

1 **Vertebrate remains from the Upper Cretaceous (Santonian) Ajka Coal**

2 **Formation, western Hungary**

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20 *Highlights:*

21 Continental vertebrates from Upper Cretaceous swamp deposits are described.

22 These fossils reveal taxonomical overlapping with those from alluvial sediments.

23 Ankylosaurs preferred wetland habitats such as fluvial systems and coastal regions.

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28 A B S T R A C T

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2 29 Vertebrate remains from the Upper Cretaceous (Santonian) Ajka Coal Formation (Bakony
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4 30 Mountains, western Hungary) are described. Macro- and microfossils collected from two
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7 31 boreholes and from isolated chunks of sediment/matrix dumped on spoil heaps of the Jókai
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10 32 Mine represent pycnodontiform and lepisosteiform fishes, bothremydid turtles, the
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12 33 mosasauroid *Pannoniasaurus inexpectatus*, the crocodyliforms cf. *Theriosuchus*,
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14 34 *Iharkutosuchus makadii* and cf. *Allodaposuchus*, as well as ankylosaurian and theropod
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17 35 dinosaurs. This unit was deposited in a swampy lacustrine environment, in contrast with the
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19 36 neighbouring and contemporaneous floodplain deposit of the vertebrate-bearing Csehbánya
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22 37 Formation at Iharkút. Despite significant environmental differences, the faunal composition of
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24 38 the Ajka Coal Formation assemblage completely overlaps with that of the Csehbánya
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27 39 Formation, suggesting the occurrence of the same semi-aquatic and terrestrial species in both
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29 40 settings. The ankylosaurian remains further strengthen the previous view that ankylosaurs
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32 41 preferred wetland habitats such as fluvial systems and coastal regions.

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36 43 *Keywords:*

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39 44 Late Cretaceous

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41 45 Continental vertebrates

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44 46 Swampy environment

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46 47 Dinosaurs

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53 1. Introduction

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2 54 Vertebrate remains from the Mesozoic of Hungary are relatively rare and aside from a
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4 55 few isolated (occasionally articulated) remains only two localities are known to provide
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7 56 systematically collectable assemblages. In geochronological order, the first one is situated at
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9 57 Villány (Villány Hills, southwestern Hungary), and includes two outcrops of the Middle
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11 58 Triassic (Ladinian) Templomhegy Dolomite Member (Csukma Dolomite Formation) and the
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13 59 Upper Triassic (Carnian) Mészhegy Sandstone Formation. Recent systematic excavations and
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15 60 screen-washing of the fossiliferous beds have resulted in both macro- and microfossils of
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17 61 different groups of marine vertebrates (Ősi et al., 2014). The second locality is the Upper
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19 62 Cretaceous (Santonian) Iharkút (Bakony Mts, western Hungary) where excavations of the
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21 63 bone-bearing horizons of the Csehbánya Formation have yielded a diverse and rich
22
23 64 continental-freshwater fauna during the past 14 years (Ősi et al., 2012).

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25 65 Prior to the discovery of the Iharkút locality in 2000, some isolated bones and teeth
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27 66 were already known from the Upper Cretaceous Ajka Coal Formation (deposited roughly
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29 67 contemporaneously with the Csehbánya Formation), suggesting the potential for finding
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31 68 vertebrate-bearing horizons within the unit. Most of these specimens, however, were found by
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33 69 chance during the 140 years of underground coal mining activities (started in 1865) in the
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35 70 Ajka-Felső-Csinger-Gyepükaján Zone.

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37 71 The first mention of a vertebrate fossil from the Ajka Coal Formation was made by
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39 72 Leopold Tausch (1886: 26), who presented merely a short note on a 'small reptile or fish
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41 73 tooth fragment' in his detailed review on the molluscan fauna of the Ajka coal beds.
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43 74 Unfortunately, most of the type specimens of the different molluscan species (Bandel and
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45 75 Riedel, 1994) or the precise location of this tooth cannot be identified.

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47 76 The next discoveries of vertebrates in the Ajka coal beds was linked to extensive
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49 77 exploration drilling by the coal and bauxite mining industries in the area during the 1980s.
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78 Various specimens were collected from cores and deposited in the collections of the
79 Geological and Geophysical Institute of Hungary (MFGI, formerly Hungarian Geological
80 Institute: MÁFI), but these have never been studied in detail. In 2013, due to rearrangement of
81 the MFGI vertebrate collections, some specimens and pieces of bone-bearing cores were
82 brought to the attention.

83 During the search for Cretaceous vertebrates in the Bakony Mountains in 1999 and
84 2000, the first specimens discovered were isolated fish and crocodylian teeth and a few bone
85 fragments from the Upper Cretaceous (Santonian) Ajka Coal Formation. These specimens
86 were recovered either by breaking up chunks of coal-bearing matrix dumped on spoil heaps of
87 the Ajka collieries or collected by screen-washing these rocks. Recently, more screen-washing
88 of approximately 60 kg of matrix collected in 2012 from these spoil heaps was conducted,
89 yielding most of the microvertebrate remains described here.

90 In the present paper, we record these fragmentary but taxonomically important
91 vertebrate fossils and discuss their palaeoecological implications in the light of vertebrate
92 faunas of the contemporaneous Csehbánya Formation at Iharkút.

93 94 **2. Localities and geological setting**

95 96 *2.1. Localities*

97 Vertebrate remains from the Ajka Coal Formation have been collected from cores of
98 the Káptalanfa-2 (from 803 m; final depth: 847.8 m) and Gyepükaján-12 (from 473 m; final
99 depth: 606.3 m) boreholes, and from chunks of matrix dumped on spoil heaps of the Jókai
100 Mine near Ajka-Alsó-Csinger (co-ordinates: N 47° 04' 31'', E 17° 33' 55''; see Fig. 1).
101 Today, these spoil heaps have been excavated and overgrown, which means that extensive
102 collecting of fossils has become much more difficult.

103 Although natural outcrops of the Ajka Coal Formation are extremely rare, a few sites
104 (e.g., along the Bocskor Trench) and thin coal beds along the Csinger Valley between Ajka
105 and Úrkút initiated a search for coal which led to the first mining activities in the area during
106 the 1860s (Kozma, 1991).

107

2.2. Geological setting

109 As is typical in many parts of the Transdanubian Range, Upper Triassic rocks or, more
110 rarely, Jurassic cherty limestone or Lower Cretaceous limestones, form the basement of
111 Upper Cretaceous transgressive sequences (Figs. 1C, 2). During the early Late Cretaceous
112 bauxites formed in the uneven karstic traps of the Triassic Dachstein Limestone and
113 Hauptdolomit Formations (Haas and Jochá Edelényi, 1979). In some parts of the Bakony
114 Mountains, bauxites and karstic palaeosurfaces were covered by the contemporaneous
115 Csehbánya and Ajka Coal Formations during the Santonian. The two units are heterotopic
116 facies being lateral equivalents with interfingering beds (Fig. 3) in the eastern part of the
117 depositional environment. On the other hand, in the western part the Csehbánya Formation
118 became a relatively thin unit superposed by the much thicker Ajka Formation (Fig. 2). The
119 Csehbánya Formation is a floodplain unit that consists mainly of variegated clays, palaeosols
120 and silt, with sand and sandstone layers (Jochá-Edelényi, 1988). Whereas this formation is
121 typically thin or even absent in the western part of the basin, it does reach a thickness between
122 50 and 200 m more easterly (Pápa-Csehbánya Zone). At the Iharkút vertebrate locality, the
123 Csehbánya Formation contains various fossiliferous layers, including a well-known
124 continental vertebrate assemblage of over 10,000 specimens belonging to at least 35 taxa (see
125 Ósi et al., 2012; Botfalvai et al., 2015).

126 The main depositional area of the Ajka Coal Formation was situated to the west-south-
127 west of that of the Csehbánya Formation, and it was dominated by swampy and lacustrine

128 environments in at least three different carbonate terrain subbasins (Ajka, Magyarpolány-
129 Devecser and Gyepükaján) (see Császár and Góczán, 1988; Siegl-Farkas, 1988). In the area of
130 Ajka and Gyepükaján, the relatively thin sequences of the Csehbánya Formation are overlain
131 by the Ajka Coal Formation (Fig. 2). In contrast to the predominantly fluvial, alluvial
132 lithofacies of the Csehbánya Formation, the Ajka Coal Formation comprises an alternation of
133 lignite beds, marls, sands and sandstone beds, and grey to brownish carbonaceous to
134 argillaceous pelitic sediments with interbedded molluscan lumachelles (Haas, 1983) and
135 represents a lacustrine-palustrine sequence. Whereas the Ajka Coal Formation is over 110 m
136 thick in the Gyepükaján area (e.g., Gyepükaján-10 and Káptalanfa-2 boreholes; see Fig. 2), it
137 wedges out in the area of Magyarpolány and is absent at Iharkút. The Csehbánya Formation
138 and most of the Ajka Coal Formation were laid down in freshwater environments and their
139 age is Santonian on the basis of palynological and nannoplankton studies (Siegl-Farkas and
140 Wagreeich, 1996; Bodor and Baranyi, 2012; Bodrogi et al., 1998; see Fig. 3). Whereas the
141 lacustrine, peat-fen environment of the Ajka Coal Formation generally grades into the marine
142 Jákó Marl Formation (Haas et al., 1992), to the east, Upper Cretaceous rocks are mostly
143 eroded in the Ajka Subbasin and the Ajka Coal Formation is overlain by Eocene shallow-
144 marine limestones of the Szőc Limestone Formation.

145

146 **3. Material and methods**

147 Vertebrate remains from the boreholes Káptalanfa-2 and Gyepükaján-12 were
148 discovered in the 1980s by Zoltán Partényi during examination of the cores (Fig. 4). These
149 specimens are now housed in the collections of the Geological and Geophysical Institute of
150 Hungary (MFGI). Whereas some specimens are still embedded in the cores and only one side
151 can be studied, others have been freed completely from the matrix. These specimens usually

152 are fragmentary, in part due the effects of drilling and in part because of the lack of
153 preparation and conservation.

154 Specimens collected by our research team in 1999 and 2000, as well as material
155 obtained by screenwashing in 2014, are housed in the collections of the Hungarian Natural
156 History Museum (MTM). Microvertebrate remains (Fig. 5) form a significant part of the
157 vertebrate collection from the Ajka Coal Formation; these were obtained in 2014 by
158 screenwashing *c.* 60 kg of coal-bearing sediments, collected in 2012. In order to dissolve this
159 matrix 20 per cent acetic acid was used. Due to the large amount of residue, consisting mainly
160 of coalified plant debris and molluscan shell fragments, saturated ZnCl₂ solution was used as
161 a heavy liquid (density around 1.9 g/cm³) to separate the dominant (approximately 80 per
162 cent) coalified plant fragments from the remainder of the residue. Approximately 200 cm³ of
163 residue was put in a 1.000 cm³ beaker, and zinc-chloride solution was poured on top of it.
164 While the carbonized wood floated to the surface of the solution, bones, teeth, as well as
165 molluscan shells, accumulated on the bottom of the beaker. The floating waste was removed
166 with a small kitchen sieve, after which the solution was decanted, and the residue was washed
167 and decanted repeatedly with water to remove the weakly acidic solution left in the pores of
168 the vertebrate remains. Consequently, the black or dark brown vertebrate specimens (small
169 bone fragments and teeth) could be more easily separated from the white molluscan shells
170 under a light microscope. However, this method has several drawbacks and is far from ideal.
171 First, although fortunately not as expensive as other alternatives such as polytungstate, the
172 usage of zinc-chloride is quite costly when used for large-scale separation: the total amount of
173 ZnCl₂ used for separation of the residue of the 60 kg sediment was about 20 kg. Second, its
174 solution is acidic, which means that it can cause damage to vertebrate remains if not used
175 rapidly enough and not washed out properly. Third, it reacts with the calcareous components
176 of the residue, so that it cannot be re-used (also hindered by black colouration caused by tiny

177 carbonized wood particles). Fourth, careful safety measures have to be taken to avoid skin
178 contact and inhalation. In short, the use of ZnCl₂ as a heavy liquid for the separation of
179 microvertebrate remains in screenwashing residues is an operable method, but a more
180 practical substitute still needs to be found for large-scale (>1.000 kg) screenwashing and
181 separation.

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183 **4. Description and comparisons**

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185 Osteichthyes Huxley, 1880

186 Actinopterygii Klein, 1885

187 Pycnodontiformes Lehman, 1966

188 **Pycnodontiformes** indet.

189 Fig. 5A, C–E.

190 *Material.* Fifteen isolated teeth (MTM V.2000.32; MTM VER 2015.18; MTM VER 2015.20;
191 MTM VER 2015.22).

192 *Description.* The two largest teeth (overall length 4.5 and 3.9 mm, respectively) are oval in
193 shape, have a worn and smooth occlusal surface and are identical to those described from
194 Iharkút (Gulyás, 2009; Ósi et al., 2012; see Fig. 5C). Four teeth are oval to slightly triangular,
195 unabraded, or only slightly worn, showing complex occlusal crown morphology. They have a
196 central groove that is shallow and wide on some of the teeth or deeper and elongate on others,
197 and its margins are ornamented occlusally by shallow bumps and short radial grooves (Fig.
198 5D–E).

199 Five hooked and labio-lingually strongly flattened teeth (MTM VER 2015.20; 1 mm <
200 overall length <2 mm) may represent pharyngeal teeth of pycnodontiforms. These have a
201 slightly transparent crown with a pointed apex (Fig. 5A).

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- 1
- 2 203 Holostei Müller, 1845
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- 4 204 Lepisosteiformes Hay, 1930
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- 7 205 Lepisosteidae Cuvier, 1825
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- 10 206 **Lepisosteidae indet.**
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- 12 207 Figs. 4A–B, 5B.
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- 14 208 *Material.* Ten isolated teeth (MTM VER 2015.19; MTM VER 2015.23) and a single vertebra
- 15
- 16 209 (MFGI V.18761).
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- 19 210 *Description.* As typically seen in lepisosteiform teeth, they are pointed, conical in shape and
- 20
- 21 211 have lanceolate, smooth crowns with circular cross sections. Closer to the base, they bear fine
- 22
- 23 212 longitudinal grooves (Fig. 5B). Their size ranges from one to three millimetres. These teeth
- 24
- 25 213 are virtually identical to lepisoteid teeth described from the Csehbánya Formation (Ósi et al.,
- 26
- 27 214 2012). They markedly differ from the pointed, non-lanceolate fang teeth of the main tooth
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- 29 215 row of *Lepisosteus* and more similar to those of the lanceolate teeth seen *Atractosteus*
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- 31 216 (Grande, 2010). The teeth from the Ajka Coal Formation, however, have an apically more
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- 33 217 rounded crown.
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- 36 218 The vertebra (Fig. 4A–B) is laterally wider than high (maximum width 7 mm; greatest length
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- 38 219 4.5 mm), slightly opisthocoel and the centrum has oval articulation surfaces. Short transverse
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- 40 220 processes are present laterally.
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- 43 221
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- 45 222 Sauropsida Goodrich, 1916
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- 48 223 Testudines Linnaeus, 1758
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- 51 224 Eupleurodira Gaffney and Meylan, 1988 (*sensu* Gaffney, Tong and Meylan, 2006)
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- 54 225 Pelomedusoides Cope, 1868
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- 57 226 Bothremydidae Baur, 1891
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227 **Bothremydidae indet.**

228 Fig. 4C–E.

229 *Material.* A few shell fragments (MFGI V.18763; MFGI V.18764).

230 *Description.* MFGI V.18763 most likely represents an anterior or posterior element of the
231 peripheral ring, judging from the presence of a free lateral margin and a scale sulcus that is
232 perpendicular to this margin (Fig. 4E). MFGI V.18764 is interpreted as being exposed in
233 visceral view. The specimen is an indeterminate shell fragment (Fig. 4C–D). Faint decoration
234 is present in this specimen; this is reminiscent of the ‘pelomedusoid’ pattern consisting of
235 weakly granulated polygons (Gaffney et al., 2006) or scattered capillary furrows, also visible
236 in *Foxemys trabanti* from the Csehbánya Formation (Rabi et al., 2012). Based on the shell
237 surface texture, the size of the specimens and on the fact that *Foxemys* is very common in the
238 nearby and contemporaneous Csehbánya Formation, the turtle shell fragments from the Ajka
239 Coal Formation are assigned to indeterminate bothremydids. Late Cretaceous bothremydids in
240 Europe are found predominantly in freshwater deposits, whereas most other members of the
241 group led a nearshore, marine lifestyle (Gaffney et al., 2006; Rabi et al., 2012). The shell
242 fragments from the Ajka Coal all originate from freshwater horizons.

243

244 Squamata Opperl, 1811

245 Mosasauroida Camp, 1923

246 Tethysaurinae Makádi, Caldwell and Ósi, 2012

247 *Pannoniasaurus* Makádi, Caldwell and Ósi, 2012

248 *Pannoniasaurus inexpectatus* Makádi, Caldwell and Ósi, 2012

249 Fig. 4F–G.

250 *Material.* A single dorsal vertebra (MTM V.2000.21).

251 *Description.* This specimen was collected in 2000 from the Ajka Coal Formation on spoil
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2 252 heaps of the collieries (Makádi et al., 2012), and it actually represents the first specimen of
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4 253 *Pannoniasaurus* ever found, although not recognised as such until subsequent finds at Iharkút
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7 254 were made. This vertebra was tentatively referred to as *Pannoniasaurus* in the original
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9 255 description of the genus, on the basis of general morphological similarity to hundreds of
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11 256 *Pannoniasaurus* vertebrae found at Iharkút (Makádi et al., 2012).

14 257 The dorsal vertebra measures 36 mm in length, suggesting a total body length of
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17 258 around 3.5 metres for this individual, as compared to relative sizes of mosasaurs (Russell,
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19 259 1967) and modern varanoids (LM, pers. obs. on a juvenile *Varanus niloticus*). It is heavily
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21 260 distorted by lateral compression and dorsal structures are incomplete. The neural canal is
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24 261 visible only at the posterior end and the left postzygapophysis is more or less intact with the
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26 262 left zygantrum, while the left prezygapophysis and neural spine are broken, having only their
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29 263 bases preserved. The structures on the right side are either crushed or missing, and have an
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31 264 elongated 3-cm-long bone fragment pressed in between them, which may correspond to a rib
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34 265 fragment. Despite its condition, the vertebra is similar in its observable morphological
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36 266 characteristics to those described for *Pannoniasaurus* (Makádi et al., 2012) and, albeit to a
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39 267 lesser extent, to those of *Tethysaurus* (Bardet et al., 2003). These similarities are as follows:
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41 268 the centrum is V-shaped in ventral view, the condyle and cotyle are oval and oblique, the
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44 269 vertebral condyle was most probably flared (= precondylar constriction) as indicated by the
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46 270 worn edge of the condylar flange (the spongy bony tissue is visible), and
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49 271 zygosphenes/zygantra were probably large and functional.

51 272 Although the specimen is compressed, it clearly has not suffered long transport, as
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53 273 suggested by limited signs abrasion; as such, it is indicative of the presence of the genus also
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56 274 in the Ajka area. The presence of *Pannoniasaurus* in the Ajka Coal Formation demonstrates
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275 that these freshwater mosasaurs were abundant not only in the floodplain area, but also in the
276 lacustrine environment and most probably in the coastal swamps as well.

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278 *Crocodylomorpha* Walker, 1970

279 *Crocodyliformes* Hay, 1930

280 *Mesoeucrocodylia* Whetstone and Whybrow, 1983

281 *Mesoeucrocodylia* indet.

282 **cf. *Theriosuchus* sp.**

283 Fig. 5I–K..

284 *Material*. Seven isolated teeth (MTM VER 2000.32; MTM VER 2015.25).

285 *Description*. Crocodyliform teeth are the commonest elements in the screenwashed material
286 of the Ajka Coal Formation. Some of them are labiolingually flattened and pointed with
287 slightly constricted crown and pseudoziphodont carinae (Fig. 5I–K). In some specimens the
288 pseudoziphodont carina is barely recognised due to the poor preservation. The labiolingual
289 surfaces of the crown are ornamented with fine longitudinal wrinkles that curve slightly
290 mesially or distally to terminate at the carinae. The carina is not only a narrow, sharp keel, but
291 a mesiodistally wider, flattened margin of the crown. The crowns of two additional teeth
292 (MTM VER 2015.25) are strongly eroded, so neither the carinae nor the apical region can be
293 observed. Only the basal half of the crown is preserved in these specimens, bearing
294 longitudinal enamel wrinkles and a slightly constricted base.

295 The features seen in these teeth are most closely similar to those of the
296 mesoeucrocodylian genus *Theriosuchus* from Upper Jurassic–Maastrichtian deposits (Martin
297 et al., 2010, 2014, references therein), including the Santonian Csehbánya Formation (Ősi et
298 al., 2012), and thus allow a tentative assignment as cf. *Theriosuchus* sp.

299

300 Eusuchia Huxley, 1875

301 Hylaeochampsidae Andrews, 1913

302 *Iharkutosuchus makadii* Ösi, Clark and Weishampel, 2007

303 Fig. 5L–N.

304 *Material.* Two isolated teeth (MTM VER 2015.24).

305 *Description.* One of the specimens (Fig. 5L–M) referred to *Iharkutosuchus* is an anteriorly

306 positioned, spatulate tooth identical to those described from Iharkút (Ösi, 2008). It has

307 rounded, strongly constricted crown without cingulum or secondary rows of lingual cusps.

308 The crown surface is not completely smooth, but has a fine rugose texture. Labially the crown

309 is spatulate with a slightly worn apical region. The spatulate part is divided by fine grooves

310 into three parts both labially and lingually. There is a massive central portion bordered

311 mesiodistally by flatter regions. The mesiodistal margins do not bear carinae.

312 The other tooth (Fig. 5N) has a slightly mesiodistally elongate, rectangular crown.

313 Based on its shape, it could have been a multicusped tooth, but its occlusal surface is strongly

314 eroded making the enamel–dentine junction well exposed. Though the outer outline of the

315 main row of cusps can be observed, the extent of wear makes the number of cusps or rows of

316 cusps parallel to the main row of cusps uncertain. The margin of the crown along the

317 secondary cusps is damaged making it slightly concave. The main row is composed of a large

318 central cusp, and a pair of smaller cusps mesially and distally. Compared to the teeth of

319 *Iharkutosuchus* from Iharkút, this specimen is from the median portion (11th–14th) of the

320 tooth row (Ösi, 2008).

321

322 Eusuchia indet.

323 **cf. *Allodaposuchus* sp.**

324 Fig. 5F–H.

325 *Material.* Ten isolated teeth (MTM VER 2015.21; MTM V.2000.22; MTM VER 2015.26).

326 *Description.* Some of the teeth are tall, pointed and labiolingually slightly flattened. Their
327 surface is ornamented by fine longitudinal enamel wrinkles, but in contrast to teeth of
328 *Theriosuchus*, the wrinkles do not terminate on the carinae (Fig. 5F–H). The carinae are sharp
329 and smooth, the crown being slightly constricted. A few other teeth are bulbous representing a
330 more posterior tooth position (Fig. 5F). Most of the teeth have slightly worn apical regions
331 (Fig. 5F). The morphology of these teeth occurs frequently among various eusuchian forms
332 such as the Late Cretaceous basal eusuchian *Allodaposuchus* which is known from several
333 European localities (Puértolas-Pascual et al., 2013, references therein), including the
334 Santonian-aged locality of Iharkút (Rabi and Delfino, 2012; Ósi et al., 2012). Here we
335 tentatively refer these teeth to cf. *Allodaposuchus* sp.

336

337 *Ornithischia* Seeley, 1888

338 *Ankylosauria* Osborn, 1923

339 *Nodosauridae* Marsh, 1890

340 ***Nodosauridae* indet.**

341 Figs. 4H–I, 5O.

342 *Material.* A single tooth (MTM VER 2015.28) and an osteoderm (MFGI V.18762).

343 *Description.* A single tooth (Fig. 5O) and a fragmentary osteoderm can be referred to
344 ankylosaurian dinosaurs. The tooth preserves the central portion of the crown and is strongly
345 worn. Neither the cuspidate carinae nor any of the cingula are preserved. In basal view the
346 circular pulp cavity is visible. The labiolingually flattened, low and triangular crown is of
347 proportions typical of ankylosaurian teeth.

348 The osteoderm (Fig. 4H–I) preserves approximately the central one quarter of the
349 complete element. Its greatest preserved dimensions are 33 mm anteroposteriorly and 45 mm

350 lateromedially (the complete osteoderm could have been approximately 70–80 mm in length).

351 Of the original lateromedial margins it preserves a 21-mm-long part on one side and a 22-
352 mm-long part on the other. These margins are irregular and markedly crenulate as seen in
353 ankylosaurian osteoderms. Most of the dorsal keel of the osteoderm was damaged during
354 collecting, but it is clear that the keel is asymmetrically positioned being much closer to one
355 of the margins of the element, a feature typically seen in marginal, oval-shaped ankylosaurian
356 osteoderms. Based on this feature, the dorsal surface of the bone is slightly inclined on one
357 side, yet steeply inclined on the other side of the keel. The inner structure of the bone is
358 spongy as usual in ankylosaurian osteoderms.

359 Based on the features outlined above, the specimen can be clearly referred to
360 ankylosaurs and it shows an identical morphology to the oval-shaped, medium-sized, low-
361 keeled osteoderms of *Hungarosaurus tormai* which is known from the stratigraphically
362 equivalent alluvial Csehbánya Formation (Ősi, 2005; Ősi and Makádi, 2009). The lack of
363 diagnostic features, however, prevents a more precise taxonomical assignment, especially
364 given that a second nodosaurid taxon, *Struthiosaurus*, has also been recorded from Iharkút
365 (Ősi and Prondvai, 2013).

366

367 Saurischia Seeley, 1888

368 Theropoda Marsh, 1881

369 **Theropoda indet.**

370 Fig. 5P–Q.

371 *Material.* A single tooth (MTM VER 2015.27).

372 *Description.* A single, small (apicobasal height 1.3 mm, crown base length 0.9 mm, crown
373 base width 0.4 mm) pointed tooth (Fig. 5P–Q) can be referred to theropod dinosaurs. The
374 crown base is not constricted and it has a mesiodistally elongated, oval to flattened cross

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375 section with a low (0.44) crown base/crown height ratio. The crown curves distally under a
376 crown angle of 57°. Bands of growth or enamel wrinkles are absent. Basally the labial and
377 lingual surfaces of the crown are slightly concave. Neither of the carinae is serrated (Fig. 5Q).
378 Whereas the mesial carina is present in the sagittal plane of the crown, the distal carina
379 extends slightly obliquely basally. Although unpublished, an almost identical isolated tooth
380 has been found at Iharkút.

381 Teeth lacking serrations appear in several clades of theropods including unenlagiine
382 dromaeosaurs (*Buitreraptor* Makovicky et al., 2005), ornithomimosaur (*Pelecanimimus*
383 Pérez-Moreno et al., 1994) and enantiornithine birds (Chiappe and Walker, 2002). In addition,
384 the tooth-based taxa *Paronychodon* and *Euronychodon* are also characterised by the lack of
385 serrated carinae. The smooth-edged theropod tooth from Ajka differs from those of
386 enantiornithines and *Pelecanimimus* in the lack of a constricted crown base (Chiappe and
387 Walker, 2002). *Buitreraptor* has roughly similar tooth crowns, but these are more distally
388 curved (Makovicky et al., 2005) than the Ajka specimen. *Paronychodon* teeth are
389 characterised by longitudinal grooves on at least one side of the crown (Zinke and Rauhut,
390 1994), and longitudinal ridges are present on the high, recurved teeth of *Euronychodon*
391 described from the Upper Cretaceous of Portugal (Antunes and Russell, 1991). These features
392 are not present on the tooth from Ajka. Although hesperornithid teeth also lack serrated
393 carinae and have a generally similar outline of the crown in labiolingual view, they do show
394 nearly planar lingual and strongly convex labial sides (Martin and Stewart, 1977; Martin et
395 al., 1980) not present in the present specimen. Teeth of *Ichthyornis* are triangular and convex
396 labiolingually (Martin and Stewart, 1977), but not as curved distally as the tooth from Ajka.
397 Some bird teeth with no serrations from the Upper Cretaceous of Alberta are similar in size
398 and cross section (Sankey et al., 2002, fig. 5/39-42), but they are proportionally taller and not
399 as curved distally as the Ajka tooth is.

400 In conclusion, the theropod tooth with smooth carinae from Ajka has a crown
1
2 401 morphology similar to those of some dromaeosaurid and enantiornithine theropods. Post-
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4 402 cranial remains of enantiornithines and dromaeosaurid-like Paravians are both present in
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7 403 Iharkút, suggesting that this tooth might belong to one of these groups. The question whether
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10 404 it is from a bird (e.g., the buzzard-sized *Bauxitornis*) or from a dromaeosaurid, or perhaps an
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12 405 ornithomimid theropod, still remains open until more complete cranial material has been
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14 406 unearthed.

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19 408 **Sauropsida indet.**

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22 409 Fig. 4J–K.

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24 410 *Material.* A single bone fragment (MFGI V.18765).

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26 411 *Description.* A complex fragmentary bone (Fig. 4J–K) was recovered from the core of
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28
29 412 borehole Gy-12 (at a depth of 473 m). The specimen is rather flat with one (probably outer)
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31 413 side being slightly ornamented by some shallow pits and grooves. The other (probably inner)
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34 414 side is concave with a smoother surface, and at least three nutritive foramina can be observed
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36 415 on its left side (Fig. 4H). This surface appears to be divided by two thin bone septa, the right
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39 416 one being at the cut end of the specimen. Due to core drilling the specimen was cut at two
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41 417 ends showing a relatively uniform, spongy inner texture.

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46 419 **5. Discussion**

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51 421 *5.1. Comparison of the vertebrate faunas of the Ajka Coal and Csehbánya Formations*

52
53 422 Vertebrate remains from the Ajka Coal Formation are extremely scanty compared to
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55
56 423 the diverse and rich assemblages collected from the Csehbánya Formation, but most of the
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58 424 specimens can still be referred to lower taxonomic levels. Although the two formations

425 represent two different environments (see 5.2. chapter below), the composition of the Ajka
1
2 426 vertebrate fauna greatly overlaps with that of Iharkút. Pycnodontiform and lepisosteiform
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4 427 fishes, bothremydid turtles, crocodylians including cf. *Theriosuchus*, *Iharkutosuchus* and cf.
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7 428 *Allodaposuchus*, the freshwater mosasaur *Pannoniasaurus* and ankylosaurian and theropod
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9 429 dinosaurs identified from the Ajka Coal Formation have all been recorded from the
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11 430 Csehbánya Formation as well. Remains of these taxa frequently occur in the Iharkút
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13 431 assemblage. It should be noted, however, that *I. makadii* and *P. inexpectatus* are the only taxa
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15 432 recognised at species level in both formations, and otherwise the taxonomic overlap is
16
17 433 currently only confirmed at higher taxonomic levels. The only taxon represented by a single
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19 434 tooth from both formations is a theropod dinosaur (Fig. 5P–Q). In 2014, a similarly small,
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21 435 distally curved tooth with no serrations was discovered from the Csehbánya Formation by
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23 436 sorting the screenwashed residue of several tonnes of sediment, indicating that this theropod
24
25 437 was quite uncommon in the Iharkút setting. Although there is no evidence yet of
26
27 438 enantiornithine birds from the Ajka Coal Formation, the Ajka theropod tooth might belong to
28
29 439 this group, which is also poorly known from skeletal elements (i.e, a few limb bones) from
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31 440 the Csehbánya Formation (Dyke and Ósi, 2010).

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34 441 Based on the few, mainly microvertebrate, remains described here, the Ajka fauna is
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36 442 dominated by aquatic or semi-aquatic forms, truly terrestrial elements being solely
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38 443 ankylosaurian and theropod dinosaurs. This composition probably correlates with the lesser
39
40 444 degree of sediment transport into the swampy environment of the Ajka Basin during the
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42 445 deposition of coal-bearing beds as compared to that of the floodplain environment of a very
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44 446 low-gradient river in the Iharkút area (Botfalvai et al., 2015). The low amount of
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46 447 allochthonous sediment particles (i.e., sand, silt) in the fossiliferous coal-bearing beds is
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48 448 suggestive of autochthonous deposition of the specimens found by screenwashing. The taxa in
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50 449 common between the Ajka and Iharkút faunas suggest that these forms probably inhabited at
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450 least two different, yet contemporaneous freshwater environments. In the case of
1
2 451 *Iharkutosuchus makadii*, freshwater molluscs present in both the Ajka Coal and Csehbánya
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5 452 formations could constitute to a potential food resource judged from its inferred omnivorous
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7 453 diet including hard-shelled prey on the basis of tooth wear analysis (Ősi and Weishampel,
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9 454 2009).

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12 455 Of the ankylosaurian remains from the Ajka Coal Formation the highly eroded
13
14 456 preservation of the tooth may reflect an extended period of transport. The partially intact
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17 457 margins of the osteoderm (MFGI V.18762), however, are suggestive of an autochthonous
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19 458 origin. A taphonomic analysis of the very abundant ankylosaur material from Iharkút
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22 459 (Botfalvai et al., 2015) has strengthened the theory that ankylosaurs preferred wetland habitats
23
24 460 such as fluvial systems and coastal regions (Horner, 1979; Lee, 1996; McCrea et al., 2001)
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26
27 461 and the ankylosaurs from the Ajka Coal Formation are therefore consistent with this pattern.
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29 462 The abundance of teeth referred to the crocodylian cf. *Allodaposuchus* in the Ajka Coal
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32 463 Formation may suggest that these small- to medium-sized freshwater predators were common
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34 464 elements in both the swampy environment of Ajka and the floodplains of Iharkút.

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37 38 39 466 *5.2. Palaeoenvironment*

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41 467 The present distribution of the Ajka Coal Formation indicates that it was laid down at
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44 468 least in three different subbasins near Ajka, Magyarpolány-Devecser and Gyepükaján
45
46 469 (Császár and Góczán, 1988). The Ajka and Magyarpolány-Devecser subbasins went through
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49 470 similar sedimentary events, with sequences starting with freshwater, shallow-swamp strata
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51 471 deposited during the palynological zones A or B. In the macrospore flora forms related to
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54 472 Isoetaceae are common. By the time of zone C, the environment had turned into a lacustrine,
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56 473 nutrient-rich marsh in the Ajka Subbasin, while at Magyarpolány the fluvial influence became
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58 474 more dominant, and the area was filled with fluvial sediments (Siegl-Farkas, 1988). Frequent
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2 475 remains of seeds and fruits from the Magyarpolány Subbasin suggest an arboraceous
3 476 environment (Rákosi and Barbacka, 2000).

4 477 During the time of palynological zones A and B the third subbasin, Gyepükaján, was
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7 478 permanently covered by freshwater and the environment was lacustrine based on algal flora
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10 479 (Rákosi and Barbacka, 2000). It has been suggested that a sequence of Dachstein Limestone
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12 480 partly closed the Gyepükaján Subbasin towards the east-north-east of the other subbasins
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14 481 (Császár and Góczán, 1988). By the time of zone C a freshwater marsh environment became
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17 482 dominant in this area as well.

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19 483 The environment changed during the time interval of zone D, when marine influence
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22 484 became more characteristic. The coal formation became lagoonal paralic in nature, the flora
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24 485 and invertebrate fauna being unified. Based on ostracod (Monostori, 1988) and molluscan
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27 486 studies (Czabalay, 1988) the water became brachyhaline to mesohaline.

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29 487 The palynological data suggest *Normapolles*-related forests with fern-dominated
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32 488 underwood in a tropical or subtropical climate during the deposition of the Ajka Coal and
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34 489 Csehbánya Formations (Siegl-Farkas and Wagreich, 1996; Bodor and Baranyi, 2012).
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36 490 Seasonality of precipitation can be presumed, as based on the tree rings in fossil wood related
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39 491 to *Araucaria* (L. Rákosi, pers. comm., 08. 2003).

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41 492 Coal deposition in the Ajka region was characteristic during the time interval of the
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44 493 palynozones B–D. Plant mesofossils were very common in the area of the Jókai Mine, being
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46 494 suggestive of their autochthonous nature. For example, *Padragkutia haasi* is one of the most
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49 495 abundant species representing a wetland forest (Rákosi, 1991). *Podocarpoxyton ajkaense* has
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51 496 also been described from this subbasin and might have been the dominant coal-forming
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54 497 conifer in the area (Greguss, 1949).

55
56 498 Vertebrate remains from the Ajka Subbasin (lepisosteiform and pycnodontiform
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58 499 fishes, bothremydid turtles, *Pannoniasaurus*, *Theriosuchus*, *Iharkutosuchus*, *Allodaposuchus*,

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500 ankylosaurian and theropod dinosaurs) were found in chunks of the coal-bearing strata as
501 dumped on the spoil heaps of the Jókai Mine, which means that their exact stratigraphic
502 provenance cannot be determined. Vertebrate remains (lepisosteiform fishes, bothremydid
503 turtles, ankylosaurian dinosaurs) brought up by drilling are from boreholes Gy-12 and Kf-2,
504 representing the Gyepükaján Subbasin, and their exact stratigraphic position could be
505 determined. A single unidentified bone fragment (MFGI V.18765) originates from a depth of
506 473 m in borehole Gy-12, from which level also spores of *Cyathidites australis*, *Leiotriletes*
507 sp. and *Lobasporites lobatus* have been described (Rákosi and Barbacka, 2000). Thus, this
508 level corresponds to the boundary of palynozones C and D, as based on correlations with
509 palynoflora of wells Gy-9 and Kf-6. Marine influence cannot be ruled out in the bone-bearing
510 section of borehole Gy-12.

511 In the case of borehole Kf-2, the vertebrate remains (bothremydid turtles,
512 ankylosaurian dinosaurs) were recovered from a depth of 803 and 804 metres. Here,
513 *Horstisporites harrisii* and *Erlanisporites spinosus* macrospores were found together with the
514 planktonic *Schizosporites reticulatus*. In addition, *Munieria*-related green algae and
515 *Azollopsis pusilla* were found (Rákosi and Barbacka, 2000). These imply a lacustrine,
516 freshwater environment and the *Operculispermum* seeds suggest that the wetland forest was
517 relatively close to the depositional environment. *Costatheca* and *Spermatites* are also common
518 at this level, but their precise taxonomic affinity remains uncertain.

519

520 **6. Conclusions**

521 Although the fossiliferous, coal-bearing beds of the Upper Cretaceous Ajka Coal
522 Formation have been known for 150 years, the first informative vertebrate remains were
523 discovered only during the last three decades. These remains include macroscopic specimens
524 from boreholes Kf-2 and Gy-12, as well as macro- and microscopic remains collected by

525 manual breakup and/or screenwashing of chunks of matrix dumped on the spoil heaps of the
1
2 526 Jókai Mine. They represent lepisosteiform and pycnodontiform fishes, bothremydid turtles,
3
4 527 mosasaurs (*Pannoniasaurus inexpectatus*), crocodylians referred to cf. *Allodaposuchus*, cf.
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6
7 528 *Theriosuchus* and *Iharkutosuchus makadii*, and ankylosaurian and theropod dinosaurs.
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9 529 Microvertebrate remains indicate that, similar to the Iharkút fauna, semi-aquatic forms of
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11 530 crocodylians were the predominant inhabitants of the swampy lacustrine habitats of the Ajka
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13 531 Subbasin. The fauna of the Ajka Coal Formation strongly overlaps with that of the
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15 532 contemporaneous Csehbánya Formation, suggesting the occurrence of these taxa in the
16
17 533 swampy environments as well as along the floodplains of a very low-gradient river.
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738 CAPTIONS

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5 740 **Fig. 1.** Localities yielding Late Cretaceous vertebrate fossils, distribution and geology of the
6
7 741 Ajka Coal Formation; (A) location of the Ajka Coal area in western Hungary; (B)
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9 742 distributional map of the Ajka Coal Formation (light grey) with position (green triangles) of
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11 743 boreholes Káptalanfa-2 (Kf-2) and Gyepükaján-12 (Gy-12) (after Császár and Góczán, 1988),
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13
14 744 the spoil heaps of the Jókai Mine in the Ajka Subbasin (green asterisk), and the locality of
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16 745 Iharkút (green circle). The red dashed line shows the position of the section in C; (C)
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18 746 simplified geological section of the Ajka Subbasin (after Kozma, 1991).
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24 748 **Fig. 2.** Stratigraphy of well Káptalanfa-2 (after Haas et al., 1992); B–D are palynozones (after
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26 749 Siegl-Farkas, 1988). The ankylosaur skeleton marks the position of the ankylosaurian
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28 750 osteoderm (MFGI V.18762) and bothremydid turtle shell fragments (MFGI V.18763; MFGI
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30 751 V.18764) discovered in this core.
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36 753 **Fig. 3.** Age of vertebrate remains calibrated with palynological zones (after Siegl-Farkas,
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38 754 1988; Siegl-Farkas and Wagreich, 1996; Bodrogi et al., 1998).
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42 756 **Fig. 4.** Macrovertebrate remains from the Ajka Coal Formation; (A–B) *Lepisosteidae* indet.,
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44 757 vertebra (MFGI V.18761) in dorsal and posterior views, respectively; (C–D) *Bothremydidae*
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46 758 indet., shell fragment in core (MFGI V.18764) in inner and outer views, respectively; (E)
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48 759 *Bothremydidae* indet., shell fragment in core (MFGI V.18763); (F–G) *Pannoniasaurus*
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51 760 *inexpectatus* (MTM V.2000.21), dorsal vertebra in right and left lateral views, respectively;
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54 761 (H–I) *Ankylosauria* indet., osteoderm in core (MFGI V.18762) in dorsal view and line
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762 drawing of the same, respectively; (J–K) unidentified sauropsid bone (MFGI V.18765) in
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2 763 inner and outer views, respectively.
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7 765 **Fig. 5.** Microvertebrate remains from the Ajka Coal Formation discovered by manual breakup
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9 766 and screenwashing of matrix from spoil heaps of the Jókai Mine in the Ajka Subbasin; (A)
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11 767 Pycnodontiformes indet., pharyngeal tooth (MTM VER 2015.20); (B) Lepisosteidae indet.,
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13 768 tooth crown (MTM VER 2015.19); (C) Pycnodontiformes indet., tooth (MTM VER 2015.18)
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15 769 in occlusal view; (D) Pycnodontiformes indet., tooth (MTM VER 2015.22) in occlusal view;
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17 770 (E) Pycnodontiformes indet., tooth (MTM VER 2015.18) in occlusal view; (F) cf.
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19 771 *Allodaposuchus* sp., posterior tooth (MTM VER 2015.21) in ?labial view; (G) cf.
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21 772 *Allodaposuchus* sp., tooth (MTM V.2000.22) in labial view; (H) cf. *Allodaposuchus* sp., tooth
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23 773 (MTM VER 2015.26) in lingual view; (I) cf. *Theriosuchus* sp., tooth (MTM V 2000.32) in
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25 774 lingual view; (J–K) cf. *Theriosuchus* sp., tooth (MTM VER 2015.25) in occlusal and lingual
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27 775 views, respectively; (L–M) *Iharkutosuchus makadii*, incisiviform tooth (MTM VER 2015.24)
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29 776 in lingual and ?mesial views, respectively; (N) *Iharkutosuchus makadii*, strongly worn
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31 777 multicusped tooth (MTM VER 2015.24) in occusal view; (O) Ankylosauria indet., strongly
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33 778 worn and broken tooth (MTM VER 2015.28) in ?lingual view; (P–Q) Theropoda indet., tooth
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35 779 (MTM VER 2015.27) in ?lingual and distal views, respectively.
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Figure 1 color

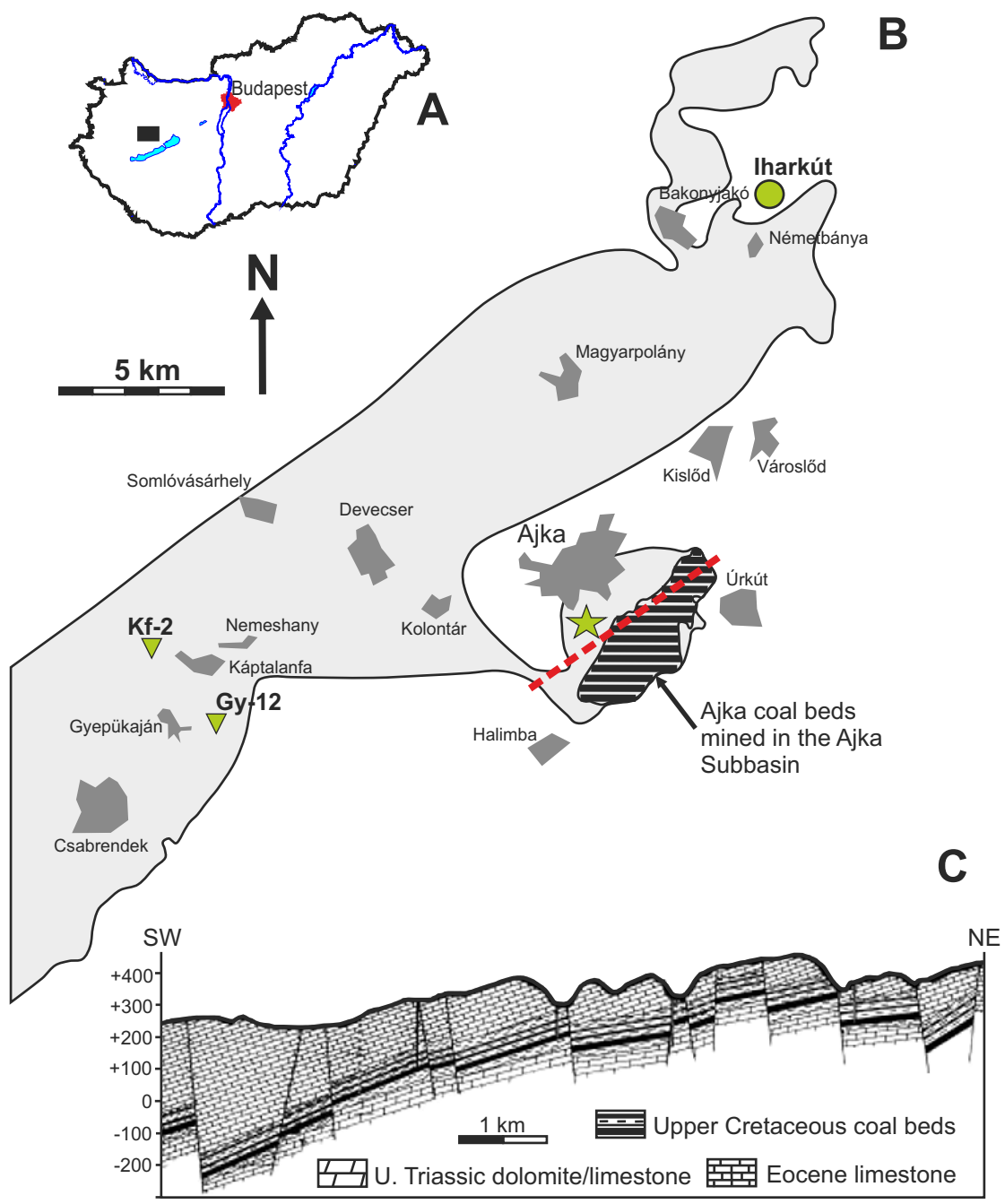


Figure 1 BW

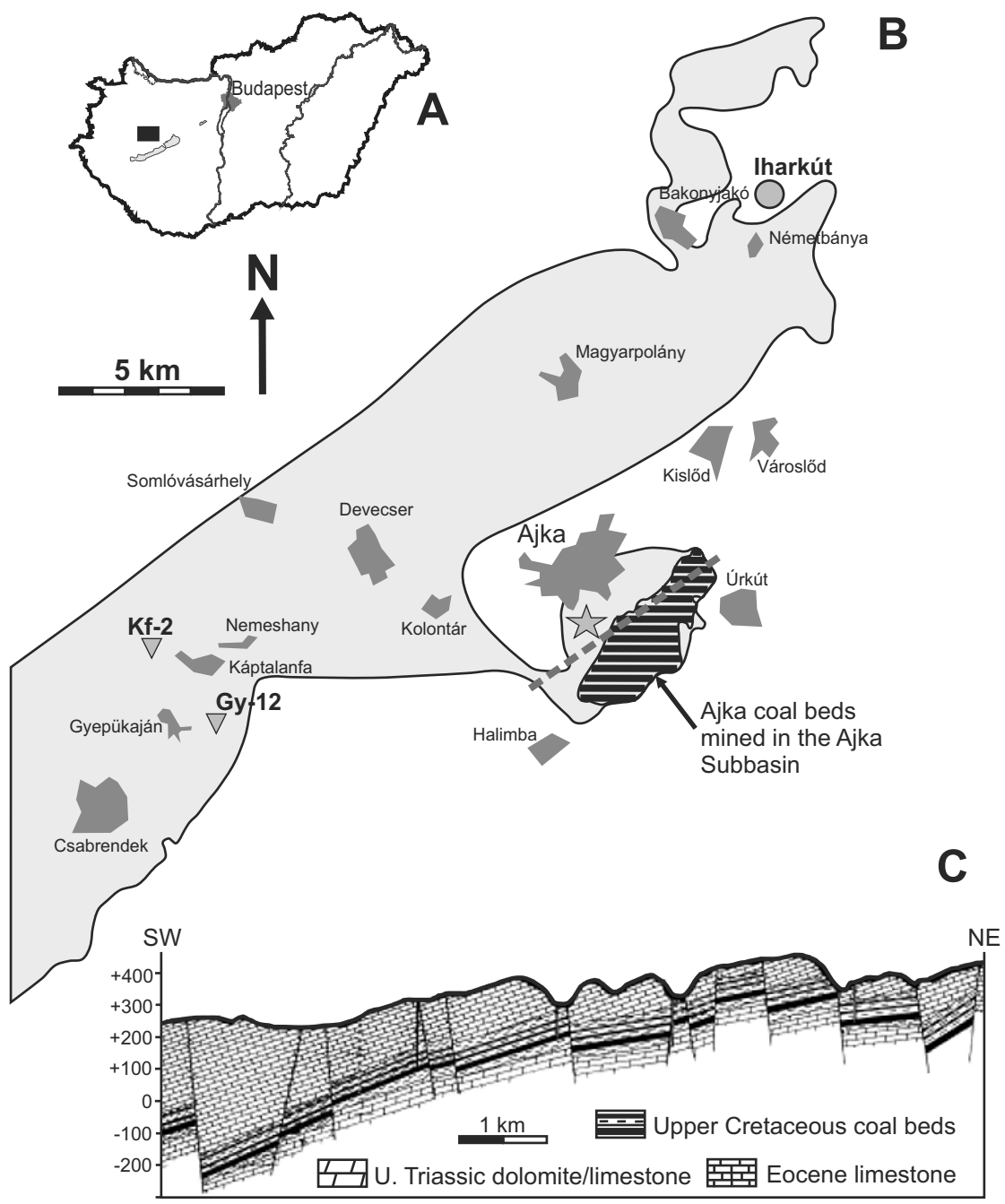


Figure 2 color

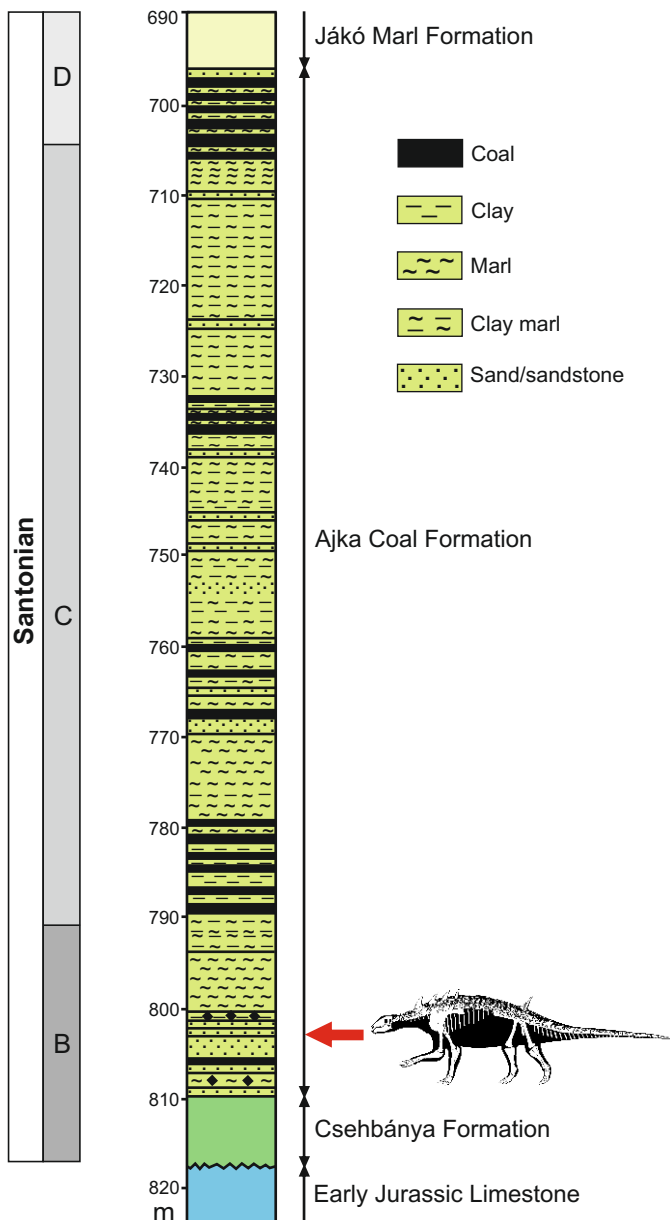


Figure 2 BW

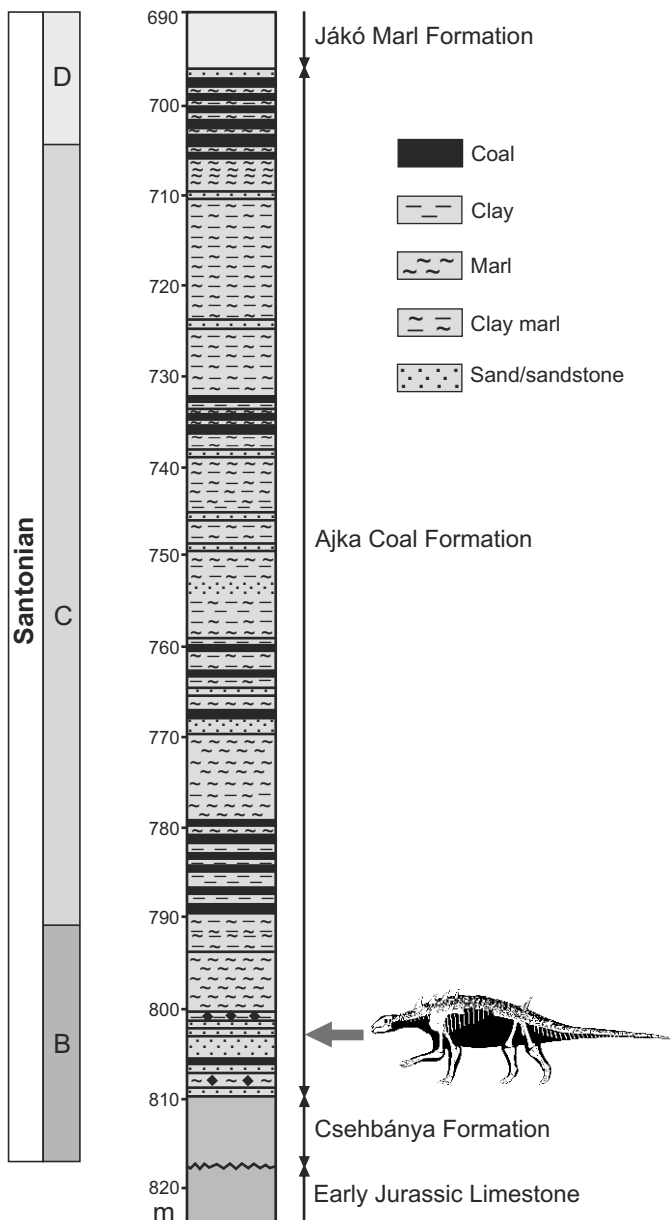


Figure 3 color


Age	Lithostratigraphy	Palynological zones		Vertebrate fossils	
Santonian	Polány Marl Formation	Hungaropollis	Hungaropollis - Krutzschipollis	 Gy-12 lharkút Kf-2	
			oculus - oculoglomeratus		
	triangularis - Oculopollis				
	Jákó Marl Formation	Oculopollis zaklinskaiae - Brecolpites globosus	Oculopollis - Hungaropollis		
			Oculopollis - Triatriopollenites		
	Oculopollis - Brecolpites				
	Ajka Coal Formation	Csehbánya Formation	Oculopollis - Trilobosporites		
			Oculopollis - Complexiopollis		

Figure 3 BW

Age	Lithostratigraphy	Palynological zones		Vertebrate fossils
Santonian	Polány Marl Formation	Hungaropollis	Hungaropollis - Krutzschipollis	<div style="display: flex; align-items: center; justify-content: center;"> <div style="display: flex; flex-direction: column; align-items: center; gap: 10px;"> <div style="display: flex; align-items: center;"> ⇐ Gy-12 </div> <div style="display: flex; align-items: center;"> ⇐ lharkút </div> <div style="display: flex; align-items: center;"> ⇐ Kf-2 </div> </div> <div style="display: flex; flex-direction: column; align-items: center; gap: 10px;"> <div style="font-size: 2em;">↑</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">Coal dump (mainly Jókai Mine)</div> <div style="font-size: 2em;">↓</div> </div> </div>
			oculus - oculoglomeratus	
	triangularis - Oculopollis			
	Oculopollis zaklinskaiae - Brecolpites globosus	Oculopollis - Hungaropollis		
		Oculopollis - Triatriopollenites		
		Oculopollis - Brecolpites		
	Ajka Coal Formation	Oculopollis - Trilobosporites		
		Oculopollis - Complexiopollis		
Csehbánya Formation				

Figure 4 color
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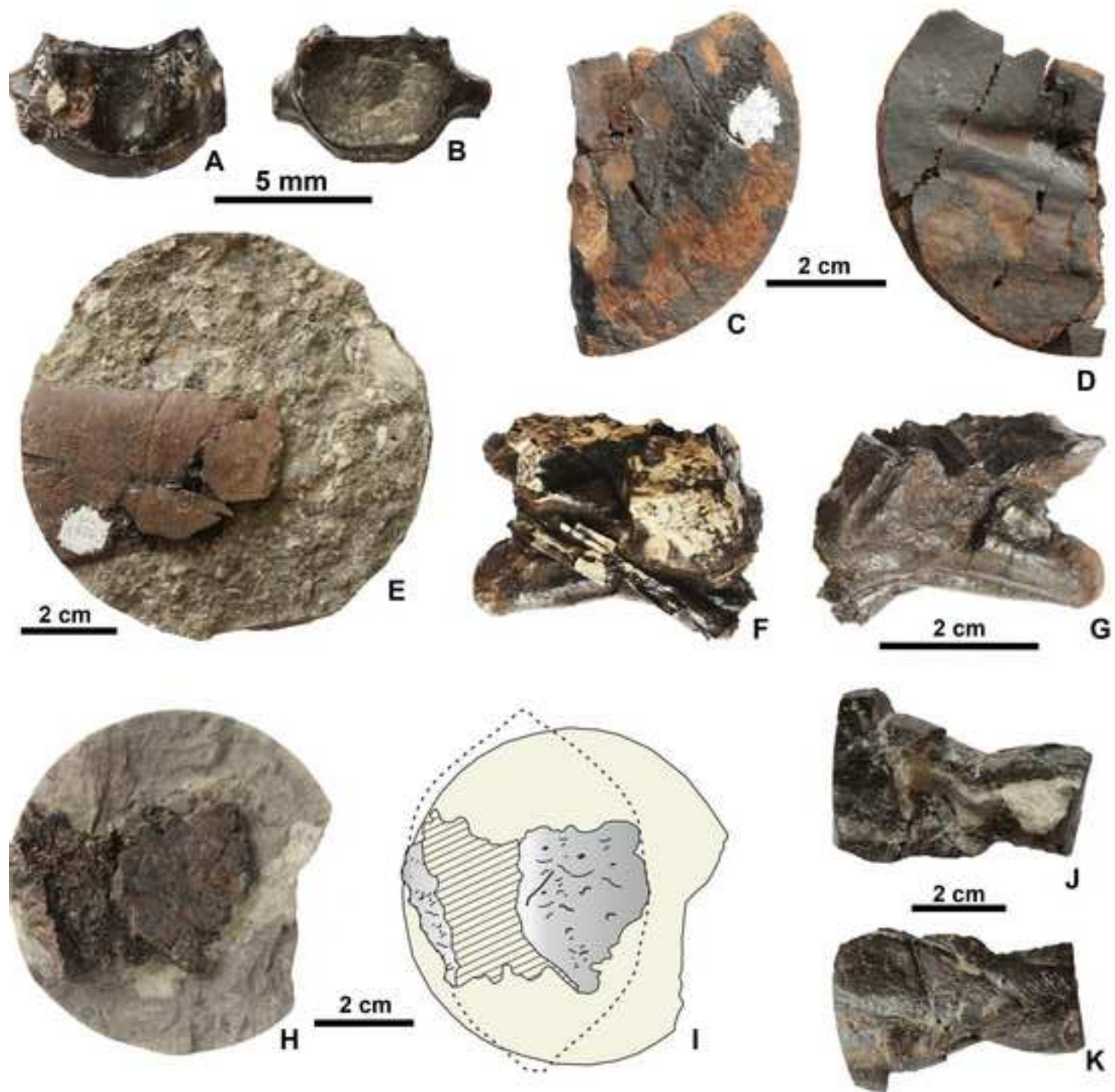


Figure 4 BW

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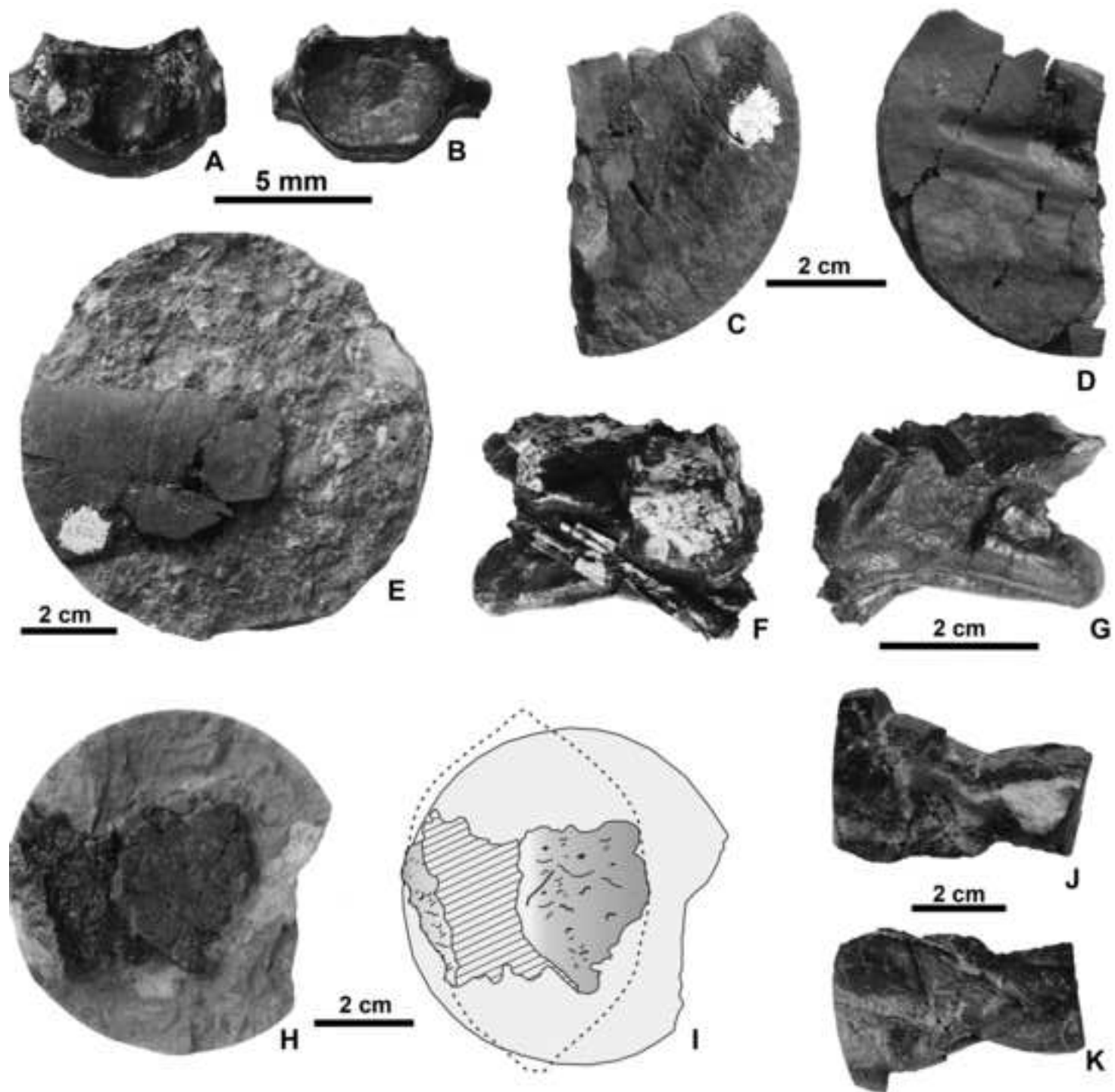


Figure 5 color

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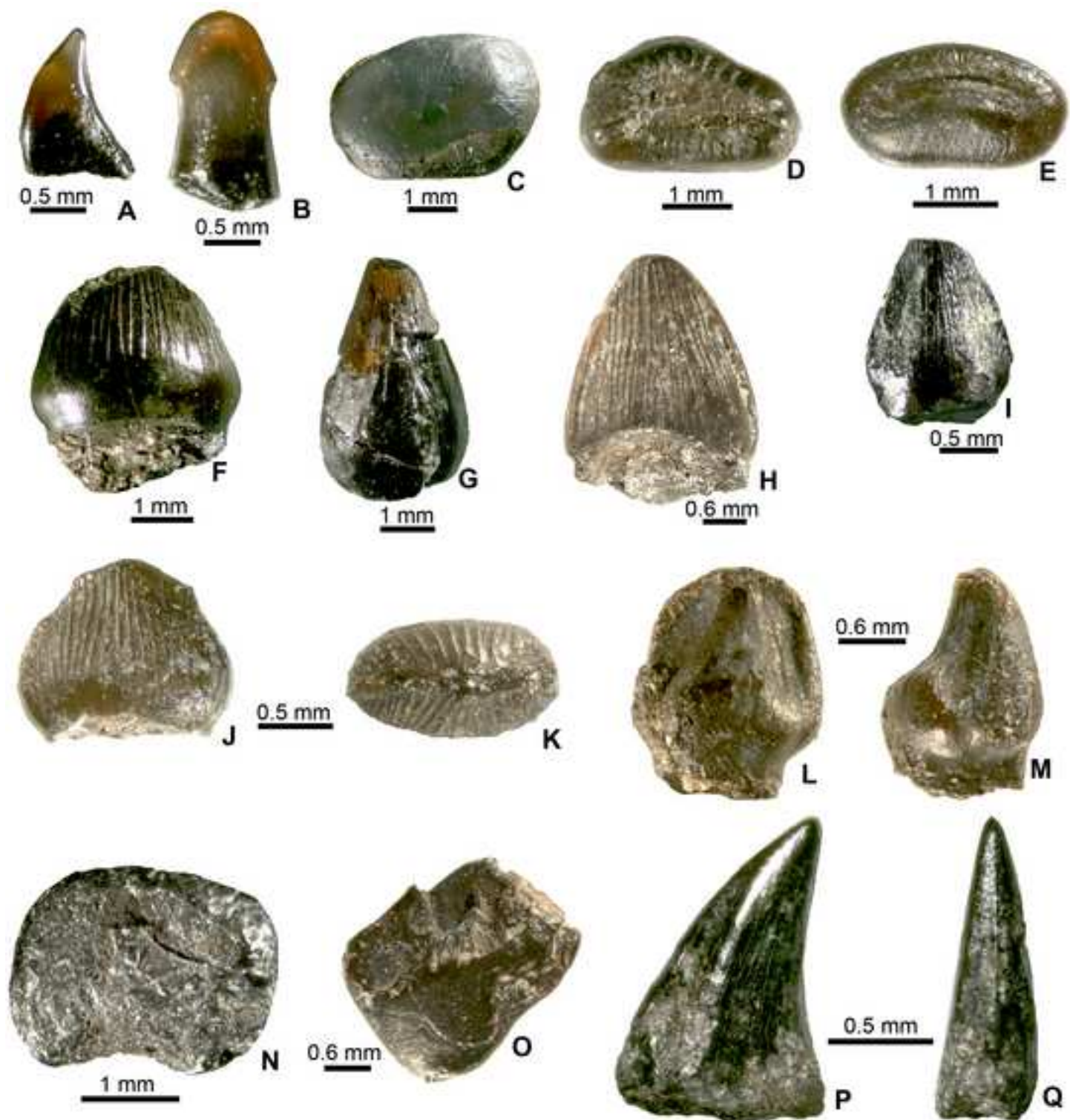


Figure 5 BW

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