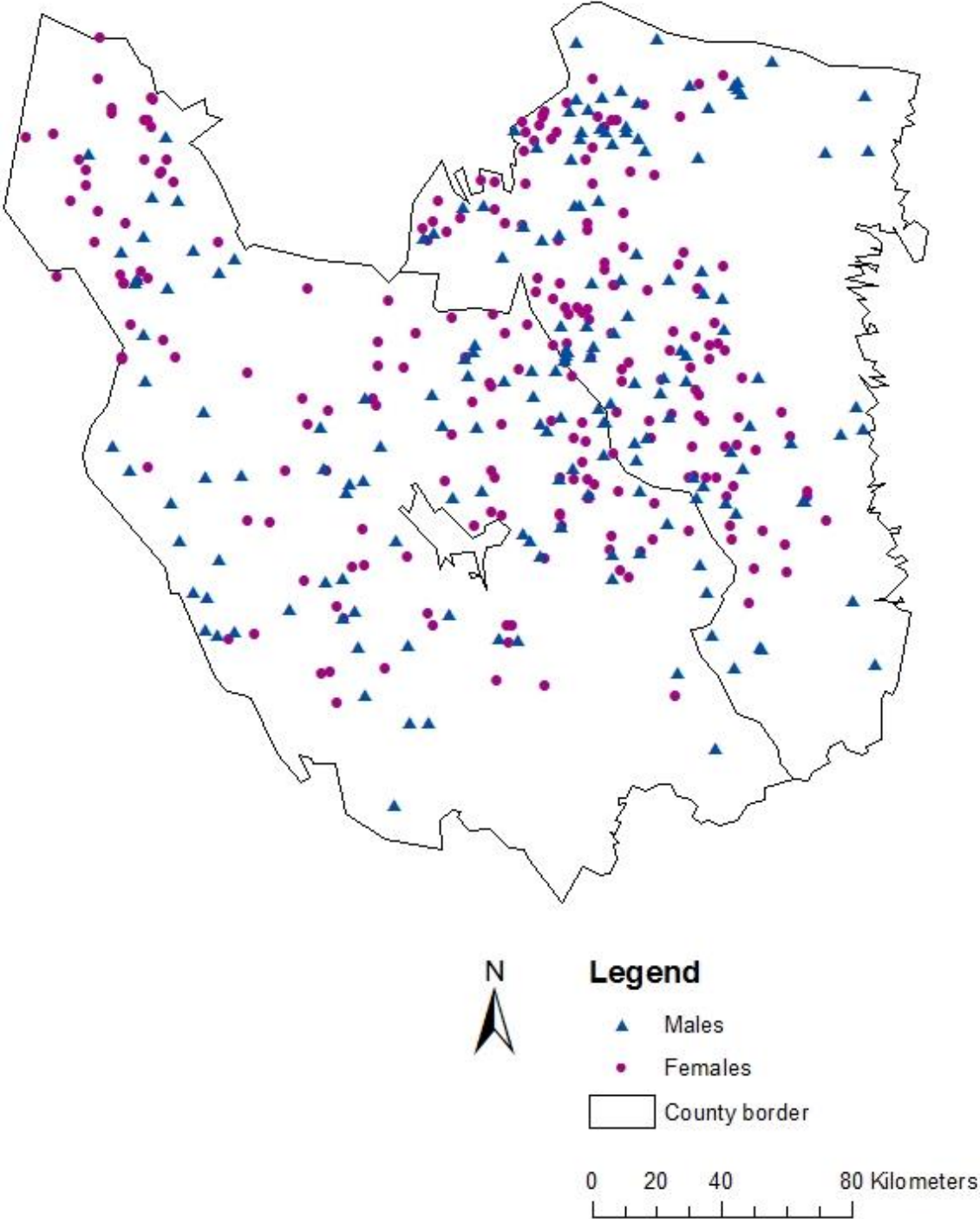
Appendix III Spatio-genetic population clusters and landscape features in Västerbotten



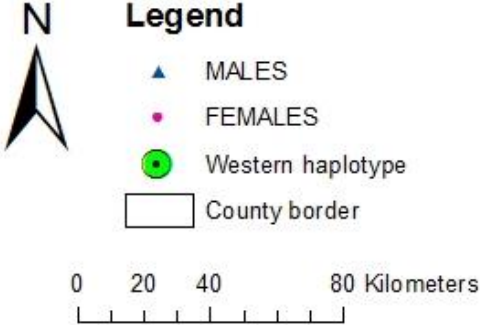
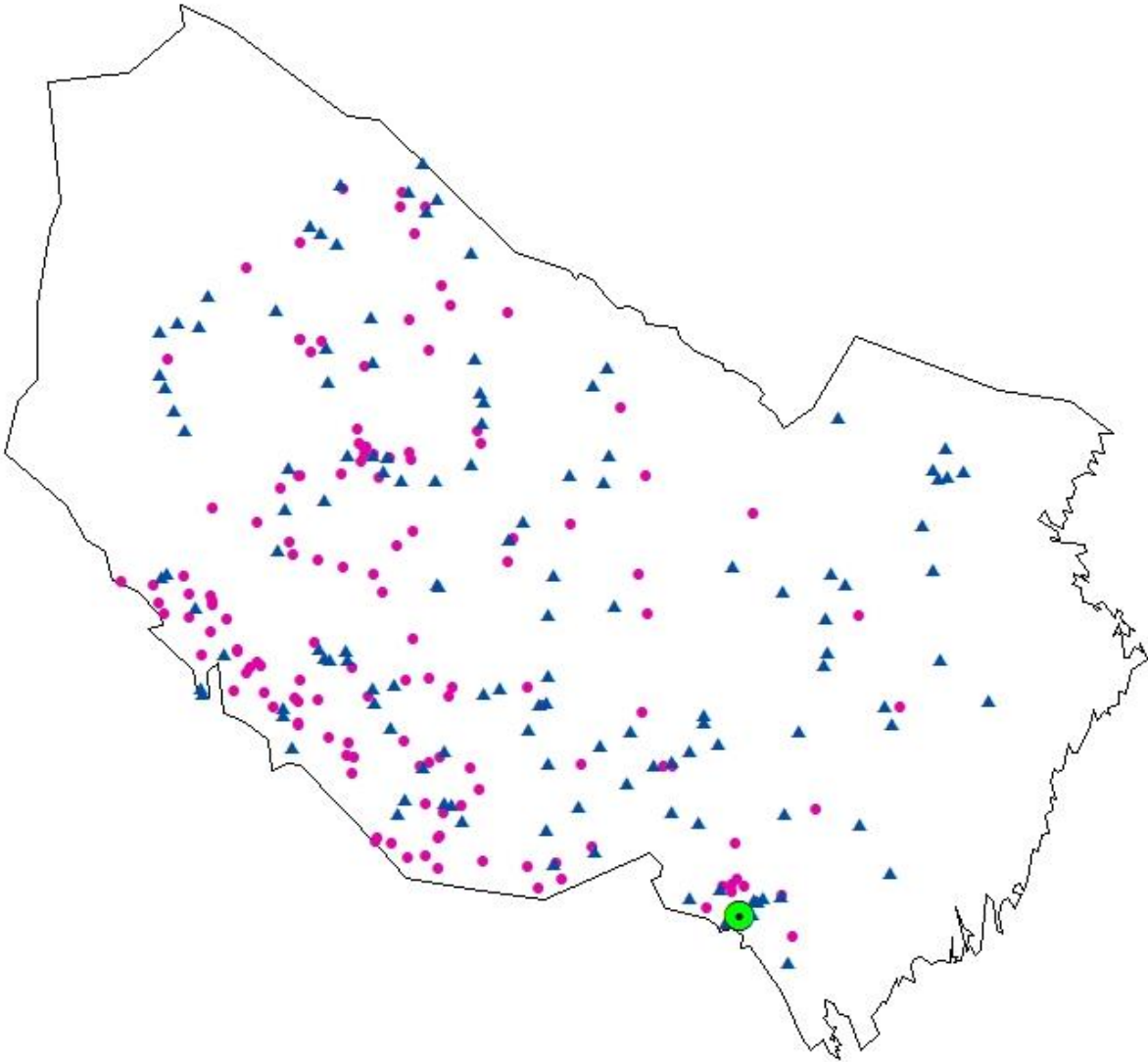
The photograph shows Highway E12 next to the Ume River. It should be noted that while the E12 is a major road in Västerbotten, it is usually unfenced and has only two lanes.

Appendix IV Locations (median centers) of male and female bears

Dalarna-Gävleborg



Västerbotten



SENASTE UTGIVNA NUMMER

- 2014:12 SNP-based conservation genetics of the southern Swedish brown bear (*Ursus arctos*) population
Författare: Joanna Fahlén
- 2014:13 Comparison of tree cavity abundance and characteristics in managed and unmanaged Swedish boreal forest.
Författare: Sophie Michon
- 2014:14 Habitat modeling for rustic bunting (*Emberiza rustica*) territories in boreal Sweden
Författare: Emil Larsson
- 2014:15 The Secret Role of Elephants - Mediators of habitat scale and within-habitat scale predation risk
Författare: Urza Flezar
- 2014:16 Movement ecology of Golden eagles (*Aquila crysaetos*) and risks associated with wind farm development
Författare: Rebecka Hedfors
- 2015:1 GIS-based modelling to predict potential habitats for black stork (*Ciconia nigra*) in Sweden
Författare: Malin Sörhammar
- 2015:2 The repulsive shrub – Impact of an invasive shrub on habitat selection by African large herbivores
Författare: David Rozen-Rechels
- 2015:3 Suitability analysis of a reintroduction of the great bustard (*Otis tarda*) to Sweden
Författare: Karl Fritzson
- 2015:4 AHA in northern Sweden – A case study
Conservation values of deciduous trees based on saproxylic insects
Författare: Marja Fors
- 2015:5 Local stakeholders' willingness to conduct actions enhancing a local population of Grey Partridge on Gotland – an exploratory interview study
Författare: Petra Walander
- 2015:6 Synchronizing migration with birth: An exploration of migratory tactics in female moose
Författare: Linnéa Näsén
- 2015:7 The impact of abiotic factors on daily spawning migration of Atlantic salmon (*Salmo salar*) in two north Swedish rivers
Författare: Anton Holmsten
- 2015:8 Restoration of white-backed woodpecker *Dendrocopos leucotos* habitats in central Sweden – Modelling future habitat suitability and biodiversity indicators
Författare: Niklas Trogen