

1 Title

2 Dogs rapidly develop socially competent behavior while interacting with a contingently  
3 responding self-propelled object

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24 Keywords: social behavior, *Canis familiaris*, dog-robot interaction

25

26 Abstract

27 The relative contribution of evolutionary and ontogenetic mechanisms to the emergence of  
28 communicative signals in social interactions is one of the central questions in social cognition.  
29 Most previously used methods utilise the presentation of novel signal or a novel context to  
30 test effects of predisposition and/or experience. However, all share the common problem that  
31 the familiar social partners used in the testing context as actors carry over a variety of  
32 contextual information from previous interactions with the subjects. In the present paper we  
33 utilise a novel method for separating the familiar actor from the action. We test whether dogs  
34 behave in a socially competent way toward an Unidentified Moving Object (UMO) in a  
35 communicative situation after interacting with it in a different context. We report that dogs are  
36 able to find the hidden food based on the approach behaviour of the UMO only if they  
37 obtained previous experience with it in a different context. In contrast no such prior  
38 experience is needed in the case of an unfamiliar human partner. These results suggest that  
39 dogs' social behaviour is flexible enough to generalise from previous communicative  
40 interactions with humans to a novel unfamiliar partner, and this inference may be based on the  
41 well-developed social competence in dogs. The rapid adjustment to the new context and  
42 maintenance of high performance suggest that evolutionary ritualization also facilitates the  
43 recognition of potentially communicative actions.

44

45 Introduction

46 The key question in socio-communicative interactions is how communicative signals achieve  
47 their function, i.e. how the action of the sender becomes a signal for the receiver. It is widely  
48 accepted that two fundamental mechanisms may play a fundamental role in the emergence of  
49 communicative interactions. (1) The process of evolutionary ritualization assumes (Hinde &  
50 Tinbergen, 1958) that during evolution an executive behaviour is transformed into a

51 communicative behaviour with signal properties if it has the potential to predictably modify  
52 the behaviour of the partner. During this process the behaviour pattern is subjected to changes  
53 making it repetitive, exaggerated and stereotyped. (2) Ontogenetic ritualization takes place if  
54 the individuals shape mutually their behaviour during repeated instances of social  
55 interactions; that is, regularly occurring behavioural actions gain communicative function  
56 (Hinde, 1970). In this case one individual performs behaviour X to which its partner reacts  
57 consistently with behaviour Y. As a consequence of many dyadic interactions the first  
58 individual comes to anticipate the other's action. Importantly, action X is not a  
59 communicative signal at the start of the process but develops into one as a result of mutual  
60 interaction and learning (Tomasello, 1996).

61 Several studies focused on the relative contribution of evolutionary vs. ontogenetic  
62 mechanisms controlling certain communicative signals and their species- or context-specific  
63 aspects. For example, Halina and colleagues (Halina, Rossano, & Tomasello, 2013) examined  
64 gestural communication of captive bonobos (*Pan paniscus*). Based on the flexibility and  
65 variability of these signals they suggested that ontogenetic ritualization is the primary  
66 underlying mechanism for the emergence of diverse signalling behaviour. In contrast,  
67 Hobaiter and Byrne (2011) argued that ape gestures are rather innate and are acquired through  
68 evolutionary ritualization even if they are often used intentionally and flexibly.

69 A similar argument emerged in relation to the comprehension of human pointing gestures in  
70 dogs (*Canis familiaris*) (for reviews see Miklósi & Soproni, 2006; Udell, Dorey, & Wynne,  
71 2009). One assumption is that dogs must learn to use human communicative signals during  
72 the early ontogeny (ontogenetic ritualization), thus this ability emerges as a consequence of  
73 habitual interaction between dog and owner (Udell & Wynne, 2010; Bentosela, Barrera,  
74 Jakovcevi, Elgier, & Mustaca, 2008). The alternative but non- exclusive explanation is that  
75 during the process of domestication dogs have been selected by humans to be sensitive to

76 specific human behavioural cues (e.g. pointing: Riedel, Buttelmann, Call, & Tomasello, 2006;  
77 gazing: Soproni, Miklósi, Topál, & Csányi, 2001; human voice: Rossano, Nietschner, &  
78 Tomasello, 2014). The superior performance with the human pointing gesture in young dog  
79 puppies over socialised wolf puppies provides support for this latter argument (e.g. Gácsi et  
80 al., 2009).

81 Recent discussion converged to the idea that both mechanisms might actually play a role in  
82 the emergence of such interspecific signalling (Miklósi & Topál, 2013; Udell, Ewald, Dorey,  
83 & Wynne, 2014), however, it is still an open question how the relative contribution of  
84 evolutionary and ontogenetic ritualization could be determined. Methodologically three  
85 different approaches were used so far: (1) Deprivation of social experience (e.g. shelter dogs:  
86 Udell, Dorey, & Wynne, 2010; Hare et al., 2010); (2) Demonstration of the effect of learning  
87 on the performance in a communicative interaction between dogs and humans (Udell, Dorey,  
88 & Wynne, 2008; Elgier, Jakovcevic, Mustaca, & Bentosela, 2009); (3) Testing the  
89 effectiveness of (relatively) novel communicative human signals in typical dog populations  
90 (Lakatos, Soproni, Dóka, & Miklósi, 2009).

91 Tomasello and colleagues (Tomasello, Call, & Gluckman, 1997) proposed that observing  
92 infants' and apes' reaction to novel signals would be a feasible method to examine their  
93 understanding of communicative signals. They also argued that any genetic predisposition  
94 would lead to lesser need for learning (or experience) or rapid learning. The method of tri-  
95 angulation (e.g. Heyes, 1997) offers a useful way for such investigations: (1) First, the naïve  
96 individual is exposed to specific experience (or has to learn to discriminate) in Context 1 then  
97 (2) the individual is exposed to a novel context (Context 2) which overlaps only in specific  
98 ways with Context 1 by sharing only a small set of specific features. But this method is not  
99 really informative when investigating communication skills because the social partner carries  
100 over a considerable part of the contextual information from Context 1 to Context 2. For

101 example, dogs experience human pointing gestures in everyday life (Context 1), and this  
102 experience with humans, including possible genetic predisposition, does not allow to set up an  
103 experiment (Context 2) which overlaps only specifically with Context 1 because the human is  
104 present in both contexts. Thus it is difficult to judge the relative role of  
105 evolutionary/developmental processes. The introduction of unfamiliar communicative partner  
106 might be a solution to this problem because it has the potential to reveal subjects' ability to  
107 recognise the communicative aspects of the partner's behaviour.

108 In the present paper we propose a new method which is based on the idea of introducing an  
109 unfamiliar moving object (UMO) to the experimental setting. Accordingly, (1) the subject is  
110 exposed to a particular type of social interaction in Context 1 and to a different kind in  
111 Context 2; (2) in order to reduce the potential effects of previous experience, the social agent  
112 (UMO) shares no physical attributes with either the subject (dog) or other potential social  
113 partner (human); (3) social interactions share specific features with the natural social  
114 interactions among conspecifics and/or heterospecific familiar social agents (A).

115 The underlying assumptions are that (1) the subject has earlier experience with A and knows  
116 that A is able to perform actions X and Y, (2) it recognises that the UMO is performing action  
117 X in Context 1, (3) and it infers that UMO can also perform action Y in Context 2.

118 In the present study dogs were presented with two different partners (Human and UMO) in  
119 four different conditions in a between subjects design. The Interactive UMO and the Non-  
120 interactive UMO was a remote controlled car. In the Interactive Human and Non-interactive  
121 Human conditions the partner was an unfamiliar female human (see Gergely, Petró, Topál, &  
122 Miklósi, 2013). During the familiarization phase dogs in the Interactive UMO and Human  
123 conditions were presented with a problem situation (Context 1) in which the UMO or a human  
124 helped the dog to get an unreachable food reward (see Miklósi, Polgárdi, Topál, & Csányi,  
125 2000; Gergely et al., 2013). In contrast, no such interaction took place in the Non-interactive

126 conditions. Then in the test phase (Context 2) all dogs had the opportunity to find the hidden  
127 food based on the indicating ('signalling') behaviour (directional movement toward one of the  
128 two potential hiding places) of the UMO or the human partner. The differences in the  
129 familiarization phase tested for the effect of previous social experience with the Human or  
130 UMO partner on dogs' choice behaviour when observing the partner's indicating behaviour  
131 (Context 2).

132

## 133 METHODS

### 134 *Ethical Note*

135 Our experiment is based on non-invasive procedures for assessing dogs' behaviour. Non-  
136 invasive studies on dogs are currently allowed to be done without any special permission in  
137 Hungary by the University Institutional Animal Care and Use Committee (UIACUC, Eötvös  
138 Loránd University, Hungary). The currently operating Hungarian law "1998. évi XXVIII.  
139 Törvény" - the Animal Protection Act – defines experiments on animals in the 9th point of its  
140 3rd paragraph (3. 1/9.). According to the corresponding definition by law, our non-invasive  
141 observational study is not considered as an animal experiment. The owners responding to our  
142 advertisement at the department's homepage (<http://kutyaetologia.elte.hu>) volunteered to  
143 participate.

144

### 145 *Subjects*

146 Eighty two adult pet dogs (36 females, 46 males, mean age (year)  $\pm$  SD: 4.1 $\pm$ 2.4, from 23  
147 different breeds and 25 mongrels) were recruited from the Family Dog database of the  
148 Department of Ethology, Eötvös Loránd University. Dogs were randomly divided into four  
149 conditions (groups): Non-interactive Human, Non-interactive, Interactive Human and  
150 Interactive UMO. We only tested dogs who could be motivated by food. Fourteen dogs lost

151 interests (i.e. they did not make their choice in 60 seconds in the test trial). We also excluded  
152 eight dogs because they showed strong side bias (they always approached the same pot either  
153 on the left or on right in all 16 trials: two dogs in the Non-interactive Human condition; two  
154 dogs in the Non-interactive UMO condition; three dogs in the Interactive Human condition;  
155 one dog in the Interactive UMO condition). However, including these dogs into the analyses  
156 do not change our conclusions (for the analysis see Appendix). After exclusions we had 60  
157 dogs in the four conditions: 15 in the Non-interactive Human (six males, nine females, mean  
158 age $\pm$ SD 4.70 $\pm$ 2.48), 15 in the Non-interactive UMO (seven males, eight females, mean  
159 age $\pm$ SD 3.57 $\pm$ 1.69), 15 in the Interactive Human (10 males, five females, mean age $\pm$ SD  
160 4.20 $\pm$ 2.46) and 15 in the Interactive UMO condition (six males, nine females, mean age $\pm$ SD  
161 17 $\pm$ 2.05). Dogs' age did not differ significantly between conditions (ANOVA,  $F_{3,56}=1.42$ ,  $P$   
162 =0.25). Each subject participated only in one condition.

163

#### 164 *Apparatus*

165 Dogs were tested at the Department of Ethology, Eötvös Loránd University in a 4.5 m x 3.5 m  
166 test room. Each trial was recorded by four cameras from different angles.

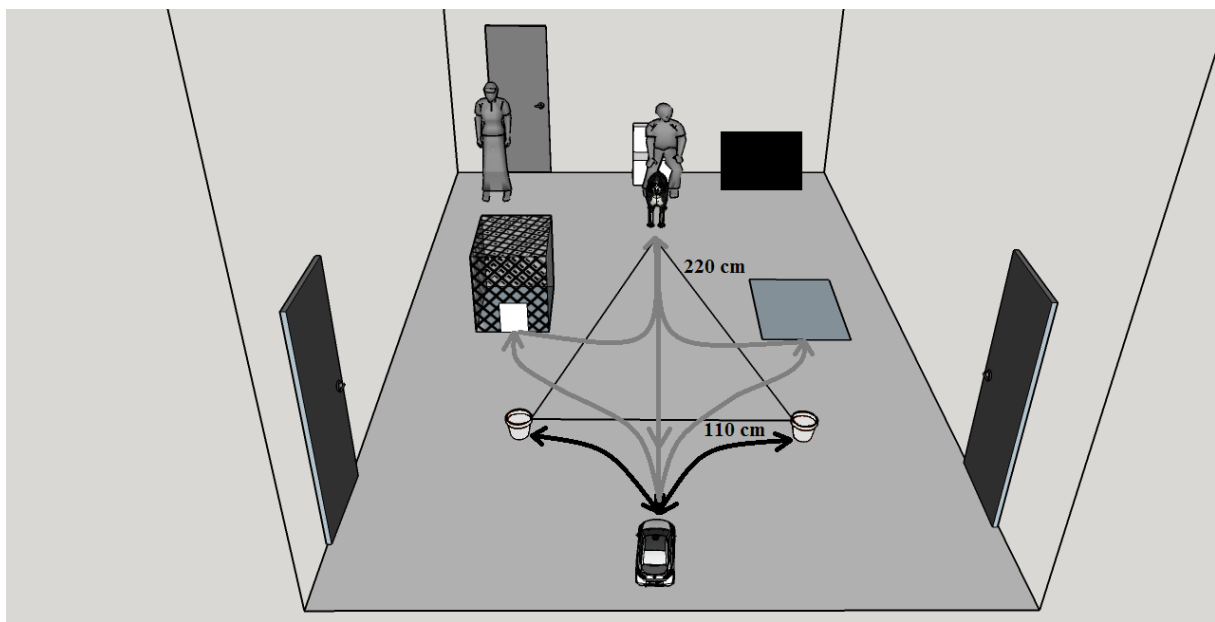
167 During the familiarisation phase in the Interactive Human and Interactive UMO conditions we  
168 used a metal wire mesh box (61 cm x 46 cm x 54 cm) with a magnet fixed inside. In these  
169 conditions we also used a plastic plate (10 cm x 10 cm) with two metal sheets on its sides. A  
170 piece of food (dry dog food) was placed on the plate during the familiarisation phase in the  
171 Interactive conditions, and the plate was placed into the wire mesh box so that the dog could  
172 get the food only with the partners' help. We covered the dogs' eyes with an occluder (102  
173 cm x 76 cm) between test trials.

174

#### 175 *Test Partners*

176 In the Non-interactive UMO and Interactive UMO conditions we used a remote control (RC)  
177 car (#32710 RTR SWITCH, 28 cm x 16 cm x 13 cm) which was equipped with a magnet on  
178 its front and a small loudspeaker under the cover. As an attention-getting cue we used a high  
179 pitched beeping sound (3200 Hz) emitted from the loudspeaker. In the Non-interactive  
180 Human and Interactive Human conditions an unfamiliar woman played the role of the partner.  
181 In the Non-interactive Human condition she wore sunglasses and did not use any verbal or  
182 non-verbal cues during the test. She used the small loudspeaker in order to emit the same  
183 beeping sound (salient attention getter) as the UMO. In the Interactive Human condition the  
184 human partner used verbal as well as non-verbal cues. She said „Hi (dog’s name), look!” to  
185 attract the dogs’ attention. Test partners’ starting point was at a predetermined location (see  
186 Fig. 1).

187



188

189 **Figure 1.** Experimental layout for the Familiarisation phase (Interactive conditions) and Test  
190 phase (all conditions). The UMO’s location represents the partners’ (UMO or Human) starting  
191 point. Familiarisation phase: The grey rectangle indicates the altered position of the box and  
192 grey lines show the paths of the partners (UMO or Human) to the box (location of the food),  
193 to the dog and back to the start point during Familiarisation trials. Test phase: The triangle



194 indicates the distances between the dog and the two pots. Black lines show the paths of the  
195 partner to the pots (location of the food) and back to the start point. The black rectangle at the  
196 back wall represents the position of the occluder (used for covering the dog's eyes) during test  
197 trials.

198

## 199 *Procedure*

### 200 *Pre-training phase*

201 In the pre-training the dogs were made aware that the pot may contain food. The owner and  
202 the dog entered the test room and the dog was allowed to explore the room, meanwhile the  
203 experimenters provided information for the owner about the test. After this the owner sat in  
204 the chair and held the dog in front of him/herself (Fig. 1). Experimenter 1 (E1) came in with a  
205 pot and put it down. She attracted dog's attention with a piece of food in her hand (she said:  
206 „Hi (dog's name), look!“). She put one piece of food into the pot and the owner was told to  
207 release the dog. If the dog ate the food, the owner called the dog back. We have repeated this  
208 procedure for four times then E1 left the room with the pot. The pre-training was exactly the  
209 same in every condition.

210

### 211 *Familiarisation phase*

212 Non-interactive Human: The unfamiliar woman entered the room and walked around for 2  
213 minutes and 30 seconds while the owner made the dog to stand facing her. Then the partner  
214 stopped at the starting point (Fig. 1).

215 Non-interactive UMO: E2 brought the UMO to the room, placed it at the starting point and  
216 then she stood in the corner on the right side of the dog (Fig. 1). Then the UMO started to  
217 move around the room for 2 minutes and 30 seconds. During this the owner held the dog in  
218 front of him/herself. Then the partner stopped at the starting point.

219 Interactive Human: E1 brought the box to the room and placed it halfway between the dog  
220 and the partner on the left (L) or right (R) side of the room. During this the human partner  
221 entered and took up her initial standing position at the predetermined point. Next E1 left the  
222 room and then re-entered with a piece of food and a plastic plate in her hand. She attracted the  
223 dog's attention („Hi (dog's name), look!”) and put the food on the plate. She attached the  
224 plate to the magnet inside the box. After E1 left the room the dog was allowed to explore the  
225 room and search for the food for 15 s. When the time elapsed, the owner called the dog back.  
226 Then the partner addressed the dog („Hi (dog's name), look!”) and brought out the plate with  
227 the food from the box to the dog. The dog ate the piece of food and the partner returned to her  
228 starting position. Then E1 entered the room and placed the box to the other side of the room  
229 (Fig. 1). The procedure was repeated as described above except that from the second trial  
230 during the 15 s exploration phase, at the moment when the dog looked at the partner, the  
231 partner started to move and brought the plate out. If, however, the dog did not look at the  
232 partner during the 15 s, the owner called the dog back. The trial was repeated six times in  
233 LRLRLR order (L=the box was placed to the left; R=the box was placed to the right).

234 Interactive UMO: The familiarisation was the same as in the Interactive Human condition,  
235 except that the human partner was replaced by the UMO and the Interactive UMO attracted  
236 the dog's attention by emitting a beep-beep sound, and the UMO brought out the plate with  
237 the help of the magnet attached to its front.

238

### 239 *Test phase*

240 The partner was standing at the starting point, facing the dog. E1 entered the room with two  
241 identical pots and placed them on each side of the partner (see Fig. 1) and attracted the dog's  
242 attention with a piece of food in her hand („Hi (dog's name), look!”). Then the dog's eyes  
243 were covered by an occluder, E1 put one piece of food into one of the pots and left the room.

244 The occluder was removed and the partner called the dog's attention (according to the  
245 condition) from the start point and approached the baited pot, touched it with her leg (in Non-  
246 interactive and Interactive Human conditions) or its front (in Non-interactive and Interactive  
247 UMO conditions) and returned to its/her starting position. The owner released the dog, and it  
248 was allowed to select one of the pots. If the dog chose the baited container, it could eat the  
249 food, but if it approached the non-baited one, the owner showed the piece of food in the baited  
250 one, but the dog was not allowed to eat it. Dogs were presented with sixteen test trials during  
251 which the baiting followed RLRLRLRLRLRLRLRL order.

### 252 *Behavioural Variables and Data Analysis*

253 All trials were videotaped and the dogs' behaviour during the familiarisation (in the  
254 Interactive UMO and Interactive Human conditions) and the test phase (all four conditions)  
255 was analysed with Solomon Coder 090913 (András Péter, <http://solomoncoder.com>).

256 For the trials we obtained the following response variables. Looking at the partner (binary  
257 variable) during the familiarisation phase (Interactive UMO and Interactive Human  
258 conditions): we scored each familiarisation trial as 1 if the dog looked at the partner (UMO or  
259 human) (i.e. when the subject's head was oriented toward the partner) within the 15 s or as 0  
260 if the dog did not look at the partner (UMO or human) within the 15 s. Choice (binary  
261 variable): we scored each test trial as 1 (if the dog approached the baited pot within 10 cm) or  
262 0 (if the dog approached the non-baited pot within 10 cm). Looking at the approaching partner  
263 (%) during test trials: relative duration of time spent with the head oriented towards the  
264 partner during the indication (from the emission of the attention sound until the partner  
265 returned to its/her starting position).

266 Inter-observer agreements (between two coders) for 'Looking at the partner' and 'Choice'  
267 were assessed by means of parallel coding of a randomly selected 25% of the subjects  
268 (Cohen's Kappa values: 0.94 for Looking at the partner and 0.99 for dogs' Choice).

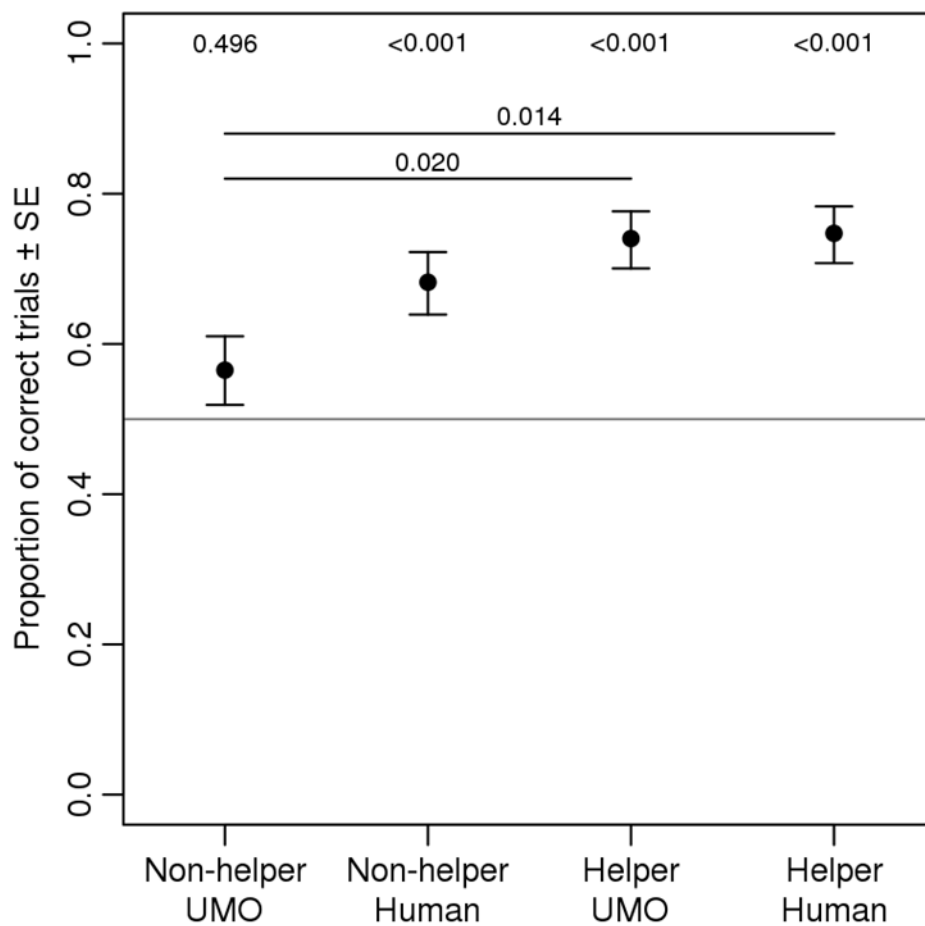
269 To control for the non-independence of our data (a dog participated in several trials) we  
270 applied random intercept generalized linear mixed-effect models (GLMMs) using the lme4  
271 package (version 1.1.7) in the R statistical environment (version 3.1.2, R Development Core  
272 Team 2014). In all models Dog ID (dog's name) was included as a random grouping factor.  
273 Looking at the partner during familiarisation (binary) and Choice (binary) were analysed by  
274 GLMMs with binomial error distribution, whereas Looking at the approaching partner (%)  
275 was analysed by GLMM with Gaussian error distribution after arcsine square-root  
276 transformation of the response. The significance of explanatory variables was investigated  
277 using likelihood ratio tests (LRTs). In case of Looking at the approaching partner (%)  
278 (Gaussian error distribution) we used Maximum Likelihood fitting for the LRTs. Post-hoc  
279 analyses were conducted using the lsmeans package (version 2.12) in R applying Tukey  
280 method to adjust p-values for multiple comparisons. The binary models were not  
281 overdispersed and assumptions of models were checked graphically. For extracting  
282 predictions from models for the figures only fixed effects were taken into account and in case  
283 of arcsine square-root transformation, predictions were back transformed to the original scale.  
284 First we tested whether condition influence Choice during the test trials in a model including  
285 condition (factor with 4 levels) and trial (factor with 16 levels) as fixed explanatory variables.  
286 The non-significant interaction term (LRT,  $\chi^2_{45} = 48.74$ ,  $P = 0.325$ ) was removed from the  
287 model. Second, we examined within task learning in dogs in a model including condition and  
288 trial phase (factor with four levels) as fixed explanatory variables. For trial phase the 16 test  
289 trials were divided into four phases (for details see Results). The interaction term was not  
290 significant ( $\chi^2_9 = 4.26$ ,  $P = 0.893$ ) and was removed from the model. Third, we tested whether  
291 Looking at the partner during familiarisation differ in the two Interactive conditions in a  
292 model including condition (factor with two levels) and familiarisation trial (factor with six  
293 levels) in the model. The non-significant interaction term ( $\chi^2_5 = 3.39$ ,  $P = 0.639$ ) was removed

294 from the model. Fourth, Looking at the approaching partner (%) variable was analysed to  
295 investigate whether looking time differs between the conditions in a model including only the  
296 condition with four levels.

297

## 298 RESULTS

299 First we investigated the effect of condition and repeated test trials on dogs' performance and  
300 it was also compared to chance level (0.5) in each condition. Our results showed that dogs'  
301 performance during the test trials was influenced by both condition and trials (binomial  
302 generalized linear mixed-effect model (GLMM); Likelihood Ratio Tests (LRTs), condition:  
303  $\chi^2_3 = 11.02, P = 0.012$ ; trials:  $\chi^2_{15} = 76.24, P < 0.001$ ). During the test phase dogs' chose the  
304 approached/baited (correct) container above the chance level in all except the Non-interactive  
305 UMO condition. At the same time dogs' performance was significantly higher in the two  
306 Interactive conditions than in the Non-interactive UMO condition while we found no  
307 significant difference between the Non-interactive Human condition compared to the  
308 Interactive Human, Interactive UMO and Non-interactive UMO conditions (see Fig. 2).



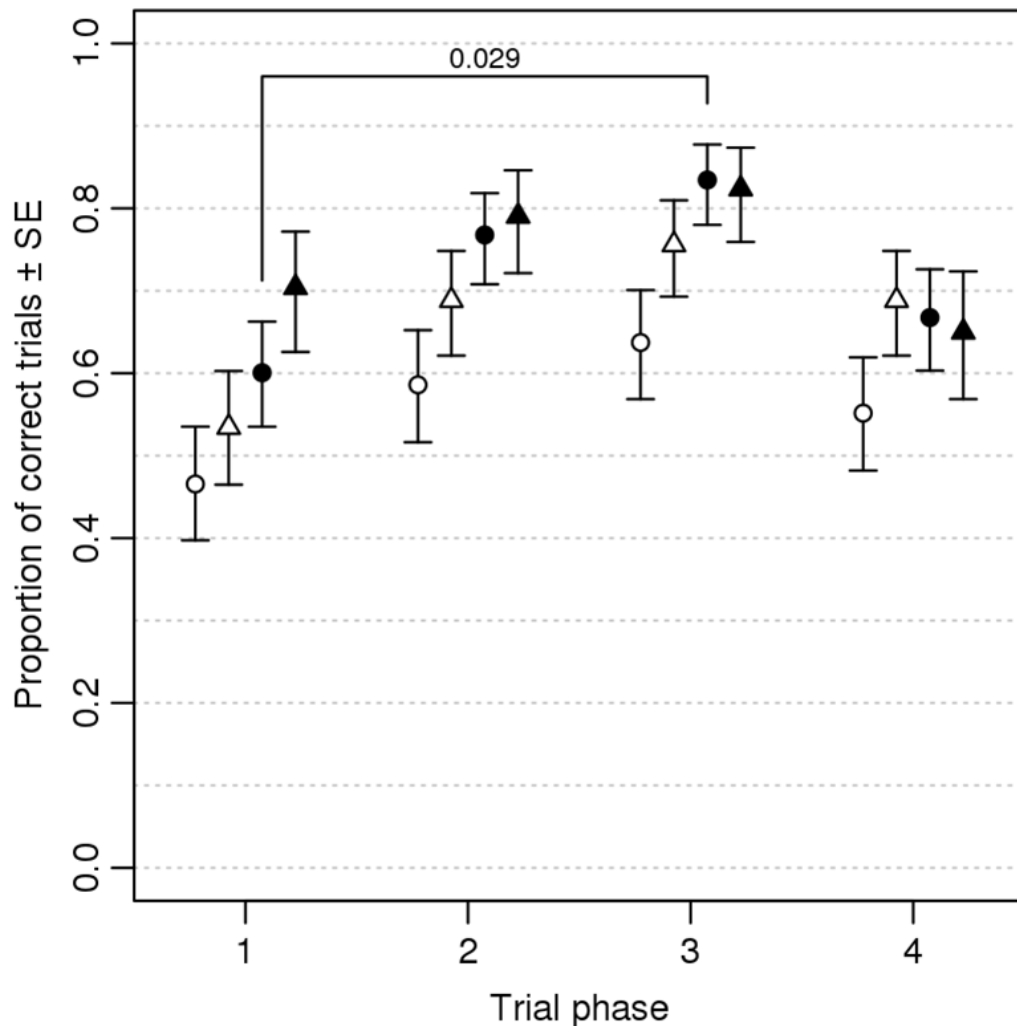
309

310 **Figure 2.** Proportion of trials where the dogs chose correctly during the tests in the four  
 311 conditions. Estimated means  $\pm$  SE from a binomial GLMM including condition and trial as  
 312 fixed effects are given. Values above each error bar give the p-value of the comparison to the  
 313 0.5 chance level (horizontal grey line). Horizontal black lines show significant pairwise  
 314 comparisons with the corresponding p-value above the line.

315

316 Then the 16 test trials were divided into 4 phases in order to examine within task learning in  
 317 dogs (1<sup>st</sup> phase: 1-4 trials, 2<sup>nd</sup> phase: 5-8 trials, 3<sup>rd</sup> phase 9-12 trials, 4<sup>th</sup> phase 13-16 trials;  
 318 every phase included two left and two right trials.). Analysis of trial phases showed that dogs'  
 319 performance was influenced by both condition and trial phase (binomial GLMM; LRTs,  
 320 condition:  $\chi^2_3 = 11.04$ ,  $P = 0.012$ ; trial phase:  $\chi^2_3 = 21.82$ ,  $P < 0.001$ ). However, per condition  
 321 analyses revealed that the effect of trial phase was only significant in the Interactive UMO

322 condition ( $\chi^2_3 = 9.74, P = 0.021$ ) and pairwise comparisons revealed that the first trial phase  
323 was different from the third (Fig. 3). Furthermore, the performance of the dogs was not  
324 different between conditions in the first trial phase ( $\chi^2_3 = 5.32, P = 0.150$ ).



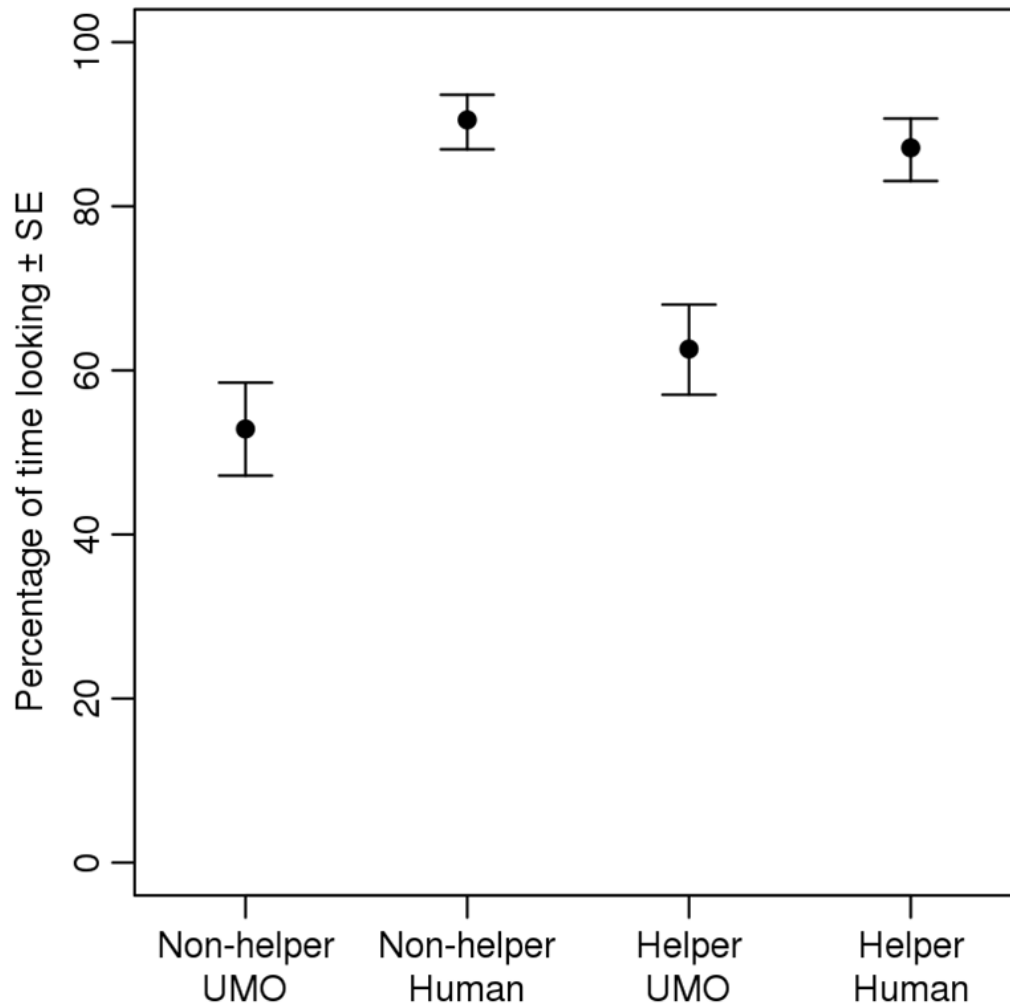
325  
326 **Figure 3.** Proportion of trials where the dogs chose correctly during the four trial phases in  
327 the four conditions. Estimated means  $\pm$  SE from separate binomial GLMMs for each  
328 conditions including trial phase as fixed effect are given. The black line shows the only  
329 significant pairwise comparison and the corresponding p-value.

330  
331 Next we examined whether repeated encounters with the UMO has an effect on dogs' looking  
332 behaviour (i.e. look at the UMO/Human partner) during familiarisation trials in the Interactive  
333 conditions. We found that dogs' looking behaviour during the familiarisation trials was not

334 different between the Interactive UMO and Interactive Human conditions (binomial GLMM;  
335 LRT,  $\chi^2_1 = 1.27$ ,  $P = 0.260$ ), however, dogs' looking behaviour differed between the  
336 familiarisation trials (LRT,  $\chi^2_5 = 22.64$ ,  $P < 0.001$ ). Pairwise comparisons showed that the  
337 first trial was different from all other trials (all pairwise  $P < 0.05$ ), but the other trials were not  
338 different from each other (all pairwise  $P \geq 0.736$ ).

339 Finally, we investigated dogs' looking behaviour (i.e. look at the UMO/Human partner)  
340 during the approaching action of the partner during the test trials in order to exclude the  
341 possibility that low performance of the dogs in the Non-interactive UMO condition was  
342 caused by the fact that they did not watch the partner's action. We found that condition had a  
343 highly significant effect on the percentage of time the dog spent on looking at the approaching  
344 partner (Gaussian GLMM, LRT,  $\chi^2_3 = 34.61$ ,  $P < 0.001$ ), however, there was no difference  
345 between the Interactive and Non-interactive UMO (pairwise  $P = 0.611$ ), and between Non-  
346 interactive and Interactive Human conditions (pairwise  $P = 0.907$ , Fig. 4).





347

348 **Figure 4.** Percentage of time the dog was looking at the partner during the test trials.

349 Estimated means  $\pm$  SE from a Gaussian GLMM including condition as fixed effect are given.

350

351

## 352 DISCUSSION

353 The present study demonstrates that dogs are able to use directional movement (approach) of

354 a non-living interactive partner (UMO) as effectively as a similar human signal to locate the

355 hidden food. The finding that dogs performed at chance with the Non-interactive UMO

356 supports the notion that previous social interaction with the UMO is indispensable when

357 interpreting a partner's movement as cues for food location. It seems that the 'turn-taking'

358 behaviour of the UMO during familiarisation could promote learning about the informing

359 aspects of the agent's directional behaviour. At the same time dogs utilised human directional  
360 behaviour efficiently from the beginning and irrespectively of prior experience in the  
361 familiarisation phase.

362 Dogs in the present study had no previous experience with the UMO. They perceived its skills  
363 for the first time in the familiarisation phase (Context 1) when the UMO obtained the food for  
364 the dogs that they could not get from the box. We assume that based on this short social  
365 interaction dogs had formed some expectations about the behaviour of the UMO which  
366 facilitated the recognition of the goal-directedness of its directional action in the novel  
367 situation (Context 2).

368 The changes in dogs' performance during the test phase also provide interesting insights. In  
369 line with our predictions we found no evidence of within task learning in case of the  
370 Interactive Human and Non-interactive Human partner, which suggests that the 'quality' of  
371 previous social experience with the unfamiliar human (in the familiarisation phase) has no  
372 effect on the way dogs interpret her directional signal. Similarly, there was no learning during  
373 repeated trials in the Non-interactive UMO condition. In contrast, rapid learning occurred in  
374 the Interactive UMO condition. This rapid learning about a novel action of the Interactive  
375 UMO suggests that dogs may have generalized from past experience with humans but a  
376 facilitating effect of some genetic predisposition cannot be excluded.

377 Thus dogs may recognise that the partner is attempting to communicate with them via some  
378 signal (Tomasello et al., 1997). Dogs may have endowed the Interactive UMO with some  
379 agency cues following the familiarisation phase, and consequently they tended to relate to the  
380 UMO socially in the novel testing context. This is also in agreement with findings that dogs  
381 failed to use a physical marker by itself as a simple spatial index but consider it as a  
382 communicatively significant cue if they can associate the placing of a marker with a human  
383 (Riedel et al., 2006; Agnetta, Hare, & Tomasello, 2000). Apparently, dogs consider the action

384 of the Non-interactive UMO merely as a physical marker, and in the absence of specific  
385 experience they did not associate its movements and the place of food during 16 trials.

386 It should be noted that our findings are open to post hoc interpretations of associative nature  
387 (Byrne & Bates, 2007), however a close investigation shows that this interpretation may be  
388 actually more complex. Taking on face value one may argue that dogs associate the actions of  
389 the Interactive UMO with getting food reward. However, dogs in the familiarisation phase  
390 (Context 1) observe the following sequence of events ( $E_{fam}$ ): UMO produces attention getting  
391 sound ( $E_{fam1}$ ); it approaches the food plate in the cage (food visible) ( $E_{fam2}$ ); UMO  
392 approaches the dog ( $E_{fam3}$ ). In contrast, in the test phase (Context 2), the dogs observe the  
393 following sequence of events ( $E_{test}$ ): UMO produces attention-getting sound ( $E_{test1}$ ); it  
394 approaches the bowl ( $E_{test2}$ ); UMO leaves the bowl ( $E_{test3}$ ). Apart from many physical  
395 differences between Context 1 and 2 (location of food, food bowls etc), only  $E_{fam1}$  and  $E_{test1}$   
396 are the same the following events are different ( $E_{fam2} \neq E_{test2}$  and  $E_{fam3} \neq E_{test3}$ ). Note that in  
397  $E_{fam3}$  and  $E_{test3}$  the UMO actually moves in different directions (approach vs. departure).

398 Based on learning theory dogs should have associated the last action with the reward during  
399 familiarisation phase and learn the whole sequence of events backward (Pearce & Bouton,  
400 2001). In addition, there is much everyday experience that family dogs' performance in  
401 executing a newly learnt actions drops significantly in a novel context (Braem & Mills, 2010),  
402 and usually more trials (experience) are need to establish an association between an arbitrary  
403 action of the partner and the presence of food (Udell et al., 2008; Elgier et al., 2009). Lakatos  
404 and co-workers (2013) have recently reported an experiment in which dogs had an  
405 opportunity to observe their owners interacting with a human-like robot (PeopleBot). At the  
406 end of this interaction the robot called the dog's attention by emitting a "beep-beep" sound  
407 and dropped a piece of food to the dog from its hand. This was repeated three times. This  
408 interaction followed by a pointing session (similar procedure which was applied in our test

409 phase) in which the robot indicated the location of the hidden food by pointing with its arm.  
410 Despite the fact that the robot provided food three times dogs' performance was at chance  
411 level. Thus the food reward provided by a robot was insufficient to initiate learning in dogs  
412 about the informative aspect of its pointing movement.

413 Although we cannot exclude that some underlying associative mechanisms play a role here, in  
414 our view the interpretation of the dog's behaviour and performance as being based on more  
415 general inference from previous social experience is a viable alternative explanation.

416 We emphasize that the utilization of an UMO has the potential to investigate the relative role  
417 of evolutionary/developmental processes behind dogs' social skills. The hypothesis of genetic  
418 predisposition predicts that dogs in the present experiment should rely on a human partner's  
419 directional ('indicative') behaviour efficiently from the very beginning of the test phase  
420 regardless of prior social interaction in the familiarisation phase. Furthermore, dogs from both  
421 interactive and non-interactive familiarization condition with the UMO would show rapid  
422 learning about the informative aspects of the UMO's directional behaviour (but they probably  
423 learn more quickly after interactive familiarization).

424 The ontogenetic hypothesis also predicts that dogs efficiently use the human partner's  
425 directional movements as signals regardless of prior social interaction in the familiarisation  
426 phase because they have extensive experience of interacting with people. In contrast, dogs  
427 would not be able to find the hidden food based on the directional movements of the UMO  
428 after short prior social experience in the familiarisation phase because they lack the necessary  
429 ontogenetic experience to rely on the UMO's directional movements. In line with the previous  
430 assumptions (e.g. Gácsi et al., 2009; Miklósi & Topál, 2013; Rossano et al., 2014) we assume  
431 that the two hypotheses are not mutually exclusive but complementary. During domestication  
432 dogs evolved an inherent sensitivity to those human communicative signals that have  
433 directional components. We suggest that this skill is flexible enough to allow the dog to learn

434 in a wide range of situations and generalize also in case of an UMO's directional movement.  
435 In summary, we propose that the observed flexibility of dogs' social behaviour is due to the  
436 fact that they have shared environment with humans (heterospecific agents) thus they are  
437 probably able to generalise their wide range of social experience with humans to another type  
438 of agent as well. These results support the findings that dogs are able to attend to some social  
439 aspect of the behaviour of an UMO which resembles neither conspecific nor human (Gergely  
440 et al., 2013). The relative little experience with the UMO suggests that it is unlikely that the  
441 present results can be explained solely on the basis of ontogenetic processes. Our results  
442 suggest that genetic predisposition is also involved which facilitates the socially competent  
443 reaction to actions performed by an UMO if it shows behaviour signs characteristic to a social  
444 partner (Miklósi & Topál, 2013).

445

446

#### 447 ACKNOWLEDGEMENTS

448 We thank all our dogs and their owners for participating in our experiment. This research was  
449 supported by the Swiss National Science Foundation (SNSF) Sinergia project SWARMIX  
450 (project number CRSI22 133059) and the Hungarian Science Foundation (OTKA grant K-  
451 100695). András Kosztolányi was supported by the János Bolyai Research Scholarship of the  
452 Hungarian Academy of Sciences.

453

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524 predict performance on human-guided tasks? *Animal Behaviour*, 89, 99-105.

525

526 APPENDIX

527 Analyses of the full data ( $N = 68$ ) including the eight dogs showing strong side bias (they



528 always approached the same pot either on the left or on right in all 16 trials).

529

530 Effect of condition and repeated test trials on dogs' performance

531 Binomial generalized linear mixed-effect model (GLMM) was fitted with Dog ID (dog's

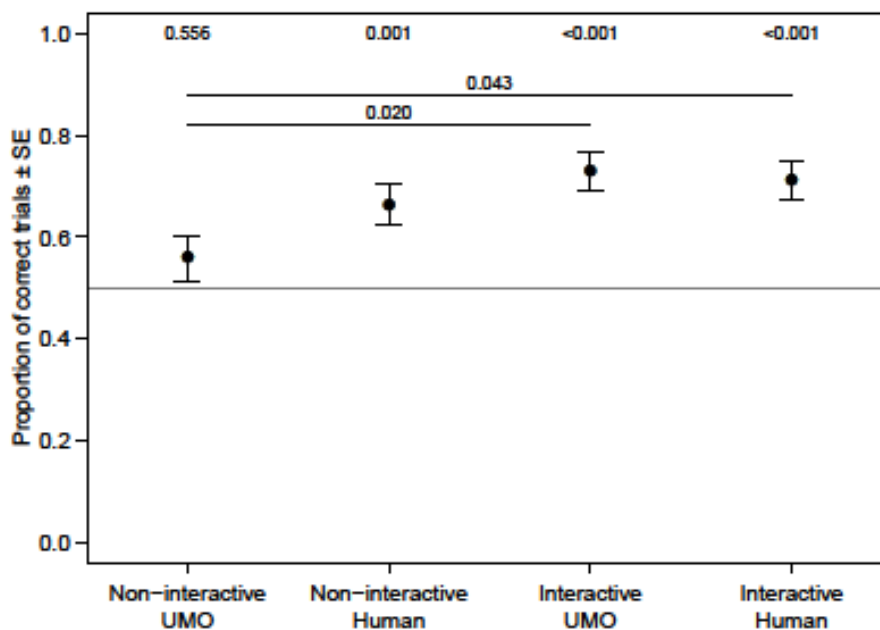
532 name) as random grouping factor. The significance of explanatory variables was investigated

533 using likelihood ratio tests (LRTs). The non-significant interaction ( $\chi^2_{45} = 42.28, P = 0.588$ )

534 was removed from the model. Results showed that dogs' performance during the test trials

535 was influenced by both condition ( $\chi^2_3 = 9.75, P = 0.021$ ) and trials ( $\chi^2_{15} = 108.20, P < 0.001$ )

536 (see Fig. A1).



537

538 **Figure A1.** Proportion of trials where the dogs chose correctly during the tests in the four

539 conditions. Estimated means ± SE from a binomial GLMM including condition and trial as

540 fixed effects are given. Values above each error bar give the *P*-value of the comparison to the

541 0.5 chance level (horizontal grey line). Horizontal black lines show significant pairwise

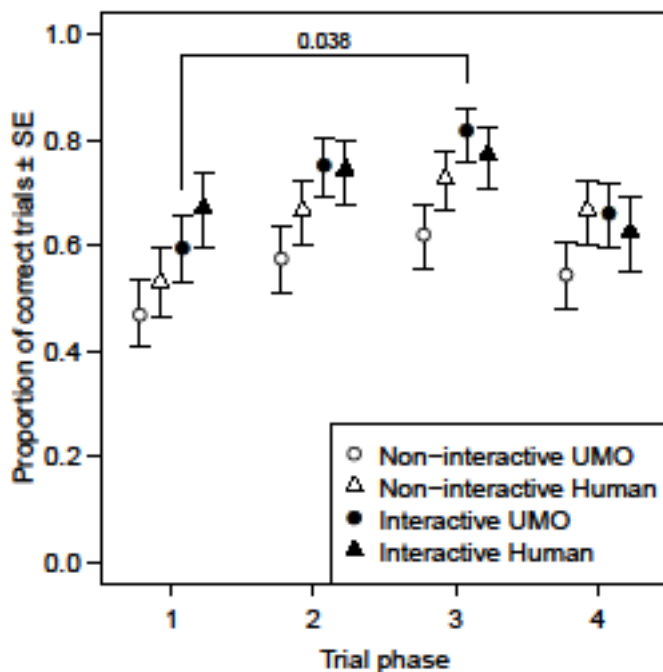
542 comparisons with the corresponding *P*-value above the line (*P*-values were adjusted by Tukey

543 method).

544

545 Effect of condition and trial phases on dogs' performance

546 The non-significant interaction ( $\chi^2_9 = 3.92, P = 0.917$ ) was removed from the Binomial  
547 GLMM. Analysis of trial phases showed that dogs' performance was influenced by both  
548 condition and trial phase (binomial GLMM; LRTs, condition:  $\chi^2_3 = 9.78, P = 0.021$ ; trial  
549 phases:  $\chi^2_3 = 18.64, P < 0.001$ ). Per condition analyses revealed that the effect of trial phase  
550 was only significant in the Interactive UMO condition ( $\chi^2_3 = 8.92, P = 0.030$ ). Furthermore,  
551 the performance of the dogs was not different between conditions in the first trial phase ( $\chi^2_3 =$   
552  $4.86, P = 0.182$ ) and pairwise comparisons revealed that the first trial phase was different  
553 from the third (see Fig. A2).



554

555 **Figure A2.** Proportion of trials where the dogs chose correctly during the four trial phases in  
556 the four conditions. Estimated means  $\pm$  SE from separate binomial GLMMs for each  
557 conditions including trial phase as fixed effect are given. The black line shows the only  
558 significant pairwise comparison and the corresponding  $P$ -value after Tukey adjustment.

559

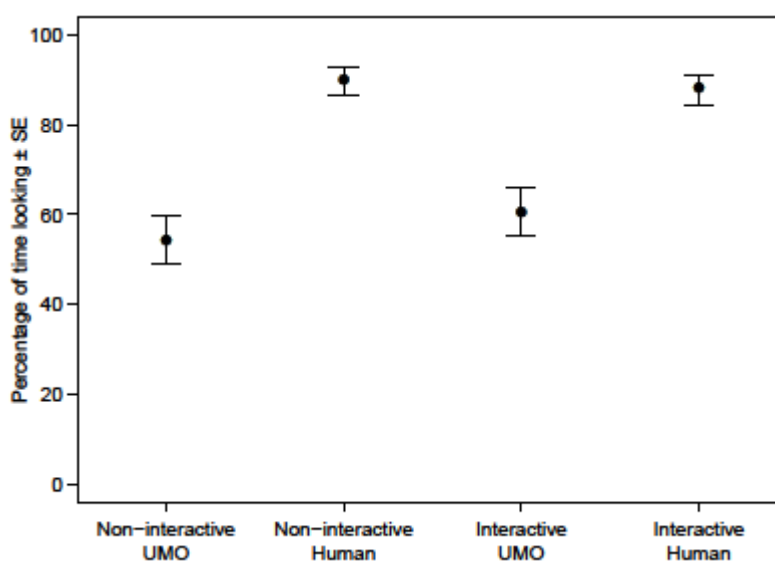
560 Effect of repeated encounters on dogs' looking behaviour (i.e. look at the UMO/Human  
561 partner) during familiarisation trials in the Interactive conditions

562 The non-significant interaction ( $\chi^2_5 = 4.56, P = 0.472$ ) was removed from the Binomial  
563 GLMM. Our results showed that dogs' looking behaviour during the familiarisation trials was  
564 not different between the Interactive UMO and Interactive Human conditions (binomial  
565 GLMM; LRT,  $\chi^2_1 = 1.56, P = 0.212$ ) but differed between familiarisation trials (LRT,  $\chi^2_5 =$   
566  $26.26, P < 0.001$ ). Pairwise comparisons using Tukey method revealed that the first trial was  
567 different from all other trials (all  $P < 0.021$ ), whereas other trials were not different from each  
568 other (all  $P \geq 0.795$ ).

569

570 Dogs' looking behaviour (i.e. look at the UMO/Human partner) during the approaching action  
571 of the partner during the test trials

572 GLMM with Gaussian error distribution after arcsine square-root transformation of the  
573 response. We found that condition had a highly significant effect on the percentage of time  
574 the dog spent on looking at the approaching partner (LRT,  $\chi^2_3 = 39.04, P < 0.001$ ). At the  
575 same time, there was no difference between the Interactive and Non-interactive UMO  
576 (pairwise  $P = 0.850$ , using Tukey adjustment), and between Non-interactive and Interactive  
577 Human conditions (pairwise  $P = 0.978$ , using Tukey adjustment) (see Fig. A3).



578

579 **Figure A3.** Percentage of time the dog was looking at the partner during the test trials.  
580 Estimated means  $\pm$  SE from a Gaussian GLMM including condition as fixed effect are given.