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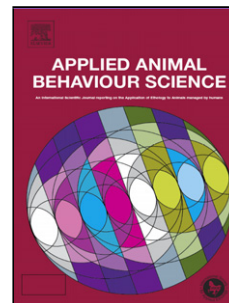
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Author: Zsófia Sümegi Katalin Oláh József Topál

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### Research highlights

- 1 Stress has an improving effect on the humans' and dogs' memory performance.
- 2 Dogs' memory performance can be affected by their owners' stress level.
- 3 Our results support for the emotional contagion between dogs and their owners.

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1 **Emotional contagion in dogs as measured by change in cognitive task performance.**

2

3 Zsófia Sümegi\*<sup>1</sup>Katalin Oláh<sup>2,3</sup>József Topál<sup>2</sup>

4 <sup>1</sup>Department of Ethology, Eötvös University, Budapest

5 <sup>2</sup>Institute of Cognitive Neuroscience and Psychology, Hungarian Academy of Sciences,  
6 Budapest

7 <sup>3</sup>Department of Cognitive Psychology, Eötvös University, Budapest

8 \* corresponding author

9 e-mail: [zsofisumegi@gmail.com](mailto:zsofisumegi@gmail.com) Tel.: +36 1 381 2179 Fax: +36 1 381 2180

10 Department of Ethology, Eötvös University, Pázmány Péter sétány 1/C, H-1117 Budapest,  
11 Hungary

12

13

14 **Abstract**

15 Domestic dogs are living with humans in a very special inter-species relationship. Previous  
16 studies have shown physiological and hormonal synchronisation between dogs and their  
17 owners during positive interaction. Dogs are also known to be able to discriminate human  
18 emotions and they were also presupposed to have the capacity to empathise with humans.  
19 Based on these results we hypothesize that the owner's emotions can be contagious to the dog  
20 and stress-related emotional changes in dogs can be tracked by memory tasks because both  
21 human and nonhuman studies indicate a significant effect of perceived stress on subjects'  
22 cognitive performance. In the present study the owners, after having completed State Anxiety  
23 Inventory and having participated in a memory task, were manipulated with either negative  
24 (*Stressed owner* condition) or positive (*Non-stressed owner* condition) verbal feedback in an  
25 additional task. Results indicate that the owners' self-reported anxiety significantly increased

26 in the *Stressed owner* condition due to the manipulation. We also measured the effect of the  
27 different manipulations on the owners' and also on their dogs' memory performance and  
28 found that in line with earlier studies the stress-evoking intervention had an improving effect  
29 on the owners' memory performance. After separation from their owner (*Stressed dog*  
30 condition) dogs also showed better performance in a spatial working memory task and, more  
31 interestingly, task completion was also affected by the manipulation of their owners stress  
32 level. These findings provide further support for the emotional contagion between dogs and  
33 their owners, and suggest that measuring changes in the memory performance can be used as  
34 an indicator of contagion-induced changes in dogs' stress level.

35

36

37 keywords: emotional contagion, dog-owner relationship, stress, memory

38

39

40 Research highlights

41 1 Stress has an improving effect on the humans' and dogs' memory performance.

42 2 Dogs' memory performance can be affected by their owners' stress level.

43 3 Our results support for the emotional contagion between dogs and their owners.

44

## 45        **1. Introduction**

46            Emotional contagion, a concept coined by Hatfield et al (1992) can be described as an  
47 automatic response to perceiving another's emotional state through which a similar emotional  
48 response is triggered in the observer. The phenomenon can be seen as a primitive form of  
49 empathy which appears to be widespread amongst mammals. However it is widely accepted  
50 that the contagion of emotional responses does not require the ability to differentiate between  
51 own and other's emotions or any conscious control over emotional reactivity (Preston & de  
52 Waal 2002).

53            Emotional contagion has been extensively examined in rodents (for a review see Edgar  
54 et al. 2012). For example social transmission of fear response has been reported in rats  
55 (Knapska et al. 2010) and pain sensitivity in mice also seems to be influenced by a  
56 conspecific's pain response (Langford et al. 2006, Jeon et al. 2010). Birds may also show  
57 evidence of emotional contagion, greylag geese (Wascher et al. 2008) as well as chickens  
58 (Edgar et al 2011) show physiological responses while observing distressed conspecifics.  
59 Regarding the empathic abilities of nonhuman primates there is evidence for contagious  
60 yawning in both apes (chimpanzees - Anderson et al. 2004) and monkeys (macaques –  
61 Paukner & Anderson 2006) and rapid facial reactions to the partner's emotional facial  
62 expression during play has been described in orangutans (facial mimicry - Ross et al. 2008).

63            There is ample evidence that empathic-like responding is usually more pronounced  
64 between familiar conspecifics than unfamiliar peers (e.g. Langford et al. 2006, Ben-Ami  
65 Bartal et al. 2011, Ma et al. 2011), importantly, however, contagious behaviour can occur also  
66 in heterospecific contexts. A recent study provides support for the notion of cross-species  
67 contagious yawning in chimpanzees (Madsen et al. 2013) and there is ample evidence  
68 suggesting emotionally connected heterospecific yawn contagion in dogs (Joly-Maschironi et  
69 al. 2008, Silva et al. 2012, Romero et al. 2013).

70 Human-dog cross-species contagious yawning has a potential link with the specific  
71 social-cognitive capacities of the domestic dog (Yoon & Tennie 2010). In fact, many assume  
72 that dogs are socially tuned-in to humans because as a result of their unique domestication  
73 process, they have developed an evolutionary novel, inter-specific type of social competence  
74 which, among others, allowed for the establishment of a wide range of affiliative social  
75 relationships with humans (Miklósi & Topál 2013). The relationship between the dog and its  
76 owner is functionally similar to the mother-infant attachment (see Topál & Gácsi 2012 for a  
77 review) which is considered essential for the development of dogs' emotional responsiveness  
78 (Plutchik 1987). Moreover, a recent study has found a correlation between the owner's  
79 attachment profile and the quality of the dog-owner attachment bond (Siniscalchi et al. 2013).  
80 In addition to providing further support for the notion that the dog-owner relationship  
81 resembles the connection between a mother and her child, these results also support the idea  
82 that dogs tend to assimilate the characteristics of their owners and this is manifested in their  
83 affective stance.

84 Moreover dogs and children tend to correspond in the degree to which they are able to  
85 react to the challenges of human communication (see Topál et al. 2014, for a review). They  
86 possess enhanced skills in reading human visual attention (e.g. Kaminski et al. 2009) and  
87 show special responsiveness to human gestural communication (e.g. Lakatos et al. 2012).  
88 Dogs can also learn to discriminate between different human emotional expressions (Deputte  
89 & Doll 2011, Nagasawa et al. 2011; Racca et al. 2012) and respond differently to commands  
90 given with emotionally different tones of voice (Ruffman & Morris-Trainor 2011). They are  
91 not only sensitive to the emotional state of their owners (Morisaki et al. 2009), but their  
92 behaviour can even be influenced by the owner's emotional expression (Merola et al. 2012).

93 Dogs' interspecific social- and emotional responsiveness is further supported by recent  
94 investigations (Silva & Sousa 2011, Romero et al. 2013) that raised the possibility that dogs

95 have the ability to feel humans' emotional experiences ('affective empathy'). It is worth  
96 mentioning, that unlike the *cognitive empathy system* which entails representing another's  
97 emotional experience (deWaal 2008), *affective empathy*, is often described as an 'automatic'  
98 process (Hatfield et al. 1993) stemming from an unconscious social contagion system. That is,  
99 instead of being able to represent another's emotional experience (cognitive empathy) dogs  
100 may have affective responses to the observed emotion of the human (i.e. feel what the human  
101 feels).

102 Social contagion can be seen as the rudimentary mechanism that serves to synchronize  
103 partners at different levels (physiological, emotional and behavioural synchronization). There  
104 is some experimental evidence suggesting hormonal and physiological synchronisation  
105 between owners and their pet dogs. Affiliative interactions between dogs and humans can  
106 have stress relieving effects; lower cortisol level as well as increased oxytocin and dopamine  
107 levels in both species (Odendaal & Meintjes 2003, Miller et al. 2009, Handlin et al. 2012).  
108 Hormonal interactions between people and dogs may also occur under conditions of  
109 psychological stress (e.g. after losing a competition -Wirth et al. 2006). For example, Jones  
110 and Josephs(2006) investigated the hormonal changes in dog-human teams during agility  
111 competition and found that in losing teams, unlike in winning ones, the owners' pre-  
112 competition basal testosterone levels and their pre- to post-competition changes in  
113 testosterone are significant predictors of dogs' changes in cortisol level.

114 In addition to direct measurement of hormonal changes, the effects of stress on  
115 subjects' internal state can also be assessed indirectly; either by using questionnaires (e.g.  
116 Frankenhaeuser et al. 1978) or by measuring changes in subjects' cognitive performance.  
117 Some studies suggest that stress hormones can have an inverted-U shape effect on learning  
118 and memory in both humans and nonhuman animals (McEwen & Sapolsky 1995; Belanoff et

119 al. 2001). While moderate stress has been shown to positively impact memory retention, high  
120 stress levels can lead to impaired cognitive performance.

121 Although findings suggest that dogs show high responsiveness to changes in their  
122 human caregiver's stress status, and there is also evidence that stress-related emotional  
123 changes can be tracked by memory tasks, investigation of the association between stress-  
124 induced changes in owners and their dogs as measured by changes in their memory  
125 performance is lacking in the literature.

126 In the current study we investigated whether pet dogs can take over the emotional state  
127 of their owners in the context of experimentally induced anxiety and whether changes in their  
128 owners' affective states have an effect on dogs' memory performance. Owners' anxiety levels  
129 were experimentally manipulated: they were told that they were participating in a task  
130 designed to measure one aspect of their cognitive performance, a 'word list memory task'  
131 (WMT). Owners were assigned either to the *Non-stressed* or the *Stressed* condition in which  
132 the difficulty of the task and the amount of experimenter-delivered positive/negative verbal  
133 feedback were surreptitiously manipulated. We predicted that (I) our procedure should be  
134 sufficient to increase the owners' self-reported stress/anxiety in the 'stressed' condition; (II)  
135 these changes should have an effect on owners' memory performance in the WMT and (III)  
136 the changes in owners' affective states should be contagious to dogs and the emotional  
137 contagion should be manifested in changes in the dogs' memory performance. As a control,  
138 we also ran a condition in which the dog's stress level was directly manipulated (*Stressed dog*  
139 condition) as opposed to being indirectly affected through the emotional state of the owner.  
140 This allowed us to test whether the potential change in cognitive performance following an  
141 indirect manipulation is comparable to that in case of more direct effects. We used the  
142 'separation paradigm' because ample evidence suggests that separation from the owner in



143 unfamiliar environments evokes moderate stress and anxiety in dogs (see Topál & Gácsi 2012  
144 for a review).

145

146

## 147 **2. Materials and Methods**

148

### 149 *2.1. Subjects*

150 52 dogs (mean age  $\pm$ SD: 3.81 $\pm$ 1.82years, 26 males and 26 females) participated in the  
151 study on a voluntary basis. Out of the 52 dogs, 37 were tested together with their owners  
152 (experimental conditions; owners' mean age  $\pm$ SD: 30.5 $\pm$ 8.4 years, 34 women and 3 men)  
153 Subjects were randomly assigned to one of the following three conditions: *Stressed owner*  
154 (n=19), *Non-stressed owner* (n=18), *Stressed dog* (n=15). In the subsequent sections, we refer  
155 to the first two conditions as "experimental" and to the third one as "control". The dogs were  
156 from 18 different breeds (8 Golden retrievers, 5 Border collies, 3-3 Fox terriers, Hungarian  
157 vizslas, Labrador retrievers, 2-2 Collies, West highland terriers, 1-1 Boxer, Chihuahua,  
158 Dalmatian, Havanese, Jack Russel terrier, German shepherd, Schipperke, Yorkshire terrier,  
159 Poodle, Rottweiler, Shiba Inu) and 15 mongrels. Dogs' previous training experience was also  
160 assessed. Out of all the participants, 33 dogs had received some sort of obedience training,  
161 while 19 had never participated in any formal training. However, the distribution of "trained"  
162 and "untrained" dogs did not differ significantly across conditions, with 13, 12 and 8 trained  
163 dogs in the Stressed-owner, Non-stressed owner and Stressed dog conditions, respectively  
164 ( $\chi^2(2)=1.25$ ;  $p=0.53$ )

165

### 166 *2.2. Experimental arrangement*

167 The experiment took place in a room (3.9 m x 4.1 m) at the Dept. of Ethology, Eötvös  
168 University, Budapest. Only a chair and some toys (a tennis ball and a rope) for the dog were  
169 placed in the room. These toys were present during the whole experiment, except for the dog  
170 memory tasks (see below) when only one ball as target object and 7 plastic flowerpots as  
171 hiding places were used. However in the ball-carrying task (Phase 2 – see below) and during  
172 the second dog memory task (Phase 3 – see below) additional balls (2-3) and containers (2)  
173 were also present.

174

### 175 *2.3. Overview of the experimental procedure*

176 The procedure consisted of three phases for both the experimental and the control  
177 conditions. In the experimental conditions the pre-manipulation phase (Phase 1) started by  
178 assessing the owners' baseline anxiety level (using a state anxiety questionnaire) and their  
179 memory performance (in a word list memory task) and we also measured the dogs' ability to  
180 retain the location of a ball in their working memory (in an object hiding and finding task). In  
181 the control condition, only the dog memory task was administered in phase 1. This was  
182 followed by the manipulation (Phase 2) during which the owners in the experimental  
183 conditions had to answer questions about an article they had read before and they were also  
184 asked to complete collaborative tasks together with their dogs. The latter part was added to  
185 the procedure to enable the transfer of stress/anxiety between the human and his/her dog.  
186 Importantly, owners in the *Stressed owner* condition received mostly negative feedback, while  
187 owners in the *Non-stressed owner* condition were given only positive feedback. In the  
188 *Stressed dog* condition, the dog's anxiety level was manipulated by introducing a short period  
189 of separation from the owner. Finally, in the test phase (Phase 3), the owners' and their dogs'  
190 memory performances as well as the owners' state anxiety were re-tested using the same

191 methods as used in Phase 1. In the control condition, only dogs' memory performance was  
192 assessed.

193

194 *2.4.Procedure of the experimental conditions (Stressed owner and Non-stressed owner)*

195

196 2.4.1. Phase 1 – Baseline measures

197 Right after their arrival, the owners filled out the Hungarian version of the State- and  
198 Trait Anxiety Inventory (STAI; Sipos&Sipos 1983) which is widely used by psychologists to  
199 measure anxiety both at a particular point in time (state) and in general (trait).

200 After this the owner and his/her dog were led into the experimental room by the  
201 Experimenter (E) and were allowed to explore the room for a few minutes. Then the owner  
202 made the dog sit at a predetermined starting point and the E placed seven identical brown  
203 plastic flowerpots (11cm high, 14 cm in diameter) on the floor in a semicircle (Figure 1). The  
204 dog was sitting equidistant from the bowls (3 meters away) while being held by the owner.  
205 The E then took the target object (a tennis ball), showed it to the dog, walked straight towards  
206 one hiding location, and placed the ball into the pot clearly visibly to the dog. After the hiding  
207 event the dog was led out of the room by the owner, the E also left the room and they waited  
208 outside for 30 seconds before re-entering the room. On re-entering the room, the dog was led  
209 to the starting point by the owner and then it was released and allowed to search for the object  
210 until finding it. During this the owner was allowed to encourage his/her dog, but was  
211 instructed not to give any specific instructions and not to direct the dog toward any of the  
212 containers. All dogs received 5 trials in a predetermined order. Two different hiding orders  
213 (L3, R2, M, R3, L2 and R3, L2, M, L3, R2 respectively) were used and the order of the 5-trial  
214 blocks was counterbalanced across subjects in each group. The 2 terminal pots (R1 and L1 –  
215 see Figure 1) were never baited. Dogs had as much time as they needed to find the object.

216 After this the owners' memory performance was measured by Kirschbaum et al's  
217 declarative memory task (Kirschbaum et al. 1996). In the learning phase of the task the  
218 owners were given a list of 24 words for 5 minutes to read and memorize. This was followed  
219 by a 5 minute long distraction phase, during which they had to read a scientific paper about  
220 dog behaviour. Finally, owners were asked to recall those words (N=10) from the 24-words-  
221 list that begin with „mo” or „ko” (depending on the list) within 2 minutes. We used two  
222 different lists of words (word set A and B) and these were counterbalanced across conditions.  
223 Subjects in the *Non-stressed owner* condition were provided with a reading matter in the  
224 distraction phase which was easy to read and understand while subjects assigned to the  
225 *Stressed owner* condition were given a more challenging text. Dogs were together with their  
226 owners in the experimental room throughout the declarative memory task while the E was  
227 absent during the learning and distraction phases. Dogs were allowed to explore the  
228 environment, play and interact with their owners freely.

229

#### 230 2.4.2. Phase 2 - manipulation

231 After this the E asked the owners several questions about the scientific article they had  
232 read during the distraction phase of the declarative memory task. This phase lasted for  
233 approximately 5 minutes. In the *Stressed owner* condition E gave mostly negative feedback  
234 and sometimes pointed out that the other participants were able to tell the right answer.  
235 However, in the *Non-stressed owner* condition the E gave only positive feedback and  
236 sometimes praised their performance by adding that the other participants were *not* able to tell  
237 the right answer.

238 This was followed by interactive situations, when owners were asked to complete  
239 different kinds of collaborative tasks together with their dogs. First a ball-carrying task,  
240 during which the dog had to carry balls under the direction of its owner from a container into

241 another one for 5 minutes. The containers were placed in two corners of the room and only  
242 one of the containers was baited with the balls. In the next 2 minutes they had to perform  
243 basic obedience tasks (sitting, laying and staying) and they also had the opportunity to show  
244 other tricks. The ball-carrying and obedience tasks were also accompanied by the  
245 experimenter's negative or positive feedback. In the *Non-stressed owner* condition the E  
246 praised the dyads for performing the task well and did not comment the wrong performance.  
247 In the Stressed owner condition the E expressed her disapproval of the dyad's bad  
248 performance (in neutral speaking style) and did not comment on the instances where the dyad  
249 was successful.. In the last 3-4 minutes of the manipulation the experimenter gave the text  
250 back to the owner for an additional 2 and a half minutes and in the next minute she asked  
251 further questions. Owners' responses received either positive (*Non-stressed* condition) or  
252 negative (*Stressed* condition) reinforcement.  
253 Importantly, both praise and disapproval were given by the E in a neutral tone of voice and  
254 she behaved in a neutral manner throughout Phase 2.

255

#### 256 2.4.3. Phase 3 - measuring subjects' performance after the manipulation

257 Owners were asked to fill out the same questionnaire (State- and Trait Anxiety  
258 Inventory) as in Phase 1.

259 Then we repeated the object hiding and finding tasks in order to measure the dogs'  
260 ability to retain the location of a ball in their working memory. We used the exact same  
261 procedure as in Phase 1: first, dogs participated in the same memory task, however, they were  
262 provided with the other 5-trial block than in Phase 1 (as described above in the section about  
263 Phase 1). Then owners completed the same memory task as in Phase 1 with the only  
264 exception that they were provided with the other set of words (A or B) and the reading  
265 material in the distraction task was also different.

266

267 *2.5.Procedure in the Control condition (Stressed dog)*

268

269 *2.5.1. Phase 1 – baseline measure*

270 First, dogs participated in the same memory task as was described above in Phase 1 for  
271 the other two conditions. This was followed by a 15 minute break, thus the time elapsed  
272 between the first and the second memory task was the same as in the other two conditions.  
273 During the break the owners and the dogs were sitting in the waiting room of the department.

274

275 *2.5.2. Phase 2 - manipulation*

276 After the break elapsed, the E introduced the dog and the owner to the experimental  
277 room, then the owner left the scene and the dog was allowed to explore the room freely in the  
278 presence of the E for 2.5 minutes. If the dog showed distress behaviours (see below) less than  
279 20 seconds long during this period the separation was continued for additional 2.5 minutes. If  
280 the dog showed signs of distress for at least 20 seconds, it was reunited with the owner and  
281 phase 3 was administered. The E played with the dog or petted it depending on its  
282 willingness.

283

284 *2.5.3. Phase 3: measuring dogs' performance after the manipulation*

285 Using the same procedure as in Phase 1, we repeated the object hiding and finding  
286 tasks, however, dogs were provided with a different order of object hiding trials.

287

288 *2.6.Data collection*

289

290 Owners anxiety levels were measured by STAI scores consisting of two separate 20-  
291 item (rated from 1 to 4) self-report scales; one scale measures state anxiety (s-STAI) and the  
292 other measures trait anxiety (t-STAI, Sipos&Sipos 1983). Higher scores indicate increased  
293 level of anxiety. Based on the STAI scores measured repeatedly in Phase 1 (pre-manipulation)  
294 and Phase 3 (post-manipulation) we also calculated the change which indicates the effect of  
295 the manipulation on owners' anxiety levels in the different conditions.

296 Owner's memory performance was measured by the number of words they could  
297 recall correctly. The change in their performance was also calculated as the difference  
298 between pre- and post-manipulation task performance.

299 Dog's working memory performance was calculated on the basis of the number of  
300 erroneous choices (looking into an empty pot). The number of empty containers visited by the  
301 dog during trials 1-5 was added up and this was used as an indicator of task performance  
302 (higher scores indicates poorer memory abilities). The change in dogs' working memory  
303 performance was also calculated as the difference between pre- and post-manipulation  
304 measures.

305 It was also measured how intensely the dogs were encouraged by their owners during  
306 the memory task. We coded the number of any kind of verbal encouragements (e.g.: Search!  
307 You can go! Where is the ball? Fetch the ball!) given by the owner during the trials.

308 The owner's behaviour while interacting with his/her dog (in Phase 2 of the two  
309 experimental conditions) was also analysed using the following variables: relative duration of  
310 time spent with playing (i.e. any vigorous, toy-related behaviour between the dog and the  
311 owner); relative duration of time spent with physical contact (i.e. any form of bodily contact);  
312 number of positive (encouragement, praise etc.) and negative (prohibiting, scolding) verbal  
313 feedback provided by the owner.

314 In Phase 2, the number of positive (praise, telling it is a right answer) and negative  
315 (scolding, telling it is a wrong answer) verbal feedback provided by the Experimenter in  
316 response to the owners' answers were also recorded.

317 In Phase 2 of the *Stressed dog* condition (control), while separated from their owners,  
318 dogs' behaviour was recorded and the following five mutually exclusive behaviour categories  
319 were coded:

320 Passive behaviours: standing, sitting or lying down.

321 Exploration: activity directed toward non-movable aspects of the environment, including  
322 sniffing, distal visual inspection (staring or scanning), close visual inspection, or oral  
323 examination.

324 Physical contact: any form of bodily contact with the experimenter

325 Play: any vigorous, toy- or social partner-related behaviour, including running, jumping,  
326 or any physical contact with toys (chewing, biting)

327 Distress behaviours: active behaviours resulting in physical contact with the door  
328 (scratching, jumping at etc.) and/or vocalising (i.e. barking, growling, howling, whining).

329 In order to exclude the possibility that dogs' affective states were directly influenced  
330 by the experimenter during the manipulation phase in the two experimental conditions, a  
331 coder blind to both the condition and the purpose of the study coded the perceived stress level  
332 of the situation on a one-to-ten scale. Crucially, the coder did not speak the language that was  
333 used throughout the experiment; therefore he could not understand the content of the  
334 communication. He had to base his judgments on non-verbal gestures, tone of the voice and  
335 other non-linguistic cues, which resemble the information dogs may pick up on during the  
336 interaction between the experimenter and the owner.

337

338 *2.7.Data analysis*



339 First we employed a Generalized Estimating Equation for the analysis of the effect of  
340 the trial (performance before vs. after the manipulation) as within-subject factor and the effect  
341 of the type of the manipulation (*Stressed owner* vs. *Non-stressed owner*) as a between-  
342 subjects factor on the STAI scores and the memory performance of the owners. We performed  
343 the same analysis on the memory performance of the dogs with the modification that we  
344 included the *Stressed dog* condition in the type of manipulation variable and the previous  
345 training experience as covariate. For within-group comparisons Wilcoxon Matched-Pairs  
346 Ranks tests were used for discrete variables and paired t-tests for continuous variables (play  
347 and physical contact). For between-groups comparisons Mann-Whitney tests were used for  
348 discrete variables and unpaired t-tests for continuous variables. In the case of STAI scores and  
349 memory performances the changes due to the manipulation were calculated by subtracting the  
350 'before-manipulation' values from the 'after- manipulation' values. The relationships between  
351 the variables were examined by Spearman correlation.  
352 SPSS version 20 software was used for statistical analyses, all tests were two-tailed and the  $\alpha$   
353 value was set at 0.05.

354

### 355 3. Results

356

#### 357 3.1. Changes in the owners' trait and state anxiety levels (pre- vs. post manipulation 358 periods)

359 The owners' trait-anxiety seemed to be stable throughout the experiment; it was not  
360 influenced either by the trial (GEE,  $\chi^2=1.166$   $p=0.280$ ) or by the type of manipulation  
361 ( $\chi^2=1.239$   $p=0.266$ ) and the interaction was also not significant ( $\chi^2=0.517$   $p=0.472$ ). In  
362 contrast, there was a significant interaction of the two main factors for the owners' state

363 anxiety (GEE,  $\chi^2=27.747$   $p<0.001$ ) without any significant main effects (trial:  $\chi^2=0.009$   
364  $p=0.923$  type of manipulation:  $\chi^2=1.508$   $p=0.219$ ).

365 Owners in the *Stressed* condition received significantly more negative ( $p<0.001$ ) and  
366 less positive ( $p<0.001$ ) feedback than owners in the *Non-stressed* condition (Mann-Whitney  
367 tests,  $U_{(35)}=0.00$  for both) and these different types of manipulations affected their affective  
368 status differently. Namely, owners after having received negative feedback from the  
369 experimenter(*Stressed owner* condition) reported significantly greater increase in their state  
370 anxiety in comparison with those who received only positive feedbacks (*Non-stressed owner*  
371 condition) during the manipulation phase (Mann-Whitney test,  $U_{(35)}=12.5$   $p<0.001$ ) (Figure  
372 2).

373

### 374 3.2. Owners' memory performance (pre- vs. post manipulation periods - comparison 375 between the two experimental conditions)

376 There was a significant trial X type of manipulation interaction on the owners'  
377 memory performance (GEE,  $\chi^2=8.248$   $p=0.004$ ) without any main effects (trial:  $\chi^2=0.268$   $p=$   
378  $0.605$  type of manipulation:  $\chi^2=0.008$   $p=0.931$ ). Although the initial performance did not  
379 differ between the two experimental conditions (Mann-Whitney test,  $U_{(35)}=125$   $p=0.169$ ;  
380 Figure 3), the change in the number of recalled words was higher in the *Stressed owner*  
381 condition compared to the *Non-stressed owner* condition (Mann-Whitney test,  $U_{(35)}=91$   
382  $p=0.014$ ;Figure 4). This suggests that moderately increased anxiety improved the participants'  
383 memory performance. Moreover the owners' memory performance changed according to the  
384 change in their state anxiety (s-STAI) scores as was indicated by a positive correlation  
385 between them (Spearman's rank correlation test,  $r_{(35)}=0.39$   $p=0.017$ ).

386

### 387 3.3. Factors potentially influencing emotional contagion between dogs and their owners

388 In order to determine whether negative feedback given by the experimenter during the  
389 *Stressed* condition have the potential to become a direct stressor for the dogs, we have  
390 analysed the non-Hungarian coder's ratings of perceived level of stressfulness in the  
391 manipulation phase (Phase 2). Our analysis showed that based on the experimenter's non-  
392 verbal gestures, tone of the voice and other non-linguistic cues a human coder cannot  
393 discriminate between the *Stressed owner* and the *Non-stressed owner* conditions (Mann-  
394 Whitney test,  $U_{(35)}=130.5$ ;  $p=0.175$ ). This finding provides indirect evidence that stressing the  
395 owner by the E was not directly perceptible by the dogs.

396 We next investigated the possibility whether dogs' stress level could be influenced  
397 through their owners' different behaviour in the manipulation phase of the *Stressed* vs. *Non-*  
398 *stressed* condition. In fact, dogs got the opportunity to freely interact with their owners in  
399 Phase 2 and thus we may assume that during this period the perception of expressive  
400 behaviours of the owner can transfer emotional states from the owner to his/her dog. In line  
401 with this assumption we coded and analysed the owners' behaviour while interacting with  
402 their dogs. Although there was no difference between the groups regarding the time spent  
403 with physical contact (two sample t-test,  $t_{(35)}=0.011$   $p=0.768$ ), dog-owner pairs in the *Stressed*  
404 *owner* condition played less than in the *Non-stressed owner* condition ( $t_{(35)}=2.069$   $p=0.01$ ).  
405 Playing seems to be a good behavioural indicator of the owners' distress, because it correlates  
406 with the change in s-STAI (Spearman's rank correlation test,  $r_{(35)}=-0.453$   $p=0.005$ ) and with  
407 the change in the owners' memory performance as well ( $r_{(35)}=-0.37$   $p=0.024$ ). Further  
408 analyses showed that owners in both conditions gave more positive than negative  
409 reinforcements (Wilcoxon Matched-Pairs Ranks tests, *Stressed owner* condition:  $Z_{(18)}=-2.201$   
410  $p=0.028$  *Non-stressed owner* condition:  $Z_{(17)}=-3.726$   $p=<0.001$ ) and the number of negative  
411 reinforcements were not significantly different between conditions (Mann-Whitney test,  
412  $U_{(35)}=165$   $p=0.854$ ). At the same time dogs in the *Non-stressed owner* condition were

413 reinforced positively significantly more frequently than in the *Stressed owner* condition  
414 ( $U_{(35)}=86$   $p=0.01$ ). These characteristic changes of the owners' behaviour in the Stressed  
415 condition could potentially contribute to the contagion of stress in dog-human relationships.

416

#### 417 3.4. Dogs' behaviour during the separation phase (*Stressed Dog condition, Phase 2*)

418 All but two dogs showed active sign of distress for less than 20 sec (0-6.6 sec.) during the  
419 2.5 minutes separation thus for these subjects ( $N=13$ ) the duration of this episode was  
420 prolonged (+2.5 min.). The analysis of the relative percentage of the time spent with the  
421 different behaviours shows that dogs interacted with the experimenter 29.7% (range 1.2-  
422 89.9%) of the time on average. This was either physical contact ( $9.6\pm 14.1\%$ ) or playing  
423 ( $20.1\pm 26.7\%$ ) with the experimenter. They also explored the room ( $22.3\pm 7.9\%$ , range 11.1-  
424 34.5%) and behaved passively ( $30.2\pm 19.2$ , range: 4.8-60.4%). Dogs spent  $17.7\pm 15.6\%$  of time  
425 in close proximity (<1m) of the door but showed distress behaviours on average only  
426  $5.46\pm 13.1\%$  (range: 0-50%) of the total duration.

#### 427 3.5. Dogs' memory performance (*pre- vs. post manipulation periods - comparison between* 428 *all three conditions*)

429 Analysing the dogs' memory performance we found a significant main effect of trial (pre- vs.  
430 post manipulation periods: GEE,  $\chi^2=7.89$ ;  $p=0.005$ ), without a main effect of type of  
431 manipulation ( $\chi^2=1.227$ ;  $p=0.541$ ) or previous training experience ( $\chi^2=0.887$ ;  $p=0.346$ ). More  
432 importantly there was an interaction between manipulation type and trial ( $\chi^2=12.464$   $p=0.002$ )  
433 (Figure 5). In comparison with their 'baseline' performance (Phase 1) dogs in both the  
434 *Stressed owner* and the *Stressed dog* conditions showed a significant improvement in the post-  
435 manipulation (Phase 3) working memory test (Wilcoxon Matched-Pairs Ranks tests, *Stressed*  
436 *owner* condition:  $Z_{(18)}=2.682$   $p=0.007$ , *Stressed dog* condition:  $Z_{(13)}=2.253$   $p=0.024$ ). In the  
437 *Non-stressed owner* condition, however, there was no change ( $Z_{(17)}=1.261$   $p=0.207$ ).

438 The finding that dogs' working memory performance varied as a function of the  
439 manipulation in Phase 2 was further supported by the analysis of the difference between pre-  
440 and post-manipulation measures. That is, the number of errors changed differently in the three  
441 conditions (Kruskal Wallis test  $\chi^2_{(2)}=10.641$   $p=0.0049$ ; pairwise comparisons with Bonferroni  
442 correction: *Stressed owner* vs. *Non-stressed owner*:  $p<0.05$ ; *Stressed dog* vs. *Non-stressed*  
443 *owner*:  $p<0.05$ ). Dogs in the *Stressed* conditions showed an improved memory performance  
444 (Figure 6).

445 There is a negative correlation between the change in number of errors and the change  
446 in the owners' stress level (Spearman's rank correlation test,  $r_{(35)}=-0.483$   $p=0.002$ ) which  
447 suggest that dogs' performance was affected by their owners' affective states. It is also worth  
448 mentioning that dogs' change in memory performance also correlated with the relative time  
449 spent with playing ( $r_{(35)}=0.439$   $p=0.007$ ), dogs whose owners tended to play more with them  
450 during the manipulation phase committed more errors when re-tested in the memory task  
451 (Phase 3).

452 Dogs' better performance in the two *Stressed* conditions cannot be explained by the  
453 owners' more explicit encouragement, because the number of (verbal) encouragements did  
454 not differ between the pre- and post-manipulation phases (Phase 1 vs. Phase 3, Wilcoxon  
455 Matched-Pairs Ranks tests, *Stressed dog* condition:  $Z_{(14)}=29$   $p=0.21$ ; *Stressed owner* condition:  
456  $Z_{(18)}=-1.122$   $p=0.262$ ; *Non-stressed owner* condition:  $Z_{(17)}=-0.855$   $p=0.393$ ). Moreover there  
457 is no significant differences between the three groups (Kruskall Wallis test, before the  
458 manipulation:  $\chi^2_{(2)}=1.56$   $p=0.46$  after the manipulation:  $\chi^2_{(2)}=3.08$   $p=0.21$ ).

459 In addition, we analyzed whether previous training experience influenced dogs'  
460 memory performance. We compared the performance of dogs that had received some sort of  
461 official training (33) with those that had not (19), and found no difference either before  
462 (Mann-Whitney test  $U_{(51)}=259.5$   $p=0.302$ ) or after ( $U_{(51)}=285.5$   $p=0.592$ ) the manipulation.

463 The change in performance was not affected by previous training either ( $U_{(51)}=268.5$   
464  $p=0.389$ ).

465

#### 466 **4. Discussion**

467

468 In the current study we aimed to investigate the emotional contagion between dogs  
469 and owners and examined whether dogs show some sign of taking over their owners'  
470 affective state in a case where only the owner's affective state was manipulated. We also  
471 investigated whether the effects of this kind of contagion of an emotional state (increased  
472 level of stress) transfer to a different domain by affecting an aspect of cognitive performance  
473 as well. It has been shown that stress and stress hormones influence cognitive performance  
474 following an inverse U shape dose-response relationship in both humans (Belanoff et al.  
475 2001) and nonhuman animals (Rooszendaal 2000; Salehi et al. 2010), so low to moderate  
476 levels of distress have an improving effect on cognitive functions (Shors et al. 1989).  
477 Psychological stress can also cause physiological changes (Chida & Hamer 2008) and it  
478 mainly affects the hippocampus, the area of the declarative memory (Diamond et al. 1994).  
479 Our results are in line with this notion. The analyses of our data allow us to conclude that the  
480 owners' state anxiety was effectively manipulated by the experimenter (i.e. after having  
481 received negative feedback, owners achieved higher state anxiety scores). The owners'  
482 performance in the declarative memory task also seems to be affected by their anxiety level,  
483 leading to a better performance in the *Stressed owner* condition and findings from the  
484 *Stressed dog* condition indicate a similar effect of anxiety on dogs' spatial working memory.  
485 Moreover, dogs' working memory performance significantly correlated with the change in the  
486 owners' self-reported stress level and changed in the same direction as the owners' memory  
487 performance. This raises the possibility that their owners' state anxiety is contagious to dogs

488 and the emotional contagion can be tracked by measuring changes in dogs' memory  
489 performance.

490 It is important to note that owners' improved performance in a stressful situation could  
491 not only be generated by the moderately increased stress level; but could also be facilitated by  
492 the procedure, by the method of the manipulation. Namely, negative verbal feedback in a skill  
493 performance situation can be regarded as a kind of failure, and this can inspire people to  
494 perform better in the next task independent of the increased level of stress that negative  
495 feedback supposedly elicits. However, the literature also provides evidence suggesting that  
496 feelings of failure, when losing a competition, can cause stress hormone release (Bhatnagar &  
497 Vining 2003), therefore it may not be possible to disentangle these two seemingly different  
498 effects. Moreover, perceiving a situation more or less stressful depends on personality as well  
499 (Wirth et al 2006).

500 One possible alternative explanation of our results could be based on the discrepancy  
501 in the difficulty of the initial task. That is, owners performed more poorly in the baseline  
502 phase of the *Stressed owner* condition because they had a more difficult text to read and  
503 therefore they had more room for improvement by the end of the experiment. However, this is  
504 not likely since there was no main effect of condition on the memory performance of owners  
505 and pairwise analyses also confirm the notion that initial performance did not differ between  
506 the two experimental conditions. The declining memory performance in the *Non-stressed*  
507 *owner condition* can be best explained by fatigue, because participants had to read and learn a  
508 lot and solve several tasks during the long time of the experiment. On the other hand they  
509 probably did not feel any motivation to perform better at the end of the experiment.

510 Another factor that could have influenced the success of the manipulation is the dogs'  
511 level of training. It could be argued that since we expected the transmission of affective state  
512 to happen – at least partly – during an obedience task, dogs that had gone through obedience

513 training might respond differently and may not experience that much stress (or alternatively  
514 may be more attuned to the owner and therefore be more sensitive to their signals). However,  
515 we have shown that the change in memory performance did not depend on the level of  
516 training, therefore this explanation can be ruled out.

517 A key finding of the present study is that the anxiety experienced by the owner  
518 influences their dog's behaviour and that these effects are manifested in the cognitive domain.  
519 We propose that this phenomenon can be best explained by emotion contagion as the dogs'  
520 performance was not directly reliant on the owner's affective state or behaviour. Dogs had to  
521 solve the task on their own, therefore any change in performance had to be the result of  
522 previous interactions. Since very similar effects were observed in the memory performance of  
523 the owners, it is plausible to assume that the change of affective state was also similar.

524 The improvement of spatial working memory performance of dogs in the *Stressed*  
525 *owner* condition was similar to that of the *Stressed dog* condition. Since there were significant  
526 differences in the owners' play behaviour and the use of positive reinforcement while  
527 interacting with their dogs, we may assume that the owners' affective state was transmitted at  
528 least partly through these behaviour signals. Of course dogs could be influenced by other  
529 sources of information, for example the owners' body language (Merola et al. 2012), facial  
530 expression (Nagasawa et al. 2011; Racca et al. 2012), emotional valence of the  
531 commands (Ruffman & Morris-Trainor 2011), or other unobservable behavioural signals or  
532 odour cues (Prehn-Kristensen et al. 2009).

533 One of the most important questions in the literature on emotional contagion concerns  
534 the problem of how these behavioural cues contribute to the transmission of emotions. Taking  
535 an interspecies approach to the question can shed some further light on the matter. Non-  
536 conscious mimicry of expressions has been suggested to play a key role in intraspecies cases  
537 (e.g. Hatfield et al. 1993) during which the emotional expression of one individual is imitated



538 by the observer, generating a similar feeling in him/her too. However, non-conscious mimicry  
539 is unlikely to work properly between individuals of a different species. Therefore it seems a  
540 plausible explanation that a more sophisticated perception of the social context contributes to  
541 the phenomenon and that it cannot be accounted for by such direct physiological changes. The  
542 importance of a higher level of social sensitivity is also in line with findings that show that  
543 less social species, such as the red-footed tortoise, are not susceptible to a related  
544 phenomenon, contagious yawning (Wilkinson et al. 2011). The dog's special sensitivity to  
545 human behavioural cues, however, can lead to the appearance of emotional contagion  
546 between different species and may also serve similar functions as in a human-to-human  
547 interaction.

548 In sum, we showed similar effects in dogs as in their owners with direct manipulation  
549 of the owners only, supporting the existence of emotional contagion between two different  
550 species. Recent experimental data suggest that dogs' behaviour can be influenced by the  
551 pretended emotion of a human. For example they show an empathic-like response toward a  
552 crying human (Custance & Mayer 2012), and react to an unfamiliar object according to the  
553 owner's attitude (Merola et al. 2012). The current study extends our understanding of these  
554 results since the change in the memory performance observed in dogs is unlikely to be  
555 attributed to any conditioned response to the behavioural cues of the human. Furthermore, this  
556 study gives further support for the idea that the real emotions of the owner can influence the  
557 dog; and our results suggest that the underlying mechanism may be emotion contagion. This  
558 points to the conclusion that it is possible to influence the dog's stress level via the owner  
559 even in an artificial situation. We suggest that these effects are due to the special  
560 domestication history of the dog that has endowed this species with a unique sensitivity to the  
561 behavioural cues of humans.

562

563

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567

568 **Ethical standards**

569 The experiments comply with the current Hungarian laws.

570

571

572

573 **References**

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- 698

699 Figure legends

700

701 Figure 1: Experimental arrangement of the dog Spatial Working Memory task. The owner  
702 made the dog sit equidistant from the 7 plastic containers serving as hiding places. The  
703 positions of the containers are labelled as L(left) 1-3, R(right) 1-3 and M(middle).

704

705 Figure 2: Comparison of the owners' state-anxiety scores obtained from pre- and post-  
706 manipulation phases (median, quartiles and extreme values) in the Non-stressed- and Stressed  
707 owner conditions. (\*  $p < 0.001$ )

708

709 Figure 3: Number of words recalled by the owners in the declarative memory task before and  
710 after the manipulation.

711

712 Figure 4: Changes in the number of words (pre- vs. post-manipulation phases; median,  
713 quartiles and extreme values) recalled by the owners in the declarative memory task. (\*  $p =$   
714 0.014)

715

716 Figure 5: Number of erroneous choices (pre- vs. post-manipulation phases; median, quartiles  
717 and extreme values) by the dogs in the memory task. (\*  $p < 0.05$ )

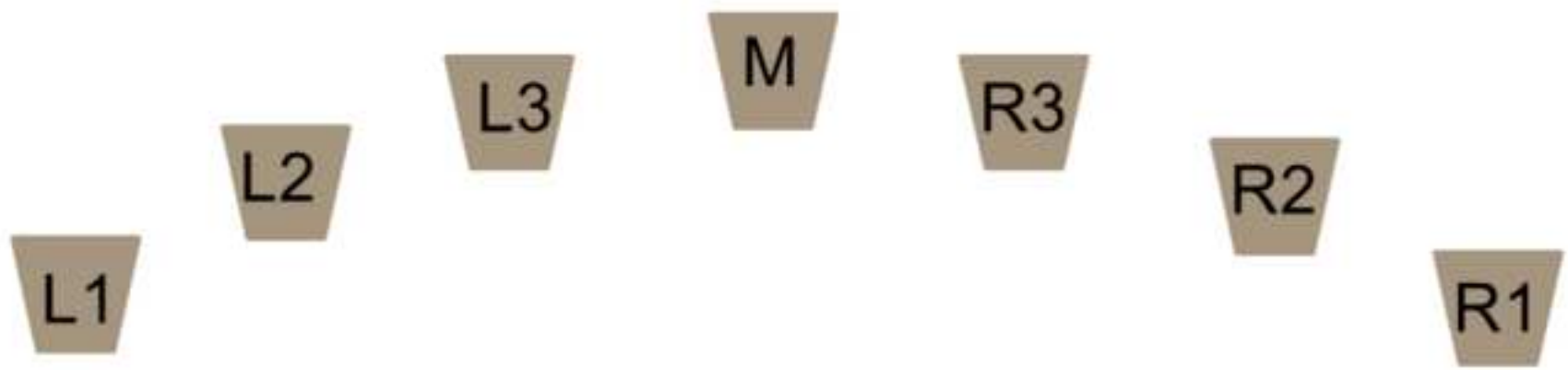
718

719 Figure 6: Changes in the number of dogs' erroneous choices in the Spatial Working Memory  
720 task (pre- vs. post-manipulation phases; median quartiles and extreme values. (\*  $p = 0.0049$ )

721



trip



✕ Dog & Owner

Figure2

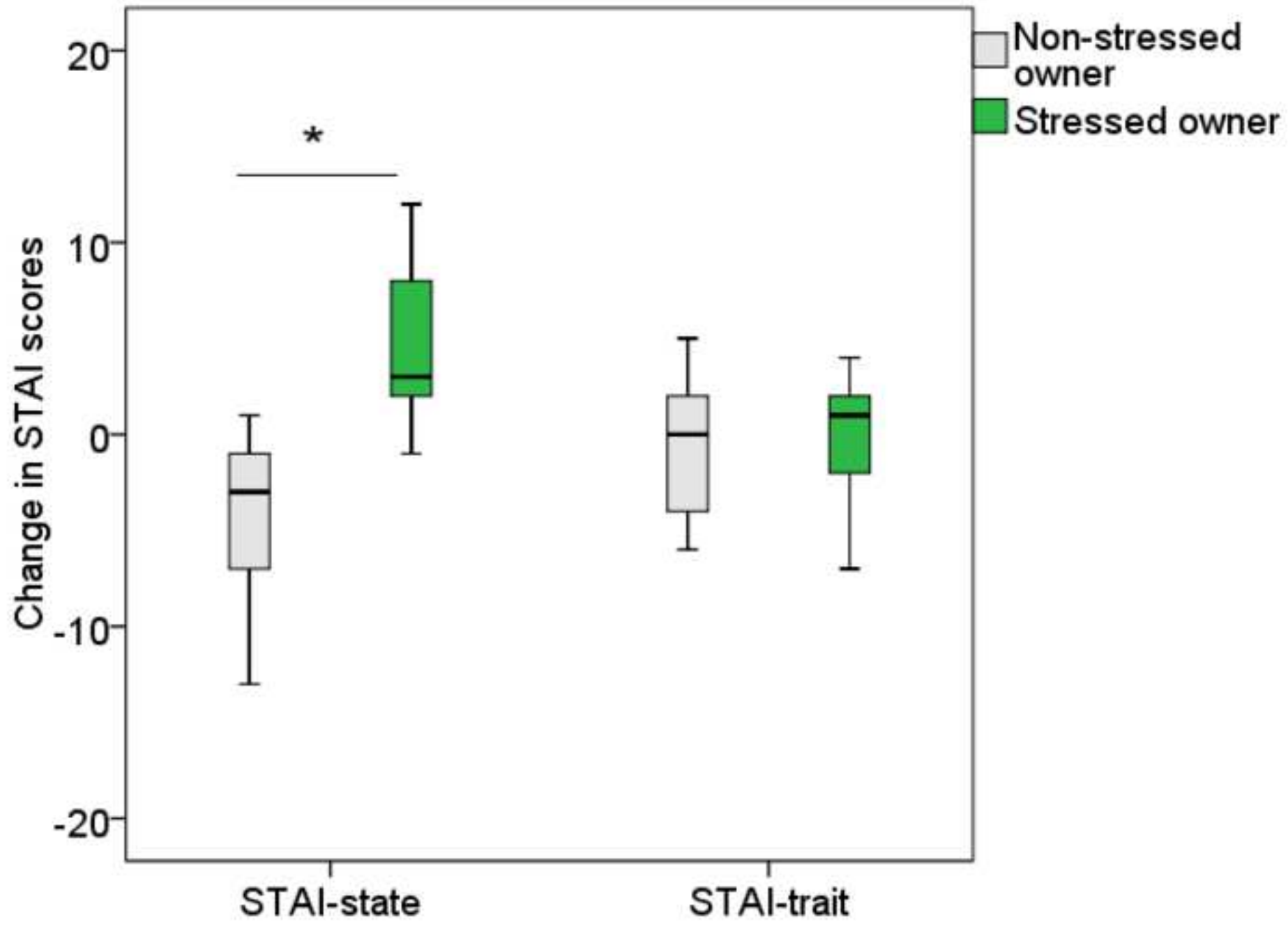
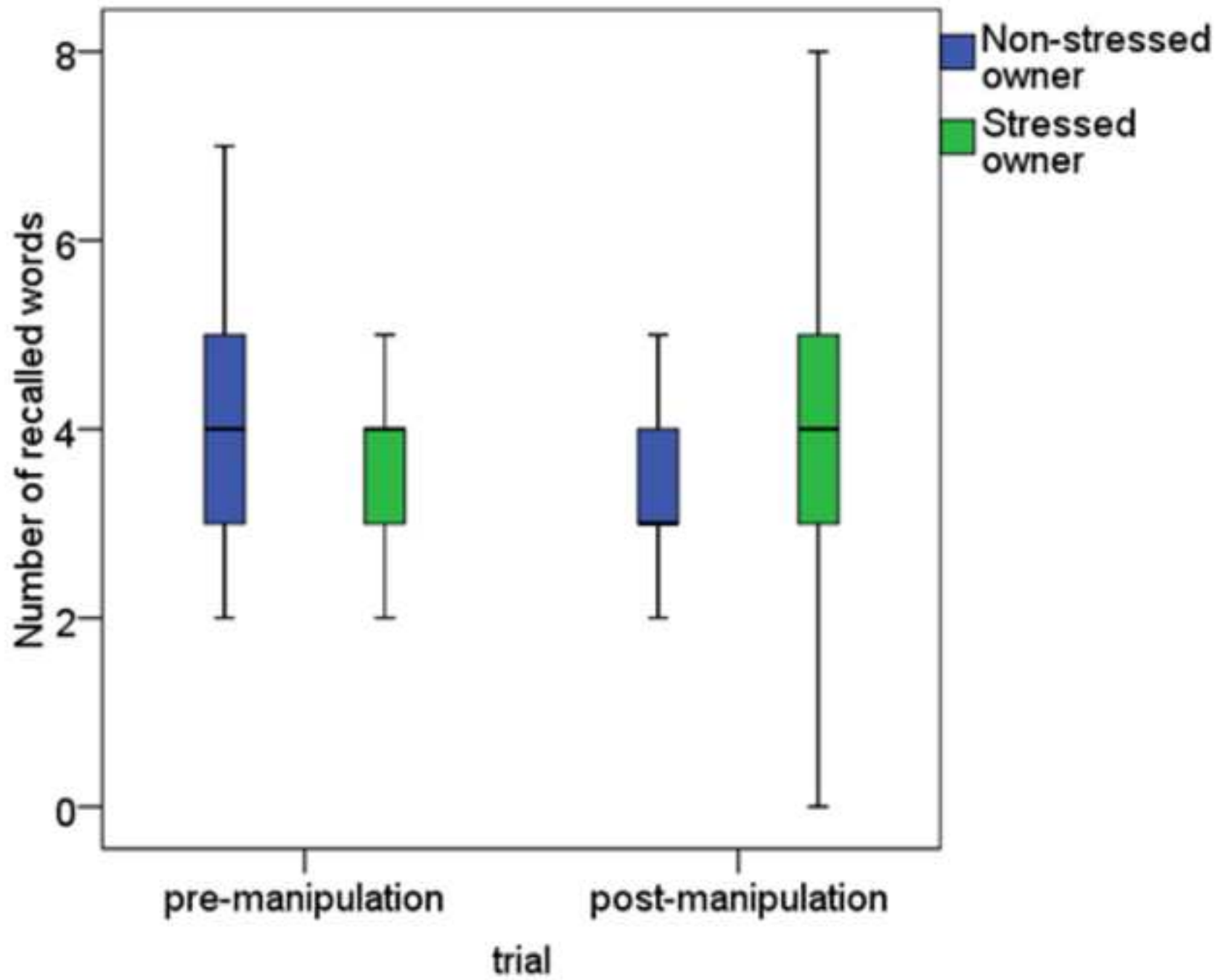
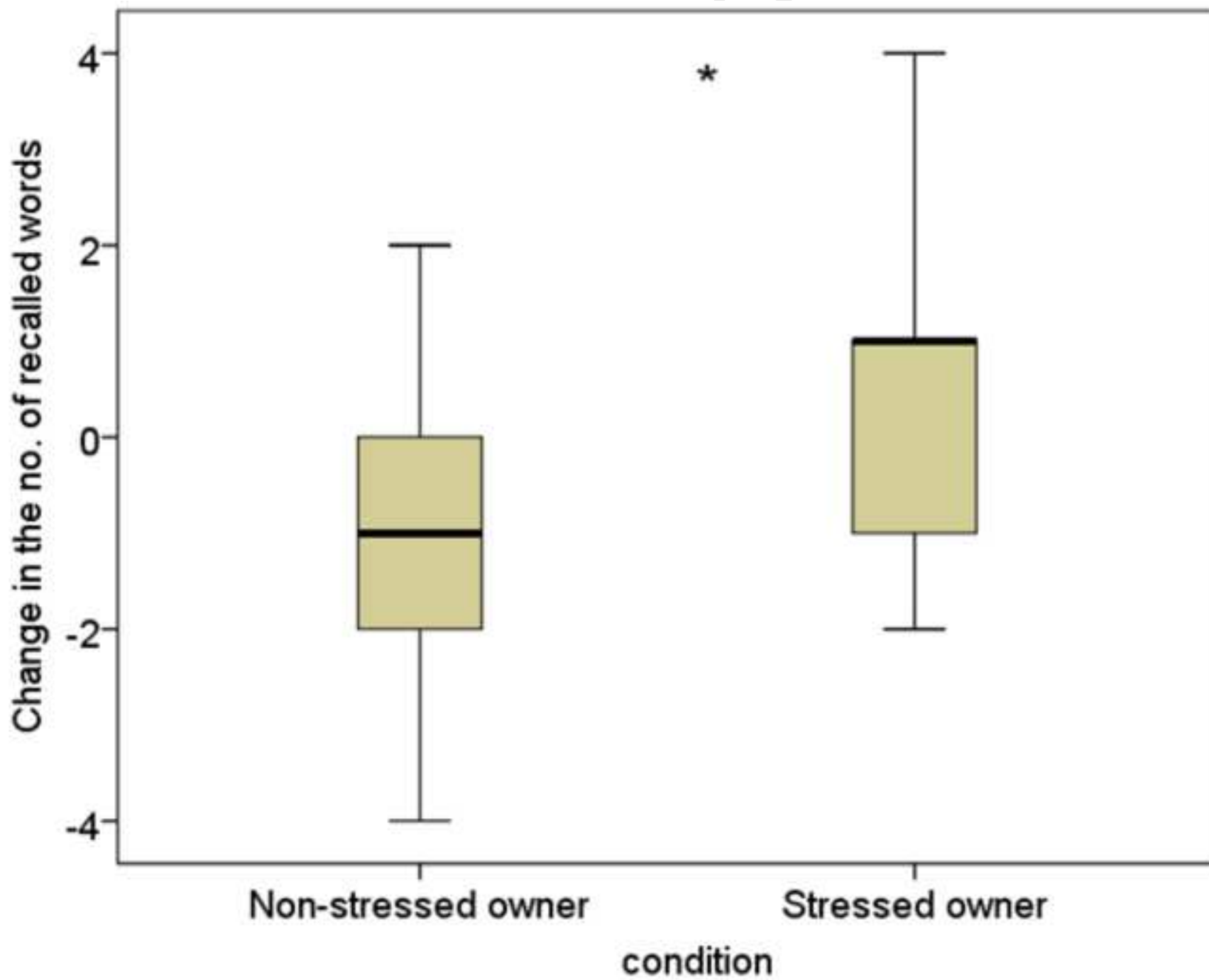


Figure3



Preprint



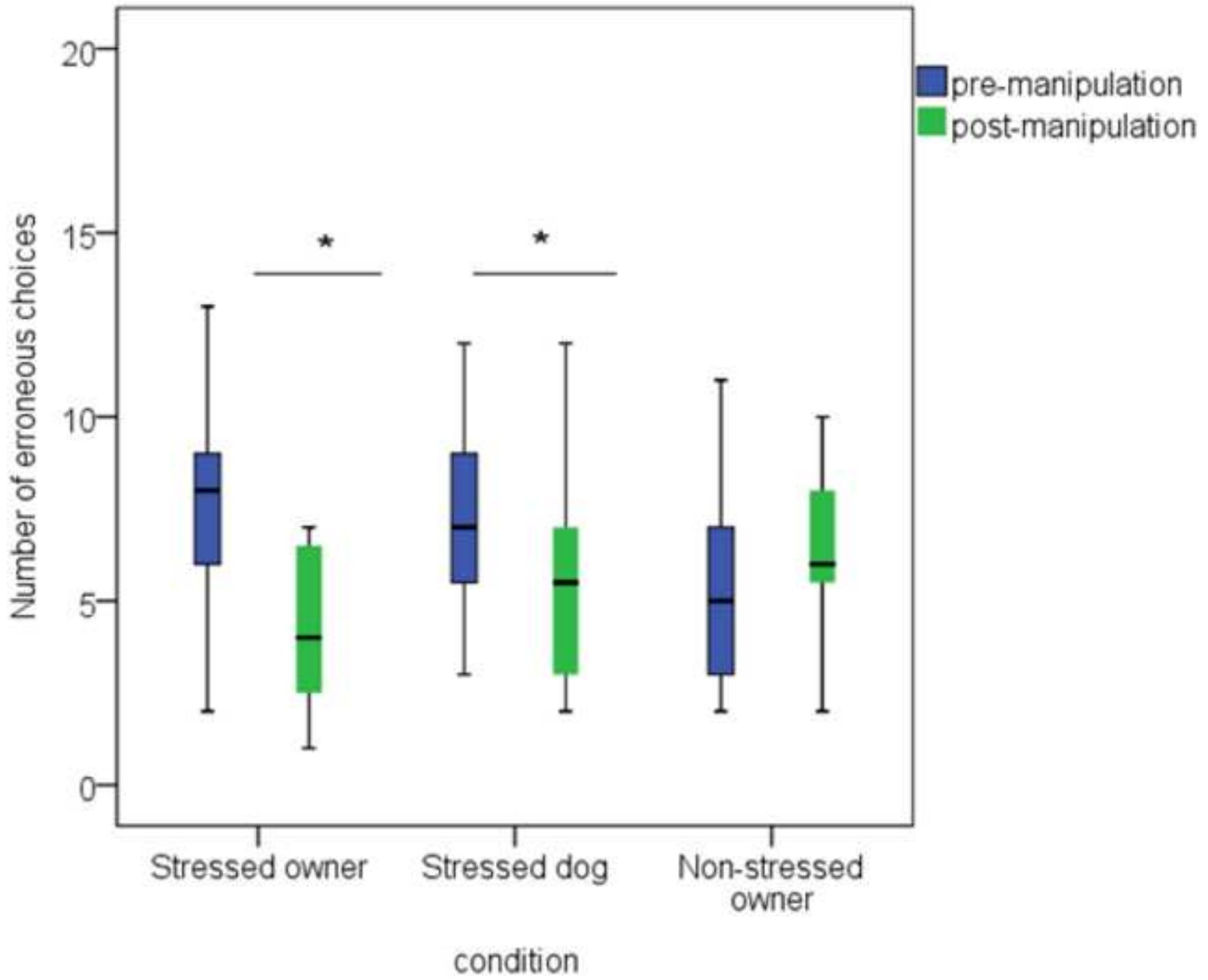


Figure6

