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Cost-effectiveness of surgical treatments compared with early structured physiotherapy in secondary care for adults with primary frozen shoulder: economic evaluation of UK FROST trial.

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APPENDIX

Table A Missing data: number and proportions of patients with complete data by treatment arm [18].

Complete at	ESP (N=99)	MUA (N=201)	ACR (N=203)
COMPLETE- HEALTH RELATED QUALITY OF LIFE			
Baseline	95 (95.96%)	199 (99.00%)	200 (98.52%)
3 months	88 (88.89%)	173 (86.07%)	175 (86.21%)
6 months	75 (75.76%)	172 (85.57%)	165 (81.28%)
12 months	86 (86.87%)	178 (88.56%)	175 (86.21%)
Overall	64 (64.65%)	156 (77.61%)	149 (73.40%)
COMPLETE - COSTS			
3 months	78 (78.79%)	164 (81.59%)	158 (77.83%)
6 months	71 (71.72%)	155 (77.11%)	150 (73.89%)
12 months	77 (77.78%)	161 (80.10%)	158 (77.83%)
Overall	55 (55.56%)	123 (61.19%)	121 (59.61%)
COMPLETE – BOTH HEALTH RELATED QUALITY OF LIFE AND COSTS			
3 months	76 (76.77%)	161 (80.10%)	154 (75.86%)
6 months	68 (68.69%)	152 (75.62%)	144 (70.94%)
12 months	75 (75.76%)	159 (79.10%)	157 (77.34%)
Overall	46 (46.46%)	117 (58.21%)	116 (57.14%)

Table B Missing data: description of economic variables in UKFROST [18].

		Missing values (%)				Range	Mean	SD
		Total	ESP	MUA	ACR			
BASELINE VARIABLES								
age	Age at trial entry	0	0	0	0	30 to 70	54.25	7.72
gender	Male or female	0	0	0	0	1,2	63% Female	
eq5d_B	EQ-5D-5L at baseline	1.79	4.04	0.99	1.48	-0.37 to 1.00	0.43	0.26
OSS_B	OSS score at baseline	0.40	0	0.50	0.49	1 to 48	19.89	8.25
Diabetes	Diabetic yes/no at baseline	0	0	0	0	1,3	70% No Dia.	
alloc	Treatment allocation	0	0	0	0	1,3		
OUTCOME VARIABLES FOR HEALTH RELATED QUALITY OF LIFE								
eq5d_3m	EQ-5D-5L at 3 months	13.32	11.1	13.9	13.8	-0.245 to 1.00	0.60	0.26
eq5d_6m	EQ-5D-5L at 6 months	18.09	24.2	14.4	18.7	-0.257 to 1.00	0.70	0.23
eq5d_12m	EQ-5D-5L at 12 months	12.72	13.1	11.4	13.8	-0.328 to 1.00	0.73	0.26
COSTS VARIABLES FOR COSTS								
Cost_ESP	Costs of ESP ^	0	0	0	0	59.8 to 768.4	279.46	148.8
Cost_MUA	Costs of MUA ^	0	0	0	0	259.2 to 972.0	424.81	115.5
Cost_ACR	Costs of ACR ^	0	0	0	0	877.3 to 3,082.3	2,170.46	431.1
Cost_PPP	Costs of physiotherapy ~	0	0	0	0	0 to 975.2	209.65	152.9
Cost_add	Additional treatments ^a	0	0	0	0	0 to 167.97	2.83	21.0
Cost_further	Further treatments ^b	0	0	0	0	0 to 1,521.87	41.41	204.2
Cost_other	Other treatments ^c	0	0	0	0	0 to 668	7.18	49.42
Cost_crossovers	Treat. after crossover ^d	0	0	0	0	0 to 125.01	0.50	7.87
Cost_Hosp_INP	Inp costs re complications ^e	0	0	0	0	0 to 4,926.24	32.85	312.1
Cost_Hosp_OUP	Out costs re complications ^f	0	0	0	0	0 to 875.07	19.37	82.71
Cost_GP_pr	Costs of GP visits (surgery)	33.0	37.4	31.8	32.0	0 to 822.8	57.26	110.6
Cost_GP_phone	Costs of GP visits (phone)	34.2	38.3	32.3	34.0	0 to 197.6	6.33	23.01
Cost_Nurse_pr	Costs of Practice Nurse	36.4	40.4	34.3	36.4	0 to 75.95	2.10	6.54
Cost_Nure_dis	Costs of District Nurse	33.8	37.4	32.8	33.0	0 to 380	1.94	21.69
Cost_Physio_c	Costs of District Physio	33.4	35.3	32.8	33.0	0 to 1,214.4	56.27	183.1
Cost_OT_c	Costs Occupational Therapist	16.9	16.2	16.4	17.7	0 to 282	0.67	13.79
OUTCOMES FOR COSTS EFFECTIVENESS								
Total_QALYs	Total QALYs over 1 year	26.6	35.3	22.4	26.6	-0.225 to 0.979	0.66	0.207
Total Costs	Total Costs over 1 year	40.5	44.4	38.8	40.4	0 to 5,732.54	1,372.36	1,095.99

^For those who had ESP/surgery (MUA/ARCR).

~ Costs of Post Procedure Physiotherapy for those who had surgery (MUA/ARCR).

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3 a Any treatments received before/during receiving randomised treatment.
4 b Any treatments received after completing randomised treatment.
5 c Any non-trial treatments the patient had if they did not start/complete their randomised treatment.
6 d Cost of further treatments following crossover.
7 e Hospital inpatient stay costs related to complications.
8 f Outpatient hospital costs related to complications.
9 g Costs of Adverse event

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12 **Figure A. Post imputation distributions**

13 **A1. Total Costs post imputation**

14 **A2. Total QALYs post imputation**

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18 **Table C. Logistic regression for (i) missingness of costs and QALYs on baseline variables; and (ii) for**
19 **missingness between missing costs and QALYs and observed outcomes [18].**

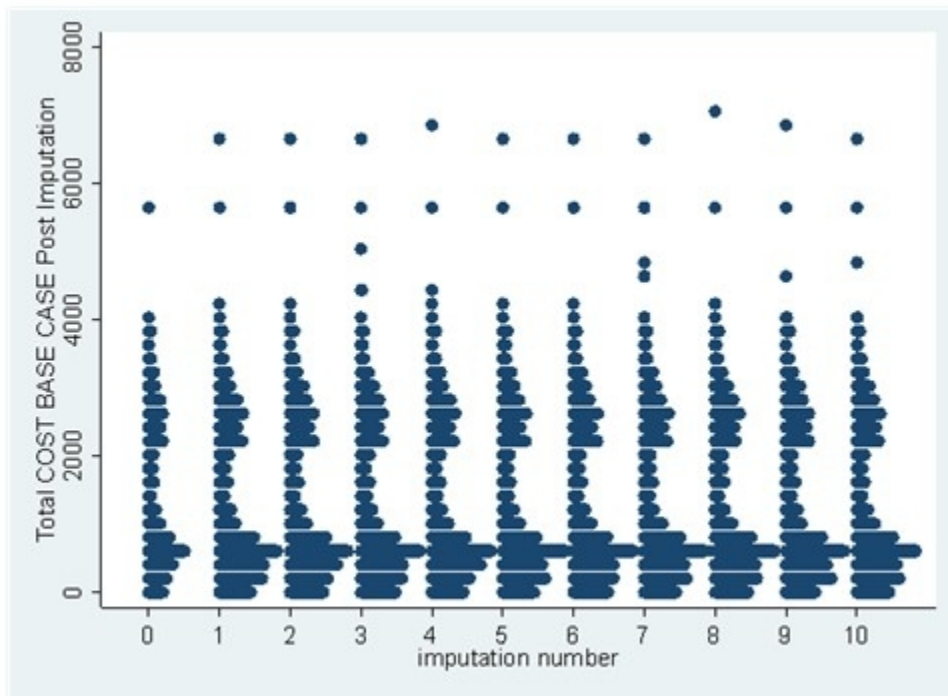
	Odds ratio in logistic regression for missing data (95% CI)	
	Missing data on costs	Missing data on QALYs
Treatment allocation ~ (MUA vs ESP)	0.80 (0.48 – 1.32)	0.60 (0.34 – 1.05)
Treatment allocation ~ (ACR vs ESP)	0.85 (0.52 – 1.41)	0.71 (0.41 – 1.23)
Gender	1.26 (0.85 - 1.88)	0.87 (0.55 – 1.37)
Age	0.99 (0.97- 1.01)	0.95 (0.93 – 0.98)**
Diabetes	1.11 (0.89 – 1.38)	1.06 (0.82 – 1.35)
EQ-5D at baseline	0.28 (0.14 – 0.57)**	0.31 (0.14 – 0.67)**
QALYs at 3 months	0.003 (0.00 to 0.09)**	0.00 (0.00 to 0.50)**
QALYs at 6 months	0.007 (0.00 to 0.306)**	0.15 (0.0001 to 1.15)
Costs at 3 months	1.00 (0.99 to 1.00)	0.99 (0.99 to 1.00)
Costs at 6 months	1.00 (0.99 to 1.00)	1.00 (0.99 to 1.00)

35 ** statistically insignificant results ($p > 0.05$)

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39 **Table D: Sensitivity analysis (Scenario 6): summary for incremental analysis (ITT), cost-effectiveness**
40 **results and uncertainty of different methods to handle missing data (MUA vs ESP) [18].**

	Incremental cost (£) [95% CI]	Incremental QALYs [95% CI]	ICER (£ per QALY)	Probability Cost-effective at £20,000/QALY
MAR	276.507 ^ (65.67 to 487.35) 228.605 ~ (0.94 to 456.27)	0.0396 (-0.0008 to 0.0800) 0.0339 (-0.0138 to 0.0816)	6,984 6,750	88% 81%
Same MNAR parameters in MUA and ESP ~				
M1: -10% QoL in both arms	228.605 (0.94 to 456.27)	0.0414 (-0.0041 to 0.0868)	5,227	89%
M2: +10% cost in both arms	234.7271 (-6.91 to 476.36)	0.0339 (-0.0138 to 0.0816)	6,935	80%
M3: -50% QoL in both arms	228.605 (0.94 to 456.27)	0.0713 (0.0221 to 0.1206)	3,204	99%
M4: +50% cost in both arms	259.2152 (-52.66 to 571.09)	0.0339 (-0.0138 to 0.0816)	7,665	78%
M5: -10% QoL and +10% costs in both arms	234.7271 (-6.91 to 476.36)	.0413277 (-0.004 to 0.087)	5,680	88%

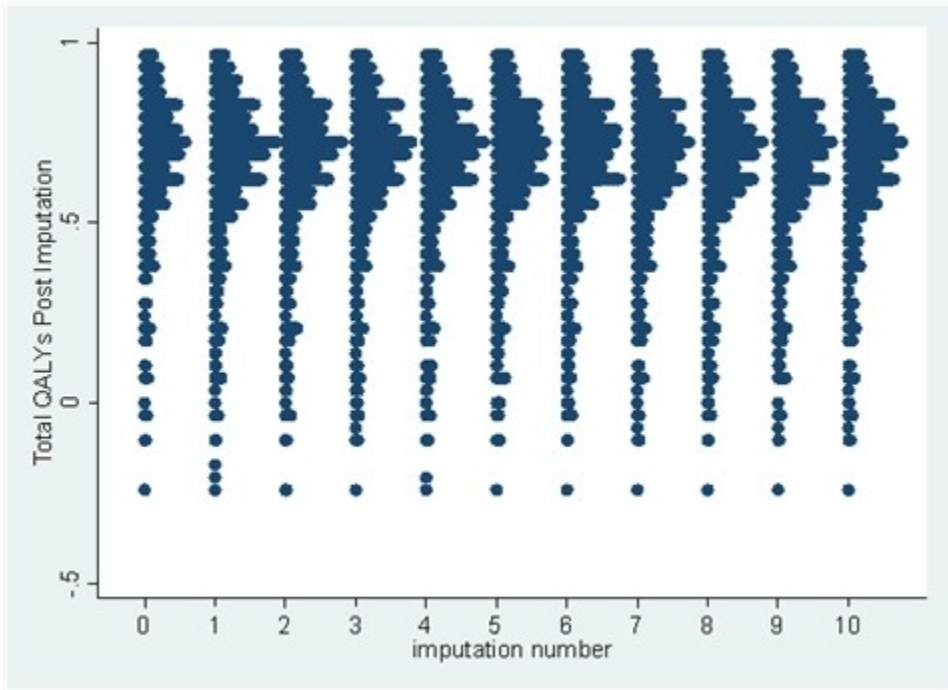
M6: -50% QoL and +50% costs in both arms	259.2152 (-52.66 to 571.09)	0.0710225 (0.0217 to 0.1203)	3,650	98%
Different MNAR parameters in MUA and ESP				
M7: -10% QoL in ESP	228.605 (0.94 to 456.27)	0.0559849 (0.010 to 0.102)	4,083	96%
M8: -10% QoL in MUA	228.605 (0.94 to 456.27)	0.0192851 (-0.0281 to 0.0667)	11,854	62%
M9: +10% cost in ESP	199.748 (-32.80 to 432.29)	0.0338503 (-0.0139 to 0.0816)	5,901	82%
M10: +10% cost in MUA	261.540 (28.02 to 495.06)	0.0338673 (-0.0138 to 0.0816)	7,722	79%
M11: -50% QoL in ESP	228.605 (0.94 to 456.27)	0.144459 (0.101 to 0.188)	1,582	99%
M12: -50% QoL in MUA	228.605 (0.94 to 456.27)	-0.0390401 (-0.0895 to 0.0114)	-5,856	3%
M13: +50% cost in ESP	84.318 (-171.7 to 340.42)	0.0337907 (-0.0139 to 0.0815)	2,495	87%
M14: +50% cost in MUA	393.28 (130.9 to 655.60)	0.0338787 (-0.014 to 0.082)	11,608	71%



Appendix Fig A1: Total Costs post imputation

82x60mm (150 x 150 DPI)

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Appendix Fig A2: Total QALYs post imputation

82x60mm (150 x 150 DPI)

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3 1 **Cost-effectiveness of surgical treatments compared with early structured**
4 2 **physiotherapy in secondary care for adults with primary frozen shoulder:**
5 3 **economic evaluation of UK FROST trial.**
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12 8 **Abstract**
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14 10 **Background**

15 11 A pragmatic multicentre randomised controlled trial (UK FROST) was conducted in the UK
16 12 National Health Service (NHS) comparing the cost-effectiveness of commonly used
17 13 treatments for adults with primary frozen shoulder in secondary care.
18 14

19 15 **Methods**

20 16 A cost utility analysis from the NHS perspective was performed. Differences between
21 17 manipulation under anaesthesia (MUA), arthroscopic capsular release (ACR) and early
22 18 structured physiotherapy plus steroid injection (ESP) in costs (2018 GBP) and quality adjusted
23 19 life years (QALYs) at one year were used to estimate the cost effectiveness of the treatments
24 20 using regression methods.
25 21

26 22 **Results**

27 23 ACR was £1,734 more costly than ESP [(95% confidence intervals (CI) £1,529 to £1,938)] and
28 24 £1,457 more costly than MUA (95% CI £1,283 to £1,632). MUA was £276 (95% CI £66 to
29 25 £487) more expensive than ESP. Overall, ACR had worse QALYs compared with MUA (-
30 26 0·0293; 95% CI -0·0616 to 0·0030) and MUA had better QALYs compared with ESP (0·0396;
31 27 95% CI -·0008 to 0·0800). At a £20,000 per QALY willingness-to-pay threshold, MUA had the
32 28 highest probability of being cost-effective (0·8632) then ESP (0·1366) and ACR (0·0002). The
33 29 results were robust to sensitivity analyses.
34 30

35 31 **Conclusions**

36 32 While ESP was less costly, MUA was the most cost-effective option. ACR was not cost-
37 33 effective.
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39 INTRODUCTION

41 Adhesive capsulitis or frozen shoulder is a common disorder affecting 8.2% of men and 10.1%
42 of women of working age [1], with an estimated cumulative incidence of 2.4 per 1000
43 population per year [2]. The capsule of the shoulder joint becomes inflamed, then scarred and
44 contracted causing pain, stiffness and loss of function [3].

46 A range of treatment options of varying effectiveness and costs are available for the
47 management of frozen shoulder in secondary care [4]. A survey of specialist health
48 professionals conducted in the United Kingdom (UK) in 2009 identified three interventions as
49 being most commonly used: physiotherapy; manipulation under anaesthesia; and arthroscopic
50 capsular release [5]. The UK national physiotherapy guidelines for frozen shoulder
51 recommends exercise and manual therapy either in isolation or to supplement with an intra-
52 articular steroid injection [6]. Both manipulation under anaesthesia and capsular release are
53 expected to facilitate quicker recovery but are costly and invasive and there is a lack of
54 rigorous evidence [7-9].

56 The UK FROzen Shoulder Trial (UK FROST) was conducted to provide evidence of clinical
57 effectiveness and cost-effectiveness of manipulation under anaesthesia, arthroscopic
58 capsular release and a specific non-surgical pathway designed for the trial to include intra-
59 articular steroid injection and structured physiotherapy using the best available evidence and
60 consensus from expert shoulder physiotherapists [6,7,10]. We have called this 'Early'
61 Structured Physiotherapy as it is more quickly accessible than the surgery interventions and
62 the similarly developed pathway of post-procedural physiotherapy that followed surgery.
63 Therefore, specifically for the purposes of the trial, participants underwent standardised
64 physiotherapy programmes in all three groups as described in detail elsewhere, early
65 structured physiotherapy in the non-surgical group and post-procedural physiotherapy in the
66 two surgical groups [11].

68 The clinical effectiveness results of UKFROST have been reported [12]. In summary, we
69 sought a target difference of 5 points on the Oxford Shoulder Score (OSS) between early
70 structured physiotherapy and either surgical treatment, or a difference of 4 points between the
71 two surgical treatments. Mean group differences on the OSS at one year were 2.01 points
72 between participants randomised to capsular release and manipulation under anaesthesia
73 (95% confidence interval (CI) 0.10 to 3.91), 3.06 points between capsular release and early
74 structured physiotherapy (95% CI 0.71 to 5.41), and 1.05 points between manipulation under
75 anaesthesia and early structured physiotherapy (95% CI -1.28 to 3.39). All of the mean

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3 76 differences on the assessment of shoulder pain and function (OSS) at the primary endpoint of
4 77 one year were less than the target differences. Therefore, none of the three interventions were
5 78 considered to be clinically superior.
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9 80 To inform decision-making, it is important to identify the cost-effective intervention for the
10 81 treatment of frozen shoulder in secondary care. This paper reports on the economic evaluation
11 82 conducted alongside the UK FROST trial, which aimed to assess the health-related quality of
12 83 life, costs and cost-effectiveness of surgical treatments (manipulation under anaesthesia and
13 84 capsular release followed by post-procedural physiotherapy) versus non-surgical treatment
14 85 (early structured physiotherapy) for the management of adults with frozen shoulder within the
15 86 NHS.
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21 87 22 88 **METHODS** 23 89

24 90 **Overview**

25 91 Individual patient data (IPD) collected alongside the UK FROST trial were used to perform a
26 92 cost utility analysis. Costs and health benefits were compared for the three groups over one
27 93 year, and hence discounting was not required. Costs (2018 price base) were evaluated from
28 94 the UK NHS and Personal Social Services perspective. Health benefits were expressed in
29 95 terms of quality-adjusted life-years (QALYs), based on patient's health related quality of life
30 96 using the EuroQol-5 Dimensions (EQ-5D-5L) [13,14]. Adjusted differences in mean costs and
31 97 mean QALYs at one year were used to estimate the cost-effectiveness of the three treatment
32 98 options. The base-case analysis was conducted on the multiple imputed dataset and followed
33 99 an intention-to-treat (ITT) approach; thus the treatment groups were compared based on their
34 100 initial random allocation irrespective of protocol deviations or withdrawal. The National
35 101 Institute for Health and Clinical Excellence (NICE) guidelines were applied to all methods used
36 102 for this economic analysis [15]. All analyses and modelling were conducted in StataTM 16
37 103 (StataCorp LP, College Station, Texas, USA).
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48 105 **Trial design, interventions, and economic data collection**

49 106 UK FROST recruited 503 adults with a clinical diagnosis of frozen shoulder from 35 hospital
50 107 sites in the UK between April 2015 and December 2017. Detailed inclusion and exclusion
51 108 criteria are published elsewhere [16]. Patients were randomised on a 2:2:1 basis to
52 109 manipulation under anaesthesia with steroid injection (n=201), arthroscopic capsular release
53 110 (n=203) or early structured physiotherapy with steroid injection (n=99).
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3 112 For the purposes of the trial, physiotherapy programmes were standardised in all three groups
4 113 using the best available evidence and consensus of expert shoulder physiotherapists
5 114 [6,7,10,11]. Physiotherapy in all three groups was to be up to 12 sessions unless exceptionally,
6 115 the physiotherapist decided that more than 12 sessions were needed. Patients were also
7 116 offered an intra-articular steroid (glucocorticoid) injection at the earliest opportunity in the early
8 117 structured physiotherapy pathway. The injection was administered with or without imaging
9 118 guidance depending on usual practice of the hospital site, as current evidence did not support
10 119 superiority of either approach [17]. We did not anticipate that a steroid injection was normally
11 120 given as part of post-procedural physiotherapy that followed the two surgical interventions. All
12 121 participants were provided with instructions on a graduated home exercise programme
13 122 progressing from gentle pendular exercises to firm stretching exercises according to stage, as
14 123 is accepted good practice [6, 11]. The development of the standardised physiotherapy
15 124 programmes for UK FROST are described in detail elsewhere [11].
16 125

17 126 Manipulation under anaesthesia and capsular release were performed as day case surgical
18 127 procedures. With manipulation under anaesthesia, the surgeon manipulated the affected
19 128 shoulder in a controlled fashion to stretch and tear the tight capsule when the patient was
20 129 under general anaesthesia; and that was supplemented by an intra-articular steroid injection.
21 130 If the manipulation was judged to be incomplete, the surgeons were asked not to cross-over
22 131 intra-operatively to do capsular release in order to allow assessment of the outcome of the
23 132 manipulation. Arthroscopic capsular release was performed under general anaesthesia to
24 133 surgically divide the contracted anterior capsule in the rotator interval; and that was
25 134 supplemented with manipulation to complete and confirm optimal capsular release.
26 135 Procedures like posterior capsular release were permitted at the discretion of the operating
27 136 surgeon and were recorded.
28 137

29 138 All interventions were delivered either by participating surgeons who were familiar with the
30 139 surgical procedures or by qualified physiotherapists (i.e. not students or assistants). There
31 140 was no minimum number of surgical procedures that the surgeon had to have performed and
32 141 no grades of surgeon were excluded. No additional training was required for either programme
33 142 of physiotherapy. However, a standardised booklet was used to record the physiotherapy that
34 143 participants received in all three trial arms which provided instructions for delivering the early
35 144 structured physiotherapy or post-procedural physiotherapy pathways.
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37 146 NHS ethical approval was obtained on 18 November 2014 from the National Research Ethics
38 147 Service (NRES Committee North East – Newcastle & North Tyneside 2; Research Ethics
39 148 Committee Reference 14/NE/1176). Local site-specific NHS research and development

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3 149 approvals were obtained from each participating site. The study was adopted to the UK Clinical
4 150 Research Network portfolio (17719). Written informed consent was obtained from all trial
5 151 participants by suitably qualified local study personnel at each participating site.
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9 153 As detailed in the trial protocol [16], cost and health outcome data were collected prospectively
10 154 via patient questionnaires at three months, six months and one year; and via hospital forms
11 155 (baseline characteristics, details of surgery, physiotherapy, complications, and hospital care
12 156 due to additional and further treatments received before/during/after completing randomised
13 157 treatment). Copies of these forms will be included in Supplementary Material published
14 158 alongside the NIHR Health Technology Assessment report [18].
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20 160 **Health outcomes and quality adjusted life-years**

21 161 The main outcome measure for the economic analysis was QALYs based on the EQ-5D-5L
22 162 questionnaire. The EQ-5D has been validated for a range of shoulder conditions [19, 20]. The
23 163 EQ-5D-5L was completed by trial participants at baseline, three and six months and one year.
24 164 The EQ-5D-5L defines health related quality of life in terms of five dimensions: 'mobility', 'self-
25 165 care', 'usual activities', 'pain/discomfort' and 'anxiety/depression'. Responses in each
26 166 dimension are divided into five ordinal levels coded (1) no problems, (2) slight problems, (3)
27 167 moderate problems, (4) severe problems and (5) extreme problems/unable to perform. We
28 168 used the Van Hout et al. 2012 mapping function to derive utilities [21]. QALYs were calculated
29 169 by combining the utility estimates by the duration of time in each health state using the area
30 170 under the curve (AUC) method [22]. The difference in mean QALYs between treatments
31 171 groups was adjusted for baseline utility [23].
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41 173 **Resource use and costs**

42 174 The cost for each trial participant was calculated by multiplying health care resource use by
43 175 the associated unit costs. Total cost comprises the cost of the initial intervention; hospital stays
44 176 and outpatient appointments after initial intervention, including physiotherapy; and visits to
45 177 primary and community health care professionals over one year. Costs relating to the surgical
46 178 interventions was based on operation times, staff, consumables, and length of stay. The
47 179 hospital-based staff cost per minute was estimated using PSSRU 2018 (Personal Social
48 180 Services Research Unit) data [24]. These unit cost estimates included staff salaries, salary
49 181 on-costs, overheads, and capital overheads. Drug tariff per milligram for medications (i.e.
50 182 anaesthesia, antibiotics, and steroid injections) were obtained from the British National
51 183 Formulary [25]. To cost length of stay we used NHS Reference costs [26] taking the weighted
52 184 average inpatient bed-day for all major and intermediate shoulder procedures. Physiotherapy
53 185 data (i.e. session duration and staff delivering the session) was collected using physiotherapy

186 forms designed for the trial. Physiotherapists cost per hour was estimated using PSSRU 2018
 187 (Bands 5 to 8). The cost of other hospital-based care and for the primary care and community-
 188 based services were estimated by applying unit costs from national tariffs to resource volumes.
 189 Other costs included lost productivity measured as number of days off work. The costs of time
 190 taken off work were estimated by applying costs from the Office National Statistics (ONS) [27]
 191 to occupational information derived from self-reported work status information. Table 1
 192 presents the unit costs used to calculate the total cost per patient in the trial. The base-case
 193 analysis included only shoulder related resource use, except for hospital stay, which included
 194 both shoulder and general medical complications that could apply to the affected shoulder.

195 **Table 1 Unit costs used for the analysis (£, 2018 prices) [18].**
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Item	Unit cost (£)	Source
PRIMARY AND COMMUNITY CARE		
GP visit at GP practice	37	PSSRU 2018 [23]
GP visit at home	94	PSSRU 2018 [23]
GP by phone ^a	15	PSSRU 2018 [23]
Nurse visit at GP practice	11	PSSRU 2018 [23]
District/ community nurse	38	PSSRU 2018 [23]
Occupational therapist visit	47	PSSRU 2018 [23]
Physiotherapist visit ^b	57	PSSRU 2018 [25]
HOSPITAL CARE		
Inpatient stay (shoulder) ^c	258 (MUA) 449 (ACR)	NHS Reference Costs 2017-2018 [25]
Inpatient stay (non-shoulder)	384	NHS Reference Costs 2017-2018 [25]
Day case visit (shoulder) ^c	420 (MUA) 2,512 (ACR)	NHS Reference Costs 2017-2018 [25]
Outpatient visits (shoulder)	125	NHS Reference Costs 2017-2018 [25]
Outpatient visits (non-shoulder)	124	NHS Reference Costs 2017-2018 [25]
Hospital physiotherapy visit	55	NHS Reference Costs 2017-2018 [25]
Other health service visit	74	NHS Reference Costs 2017-2018 [25]
Consultant surgical	108	PSSRU 2018 [23]
Associate specialist	105	PSSRU 2018 [23]
Speciality Registrar	43	PSSRU 2018 [23]
Foundation doctor FY1	32	PSSRU 2018 [23]
Foundation doctor FY2	28	PSSRU 2018 [23]
Physiotherapist B5	35	PSSRU 2018 [23]
Physiotherapist B6	46	PSSRU 2018 [23]
Physiotherapist B7	55	PSSRU 2018 [23]
Physiotherapist above B8 ^d	72	PSSRU 2018 [23]
Nurse B5	37	PSSRU 2018 [23]
Nurse B6	45	PSSRU 2018 [23]
Nurse B7	54	PSSRU 2018 [23]
MEDICATIONS		
Depomedrone 40mg	3	BNF [24]
Depomedrone 80mg	7	BNF [24]
Triamcinolone 40mg	18	BNF [24]
Triamcinolone 80mg	36	BNF [24]

Bupivacaine 0.5% (10ml)	1	BNF [24]
General anaesthesia	31	BNF [24]
Antibiotics	6	BNF [24]
PRIVATE CARE		
Private Non-NHS physiotherapy	50	https://www.capitalphysio.com
Private osteopath	42	https://www.nhs.uk/conditions/osteopathy
Private chiropractitioner	55	https://www.nhs.uk/conditions/chiropractic
Community care service	49	Averaged of three above
Private hospital - night	337	PSSRU 2018 [23]

^a Durations sourced from Personal Social Research Unit (PSSRU) 2015. ^b Community Health Services, Physiotherapist, adult, one to one (currency code A08A1). ^c Sum of total expenditure on excess bed days (elective and non-elective) divided by total activity for HRG codes relating to shoulder: MUA (HD24E; non inflammatory, bone or joint disorders, with CC score 8-11); ACR (HN53A, HN53B, HN53C, HN54A, HN54B, HN54C; major and intermediate procedures for non-trauma with CC score 4+, 2-3 and 0-1). ^d PPP form is featured to record staff at or above Band 8. Hence unit cost for physio at or above Band is estimated as averaged Band 8a (£66) and Band 8b (£78).

Handling missing data

We have previously reported details of the approach applied to handle missing data [18, 28] and we have used the same methods in this study, as described below. Complete case analysis (CCA) excludes all participants with any missing or incomplete data. Excluding patients with missing data leads to loss of statistical power and can bias the results [29]. Multiple imputation (MI) has been recommended as the appropriate method to reflect the uncertainty in the results of an economic evaluation attributable to missing data [30]. Multiple imputation assumes that data are missing at random (MAR), i.e. that the probability that data is unobserved is dependent only on observed variables [31]. We conducted a comprehensive investigation following missing data guidelines [29, 32, 33] to prove that MAR was a plausible assumption fitting UK FROST dataset. Thus, incomplete data on costs and QALYs were imputed using MI with chain equations and predictive mean matching over 60 imputations. Age, sex, baseline OSS score, diabetes (yes/no) at baseline, baseline utility and all predictors of missingness were included as an explanatory variable in the imputation models. Mean estimates of costs and QALYs, variances and CI were obtained using Rubin's rules [34]. The MI model was validated using graphical plots to visualise whether the distribution of imputed data resembles the distribution of original data. We explored possible departures from the MAR assumption by means of sensitivity analyses, including complete case analysis. this Additionally, a mixed model, which does not require an imputation process, is also presented as per the sensitivity analysis.

Base Case analysis

The base case analysis was conducted on the imputed dataset on an ITT basis. Cost-effectiveness was estimated as the difference in mean costs divided by the difference in mean QALYs between the trial comparators at twelve months follow-up, using conventional decision rules and estimating incremental cost-effectiveness ratios (ICERs) as appropriate [35]. The

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3 231 mean difference estimates and their 95% CI were generated by means of seemingly unrelated
4 232 regression (SUREG) adjusted for age, sex, baseline EQ-5D-5L score, baseline OSS score
5 233 and diabetes (yes/no). In order to compute the probability of each intervention being cost-
6 234 effective at a given cost-effective threshold, the SUREG was conducted with a bootstrapping
7 235 approach on five imputed datasets to generate 10,000 replicates of incremental costs and
8 236 benefits. These replicates were represented graphically as cost-effectiveness acceptability
9 237 curves (CEACs). The probability that each intervention is cost-effective is reported at the cost-
10 238 effectiveness thresholds applied by NICE of £20,000 to £30,000/QALY [14], and a threshold
11 239 of £13,000/QALY as suggested by recent research [36,37]. The ICER was re-expressed in
12 240 terms of net monetary benefit (NMB) as an estimate of the gain (or loss) in resources of
13 241 investing in the intervention when those resources might be used somewhere else.
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22 243 **Analyses of uncertainty**

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24 244 The uncertainty around the cost effectiveness results was explored using sensitivity analyses
25 245 all of which controlled for the same covariates: (Scenario 1) recalculating costs including non-
26 246 shoulder costs (ITT approach); (Scenario 2) adopting a broader perspective that includes
27 247 productivity and private care costs; (Scenario 3) restricting the analyses to complete cases
28 248 (ITT approach); (Scenario 4) imputing QALY data at aggregated level rather than at the index-
29 249 score level; (Scenario 5) mix model approach; and (Scenario 6) missing not at random
30 250 scenario, which allocated higher costs or worse health outcomes to patients with missing data.
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36 252 **RESULTS**

37 253 38 254 **Study population and missing data**

39 255 The baseline study population for the economic analysis was 503 patients: early structured
40 256 physiotherapy (n=99), manipulation under anaesthesia (n=201) and capsular release (n=203).
41 257 A total of 19 participants fully withdrew from the trial for whom we used multiple imputation
42 258 techniques to impute missing economic data. There were 16 participants who crossed over
43 259 from their initial randomisation i.e. from early structured physiotherapy to capsular release
44 260 (n=7), from manipulation under anaesthesia to early structured physiotherapy (n=4), from
45 261 capsular release to early structured physiotherapy (n=2) and from capsular release to
46 262 manipulation under anaesthesia (n=3). A total of 369 (73%) participants [156 (78%) in
47 263 manipulation, 149 (73%) in capsular release, and 64 (65%) in early structured physiotherapy]
48 264 comprised the complete case for utilities i.e. data for all five EQ-5D-5L dimensions were
49 265 available for all four assessment time points. Overall, the proportion of participants with
50 266 complete economic data (i.e. both costs and QALYs) were similar between treatment groups:
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267 early structured physiotherapy (46.46%), manipulation under anaesthesia (58.21%) and
268 capsular release (57.14%) (see Appendix, Table A).

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270 A description of economic variables in UK FROST and figures representing the distribution of
271 economic data before and after the imputation can be found in the Appendix (Table B, Figure
272 A). Missing data was non-monotonic, since in all groups, individuals with missing data at one
273 follow-up point may provide data subsequently (i.e. more individuals are observed at year one
274 than in month 6). The results of logistic regression analysis (see Appendix, Table C) showed
275 that participants with lower EQ-5D-5L at baseline were significantly more likely to have missing
276 data on costs (OR 0.28; 95% CI 0.14 to 0.57) and QALYs (OR 0.31; 95% CI 0.14 to 0.67).
277 Baseline age predicted missing data on quality of life (OR 0.95; 95% CI 0.93 to 0.98); sex and
278 diabetes were associated with missingness but not statistically significant ($p > 0.05$). Regarding
279 the association between missingness and the observed outcomes, missing QALYs at one year
280 were significantly associated with QALYs at three months (OR 0.00; 95% CI 0.00 to 0.50);
281 whilst missing costs at one year were significantly associated with QALYs at three months
282 (OR 0.003; 95% CI 0.00 to 0.09) and QALYs at six months (OR 0.007; 95% CI 0.00 to 0.306).

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284 ***Health care resource use and costs***

285 The mean cost of manipulation under anaesthesia was £425 (SD=£115). For 97% of the cases
286 manipulation was delivered as a day case, only 3% of the cases required hospitalization (only
287 one night); the average duration of the manipulation was 25.11 minutes (SD=14.20). The
288 mean cost of arthroscopic capsular release was £2,170 (SD=£431). For 90% of the cases it
289 was delivered as a day case; 10% of the cases required hospitalization for on average 2.8
290 nights (median=1; min=1; max=31) in hospital; and the average duration of the intervention
291 was 76.61 min (SD=24.22). A total of 160 (80%) participants allocated to manipulation under
292 anaesthesia and 159 (78%) allocated to capsular release received post procedural
293 physiotherapy. The mean (SD/max) number of sessions was similar for both groups
294 [manipulation under anaesthesia: 6.42 (4.95/18) vs capsular release: 6.65 (4.81/18)]. The
295 mean (SD) cost of post procedural physiotherapy was £214 (£157) for manipulation under
296 anaesthesia compared with £209 (£153) for capsular release. A total of 162 (97%) patients
297 who had manipulation under anaesthesia received an injection compared with 46 (27%) who
298 received capsular release. The mean cost of early structured physiotherapy was £260
299 (SD=£155) [i.e. mean cost of physiotherapy was £217 (SD=£147); mean cost of a steroid
300 injection was £43 (SD=£32)]. A total of 85 (86%) patients who had early structured
301 physiotherapy received an injection as part of their treatment. The mean (SD) number of
302 sessions received in the early structured physiotherapy pathway was 8.28 (3.45), with a
303 maximum of 15 sessions and a minimum of two.

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3 304 Resource use related to primary and community care was slightly higher for the capsular
4 305 release group, although differences between the groups appeared small (Table 2). Over the
5 306 entire follow up period, a higher proportion of participants in the capsular release group had
6 307 more days lost off work. Inpatient hospital costs related to complications after initial treatment
7 308 up to one year was greater for the manipulation group. However, participants who received
8 309 early structured physiotherapy were more likely to need further treatment following their index
9 310 intervention and accumulated greater outpatient costs after discharge. Participants in the
10 311 capsular release group received fewest further treatments, however, they accumulated
11 312 greater total costs over the trial follow-up; as expected, costs of the surgery were the major
12 313 cost driver for this group (Table 3). Participants waited a median of 14 days for early structured
13 314 physiotherapy, median of 56·5 days for manipulation under anaesthesia, and a median of 71·5
14 315 days for capsular release [11]. The longer waiting times were reflected in the actual days off
15 316 work and increased productivity costs which were greater for the capsular release arm. Private
16 317 costs were similar among the three arms. To note that total costs estimates shown in Table 3
17 318 are unadjusted means, and relates to complete cases, therefore there is limited value in
18 319 interpreting differences between treatments. Mean differences for each surgical treatment
19 320 versus early structured physiotherapy and corresponding 95% CIs, adjusted for patient
20 321 covariates, and taking into consideration the correlation between costs and QALYs are shown
21 322 in Table 4 (i.e. cost-effectiveness results).

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Table 2 – Average primary and community resource use (shoulder related) and lost days off work per treatment group [18].

Resource Type	MUA (n=201)				ACR (n=203)				ESP (n=99)			
	N	Mean (SD)	Median	Missing (%)	N	Mean (SD)	Median	Missing (%)	N	Mean (SD)	Median	Missing (%)
GP surgery Total	137	1.61 (3.04)	0	64 (31.8)	138	1.73 (3.23)	0	65 (32.0)	62	0.90 (1.89)	0	37 (37.4)
3 months	168	0.82 (1.64)	0	33 (16.42)	171	1.05 (1.97)	0	32 (15.76)	84	0.58 (1.44)	0	15 (15.15)
6 months	162	0.30 (1.25)	0	39 (19.40)	163	0.49 (1.60)	0	40 (19.70)	76	0.35 (0.89)	0	23 (23.23)
12 months	169	0.34 (1.20)	0	64 (31.84)	162	0.24 (0.76)	0	65 (32.02)	80	0.25 (0.88)	0	37 (37.37)
GP telephone Total	136	0.54 (2.05)	0	65 (32.3)	134	0.44 (1.1)	0	69 (33.9)	61	0.10 (0.47)	0	38 (38.4)
3 months	168	0.28 (1.24)	0	3 (16.42)	165	0.32 (0.99)	0	28 (18.72)	82	0.06 (0.33)	0	17 (17.17)
6 months	162	0.16 (1.13)	0	39 (19.40)	161	0.09 (0.41)	0	42 (20.69)	74	0.03 (0.16)	0	25 (25.25)
12 months	168	0.05 (0.17)	0	33 (16.42)	162	0.03 (0.22)	0	41 (20.20)	83	0.01 (0.011)	0	16 (16.16)
Physiotherapist	135	0.83 (2.8)	0	66 (32.8)	136	1.25 (3.8)	0	67 (33.0)	64	1.17 (4.0)	0	35 (35.3)
3 months	167	0.66 (2.26)	0	34 (16.92)	167	0.64 (2.95)	0	36 (17.73)	83	0.42 (1.72)	0	16 (16.16)
6 months	161	0.14 (0.79)	0	40 (19.90)	161	0.31 (1.24)	0	42 (20.69)	77	0.49 (2.25)	0	22 (22.22)
12 months	170	0.71 (0.92)	0	31 (15.42)	162	0.31 (1.32)	0	41 (20.20)	83	0.24 (0.22)	0	16 (16.16)
Nurse surgery	132	0.07 (0.3)	0	69 (34.3)	129	0.39 (0.8)	0	74 (36.4)	59	0.05 (0.3)	0	40 (40.4)
3 months	166	0.2 (0.15)	0	35 (17.41)	165	0.34 (1.09)	0	38 (18.72)	79	0.05 (0.32)	0	20 (20.20)
6 months	160	0.01 (0.08)	0	41 (20.40)	156	0.08 (0.30)	0	47 (23.15)	75	0.04 (0.26)	0	24 (24.24)
12 months	165	0.05 (0.29)	0	36 (17.91)	160	0.02 (0.14)	0	43 (21.18)	79	0 (0)	0	20 (20.20)
Community nurse	135	0 (0)	0	66 (32.8)	136	0.12 (0.9)	0	67 (33.0)	62	0 (0)	0	37 (37.4)
3 months	168	0 (0)	0	33 (16.42)	168	0.07 (0.51)	0	35 (17.24)	83	0 (0)	0	16 (16.16)
6 months	160	0 (0)	0	41 (20.40)	161	0.07 (0.79)	0	42 (20.69)	75	0 (0)	0	24 (24.24)
12 months	170	0.01 (0.15)	0	31 (15.42)	161	0 (0)	0	42 (20.69)	82	0 (0)	0	17 (17.17)
Occupational Therapy	137	0.09 (0.7)	0	64 (31.8)	137	0.06 (0.7)	0	66 (32.5)	63	0 (0)	0	36 (36.4)
3 months	168	0.03 (0.46)	0	33 (16.42)	167	0 (0)	0	36 (17.73)	83	0 (0)	0	16 (16.16)
6 months	161	0 (0)	0	40 (19.90)	162	0.01 (0.08)	0	41 (20.20)	76	0 (0)	0	23 (23.23)
12 months	171	0.05 (0.48)	0	32 (15.92)	162	0.05 (0.63)	0	41 (20.20)	82	0 (0)	0	19 (19.19)
Lost days off work	105	17.5 (26.4)	6	96 (47.8)	92	32.8 (44.2)	14	111 (54.)	34	11.5 (27.8)	0	65 (65.6)
3 months	138	12.5 (22.0)	2	63 (31.34)	125	13.3 (23.6)	0	78 (38.42)	61	7.2 (20.6)	0	38 (38.38)
6 months	132	3.5 (10.5)	0	69 (34.32)	125	10.9 (23.2)	0	78 (38.42)	50	5.2 (18.8)	0	49 (49.49)
12 months	138	2.8 (13.3)	0	63 (31.34)	129	3.1 (13.1)	0	74 (36.45)	57	3.9 (13.1)	0	42 (42.42)

327 **Table 3 Costs for cases with complete data by trial allocation and cost category (£, 2018-19 prices) related**
 328 **to the shoulder [18].**
 329

Costs	MUA Mean (SE) (£)	ACR Mean (SE) (£)	ESP Mean (SE) (£)
MUA surgical procedure	349 (192)	5 (56)	0
ACR surgical procedure	0	1,762 (935)	113 (496)
ESP	7 (59)	1 (13)	260 (155)
Physiotherapy Hospital setting (i.e. PPP)	176 (164)	175 (162)	7 (36)
Physiotherapy Community setting	44 (146)	66 (202)	62 (211)
Further treatments	60 (248)	18 (67)	104 (290)
Hospital Inpatient care	43 (361)	34 (334)	9 (48)
Hospital outpatient care	19 (84)	12 (61)	34 (113)
GP at surgery	60 (114)	65 (121)	34 (71)
GP on the phone	8 (31)	7 (17)	1 (7)
Nurse at surgery	1 (3)	4 (9)	0.5 (3)
Community nurse	0 (0)	5 (34)	0 (0)
Occupational therapist	4 (34)	3 (32)	0 (0)
Total NHS shoulder costs (a)	834 (753)	2,271 (902)	599 (359)
Total NHS non- shoulder costs - (b)	182 (229)	196 (304)	242 (366)
Productivity costs - (c)	1,995 (2,999)	3,736 (5,031)	1,309 (3,165)
Private care costs - (d)	31 (118)	21 (111)	40 (144)
Total broader costs (a+b+c+d)	3,201 (3,824)	5,377 (4,240)	1,475 (2,368)

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331 **Health outcomes and quality adjusted life-years**

332 The overall distribution of the EQ-5D scores (utilities) for the different follow-up assessments
 333 is illustrated in Figure 1. Patients allocated to manipulation under anesthesia started from a
 334 higher utility value compared to the other groups [manipulation (mean 0.456) vs capsular
 335 release (mean 0.428) vs early structured physiotherapy (mean 0.402)]. Patients allocated to
 336 the surgical groups had similar utility values (adjusted for baseline utility) at 12 months follow
 337 up [capsular release (mean 0.739) vs manipulation under anaesthesia (mean 0.734)]; both
 338 manipulation under anaesthesia and capsular release had better utility values compared to
 339 early structured physiotherapy at 12 months (mean 0.693). QALYs estimates at one year,
 340 when controlling for baseline utility (for available cases), shows that patients allocated to
 341 manipulation under anaesthesia accrued more QALYs than the other two groups:
 342 manipulation under anaesthesia (0.6765) > early structured physiotherapy (0.6492) > capsular
 343 release (0.6475).

344

345 **Figure 1**

346

347 **Cost-effectiveness analysis**

348 The incremental analysis for the base-case is summarised in Table 4. Compared to early
 349 structured physiotherapy, manipulation under anaesthesia cost a mean of £276 more per
 350 patient (95% CI £66 to £487) and marginally improved health outcomes over the 12 months
 351 [on average 0.0396 more QALYs per participant than structured physiotherapy (95% CI -

0.0008 to 0.0800)]. The resulting ICER for manipulation under anaesthesia was £6,984 per additional QALY when compared to early structured physiotherapy. Arthroscopic capsular release is considerably more costly than early structured physiotherapy [on average £1,734 more expensive per participant (95% CI (£1,529 to £1,938)]; and despite the QALY gained by capsular release participants [on average 0.0396 more QALYs per participant than physiotherapy (95% CI -0.0008 to 0.0800)] this was not sufficient to support capsular release as being a cost-effective use of NHS resources when compared with early structured physiotherapy. Similarly, capsular release is dominated by manipulation under anaesthesia, with higher mean costs and lower QALYs. As illustrated by the CEAC in Figure 2, at a £20,000 per QALY threshold the probability of manipulation under anaesthesia being cost-effective was high (86%) compared with early structured physiotherapy (13%) and capsular release (0%)

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365 **Table 4. Adjusted mean differences in costs and QALYs between interventions (base case) [18].**

	Adjusted difference in means with SUREG^a	95% confidence limits (CI)		
Difference in costs (£)				
MUA vs ESP	276.51	(65.67 to 487.35)		
ACR vs ESP	1,733.78	(1,529.48 to 1,938.06)		
ACR vs MUA	1,457.26	(1,282.73 to 1,631.79)		
Difference in QALYs				
MUA vs ESP	0.0396	(-0.0008 to 0.0800)		
ACR vs ESP	0.0103	(-0.0304 to 0.0510)		
ACR vs MUA	-0.0293	(-0.0616 to 0.0030)		
	ICER^b (£ per QALY)	Probability cost-effective at £13,000/QALY	Probability cost-effective at £20,000/QALY	Probability cost-effective at £30,000/QALY
MUA	6,984	0.7942	0.8632	0.8978
ACR	> 100,000	0.0000	0.0002	0.002
ESP	-	0.2058	0.1366	0.1002

366 ^a Seemingly unrelated regression. ^b Compared with ESP, as it is the alternative with lower costs and health outcomes

367

368 **Figure 2**

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370

371 **Sensitivity analysis**

372 Table 5 shows that the base case analysis results were robust to including non-shoulder costs with manipulation under anaesthesia continuing to be a cost-effective use of NHS resources. 373 In contrast, cost-effectiveness results were sensitive to a broader perspective scenario, 374 suggesting the ICER from a wider perspective was higher than the thresholds that NICE 375 normally consider for reimbursement decisions. Capsular release continued to be dominated 376 by manipulation under anaesthesia in both costs' scenarios. Given that capsular release was 377

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378 dominated in all scenarios, sensitivity analyses around missing data were restricted to the
 379 comparison of manipulation under anaesthesia compared with early structured physiotherapy
 380 (Table 6). Both multiple imputation and the mixed model agree that manipulation under
 381 anaesthesia is the cost-effective alternative, although mean difference in costs and QALYs
 382 changed according to the method. The mixed model has slightly larger standard errors than
 383 MI in both the incremental costs and QALYs, possibly because of the large number of
 384 parameters to estimate compared with the analysis model post-imputation. Finally, increasing
 385 costs or decreasing QALYs (scenario 6) in both patient groups make little difference to results
 386 (see Appendix, table D). Manipulation under anaesthesia remains the intervention most likely
 387 to be cost-effective even if their imputed QALYS are reduced by 10% or its cost is increased
 388 by 50%.

391 **Table 5 Sensitivity analysis (Scenario 1 and Scenario 2): Summary for incremental analysis (ITT), cost-**
 392 **effectiveness results and uncertainty under different costs scenarios [18].**

		MI of costs (shoulder – NHS perspective) and QALYs analysis with SUREG [^] Base-Case analysis	MI of costs (shoulder and non-shoulder – NHS perspective) and QALYS analysis with SUREG SA (Scenario 1)	MI of costs (broader perspective) and QALYS analysis with SUREG SA (Scenario 2)
MUA vs ESP				
Difference in costs (£)	Mean	276	163	1,032
	SE	107	113	595
	95% CI	66 to 487	-58 to 384	-137 to 2,201
Difference in QALYs	Mean	0.039	0.0375	0.0375
	SE	0.0206	0.0207	0.0207
	95% CI	-0.001 to 0.080	-0.0032 to 0.0782	-0.0032 to 0.0781
	ICER	6,984	4,336	27,522
ACR vs ESP				
Difference in costs (£)	Mean	1,734	1,555	4,110
	SE	104	112	648
	95% CI	1,529 to 1,938	1,335 to 1,775	2,836.20 to 5,383.73
Difference in QALYs	Mean	0.0103	0.0080	0.0081
	SE	0.0207555	0.0208	0.0208
	95% CI	-0.0304 to 0.0510	-0.0328 to 0.0488	-0.0327 to 0.0488
	ICER	168,613	194,895	507,707
ACR vs MUA				
Difference in costs (£)	Mean	1,457	1,393	3,078
	SE	89	91	548
	95% CI	1,282.73 to 1,631.79	1,213 to 1,572	1,999 to 4,157
Difference in QALYs	Mean	-0.0293	-0.0296	-0.0294
	SE	0.0164678	0.0165	0.0165
	95% CI	-0.0616 to 0.0030	-0.0619 to 0.0028	-0.0618 to 0.0030
	ICER	ACR dominated by MUA	ACR dominated by MUA	ACR dominated by MUA

394 [^] Seemingly unrelated regression.

402 **Table 6: Sensitivity analysis (Scenario 3, Scenario 4 and Scenario 5): Summary for incremental analysis**
 403 **(ITT), cost-effectiveness results and uncertainty under different missing data assumptions [18].**

		Complete case analysis with SUREG [^] (Scenario 3)	MI of costs and utilities followed by SUREG (Scenario 4)	Mixed model with adjustment for covariates (Scenario 5)
Difference in costs (£)	Mean	339	193	256
	SE	136	107	129
	95% CI	72 to 606	-14 to 399	2 to 509
Difference in QALYs	Mean	0.016	0.0357	0.030
	SE	0.026	0.020	0.022
	95% CI	-0.034 to 0.066	(-0.004 to 0.076)	-0.014 to 0.073
ICER		21,443	5,395	8,562
Probability that MUA is cost-effective		0.48	0.89	0.76

404 [^] Seemingly unrelated regression.

405 DISCUSSION

406 Main findings

407 UK FROST is the largest randomised clinical trial to our knowledge to date that provides robust
 408 evidence on the cost-effectiveness of common surgical interventions followed by post-
 409 procedural physiotherapy compared with a non-surgical pathway of early structured
 410 physiotherapy and steroid injection for the treatment of patients with a frozen shoulder.
 411 Participants' health related quality of life improved with all three treatments during the trial
 412 follow-up. Overall, participants who had manipulation under anaesthesia accrued more
 413 QALYs compared to those who had capsular release and early structured physiotherapy. The
 414 greater costs of capsular release make this intervention difficult to justify. In particular,
 415 capsular release was dominated by manipulation, with higher mean costs and lower QALYs.
 416 Compared to early structured physiotherapy, participants who had capsular release accrued
 417 on average more QALYs, but this was not sufficient to support capsular release as a cost-
 418 effective alternative to early structured physiotherapy. At a £20,000 per QALY threshold the
 419 probability of manipulation under anaesthesia being cost-effective was high (86%) compared
 420 with early structured physiotherapy (13%) and capsular release (0%). Therefore, from an NHS
 421 perspective, this is clear evidence that manipulation under anaesthesia is the most cost-
 422 effective option and would represent good value for money.

423 Strengths and weaknesses

424 This analysis presents an up to date estimate of the cost-effectiveness of three common
 425 treatment pathways for the management of frozen shoulder in the NHS setting. The strengths
 426 of this study were the pragmatic design and the recruitment of patients from 35 hospitals
 427 across a range of rural and urban areas, involving 90 surgeons and 285 physiotherapists.
 428 There were minimal exclusion of patients and the rate of crossovers were low. We also used

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3 433 very detailed hospital forms designed for the trial, together with multiple sources of cost data
4 434 available for the analyses, to permit an exhaustive micro-costing to optimise the accuracy of
5 435 the estimation of the treatment costs. The UK FROST trial, therefore, provides timely and
6 436 direct evidence of clinical and resource implications for the NHS that may also be
7 437 generalisable to other healthcare systems that offer these treatment options.
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12 439 The EQ-5D instrument has been well validated in patients with a frozen shoulder [18,19].
13 440 However, a systematic review identified a lack of use of generic preference-based measures
14 441 in existing frozen shoulder clinical studies [7]. The elicitation of the EQ-5D-5L from patients
15 442 with frozen shoulder is another strength of our study, providing further evidence on the impact
16 443 of this condition on patient's overall health related quality of life.
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21 444
22 445 There are two potential limitations with the analysis. The first relates to the problem of missing
23 446 data, which is a common issue in economic evaluations nested within clinical trials. We
24 447 conducted a comprehensive analysis of missing data and a number of sensitivity analyses to
25 448 test the assumptions we used to impute missing data in our economic models. Sensitivity
26 449 analyses showed that results were robust to alternative assumptions on missing data,
27 450 indicating that manipulation under anaesthesia continued to be a cost-effective use of NHS
28 451 resources. It is therefore highly unlikely that such assumptions regarding missing data will
29 452 change the conclusions of our analysis.
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36 454 The second limitation relates to the length of follow-up, as one year could be argued to be too
37 455 short to capture the full effects of all the treatments. Clinical effectiveness results showed at
38 456 the primary endpoint of 12 months, many participants had improved to nearly full shoulder
39 457 functioning, with a median overall OSS of 43 (out of 48), compared with an initial median
40 458 overall OSS of 20 points [11]. It is notable that the difference in OSS scores and the difference
41 459 in quality of life are found in the same direction, with only a small difference in QALYS
42 460 observed across groups. It could be argued that there is a possible trend of the capsular
43 461 release group improving over time, which might continue with longer time follow up. This could
44 462 be explained by the timing of the delivery of the interventions. However, additional analysis
45 463 adjusting for delivery times of interventions confirmed this did not alter the interpretation of the
46 464 primary findings, which in turn, also suggests that it is unlikely that any important difference in
47 465 QALYs would emerge beyond the trial follow-up [12]. Regarding costs, we are confident that
48 466 important costs, including costs of complications, have been captured during the trial follow-
49 467 up.
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3 469 It is important to consider that all three treatment groups received standardised physiotherapy
4 470 specifically designed for the purposes of the trial. This is likely to have resulted in patients
5 471 receiving more physiotherapy and possibly steroid injections in the early structured
6 472 physiotherapy pathway than would be received routinely in the NHS and consequently
7 473 increased its costs. More physiotherapy, however, was also likely to have been received in
8 474 both the surgical pathways than that provided in the NHS. Furthermore, the rationale for the
9 475 number of physiotherapy sessions that patients were encouraged to receive in the early
10 476 structured physiotherapy intervention was to give every opportunity for the physiotherapy to
11 477 be effective. Despite this, early structured physiotherapy was not found to be clinically superior
12 478 compared with the surgical treatments or to be the most cost-effective option to the NHS.
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20 480 Finally, it should be noted that this study did not take into consideration the economic impact
21 481 of hydrodilatation. This is because when we undertook a survey of practice to inform the
22 482 design of UK FROST, only 6% of UK practitioners were using hydrodilatation. Consequently,
23 483 this was not identified as a priority intervention for evaluation [38]. Its popularity has increased
24 484 since then, and although hydrodilatation has been compared with manipulation, capsular
25 485 release, and intra-articular steroid injections [39,40] evidence of its effectiveness and cost-
26 486 effectiveness is inconclusive.
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33 488 **Conclusions**

34 489 To the best of our knowledge there is very limited evidence regarding the cost-effectiveness
35 490 of the three commonly used treatments for the frozen shoulder that were compared in UK
36 491 FROST. We found that while our specifically designed non-surgical pathway of early
37 492 structured physiotherapy and steroid injection was the least costly intervention, manipulation
38 493 under anaesthesia was the most cost-effective management pathway for the NHS as the extra
39 494 cost was good value for money for the benefits gained by patients. Evidence presented from
40 495 this economic evaluation should help clinicians discuss treatment options with patients during
41 496 shared decision-making and encourage surgeons to use capsular release more selectively
42 497 when less costly and less invasive interventions fail.
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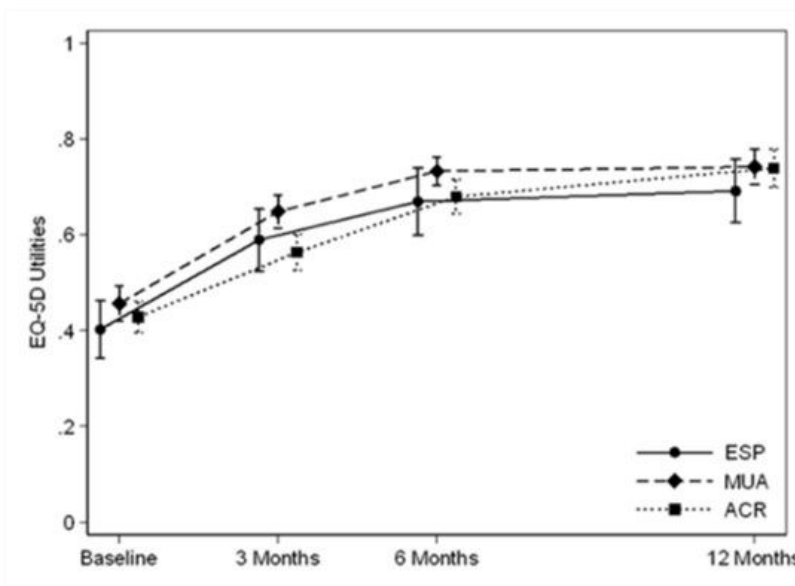
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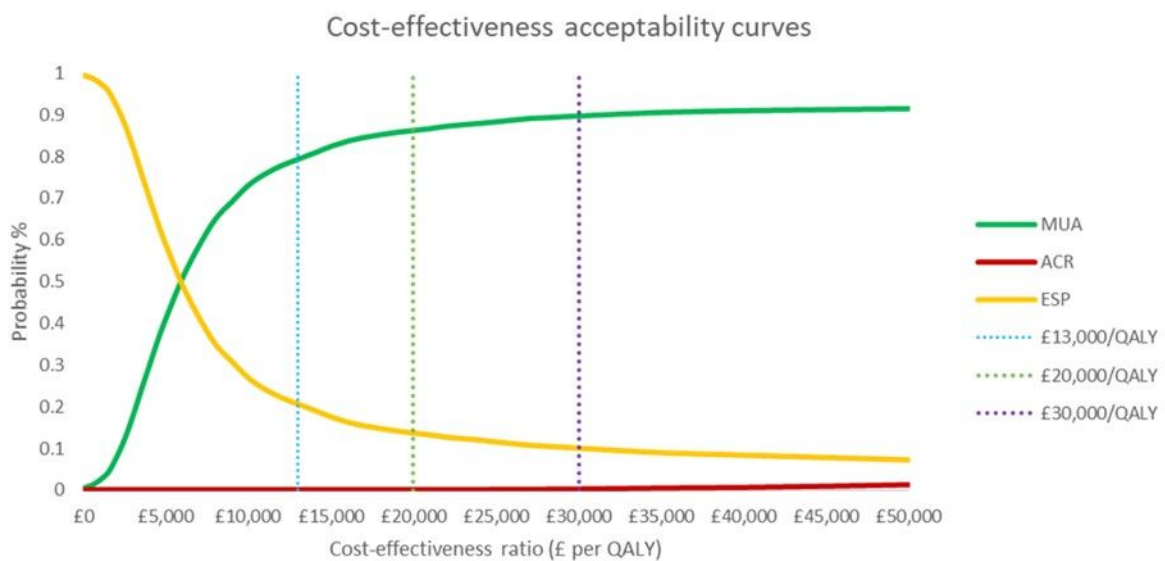
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Figure 1 EQ-5D-5L scores distribution at the different time points over the 12 months



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Figure 2 - Base case cost-effectiveness acceptability curves



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