# Henry Ford Health Henry Ford Health Scholarly Commons

**Surgery Articles** 

Surgery

4-29-2021

# Changes in the Optic Nerve Head and Choroid Over 1 Year of Spaceflight

Brandon R. Macias

Connor R. Ferguson

Nimesh Patel

C Gibson

Brian C. Samuels

See next page for additional authors

Follow this and additional works at: https://scholarlycommons.henryford.com/surgery\_articles

## **Recommended Citation**

Macias BR, Ferguson CR, Patel N, Gibson C, Samuels BC, Laurie SS, Lee SMC, Ploutz-Snyder R, Kramer L, Mader TH, Brunstetter T, Alferova IV, Hargens AR, Ebert DJ, Dulchavsky SA, and Stenger MB. Changes in the Optic Nerve Head and Choroid Over 1 Year of Spaceflight. JAMA Ophthalmol 2021.

This Article is brought to you for free and open access by the Surgery at Henry Ford Health Scholarly Commons. It has been accepted for inclusion in Surgery Articles by an authorized administrator of Henry Ford Health Scholarly Commons.

## Authors

Brandon R. Macias, Connor R. Ferguson, Nimesh Patel, C Gibson, Brian C. Samuels, Steven S. Laurie, Stuart M.C. Lee, Robert Ploutz-Snyder, Larry Kramer, Thomas H. Mader, Tyson Brunstetter, Irina V. Alferova, Alan R. Hargens, Douglas J. Ebert, Scott A. Dulchavsky, and Michael B. Stenger

#### JAMA Ophthalmology | Brief Report

# Changes in the Optic Nerve Head and Choroid Over 1 Year of Spaceflight

Brandon R. Macias, PhD; Connor R. Ferguson, MS; Nimesh Patel, OD, PhD; C. Gibson, OD; Brian C. Samuels, MD, PhD; Steven S. Laurie, PhD; Stuart M. C. Lee, PhD; Robert Ploutz-Snyder, PhD; Larry Kramer, MD; Thomas H. Mader, MD; Tyson Brunstetter, OD, PhD; Irina V. Alferova, MD, PhD; Alan R. Hargens, PhD; Douglas J. Ebert, PhD; Scott A. Dulchavsky, MD, PhD; Michael B. Stenger, PhD

**IMPORTANCE** While 6-month data are available regarding spaceflight-associated neuro-ocular syndrome, manned missions for 1 year and beyond are planned, warranting evaluation for spaceflight-associated neuro-ocular syndrome beyond 6 months.

**OBJECTIVE** To determine if the manifestation of spaceflight-associated neuro-ocular syndrome worsens during International Space Station missions exceeding the present 4- to 6-month duration.

**DESIGN, SETTING, AND PARTICIPANTS** The One-Year Mission Study used quantitative imaging modalities to investigate changes in ocular structure in 2 crew members who completed a 1-year-long spaceflight mission. This study investigated the ocular structure of crew members before, during, and after their mission on the International Space Station. Two crew members participated in this study from March 2015 to September 2016. Analysis began in March 2015 and ended in May 2020.

EXPOSURES Crew members were tested before, during, and up to 1 year after spaceflight.

MAIN OUTCOMES AND MEASURES This study compares ocular changes (peripapillary retinal edema, axial length, anterior chamber depth, and refraction) in two 1-year spaceflight mission crew members with cohort crew members from a 6-month mission (n = 11). Minimum rim width (the shortest distance between Bruch membrane opening and the internal limiting membrane) and peripapillary total retinal thickness were measured using optical coherence tomography.

**RESULTS** Both crew members were men. Minimum rim width and total retinal thickness increased in both participants throughout the duration of spaceflight exposure to the maximal observed change from preflight (minimum rim width: participant 1, 561 [+149 from preflight] µm at flight day 270; participant 2, 539 [+56 from preflight] µm at flight day 270; total retinal thickness: participant 1, 547 [+135 from preflight] µm at flight day 90; participant 2, 528 [+45 from preflight] µm at flight day 210). Changes in peripapillary choroid engorgement, axial length, and anterior chamber depth appeared similar between the 1-year mission participants and a 6-month mission cohort.

**CONCLUSIONS AND RELEVANCE** This report documents the late development of mild optic disc edema in 1 crew member and the progressive development of choroidal folds and optic disc edema in another crew member over the duration of 1 year in low Earth orbit aboard the International Space Station. Previous reports characterized the ocular risk associated with 4 to 6 months of spaceflight. As future spaceflight missions are planned to increase in duration and extend beyond low Earth orbit, further observation of astronaut ocular health on spaceflight missions longer than 6 months in duration may be warranted.

JAMA Ophthalmol. doi:10.1001/jamaophthalmol.2021.0931 Published online April 29, 2021. Supplemental content

Author Affiliations: Author affiliations are listed at the end of this article.

Corresponding Author: Brandon R. Macias, PhD, NASA Johnson Space Center, 2101 NASA Pkwy, Mail Code SK3, Houston, TX 77058 (brandon.r.macias@nasa.gov).

paceflight-associated neuro-ocular syndrome (SANS) develops in crew members during long-duration missions (>1 month of spaceflight)<sup>1,2</sup> and is defined by the presentation of 1 or more of the following findings during or immediately following spaceflight: optic disc edema, chorioretinal folds, globe flattening, and hyperopic refractive error shift. Ophthalmic imaging demonstrates that Frisén grade optic disc edema develops in approximately 16% to 19% of crew members during and after long-duration spaceflight.<sup>3</sup> More recently, optic disc edema as quantified by optical coherence tomography (OCT) has been documented in approximately 70% of crew members.<sup>4</sup> Six-month spaceflights in low Earth orbit have resulted in peripapillary optic disc edema and choroid thickening that presented bilaterally and in male and female individuals.<sup>4</sup> The incidence of SANS in crew members is concerning to the aerospace medicine community given that future spaceflight missions, for example to Mars, will exceed 6 months in duration.

We hypothesize SANS findings increase in magnitude during International Space Station missions longer than traditional missions of 4- to 6-months' duration. In this study, we investigated ocular structural changes in 2 individuals who completed a 1-year-long mission as a part of NASA's One-Year Mission Study.<sup>5,6</sup>

#### Methods

This study was approved by the NASA Johnson Space Center's Institutional Review and Human Research Multilateral Review Boards and adhered to the Declaration of Helsinki.<sup>7</sup> Informed written consent was obtained. Both 1-year-long mission crew members (participants 1 and 2) had similar prior spaceflight experience of 180 and 176 days, respectively, and cumulative total time in spaceflight of 520 and 516 days on conclusion of the 1-year-long mission, respectively. The 2 crew members participated in this study from March 2015 to September 2016. Methods used in this 1-year-long mission study have been previously described for 6-month spaceflight missions.<sup>4</sup> In this study, ophthalmic imaging (before/after flight: CR-2 Plus AF; Cannon; inflight: OIS EyeScan; Ophthalmic Imaging Systems), optical biometry (before/after flight only: IOLMaster 500; Zeiss), and OCT (Spectralis; Heidelberg Engineering) data were collected 21 to 3 months before flight and in-flight within a 10-day window of flight days 10, 30, 90, 150, 210, 270, and 320. Postflight testing occurred within 7 days after landing, a 10-day window of the 30th and 90th day after returning, and 30-day window of the 180th and 365th day after returning. Because this study was limited to two 1-yearlong mission crew members, statistical analyses were not conducted; however, figures presented here include both 1-year-long mission data and 6-month mission data from the larger Ocular Health study cohort<sup>4</sup> (n = 11) for visual comparison. Bruch membrane folds were segmented by a single expert grader and verified by a second expert. Fold metrics were quantified based on deviation from a nondeformed Bruch membrane layer using MATLAB software (MathWorks).

Both participants underwent comprehensive eye examinations at the NASA Johnson Space Center Flight Medicine clinic, including ophthalmic imaging, OCT, cycloplegic refraction, and

#### **Key Points**

**Question** Are 6-month spaceflight missions sufficiently long to characterize the spaceflight-associated neuro-ocular syndrome risks of proposed longer-duration missions to the moon and Mars?

**Findings** In a case series study of 2 crew members from a 1-year-long mission, 1 crew member developed mild optic disc edema after 270 days in spaceflight. In the other crew member, optic disc edema and choroid folds progressively worsened over the duration of the 1-year mission.

Meaning Additional observations of crew members on missions longer than 6 months may be warranted to characterize spaceflight-associated neuro-ocular syndrome risks of extended duration spaceflight.

intraocular pressure and were cleared to participate in their assigned mission. Neither participant had a history of systemic disease (eg, hypertension, connective tissue disorders, iron deficiency, diabetes, or kidney disease) or reported use of medication (eg, vitamin A, tetracycline, corticosteroids, or nalidixic acid) that could produce elevated intracranial pressure. Analysis began in March 2015 and ended in May 2020.

#### Results

Preflight OCT images demonstrated preexisting bilateral macular choroidal folds in participant 1, likely associated with previous spaceflight. This study was not specifically designed to evaluate orbital edema, and abnormalities were not documented during routine examination. Quantitative OCT analysis of choroidal folds in both eyes of participant 1 highlights progressive development of macular choroidal folds with flight duration, characterized by development of new folds and deformation of existing folds (Figure 1; eFigure 1 in the Supplement). Reported values correspond with the B scan on which the maximum value occurred. Before spaceflight, there were 15 folds documented in the left eye and 18 folds documented in the right eye. Maximum fold height was  $32 \,\mu m$  in the left eye and  $29 \,\mu m$  in the right eye. Maximum fold width was 1240  $\mu m$  in the left eye and 1289  $\mu m$ in the right eye. Fold count (left eye, 18; right eye, 21) and maximum height (left eye, 50 µm; right eye, 36 µm) increased from before flight to flight day 150, while maximum width (left eye, 1229 µm; right eye, 1051 µm) decreased. From flight day 150 to 270, fold count (left eye, 21; right eye, 23) increased in both eyes, maximum height remained at 50 µm in the left eye and increased to 42 µm in the right eye, and maximum width decreased in both eyes (left eye, 816 µm; right eye, 941 µm). Maximum height decreased postflight but did not return to preflight values by 180 days postflight (fold count: left eye, 16; right eye, 17; maximum height: left eye, 43 µm; right eye, 41 µm; maximum width: left eye, 1172 µm; right eye, 1289 µm) or the 365-day postflight examination (fold count: left eye, 14; right eye, 17; maximum height: left eye, 45 µm; right eye, 38 µm; maximum width: left eye, 1086 μm; right eye, 1400 μm).

Participant 1 first demonstrated Frisén grade 1 edema (modified Frisén Scale)<sup>8</sup> in the right eye at flight day 30 that persisted

60

50

40

30

-20

-30

Height (µm)

Figure 1. Optical Coherence Tomography Images of the Left Eye Show the Development of Macular Choroidal Folds in Flight

Position, µm

1000

2000

3000

4000

5000

6000

7000

8000

1000 2000 3000 4000 5000 6000 7000 8000

Position, µm



C Macular infrared image with Bruch membrane height map overlay, preflight



Macular choroidal folds progressively worsened in participant 1 throughout the 1-year-long mission. Bruch membrane was segmented by a single expert grader and verified by a second expert. Fold metrics were quantified based on deviation from a nondeformed Bruch membrane layer using MATLAB software

(MathWorks). A and B, Bruch membrane topography (left side corresponds with the inferior side of images in C and D). C and D, Macular infrared image with Bruch membrane heightmap overlay. See eFigure 1 in the Supplement for an example of Bruch membrane segmentation.

Position, µm

until 90 days after return to Earth. On flight day 319, participant 2 displayed mild disc edema (Figure 2; eFigure 2 in the Supplement) that did not reach the threshold for Frisén grade 1 classification. This edema resolved within 30 days after return to Earth and was consistent with OCT measures of optic nerve head rim tissue thickness. Preflight minimum rim width in the right eye was 412  $\mu$ m and 483  $\mu$ m and increased by 149  $\mu$ m and 56  $\mu$ m on flight day 270 in participants 1 and 2, respectively. In the 6-month cohort, minimum rim width increased by a mean (SD) of 53 (24) μm to 395 (82) μm at flight day 150 (**Figure 3**A).

Both 1-year-long mission crew members demonstrated progressively greater global total retinal thickness (TRT) at the optic nerve head (Bruch membrane opening to 250 µm) with flight duration. In participant 1, TRT progressively increased to a maximum thickness of 547  $\mu$ m (+135  $\mu$ m from preflight) in the right eye by flight day 90 and remained elevated for the remainder of the mission. In participant 2, TRT increased to a maximum thickness of 528  $\mu$ m (+45  $\mu$ m from preflight) in the right eye on flight

day 210 and remained elevated for the remainder of the mission. This TRT change exceeds our physiological variability and measurement error threshold.9 In 6-month crew members, TRT increased by a mean (SD) of 34 (19) µm to 415 (50) µm at flight day 150.<sup>4</sup> TRT decreased in crew members after return to Earth but was still elevated in the right eye of participant 1 compared with before flight at 365 days after return to Earth (Figure 3B).

Similar to the 6-month cohort, spaceflight resulted in peripapillary choroidal engorgement (12° circle scan, without enhanced depth imaging) in both participants. Axial length magnitude of change (participant 1, -0.06 mm in the right eye and -0.08 mm in the left eye; participant 2, -0.02 mm in the right eye and -0.03 mm in the left eye) and anterior chamber depth (participant 1, -0.14 mm in the right eye and -0.20 mm in the left eye; participant 2, -0.19 mm in the right eye and -0.12 mm in the left eye) measured by optical biometry (IOL Master 500; Zeiss) in 1-year-long mission crew were similar to the 6-month spaceflight cohort,<sup>4</sup> and both axial length and anterior cham-

jamaophthalmology.com

B Topography, flight day 270 200 100 0 3000 2500 2000 1500 1000 500 ٥ 1000 2000 3000 4000 5000 6000 Position, um



C Flight day 319

#### Figure 2. Fundus Images of Participant 2 Show Signs of Optic Disc Edema Late in Flight





Ophthalmic images were acquired preflight and postflight (CR-2 Plus AF digital nonmydriatric retinal camera; Canon) and during flight (OIS EyeScan; Ophthalmic Imaging Systems). Participant 2 demonstrated mild disc edema and increased blurring of the superior and nasal disc margin in the right eye after 319 days of spaceflight. Optic disc edema in this participant resolved within 30 days

of return to earth. In addition, participant 2 demonstrated evidence of an intraretinal flame hemorrhage along the inferotemporal arcade that presented after 83 days of spaceflight and resolved between spaceflight days 144 (arrowhead) and 208. See eFigure 2 in the Supplement for fundus images of participant 1 during flight.

#### Figure 3. Change in Minimum Rim Width and Total Retinal Thickness



B Change in total retinal thickness from preflight

The change in minimum rim width (A) and global total retinal thickness (B) is compared with before spaceflight (preflight) for both eyes of each participant (circles). The open circles represent data collected before and after spaceflight in the 6-month cohort; gray circles, data collected during spaceflight in the

6-month cohort; blue circles, data collected from participant 1; orange circles, data collected from participant 2; and circles with a superimposed cross, an eye that had optic disc edema findings.

ber depth similarly remained lower than preflight values for up to 1 year after landing. Immediate postflight testing of participant 1 indicated a spherical equivalent shift of +0.25 diopters (D) in the right eye and +0.50 D in the left eye compared with preflight (right eye, +1.50 D; left eye, +1.75 D). Spherical equivalent returned to preflight values by 90 days after returning to Earth and 180 days after returning to Earth in the right and left eye, respectively. There was no measured change in spherical equivalent from preflight to postflight in participant 2.

#### Discussion

In support of our hypothesis, this study documents the late development of optic disc edema in 1 crew member and progressive development of choroidal folds and optic disc edema in another crew member throughout the duration of 1 year in low Earth orbit aboard the International Space Station. As spaceflight missions increase in duration, quantitative analyses of ocular structural and functional changes are important to monitor astronaut health and the efficacy of potential SANS countermeasures.

#### Limitations

Given the variability of ocular changes observed in 6-month mission participants, the ocular changes reported here in 2 participants may not be generalizable to other 1-year mission participants. Here we quantify choroidal folds; however, other types of folds have been documented during spaceflight.

### Conclusions

These data suggest ocular structural changes may be dependent

#### ARTICLE INFORMATION

Accepted for Publication: March 5, 2021.

Published Online: April 29, 2021. doi:10.1001/jamaophthalmol.2021.0931

Author Affiliations: NASA Johnson Space Center. Houston, Texas (Macias, Brunstetter, Stenger); MEI Technologies, Houston, Texas (Ferguson); University of Houston College of Optometry, Houston, Texas (Patel); Coastal Eye Associates, Webster, Texas (Gibson); KBR, Houston, Texas (Gibson, Laurie, Lee, Ebert); University of Alabama at Birmingham, Birmingham (Samuels); University of Michigan, Ann Arbor (Ploutz-Snyder); University of Texas Health Science Center, Houston (Kramer); COL (R) Army, Moab, Utah (Mader): Institute of Biomedical Problems of the Russian Academy of Sciences, Moscow, Russian Federation (Alferova); University of California, San Diego, San Diego (Hargens); Henry Ford Hospital System, Detroit, Michigan (Dulchavsky).

Author Contributions: Drs Macias and Stenger had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

*Concept and design:* Macias, Laurie, Lee, Ploutz-Snyder, Hargens, Ebert, Stenger.

Acquisition, analysis, or interpretation of data: All authors.

*Drafting of the manuscript:* Macias, Ferguson, Ploutz-Snyder, Mader, Alferova.

Critical revision of the manuscript for important intellectual content: Macias, Ferguson, Patel, Gibson, Samuels, Laurie, Lee, Ploutz-Snyder, Kramer, Mader, Brunstetter, Hargens, Ebert, Dulchavsky, Stenger.

Statistical analysis: Ploutz-Snyder, Alferova. Obtained funding: Hargens, Ebert, Dulchavsky, Stenger.

Administrative, technical, or material support: Macias, Ferguson, Samuels, Laurie, Lee, Mader, Brunstetter, Stenger. Supervision: Macias, Lee, Mader, Hargens, Ebert, Dulchavsky, Stenger.

**Conflict of Interest Disclosures:** Dr Samuels reports compensation from KBR as a consultant and nonfinancial support from Heidelberg Engineering (access to a Spectralis optical coherence tomography imaging platform provided to his department free of charge for research purposes but has no bearing on this project) outside the submitted work. Dr Ebert reports grants from NASA during the conduct of the study. No other disclosures were reported.

Funding/Support: This work was supported by the National Aeronautics and Space Administration Human Research Program as part of the Fluid Shifts (NNJ11ZSA002NA) and Ocular Health studies.

Role of the Funder/Sponsor: The funder had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

Meeting Presentations: This paper was presented at the 2019 NASA Human Research Program Investigators' Workshop; January 22, 2019; Galveston, Texas; at the 2020 NASA Human Research Program Investigators' Workshop; January 27, 2020; Galveston, Texas; at the 2017 Annual Meeting of the Association for Research in Vision and Ophthalmology; May 7, 2017; Baltimore, Maryland; and at the 2018 Annual Meeting of the Association for Research in Vision and Ophthalmology; April 29, 2018; Honolulu, Hawaii.

#### REFERENCES

1. Mader TH, Gibson CR, Pass AF, et al. Optic disc edema, globe flattening, choroidal folds, and hyperopic shifts observed in astronauts after long-duration space flight. *Ophthalmology*. 2011;118 (10):2058-2069. doi:10.1016/j.ophtha.2011.06.021

2. Patel N, Pass A, Mason S, Gibson CR, Otto C. Optical coherence tomography analysis of the optic nerve head and surrounding structures in

long-duration international space station astronauts. *JAMA Ophthalmol*. 2018;136(2):193-200. doi:10.1001/jamaophthalmol.2017.6226

on spaceflight duration. However, there is still a need to identify

factors contributing to the variable presentation of ocular findings. Investigations with more participants in 1-year-long missions are needed to confirm the localized findings presented here.

> 3. Stenger MB, Tarver WJ, Brunstetter T, et al. Risk of spaceflight associated neuro-ocular syndrome (SANS). National Aeronautics and Space Administration. Published November 30, 2017. Accessed February 15, 2018. https:// humanresearchroadmap.nasa.gov/evidence/ reports/SANS.pdf?rnd=0.434276635495143

**4**. Macias BR, Patel NB, Gibson CR, et al. Association of long-duration spaceflight with anterior and posterior ocular structure changes in astronauts and their recovery. *JAMA Ophthalmol*. 2020;138(5):553-559. doi:10.1001/jamaophthalmol. 2020.0673

5. Charles JB, Pietrzyk RA. A year on the international space station: implementing a long-duration biomedical research mission. *Aerosp Med Hum Perform*. 2019;90(1):4-11. doi:10.3357/AMHP.5178.2019

6. NASA. The one year mission. Accessed March 25, 2021. https://www.nasa.gov/content/one-year-crew

7. World Medical Association. World Medical Association Declaration of Helsinki: ethical principles for medical research involving human subjects. *JAMA*. 2013;310(20):2191-2194. doi:10.1001/jama.2013.281053

8. Scott CJ, Kardon RH, Lee AG, Frisén L, Wall M. Diagnosis and grading of papilledema in patients with raised intracranial pressure using optical coherence tomography vs clinical expert assessment using a clinical staging scale. Arch Ophthalmol. 2010;128(6):705-711. doi:10.1001/ archophthalmol.2010.94

**9**. Laurie SS, Lee SMC, Macias BR, et al. Optic disc edema and choroidal engorgement in astronauts during spaceflight and individuals exposed to bed rest. *JAMA Ophthalmol*. 2020;138(2):165-172. doi:10.1001/jamaophthalmol.2019.5261