

Mitigation of Backgrounds from Cosmogenic ^{137}Xe in Xenon Gas Experiments using ^3He Neutron Capture

The NEXT Collaboration

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ABSTRACT: ^{136}Xe is used as the target medium for many experiments searching for $0\nu\beta\beta$. Despite underground operation, cosmic muons that reach the laboratory can produce spallation neutrons causing activation of detector materials. A potential background that is difficult to veto using muon tagging comes in the form of ^{137}Xe created by the capture of neutrons on ^{136}Xe . This isotope decays via beta decay with a half-life of 3.8 minutes and a Q_β of ~ 4.16 MeV. This work proposes and explores the concept of adding a small percentage of ^3He to xenon as a means to capture thermal neutrons and reduce the number of activations in the detector volume. When using this technique we find the contamination from ^{137}Xe activation can be reduced to negligible levels in tonne and multi-tonne scale high pressure gas xenon neutrinoless double beta decay experiments running at any depth in an underground laboratory.

KEYWORDS: Gaseous detectors; Scintillators, scintillation and light emission processes (solid, gas and liquid scintillators);

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1 The NEXT program of high pressure xenon gas TPCs

The NEXT program has developed the technology of high-pressure xenon gas Time Projection Chambers (TPCs) with electroluminescent amplification (HPXeTPC) for neutrinoless double beta decay searches [1, 2]. The possibility to achieve sub-1% FWHM energy resolution and to topologically identify signal-like events was proven in small scale prototypes [3, 4] and has since been tested underground at demonstrator-scale with the NEXT-White (NEW) detector [5–8]. The subsequent stage of the project will deploy 100 kg of ^{136}Xe as NEXT-100, currently under construction at Laboratorio Subterráneo de Canfranc (LSC), Spain, with the goal of setting a competitive limit on the ^{136}Xe $0\nu\beta\beta$ half life with the world’s lowest background index in xenon.

The future of $0\nu\beta\beta$ searches involves experiments using one to several metric tonnes of target mass running for tens of years deep underground. A HPXeTPC with a tonne or more of ^{136}Xe has great discovery potential given the field’s present understanding of neutrino masses. To reach target sensitivities of 10^{28} years, improvements over the NEXT-100 background budget [9] will have to be made. Selection of ever purer materials for the construction of detectors enables a considerable reduction in key backgrounds of a radiogenic nature, particularly those from the decays of ^{208}Tl and ^{214}Bi . As radiogenic backgrounds become sub-dominant, other sources of background become relevant, such as those of cosmogenic origin. These backgrounds cannot be reduced simply by selection of purer detector materials and must be mitigated by other means.

The location for the first module of the tonne-scale NEXT program, called NEXT-HD, has not yet been determined, but various underground labs worldwide are under consideration including SNOLab, LNGS, LSC, and SURF. The deeper the laboratory, the lower the muon flux, as shown in Fig. 2, *left*. A lower muon flux implies lower contamination of cosmogenic backgrounds, which has prompted most experimental neutrinoless double beta decay programs to favor the deepest available sites, with more than 5 km.w.e (kilometers water equivalent) of overburden.

Since the NEXT detectors operate using xenon gas, it is feasible to mix certain additives into the volume to improve detector properties. Any additive must meet a set of minimal criteria for the experiment to succeed: it must not attach ionization electrons during their drift or interfere with the electroluminescence process, must not absorb scintillation light, and must not negatively affect energy resolution to a substantial degree. The additive must also be in gas phase at room temperature, be compatible with hot and cold getters in the purification system, and it must be possible to circulate it through the gas system. In addition, all considered additives should ideally be non-toxic.

The collaboration is investigating several possible additives which could improve the topological reconstruction when compared to operation with pure xenon, one of which is helium [10–14]. The predominant isotope of helium is ^4He , which, if added in quantities between 10% and 15% has a substantial positive impact on transverse diffusion. The sub-dominant isotope of helium, ^3He , is present in natural helium at the 2×10^{-4} level. Unlike ^4He , ^3He has an extremely high capture cross section for neutrons. In this paper we consider the positive impacts of adding a small quantity of ^3He to enriched xenon to dramatically reduce contamination from cosmogenic backgrounds in tonne or multi-tonne scale underground high pressure xenon gas detectors.

One can also consider the use of the technique presented here in liquid xenon experiments. With a boiling point of 3 K, however, ^3He will tend to concentrate in the vapor of the ullage in a liquid xenon detector, rather than remaining in the liquid phase. A minority amount of helium will remain in the liquid, as implied by Henry’s law, though the Henry coefficients for helium in xenon are not presently known. The LUX collaboration has shown that helium can be loaded into liquid xenon at the level of .003 - .009% by mass [15], but this level of doping is insufficient to affect a significant reduction in ^{137}Xe contamination, based on the studies presented in this work.

2 Cosmogenic neutron backgrounds

As radiogenic background sources are reduced and target masses increase, cosmogenic backgrounds become more apparent. The most pernicious of these backgrounds derive from neutrons. This is because neutrons are very penetrating, and can capture on nuclei to create long-lived beta or gamma emitters, which can decay with a signal in the energy region of interest for double beta decay. While prompt backgrounds from nuclear cascades post-capture can be effectively vetoed using muon taggers of various types, longer lived isotopes pose a greater threat. In the case of ^{136}Xe experiments, the only long lived isotope likely to be produced in laboratory conditions with a decay that can mimic the

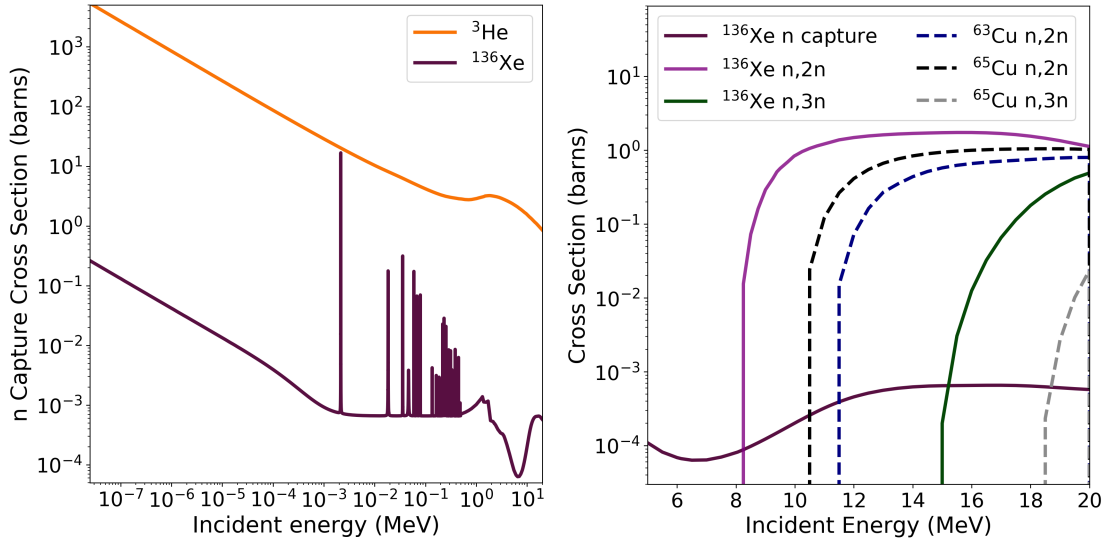


Figure 1. Left: Cross sections for neutron capture on ^{136}Xe and ^3He . Right: Cross sections for neutron capture and inelastic scattering on ^{136}Xe and the most abundant copper isotopes. All cross sections are drawn from the ENDF database [26], which mirror those used in GEANT4 [27]

$0\nu\beta\beta$ signal is ^{137}Xe . The beta-decay of this isotope with Q_β of 4.162 MeV produces electrons with a continuum of energies that includes the ^{136}Xe $Q_{\beta\beta}$ -value $Q_{\beta\beta} = 2.458$ MeV, and can constitute background to the search if not effectively filtered.

The decay of ^{137}Xe has been identified as a significant contributor to the background expectations of several xenon-based double beta decay experiments. For example, 20 to 30 percent of EXO-200’s background was from ^{137}Xe [16, 17], prompting future liquid xenon TPCs to go very deep underground to escape it. Even at a depth of 6 km.w.e. at SNOLab, the proposed nEXO concept projects a non-trivial background from ^{137}Xe [18]. KamLAND-Zen has also found a 7% dead-time from spallation products, the largest source being ^{137}Xe with $(3.9 \pm 2.0) \times 10^{-3}$ $\text{tonne}^{-1}\text{day}^{-1}$ produced [19]. Finally, it is notable that ^{137}Xe activation provides a slow but non-zero source of non-double-beta-decay related barium production through the chain $^{137}\text{Xe} \rightarrow ^{137}\text{Cs} \rightarrow ^{137}\text{Ba}$, a potentially relevant consideration for barium tagging [20–25]. In the NEXT detectors these may be largely rejected by time-coincidence cuts with energy deposits of interest as the Q_β for $^{137}\text{Cs} \rightarrow ^{137}\text{Ba}$ is lower than the $Q_{\beta\beta}$ of interest.

In this work we explore the impact of addition of a small fraction of ^3He to pure xenon to mitigate ^{137}Xe production and reduce cosmogenic backgrounds for neutrinoless double beta decay. ^3He has a neutron capture cross-section that is four orders of magnitude greater than that of ^{136}Xe , as shown in Fig. 1-*left*. The capture process produces hydrogen and tritium and an energy of 764 keV:



This process is commonly used in ^3He -based neutron detectors. The tritium later beta-decays to ^3He with Q_β of ~ 18.6 keV with a half life of twelve years. Because their energies

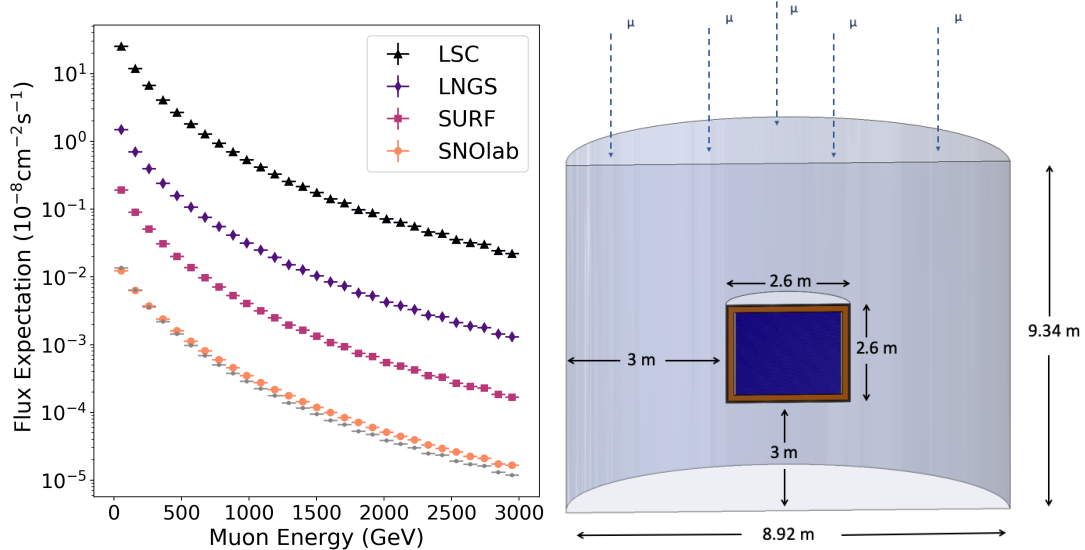


Figure 2. *Left:* Expected cosmic muon energy spectrum in four different labs being considered for ton-scale detectors. Grey dots represent scaling LNGS muon distribution with SNOlab’s muon flux while the orange are using the MUSIC muon simulation code for SNOlab. *Right:* Geometry used in simulations.

are all far below $Q_{\beta\beta}$, none of the products of neutron capture on ${}^3\text{He}$ present potential background to the $0\nu\beta\beta$ search. Backgrounds from tritium contamination at high rates, either as a product of neutron captures or due to contamination of the raw gas (which is typically manufactured through tritium decay) could interfere with detector calibrations that use ${}^{83m}\text{Kr}$ decay X-rays [28]. However, it has been demonstrated that tritium can be effectively removed by getters [29] and so purification both before filling and during detector operations are expected to mitigate this effect. Moreover, interference with krypton calibration would require pile up of various tritium decays and is, as such, likely to be a negligible contribution to the high statistics runs used for calibration. By absorbing a large quantity of thermal neutrons without introducing high energy backgrounds, the presence of ${}^3\text{He}$ in the active volume is expected to significantly reduce the abundance of neutron captures on xenon. By effectively mitigating the background from ${}^{137}\text{Xe}$ production, such an admixture could allow high pressure xenon gas detectors to operate at shallower depths, and also provide a tool for monitoring the neutron flux to better understand contributions from other neutron-induced signatures.

3 Simulations of ${}^{137}\text{Xe}$ activation

A Monte Carlo study was carried out using the NEXT simulation framework to investigate the impact of ${}^3\text{He}$ doping on cosmogenic background contamination. For this study we consider an HPXeTPC with active volume diameter of 2.6 m and length of 2.6 m at 15 bar giving a total ${}^{136}\text{Xe}$ target mass of ~ 1109 kg for xenon enriched to 90.3% in ${}^{136}\text{Xe}$. The active volume is surrounded by a 1 cm thick plastic cylinder that represents the fieldcage

and by 12 cm of copper on all sides. This closely mirrors the proposed geometry of the tonne-scale NEXT-HD, though using a simplified simulation volume. NEXT-HD will be submerged in an instrumented water tank as a means to mitigate interactions of rock neutrons and to tag cosmic muons. To this end we simulated a cylindrical water tank surrounding the TPC with diameter 9.34 m and a height of 8.92 m to give 3 m of water on all sides of the pressure vessel (see Fig. 2 *right*).

The effect of the addition of ^3He on ^{137}Xe activation was investigated in two ways: First, high statistics Monte Carlo sets with neutrons at low energies within the active volume were used to directly study activation (described in section 4.1). Two neutron injection energies were investigated: a) 10 eV neutrons, which quickly thermalize (“thermal”); b) 10 MeV neutrons which may experience harder scatters or inelastic processes before thermalizing (“fast”). Second, muons with energies between 1 GeV and 3 TeV, representing $\sim 99.5\%$ of the expected energy range in most underground labs (see Fig. 2-*left* for flux expectations), were launched from above the water tank in order to study the expectations from this source both with and without ^3He additive (section 4.2). The simulations were performed using GEANT4 [30] version 10.5.p01. In addition, further cross checks were performed using GEANT4 version 10.6.p01 as well as FLUKA version 2011.2x-8 and found to be consistent. The cross checks are summarised in section 6.

The most important cross sections for this work are those relating to thermal neutron capture. The capture cross sections in this version of GEANT4 are drawn from the ENDF/B-VII.1 database [26]. The ENDF cross sections were derived originally from Ref. [31] and were recently tested experimentally, with the measured thermal neutron capture cross section on ^{136}Xe validated at the 1σ (approx. 20%) level [32]. Scattering and capture of neutrons on ^3He has been studied extensively (for example, Refs [31, 33–40]) and the ENDF database recommends a sub-percent uncertainty on the provided $3\text{He}(n,p)t$ cross section below 1 keV, growing to 5% at 50 keV. Cross sections for neutron production through inelastic scattering are calculated within GEANT4 using the Bertini [41–43] intra-nuclear cascade model, which has been validated against data for inelastic neutron scattering in terms of both angular and energy distribution on a variety of nuclei [44], with agreement at the factor-few level [45]. The central result of this work, the expected improvement in $^3\text{He} / ^{136}\text{Xe}$ mixtures over pure ^{136}Xe in terms of ^{137}Xe production, depends primarily on the ratio of neutron capture cross sections of ^3He and ^{136}Xe . Based on the above considerations, this is expected to be accurate at the 20% level. The absolute ^{137}Xe yield, however, depend on the details of neutron production in complex showers, and this carries a far larger uncertainty. This uncertainty provides a further motivation for the use of ^3He in underground experiments using ^{136}Xe , to monitor the total thermal neutron yield, itself proportional to the total rate of ^{137}Xe activation in the detector.

4 Results

4.1 ^{137}Xe Production from internal neutrons

The potential of ^3He as a neutron-moderating additive in NEXT was first studied using neutrons simulated in the internal volumes of the detector. 10^6 neutrons were simulated

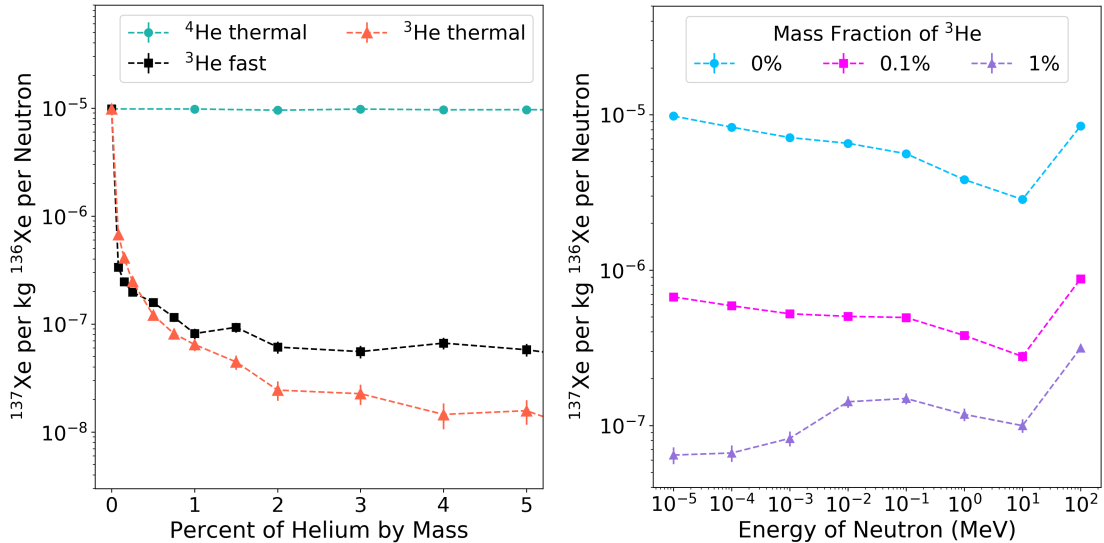


Figure 3. Left: Number of ^{137}Xe nuclei created by neutron capture with varying amounts of ^4He and ^3He . All thermal neutrons started at 0-10 eV and all fast neutrons started at 10 MeV. Right: Comparison of Helium plus enriched xenon and pure enriched xenon with varying neutron energies.

with energies <10 eV to check thermal neutron captures, and 10 MeV for fast neutrons, generated over 4π solid angle, starting in the field cage structure with xenon-helium gas mixtures at 15 bar and 300 kelvin. The helium percentage-by-mass ranged between 0 to 5% and the number of ^{137}Xe created were counted for each run. A control simulation set was generated using a mixture of the same enriched xenon with ^4He . This helium isotope does not capture neutrons and, as such, its admixture is expected to have no effect on the number of ^{137}Xe produced. This data set is used to validate that it is indeed the neutron-capturing properties of ^3He that lead to any observed changes in ^{137}Xe background, rather than dilution or the neutron-moderating impact associated with additional light target nuclei.

The number of activations is normalized to the total target mass, i.e, the number of kilograms of ^{136}Xe in the active volume. With the largest helium fractions, dilution alone has some small impact on the ^{137}Xe rate, which is not the effect we intend to study here. Using the mass of ^{136}Xe as the denominator avoids this issue. The exact normalization used is

$$N'_{137} = \frac{N_{137}}{E_{136} \cdot P_{Xe} \cdot m_a}, \quad (4.1)$$

where N_{137} is the number of ^{137}Xe produced in the simulations, E_{136} is the level of enrichment in the 136 isotope, P_{Xe} is the proportion of the gas mixture taken up by xenon, and m_a is the mass in the active volume of the detector.

Figure 3-left shows the results for mixtures with ^4He and ^3He . No statistically relevant change in the normalized number of activations for any proportion of ^4He is found. This is not the case when we consider an addition of ^3He to the gas. Figure 3-left clearly

demonstrates the power of the ^3He to absorb neutrons, and to remove contamination from ^{137}Xe . Even at a fraction of a percent concentration, a clear reduction in ^{137}Xe activation is seen. By 0.5% of ^3He a reduction of two orders of magnitude is predicted from both thermal and fast neutrons.

Considering both a 0.1 and 1% ^3He addition and varying the initial neutron energy, it can be seen that the number of activations continues to be significantly reduced across all energy bins. Figure 3-*right* shows the dependency over several orders of magnitude in neutron energy. Such a reduction even at the 0.1% level appears sufficient to drive the ^{136}Xe background in a ton-scale experiment to negligible levels, even at modest detector depths. We return to this point quantitatively in Sec. 4.2.

There are notable features in the energy-dependencies of Fig. 3-*right*. The origins of these spectral effects were investigated by detailed examination of the Monte Carlo simulation predictions. The steady fall in activation as a function of energy between 10 eV and 1 MeV in pure xenon corresponds to the increasing probability that a neutron will leave the active volume without thermalizing as the energy increases. The neutron capture cross sections are also falling in this region, though the capture is predominantly effective for thermalized neutrons due to the very large number of scatters each neutron can undergo with its surroundings once thermal. The sharp increase in ^{137}Xe production at around 10 MeV observed in all three curves corresponds to the sharp up-tick in rates of multi-neutron production processes at these energies. Both (n,2n) and (n,3n) cross sections on xenon and copper become large in this region, as can be seen in Fig. 1, right, reproduced from the ENDF database. Above 10 MeV, therefore, each primary neutron can be the parent of many more secondary neutrons, leading to enhanced production of ^{137}Xe per injected parent neutron. The ‘‘bump’’ in rate of capture at intermediate energies in the 1% ^3He / ^{136}Xe mix system is attributed to capture of fast neutrons by resonances in the ^{136}Xe neutron capture cross section, shown in Fig. 1, left. In pure xenon and in the 0.1% ^3He / ^{136}Xe system, where the overwhelming majority of neutrons producing ^{137}Xe are thermal, fast neutron captures are a negligible fraction of the population and no shape effect from these resonances is visible. In the 1% ^3He / ^{136}Xe system, with thermal neutrons effectively mitigated by ^3He , fast neutron capture becomes a more substantial contribution. This transition explains the initially rapid drop breaking to a slower fall of Fig. 3, left. The addition of a small quantity of ^3He quickly absorbs the majority of thermalized neutrons that have been slowed by repeated elastic collisions with ^{136}Xe , for both initial injection energies. At higher concentrations the capture of fast neutrons becomes increasingly relevant, affecting the level at which the capture rate plateaus for high concentrations of ^3He .

4.2 ^{137}Xe Production from muons

The source of neutrons most likely to reach the active volume of the detector comes in the form of spallation products created by muon-material interactions that thermalize in detector materials before being captured by the ^{136}Xe . The showers created by muons are complicated systems, involving cascades of particles and a multitude of inelastic processes. Shown in Fig. 2-*left* is the expected cosmic muon energy spectrum in Laboratori Nazion-

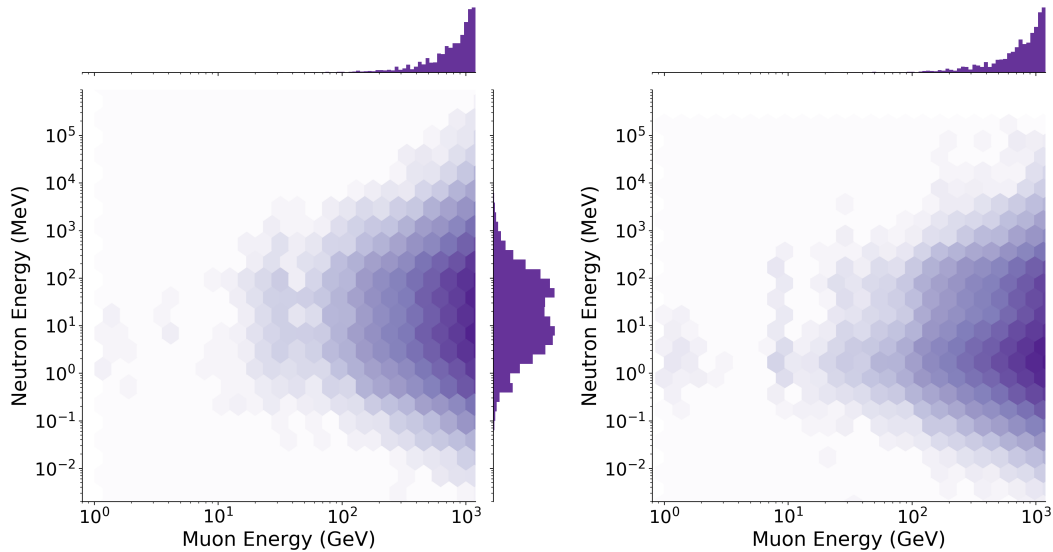


Figure 4. *Left:* Correlation plot for neutron and muon energies for neutrons created within the water tank; *Right:* Correlation plot for neutrons created in detector materials.

ali del Gran Sasso (LNGS) as calculated using the MUSIC muon simulation code [46]. To estimate the flux at different laboratories we have used the spectral shape for LNGS multiplied by the absolute normalization for different labs. While there is an expected hardening of the muon flux for deeper labs, our comparison of the SNOLab flux predicted by simply renormalizing LNGS flux with the measured flux from [47] shows that the effect is negligible within the precision of the present study.

To study the expected impact of the muon-material interactions, a high statistics simulation of muons uniformly distributed between 1 GeV and 3 TeV was performed. The neutron spectrum produced by muons interacting in the detector materials have energies in the range 0.01 MeV – 100 GeV (see Fig. 4). The distribution of neutron energies depends weakly on the muon energy, but the number of neutrons produced depends on it strongly. Fig. 4 *left* gives the energy distribution of neutrons produced within the water tank, and Fig. 4 *right* gives the neutrons produced within the detector materials and xenon, but not the water tank. Additional contributions to the high energy peak in the water tank neutron energy distribution arise from capture processes including $\mu^- + p \rightarrow \nu + n$ and $\pi^- + p \rightarrow \gamma + n$. A sub-leading contribution from neutron, pion, and proton inelastic scattering populates the high energy peak in the detector volume.

The production of ^{137}Xe per muon in the detector is the central result required to predict the contamination from ^{137}Xe per unit time in a NEXT detector. Figure 5 shows the ^{137}Xe production expectation per muon in bins of primary muon energy for pure xenon (left) and xenon with ^3He admixtures (center). As suggested by the neutron production studies, there are more ^{137}Xe produced at higher muon energies. Comparing the left and center plots from Fig. 5 (note the different vertical scales) it can be seen that there is significant magnitude reduction in ^{137}Xe production with 0.1 percent ^3He added across all muon energies. The right plot in Fig. 5 gives the ratio of the two, showing a cosmogenic

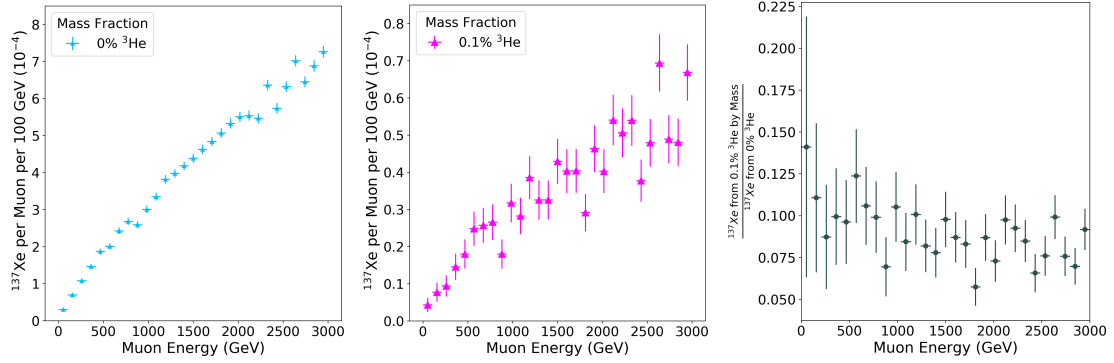


Figure 5. Production of ^{137}Xe by various cosmic muons energies. *Left:* Production per generated muon for pure enriched xenon; *Center:* Production with ^3He doped gas. Note that the axes are scaled differently between both plots for easier comparison. *Right:* Ratio of ^{137}Xe produced in 0.1% Helium gas mixture over the production in pure xenon.

background reduction of more than 10 times for 0.1% of ^3He .

Additional contributions from neutrons produced by the muons in the rock surrounding the laboratory could be an additional contribution to the activations. Using the same simulation as described above and neutrons starting outside the water tank with energies over the range indicated by figure 4 we find a ^{137}Xe production rate reduction in the presence of 0.1% ^3He of the same order as that for muons.

We now consider an example experiment in Laboratori Nazionali del Gran Sasso (LNGS) where the cosmic muon energy spectrum is expected to be that shown in Fig. 2-left with an absolute flux normalization of $3.432 \times 10^{-8} \text{ cm}^{-2} \text{ s}^{-1}$ as measured by the Borexino experiment [48]. The impact on the background index of NEXT can be predicted using the spectrum shown in Fig. 2-left convolved with the activation expectations from Fig. 5. We predict the rate of activations per year shown in Fig. 6-left for the same experiment with and without 0.1% ^3He .

Repeating this exercise for various laboratories (LSC, LNGS, SURF and SNOLab) we find the absolute counts of $^{137}\text{Xe} \text{ yr}^{-1}$ shown in Fig. 6-right. Considering the full ^{137}Xe decay spectrum without any filtering due to analysis, these numbers translate to the activation expectation indices shown in Table 1. We note that while such absolute predictions carry a substantial uncertainty due to the physics of neutron production in high energy cascades, our primary results relating to the reduction of activation through ^3He admixtures are expected to be robust at the twenty percent level.

To appreciate the impact of these activation rates on a given detector we must account for the acceptance factor for ^{137}Xe decay electrons in the signal region of interest, after analysis cuts. Purely energy based arguments can already be used to reject most of these decays as the decay spectrum is broad and $0\nu\beta\beta$ experiments strive to achieve energy resolution at the few percent level. Most modern xenon gas experiments also have some power to reject single electron events in favour of the double electrons indicative of signal which further reduces this background. If we take into account the topological analysis and energy resolution of the NEXT experiment [6, 7], and a conservative, cut-based analysis,

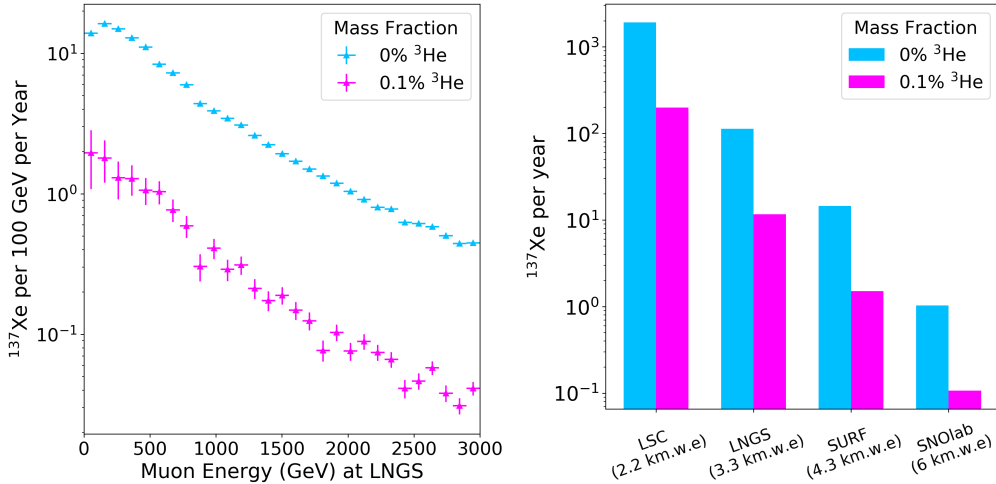


Figure 6. *Left:* Rate of ^{137}Xe activation expected as a result of the flux distribution of muon energies from LNGS. *Right:* ^{137}Xe expected per year in four different underground laboratory locations and depths.

acceptance of ^{137}Xe electrons into the signal sample is of order 1.65×10^{-4} [49] for a symmetric ROI of width 22 keV at $Q_{\beta\beta}$. Table 1 also shows the background index that would be expected under these conditions.

	Activation rate		Background index	
	0% ^3He [$\text{kg}^{-1} \text{yr}^{-1}$]	0.1% ^3He [$\text{kg}^{-1} \text{yr}^{-1}$]	0% ^3He [$\text{keV}^{-1}\text{kg}^{-1}\text{yr}^{-1}$]	0.1% ^3He [$\text{keV}^{-1}\text{kg}^{-1}\text{yr}^{-1}$]
LSC	1.72×10^0	1.79×10^{-1}	1.29×10^{-5}	1.34×10^{-6}
LNGS	1.02×10^{-1}	1.06×10^{-2}	7.65×10^{-7}	7.91×10^{-8}
SURF	1.31×10^{-2}	1.36×10^{-3}	9.83×10^{-8}	1.02×10^{-8}
SNOlab	9.29×10^{-4}	9.65×10^{-5}	6.97×10^{-9}	7.24×10^{-10}

Table 1. ^{137}Xe Activation rate expectations with various percents of helium 3 by mass and example background indices given an analysis described in the text.

A detailed evaluation of radiogenic backgrounds for the tonne-scale NEXT-HD detector, and how they relate to the initial ^{137}Xe background contribution estimated in Tab.1 right, is still underway. However, early estimates suggest that a successful experiment at a relatively shallow location such as LSC would benefit from the addition of ^3He to the gas. At the multi-tonne scale, the background from ^{137}Xe activation will become truly limiting, and its mitigation via this approach or others may become even more critical.

5 Economic viability

Two facts are widely known about ^3He that should not be left unaddressed: 1) that it is expensive, and 2) that the supply is limited. These factors influence discussions of the plausibility of, for example, practical nuclear fusion power based on ^3He [50], which would

speculatively consume tens of tonnes of raw ^3He per year to meet the power needs of the United States. Such quantities do not exist worldwide at the present time, and have given rise to discussions of exotic acquisition strategies, such as mining the moon [51, 52], and more realistically in the near-term, breeding in nuclear reactors or extraction from oil and gas reservoirs [53].

Thankfully, far less ^3He is required to mount a tonne or multi-tonne scale neutrinoless double beta decay program using a $^{136}\text{Xe} / ^3\text{He}$ mixture – on the order of 7500 liters per tonne of ^{136}Xe to achieve a 0.1% by mass concentration. The use of such quantities of ^3He is preceded in particle physics instrumentation. In the 1990s, for example, the SNO [54] experiment deployed an array of ^3He counters to detect neutrons produced in neutrino interactions [55]. The quantity of ^3He used was approximately 6000 liters [29], similar to the quantity required for the presented application.

During the the intervening decades since the existence-proof of the SNO+ ^3He phase, the economics of ^3He have changed in important ways. According to the 2010 Congressional Research Service report *The Helium-3 Shortage: Supply, Demand, and Options for Congress* [56], “Helium-3 does not trade in the marketplace as many materials do. It is produced as a byproduct of nuclear weapons maintenance and, in the United States, is then accumulated in a stockpile from which supplies are either transferred directly to other agencies or sold publicly at auction.” US production in 2015 was estimated to generate approximately 8,000 liters of new ^3He per year [53]. Until 2001 the price at auction was steady at \$100 per liter, a little higher than the per-liter price of ^{136}Xe . However, shortages instigated by the US need for neutron detectors for national security applications after the September 11 attacks of 2001, and the increased use of ^3He in medical imaging [57] led to price spikes, reaching \$2000 / liter at times [58]. Even at the highest recent trading prices, however, the cost of the 0.1% component of ^3He would be less than that of the 99.9% ^{136}Xe component of the gas mixture. The stockpile and supply of US ^3He is now directly controlled by the US Department of Energy, and not traded on an open market.

While this application would represent a significant fraction of one year’s production, ^3He can be efficiently extracted from ^{136}Xe as needed, by liquefying or freezing the xenon and pumping to remove the helium component. This protocol is commonly employed in experimental studies with Xe/ ^4He mixtures (e.g. Ref. [13]). The separation process can be performed either completely or partially, as need arises, and so this application would represent storage and stewardship, rather than irreversible consumption. Furthermore, although ^3He is a limited and expensive resource, it is notable that the majority isotope in this mixture is ^{136}Xe , the world production and stockpile of which would both be zero, were it not for neutrinoless double beta decay experiments. Thus the difficulties associated with acquisition of the minority ^3He component for temporary use in this manner should be assessed in relative terms. They do not appear prohibitive, based on the last traded market price, current levels of production, and preceded use cases in particle physics.

We may also consider alternative gases that have been explored to play a similar role to ^3He in the face of limited supply. BF_3 enriched in ^{10}B is one attractive possibility [59–63]. This gas has a high neutron capture cross section, can be mixed into xenon, and should not attach electrons. The challenge associated with BF_3 is that it is toxic, making operation

of a detector in an underground laboratory challenging from a safety perspective. On the other hand, the ^{136}Xe used in double beta decay experiments is sufficiently precious that they typically have elaborate systems to recapture the gas and to minimize losses, even in small quantities, so perhaps BF_3 should not be immediately dismissed. Though this gas could offer the same function as a ^3He additive without supply challenges, the R&D associated with circulating, purifying, achieving energy resolution in a Xe/BF_3 mix is likely more involved than with a Xe/He mix, which is why ^3He has been our primary focus in this work.

6 Conclusions

The impact of the addition of small percentages of ^3He to a tonne-scale underground high pressure xenon gas detector resembling NEXT-HD has been studied as a means to reduce backgrounds from the capture of thermal neutrons on ^{136}Xe . Studies with injected neutrons show a reduction in the number of these activations of over 1 order of magnitude with as little as 0.1% by mass of ^3He doping. For higher energy neutrons, multi-neutron production from one initial parent is present, leading to larger production of ^{137}Xe per primary neutron.

An example experiment with a fiducial mass of approximately 1 tonne surrounded with a water tank was used to study the impact on the background induced by the passage of cosmic muons. If the experiment took data at LNGS, an activation rate of $1.02 \times 10^{-1} \text{ kg}^{-1} \text{ yr}^{-1}$ would be expected in the case of pure enriched xenon operation with a reduction to $1.24 \times 10^{-2} \text{ kg}^{-1} \text{ yr}^{-1}$ expected with the addition of 0.1% ^3He by mass. Similar predictions have been made for other underground sites. In addition to reducing background, the observed suppression of ^{137}Xe can be used to relax requirements on the outer shielding, and potentially loosen analysis cuts designed to filter backgrounds from ^{137}Xe for enhanced signal acceptance.

Given the background reduction power of high pressure xenon gas TPCs against beta decays from ^{137}Xe , it is expected that any moderately deep underground laboratory, a $^{136}\text{Xe}/^3\text{He}$ tonne or multi-tonne-scale experiment will be entirely free of background from cosmogenically activated ^{137}Xe . As shown in Fig. 6, with $^{136}\text{Xe}/^3\text{He}$ the number of ^{137}Xe expected per year in LSC, the present home of NEXT-100 and the least deep laboratory considered, is lower than levels that would have a substantial effect on experimental sensitivity. This is true even when accounting for sizeable uncertainties arising from cosmogenic shower modelling. We conclude that $^{136}\text{Xe}/^3\text{He}$ mixtures may represent a promising technological component for future large high pressure xenon gas experiments.

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Appendices

During the writing of this document a newer version of **GEANT4** was produced (10.6.p01) with some updates to the neutron production cross section. As a general cross check of the results additional data points were produced using this version of the simulation code.

Fast (10 MeV) and thermal (10 eV) neutrons were passed through the geometry as described in Section 1 and the production rates for ^{137}Xe can be seen in Fig. 7-*left*. We observed some systematic difference between **GEANT4** versions (10.6.p01) and (10.5.p01), with the largest discrepancy being for fast neutrons at 0.1% helium, where the ratio between simulated capture rates was 1.329 ± 0.219 . This is within the envelope of systematic uncertainty ascribed to our results, and does not affect our primary conclusions.

As a further check of the validity of the results simulation of neutrons in **GEANT4**.10.5.p01 was compared to that in the 2011.2x-8 **FLUKA** MonteCarlo simulation package [64] [65]: a widely trusted tool for transport and interactions of low-energy neutrons. A simple 1 m by 1 m cylinder of enriched xenon gas and a mono-energetic beam of neutrons were simulated using both packages. Neutrons were injected uniformly along, and perpendicular to, one endcap of the cylinder. Fig. 7-*right* shows a comparison of the rate of production of ^{137}Xe

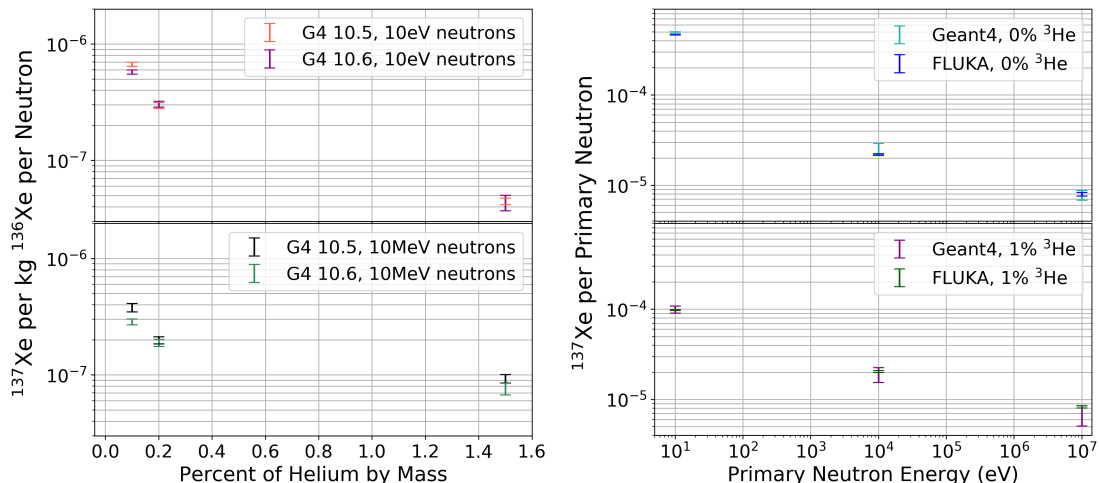


Figure 7. *Left:* Rate of ^{137}Xe activation expected as a result of thermal and fast neutrons with different **GEANT4** versions. *Right:* ^{137}Xe activation rates using **FLUKA** and **GEANT4** 10.5.p01.

in each simulation for a range of neutron energies. The results of GEANT4.10.5.p01 are compatible with 2011.2x-8 FLUKA within systematic uncertainties.