# Detectability of biosignatures on LHS 1140 b

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# ABSTRACT

Context. Terrestrial extrasolar planets around low-mass stars are prime targets when searching for atmospheric biosignatures with current and near-future telescopes. The habitable-zone Super-Earth LHS 1140 b could hold a hydrogen-dominated atmosphere and is

Aims. In this study, we investigate how the instellation and planetary parameters influence the atmospheric climate, chemistry, and spectral appearance of LHS 1140 b. We study the detectability of selected molecules, in particular potential biosignatures, with the upcoming James Webb Space Telescope (JWST) and Extremely Large Telescope (ELT).

Methods. In a first step we use the coupled climate-chemistry model, 1D-TERRA, to simulate a range of assumed atmospheric chemical compositions dominated by molecular hydrogen (H<sub>2</sub>) and carbon dioxide (CO<sub>2</sub>). Further, we vary the concentrations of methane (CH<sub>4</sub>) by several orders of magnitude. In a second step we calculate transmission spectra of the simulated atmospheres and compare them to recent transit observations. Finally, we determine the observation time required to detect spectral bands with low resolution spectroscopy using JWST and the cross-correlation technique using ELT.

Results. In H2-dominated and CH4-rich atmospheres oxygen (O2) has strong chemical sinks, leading to low concentrations of O2 and ozone (O<sub>3</sub>). The potential biosignatures ammonia (NH<sub>3</sub>), phosphine (PH<sub>3</sub>), chloromethane (CH<sub>3</sub>Cl) and nitrous oxide (N<sub>2</sub>O) are less sensitive to the concentration of  $H_2$ ,  $CO_2$  and  $CH_4$  in the atmosphere. In the simulated  $H_2$ -dominated atmosphere the detection of these gases might be feasible within 20 to 100 observation hours with ELT or JWST, when assuming weak extinction by hazes. Conclusions. If further observations of LHS 1140 b suggest a thin, clear, hydrogen-dominated atmosphere, the planet would be one of the best known targets to detect biosignature gases in the atmosphere of a habitable-zone rocky exoplanet with upcoming telescopes.

which might lead to an underestimation of the water loss. Further, due to the extended pre-main sequence phase of M dwarfs (see e.g. Baraffe et al. 2015; Luger & Barnes 2015) LHS 1140 b may have experienced extreme water loss before the star entered the main sequence phase (see e.g. Luger & Barnes 2015).

Assuming an Earth-like atmosphere with updated sea-ice paramerization the 3D model study of Yang et al. (2020) suggested a reduced surface ocean on LHS 1140 b (from 12% to 3% surface coverage). Diamond-Lowe et al. (2020) observed two transits of LHS 1140 b with the twin Magellan Telescopes but their analysis suggested that a precision increased by a factor

of about 4 was needed for the detection of e.g. a cloudless hydrogen atmosphere present at amounts consistent with the bulk density. Recently, Edwards et al. (2020) presented spectrally resolved observations of LHS 1140 b using the G141 grism of the Wide Field Camera 3 (WFC3) on the Hubble Space Telescope (HST). Their results suggest that the planet may host a clear H<sub>2</sub>dominated atmosphere and show evidence of an absorption feature at 1.4  $\mu$ m.

The processes affecting climate and composition of Super-Earths, such as LHS 1140 b are not well known. Evidence was found that there is a dip in the radius distribution of extrasolar planets at 1.5–2.0  $R_{\oplus}$  (see e.g. Owen & Wu 2013; Fulton et al. 2017; Van Eylen et al. 2018; Hardegree-Ullman et al. 2020). With a radius of ~1.7  $R_{\oplus}$  LHS 1140 b lies within this so called 'Radius Valley' (Ment et al. 2019), which is interpreted as the transition between predominantly rocky planets and volatilerich planets. A number of studies have investigated the origin of the Radius Valley (see e.g. Owen & Wu 2013; Lee et al. 2014; Owen & Wu 2017; Lopez & Rice 2018; Ginzburg et al. 2018; Gupta & Schlichting 2019). LHS 1140 b is not expected to have a large  $H_2/He$  envelope due to its high bulk density of  $\rho$ , of 7.5±1.0 cm<sup>-3</sup> (Ment et al. 2019). However, massive Super-Earths might retain small residual H2-atmospheres at the end of the core-powered mass loss (see e.g. Ginzburg et al. 2016; Gupta & Schlichting 2019).

In H<sub>2</sub>-dominated atmospheres significant heating could be induced by self and foreign H<sub>2</sub> Collision Induced Absorption (CIA) (e.g. Pierrehumbert & Gaidos 2011; Ramirez & Kaltenegger 2017). Regarding composition, lessons from the solar system gas giants (Yung & DeMore 1999, and references therein) suggest ammonia (NH<sub>3</sub>) and phosphine (PH<sub>3</sub>) chemistry as well as (1) pathways starting with methane (CH<sub>4</sub>) forming long chain hydrocarbons which can condense to form hazes, and (2) pathways destroying long chain hydrocarbons driven mainly by initial reaction with atomic hydrogen (H) from extreme UV (EUV) photolysis of H<sub>2</sub>. In exoplanetary science e.g. Hu & Seager (2014) studied photochemical responses of hydrogen atmospheres on Super-Earths; Line et al. (2011) discussed processes controlling the partitioning between CH<sub>4</sub> and CO on GJ 436 b and recently Lavvas et al. (2019) studied effects of photochemistry, mixing and hazes on GJ 1214 b.

Clouds and hazes can obscure the observed spectrum of the planetary atmosphere below the top of the haze or cloud layer. Arney et al. (2016) and Arney et al. (2017) used a 1D climate-chemistry model to simulate the photochemically driven formation of organic hazes in the atmosphere of early Earth and exoplanets located in the habitable zones (HZs) of their host stars. They concluded that the concentration of CH<sub>4</sub> has a large impact on the haze formation and propose that hydrocarbon haze may be interpreted as a biosignature on planets with substantial levels of CO<sub>2</sub>.

The detection of potential biosignature gases like oxygen  $(O_2)$ , nitrous oxide  $(N_2O)$  or chloromethane  $(CH_3Cl)$  in an Earth-like or  $CO_2$ -dominated atmosphere will be challenging using transmission or emission spectroscopy (see e.g. Schwieterman et al. 2018; Batalha et al. 2018; Wunderlich et al. 2019; Lustig-Yaeger et al. 2019). The characterization of an H<sub>2</sub>-atmosphere is more favorable due to the lower mean molecular weight leading to larger spectral features. In such an atmosphere several potential biosignatures might be detectable including NH<sub>3</sub>, dimethyl sulfide (DMS), CH<sub>3</sub>Cl, PH<sub>3</sub> and N<sub>2</sub>O (Seager et al. 2013b,a; Schwieterman et al. 2018; Sousa-Silva et al. 2020).

In this work we apply the steady-state, cloud-free, radiativeconvective photochemistry model 1D-TERRA (Scheucher et al. 2020; Wunderlich et al. 2020) together with the theoretical spectral model GARLIC (Schreier et al. 2014) to simulate a range of  $CO_2$ , H<sub>2</sub>-He atmospheres (and mixtures thereof) as well as atmospheric spectra for LHS 1140 b. A central aim of our work is to investigate potential atmospheres of this Super-Earth and determine the detectability of key atmospheric features, in particular potential biosignatures, in the context of the forthcoming JWST and Extremely Large Telescope (ELT).

Section 2 introduces the climate-photochemistry model 1D-TERRA, the line-by-line spectral model GARLIC, and the signal to noise (S/N) models for JWST and ELT. In Sect. 3 we first show the results of the atmospheric modelling and the resulting transmission spectra, followed by the results of the S/N calculations. We summarize and conclude our results in Sect. 4.

# 2. Methodology

#### 2.1. System parameter and stellar input spectrum

LHS 1140 is a close-by M4.5-type main-sequence red dwarf 14.993 $\pm$ 0.015 pc away from the Earth (Gaia et al. 2018) with an effective temperature, T<sub>eff</sub>, of 3219 $\pm$ 39 K, a radius, *R*, of 0.2139 $\pm$ 0.0041  $R_{\odot}$  and a mass, *M*, of 0.179 $\pm$ 0.014  $M_{\odot}$  (Ment et al. 2019). The star is known to host two rocky planets, LHS 1140 b and LHS 1140 c (Dittmann et al. 2017; Ment et al. 2019). In this study, we simulate the potential atmosphere of

the habitable zone planet LHS 1140 b by using a radius of  $1.727\pm0.032 R_{\oplus}$ , a mass of  $6.98\pm0.89 M_{\oplus}$  and a surface gravity, *g*, of  $23.7\pm2.7 \text{ ms}^{-2}$  (Ment et al. 2019). We do not expect that our results would change significantly when using the slightly lower planetary mass of  $6.48\pm0.46 M_{\oplus}$  suggested by Lillo-Box et al. (2020). The planet receives an incident flux of  $0.503\pm0.03 S_{\odot}$  and orbits its host star in ~24.7 days.

The stellar spectrum has not been measured for LHS 1140. However, the FUV<sub>1344-1786Å</sub>/NUV<sub>1711-2831Å</sub> ratio was determined to be  $0.303^{+0.090}_{-0.080}$  (Spinelli et al. 2019). In the UV range up to 400 nm, we use the adapted panchromatic Spectral Energy Distribution (SED) of Proxima Centauri from the MUSCLES database version 22 (France et al. 2016; Loyd et al. 2016) with an FUV<sub>1344-1786Å</sub>/NUV<sub>1711-2831Å</sub> ratio of 0.313. In the visible and NIR we take the SED from GJ 1214 with stellar parameters similar to LHS 1140 ( $T_{\rm eff}$ =3252±20 K, R=0.211±0.011  $R_{\odot}$ , M=0.176±0.009  $M_{\odot}$ , Anglada-Escudé et al. 2013).

#### 2.2. Model description and updates

In this study, we use the radiative-convective photochemistry model 1D-TERRA. The code dates back to early work by Kasting & Ackerman (1986); Pavlov et al. (2000) and Segura et al. (2003) and has been considerably extended by e.g. Grenfell et al. (2007); Rauer et al. (2011); von Paris et al. (2011); Grenfell et al. (2013); von Paris et al. (2015) and Gebauer et al. (2017). Recently a major update of the climate radiative transfer module (called REDFOX; Scheucher et al. 2020) and chemistry module (called BLACKWOLF; Wunderlich et al. 2020) enabled e.g.  $CO_2$ - and H<sub>2</sub>-dominated atmospheres to be consistently simulated.

REDFOX includes absorption of 20 molecules<sup>1</sup> using spectroscopic cross sections from the HITRAN 2016 line list (Gordon et al. 2017) and 81 molecules using UV and VIS cross sections mainly taken from the MPI Mainz Spectral Atlas (Keller-Rudek et al. 2013) as described in Scheucher et al. (2020) and Wunderlich et al. (2020). Additionally Rayleigh scattering of eight molecules<sup>2</sup>, Mlawer-Tobin-Clough-Kneizys-Davies absorption (MT\_CKD; Mlawer et al. 2012) and CIAs of H<sub>2</sub>-H<sub>2</sub>, H<sub>2</sub>-He, CO<sub>2</sub>-H<sub>2</sub> CO<sub>2</sub>-CH<sub>4</sub> and CO<sub>2</sub>-CO<sub>2</sub> are considered (see Scheucher et al. 2020, for details).

The globally-averaged zenith angle is set to 60° in the climate module and 54.5° in the chemistry module in order to fit the observed O<sub>3</sub> column of ~300 Dobson Units (DU) on Earth (see e.g. de Grandpré et al. 2000; Thouret et al. 2006). The atmosphere in the climate module is divided into 101 pressure levels and the chemistry module into 100 pressure layers. The eddy diffusion profile can be calculated according to the parameterization shown in Wunderlich et al. (2020) or set to a given profile. Unless indicated otherwise, we use a parameterized eddy diffusion profile. The photochemical module accounts for dry and wet deposition, as well as surface emission fluxes and atmospheric escape (see details in Wunderlich et al. 2020). For wet deposition we use the parameterization of Giorgi & Chameides (1985) and the tropospheric lightning emissions of nitrogen oxides,  $NO_x$  (here defined as  $NO + NO_2$ ) are based on the Earth lightning model of Chameides et al. (1977).

In the current paper, we additionally introduced some minor updates compared to the photochemical model described in Wunderlich et al. (2020). Recently, the water ( $H_2O$ ) cross section

<sup>&</sup>lt;sup>1</sup> CH<sub>3</sub>Cl, CH<sub>4</sub>, CO, CO<sub>2</sub>, H<sub>2</sub>, H<sub>2</sub>O, HCl, HCN, HNO<sub>3</sub>, HO<sub>2</sub>, HOCl,

 $N_2$ ,  $N_2O$ ,  $NH_3$ , NO,  $NO_2$ ,  $O_2$ ,  $O_3$ , OH, and  $SO_2$ 

<sup>&</sup>lt;sup>2</sup> CO, CO<sub>2</sub>, H<sub>2</sub>O, N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>, He, and CH<sub>4</sub>

between 186–230 nm has been measured by Ranjan et al. (2020) for a temperature of 292 K. We use this new cross section data in the current study. However, the weak NUV flux of M dwarfs suggests that the water photolysis is not affected significantly by the usage of the new measurements (see e.g. Wunderlich et al. 2019).

Recently, Greaves et al. (2020a) found evidence of phosphine (PH<sub>3</sub>) absorption in the atmosphere of Venus. The presence of detectable amounts of PH<sub>3</sub> is still debated in the literature (Snellen et al. 2020; Thompson 2020; Encrenaz et al. 2020; Villanueva et al. 2020; Mogul et al. 2020; Greaves et al. 2020b,c) and chemical and biological processes, leading to its production, are not well known (Greaves et al. 2020a; Bains et al. 2020; Lingam & Loeb 2020). However, Sousa-Silva et al. (2020) suggest that in H<sub>2</sub>- and CO<sub>2</sub>-dominated atmospheres chemical sinks of PH<sub>3</sub> are reduced compared to Earth, favoring a potential detection in such an environment. In the atmosphere of gas giants the thermodynamical formation of PH<sub>3</sub> is favored, where the pressure, temperature and the concentration of H<sub>2</sub> are sufficiently high (see e.g. Visscher et al. 2006). For rocky, potential habitable planets such conditions are not expected making PH<sub>3</sub> a reasonable candidate biosignature gas in a reduced atmosphere.

The chemical network of BLACKWOLF, as presented in Wunderlich et al. (2020), did not include the chemical production and destruction of PH<sub>3</sub>. Hence, we consider in the present work 16 additional phosphorous containing reactions (see Table 1). To calculate the wet deposition of PH<sub>3</sub> we use the Henry's Law constant from Fu et al. (2013). We do not consider any sink reaction for tetraphosphorus (P<sub>4</sub>). At low temperatures P<sub>4</sub> is expected to sublimate without undergoing chemical reaction or photolysis (see e.g. Kaye & Strobel 1984). Hence, we assume that all P<sub>4</sub> is deposited or removed from the atmosphere in order to avoid a runaway effect.

With the new chemical reactions from Table 1 we repeated the validation of modern Earth with 1D-TERRA shown in Wunderlich et al. (2020). The additional consideration of PH<sub>3</sub> has no significant impact on the concentration of key species in the atmosphere of modern Earth. PH<sub>3</sub> was measured locally on Earth with concentrations ranging between  $1 \times 10^{-15}$  (ppq) and  $1 \times 10^{-9}$ (ppb) (see Pasek et al. 2014; Bains et al. 2019; Sousa-Silva et al. 2020, and references therein). 1D-TERRA suggests a global and annual mean surface mixing ratio of  $1 \times 10^{-12}$  (1 ppt) when using an assumed surface emission flux of  $1 \times 10^{8}$  molecules cm<sup>-2</sup> s<sup>-1</sup>.

Additionally to the validation of 1D-TERRA against modern Earth, in Scheucher et al. (2020) and Wunderlich et al. (2020) the climate and chemistry modules were validated against Mars and Venus-like conditions to show that the model is able to predict consistently N<sub>2</sub>-O<sub>2</sub> and CO<sub>2</sub>-dominated atmospheres. In this work we validate the model against H<sub>2</sub>-dominated and CH<sub>4</sub>-rich atmospheres by simulating the atmosphere of Neptune in Appendix A and Titan in Appendix B.

#### 2.3. Climate-only runs

We perform climate-only runs of N<sub>2</sub>-dominated, CO<sub>2</sub>, and H<sub>2</sub>-He atmospheres with the radiative transfer module REDFOX and vary the surface pressures in order to investigate for which atmospheric conditions LHS 1140 b could be habitable at the surface (see Table 2). The mixing ratios of the species are constant over height. We consider pressures leading to surface temperatures between 220 K (approximated limit of open water with ocean heat transport in climates of tidally locked exoplanets around M dwarf stars, see Hu & Yang 2014; Checlair et al. 2017, 2019) and 395 K (see Clarke 2004; McKay 2014). Further we limit our

**Table 1.** Phosphorous containing reactions added to the photochemical reaction scheme.

Reaction	Reaction Coefficients	Ref.
$PH_3+O^1D \rightarrow PH_2+OH$	$4.75 \times 10^{-11}$	(1)
$PH_3+OH \rightarrow PH_2+H_2O$	$2.71 \times 10^{-11} \cdot e^{-155/T}$	(2)
$PH_3+O \rightarrow PH_2+OH$	$9.95 \times 10^{-38}$	(3)
$PH_3+H \rightarrow PH_2+H_2$	$7.22 \times 10^{-11} \cdot e^{-886/T}$	(4)
$PH_3 + Cl \rightarrow PH_2 + HCl$	$2.36 \times 10^{-10}$	(5)
$PH_3+N \rightarrow PH_2+NH$	$4.00 \times 10^{-14}$	(6)
$PH_3+NH_2 \rightarrow PH_2+NH_3$	$1.00 \times 10^{-12} \cdot e^{-928/T}$	(7)
$PH_2+H \rightarrow PH+H_2$	$6.20 \times 10^{-11} \cdot e^{-318/T}$	(8)
$H+PH \rightarrow P+H_2$	$1.50 \times 10^{-10} \cdot e^{-416/T}$	(8)
$P+PH \rightarrow P_2+H$	$5.00 \times 10^{-11} \cdot e^{-400/T}$	(8)
$PH_2+H+M \rightarrow PH_3+M$	$3.70 \times 10^{-10} \cdot e^{-340/T}$	(8)
$PH+H_2+M \rightarrow PH_3+M$	$3.00 \times 10^{-36} \cdot N$	(8)
$P+P+M \rightarrow P_2+M$	$1.40 \times 10^{-33} \cdot e^{500/T} \cdot N$	(8)
$P+H+M \rightarrow PH+M$	$3.40 \times 10^{-33} \cdot e^{173/T} \cdot N$	(8)
$P_2+P_2+M \rightarrow P_4+M$	$1.40 \times 10^{-33} \cdot e^{500/T} \cdot N$	(9)
$PH_3+hv \rightarrow PH_2+H$	see table notes	(10)

**Notes.** Bi-molecular reaction coefficients are shown in cm<sup>3</sup> s<sup>-1</sup> and termolecular reactions are in cm<sup>6</sup> s<sup>-1</sup>. The unit of temperature, *T*, is K and the unit of number density, *N*, is cm<sup>-3</sup>. All reactions are valid for temperatures around 298 K. Photolysis cross sections are taken from Chen et al. (1991) between 120 and 230 nm. The quantum yield is assumed to be unity.

**References.** (1) Nava & Stief (1989); (2) Fritz et al. (1982); (3) Wang et al. (2005); (4) Arthur & Cooper (1997); (5) Iyer et al. (1983); (6) Hamilton & Murrells (1985); (7) Bosco et al. (1983); (8) Kaye & Strobel (1984); (9) rate assumed to be the same as the reaction  $P+P+M \rightarrow P_2+M$ ; (10) Chen et al. (1991)

**Table 2.** Climate-only scenarios: surface pressure range,  $p_0$  in bar, and mixing ratios, f, of N<sub>2</sub>, CO<sub>2</sub>, H<sub>2</sub> and He considered for LHS 1140 b.

Scenario	p <sub>0</sub>	N <sub>2</sub>	CO <sub>2</sub>	H <sub>2</sub>	He
I	0.7 - 100	0.9996	$4 \times 10^{-4}$	0	0
Π	0.1 - 22	0	1	0	0
III	0.1–6	0	0	0.8	0.2

calculation to 100 bars surface pressure since massive envelopes are not expected due to the high bulk density of the planet (Ment et al. 2019).

We include absorption by the major radiative species (Scheucher et al. 2020). For the  $H_2O$  profile we use a constant relative humidity of 80% up to the tropopause. Above the tropopause the  $H_2O$  profile is set to a constant abundance based on its value at the cold trap. For the  $N_2$  atmospheres we assume an Earth-like CO<sub>2</sub> level of 400 ppm (see e.g. Monastersky 2013) and for the  $H_2$ -dominated atmospheres we use 80%  $H_2$  and 20% He.

#### 2.4. Coupled Climate-Chemistry runs

Here we apply the coupled version of 1D-TERRA to simulate the potential atmospheric temperature and composition profiles of LHS 1140 b. All simulations assume a constant relative humidity of 80% from the surface to the cold trap. The surface albedo is set to 0.255, which is the value needed to achieve a mean surface temperature of 288.15 K for the Earth around the Sun (see Scheucher et al. 2020; Wunderlich et al. 2020).

Scenario	$CO_2$	$H_2$	He	CH <sub>4</sub>
1a				$1 \times 10^{-6}$
1b	$1 \times 10^{-9}$	fill gas	0.2	$1 \times 10^{-3}$
1c				0.03
2a				$1 \times 10^{-6}$
2b	$1 \times 10^{-3}$	fill gas	0.1998	$1 \times 10^{-3}$
2c				0.03
3a				$1 \times 10^{-6}$
3b	0.01	fill gas	0.198	$1 \times 10^{-3}$
3c				0.03
4a				$1 \times 10^{-6}$
4b	0.1	fill gas	0.18	$1 \times 10^{-3}$
4c				0.03
5a				$1 \times 10^{-6}$
5b	0.3	fill gas	0.14	$1 \times 10^{-3}$
5c				0.03
6a				$1 \times 10^{-6}$
6b	fill gas	0.4	0.1	$1 \times 10^{-3}$
6c				0.03
7a				$1 \times 10^{-6}$
7b	fill gas	0.24	0.06	$1 \times 10^{-3}$
7c				0.03
8a				$1 \times 10^{-6}$
8b	fill gas	0.08	0.02	$1 \times 10^{-3}$
8c				0.03
9a				$1 \times 10^{-6}$
9b	fill gas	$8 \times 10^{-3}$	$2 \times 10^{-3}$	$1 \times 10^{-3}$
9c				0.03
10a				$1 \times 10^{-6}$
10b	fill gas	$8 \times 10^{-6}$	$2 \times 10^{-6}$	$1 \times 10^{-3}$
10c				0.03

Table 3. Clima-chemistry scenarios: surface mixing ratio of  $\rm CO_2,\, H_2,\, He,\, and\, CH_4.$ 

**Table 4.** Assumed surface emissions (in molecules  $cm^{-2} s^{-1}$ ) and dry deposition velocities (in  $cm s^{-1}$ ) for model runs.

Species	Emissions (molec. $cm^{-2} s^{-1}$ )	$v_{\rm dep} \ ({\rm cm \ s^{-1}}).$
O <sub>2</sub>	$1.21 \times 10^{12}$	$1 \times 10^{-8}$
CH <sub>3</sub> Cl	$1.39 \times 10^{10}$	$1 \times 10^{-8}$
PH <sub>3</sub>	$1.00 \times 10^{10}$	$1 \times 10^{-8}$
NH <sub>3</sub>	$8.38 \times 10^{10}$	$1 \times 10^{-8}$
$N_2O$	$7.80 \times 10^{8}$	$1 \times 10^{-8}$
CO	$1.07 \times 10^{11}$	0.02
NO	$3.38 \times 10^{8}$	0.02
$H_2S$	3.73×10 <sup>9</sup>	0.02
$SO_2$	$1.34 \times 10^{10}$	0.02
OCS	$1.42 \times 10^{8}$	0.02
HCN	$1.27 \times 10^{7}$	0.02
CH <sub>3</sub> OH	$3.35 \times 10^{10}$	0.02
$CS_2$	$5.05 \times 10^{8}$	0.02
$C_2H_6$	$8.55 \times 10^{8}$	0.02
$C_3H_8$	$9.51 \times 10^{8}$	0.02
HCl	$5.57 \times 10^{9}$	0.02

In this study we vary atmospheric mixtures of  $H_2$ -He and  $CO_2$  in 10 steps (see Table 3). For each of the steps we consider in addition three different boundary conditions for CH<sub>4</sub>. The CH<sub>4</sub> abundance can have a large impact on surface temperature and habitability (see e.g. Pavlov et al. 2000; Ramirez & Kaltenegger 2018). Also the detectability of atmospheric spectral features on exoplanets can largely depend on the CH<sub>4</sub> inventory due to haze formation or CH<sub>4</sub> absorption (see e.g. Arney et al. 2016, 2017; Lavvas et al. 2019; Wunderlich et al. 2019). We vary the boundary conditions of CH<sub>4</sub> as follows:

- a: The "low CH<sub>4</sub>" scenarios assume the volume mixing ratio (vmr) of CH<sub>4</sub> to be constant at  $1 \times 10^{-6}$  at the surface, corresponding roughly to the surface CH<sub>4</sub> concentration in the pre-industrial era on Earth (see e.g. Etheridge et al. 1998).
- b: The "medium CH<sub>4</sub>" scenarios use a constant CH<sub>4</sub> vmr of  $1 \times 10^{-3}$  at the surface. Model studies such as Rugheimer et al. (2015) and Wunderlich et al. (2019) suggest a CH<sub>4</sub> vmr of roughly  $1 \times 10^{-3}$  for Earth-like planets in the HZ around mid-M dwarfs using a surface emission of  $1.4 \times 10^{11}$  molec. cm<sup>-2</sup> s<sup>-1</sup> as measured on Earth (e.g. Lelieveld et al. 1998).
- c: The "high  $CH_4$ " scenarios assume that the surface vmr of  $CH_4$  is constant at 3%. This is consistent with the observed main composition of the lower atmosphere of Neptune with 2–4%  $CH_4$  at 2 bar (see e.g. Irwin et al. 2019).

We assume the same boundaries for all 30 scenarios (except for CO<sub>2</sub>, H<sub>2</sub>, He, and CH<sub>4</sub>). For O<sub>2</sub>, CO, H<sub>2</sub>S, NO, N<sub>2</sub>O, OCS, HCN, CS<sub>2</sub>, CH<sub>3</sub>OH, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub> and HCl we assume pre-industrial Earth-like biogenic fluxes (see Table 4). Regarding NH<sub>3</sub>, PH<sub>3</sub> and CH<sub>3</sub>Cl we use larger biogenic fluxes than measured on Earth, assuming that an H<sub>2</sub>-dominated atmosphere could favor the biogenic production of these species, since e.g. H<sub>2</sub> can act as a nutrient. We assume a biogenic NH<sub>3</sub> flux of  $8.38 \times 10^{10}$  molecules cm<sup>-2</sup> s<sup>-1</sup> corresponding to mean global emissions on a hypothetical cold Haber world (Seager et al. 2013b). This NH<sub>3</sub> flux is ~100 times larger than observed on pre-industrial Earth (Bouwman et al. 1997). The assumed biogenic emissions of CH<sub>3</sub>Cl are assumed to be 100 times larger than on pre-industrial Earth (Seinfeld & Pandis 2016). The biogenic surface flux of PH<sub>3</sub> is taken from Sousa-Silva et al. (2020).

**Notes.** Fill gas denotes the main constituent of the atmosphere. Scenario 1c has a composition similar to Neptune: a H<sub>2</sub>-dominated atmosphere with 20% He (Williams et al. 2004), 1 ppb CO<sub>2</sub> (Meadows et al. 2008) and 3% CH<sub>4</sub> (Irwin et al. 2019). Scenario 10a has a CO<sub>2</sub>-dominated atmosphere with ~10 ppm H<sub>2</sub> and He similar to Mars and Venus (Krasnopolsky & Gladstone 2005; Krasnopolsky & Feldman 2001). For each of the ten main scenarios regarding the main composition of the atmosphere we consider three different boundary conditions of CH<sub>4</sub> (see text).

Table 3 shows the 30 scenarios performed with the coupledclimate model. All scenarios assume a constant surface pressure of 2.416 bar, corresponding to the atmospheric mass of the Earth assuming a surface gravity g of 23.7 ms<sup>-2</sup> for LHS 1140 b (Ment et al. 2019). We chose this moderate surface pressure for the following reasons: LHS 1140 b has a high bulk density,  $\rho$ , of  $7.5\pm1.0$  cm<sup>-3</sup> (Ment et al. 2019). Hence, it is unlikely that the planet has a thick H<sub>2</sub> or He envelope. However, the enhanced gravity compared to Earth results in reduced H<sub>2</sub> escape rates (see e.g. Pierrehumbert & Gaidos 2011). This is supported by theoretical studies showing that cool and/or massive Super-Earths can retain small residual H<sub>2</sub>/He envelopes at the end of the corepowered mass loss (Misener & Schlichting in prep.; Gupta & Schlichting 2019; Ginzburg et al. 2016). The secondary outgassing of CO<sub>2</sub> is expected to be small for a Super-Earth like LHS 1140 b with a mass of ~7  $M_{\oplus}$  (see e.g. Dorn et al. 2018; Noack et al. 2017). Hence, we do not consider thick CO<sub>2</sub> atmospheres as on Venus.

Additionally, we apply biogenic and volcanic emissions as measured on Earth (see Table 4 and Wunderlich et al. 2020, for references). We assume a non-zero dry deposition velocity,  $v_{dep}$ , for all species to reduce a potential runaway effect (see e.g. Hu et al. 2020). For CH<sub>4</sub>, O<sub>2</sub>, CH<sub>3</sub>Cl, PH<sub>3</sub>, NH<sub>3</sub> and N<sub>2</sub>O we assume a  $v_{dep}$  of  $1 \times 10^{-8}$  cm s<sup>-1</sup>. For O<sub>2</sub> this value was used by other model studies (e.g. Arney et al. 2016; Hu et al. 2020; Wunderlich et al. 2020) and provides an upper estimation of how much of these gases could be accumulated for the scenarios assumed. For all other species we use a  $v_{dep}$  of 0.02 cm s<sup>-1</sup>, following Hu et al. (2012) and Zahnle et al. (2008).

## 2.5. Transmission

The simulated atmospheres serve as input to compute transmission spectra with the "Generic Atmospheric Radiation Lineby-line Infrared Code" (GARLIC; Schreier et al. 2014, 2018). Line parameters and CIAs are taken from the HITRAN database (Gordon et al. 2017; Karman et al. 2019). We consider the CKD continuum model for H<sub>2</sub>O (Clough et al. 1989) and Rayleigh extinction for H<sub>2</sub>, He, CO<sub>2</sub>, H<sub>2</sub>O, N<sub>2</sub>, CH<sub>4</sub>, CO, N<sub>2</sub>O and O<sub>2</sub> (Murphy 1977; Sneep & Ubachs 2005; Marcq et al. 2011). In the visible we use the cross sections at room temperature (298 K) listed in Table 3 of Wunderlich et al. (2020).

We assume cloud-free conditions for all simulated transmission spectra. We do, however, consider extinction from uniformly distributed aerosols with an optical depth,  $\tau_A$ , at wavelength,  $\lambda$  ( $\mu$ m), following Ångström (1930); Allen (1976) and Yan et al. (2015):

$$\tau_{\rm A} = \beta \cdot N_{\rm c} \cdot \lambda^{-\alpha},\tag{1}$$

with the column density,  $N_c$ , in molecules cm<sup>-2</sup>. For clear sky conditions with weak scattering by haze or dust we set  $\alpha$  to 1.3, representing an average measured value on Earth following the Junge distribution (see e.g. Ångström 1961) and we set the coefficient  $\beta$  to  $1.4 \times 10^{-27}$  following Allen (1976). For hazy conditions we assume  $\alpha$  to be 2.6 and set  $\beta$  to  $6.0 \times 10^{-25}$ , representing the best fit to extinction by hazes on Titan (see Appendix C). Note, that we do not consider the production of hazes in H<sub>2</sub>- and CO<sub>2</sub>-dominated atmospheres in our model. The assumed impact of hazes on the spectral appearance of the simulated atmospheres should therefore only serve as a rough estimation and further investigation is needed to test the validity of this assumption.

We express the transmission spectra as wavelength dependent atmospheric transit depth, which contains the contribution of the atmosphere to the total transit depth without the contribution from the solid body (see more details in Schreier et al. 2018; Wunderlich et al. 2020).

## 2.6. Signal-to-noise ratio (S/N)

An important aim of this study is to determine whether molecular absorption features are detectable with the JWST and ELT (see Table 5). For low resolution spectroscopy (LRS) we calculate the required number of transits with JWST NIRSpec PRISM and MIRI LRS with the method presented in Wunderlich et al. (2019). LHS 1140 exceeds the brightness limits of NIRSpec PRISM leading to a saturation of the detector between 1 and 2  $\mu$ m. Hence, we consider partial saturation of the detector as proposed by Batalha et al. (2018) and exclude the wavelength range between 1 and 2  $\mu$ m from our analysis. The results do not significantly differ when we use medium resolution filters such

**Table 5.** Wavelength coverage and resolving power, R, of the instruments on JWST and ELT used to calculate the number of transits required to detect spectral features in the atmosphere of LHS 1140 b.

Telescope - Instrument	Wavelength	R	Ref.
JWST - NIRSpec PRISM	0.6–5.3 μm	~100	(1)
JWST - MIRI LRS	$5.0-12 \mu m$	~100	(2)
ELT - HIRES	$0.37 - 2.5 \mu m$	100,000	(3)
ELT - METIS (HRS)	2.9–5.3 μm	100,000	(4)

**References.** (1) Birkmann et al. (2016); (2) Kendrew et al. (2015); (3) Marconi et al. (2016); (4) Brandl et al. (2016)

as NIRSpec G235M and G395M (Birkmann et al. 2016), which do not saturate for LHS 1140. However, both filters cannot be used simultaneously which would decrease the wavelength coverage compared to NIRSpec PRISM and the additional binning of the spectral data with larger resolving power might lead to enhanced red noise.

With high resolution spectroscopy (HRS) we investigate the potential detection of spectral lines from NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl and N<sub>2</sub>O with the cross-correlation technique using the HIRES and METIS instruments on ELT. We use the same approach to estimate the number of transits which are necessary to detect the molecules with the cross-correlation method as described in Wunderlich et al. (2020). The signal to noise of the star per transit is calculated with the European Southern Observatory Exposure Time Calculator<sup>3</sup> (ESO ETC) Version 6.4.0 from November 2019 (see updated documentation<sup>4</sup> from Liske 2008). As input for the ETC we use the stellar spectrum described in Sec. 2.1 and scale it to the K-band magnitude of 8.821 (Cutri et al. 2003) in order to obtain the input flux distribution. Equivalent to Wunderlich et al. (2020) we use a mean throughput of 10% for ELT HIRES and METIS. Previous studies investigating the feasibility of detection of e.g. O<sub>2</sub> in Earth-like atmospheres assumed a more optimistic throughput of 20% (Snellen et al. 2013; Rodler & López-Morales 2014; Serindag & Snellen 2019). The sky conditions are set to a constant airmass of 1.5 and a precipitable water vapour (PWV) of 2.5. For each wavelength band we change the radius of the diffraction limited core of the point spread function according to the recommendation in Liske (2008).

# 3. Results and Discussion

#### 3.1. Surface habitability

Figure 1 shows the surface temperatures of LHS 1140 b for N<sub>2</sub>-,  $CO_2$ -, and H<sub>2</sub>-dominated atmospheres with varying surface pressures, for the climate-only runs (without coupling to the photochemistry module, see Sec. 2.3). Results suggest that thick N<sub>2</sub>dominated atmosphere on LHS-1140 b or substantial amounts of greenhouse gases such as  $CO_2$  would be required to reach habitable surface temperatures. The simulations show that a  $CO_2$ atmosphere requires a surface pressure of ~2.5 bar to reach a global mean surface temperature above 273 K. This is comparable to the results of Morley et al. (2017) who found that a 2 bar Venus-like atmosphere on LHS 1140 b would lead to a surface temperature of around 280 K.

For an  $H_2$  atmosphere CIA by  $H_2$ - $H_2$  extends the outer edge of the HZ (see e.g. Pierrehumbert & Gaidos 2011) compared

<sup>&</sup>lt;sup>3</sup> https://www.eso.org/observing/etc/bin/gen/form?INS. NAME=ELT+INS.MODE=swspectr

<sup>4</sup> https://www.eso.org/observing/etc/doc/elt/etc\_spec\_ model.pdf



**Fig. 1.** Surface temperature in Kelvin against surface pressure in bar for simulated climate-only  $N_2$ -,  $CO_2$ -, and  $H_2$ -dominated atmospheres of LHS 1140 b (see Table 2). Horizontal dashed line indicates 273 K.

to  $CO_2$  atmospheres. The simulated  $H_2$  atmospheres have up to 80 K warmer surface temperatures than the  $CO_2$  atmospheres. Our results suggest that a surface pressure of 0.6 bar leads to a surface temperature of about 273 K on LHS 1140 b.

# 3.2. Atmospheric profiles

To simulate the atmospheric scenarios as described in Section 2.4 we use the coupled version of 1D-TERRA. Figure 2 shows the temperature and composition profiles of selected species for H<sub>2</sub>-dominated atmospheres with low concentrations of CO<sub>2</sub> (scenarios 1a, 1b, and 1c; see Sect. 2.4 and Table 3) and CO<sub>2</sub>-dominated atmospheres with low concentrations of H<sub>2</sub> (scenarios 10a, 10b, and 10c). Figure 3 shows the surface temperature, the atmospheric height at the Top of Atmosphere (ToA) at ~0.01 Pa, the surface vmr of CO<sub>2</sub>, H<sub>2</sub>O, and O<sub>2</sub>, and the CH<sub>4</sub> vmr at the ToA with decreasing concentrations of H<sub>2</sub> (corresponding to increasing concentrations of CO<sub>2</sub>, see Table 3).

#### 3.2.1. Temperature

Figure 2 suggests that the  $H_2$ -dominated atmospheres with low and medium  $CH_4$  concentrations (corresponding to scenarios 1a and 1b respectively) show a similar temperature profile from the surface up to ~200 hPa with a strong warming towards the ToA.

The H<sub>2</sub>-dominated atmosphere with a high CH<sub>4</sub> concentration (scenario 1c) results in a warm stratosphere due to CH<sub>4</sub> short-wave absorption and accordingly a cool troposphere, similar to the shape of the temperature profile behaviour on e.g. Titan (see e.g. Fulchignoni et al. 2005; Serigano et al. 2016). The large concentration of CH<sub>4</sub> absorbs most of the stellar energy in the stratosphere, leading to reduced stellar irradiation reaching the troposphere (see also Ramirez & Kaltenegger 2018). Note that 1D-TERRA does not consider the effect of hazes, which might be formed in such an environment (see e.g. He et al. 2018; Hörst et al. 2018).

In CO<sub>2</sub>-dominated atmospheres we simulate similar temperature profiles for low CH<sub>4</sub> concentrations (scenario 10a) compared to medium CH<sub>4</sub> concentrations (scenario 10b). There is no temperature inversion from O<sub>3</sub> absorption in the middle atmosphere due to the weak UV emission of M dwarfs (see also e.g. Segura et al. 2005; Grenfell et al. 2014; Wunderlich et al. 2019, 2020). The CO<sub>2</sub>-dominated atmosphere with high abundances of CH<sub>4</sub> (scenario 10c) shows weak temperature variations in the range of ~40 K through the simulated atmospheric profile. The high concentration of  $CH_4$  leads to a warming of the atmosphere compared to the runs with lower  $CH_4$  abundances, except near the surface, where the anti-greenhouse effect cools the atmosphere.

In Fig 3 the low and medium  $CH_4$  scenarios (blue and green lines, respectively) feature similar responses in surface temperatures with decreasing  $H_2$ . The high  $CH_4$  scenarios (orange line) first show a warming effect of about 50 K due to the decreased partial pressure of  $CH_4$  on increasing the molecular weight towards  $CO_2$ -rich atmospheres (scenarios 1c to 4c). Note that the surface mixing ratio of  $CH_4$  is kept constant at 3% for all the high  $CH_4$  scenarios. For  $CO_2$ -dominated atmospheres the surface temperature decreases when reducing the abundances of  $H_2$  (scenarios 6c to 10c) due to the weaker warming from the  $H_2$ - $H_2$  CIA.

# 3.2.2. H<sub>2</sub>O

The water profile in the lower atmosphere depends mainly on the assumed relative humidity and the temperature profile near the surface. For all simulations we assume the relative humidity to be constant at 80% up to the tropopause. In the middle and upper atmosphere the H<sub>2</sub>O profile is determined mainly by chemical production or loss and to a lesser extent by eddy mixing. H<sub>2</sub>O is mainly destroyed by photolysis at wavelengths below 200 nm forming the hydroxyl radical (OH) and atomic hydrogen (H):

$$H_2O + h\nu \rightarrow H + OH.$$
 (R1)

The H<sub>2</sub>-dominated atmospheres show weaker FUV absorption compared to the CO<sub>2</sub>-dominated atmospheres. This weaker shielding effect leads to enhanced photolysis and less stratospheric water content for the scenarios with H<sub>2</sub>-dominated atmospheres (Fig. 2). For the high CH<sub>4</sub> scenarios the water photolysis is weak due to strong FUV absorption from CH<sub>4</sub>. In CO<sub>2</sub>-dominated atmospheres H<sub>2</sub>O is significantly reformed via HO<sub>x</sub>-driven (HO<sub>x</sub> = H + OH + HO<sub>2</sub>) oxidation of CH<sub>4</sub> at pressures less than 0.1 hPa (see also Segura et al. 2005; Grenfell et al. 2013; Rugheimer et al. 2015; Wunderlich et al. 2019).

#### 3.2.3. O<sub>2</sub>

All runs assume an Earth-like surface  $O_2$  flux from photosynthesis (see Table 4). However, in H<sub>2</sub>-dominated atmospheres the oxygen content near the surface is only ~1 ppm for low and medium CH<sub>4</sub> scenarios (blue and green solid line in Fig. 2). Grenfell et al. (2018) suggest that the catalytic cycles including HO<sub>x</sub> and NO<sub>x</sub> leads to oxidation of H<sub>2</sub> into water. O<sub>2</sub> is mainly destroyed via photolysis or the three body reaction with atomic hydrogen:

$$O_2 + h\nu \to O + O \tag{R2}$$

$$H + O_2 + M \to HO_2 + M \tag{R3}$$

The atomic hydrogen required for reaction (R3) is mainly produced via:

$$OH + H_2 \rightarrow H_2O + H. \tag{R4}$$

OH can be formed via the reactions:

- $H + HO_2 \rightarrow OH + OH$  (R5)
- $H + NO_2 \rightarrow OH + NO$  (R6)
- $\text{HO}_2 + \text{NO} \rightarrow \text{OH} + \text{NO}_2,$  (R7)



**Fig. 2.** Simulated temperature and composition profiles of selected species of LHS 1140 b. Different colors represent the three types of scenarios considered: blue for low  $CH_4$ , green for medium  $CH_4$  and orange for high  $CH_4$ . Solid lines represent  $H_2$ -dominated atmospheres (scenarios 1a, 1b, and 1c) whereas dashed lines show  $CO_2$ -dominated atmospheres (scenarios 10a, 10b, 10c).

and by water photolysis (reaction R1) which increases the concentration of H in the middle atmosphere. The atomic hydrogen can be removed via escape or recombines to form H<sub>2</sub> (see Hu et al. 2012). For the H<sub>2</sub>-dominated atmosphere with high CH<sub>4</sub> concentrations (scenario 1c) the surface mixing ratio of O<sub>2</sub> is two orders of magnitudes larger than for scenarios 1a and 1b due to the lower concentrations of NO<sub>x</sub> and H in the lower atmosphere.

For increasing mixing ratios of  $CO_2$  the destruction of  $O_2$  via  $H_2$  oxidation is less dominant and  $O_2$  can accumulate in the atmosphere (see Fig. 3). The CO<sub>2</sub>-dominated atmospheres with less than 10%  $H_2$  feature large abundances of  $O_2$  of up to 30%

for the atmospheres with low and medium  $CH_4$  concentrations (scenario 8a–10a and 8b–10b). For the scenarios 8a and 8b the concentration of  $O_2$  might be limited to about 10% (see gray shaded region in Fig 3) due to the potential combustion of the atmosphere (Grenfell et al. 2018).

The large concentrations of  $O_2$  are related to the lower UV flux of M dwarfs (hence weaker  $O_2$  photolysis) as well as strong abiotic production of  $O_2$  from  $CO_2$  photolysis at wavelengths below 200 nm (see also e.g. Domagal-Goldman et al. 2014; Harman et al. 2015; Wunderlich et al. 2020). The lower FUV/NUV ratio of LHS 1140 compared to a solar type star favors the pro-



**Fig. 3.** Change in the surface temperature in K, the atmospheric height at ToA (~0.01 Pa) in km and the surface volume mixing ratio (vmr) of  $H_2O$ ,  $O_2$ , CO and  $CH_4$  with decreasing concentrations of  $H_2$  for scenarios with low (blue lines), medium (green lines) and high  $CH_4$  scenarios. Note that the concentrations of  $CO_2$  corresponding to  $H_2$  vmrs of 0.75, 0.5, 0.25 and 0.0 are shown in green x-labels for the medium  $CH_4$  scenarios described in Table 3. The dashed black line shows T=273 K. The gray shaded region represents the limits of combustion for  $H_2$ -CO<sub>2</sub> and  $H_2$ -O<sub>2</sub> gas mixtures (see Grenfell et al. 2018). Note that the assumed surface mixing ratios of  $H_2$  and  $CO_2$  for the main scenarios 5–8 are at the edge of the  $H_2$ -CO<sub>2</sub> combustion limit.

duction of abiotic  $O_2$  in  $CO_2$ -rich atmospheres via:

$$2(\text{CO}_2 + h\nu \to \text{CO} + \text{O}) \tag{R8}$$

$$O + O + M \rightarrow O_2 + M \tag{R9}$$

$$2CO_2 \rightarrow 2CO + O_2$$

(see also e.g. Selsis et al. 2002; Segura et al. 2007; Tian et al. 2014; France et al. 2016; Wunderlich et al. 2020). The production of  $O_2$  is further enhanced by the presence of OH via:

$$O + OH \rightarrow O_2 + H.$$
 (R10)

CO and O can recombine to CO<sub>2</sub> via a HO<sub>x</sub> catalysed reaction sequence, forming CO<sub>2</sub>: CO + O  $\xrightarrow{\text{HO}_x}$  CO<sub>2</sub> (see e.g. Domagal-Goldman et al. 2014; Gao et al. 2015; Meadows 2017; Schwieterman et al. 2019). For the CO<sub>2</sub>-dominated atmosphere with high CH<sub>4</sub> concentrations we find that atomic oxygen (O) quickly

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reacts with CH<sub>3</sub> via:

or

$$O + CH_3 \rightarrow H_2CO + H$$
 (R11)  
or

$$O + CH_3 \rightarrow CO + H_2 + H, \tag{R12}$$

forming  $H_2$ , H, CO and  $H_2CO$ . Part of the  $H_2CO$  separates into  $H_2$  and CO via:

$$H_2CO + h\nu \to H_2 + CO \tag{R13}$$

$$H_2CO + H \rightarrow H_2 + HCO$$
 (R14)

$$HCO + H \rightarrow H_2 + CO.$$
 (R15)

Hence, results suggest low concentrations of  $O_2$  and large abundances of CO for the high  $CH_4$  scenarios with  $CO_2$ -dominated atmospheres.

#### 3.2.4. O<sub>3</sub>

A main source of  $O_3$  largely depends on the amount of  $O_2$  available for photolysis in the atmosphere.  $O_2$  is split into atomic oxygen via photolysis between 170 and 240 nm (reaction R2) and then reacts with  $O_2$  to form  $O_3$  via a fast three-body reaction (see e.g. Brasseur & Solomon 2006). The main  $O_3$  sinks are photolysis at wavelengths less than ~200 nm and catalytic cycles involving HO<sub>x</sub> and NO<sub>x</sub> which convert  $O_3$  into  $O_2$  in the middle atmosphere (see e.g. Brasseur & Solomon 2006; Grenfell et al. 2013).

In our scenarios, the low FUV/NUV environment compared with the Earth favors weak release of  $HO_x$  and  $NO_x$  from their reservoir molecules which leads to weak  $O_3$  catalytic loss. The low and medium CH<sub>4</sub> scenarios with CO<sub>2</sub>-dominated atmospheres show an ozone layer with peak abundances (~20– 50 ppm) up to about five times larger than on Earth (compare to e.g. Fig. 2 of Wunderlich et al. 2020). Grenfell et al. (2014) suggested that the smog mechanism is an important  $O_3$  source for late M dwarfs since UV levels are not sufficient to drive efficiently the Chapman mechanism. All scenarios with H<sub>2</sub>dominated atmospheres and CO<sub>2</sub>-dominated atmosphere with high concentrations of CH<sub>4</sub> are however low in O<sub>2</sub>, leading overall to weak production of O<sub>3</sub>.

# 3.2.5. CO

All simulations assume emissions of CO from volcanoes and biomass based on pre-industrial Earth values. An important insitu sink for CO is OH via:

$$CO + OH \rightarrow CO_2 + H.$$
 (R16)

Our results suggest a decreased OH due to a slowing in its main source reaction:

$$O^1D + H_2O \rightarrow 2OH,$$
 (R17)

since production of  $O^1D$  (e.g. from  $O_3$  photolysis) is disfavored by the stellar UV emission. The weak OH favors an increase in CO and CH<sub>4</sub> by several orders of magnitude compared with modern Earth. Similar effects have been noted by several studies in the literature for Earth-like planets (see e.g. Segura et al. 2005; Grenfell et al. 2007; Rugheimer et al. 2015; Wunderlich et al. 2019).

The tropospheric temperature of the  $H_2$ -dominated atmosphere with high concentrations of  $CH_4$  (scenario 1c) is much

lower compared to the other scenarios due to the strong  $CH_4$  anti-greenhouse effect (see above), leading to water condensation hence less water photolysis in the troposphere. Note that the OH radical can also be formed via  $HO_x$  re-partitioning (reaction R7), which can be driven by enhancements in NO e.g. via incoming cosmic rays (see e.g. Airapetian et al. 2016; Scheucher et al. 2018; Airapetian et al. 2020).

In the atmosphere with high concentrations of CH<sub>4</sub> results suggest that the recombination of CO and O into CO<sub>2</sub> is weakened due to the additional sinks of atomic oxygen via reactions (R11) and (R12) as discussed in Section 3.2.3. High CH<sub>4</sub> generally favors lowered OH, which weakens the HO<sub>x</sub> catalyzed combination of CO and O into CO<sub>2</sub>. This leads to larger abundances of CO for high CH<sub>4</sub> scenarios compared to the other scenarios.

#### 3.2.6. CH<sub>4</sub> and hydrocarbons

Understanding how CH<sub>4</sub> forms higher hydrocarbons ( $C_n$ ) and how these species are subsequently removed to reform CH<sub>4</sub> is a central issue because higher hydrocarbons can readily condense to form hazes, which could strongly impact climate and observed spectra. The high CH<sub>4</sub> scenarios feature a large production of hydrocarbons such as C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>6</sub>. Figure 2 shows the atmospheric profiles of C<sub>2</sub>H<sub>6</sub> but note that C<sub>2</sub>H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> (not shown) have similar concentrations with largest mixing ratios at the ToA with up to 0.1%.

Our results suggest that in H<sub>2</sub>-dominated atmospheres the main pathway for initiating ascent of the homologous chain from  $C_1 \rightarrow C_2$  (CH<sub>4</sub>  $\rightarrow C_2$ H<sub>6</sub>) pathway is as follows:

$$2(CH_4 + h\nu \rightarrow {}^1CH_2 + H_2) \tag{R18}$$

$$2(^{1}\mathrm{CH}_{2} + \mathrm{H}_{2} \to \mathrm{CH}_{3} + \mathrm{H})$$
(R19)

$$CH_3 + CH_3 + M \rightarrow C_2H_6 + M \tag{R20}$$

$$2CH_4 \rightarrow C_2H_6 + 2H.$$

The above pathway is an established route for ascending the hydrocarbon chain (see e.g. Yung & DeMore 1999, Chapter 5 and references therein). It is initiated by  $CH_4$  photolysis to form reactive methyl radicals ( $CH_3$ ), which participate in a three-body self-reaction to form ethane ( $C_2H_6$ ).

Our results suggest that  $C_2H_4$  is mainly formed by the reactions:

$$CH + CH_4 \rightarrow C_2H_4 + H \tag{R21}$$
 and

 $CH_2 + CH_3 \rightarrow C_2H_4 + H \tag{R22}$ 

where CH is produced via:

$$CH_4 + h\nu \rightarrow CH + H_2 + H$$
 (R23)

$$CH_3 + h\nu \rightarrow CH + H_2$$
 (R24)

$$H + CH_2 \rightarrow CH + H_2$$
 (R25)

and C<sub>2</sub>H<sub>2</sub> is formed via photolysis of C<sub>2</sub>H<sub>4</sub> and the reaction:

$$CH_2 + CH_2 \rightarrow C_2H_2 + H_2 \tag{R26}$$

(see also Yung & DeMore 1999, and references therein).

In CO<sub>2</sub>-dominated atmospheres our results suggest that the reaction (R19) is slower due to reduced  $H_2$  and since part of the CH<sub>2</sub> reacts with CO<sub>2</sub> forming  $H_2$ CO and CO. This effect

disfavours the pathway producing  $C_2H_6$ . Additionally however, the destruction of  $CH_3$  via:

$$CH_3 + H_2 \rightarrow CH_4 + H$$
 (R27)

is weakened due to lowered  $H_2$ . This effect favors enhanced  $CH_3$ hence, the pathway producing  $C_2H_6$ . The overall effect is slightly lower concentrations of  $CH_4$  in the upper atmosphere but larger amounts of  $C_2H_6$  as well as  $C_2H_2$  and  $C_2H_4$  (not shown) for  $CO_2$ -dominated atmospheres compared to  $H_2$ -dominated atmospheres when assuming high concentrations of  $CH_4$ .

The larger abundances of  $C_4H_2$  shown in Figs. 2 and 3 for the scenario 10c compared to the scenario 1c suggests more haze production by hydrocarbons in CO<sub>2</sub>-dominated compared with H<sub>2</sub>-dominated atmospheres. Such an effect would be reinforced assuming CO<sub>2</sub>-dominated atmospheres have cooler mid to upper atmospheres compared with H<sub>2</sub>-dominated atmospheres as suggested by Fig. 2. Note however, that the above result could be reversed in atmospheres where CH<sub>2</sub> (reaction R19) becomes more important for C<sub>2</sub>H<sub>6</sub> production since H<sub>2</sub>-dominated atmospheres favor reduced CH<sub>2</sub> as discussed above. For the low and medium CH<sub>4</sub> scenarios the concentrations of C<sub>4</sub>H<sub>2</sub> decrease with decreasing CH<sub>4</sub>/CO<sub>2</sub> ratios as suggested by e.g. Arney et al. (2018) for N<sub>2</sub>-dominated atmospheres.

3.2.7. SO<sub>2</sub>

We assume volcanic outgassing of SO<sub>2</sub> as measured on modern Earth. Due to its high solubility in water forming sulfate, SO<sub>2</sub> is deposited easily over wet surfaces leading to SO<sub>2</sub> surface mixing ratios below 1 ppb. The main in-situ chemical sink of SO<sub>2</sub> is photodissociation below 400 nm (e.g. Manatt & Lane 1993) and its oxidation via reaction with OH or O<sub>3</sub> to form ultimately SO<sub>3</sub> which quickly reacts with water to form sulfate (see e.g. Burkholder et al. 2015; Seinfeld & Pandis 2016). In CO<sub>2</sub>-dominated atmospheres with low and medium CH<sub>4</sub> concentrations (scenarios 10a and 10b), results suggest that shielding associated with large UV absorption from CO<sub>2</sub>, O<sub>2</sub>, and O<sub>3</sub>, enables the concentrations of SO<sub>2</sub> to reach up to 1 ppm in the stratosphere.

In H<sub>2</sub>-dominated atmospheres the high UV environment leads to low abundances of SO<sub>2</sub> over the entire atmosphere. Note that strong SO<sub>2</sub> abundances in e.g. moist, warm tropospheres (see Figure 2) would favor significant sulfate aerosol formation although a strong hydrological cycle would quickly wash out the sulfate formed (see e.g. Loftus et al. 2019).

# 3.2.8. Potential biosignatures NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl and N<sub>2</sub>O

The chemical destruction of NH<sub>3</sub>, PH<sub>3</sub>, and CH<sub>3</sub>Cl is controlled by reactions with OH, O<sup>1</sup>D, H and by photodissociation in the UV (see e.g. Segura et al. 2005; Seager et al. 2013b; Sousa-Silva et al. 2020). In the middle atmosphere NH<sub>3</sub> photodissociates into NH<sub>2</sub> and H. In H<sub>2</sub>-dominated atmospheres the NH<sub>2</sub> reacts quickly with H and reforms NH<sub>3</sub>. In the high CH<sub>4</sub> atmosphere this recombination process is slower due to enhanced HO<sub>2</sub> from water photolysis in the stratosphere leading to more destruction of atomic hydrogen by reaction (R5) (see also Sec. 3.2.3). Our results suggest that an assumed emission of  $8.38 \times 10^{10}$ molecules cm<sup>-2</sup> s<sup>-1</sup> would lead to NH<sub>3</sub> surface mixing ratios between 0.1 and 1 ppm. This is consistent with the results from Seager et al. (2013b) who obtain an NH<sub>3</sub> mixing ratio of 0.1 ppm with surface flux of  $5.1 \times 10^{10}$  molecules cm<sup>-2</sup> s<sup>-1</sup> for a planet with an H<sub>2</sub>-dominated atmosphere around an active M dwarf.



**Fig. 4.** Simulated transmission spectrum of the medium CH<sub>4</sub> scenario with the H<sub>2</sub>-dominated atmosphere (scenario 1b) binned to a constant resolving power of R=300 (maximum resolving power of JWST NIRSpec PRISM at 5  $\mu$ m). The black line represents the spectrum which includes the contribution from all gases which are considered in 1D-TERRA and also available in HITRAN, Rayleigh scattering, aerosol extinction, H<sub>2</sub>O CKD and CIAs. Individual gaseous absorption of selected molecules are represented by different colors.

For PH<sub>3</sub> we assume an emissions flux of  $1 \times 10^{10}$  molecules cm<sup>-2</sup> s<sup>-1</sup> and obtain a surface mixing ratio of about 200 ppb for the H<sub>2</sub>-dominated atmosphere with low CH<sub>4</sub> concentrations. Sousa-Silva et al. (2020) find similar mixing ratios of PH<sub>3</sub> when assuming a ten times larger surface flux for a planet with an H<sub>2</sub>-dominated atmosphere around an active M dwarf. However, they did not consider that PH<sub>3</sub> may be recycled via chemical reactions with H or H<sub>2</sub>.

For  $CO_2$ -dominated atmospheres with low and medium concentrations of  $CH_4$  (scenarios 10a, 10b) the destruction of  $PH_3$ from H is weaker compared to  $H_2$ -dominated atmospheres and we obtain larger surface mixing ratios of several ppm. Given the weak recycling of  $PH_3$  from H or  $H_2$ , our results are consistent with Sousa-Silva et al. (2020) who obtain a mixing ratio of 15 ppm for a ten times larger surface flux. For the high  $CH_4$ scenario (10c) our results suggest that large abundances of OH are produced near the surface via:

$$CH_3 + O_2 \rightarrow H_2CO + OH,$$
 (R28)

leading to enhanced destruction of  $PH_3$  compared to the other scenarios. The  $CH_3Cl$  and  $N_2O$  loss processes are dominated by photolysis in the UV below 240 nm and reaction with  $O^1D$  (see also e.g. Grenfell et al. 2013). Due to the low UV environment in  $CO_2$ -dominated atmospheres, the destruction by photolysis is weaker and the abundances of  $CH_3Cl$  and  $N_2O$  are larger compared to the  $H_2$ -dominated atmospheres.

# 3.2.9. Atmospheric height

The right upper panel of Fig. 3 shows atmospheric height at the ToA for all simulated scenarios. A central aim of this paper is to investigate whether it is feasible to detect atmospheric molecular features on LHS 1140 b with future telescopes. A large atmospheric height leads to stronger absorption features in transmission spectroscopy and hence to improved detectability of the corresponding species. Due to the low mean molecular weight hence larger scale height of the H<sub>2</sub>-dominated atmospheres, the ToA at ~0.01 Pa occurs at a height of up to 570 km, whereas the CO<sub>2</sub>-dominated atmospheres only reach an altitude of about 35 km. The larger stratospheric temperatures for the high CH<sub>4</sub> scenarios furthermore lead to an expansion of the atmosphere compared to the scenarios with less CH<sub>4</sub>.

**Table 6.** Central wavelength,  $\lambda_c$  ( $\mu$ m), of molecular bands from NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl, and N<sub>2</sub>O and spectral features which might overlap or obscure the bands at the corresponding  $\lambda_c$ .

Species	$\lambda_{ m c}$	Overlap
N <sub>2</sub> O	2.9 µm	$CO_2$
$N_2O$	4.5 μm	$CO, CO_2$
$NH_3$	$2.0 \mu m$	$H_2O$ , $H_2$ - $H_2$ , haze, $CO_2$
$NH_3$	3.0 µm	$CO_2, C_2H_2$
NH <sub>3</sub>	6.1 <i>µ</i> m	$CH_4$ , $H_2O$
NH <sub>3</sub>	$10.5 \mu m$	$H_2$ - $H_2$ , $O_3$ , $CO_2$
$PH_3$	4.3 µm	$CO_2$
$PH_3$	9.5 μm	$H_2$ - $H_2$ , $O_3$ , $CO_2$
CH <sub>3</sub> Cl	3.3 µm	$CH_4$
CH <sub>3</sub> Cl	$7.0 \mu \mathrm{m}$	$CH_4$ , $H_2O$ , $C_2H_6$
CH <sub>3</sub> Cl	9.8 µm	$H_2$ - $H_2$ , $O_3$ , $CO_2$

# 3.3. Transmission spectra

Figure 4 shows the simulated transmission spectrum of LHS 1140 b for the H<sub>2</sub>-dominated atmosphere with medium CH<sub>4</sub> concentrations (scenario 1b). The contribution from individual molecular absorption bands is shown with different colors. To detect a spectral band with e.g. the JWST it is important to identify a wavelength range which is not obscured by the absorption of other molecules or haze extinction. The strongest spectral features are due to absorption by CH<sub>4</sub>. Below ~2  $\mu$ m molecular features of H<sub>2</sub>O and NH<sub>3</sub> are obscured by haze extinction, which increases the transit depth to ~90 ppm. Between 2 and 2.5  $\mu$ m H<sub>2</sub>-H<sub>2</sub> CIA contributes significantly to the transmission spectrum (see Abel et al. 2011).

Around 3.0  $\mu$ m we obtain two absorption features mainly produced by NH<sub>3</sub>, N<sub>2</sub>O and PH<sub>3</sub>. Absorption by CH<sub>4</sub> and H<sub>2</sub>O is rather weak at these wavelengths. However, between 3.0 and 3.1  $\mu$ m C<sub>2</sub>H<sub>2</sub> contributes significantly to the spectral feature (not shown). The absorption by CO<sub>2</sub> around 3.0  $\mu$ m is significant for atmospheres with mixing ratios of ~1% or more CO<sub>2</sub> (not shown). The spectral features of CH<sub>3</sub>Cl around 3.3  $\mu$ m and 7  $\mu$ m overlap with absorption by CH<sub>4</sub> and H<sub>2</sub>O. For CO<sub>2</sub>-poor atmospheres the features from N<sub>2</sub>O and PH<sub>3</sub> dominate the spectrum between 4.1 and 4.6  $\mu$ m. However, Earth-like CO<sub>2</sub> levels lead to



**Fig. 5.** Simulated atmospheric features of the LHS 1140 b for all three scenarios with  $H_2$ - (upper panel) and  $CO_2$ -dominated atmospheres (lower panel), represented by cloud-free transit transmission spectra and binned to a constant resolving power of R=300. The scenarios are indicated by the different colors: blue for low concentrations of CH<sub>4</sub> (scenario 1a for H<sub>2</sub>- and scenario 10a for CO<sub>2</sub>-dominated atmospheres), green for medium concentrations of CH<sub>4</sub> (scenario 1b for H<sub>2</sub>- and scenario 10b for CO<sub>2</sub>-dominated atmospheres) and orange for high concentrations of CH<sub>4</sub> (scenario 1c for H<sub>2</sub>- and scenario 10c for CO<sub>2</sub>-dominated atmospheres). Important atmospheric molecular absorption are highlighted with horizontal lines in the color of the scenario with a strong absorption feature or in gray when all scenarios show a strong feature. Solid lines include weak extinction from hazes whereas dashed lines consider extinction by thick hazes.

a strong absorption feature around 4.3  $\mu m$  (see e.g. Rauer et al. 2011; Wunderlich et al. 2019).

Between 9 and 12  $\mu$ m we find strong absorption by PH<sub>3</sub>, NH<sub>3</sub> and CH<sub>3</sub>Cl. For H<sub>2</sub>-dominated atmospheres there is a significant contribution from H2-H2 CIA (not shown) to the transmission spectrum (Abel et al. 2011; Fletcher et al. 2018). In CO<sub>2</sub> atmospheres these features might overlap with absorption by O<sub>3</sub> and CO<sub>2</sub>. Many of the simulated atmospheric spectral features of N<sub>2</sub>O, NH<sub>3</sub>, PH<sub>3</sub> or CH<sub>3</sub>Cl overlap with other molecular bands of e.g. CO<sub>2</sub> or CH<sub>4</sub> (see Table 6). However, at some spectral bands these potential biosignatures contribute significantly to the full feature offering the possibility to detect the additional absorption if the abundances of CO<sub>2</sub> and CH<sub>4</sub> are known. Figure 5 shows the simulated transmission spectra of H<sub>2</sub>-dominated atmospheres (scenarios 1a, 1b, and 1c) and CO<sub>2</sub>-dominated atmospheres (scenarios 10a, 10b, and 10c). We take into account the effect of weak extinction from thin hazes (solid line) and from thick Titan-like hazes (dashed line).

For the high  $CH_4$  scenarios the mean transit depth is increased compared to the other two scenarios due to strong absorption by  $CH_4$  and the warm stratospheric temperature which leads to an expansion of the atmosphere. Due to the low molecu-

lar weight of the H<sub>2</sub>-dominated atmospheres the spectral features are generally larger than in CO<sub>2</sub>-dominated atmospheres. The extinction by thick haze significantly increases the transit depth at atmospheric windows up to 6  $\mu$ m. Hazes at large altitudes are considered to be the main reason for the observed flat spectrum of e.g. GJ 1214 b (Bean et al. 2010; Désert et al. 2011; Kempton et al. 2011; Kreidberg et al. 2014). However, HST observations of the atmosphere of LHS 1140 b suggest a clear atmosphere (Edwards et al. 2020). In the following text we compare the observed spectrum with our simulations.

#### 3.4. Comparison to observations

Diamond-Lowe et al. (2020) combined two spectrally resolved transit observations of LHS 1140 b from the optical to the NIR (610–1010 nm). Their median uncertainty of the transit depth was 260 ppm. They concluded that about a factor of 4 higher precision would be needed to detect a clear hydrogen-dominated atmosphere. The strongest spectral feature of  $CH_4$  at ~900 nm has a strength of about 130 ppm assuming weak extinction by hazes (solid orange line in Fig. 5). The slope from extinction by thick hazes between 610 and 1010 nm leads to a decrease of



**Fig. 6.** Simulated spectral features compared to HST observations taken from Edwards et al. (2020), assuming H<sub>2</sub>-dominated atmospheres. The scenarios are indicated by the different colors: solid blue line for the low CH<sub>4</sub> scenario (1a) as shown in Fig. 5 and the solid purple line for scenario 1a but with an assumed constant H<sub>2</sub>O mixing ratio of 1%; solid green line for the medium CH<sub>4</sub> scenario (1b); solid and dashed orange lines for the high CH<sub>4</sub> scenario (1c) with thin and thick hazes, respectively. All spectral data were binned to R=300 and subtracted from the mean transit depth between 1.1 and 1.7  $\mu$ m.

the transit depth by  $\sim$ 50 ppm (dashed orange line in Fig. 5, upper panel). Hence, our results confirm that the precision of transit observations between 610 and 1010 nm shown by Diamond-Lowe et al. (2020) is not large enough to draw a conclusion on the atmosphere of LHS 1140 b.

Recently, Edwards et al. (2020) presented HST transit observations between 1.1 and 1.7  $\mu$ m. They concluded that a maximum in the spectrum around 1.4  $\mu$ m might suggest an evidence of water vapour absorption in a clear H<sub>2</sub>/He atmosphere. However, due to the large stellar contamination and the low overall signal-to-noise ratio further observation time is required to confirm the detection of a planetary atmospheric feature. Figure 6 compares the spectrally resolved HST observations with simulated spectra assuming H2-dominated atmospheres (scenarios 1a, 1b, and 1c). The low CH<sub>4</sub> scenario shows a mixing ratio of  $H_2O$  below 1 ppm in the middle atmosphere (see Fig. 2) and the resulting spectrum shows only a weak feature at 1.4  $\mu$ m (blue line). In Edwards et al. (2020) the water vapour abundance has been retrieved to  $\log_{10}(V_{H_{2O}}) = -2.94^{+1.45}_{-1.49}$ . When assuming a H<sub>2</sub>O mixing ratio of 1%, constant over height, we find a difference in the transit depth of about 150 ppm between 1.4  $\mu$ m and 1.6  $\mu$ m. However, such large abundances of H<sub>2</sub>O are not consistent with the results of our photochemical model simulations for thin H<sub>2</sub>-dominated atmospheres with habitable surface temperatures. Large abundances of H<sub>2</sub>O in thick H<sub>2</sub>-atmospheres, which were not considered here, might be consistent with our model but would lead to surface temperatures above 395 K (see Fig. 1), which are unlikely to sustain life (see e.g. Bains et al. 2015).

Figure 6 suggests that a large spectral feature of ~200 ppm at 1.4  $\mu$ m can be also obtained by strong CH<sub>4</sub> absorption in a thin H<sub>2</sub>-dominated atmosphere containing several percent of CH<sub>4</sub> and limited haze production. Large abundances of CH<sub>4</sub> might favor the formation of hydrocarbon haze (He et al. 2018; Hörst et al. 2018; Lavvas et al. 2019). However, Fig. 2 suggests that the haze production is lower compared to CO<sub>2</sub>-dominated atmospheres with high CH<sub>4</sub> abundances (see Section 3.2.6).

Similarly to our finding regarding the atmosphere of LHS 1140 b, the model studies by Bézard et al. (2020) and Blain et al. (2020) suggest that the detected spectral feature at 1.4  $\mu$ m in the atmosphere of K2-18 b (Benneke et al. 2019; Tsiaras et al. 2019) might be produced by CH<sub>4</sub> rather than H<sub>2</sub>O. They con-

cluded that the  $H_2O$ -dominated spectrum interpretation is either due to the omission of  $CH_4$  absorption or a strong overfitting of the data. Further observations with e.g. the Very Large Telescope (VLT) or the JWST are expected to confirm or rule-out the existence of large abundances of  $CH_4$  in the atmosphere of LHS 1140 b or K2-18b (see e.g. Edwards et al. 2020; Blain et al. 2020). In the following we further determine the capabilities of the upcoming generation of telescopes with increased sensitivity and larger wavelength coverage to characterize the atmosphere of LHS 1140 b.

#### 3.5. Detectability of spectral features with JWST and ELT

To determine the number of transits which are required to detect a spectral feature (S/N = 5) we subtract the full transmission spectra (including the absorption from all species, CIAs, H<sub>2</sub>O CKD, Rayleigh extinction and extinction from hazes) from the spectrum excluding the contribution from the corresponding species. Thus, we consider only the contribution of these species to the full spectrum. This method assumes that we know the concentration of other main absorber such as CH<sub>4</sub> or CO<sub>2</sub>, which may overlap with the spectral bands (see Table 6). Note that other molecules could mimic large scale features of the molecule in question. Hence, retrieval analysis (see Barstow & Heng 2020, for a recent overview) or the detection of the molecules at multiple wavelengths would be required to exclude an ambiguity.

Second, for LRS with JWST NIRSpec we bin the spectral data until the optimal value is found, leading to the lowest required number of transits. Binning the data decreases on the one hand the noise contamination but on the other hand, large wavelength ranges can lead to interfering overlaps of absorption bands and atmospheric windows. Due to the unknown systematic error when binning the synthetic spectral data we assume only white noise for the binning. This gives an optimistic estimation on the detection feasibility of the JWST. Note that we do not consider the wavelength range between 1 and  $2 \mu m$  due to the saturation of the detector for NIRSpec PRISM. However, due to extinction by hazes the detection of the spectral features of e.g. CH<sub>4</sub> and CO<sub>2</sub> at this wavelength range would require similar or more observation time than the features at longer wavelengths. For the high resolution spectra we use a constant resolving power



**Fig. 7.** Simulated transmission spectra of LHS 1140 b with weak extinction from hazes in solid lines as shown in Fig. 5. The upper panel shows H<sub>2</sub>-dominated atmospheres with low, medium and high CH<sub>4</sub> concentrations corresponding to scenarios 1a (blue), 1b (green), and 1c (orange), respectively. The lower panel shows CO<sub>2</sub>-dominated atmospheres with low, medium and high CH<sub>4</sub> concentrations corresponding to scenarios 10a (blue), 10b (green), and 10c (orange), respectively. The shaded region shows the contribution of the minor species to the full spectrum. Expected error bars for a single transit observation assuming scenario 1c (upper panel) and 30 co-added transits assuming scenario 10c (lower panel) using JWST NIRSpec PRISM (0.7–5  $\mu$ m) and JWST MIRI LRS (5–12  $\mu$ m), binned to *R*=30. Strongest contribution of minor atmospheric molecular absorption bands are indicated by the color of the scenario or in gray when all scenarios have a significant contribution.

of R=100,000 as planned for the HIRES and METIS on ELT and apply the cross-correlation technique with the same method as presented in Wunderlich et al. (2020). We do not show results from emission spectroscopy in this study due to the much larger required observation time compared to transmission spectroscopy for planets in the habitable zone (see e.g. Rauer et al. 2011; Lustig-Yaeger et al. 2019).

At most wavelengths the simulated spectra are dominated by major absorbing species (here defined as  $H_2$ , He, CO<sub>2</sub>, CH<sub>4</sub>, and  $H_2O$ ). To identify suitable wavelength ranges for the detection of minor absorbers (here defined as all non-major species), the spectrum including only major absorbers was subtracted from the full spectrum. The remainder, representing the contribution of the minor absorbers to the full spectrum, is shown in the shaded region in Fig. 7. The expected error bars of the simulated H<sub>2</sub>-dominated atmospheres observed by JWST NIRSpec PRISM or MIRI LRS suggest that a detection of minor absorbers will be challenging within a single transit (upper panel of Fig. 7). However, multiple transits might improve the S/N sufficiently to detect those features.

In CO<sub>2</sub>-dominated atmospheres the larger molecular weight decreases the features in the transmission spectra compared to  $H_2$ -dominated atmospheres. The error bars in the lower panel of Fig. 7 arise from 30 co-added transit observation with JWST,

which would correspond to a period of two years if each transit of LHS 1140 b were to be observed. The results suggest that it will be very challenging to detect minor absorbers in a  $CO_2$ dominated atmosphere during the lifetime of JWST. The detection of major absorbers such as  $CO_2$  or  $CH_4$  might be feasible but also challenging. This is consistent with the results of Morley et al. (2017), who suggest that the detection of a Venus-like atmosphere on LHS 1140 b would require over 60 transits with JWST.

The upper panel of Fig. 8 suggests that  $CO_2$  is detectable at 4.3  $\mu$ m for mixing ratios between  $1 \times 10^{-3}$  and 0.1 within a few transits. For the scenarios 1a, 1b, and 1c with only 1 ppb  $CO_2$  the spectral features are too weak to allow for a detection of  $CO_2$  (see also Fig. 4). The detection of  $CO_2$  is only weakly dependent on the amount of  $CH_4$  in the atmosphere. The high  $CH_4$  cases require less transits due to the larger atmospheric heights from  $CH_4$  heating in the middle atmosphere (see Fig. 3). The detection of  $CH_4$  in a clear  $H_2$ -dominated atmosphere requires only one transit observation with  $CO_2$  concentrations of less than 10% for the high  $CH_4$  scenarios and with less than 1%  $CO_2$  for the medium  $CH_4$  scenarios. When assuming thick hazes the detection of  $CH_4$  would require about ten times more transits for the medium and low  $CH_4$  scenarios. For the high  $CH_4$ scenarios the impact of haze extinction on the detectability of



**Fig. 8.** Number of transits required to reach an S/N of 5 for the corresponding spectral features in a cloud free atmosphere with thin (solid lines) and thick (dashed lines) hazes using low resolution transmission spectroscopy with JWST NIRSpec PRISM (upper and middle panels) and the cross-correlation technique with high resolution transmission spectroscopy using ELT HIRES or METIS (lower panels). Note that the x-axis of the upper panel is different to the middle and lower panel. Each colored circle corresponds to one scenario from Table 3. Blue lines and circles show low  $CH_4$  scenarios, green lines and circles show medium  $CH_4$  scenarios and orange lines and circles show high  $CH_4$  scenarios.

CH<sub>4</sub> is weaker. For CO<sub>2</sub>-dominated atmosphere the detection of the 3.4  $\mu$ m CH<sub>4</sub> feature will be challenging with transmission spectroscopy. The detectability of CH<sub>4</sub> and CO<sub>2</sub> is not significantly improved when applying the cross-correlation technique with observations by ELT HIRES or METIS (not shown, see e.g. Wunderlich et al. 2020).

For each of the atmospheric scenarios we assume a strong dry deposition of CO (see Table 4) leading to weak accumulation of CO from CO<sub>2</sub> photolysis in CO<sub>2</sub>-rich atmospheres compared to previous model studies (Schwieterman et al. 2019; Hu et al. 2020; Wunderlich et al. 2020). Hence, the detection of CO will be challenging in the atmospheres we consider. Hydrocarbons such as  $C_2H_2$  are formed in large abundances for the high CH<sub>4</sub> case and could be detectable with less than 10 transits in CO<sub>2</sub> poor, H<sub>2</sub>-dominated atmospheres.

The number of transits required to detect  $H_2O$  is lowest for the  $H_2$ -dominated atmosphere with high  $CH_4$  concentration (scenario 1c). This scenario has the lowest surface water vapor but large amounts of chemically produced  $H_2O$  in the stratosphere. Hence, the detection of water in transmission spectroscopy is only weakly related to the presence of liquid surface water in these cases. sits required to detect spectral features from NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl,  $N_2O$ , and the CIA from  $H_2-H_2$  using JWST NIRSpec PRISM. The lower panel of Fig. 8 shows the number of transits required to detect spectral features from NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl, and N<sub>2</sub>O using ELT HIRES. Note that we show only the scenarios with H<sub>2</sub> mixing ratios of more than 50%. For CO<sub>2</sub>-poor atmospheres with low or medium concentrations of CH<sub>4</sub> (scenarios 1a-3a and 1b-3b) the results suggest that the detection of NH<sub>3</sub> would require tens to hundreds of transits with JWST NIRSpec PRISM and the spectral lines of NH<sub>3</sub> around 1.5  $\mu$ m might be detectable with about five transits with ELT HIRES. The detection of NH<sub>3</sub> around 2.3  $\mu$ m would require around 8 transits (not shown). However, due to possibility of a simultaneous detection of spectral lines from CH<sub>4</sub>, H<sub>2</sub>O and CO this wavelength region might be favorable for HRS (Brogi & Line 2019). Strong extinction by hazes or large absorption by CH<sub>4</sub> for the high CH<sub>4</sub> scenarios would prevent the detection of NH<sub>3</sub>.

In the middle panel of Fig. 8 we show the number of tran-

The spectral feature of PH<sub>3</sub> at 4.3  $\mu$ m might be detectable within 10–30 transits for the H<sub>2</sub>-dominated atmospheres with 1 ppb CO<sub>2</sub> (scenarios 1a, 1b, and 1c) and weak extinction by hazes. For the other scenarios the PH<sub>3</sub> feature is obscured by the CO<sub>2</sub> absorption band around 4.3  $\mu$ m (see also Sousa-Silva et al. 2020). The detectability of  $PH_3$  is improved using high resolution spectra of ELT METIS compared to JWST observations for  $CO_2$ -rich atmospheres. However, for more than 1% of  $CO_2$  mixing ratios the detection of  $PH_3$  would require tens to hundreds of transits.

For H<sub>2</sub>-dominated atmospheres with high concentrations of CH<sub>4</sub> (scenario 1c) the mixing ratios of CH<sub>3</sub>Cl are larger compared to scenarios 1a and 1b (see Fig. 2). However, the strongest spectral band of CH<sub>3</sub>Cl in the wavelength range of JWST NIR-Spec PRISM overlaps with absorption by CH<sub>4</sub> (see Fig. 4), owing to a better detectablility of CH<sub>3</sub>Cl in CH<sub>4</sub>-poor atmospheres. Similar observation time is required to detect CH<sub>3</sub>Cl with JWST and with ELT for the low CH<sub>4</sub> scenarios. However, the crosscorrelation technique is less sensitive to the increase in CH<sub>4</sub> compared to LRS. Note that 10 transit observations with JWST would be feasible within one or two years (given a 24.7 days orbital period of LHS 1140 b, Dittmann et al. 2017) but groundbased facilities would require a much longer observation period because less transits could be captured per year. N<sub>2</sub>O might be detectable within 10 to 20 transits in CO<sub>2</sub>-poor atmospheres with thin hazes. Results suggest weak dependence of the detectability of N<sub>2</sub>O on the concentration of CH<sub>4</sub>.

Our paper suggests that the detection of potential biosignatures with JWST or ELT is feasible for clear,  $H_2$ -dominated atmosphere but would require several transit observations. If such a molecule would be detected, retrieval analysis might challenge to constrain its abundance with low uncertainties due to sparse knowledge on broadening coefficients (see e.g. Tennyson & Yurchenko 2015; Hedges & Madhusudhan 2016; Barton et al. 2017; Fortney et al. 2019) and hence, it would be difficult to rule out a potential abiotic origin.

#### 4. Summary and Conclusion

In this study we used a self-consistent model suite to simulate the atmosphere and spectral appearance of LHS 1140 b. First we performed climate only runs to determine the surface pressures for which the Super-Earth LHS 1140 b would have habitable surface conditions in N<sub>2</sub>, H<sub>2</sub> and CO<sub>2</sub> atmospheres. Our results suggest that a thick N2-dominated atmosphere on LHS-1140 b or substantial amounts of greenhouse gases such as CO<sub>2</sub> would be required to reach habitable surface temperatures. A ~2.5 bar CO<sub>2</sub> atmosphere or a ~0.6 bar H<sub>2</sub>-He atmosphere would lead a surface temperature of ~273 K. In a second step we used these results and assumed a fixed surface pressure of 2.416 bar (corresponding to the atmospheric mass of the Earth) to simulate potential CO<sub>2</sub>- and H<sub>2</sub>-dominated atmospheres of LHS 1140 b with our coupled climate-photochemistry model, 1D-TERRA. We simulated possible composition of the planetary atmospheres, assuming fixed biomass emissions and varying boundary conditions for CH<sub>4</sub>.

The results suggest that the amount of atmospheric  $CH_4$  can have a large impact upon the temperature and composition of  $H_2$ dominated atmospheres. A few percent of  $CH_4$  may be enough to lower the surface temperatures due to an anti-greenhouse effect. In  $H_2$ -dominated atmospheres with high concentrations of  $CH_4$ this effect dominates, leading to a cooling of up to 100 K and the stratosphere is pronounced with temperatures up to 70 K warmer than those at the surface. Although we did not consider the effect of hydrocarbon hazes in the climate-chemistry model, e.g. Arney et al. (2017) have shown that this is expected to warm the surface temperature by only a few degrees. For  $CO_2$  atmospheres the temperature profile is less affected by  $CH_4$  absorption due to  $CO_2$  cooling in the stratosphere. In H<sub>2</sub>-dominated atmospheres  $O_2$  is efficiently destroyed preventing significant concentrations of  $O_2$  and  $O_3$  in such environments. Hence, even if  $O_2$  and  $O_3$  were biosignatures, they would not be detectable in the atmosphere of such a habitable planet which is dominated by H<sub>2</sub>. In CO<sub>2</sub>-dominated atmospheres  $O_2$  and  $O_3$  can be produced abiotically which might lead to a false-positive detection (see also Selsis et al. 2002; Segura et al. 2007; Harman et al. 2015; Meadows 2017; Wunderlich et al. 2020). However, results suggest that large amounts of CH<sub>4</sub> would also lead to low concentrations of  $O_2$  and  $O_3$ .

We consider NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl and N<sub>2</sub>O to be potential biosignatures in H<sub>2</sub> and CO<sub>2</sub> atmospheres. Here the main constituent of the atmosphere has a weak impact on the concentrations of these potential biosignatures assuming that the emission flux is the same for both H<sub>2</sub> and CO<sub>2</sub> atmospheres (see also Seager et al. 2013b; Sousa-Silva et al. 2020). However, the detectability of molecules with transmission spectroscopy largely depends on the main composition of the atmosphere due to the difference in mean molecular weight.

First observations of the planet suggest that the atmosphere of LHS 1140 b has a low mean molecular weight (Edwards et al. 2020). In a thin, H<sub>2</sub>-dominated atmosphere our results suggest that the tentative spectral feature at 1.4  $\mu$ m might be produced by CH<sub>4</sub> rather than H<sub>2</sub>O. If the feature at 1.4  $\mu$ m were produced by water vapour absorption, surface temperatures are unlikely to be habitable. Our results suggest that the molecular features of CH<sub>4</sub> and CO<sub>2</sub> for habitable surface conditions might be detectable within one transit using JWST NIRSpec observations around 3.4  $\mu$ m and 4.3  $\mu$ m, respectively. At these wavelengths the absorption cross section of H<sub>2</sub>O is weak and at large wavelengths the extinction by hazes has only a weak impact on the detectability of spectral features. The detection of NH<sub>3</sub>, PH<sub>3</sub>, CH<sub>3</sub>Cl or N<sub>2</sub>O would require about 10–50 transits (~40–200 h) with JWST, assuming clear conditions. The molecular bands of these species overlap with absorption by CO<sub>2</sub> or CH<sub>4</sub> in most cases, making a detection more challenging.

With high resolution spectroscopy using ELT HIRES or METIS individual absorption lines are distinguishable which might improve the detectability of potential biosignatures. Our results suggest that  $NH_3$  might be detectable with less than 20 h of ELT observing time in H<sub>2</sub>-dominated atmospheres with low or medium CH<sub>4</sub> mixing ratios. A thick haze layer in the atmosphere would, however prevent the detection of any potential biosignature. Strong spectral lines of PH<sub>3</sub>, CH<sub>3</sub>Cl and N<sub>2</sub>O feature in the wavelength range of ELT METIS with overall lower sensitivity compared to ELT HIRES (see e.g. Wunderlich et al. 2020).

Results suggest that a single transit observation of LHS 1140 b with JWST NIRSpec would be enough to confirm or rule out the existence of a clear H<sub>2</sub>-dominated atmosphere as suggested by recent observations (Edwards et al. 2020). Such an observation would further help better constrain the atmospheric CH<sub>4</sub>. If future observations suggest a thin H<sub>2</sub>-dominated atmosphere on LHS 1140 b, the planet is one of the best currently known targets to find potential biosignatures such as NH<sub>3</sub> or CH<sub>3</sub>Cl in the atmosphere of an exoplanet in the habitable zone with a reasonable amount of JWST or ELT observation time.

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**Fig. A.1.** Neptune composition profiles for  $CH_4$  (blue),  $CH_3$  (orange),  $C_2H_2$  (green),  $C_2H_4$  (red) and  $C_2H_6$  (purple) calculated with our photochemistry model indicated with solid lines, compared to the model results of Dobrijevic et al. (2020) with dashed lines, Moses & Poppe (2017) (dotted lines) and a range of multiple observations (dots and corresponding error bars; see text for details).

# **Appendix A: Neptune validation**

Figure A.1 shows stratospheric composition profiles of selected species in the Neptunian atmosphere, simulated with the photochemistry model BLACKWOLF (Wunderlich et al. 2020). We use the atmospheric temperature profile from Fletcher et al. (2010), inferred from infrared measurements. The profiles are compared to observations and results from Dobrijevic et al.  $(2020)^5$  and Moses & Poppe (2017). The observations are taken from numerous studies as follows: CH<sub>4</sub> from Yelle et al. (1993); Fletcher et al. (2010) and Lellouch et al. (2015); CH<sub>3</sub> from Bezard et al. (1999); C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>6</sub> from Yelle et al. (1993); Fletcher et al. (2010) and Greathouse et al. (2011).

The lower boundaries at 100 hPa are set to a constant mole fraction, f, for He, CH<sub>4</sub>, CO, CO<sub>2</sub> and H<sub>2</sub>O respectively to  $f_{\text{He}} = 0.19$  (Williams et al. 2004),  $f_{\text{CH}_4} = 9.3 \times 10^{-4}$  (Lellouch et al. 2015),  $f_{\text{CO}} = 1.1 \times 10^{-6}$  (Luszcz-Cook & de Pater 2013),  $f_{\text{CO}_2} = 5 \times 10^{-10}$  (Feuchtgruber et al. 1999). H<sub>2</sub> is set to be the fill gas in each layer to make up the total volume mixing ratio to unity. For all other species we assume a downward flux given by the maximum diffusion velocity,  $v = K_0/H_0$ , where  $K_0$  is the eddy diffusion coefficient and  $H_0$  the atmospheric scale height at the lower boundary. The eddy diffusion coefficient over height, K<sub>zz</sub> (in cm<sup>2</sup>s<sup>-1</sup>), are adapted from (Moses et al. 2018):

$$K_{zz} = \begin{cases} 10^5 \left(\frac{0.1}{p}\right)^{0.55}, & \text{if } p \le 0.5 \text{ Pa} \\ 10^4 \left(\frac{0.1}{p}\right)^{0.98}, & \text{if } 0.5 \text{ Pa} > p > 280 \text{ Pa} \\ 400, & \text{if } p \ge 280 \text{ Pa} \end{cases}$$
(A.1)

Results suggest that the Neptunian atmosphere, as simulated by the photochemistry model, compares well both with the ob-

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**Fig. B.1.** Titan composition profiles for  $CH_4$  (blue),  $C_3H_8$  (orange),  $C_2H_2$  (green),  $C_2H_4$  (red) and  $C_2H_6$  (purple) calculated with our photochemistry model (solid lines), compared to the results from Loison et al. (2019) (dashed lines), Krasnopolsky (2014) (dotted lines) and a range of multiple observations (dots and corresponding error bars; see text for details).

servations as well as with the results from Dobrijevic et al. (2020) and Moses & Poppe (2017). Observations for pressures below 0.1 hPa however suggest a depletion of CH<sub>4</sub>, which is not predicted in our model. In the stratosphere of Neptune molecular diffusion is the main process that controls the relative abundance of CH<sub>4</sub> above the methane homopause. The model version applied here includes Eddy diffusion but not molecular diffusion, consistent with an overestimation of the CH<sub>4</sub> concentrations below 0.1 hPa.

# **Appendix B: Titan**

Figure B.1 shows the composition profiles of selected species in the atmosphere of Titan, calculated with BLACKWOLF and compared to the results from Loison et al. (2019) and Krasnopolsky (2014). The observations are taken from Nixon et al. (2013); Kutepov et al. (2013) and Koskinen et al. (2011).

At the surface (1.45 bar) we set a constant mole fraction, f, for CH<sub>4</sub>, CO and H<sub>2</sub> respectively to  $f_{CH_4} = 0.015$  (Niemann et al. 2010),  $f_{CO} = 4.7 \times 10^{-5}$  (de Kok et al. 2007) and  $f_{H_2} = 1 \times 10^{-3}$  (Niemann et al. 2010). N<sub>2</sub> is set to be the fill gas in each layer. For all other species we assume a dry deposition velocity of 0.02 cm/s. The eddy diffusion profile is taken from Krasnopolsky (2014). The temperature profile is taken from Loison et al. (2019).

Our simulated atmosphere of Titan compares reasonably well with the results of Krasnopolsky (2014) and Loison et al. (2019) and is consistent with the observations. Note that we simulate annual and global mean conditions with the model whereas the measurements do not represent the full range of temporal and spatial variations in the atmosphere of Titan. Profiles of latitudinal variations of the atmospheric composition of Titan are shown in Vinatier et al. (e.g. 2010).

<sup>&</sup>lt;sup>5</sup> http://perso.astrophy.u-bordeaux.fr/~mdobrijevic/ photochemistry



Fig. C.1. Transit transmission spectrum for Titan represented by effective height in km (blue solid line). The shaded region indicates deviations from four individual transit spectra taken from Robinson et al. (2014). The black dashed line shows the best fit haze model.

# Appendix C: Representation of thick hazes in GARLIC

We simulate the transmission spectrum of Titan with GARLIC using the output of BLACKWOLF (see Appendix B). Since the ToA for Titan in our model is at  $\sim$ 500 km, we use the data from Loison et al. (2019) to extend the atmosphere up to 1500 km. GARLIC represents the extinction by hazes using Eq. (1). We vary  $\alpha$  and  $\beta$  to fit the transmission spectrum from Robinson et al. (2014) observed by the Visual and Infrared Mapping Spectrometer (VIMS) from Brown et al. (2004) aboard the Cassini spacecraft. The best fit is presented in Fig. C.1 using  $\alpha = 2.6$ and  $\beta = 6.0 \times 10^{-25}$ . We use these values to simulate the impact of extinction from thick hazes in the atmosphere of LHS 1140 b.

The CH<sub>4</sub> absorption features are reproduced well by GAR-LIC. We underestimate the absorption of the C-H stretching mode of aliphatic hydrocarbon chains near 3.4  $\mu$ m (see Bellucci et al. 2009; Maltagliati et al. 2015). This discrepancy is likely due to incomplete line lists or cross sections in the HITRAN 2016 database for several hydrocarbons such as the allyl radical (C<sub>3</sub>H<sub>5</sub>; Uy et al. 1998; DeSain & Curl 1999), butane (C<sub>4</sub>H<sub>10</sub>; Abplanalp et al. 2019) and methylacetylene (CH<sub>3</sub>CCH; Abplanalp et al. 2019). Further, our chemical network lacks some of the higher hydrocarbons for which absorption cross sections exists such as isoprene ( $C_5H_8$ ; Brauer et al. 2014).

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