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Remote sensing methods for the biophysical characterization of protected areas globally: challenges and opportunities

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ISPRS International Journal of Geo-Information

Accepted/In press: 28/05/2021

Peer reviewed version

[Cyswllt i'r cyhoeddiad / Link to publication](#)

Dyfyniad o'r fersiwn a gyhoeddwyd / Citation for published version (APA):

Martinez-Lopez, J., Bertzky, B., Willcock, S., Robuchon, M., Almagro, M., Delli, G., & Dubois, G. (Accepted/In press). Remote sensing methods for the biophysical characterization of protected areas globally: challenges and opportunities. *ISPRS International Journal of Geo-Information*.

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1 Remote sensing methods for the
2 biophysical characterization of
3 protected areas globally: challenges
4 and opportunities

5
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- 8
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15

16 **Abstract**

17
18 Protected areas (PAs) are a key strategy to reverse global biodiversity declines, but they are
19 under increasing pressures from anthropogenic activities and concomitant effects. Thus, the
20 heterogeneous landscapes within PAs, containing a number of different habitats and
21 ecosystem types, are in various degrees of disturbance. Characterizing habitats and
22 ecosystems within the global protected area network requires large-scale monitoring over long
23 time scales. This study reviews methods for the biophysical characterization of terrestrial PAs
24 at global scale by means of remote sensing (RS) and provides further recommendations. To
25 this end, we first discuss the importance of taking into account structural and functional
26 attributes, as well as of integrating a broad spectrum of variables, to account for the different
27 ecosystem and habitat types within PAs, considering examples at local and regional sale. We
28 then discuss potential variables, challenges and limitations of existing global environmental
29 stratifications, as well as biophysical characterization of PAs, finally offering some
30 recommendations. Computational and interoperability issues are also discussed, as well as
31 the potential of cloud-based platforms linked to earth observations to support large scale
32 characterization of PAs. Using RS to characterize PAs globally is a crucial approach to help
33 ensure sustainable development, but requires further work before such studies are able to
34 inform large-scale conservation actions. This study proposes 14 recommendations in order to
35 improve existing initiatives to biophysically characterize PAs at global scale.

36

37 **Keywords:** Protected areas; remote sensing; biophysical characterization.

38

39 1. Introduction

40
41 Protected areas (PAs) are one of the main conservation strategies to counter the current
42 biodiversity crisis [1]. However, PAs are under ongoing social, economic and environmental
43 threats and so the conservation of biodiversity within PAs and the restoration of PAs constitute
44 one of the main current socio-political challenges [2]. The long-term conservation benefits of
45 PAs depend on timely management actions based on relevant data and models that can
46 predict the response of ecosystems to various stress factors [3,4].

47
48 Anthropogenic activities and the changes in land use they generate have an impact on how
49 efficient PAs are in protecting biodiversity globally [5,6]. Moreover, climate change impacts
50 severely affect PAs, including increased frequency of flooding, soil erosion and plant water
51 stress [7]. It is increasingly recognized that even large, historically stable ecosystems (such
52 as the Amazon) are threatened and could undergo regime shifts to alternative ecosystems
53 within 50 years [8].

54
55 The 2030 Agenda for Sustainable Development¹ recognizes that social and economic
56 development depends on the sustainable management of our planet's natural resources. The
57 new EU Biodiversity Strategy for 2030 has further set ambitious goals and objectives regarding
58 PAs, i.e. (i) to legally protect 30% of the EU's land's area and 30% of the EU's sea's area, (ii)
59 to strictly protect at least a third of the EU's PAs and (iii) to effectively manage and monitor all
60 PAs [9]. The forthcoming new global strategy of the UN Convention on Biological Diversity
61 (CBD) is also likely to set targets that are more ambitious than those set for 2020. Addressing
62 these challenges requires large-scale integrated studies that characterize PAs as well as
63 knowledge sharing platforms where scientists, managers and policy makers can work together
64 to address the challenges mentioned above [10,11].

65
66 Recently, there has been an increase in the attention paid not only to the conservation of
67 biodiversity within PAs but also to the preservation of important habitat and ecosystem
68 functions and services [12–15]. Indeed, natural ecosystems provide us, among others, with
69 drinking water, timber, food, pollination and carbon storage as well as cultural and spiritual
70 services. This was examined in detail in the Millennium Ecosystem Assessment [16] and was
71 further reflected in Aichi Target 11² adopted by CBD parties in 2010. Moreover, habitat and
72 ecosystem characterizations can provide important complementary insights to the more
73 commonly used species-based approaches to conservation [17–19].

74
75 Remote sensing is considered a valuable source of information for the management of natural
76 resources and landscapes [20–22], as well as for the development of indicators for monitoring
77 progress towards international environmental targets such as the Sustainable Development
78 Goals (SDGs) [23,24]. Available time series allow, among others, the monitoring of vegetation
79 condition, landscape and habitat changes, land degradation, the assessment of ecosystem
80 services, the identification of disturbed areas, and the monitoring of the spread of invasive
81 species [25–28]. They thus help to understand ecosystem response and resilience to multiple

¹ <https://www.un.org/sustainabledevelopment/sustainable-development-goals/>

² <https://www.cbd.int/aichi-targets/target/11>

82 stressors [29]. In this regard, remote sensing has revolutionized our ability to monitor PAs over
83 the past decade [20,30–33].

84
85 Several broad types of application can be supported by RS data and models in relation to
86 PAs. A first type would be the near / real time monitoring of biodiversity, pressures and threats,
87 environmental anomalies (such as weather and vegetation) and events such as fires, floods
88 and storms - all highly relevant to inform day-to-day PA management, enforcement and risk
89 management, etc. [34–36]. A second type of application would be the mapping and
90 assessment of specific habitats and ecosystems - relevant for e.g. management plans,
91 monitoring strategies or condition assessment. This latter type of studies paves the way for a
92 third type of application that extends specific habitat or ecosystem mapping and assessment
93 methods and integrates this information to systematically characterize PAs based on their
94 ecological complexity - relevant for e.g. zoning plans, assessment of representativeness,
95 prioritization of PAs or the identification of new areas requiring protection [37–40]. This paper
96 focuses on these biophysical characterization applications.

97
98 While there have been a few attempts to characterize landscapes from an ecological
99 perspective from local to regional scale [41–45], global characterization of PAs is urgently
100 needed for the identification of gaps in current protection efforts, the systematic design of
101 complementary PAs, raising awareness about the ecological values of PAs, as well as to
102 support international policy initiatives aimed at preserving biodiversity and ensuring a high
103 provision of ecosystem services [46]. Moreover, global biophysical characterization of PAs
104 can also facilitate and complement biodiversity based protection initiatives and
105 characterizations [47–49]. As an example of previous global efforts, the ‘terrestrial ecoregions
106 of the world’ [6,50] represent a set of large ecologically meaningful regions at global scale,
107 containing distinct assemblages of natural communities and species, but do not provide
108 additional information on ecosystems contained within those ecoregions and have rather been
109 used to prioritize the conservation importance of larger regions [51].

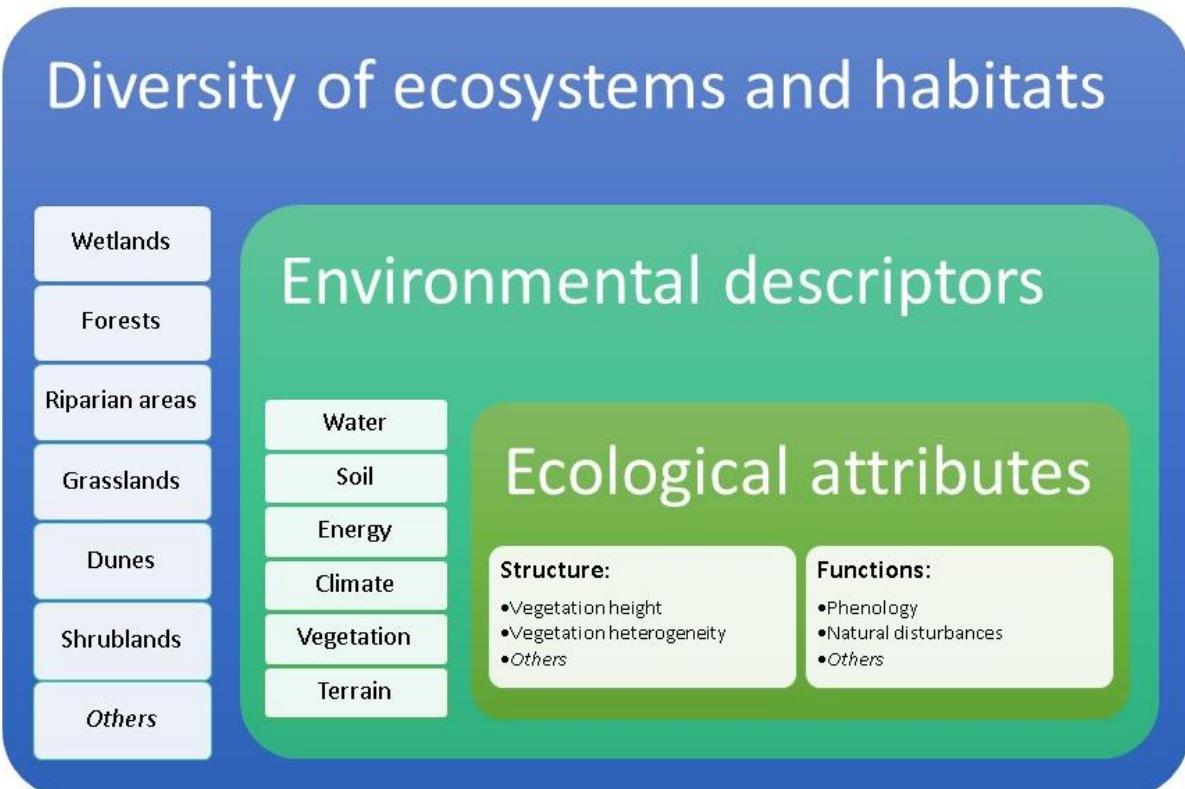
110
111 This study seeks to provide recommendations for the biophysical characterization of terrestrial
112 PAs at global scale by means of RS. To this end, in section 2 we discuss the importance of
113 taking into account structural and functional attributes, as well as of integrating a broad
114 spectrum of variables, to account for the different ecosystem and habitat types within PAs,
115 reviewing examples at local and regional sale. In section 3, we discuss potential candidate
116 input variables at global scale for the characterization of PAs, as well as challenges and
117 limitations of existing global environmental stratifications and biophysical characterization of
118 PAs, and offer recommendations. Computational and interoperability issues are also
119 discussed, as well as the potential of cloud-based platforms linked to earth observations to
120 support large scale characterization of PAs. Finally, section 4 provides a summary list of
121 recommendations. Although focusing on terrestrial areas, we also mention a few examples of
122 RS data used to characterize Marine Protected Areas (MPAs).

123

124 2. Relevant ecological units and descriptors

125

126 In order to comprehend the ecological complexity in PAs, biophysical characterizations of PAs
127 should take into account the different ecosystem and habitat types that are present within
128 them and, as much as possible, distinguish their ecological attributes, including structural and
129 functional ones. To this end, a wide range of environmental descriptors should be included in
130 the analysis, including drivers that ultimately shape ecosystems (Figure 1).
131



132 Figure 1. Overview of the different elements that need to be included and analyzed in
133 biophysical characterization of PAs.
134

135 The assessment of structural attributes, such as vegetation height or heterogeneity by means
136 of RS, helps distinguish characteristic ecosystems and habitats within PAs - such as forests,
137 wetlands, grasslands, shrublands, dunes and riparian habitats, among others. Furthermore,
138 RS variables related to functional attributes, such as vegetation phenology or energy fluxes,
139 have proven to complement and improve habitat and ecosystem classifications based only on
140 structural features by capturing the occurrence of natural disturbances, vegetation
141 productivity, etc. [52–54]. Several studies have reviewed the use of RS for assessing habitat
142 and ecosystem structure, function and condition in PAs at local and regional scale [24,55–62].
143

144 With regard to structural attributes, wetlands, riparian forests and dune habitats for example
145 have been mapped by means of texture and object-based RS data analysis and machine
146 learning algorithms in order to characterize and monitor changes in PAs [63–73]. Grasslands
147 have been accurately mapped using time-series of RS data [74]. Forest and shrubland
148 structure has been mapped by means of very high-resolution imagery [75–77]. Tree species
149 richness across the tropics has been mapped by means of full-waveform lidar data [78].
150 Vegetation structure has been mapped at local and regional level in PAs by means of manned
151 and unmanned aerial vehicles carrying airborne LiDAR and multi- and hyperspectral sensors
152

153 [79–81]. Chetan and Dornik [82] quantified changes in vegetation greenness and structure
154 within Natura 2000 sites over 20 years. Vegetation heterogeneity and pattern has been
155 characterized by means of image texture measures (i.e., Grey Level Co-occurrence Matrix)
156 derived from RS data [83–89].

157
158 In relation to functional attributes, several studies have quantified vegetation productivity over
159 time by means of remote sensing derived indices and have found correlation with biodiversity
160 patterns [90–93]. Moreover, the effect of disturbances, such as post-fire forest vegetation
161 regrowth has been studied by means of different RS vegetation indices [94,95]. For a recent
162 review of methods, sensors and ecosystems structural and functional attributes assessed by
163 means of RS in PAs see [33].

164
165 Furthermore, given the inherent ecological complexity that can be found within PAs, their
166 systematic characterization needs to extend specific habitat or ecosystem mapping and
167 assessment methods, so that all habitat and ecosystem components that are present within
168 them are taken into account [96,97]. By stratifying the natural landscape into homogeneous
169 regions defining ecological units, the complexity of PAs can be converted into something that
170 is more manageable and understandable [98]. For example, if a protected landscape contains
171 both a lake and mountains, separating both elements cartographically would help inform and
172 support adaptive management. In this regard, methods to characterize PAs should rely on a
173 comprehensive list of environmental quantitative descriptors based on RS data, which could
174 be categorized into different topics: a) vegetation, including structure, phenology and
175 disturbances; b) climate; c) water budget; d) energy exchanges; e) terrain and f) soil, among
176 others (Table 2).

177
178 As previously mentioned, vegetation related variables, such as the amount of woody and
179 herbaceous biomass or different vegetation indices, can help us distinguish between broad
180 ecosystem types (such as forests, grasslands or wetlands) by capturing their structure,
181 phenology and productivity [99]. Climatic descriptors, such as precipitation and temperature,
182 are also important variables to be included in biophysical assessments to represent
183 seasonality, extremes and limiting climatic factors [100–103]. Topographic gradients drive
184 many patterns and processes in hydrology and ecology and are key to understanding the
185 variation of habitats and biodiversity [104,105]. Water related variables are also a good proxy
186 for plant water stress and presence of aquatic ecosystems, and can therefore supplement the
187 information on climate and vegetation by distinguishing differing responses to available water
188 [106–108]. Variables that describe the energy exchanges between the land surface and the
189 atmosphere, as well as the partition of energy into ground and vegetation are also essential
190 for ecological assessment and modelling [109].

191
192 Soil data are often ignored when characterizing PAs but more than 25% of the Earth's species
193 live only in the soil [110]. Besides, soils form the foundation for many vegetation types and
194 provide key supporting ecosystem services that are crucial for the maintenance of other types
195 of services [111]. Given that soil biodiversity cannot be directly monitored by RS, soil
196 descriptors that can be directly or indirectly monitored by RS and modelling can act as proxies
197 [112,113]. In this regard, soil organic carbon appears as one of the main drivers of soil
198 microbial biodiversity at the global scale [114–116], particularly in extreme environments with
199 low net primary productivity, such as polar [117] and dryland regions [118]. Soil texture is also

200 a relevant descriptor since previous research has demonstrated that soil biota abundance and
201 biodiversity, particularly soil microorganisms, increase with decreasing soil particle size [119].
202

203 3. Global characterization of protected areas

204 3.1. Global input variables and data sources.

205
206 In the previous section we have reviewed the importance of taking into account structural and
207 functional attributes, as well as of integrating a broad spectrum of variables, to account for the
208 different ecosystem and habitat types within PAs. In this subsection we give a list of potential
209 candidate input variables, mapped at global scale, for global characterizations of PAs and
210 discuss some limitations and recommendations.

211
212 Data sources presenting time series and regular updates at global scale should be favored
213 over single records in time to allow for the assessment of change over time and identify
214 reference conditions. Often, when correlated variables are used, principal component analysis
215 can be applied in order to compress them and use the resulting uncorrelated axes as input for
216 the models to avoid redundant predictors [120].

217
218 Given that global RS data usually shows greater inaccuracies than local or regional datasets,
219 the use of ensembles of different input data or models corresponding to the same variable
220 might be of advantage, providing more accurate outputs, as well as better conveying
221 uncertainty [121–125]. Besides, many biophysical variables mapped at local or regional scale
222 are not available at global scale, which might limit the relevance of global analyses for local
223 scale management. Therefore, global characterization of PAs should be primarily aimed at
224 informing larger scale conservation and management actions and plans, unless no better
225 information is available at local or regional scale.

226
227 Table 1 lists a set of recommended variables that can be used at global scale for the
228 biophysical characterization of terrestrial PAs. The list is not exhaustive but provides a wide
229 range of relevant variables, including potential data sources. A more comprehensive list of
230 potential variables can be found at the Global Climate Observing System Programme³ or the
231 Copernicus Global Land Service⁴. A table with additional information including URLs of data
232 sources can be found as supplementary material. For MPAs, previous studies have
233 highlighted candidate variables measurable by RS relevant to characterize marine habitats
234 [126–130]. They include, among others, bathymetry, concentration of chlorophyll-a, sea
235 surface temperature or sea surface salinity. A comprehensive list of these marine variables -
236 together with access to the RS measurements of these variables - can be also found at the
237 Copernicus Marine Service⁵ and the Living Wales Geoportal⁶.

238
239 Table 1. Relevant biophysical input variables that can be used for the characterization of
240 terrestrial protected areas at global scale. Acronyms used: NASA National Snow and Ice Data

³ <https://public.wmo.int/en/programmes/global-climate-observing-system/essential-climate-variables>

⁴ <http://land.copernicus.vgt.vito.be/PDF/portal/Application.html#Home>

⁵ https://resources.marine.copernicus.eu/?option=com_csw&task=results

⁶ <https://wales.livingearth.online/data/environmental-variables/marine/>

241 Center (NSIDC); U.S. Geological Survey (USGS); European Space Agency (ESA); Global
 242 Land Analysis and Discovery (GLAD); Hydrological data and maps based on SHuttle Elevation
 243 Derivatives at multiple Scales (HydroSHEDS); General Bathymetric Chart of the Oceans
 244 (GEBCO).

Topic	Variable	Based on RS	Temporal extent and resolution	Spatial resolution	Producer
Climate	WorldClim bioclimatic variables (a set of temperature and rainfall variables specifically developed for ecological modeling)				
Climate	Mean annual precipitation	No	Monthly average climate datasets from the period 1970 to 2000 and future climate data.	1 km	WorldClim version 2.1: [101]
Climate	Potential Evapotranspiration	Yes	Multi-daily datasets from 2001 to present.	500 m	USGS
Climate	Cloud cover	Yes	Monthly average from a 15 years period (2000-2014)	1 km	EarthEnv [131]

Vegetation	Fire frequency	Yes	Monthly data from 2001 to present.	250 m	ESA Copernicus [132–134]
Vegetation	Percentage of woody vegetation cover				
Vegetation	Percentage of grassland cover	Yes	Yearly datasets from 2000 to 2020.	250 m	USGS
Vegetation	Mean of the maximum and minimum Normalized Difference Vegetation Index	Yes	Multi-daily datasets from 2000 to present.	250 m	USGS

Vegetation	Leaf Area Index	Yes	Multi-daily datasets from 2014 to present.	300 m	ESA Copernicus
Vegetation	Vegetation height	Yes	2019	30 m	GLAD [135]
Soil	Surface Soil Moisture	Yes	Daily datasets from 1978 to present.	27.75 km	ESA Copernicus
Soil	Soil organic carbon	No	Reference period: 1905-2016.	250 m	SoilGrids

Soil	Soil texture				
Soil	Soil acidity				
Terrain	Slope, elevation and aspect	Partially	2020	500 m	GEBCO
Terrain	Modified Topographic Index (can be derived from flow accumulation)	Partially	2008	500 m	HydroSHEDS [136]

Water	Mean Normalized Difference Water Index (can be derived from surface reflectance composites).	Yes	Daily datasets from 2000 to present.	500 m	USGS
Water	Water seasonality	Yes	Reference period: 1999-2018.	30 m	GLAD [108]
Water	Snow water equivalent (amount of water contained within the snowpack).	Yes	Daily datasets from 2002 to 2011.	25 km	NSIDC
Water	Snow cover fraction or frequency	Yes	Daily datasets from 2000 to present.	500 m	NSIDC

Energy	Surface albedo				
Energy	Land Surface Temperature (LST; a mixture of vegetation and soil temperature)	Yes	Multi-daily datasets from 2000 to present.	5.6 km	USGS
Energy	Mean solar radiation	No	Monthly average climate datasets from the period 1970 to 2000.	1 km	WorldClim version 2.1: [101]

245

246 3.2. Global environmental stratifications.

247

248 There are several biophysical characterizations available at global scale partially or totally
 249 based on RS data and modelling. Metzger et al. [139] used a broad set of bioclimatic variables
 250 to stratify the world in 18 environmental zones in order to support global ecosystem research
 251 and monitoring. Ivits et al. [53] mapped Global Ecosystem Functional Types using vegetation
 252 phenology and productivity variables by means of principal components and cluster analysis.
 253 Sayre [140] developed a map of Global Ecological Land Units using bioclimate, landforms,
 254 lithology and land cover variables. Tuanmu and Jetz [141] developed 14 remote sensing-
 255 based metrics to characterize habitat heterogeneity at 1 km resolution at global scale based
 256 on textural information extracted from the Enhanced Vegetation Index (EVI; [142]), and found
 257 out that bird species richness was strongly associated with habitat heterogeneity. Jung et al.
 258 [17] developed a global map of terrestrial habitat types following the IUCN habitat classification
 259 scheme⁷ based on land cover, climate and land use data. Sayre et al. [51] developed a global
 260 classification of World Climate Regions and World Ecosystems based on environmental
 261 descriptors, such as landforms, moisture, temperature, vegetation type and land use. Finally,

⁷ <https://www.iucnredlist.org/resources/habitat-classification-scheme>

262 [143] developed a Global Ecosystem Typology, including indicative distribution maps, based
263 on a large set of different environmental descriptors, existing global occurrence maps of
264 specific ecosystem types, and previous global environmental characterizations. They used a
265 hierarchical classification system that first characterizes ecosystems by their ecological
266 functions and then distinguishes ecosystems with contrasting species assemblages.

267

268 These global stratification initiatives are not limited to PAs and are indeed useful to prioritize
269 the conservation importance of larger regions. However, RS and modelling efforts specifically
270 aimed to systematically characterize PAs could provide more relevant information needed to
271 inform several policy initiatives, as well as to support management applications in PAs at
272 regional or global scale, such as the assessment of ecological representativeness, the
273 prioritization of PAs, connectivity assessments, the mapping of new areas requiring protection,
274 etc.

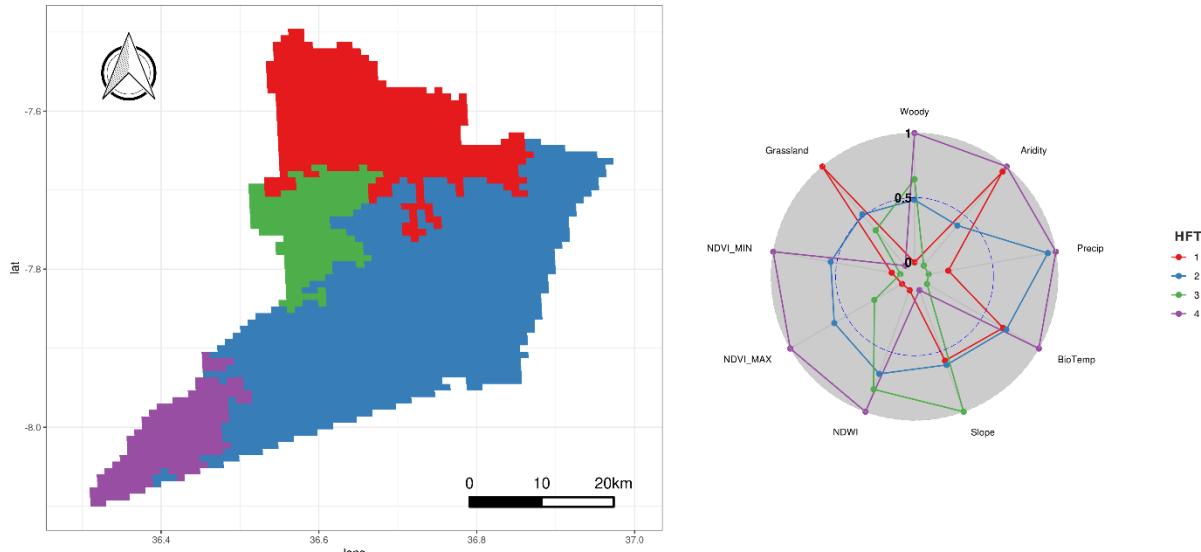
275

276 3.3. Global characterization of protected areas.

277

278 In relation to global characterizations within PAs by means of RS and modelling, [144]
279 developed the EODHaM system for characterizing habitats in PAs and surrounds using earth
280 observation data and expert knowledge. They used a semi-automated statistical procedure
281 based on data related to terrain, vegetation, water balance and land use. As part of the Digital
282 Observatory for Protected Areas (DOPA; [145]), [120] systematically stratified PAs globally
283 into different habitat functional types based on remote sensing data and modelling and allowed
284 for the quantification of the similarity between a reference area (representing a habitat
285 functional type) and the surroundings based on a set of ecological indicators [146–148]. The
286 method also graphically compares the ecological features of each habitat functional type found
287 in a PA to help identify their main characteristics and understand the main biophysical
288 gradients that occur at PA level (Figure 2). The methodology uses a combination of several
289 multivariate statistical analyses based on different global predictors that accounted for climate,
290 topography, vegetation and water exchanges. One of the advantages of this methodology is
291 that the analysis is fully automated and it can be performed at different spatial resolutions,
292 which is especially important when dealing with smaller PAs. Furthermore, the similarity maps
293 that are produced can also be used to identify new potential areas to be protected to
294 strengthen ecological connectivity. When used in conjunction with forecasted bioclimatic data,
295 the approach can further help identify new areas for conservation considering current and
296 climate change scenarios [147].

297



298

299 Figure 2. Example map of the habitat functional types (HFTs) identified in the Udzungwa
 300 Mountains National Park (Tanzania) and normalized mean values of the biophysical variables
 301 used in the eHabitat+ model (EPSG:4326). NDVI stands for the Normalized Difference
 302 Vegetation Index and NDWI stands for the Normalized Difference Water Index. A detailed
 303 description of the study variables and the methodology followed can be found in [120].
 304

305 When prioritizing and ranking PAs, most studies have focused on species diversity to measure
 306 uniqueness [149,150]. However, biophysical characterizations have been also used, along
 307 with biotic variables, to perform gap and representation analyses in PAs [51,151]. Dubois et
 308 al. [147] proposed a methodology to assess the uniqueness of PAs based on biophysical
 309 variables which, however, lacked means to decompose each analyzed area into areas with
 310 similar ecological features. The methodology proposed by [120] partially solves the issue by
 311 identifying habitat functional types and mapping similar areas at ecoregion scale. This
 312 approach could be used to further create a composite indicator for each PA that reflects the
 313 biophysical richness of PAs and the uniqueness of their habitats. Coastal PAs should be
 314 especially taken into account when developing this kind of indices, given their inherent
 315 complexity as ecotones and the higher pressures they are exposed to because of human
 316 developments that are often concentrated along coasts [152–156].
 317

318 Perhaps the main limitation of global biophysical assessments using RS is the lack of ground
 319 truthing and comparison maps in order to evaluate results [157]. In this regard, resulting
 320 habitat and ecosystem types based on RS methods could be classified according to existing
 321 global typologies in order to serve and support different initiatives of habitat and ecosystem
 322 monitoring globally. For example, a hierarchical classification framework could be applied to
 323 the ecological features resulting from the methodology developed by [120] in which some key
 324 variables guide the first broad set of typologies and other variables help distinguishing more
 325 specific subclasses, according to existing typologies. Recent global environmental
 326 stratification initiatives previously mentioned already provide potential comparison maps, such
 327 as the IUCN Global Ecosystem Typology [158] and the set of World Climate Regions and
 328 World Ecosystems [51]. The approach proposed would allow for taking into account similar
 329 regional features into consideration as well as to go deeper into a specific global ecosystem
 330 type (e.g. Tropical moist forests, Mangroves).
 331

332 In relation to the marine realm, current efforts to globally characterize PAs by means of RS
333 have focused on the use of bathymetry. As such, DOPA uses a model of global bathymetry
334 that is partially based on RS data to compute a Marine Habitat Diversity index for MPAs [145].
335 The facts that (a) most RS methods can only derive information from the upper layer of the
336 ocean (with the exception of altimeters for coarse scale bathymetry), (b) that the spatial
337 resolution of available RS data may be too coarse to characterize MPAs, and (c) that RS-
338 based management of MPAs requires large financial and human resources, constitute major
339 impediments to the use of RS data to characterize MPAs [130]. These may explain why global
340 characterization of MPAs using RS is limited. However, initiatives to characterize PAs using a
341 broader set of RS measured variables are more numerous at regional [130,159,160] and local
342 scales [161,162]. Beyond the characterization of MPAs, RS data have been used to assess
343 the connectivity of MPA networks [154,163] and to delineate bioregions that can be further
344 used as a basis to inform the design of MPA networks [164–167].

345

346 3.4. Computing infrastructures.

347

348 Computational capacity is another important limitation when characterizing PAs at global
349 scale. Most models and processing workflows developed so far are limited by the fact that
350 there is no direct integration with external data sources and models, most of them being
351 standalone desktop or server applications. In this regard, large computational advances have
352 occurred in recent years based on cloud-based infrastructures that support remote sensing
353 data acquisition and processing [168]. Several tools have been already developed at global
354 scale to serve different purposes, such as the Global Surface Water Explorer⁸ (GSWE; [169]),
355 the Map of Life⁹, the Global Forest Watch¹⁰, the Remote sensing application for land cover
356 classification and monitoring¹¹, EarthMap¹², the Living Atlas of the World¹³, etc. Bastin et al.
357 [170] used the GSWE to assess the level of protection of inland open surface waters and their
358 trends within PAs globally.

359

360 Among others, Google Earth Engine (GEE; [171]), ArcGIS online¹⁴ and the European
361 Copernicus Data and Information Access Services¹⁵ (DIAS) offer data and services for cloud-
362 based processing and remote sensing on large scales. Typical environmental applications
363 include detecting deforestation, classifying land cover, estimating forest biomass and carbon,
364 or mapping the world's roadless areas [172]. The advantage of using those services lies in the
365 easy data access (including time series), the possibility to create graphical user interfaces and
366 their remarkable computation speed, as processing is outsourced to cloud servers. Moreover,
367 OpenEO¹⁶ allows interoperability with big earth observation cloud back-ends for several
368 programming languages.

369

⁸ <https://global-surface-water.appspot.com/map>

⁹ <https://www.mol.org/>

¹⁰ <https://www.globalforestwatch.org/map>

¹¹ <https://remap-app.org/remap>

¹² <http://earthmap.org/>

¹³ <https://livingatlas.arcgis.com/en/home/>

¹⁴ <https://www.esri.com/en-us/arcgis/products/arcgis-online/overview>

¹⁵ <https://www.copernicus.eu/en/access-data/dias>

¹⁶ <https://openeo.org/>

370 4. Concluding remarks and recommendations

371 While the methods for mapping and assessing habitats and ecosystems are equally useful
372 within and outside PAs, integrated assessment methods that systematically characterize and
373 measure the diversity of habitats and ecosystems within a region are especially relevant when
374 applied within PAs at global scale. The global characterization of PAs can provide multiple
375 benefits and applications: (a) support short, medium and long-term management actions,
376 especially at regional and global scale, that can ensure the maintenance of biodiversity and
377 maximize the provision of ecosystem services [173,174]; (b) evaluate the effects of climate
378 change in PAs [175]; and (c) inform policy initiatives, such as the European Biodiversity
379 Strategy or the post 2020 Global Biodiversity Framework, on how to develop monitoring tools
380 and indicators to promote sustainable management of PAs [176]; etc. These kinds of analyses
381 do not only need to be done at a global scale, but also, if possible, repeatedly (i.e. annually)
382 to document the changes that occur [177]. In this regard, the use of variables representing
383 longer-term periods is also useful for capturing the presence of potential habitats and
384 ecosystems, which can be then used as reference for monitoring and condition assessment
385 purposes. Furthermore, although locally derived variables are better descriptors of the
386 ecosystems, global data sources are needed in order to systematically compare PAs across
387 the globe and inform larger scale conservation actions.

388

389 In the last decade, cloud-based infrastructures have greatly improved the access to time series
390 of relevant earth observation variables, which are crucial to the proper monitoring and
391 assessment of ecosystems [178], bringing new opportunities for the global characterization of
392 PAs. However, it is also necessary to translate the results from global characterization of PAs
393 into information that can be used in the real world, for example by sharing all data and models
394 generated using online interoperable tools [179–182]. As an example of this, DOPA provides
395 access to various global datasets and indicators that can inform decision-making and PA
396 management [148], such as climate and topographic statistics, information about pressures,
397 occurrence of extreme events, land cover, land degradation and fragmentation, ecosystem
398 services, and species. Moreover, the Protected Planet website allows exploring the World
399 Database on Protected Areas (WDPA), maintained by the UN Environment Programme World
400 Conservation Monitoring Centre (UNEP-WCMC). The CBD mandated WDPA is the key
401 reference dataset for any global protected area analysis, and includes both spatial (mapped
402 boundary or point location) and non-spatial (e.g. name, type, size, age, status) information for
403 over 230,000 protected areas worldwide [183]. Despite accelerated efforts to improve the
404 global PA data, the quality of the WDPA data still varies greatly between countries and regions,
405 and this should be acknowledged in any analysis using the WDPA. Only limited information
406 related to the systematic global biophysical characterization of PAs can be found online yet,
407 such as the Terrestrial Habitat Diversity index in DOPA [145].

408

409 Systematic information related to the uniqueness or the importance of PAs based on
410 biophysical variables could, among other things, further support the ranking and prioritization
411 of PAs based on the diversity of their habitats and ecosystems. Biophysical studies also allow
412 us to study the role of habitats and ecosystems in maintaining biodiversity in a context of
413 climate change since species populations can adapt to changes by moving to new areas that
414 meet their ecological requirements [146]. Several applications of habitat models have shown
415 a high correlation between biodiversity and the diversity of habitat types and can help

416 identifying potential new areas that should be protected in order to maintain species protection
417 into the future [120,184,185].

418
419 Table 2 gives an overview of applications of different environmental descriptors, including
420 methods and data, which are relevant for the biophysical characterization of PAs, highlighting
421 the importance of taking into account structural and functional attributes, as well as of
422 integrating a broad spectrum of environmental descriptors, in global biophysical
423 characterization of PAs.

424
425 Table 2. Summary table with example applications of different environmental descriptors,
426 including data and methods, that are relevant for the biophysical characterization of PAs.
427 Acronyms used: Object-Based Image Analysis (OBIA); Normalized Difference Vegetation
428 Index (NDVI); Normalized Difference Water Index (NDWI); Machine Learning (ML); Principal
429 Components Analysis (PCA); Light Detection And Ranging (LiDAR); Digital Elevation Model
430 (DEM); Normalised Difference Blue-red Ratio (NDBR); Wide Dynamic Range Vegetation
431 Index (WDRVI); Soil Adjusted Vegetation Index (SAVI); Green–Red Vegetation Index (GRVI);
432 Plant Senescence Reflectance Index (PSRI); Water Band Index (WBI).

Application	Environmental descriptors	RS and ancillary data	Methods
Wetlands and dune habitats mapping [63–70,73,178]	<ul style="list-style-type: none">Vegetation greennessVegetation and soil water contentWater seasonalityTopographySoil	RS-based vegetation (NDVI, WDRVI, SAVI) and water (NDWI) indices; LiDAR or radar derived DEMs; Soil depth layer interpolated from ground collected data points; Modelled spatial and temporal distribution of water.	OBIA; ML; PCA; texture analysis; Cluster analysis.
Riparian, forest, grassland and shrubland habitat mapping [71,72,75–77,81]	<ul style="list-style-type: none">Vegetation greennessVegetation heightTopography	RS-based vegetation indices (NDVI, EVI; GRVI); LiDAR derived vegetation height; radar derived DEM.	OBIA; texture analysis; PCA; ML; Cluster analysis.
Assessment of habitat quality, diversity and extent [59,60,83,85,88]	<ul style="list-style-type: none">Vegetation greennessVegetation heightPrimary productivityVegetation seasonalityCanopy shadow fraction (CSF)	RS-based vegetation indices (NDVI, EVI); LiDAR derived vegetation height; Slope derived from a DEM; RS-based water index (NDWI); CSF from RS-based NDBR;	Cluster and landscape pattern analysis; texture analysis; PCA.

	<ul style="list-style-type: none"> • Vegetation and soil water content • Topography 	Vegetation seasonality and productivity products derived from the analysis of temporal dynamics of seasonal changes in NDVI;	
Environmental stratifications [17,51,57,139–141]	<ul style="list-style-type: none"> • Vegetation greenness • Bioclimatic variables • Altitude • Geomorphology and landforms • Land cover • Lithology 	RS-based vegetation indices (EVI); Long term average climate data, such as temperature, precipitation and aridity, interpolated from meteorological stations; geomorphological, landforms and altitude data from a LiDAR or radar derived DEM; global lithology map integrating existing surficial lithology maps; land cover classes interpreted from satellite data.	Cluster analysis; PCA; texture analysis.
Mapping of ecosystem and habitat functional types [52,53,120,144,186]	<ul style="list-style-type: none"> • Vegetation greenness • Vegetation and soil water content • Vegetation phenology and productivity • Vegetation structure • Land Surface Temperature (LST) • Albedo • Soil moisture (SM) • Bioclimatic variables • Topography 	RS-based vegetation (NDVI, PSRI) and water (WBI , NDWI) indices; Vegetation phenology and productivity products derived from the analysis of temporal dynamics of seasonal changes in NDVI; LST derived from satellite thermal infrared bands, such as MODIS; RS-derived albedo; RS-based soil moisture products, such as	PCA; Cluster and landscape pattern analysis; ML; OBIA.

		the ESA CCI Soil Moisture; Slope derived from a DEM; RS-based percentage of woody and grassland vegetation cover; Long term average climate data, such as temperature, precipitation and aridity, interpolated from meteorological stations.	
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433

434

435

436 Finally, we give a summary of the recommendations proposed to improve global biophysical
437 characterization of PAs in relation to different aspects:

438

439 Environmental attributes and descriptors:

440

- Structural and functional attributes of ecosystems and habitats within PAs should be addressed.
- A broad set of variables representative of key biophysical quantitative descriptors should be used to produce integrated assessments, potentially including vegetation, energy, climate, water, terrain and soil.

445 Data sources and processing:

446

- Global data sources presenting time-series and regular updates should be preferred.
- Dimensionality reduction techniques are often used to deal with correlated input variables.
- The use of ensembles of different input data or models corresponding to the same variable is recommended to provide more accurate outputs and deal with uncertainty.

451 Methods:

452

- The use of interoperable RS cloud-based infrastructures is recommended for large scale processing.
- Analyses should be regularly repeated to document changes.
- The analysis should extend beyond specific habitat or ecosystem mapping and assessment methods, so that a variety of habitats and ecosystem types can be identified.
- Resulting habitat and ecosystem types within PAs should be, as much as possible, comparable with existing global typologies.
- There is a clear need and potential to develop methodologies for assessing the biophysical uniqueness of PAs that could support prioritization analyses.
- Methods should allow the prediction of climate change impacts to ecosystems by using forecasted bioclimatic data.

464 Application in policy and practice:

465

- Translate the results into information that can be used by policy and decision makers.

- 466 • Ensure transparency and reproducibility by sharing all data and models generated
467 using online interoperable tools.
468 • Global characterization of PAs should be rather aimed at informing larger scale
469 conservation and management actions and plans, unless no better information is
470 available at local or regional scale.

471

472

473 Acknowledgements

474

475 This study and the development and maintenance of the Digital Observatory for Protected
476 Areas were supported mainly by the institutional activities of the Directorate D (Sustainable
477 Resources) at the Joint Research Centre of the European Commission as well as by the
478 Biodiversity and Protected Areas Management (BIOPAMA) EU-ACP programme, an initiative
479 of the African, Caribbean and Pacific (ACP) Group of States financed by the 10th and 11th
480 European Development Funds of the European Union (EU). JML has been supported by a
481 European Commission Expert Contract (CT-EX2020D381533-101). Authors want to thank
482 three anonymous reviewers and the editor for their constructive comments on an earlier
483 version of the manuscript.

484

485 **Author Contributions:** Writing – original draft, Javier Martínez-López; Writing – review &
486 editing, Bastian Bertzky, Simon Willcock, Marine Robuchon, María Almagro, Giacomo Delli
487 and Grégoire Dubois.

488

489 **Conflicts of Interest:** The authors declare no conflict of interest.

490

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