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#### A Half-Space Approach to Order Dimension

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Abstract The aim of the present paper is to investigate the half-spaces in the 1 convexity structure of all quasiorders on a given set and to use them in an alternative 2 approach to classical order dimension. The main result states that linear orders 3 can almost always be replaced by half-space quasiorders in the definition of the 4 dimension of a partially ordered set. 5

Keywords	Convexity · Quasiorder · Preorder · Half-space · Dimension	6

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#### 1 Introduction

Within the framework of the general theory of abstract convexity (van de Vel [9]), 9 strict quasiorders (irreflexive and transitive relations) on a set A can be thought of 10 as convex subsets of  $\{(x, y) \in A \times A \mid x \neq y\}$ : 11

(1)	$\{(x, y) \in A \times A \mid x \neq y\}$ is a strict quasiorder,	12
(2)	Any intersection of strict quasiorders is a strict quasiorder,	13
(3)	Any nested union of strict quasiorders is a strict quasiorder.	14

(3) Any nested union of strict quasiorders is a strict quasiorder.

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15 In general, a half-space is defined as a convex subset of the base set with a convex 16 set complement. Abstract convexity theory addresses questions such as the repre-17 sentation of convex sets as intersections of half-spaces. For technical reasons, instead 18 of the strict quasiorders in  $\{(x, y) \in A \times A \mid x \neq y\}$ , we shall consider the ordinary 19 (reflexive) quasiorders in  $A \times A$  (there is a natural one to one correspondence 20 between them). We can use half-space quasiorders to define the half-space dimension 21 of a quasiordered set, in a similar way as linear orders are used to define the order dimension of a partially ordered set. The aim of the present paper is to investigate 22 23 the half-space quasiorders and to study the above dimension concept for quasiorders, along the lines of the classical theory of order dimension (see e.g. [1, 2, 7, 8]). Our 24 main result (Theorem 2.16) states that linear orders can almost always be replaced 25 26 by half-space quasiorders in the definition of the order dimension. Since there are 27 considerably more half-spaces than linear orders, establishing upper bounds on order dimension can be easier using representations of partial orders as intersections of 28 half-spaces. In order to demonstrate this, we give a simple proof for the "difficult" 29 30 part of the classical Dushnik-Miller theorem (in [2]) about the dimension of the 31 direct product of chains.

In Section 2 we provide some simple characterizations of half-spaces and examine the relationship between half-spaces and linear orders. A standard construction together with a complete description of half-spaces is also given. In the rest of Section 2, we show the tight connection between half-space dimension and classical order dimension. It turns out, that the half-space dimension and the order dimension of a partially ordered set can be different only for half-space partial orders.

In Section 3 we deal with direct products. First we prove that the direct product of quasiorders can be a half-space only in one exceptional situation. Then we use halfspaces to obtain the exact upper bound for the dimension in the above mentioned theorem of Dushnik and Miller.

#### 42 2 Half-Spaces and the Dimension of Quasiordered Sets

43 A quasiorder  $\gamma$  on the set A is a reflexive and transitive relation:

$$\Delta_A = \{(a, a) \mid a \in A\} \subseteq \gamma \subseteq A \times A$$

44 and  $(x, y) \in \gamma$ ,  $(y, z) \in \gamma$  imply  $(x, z) \in \gamma$  for all  $x, y, z \in A$ . The containment re-45 lation  $\subseteq$  provides a natural complete lattice structure on the set Quord(*A*) of all 46 quasiorders on *A*: (Quord(*A*),  $\lor$ ,  $\cap$ ). If  $\gamma$  is a partial order, then we frequently use 47 the standard notations  $x \leq_{\gamma} y$  and  $x <_{\gamma} y$  for  $(x, y) \in \gamma$  and for  $(x, y) \in \gamma, x \neq y$ . For 48 a relation  $\gamma$ , the inverse of  $\gamma$  is  $\gamma^{-1} = \{(y, x) \mid (x, y) \in \gamma\}$  and for a quasiorder the 49 intersection  $\gamma \cap \gamma^{-1}$  is an equivalence on *A*. The equivalence class of an element 50  $a \in A$  is denoted by  $[a]_{\gamma \cap \gamma^{-1}}$ , thus

$$A/(\gamma \cap \gamma^{-1}) = \{[a]_{\gamma \cap \gamma^{-1}} \mid a \in A\}.$$

51 It is well known that  $\gamma$  induces a natural partial order  $r_{\gamma}$  (in order to avoid repeated 52 indices, we write  $\leq^{\gamma}$  instead of  $\leq_{r_{\gamma}}$ ) on the above quotient set: for  $a, b \in A$ 

 $[a]_{\gamma\cap\gamma^{-1}} \leq^{\gamma} [b]_{\gamma\cap\gamma^{-1}}$  if and only if  $(x, y) \in \gamma$  for some  $x \in [a]_{\gamma\cap\gamma^{-1}}$  and  $y \in [b]_{\gamma\cap\gamma^{-1}}$ .

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Also  $[a]_{\gamma \cap \gamma^{-1}} \leq^{\gamma} [b]_{\gamma \cap \gamma^{-1}}$  holds if and only if  $(x, y) \in \gamma$  for all  $x \in [a]_{\gamma \cap \gamma^{-1}}$  and for all 53  $y \in [b]_{\gamma \cap \gamma^{-1}}$ .

A quasiorder  $\alpha \subseteq A \times A$  is said to be a *half-space on* A if it has a "strong" 55 complement in the lattice (Quord(A),  $\subseteq$ ), i.e. if  $\alpha \cup \beta = A \times A$  and  $\alpha \cap \beta = \Delta_A$  hold 56 for some quasiorder  $\beta \subseteq A \times A$ . Clearly, this complement  $\beta$  is also a half-space 57 and it is uniquely determined by  $\alpha$ :  $\beta = \Delta_A \cup ((A \times A) \setminus \alpha)$ . It follows, that  $\alpha$  is a 58 half-space if and only if  $\Delta_A \cup ((A \times A) \setminus \alpha)$  is transitive. The simplest examples of 59 half-spaces are linear orders, the identity  $\Delta_A$  and the full relation  $A \times A$  on any 60 set A. Complementary half-spaces are put into a pair of the form  $\alpha \updownarrow \beta$  and can be 61 characterized in the lattice (Quord(A),  $\lor$ ,  $\cap$ ) as follows.

**Proposition 2.1** For any quasiorders  $\alpha$ ,  $\beta \in Quord(A)$  the following are equivalent: 63

- (1)  $\alpha \diamondsuit \beta$  is a pair of complementary half-spaces, i.e.  $\alpha \cap \beta = \Delta_A$  and  $\alpha \cup \beta = 64$  $A \times A$ .
- (2)  $\alpha \cap \beta = \Delta_A \text{ and } (\alpha \cap \gamma) \lor (\beta \cap \gamma) = \gamma \text{ for all } \gamma \in Quord(A).$  66
- (3)  $\alpha \cap \beta = \Delta_A \text{ and } (\alpha \cap \gamma) \cup (\beta \cap \gamma) = \gamma \text{ for all } \gamma \in Quord(A).$

*Proof*  $(1) \Longrightarrow (2)$ :

$$\gamma = (A \times A) \cap \gamma = (\alpha \cup \beta) \cap \gamma = (\alpha \cap \gamma) \cup (\beta \cap \gamma) \subseteq (\alpha \cap \gamma) \lor (\beta \cap \gamma) \subseteq \gamma.$$

(2)  $\implies$  (1): Suppose that  $\alpha \cup \beta \neq A \times A$ , then  $(a, b) \notin \alpha \cup \beta$  for some  $a, b \in A$ . 69 Since  $\gamma(a, b) = \Delta_A \cup \{(a, b)\}$  is a quasiorder on A, we have 70

$$(\alpha \cap \gamma(a, b)) \lor (\beta \cap \gamma(a, b)) = \gamma(a, b)$$

in contradiction with  $\alpha \cap \gamma(a, b) = \beta \cap \gamma(a, b) = \Delta_A$ .

 $(1) \Longrightarrow (3)$  and  $(3) \Longrightarrow (2)$  trivially.

For a half-space  $\alpha$  the inverse relation  $\alpha^{-1}$  is also a half-space, if  $\alpha \updownarrow \beta$  for 73  $\alpha, \beta \in \text{Quord}(A)$ , then  $\alpha^{-1} \updownarrow \beta^{-1}$ . If  $B \subseteq A$  is a subset, then the restriction of a 74 quasiorder to *B* yields a quasiorder on *B* and a similar statement holds for half- 75 spaces,  $\alpha \updownarrow \beta$  implies that  $\alpha \cap (B \times B) \updownarrow \beta \cap (B \times B)$ . This observation leads to 76 another characterization of half-spaces, which will be repeatedly used in the sequel. 77

#### **Proposition 2.2** For a quasiorder $\alpha \in Quord(A)$ the following are equivalent:

- (1)  $\alpha$  is a half-space.
- (2)  $\alpha \cap (B \times B)$  is a half-space (on B) for any three element subset  $B \subseteq A$ .
- (3) For any  $x, y, z \in A$  the relations  $(x, y) \notin \alpha$ ,  $(y, x) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $z \neq x$  imply 81 that  $(y, z) \in \alpha$ . 82
- (4) For any  $x, y, z \in A$  the relations  $(z, y) \notin \alpha$ ,  $(y, z) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $x \neq z$  imply 83 that  $(x, y) \in \alpha$ . 84

*Proof* (1)  $\implies$  (2): This is a special case of our claim preceding Proposition 2.2. 85 (2)  $\implies$  (3): Let  $(x, y) \notin \alpha$ ,  $(y, x) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $z \neq x$  for the elements 86  $x, y, z \in A$  and take the three element subset  $B = \{x, y, z\}$  of A. Suppose that 87  $(y, z) \notin \alpha$  and consider the complementary half-space  $\delta \subseteq B \times B$  of  $\alpha \cap (B \times B)$ . 88

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□ 72

89 Now

$$(\alpha \cap (B \times B)) \cup \delta = B \times B$$

90 implies that  $(x, y) \in \delta$  and  $(y, z) \in \delta$ , whence  $(x, z) \in (\alpha \cap (B \times B)) \cap \delta = \Delta_B$  can be 91 derived in contradiction with  $z \neq x$ .

92 (3)  $\Longrightarrow$  (4): Let  $(z, y) \notin \alpha$ ,  $(y, z) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $x \neq z$  for the elements 93  $x, y, z \in A$  and suppose that  $(x, y) \notin \alpha$ . Clearly,  $(y, x) \in \alpha$  would imply  $(y, z) \in \alpha$ , 94 a contradiction. Thus  $(x, y) \notin \alpha$ ,  $(y, x) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $x \neq z$ , whence we obtain 95 that  $(y, z) \in \alpha$ , a contradiction. It follows that  $(x, y) \in \alpha$ .

96 (4)  $\Longrightarrow$  (1): In order to see the transitivity of  $\beta = \Delta_A \cup ((A \times A) \setminus \alpha)$  let  $(x, y) \in \beta$ , 97  $(y, z) \in \beta, x \neq y$  and suppose that  $(x, z) \notin \beta$ . We have either  $(z, y) \notin \alpha$  or  $(z, y) \in \alpha$ . 98 In the first case  $(z, y) \notin \alpha, (y, z) \notin \alpha$  and  $(x, z) \in \alpha, x \neq z$  would imply that  $(x, y) \in$ 99  $\alpha \cap \beta = \Delta_A$ , a contradiction. In the second case  $(x, z) \in \alpha$  and  $(z, y) \in \alpha$  would imply 100 that  $(x, y) \in \alpha \cap \beta = \Delta_A$ , a contradiction again. Thus we have  $(x, z) \in \beta$ .

101 **Proposition 2.3** If  $\alpha$  is a half-space quasiorder on A, then the induced partial order 102  $r_{\alpha}$  is a half-space on  $A/(\alpha \cap \alpha^{-1})$ .

*Proof* We can use part (3) in Proposition 2.2. If  $([x]_{\alpha\cap\alpha^{-1}}, [y]_{\alpha\cap\alpha^{-1}}) \notin r_{\alpha}$ ,  $([y]_{\alpha\cap\alpha^{-1}}, [x]_{\alpha\cap\alpha^{-1}}) \notin r_{\alpha}$  and  $([x]_{\alpha\cap\alpha^{-1}}, [z]_{\alpha\cap\alpha^{-1}}) \in r_{\alpha}, [z]_{\alpha\cap\alpha^{-1}} \neq [x]_{\alpha\cap\alpha^{-1}}$ , then we have  $(x, y) \notin \alpha$ ,  $(y, x) \notin \alpha$  and  $(x, z) \in \alpha$ ,  $z \neq x$ . Since  $\alpha$  is a half-space, we obtain first  $(y, z) \in \alpha$  and then  $([y]_{\alpha\cap\alpha^{-1}}, [z]_{\alpha\cap\alpha^{-1}}) \in r_{\alpha}$ .

107 **Proposition 2.4** If  $\gamma \subseteq A \times A$  is a quasiorder and  $\gamma \subseteq \alpha$  for some half-space  $\alpha$  on A, 108 then there exists a half-space  $\tau$  on A, such that  $\gamma \subseteq \tau \subseteq \alpha$  and  $\tau \cap \tau^{-1} = \gamma \cap \gamma^{-1}$ .

109 *Proof* Let *R* be a linear extension of the induced partial order  $r_{\gamma}$  and define the 110 relation  $\tau \subseteq A \times A$  as follows:

 $\tau = \alpha \setminus \{(a, b) \in \alpha \cap \alpha^{-1} \mid [b]_{\gamma \cap \gamma^{-1}} <_R [a]_{\gamma \cap \gamma^{-1}} \}.$ 

111 Since  $(x, y) \in \gamma$  implies that  $(x, y) \in \alpha$  and  $[x]_{\gamma \cap \gamma^{-1}} \leq_R [y]_{\gamma \cap \gamma^{-1}}$ , we obtain that 112  $(x, y) \in \tau$ . Thus  $\gamma \subseteq \tau \subseteq \alpha$  and  $\gamma \cap \gamma^{-1} \subseteq \tau \cap \tau^{-1}$ . If  $(x, y) \in \tau \cap \tau^{-1}$ , then the 113 relations  $[y]_{\gamma \cap \gamma^{-1}} <_R [x]_{\gamma \cap \gamma^{-1}}$  and  $[x]_{\gamma \cap \gamma^{-1}} <_R [y]_{\gamma \cap \gamma^{-1}}$  are not satisfied, whence 114  $[x]_{\gamma \cap \gamma^{-1}} = [y]_{\gamma \cap \gamma^{-1}}$  and  $(x, y) \in \gamma \cap \gamma^{-1}$  can be derived. It follows, that  $\tau \cap \tau^{-1} \subseteq$ 115  $\gamma \cap \gamma^{-1}$  and hence  $\tau \cap \tau^{-1} = \gamma \cap \gamma^{-1}$ .

In order to see the transitivity of  $\tau$  take  $(x, y) \in \tau$  and  $(y, z) \in \tau$ . Now  $(x, y) \in \tau$  $\alpha$  and  $(y, z) \in \alpha$  imply that  $(x, z) \in \alpha$ . Suppose that  $(x, z) \notin \tau$ , whence  $(x, z) \in \alpha \cap$  $\alpha^{-1}$  and  $[z]_{\gamma \cap \gamma^{-1}} <_R [x]_{\gamma \cap \gamma^{-1}}$  follow. The relations  $(y, z) \in \alpha$  and  $(z, x) \in \alpha$  imply that  $(y, x) \in \alpha$  and hence  $(x, y) \in \alpha \cap \alpha^{-1}$ . Similarly,  $(z, x) \in \alpha$  and  $(x, y) \in \alpha$  imply that  $(y, z) \in \alpha \cap \alpha^{-1}$ . In view of  $(x, y) \in \tau$  and  $(y, z) \in \tau$  we have  $[x]_{\gamma \cap \gamma^{-1}} \leq_R [y]_{\gamma \cap \gamma^{-1}}$  and  $[y]_{\gamma \cap \gamma^{-1}} \leq_R [z]_{\gamma \cap \gamma^{-1}}$ , whence we obtain that  $[x]_{\gamma \cap \gamma^{-1}} \leq_R [z]_{\gamma \cap \gamma^{-1}}$ , a contradiction.

122 In order to prove that  $\tau$  is a half-space we can use part (3) of Proposition 2.2. 123 Take  $x, y, z \in A$  such that  $(x, y) \notin \tau$ ,  $(y, x) \notin \tau$  and  $(x, z) \in \tau$ ,  $z \neq x$ . Now  $(x, y) \notin \tau$ 124 implies that either  $(x, y) \notin \alpha$  or  $(x, y) \in \alpha \cap \alpha^{-1}$  with  $[y]_{\gamma \cap \gamma^{-1}} <_R [x]_{\gamma \cap \gamma^{-1}}$ . Similarly, 125  $(y, x) \notin \tau$  implies that either  $(y, x) \notin \alpha$  or  $(y, x) \in \alpha \cap \alpha^{-1}$  with  $[x]_{\gamma \cap \gamma^{-1}} <_R [y]_{\gamma \cap \gamma^{-1}}$ . 126 It is easy to check that the only possibility to have  $(x, y) \notin \tau$  and  $(y, x) \notin \tau$  at the same 127 time is the case when  $(x, y) \notin \alpha$  and  $(y, x) \notin \alpha$ . Since  $\alpha$  is a half-space,  $(x, y) \notin \alpha$ , 128  $(y, x) \notin \alpha$  and  $(x, z) \in \alpha, z \neq x$  imply that  $(y, z) \in \alpha$ . Suppose that  $(y, z) \in \alpha \cap \alpha^{-1}$ ,

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then  $(x, z) \in \alpha$  and the transitivity of  $\alpha$  imply that  $(x, y) \in \alpha$ , a contradiction. Thus 129 we have  $(y, z) \notin \alpha \cap \alpha^{-1}$ , whence  $(y, z) \in \tau$  follows. □ 130

**Proposition 2.5** Let the partial order  $\alpha$  on A be a half-space. If  $\lambda$  is a linear order on 131 A. then 132

$$\alpha[\lambda] = \alpha \cup (\lambda \setminus (\alpha \cup \alpha^{-1}))$$

is a linear extension of  $\alpha$  on A and  $\alpha = \alpha[\lambda] \cap \alpha[\lambda^{-1}]$ .

*Proof* In order to see the transitivity of  $\alpha[\lambda]$  take  $(x, y) \in \alpha[\lambda]$  and  $(y, z) \in \alpha[\lambda]$  with 134  $x \neq y \neq z$ . Clearly,  $(x, y) \in \alpha$  and  $(y, z) \in \alpha$  imply  $(x, z) \in \alpha$ . If  $(x, y) \in \alpha$  and  $(y, z) \in 135$  $\lambda \setminus (\alpha \cup \alpha^{-1})$ , then  $(y, z) \notin \alpha$ ,  $(z, y) \notin \alpha$  and  $(x, y) \in \alpha$ ,  $x \neq y$ , whence  $(x, z) \in \alpha$  can 136 be derived by part (4) of Proposition 2.2. Similarly,  $(x, y) \in \lambda \setminus (\alpha \cup \alpha^{-1})$  and  $(y, z) \in \beta$ 137  $\alpha$  imply  $(x, z) \in \alpha$  by part (3) of Proposition 2.2. If we have  $(x, y) \in \lambda \setminus (\alpha \cup \alpha^{-1})$  and 138  $(v, z) \in \lambda \setminus (\alpha \cup \alpha^{-1})$ , then  $(x, y) \in \lambda$  and  $(y, z) \in \lambda$  imply  $(x, z) \in \lambda$ . Since  $(x, y) \notin (x, z) \in \lambda$ . 139  $\alpha \cup \alpha^{-1}$  and  $(y, z) \notin \alpha \cup \alpha^{-1}$  imply that  $(x, y) \in \beta \cap \beta^{-1}$  and  $(y, z) \in \beta \cap \beta^{-1}$  (here  $\beta$ 140 is the complementary half-space of  $\alpha$ ), the transitivity of  $\beta \cap \beta^{-1}$  gives that  $(x, z) \in 141$  $\beta \cap \beta^{-1}$ , i.e. that  $(x, z) \notin \alpha \cup \alpha^{-1}$ . It follows that  $(x, z) \in \lambda \setminus (\alpha \cup \alpha^{-1})$ . 142

Suppose that  $(x, y) \in \alpha[\lambda]$  and  $(y, x) \in \alpha[\lambda]$ , then  $(x, y) \in \alpha$  and  $(y, x) \in \lambda \setminus (\alpha \cup 143)$  $\alpha^{-1}$ ) is impossible. Similarly,  $(x, y) \in \lambda \setminus (\alpha \cup \alpha^{-1})$  and  $(y, x) \in \alpha$  is also impossible. 144 Thus we have either  $(x, y) \in \alpha$ ,  $(y, x) \in \alpha$  or  $(x, y) \in \lambda \setminus (\alpha \cup \alpha^{-1}), (y, x) \in \lambda \setminus (\alpha \cup \alpha^{-1})$ 145  $\alpha^{-1}$ ), in both cases x = y follows by the antisymmetric properties of  $\alpha$  and  $\lambda$ , 146 respectively. 147

Suppose that  $(x, y) \notin \alpha$  and  $(y, x) \notin \alpha$ , then  $(x, y) \notin \alpha \cup \alpha^{-1}$ . Now  $(x, y) \in \lambda$  im- 148 plies  $(x, y) \in \lambda \setminus (\alpha \cup \alpha^{-1})$  and  $(y, x) \in \lambda$  implies  $(y, x) \in \lambda \setminus (\alpha \cup \alpha^{-1})$ . We proved 149 that  $\alpha[\lambda]$  is a linear order. 150

Using  $\alpha \cap (\lambda \setminus (\alpha \cup \alpha^{-1})) = \alpha \cap (\lambda^{-1} \setminus (\alpha \cup \alpha^{-1})) = \emptyset$  and  $\lambda \cap \lambda^{-1} = \Delta_A$ , it is 151 straightforward to see that  $\alpha = \alpha[\lambda] \cap \alpha[\lambda^{-1}]$ . □ 152

**Corollary 2.6** If  $\alpha$  is a half-space quasiorder on A, then the induced partial order is of 153 the form  $r_{\alpha} = R_1 \cap R_2$  for some linear orders  $R_1$  and  $R_2$  on  $A/(\alpha \cap \alpha^{-1})$ , i.e.  $r_{\alpha}$  has 154 order dimension at most 2. 155

*Proof* The partial order  $r_{\alpha}$  is a half-space on  $A/(\alpha \cap \alpha^{-1})$  by Proposition 2.3. If R 156 is an arbitrary linear order on  $A/(\alpha \cap \alpha^{-1})$ , then  $R_1 = r_\alpha[R]$  and  $R_2 = r_\alpha[R^{-1}]$  are 157 linear orders on  $A/(\alpha \cap \alpha^{-1})$  with  $r_{\alpha} = r_{\alpha}[R] \cap r_{\alpha}[R^{-1}]$  by Proposition 2.5. □ 158

We remark that Corollary 2.6 does not characterize half-spaces entirely. 159

As already noted, any linear order  $\lambda$  on A is an example of a half-space:  $\lambda \updownarrow \lambda^{-1}$ . 160 Let  $f: A \longrightarrow X$  be a function,  $Y \subseteq X$  a subset, and R a linear order on X. Define 161 the following relations on A: 162

$$\ker_Y(f) = \Delta_A \cup \{(a, b) \in A \times A \mid f(a) = f(b) \in Y\},\$$

 $f^{-1}(R) = \Delta_A \cup \{(a, b) \in A \times A \mid f(a) <_R f(b)\}.$ 

Note that  $\ker_X(f)$  is the ordinary kernel

$$\ker(f) = \{(a, b) \in A \times A \mid f(a) = f(b)\}.$$

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164 The following is a standard construction of a half-space using a linear order.

165 **Proposition 2.7** Let  $(A, \gamma)$  be a quasiordered set,  $(X, \rho)$  a partially ordered set and 166  $f : A \longrightarrow X \ a \ (\gamma, \rho)$  quasiorder preserving function:  $(x, y) \in \gamma \implies (f(x), f(y)) \in \rho$ 167 for all  $x, y \in A$ . If  $Y \subseteq X$  is a subset, R is a linear extension of  $\rho$  on X and  $\gamma \cap$ 168 ker $(f) \subseteq$  ker $_Y(f)$ , then

$$\alpha = \ker_Y(f) \cup f^{-1}(R)$$

169 *is a half-space extension of*  $\gamma$  *and*  $\alpha \cap \alpha^{-1} = \ker_Y(f)$ .

170 If  $Y = \emptyset$ , then ker<sub>Y</sub>(f) =  $\Delta_A$  and ker<sub>Y</sub>(f)  $\cup$   $f^{-1}(R) = f^{-1}(R)$  is a partial order.

171 If Y = X, then  $\ker_Y(f) = \ker(f)$  (now  $\gamma \cap \ker(f) \subseteq \ker_Y(f)$  is automatically sat-172 isfied) and  $\ker_Y(f) \cup f^{-1}(R) = \ker(f) \cup f^{-1}(R)$  is a half-space extension of  $\gamma$ . In 173 particular, if  $\kappa : A \longrightarrow A/(\gamma \cap \gamma^{-1})$  is the canonical surjection and R is a linear 174 extension of the induced partial order  $r_{\gamma}$  on  $A/(\gamma \cap \gamma^{-1})$ , then  $\ker(\kappa) \cup \kappa^{-1}(R) =$ 175  $(\gamma \cap \gamma^{-1}) \cup \kappa^{-1}(R)$  is a half-space extension of  $\gamma$ .

176 Proof The containment  $\gamma \subseteq \ker_Y(f) \cup f^{-1}(R)$  is a consequence of  $\rho \subseteq R$ ,  $\gamma \cap$ 177  $\ker(f) \subseteq \ker_Y(f)$  and of the quasiorder preserving property of f. It is easy to see 178 that  $\ker_Y(f) \cup f^{-1}(R)$  and  $\ker_{X\setminus Y}(f) \cup f^{-1}(R^{-1})$  are quasiorders on A. We have

$$(\ker_Y(f) \cup f^{-1}(R)) \cup (\ker_{X \setminus Y}(f) \cup f^{-1}(R^{-1})) = A \times A$$

179 and

$$(\ker_Y(f) \cup f^{-1}(R)) \cap (\ker_{X \setminus Y}(f) \cup f^{-1}(R^{-1})) = \Delta_A,$$

180 thus  $\ker_Y(f) \cup f^{-1}(R) \diamondsuit \ker_{X \setminus Y}(f) \cup f^{-1}(R^{-1})$ . Also  $\alpha \cap \alpha^{-1} = \ker_Y(f)$  is obvious. 181 To conclude the proof, it is enough to note that  $\kappa$  is a  $(\gamma, r_{\gamma})$  quasiorder preserving 182 function.

**Proposition 2.8** Let  $(A, \gamma)$  be a quasiordered set,  $(X, \rho)$  a partially ordered set 184 and  $f : A \longrightarrow X$  a completely  $(\gamma, \rho)$  quasiorder preserving function:  $(x, y) \in \gamma \iff$  $(f(x), f(y)) \in \rho$  for all  $x, y \in A$ . If  $Y_i \subseteq X$ ,  $i \in I$  is a collection of subsets,  $\gamma \cap$  $\ker(f) \subseteq \ker_{Y_i}(f)$  for all  $i \in I$  and  $\{R_i \mid i \in I\}$  is a set of linear extensions of  $\rho$  with  $\bigcap_{i \in I} R_i = \rho$ , then

$$\bigcap_{i\in I} (\ker_{Y_i}(f) \cup f^{-1}(R_i)) = \gamma,$$

188 where the half-spaces ker<sub>*Y<sub>i</sub>*(*f*)  $\cup$  *f*<sup>-1</sup>(*R<sub>i</sub>*), *i*  $\in$  *I* are described in Proposition 2.7. In 189 particular, if  $\kappa : A \longrightarrow A/(\gamma \cap \gamma^{-1})$  is the canonical surjection and {*R<sub>i</sub>* | *i*  $\in$  *I*} is a 190 set of linear extensions of the induced partial order  $r_{\gamma}$  on  $A/(\gamma \cap \gamma^{-1})$  with  $\bigcap_{i \in I} R_i = r_{\gamma}$ , 101 then</sub>

$$\bigcap_{i\in I} (\ker(\kappa) \cup \kappa^{-1}(R_i)) = \bigcap_{i\in I} ((\gamma \cap \gamma^{-1}) \cup \kappa^{-1}(R_i)) = \gamma.$$

192 *Proof* We only have to show that

$$\bigcap_{i\in I} (\ker_{Y_i}(f) \cup f^{-1}(R_i)) \subseteq \gamma.$$

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Order

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In view of the definition of ker<sub>Y<sub>i</sub></sub>(f)  $\cup$  f<sup>-1</sup>(R<sub>i</sub>), the relation

$$(a, b) \in \bigcap_{i \in I} (\ker_{Y_i}(f) \cup f^{-1}(R_i))$$

ensures that  $f(a) \leq_{R_i} f(b)$  for all  $i \in I$ . Now  $\bigcap_{i \in I} R_i = \rho$  implies  $f(a) \leq_{\rho} f(b)$ , whence 194 we obtain  $(a, b) \in \gamma$ . To conclude the proof, it is enough to note that  $\kappa$  is completely 195  $(\gamma, r_{\gamma})$  quasiorder preserving.  $\Box$  196

The following is now a straightforward consequence.

**Theorem 2.9** Any quasiorder on A can be obtained as an intersection of half-space 198 quasiorders on A. 199

In terms of the classification of convexities by separation axioms (van de Vel 200 [9]) the above theorem means that the convexity on the base set  $\{(x, y) \in A \times A \mid 201 x \neq y\}$  whose convex sets are the strict quasiorders on A is an  $S_3$  convexity, i.e. 202 a convex set K can be always separated from any element of the base set not in 203 K by complementary half-spaces. This is the case in the standard convexity of a 204 Euclidean space and, as Szpilrajn's theorem [7] shows, in the convexity on the base 205 set  $\{(x, y) \in A \times A \mid x \neq y\}$  whose convex sets are the strict partial orders on A 206 plus  $\{(x, y) \in A \times A \mid x \neq y\}$  itself. However, it is not difficult to see that, unlike in 207 Euclidean space, in quasiorder convexity or in the coarser partial order convexity, 208 disjoint convex sets cannot always be separated by complementary half-spaces. A 209 counterexample with respect to both the quasiorder and partial order convexities is 210 provided, for  $A = \{1, 2, 3, 4\}$ , by the partial orders  $\{(1, 2), (3, 4)\}$  and  $\{(1, 4), (3, 2)\}$ .

Theorem 2.9 enables us to define a *half-space realizer* of a quasiorder  $\gamma \subseteq A \times 212$ *A* as a set { $\alpha_i \mid i \in I$ } of half-spaces on *A* with  $\bigcap_{i \in I} \alpha_i = \gamma$ . The *half-space dimension* 213 hsdim(*A*,  $\gamma$ ) of a quasiordered set (*A*,  $\gamma$ ) is the minimum of the cardinalities of the 214 half-space realizers of  $\gamma$ . The close analogy between the half-space dimension and 215 the usual order dimension of a partially ordered set can be seen immediately. The 216 observation preceding Proposition 2.2 guarantees that 217

hs dim
$$(B, \gamma \cap (B \times B)) \leq$$
 hs dim $(A, \gamma)$ 

for any subset  $B \subseteq A$ . Since any linear order is a half-space, for a partially ordered set 218  $(A, \gamma)$  we have  $hsdim(A, \gamma) \leq dim(A, \gamma)$ , where dim denotes the order dimension. 219 In general, here we can not expect equality. The partial order of the four element 220 Boolean lattice  $M_2$  is a half-space, thus  $hsdim(M_2, \leq) = 1$ , while  $dim(M_2, \leq) = 2$ . The 221 next inequality is also a straightforward consequence of Proposition 2.8. 222

**Corollary 2.10** For a quasiordered set  $(A, \gamma)$  we have

hs dim
$$(A, \gamma) \leq \dim(A/(\gamma \cap \gamma^{-1}), r_{\gamma}).$$

The following theorem gives a complete description of half-space quasiorders. 224

**Theorem 2.11** If  $\alpha \subseteq A \times A$  is a relation, then the following are equivalent. 225

(1)  $\alpha$  is a half-space quasiorder on A.

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227 (2) There exists an equivalence relation  $\varepsilon$  on A, a linear order R on the factor set 228  $A/\varepsilon$  and a function  $t: A/\varepsilon \longrightarrow \{0, 1\}$  with  $t([a]_{\varepsilon}) = 0$  where  $[a]_{\varepsilon} = \{a\}$  such that

 $\alpha = \Delta_A \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} = [b]_{\varepsilon} \text{ and } t([a]_{\varepsilon}) = 1\} \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} < R[b]_{\varepsilon}\}.$ 

- 229 (3) There exist a set X, a subset  $Y \subseteq X$ , a linear order R on X and a function f: 230  $A \longrightarrow X$  such that  $\alpha = \ker_Y(f) \cup f^{-1}(R)$ .
- 231 (4) There exists an equivalence relation  $\varepsilon$  on A such that  $\alpha$  is either the full or
- 232 the identity relation on each  $\varepsilon$ -equivalence class, and any irredundant set of
- 233 representatives of the  $\varepsilon$ -equivalence classes is linearly ordered by  $\alpha$ .

234 *Proof* (1)  $\implies$  (2): Let  $\alpha \updownarrow \beta$  be complementary half-spaces and take

$$\varepsilon = (\alpha \cap \alpha^{-1}) \cup (\beta \cap \beta^{-1})$$

235 Clearly,  $\varepsilon$  is reflexive and symmetric. Assume that  $(x, y) \in \alpha \cap \alpha^{-1}$  and  $(y, z) \in \beta \cap$ 236  $\beta^{-1}$ . Since  $\alpha \cup \beta = A \times A$ , we have either  $(x, z) \in \alpha$  or  $(x, z) \in \beta$ . In the first case 237  $(y, x) \in \alpha$  implies that  $(y, z) \in \alpha \cap \beta = \Delta_A$ . In the second case  $(z, y) \in \beta$  implies that 238  $(x, y) \in \alpha \cap \beta = \Delta_A$ . Thus  $(x, y) \in \alpha \cap \alpha^{-1}$  and  $(y, z) \in \beta \cap \beta^{-1}$  imply x = y or y = z. 239 Similarly,  $(x, y) \in \beta \cap \beta^{-1}$  and  $(y, z) \in \alpha \cap \alpha^{-1}$  also imply x = y or y = z. In view 240 of the above observations, it is easy to see that  $\varepsilon$  is transitive. We also have  $[a]_{\varepsilon} =$ 241  $[a]_{\alpha \cap \alpha^{-1}} \cup [a]_{\beta \cap \beta^{-1}}$  and  $[a]_{\alpha \cap \alpha^{-1}} = \{a\}$  or  $[a]_{\beta \cap \beta^{-1}} = \{a\}$  for all  $a \in A$ .

We claim that  $(a, b) \in \alpha$  and  $[a]_{\varepsilon} \neq [b]_{\varepsilon}$  imply that  $(x, y) \in \alpha$  for all  $x \in [a]_{\varepsilon}$  and for all  $y \in [b]_{\varepsilon}$ . Suppose that  $(x, y) \notin \alpha$ , then  $(x, y) \in \beta$ . In view of  $(x, a), (y, b) \in \varepsilon$ we have the following cases. (1)  $(x, a), (b, y) \in \alpha$ , whence  $(x, y) \in \alpha$  can be obtained, a contradiction. (2)  $(x, a) \in \alpha$  and  $(y, b) \in \beta$ , whence  $(x, b) \in \alpha \cap \beta = \Delta_A$  can be obtained in contradiction with  $[x]_{\varepsilon} = [a]_{\varepsilon} \neq [b]_{\varepsilon}$ . (3)  $(a, x) \in \beta$  and  $(b, y) \in \alpha$ , whence  $(a, y) \in \alpha \cap \beta = \Delta_A$  can be obtained in contradiction with  $[a]_{\varepsilon} \neq [b]_{\varepsilon} = [y]_{\varepsilon}$ . (iv) 248  $(a, x), (y, b) \in \beta$ , whence  $(a, b) \in \alpha \cap \beta = \Delta_A$  can be obtained in contradiction with 249  $[a]_{\varepsilon} \neq [b]_{\varepsilon}$ . Thus the claim is proved.

250 Using our claim it is straightforward to check that

$$R = \{ ([a]_{\varepsilon}, [b]_{\varepsilon}) \mid (a, b) \in \alpha \}$$

251 is a linear order on  $A/\varepsilon$ . For  $a \in A$  let

$$t([a]_{\varepsilon}) = \begin{cases} 1 \text{ if } [a]_{\varepsilon} = [a]_{\alpha \cap \alpha^{-1}} \neq \{a\} \\ 0 \text{ otherwise} \end{cases}$$

252 Clearly, *t* is well defined, moreover  $[a]_{\varepsilon} = \{a\}$  implies  $[a]_{\varepsilon} = [a]_{\alpha \cap \alpha^{-1}} = \{a\}$  and 253  $t([a]_{\varepsilon}) = 0$ . If  $t([a]_{\varepsilon}) = 1$ , then  $[a]_{\varepsilon} = [a]_{\alpha \cap \alpha^{-1}}$  and  $[a]_{\varepsilon} = [b]_{\varepsilon}$  implies that  $(a, b) \in \alpha$ . 254 It follows that

 $\Delta_A \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} = [b]_{\varepsilon} \text{ and } t([a]_{\varepsilon}) = 1\} \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} <_R [b]_{\varepsilon}\} \subseteq \alpha.$ 

255 If  $[a]_{\varepsilon} = [b]_{\varepsilon}$ , then  $(a, b) \in \alpha$  and  $a \neq b$  implies that  $[a]_{\varepsilon} = [a]_{\alpha \cap \alpha^{-1}} \neq \{a\}$ , whence

$$\alpha \subseteq \Delta_A \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} = [b]_{\varepsilon} \text{ and } t([a]_{\varepsilon}) = 1\} \cup \{(a, b) \in A \times A \mid [a]_{\varepsilon} <_R [b]_{\varepsilon}\}$$

256 can be obtained.

257 (2)  $\Longrightarrow$  (3): It is straightforward to see that  $\alpha = \ker_Y(f) \cup f^{-1}(R)$ , where X =258  $A/\varepsilon$ ,  $Y = \{[a]_{\varepsilon} \mid a \in A \text{ and } t([a]_{\varepsilon}) = 1\}$  and  $f : A \longrightarrow X$  is the canonical surjection. 259 Thus any half-space quasiorder can be obtained by the standard construction of

260 Proposition 2.7.

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- $(3) \Longrightarrow (1)$ : This implication is a part of Proposition 2.7. 261
- (2)  $\iff$  (4): Condition (4) is simply a reformulation of (2).  $\Box$  262

*Remark 2.12* The triple  $(f, Y \subseteq X, R)$  given in the  $(2) \Longrightarrow (3)$  part of the above 263 proof has the following universal property. If  $g : A \longrightarrow U$  is a function,  $V \subseteq U$  is 264 a subset and S is a linear order on U such that 265

$$\alpha = \ker_V(g) \cup f^{-1}(S),$$

then there exists a unique function  $h: X \longrightarrow U$  with  $h \circ f = g$ , moreover  $h(Y) \subseteq V$ , 266  $g^{-1}(\{y\})$  is a one element set for all  $y \in h(X \setminus Y) \cap V$  and h is  $(<_R, <_S)$  strict order 267 preserving 268

In view of the above characterization of the half-space  $\alpha$ , an equivalence class  $[a]_{\varepsilon}$  269 is called a *box* of  $\alpha$ , such a box is called *full* if  $t([a]_{\varepsilon}) = 1$  and *empty* if  $t([a]_{\varepsilon}) = 0$  (note 270 that a one element box is always empty). A subset  $B \subseteq A$  is a box of the half-space 271  $\alpha$ , if and only if there are no elements  $b_1, b_2 \in B$  such that  $(b_1, b_2) \in \alpha, (b_2, b_1) \notin \alpha$  272 and *B* is maximal with respect to this property. A box is empty if  $\alpha \cap (B \times B) = \Delta_B$  273 and full if |B| > 1 and  $B \times B \subseteq \alpha$ .

In certain situations it is also convenient to give a half-space as

$$\alpha = (B_w, w \in W, \leq_W, t),$$

where the subsets  $B_w \subseteq A$ ,  $w \in W$  are the boxes of  $\alpha$ , the linear order  $\leq_W$  is given 276 on the index set W and  $t(B_w) = 1$  or  $t(B_w) = 0$  shows that  $B_w$  is full or empty. If W is 277 finite, then we can write  $W = \{1, 2, ..., n\}$  and  $\alpha = (B_1 < B_2 < ... < B_n, t)$ . If  $\alpha \updownarrow \beta$  is 278 a complementary pair of half-spaces, then  $\alpha$  and  $\beta$  have the same boxes, a full  $\alpha$ -box 279 is an empty  $\beta$ -box and a full  $\beta$ -box is an empty  $\alpha$ -box, moreover the linear order of 280 the boxes in  $\alpha$  and  $\beta$  are opposite to each other. It is also clear that  $[a]_{\alpha\cap\alpha^{-1}} = \{a\}$  if 281  $[a]_{\varepsilon}$  is empty and  $[a]_{\alpha\cap\alpha^{-1}} = [a]_{\varepsilon}$  if  $[a]_{\varepsilon}$  is full.

With reference to the terminology of interval decompositions and lexicographic 283 sums of partial orders and more general relations (see e.g. [3–6]), it is clear from 284 Condition (4) of Theorem 2.11 that half-space quasiorders are precisely the lexico-285 graphic relational sums of trivial and full binary relations over a linear order, i.e. they 286 are the binary relations decomposable into intervals such that the restriction to each 287 interval is a trivial or full relation and the quotient is a linear order. 288

**Theorem 2.13** If  $(A, \gamma)$  is a quasiordered set and  $\{\alpha_i \mid i \in I\}$  is a half-space realizer 289 of  $\gamma$  with  $|I| \ge 2$ , then there exists an *I*-indexed family  $R_i$ ,  $i \in I$  of linear extensions of 290 the induced partial order  $r_{\gamma}$  on  $A/(\gamma \cap \gamma^{-1})$  such that 291

$$\bigcap_{i\in I} R_i = r_{\gamma}$$

*Proof* By Proposition 2.4, for each  $i \in I$  there exists a half-space  $\tau_i$  on A such that 292  $\gamma \subseteq \tau_i \subseteq \alpha_i$  and  $\tau_i \cap \tau_i^{-1} = \gamma \cap \gamma^{-1}$ . Clearly,  $\bigcap_{i \in I} \alpha_i = \gamma$  implies that  $\bigcap_{i \in I} \tau_i = \gamma$ , whence 293

 $\bigcap_{i\in I} r_{\tau_i} = r_{\gamma}$ 

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294 can be derived for the induced partial orders  $r_{\tau_i}$ ,  $i \in I$  on  $A/(\tau_i \cap \tau_i^{-1}) = A/(\gamma \cap \gamma^{-1})$ . 295 Using the notation  $\pi_i = r_{\tau_i}$ , Proposition 2.3 ensures that each partial order  $\pi_i$  is a half-296 space on  $P = A/(\gamma \cap \gamma^{-1})$ .

297 We claim, that

$$\rho = \Delta_P \cup \left( \left( \bigcup_{i \in I} \pi_i \right)^{-1} \setminus \left( \bigcup_{i \in I} \pi_i \right) \right)$$

is partial order on *P*. The reflexive and antisymmetric properties of  $\rho$  can be immediately seen. In order to prove the transitivity of  $\rho$  consider the pairs  $(x, y) \in \rho$  and  $(y, z) \in \rho$  with  $x, y, z \in P$  being different. We have  $(y, x) \in \pi_j$ ,  $(z, y) \in \pi_k$  for some  $j, k \in I$  and  $(x, y) \notin \bigcup_{i \in I} \pi_i$ ,  $(y, z) \notin \bigcup_{i \in I} \pi_i$ . If  $(z, y) \in \pi_j$ , then the transitivity of  $\pi_j$  implies  $(z, x) \in \pi_j$ . If  $(z, y) \notin \pi_j$ , then  $(y, z) \notin \pi_j$  and the half-space property of  $\pi_j$  imply that  $(z, x) \in \pi_j$  (see part (3) of Proposition 2.2). It follows that  $(x, z) \in \left(\bigcup_{i \in I} \pi_i\right)^{-1}$ . Suppose that  $(x, z) \in \bigcup_{i \in I} \pi_i$ , then  $(x, z) \in \pi_t$  for some  $t \in I$ . If  $(z, y) \notin \pi_t$ , then the transitivity of  $\pi_t$  gives that  $(x, y) \in \pi_t$ , a contradiction. If  $(z, y) \notin \pi_t$ , then  $(y, z) \notin \pi_t$  and the halfspace property of  $\pi_t$  gives that  $(x, y) \in \pi_t$  (see part (4) of Proposition 2.2), another or contradiction. Thus  $(x, z) \notin \bigcup_{i \in I} \pi_i$ , whence  $(x, z) \in \rho$  follows.

308 Let  $\sigma_i \subseteq P \times P$  denote the complementary half-space of  $\pi_i$  and consider the 309 following equivalence relation:

$$\Theta = \mathop{\cap}_{i \in I} (\sigma_i \cap \sigma_i^{-1})$$

310 on *P*. Since  $\pi_i^{-1} \cap \sigma_i^{-1} = \Delta_P$  for all  $i \in I$ , we have  $\rho \cap \Theta = \Delta_P$  and hence  $\rho^{-1} \cap \Theta =$ 311  $\Delta_P$ . Now we prove the containments  $\Theta \circ \rho \subseteq \rho$  and  $\rho \circ \Theta \subseteq \rho$ . If  $(x, y) \in \Theta$  and 312  $(y, z) \in \rho$  for the elements  $x, y, z \in P$  with x, y, z being different, then  $(z, y) \in \pi_j$ 313 for some  $j \in I$  and  $(y, z) \notin \bigcup \pi_i$ . In view of  $(x, y) \in \sigma_j \cap \sigma_j^{-1}$ , we have  $(x, y) \notin \pi_j$ 314 and  $(y, x) \notin \pi_j$ . Using part (4) in Proposition 2.2, we obtain that  $(z, x) \in \pi_j$  and 315  $(x, z) \in \left(\bigcup \pi_i\right)^{-1}$ . Suppose that  $(x, z) \in \bigcup \pi_i$ , then  $(x, z) \in \pi_k$  follows for some  $k \in I$ . 316 Since  $(x, y) \in \sigma_k \cap \sigma_k^{-1}$  implies that  $(x, y) \notin \pi_k$  and  $(y, x) \notin \pi_k$ , the application of part 317 (3) in Proposition 2.2 yields  $(y, z) \in \pi_k$ , a contradiction. Thus we have  $(x, z) \notin \bigcup \pi_i$ , 318 whence  $(x, z) \in \rho$  follows. A similar argument shows that  $\rho \circ \Theta \subseteq \rho$ . 319 Fix a linear order  $\mu$  on P, then  $\mu \cap \Theta$  and  $\mu^{-1} \cap \Theta$  are partial orders. Using the 320 above properties of  $\rho$  and  $\Theta$ , it is straightforward to see that  $\rho \cup (\mu \cap \Theta)$  and  $\rho \cup$ 321  $(\mu^{-1} \cap \Theta)$  are also partial orders on P.

322 Let  $\lambda \supseteq \rho \cup (\mu \cap \Theta)$  and  $\lambda^* \supseteq \rho \cup (\mu^{-1} \cap \Theta)$  be linear extensions on *P* and fix an 323 index  $i^* \in I$ . In view of Proposition 2.5, we can consider the linear orders  $R_i = \pi_i[\lambda]$ , 324  $i \in I \setminus \{i^*\}$  and  $R_{i^*} = \pi_{i^*}[\lambda^*]$  on *P* (note that  $I \setminus \{i^*\}$  is not empty). Since  $\pi_i \subseteq R_i$  for 325 all  $i \in I$ , the inclusion

$$r_{\gamma} = \bigcap_{i \in I} \pi_i \subseteq \bigcap_{i \in I} R_i$$

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is obvious. In order to prove the reverse containment let  $(x, y) \notin \bigcap_{i \in I} \pi_i$  for some 326  $x, y \in P$ . We have  $(x, y) \notin \pi_j$  for some  $j \in I$ . If  $(y, x) \in \bigcup_{i \in I} \pi_i$ , then  $(y, x) \in \pi_k \subseteq R_k$  327 and hence  $(x, y) \notin R_k$  for some  $k \in I$ . If  $(y, x) \notin \bigcup_{i \in I} \pi_i$ , then we distinguish two cases. 328 First suppose that  $(x, y) \in \bigcup_{i \in I} \pi_i$ . Then  $(y, x) \in \rho \subseteq \lambda \cap \lambda^*$  and the relations  $(x, y) \notin$  329

 $\pi_j$ ,  $(y, x) \notin \pi_j$  imply that  $(y, x) \in \pi_j[\lambda]$  (or  $(y, x) \in \pi_{i^*}[\lambda^*]$  if  $j = i^*$ ), whence  $(x, y) \notin 330$  $R_j$  follows. 331

Next suppose that  $(x, y) \notin \bigcup_{i \in I} \pi_i$ . Then  $(x, y) \in \Theta$  and the linearity of  $\mu$  gives that 332 we have either  $(y, x) \in \mu \cap \Theta$  or  $(y, x) \in \mu^{-1} \cap \Theta$ . If  $(y, x) \in \mu \cap \Theta \subseteq \lambda$ , then  $(y, x) \in 333$  $\pi_i[\lambda]$  and hence  $(x, y) \notin \pi_i[\lambda] = R_i$  for all  $i \in I \setminus \{i^*\}$ . If  $(y, x) \in \mu^{-1} \cap \Theta \subseteq \lambda^*$ , then 334  $(y, x) \in \pi_{i^*}[\lambda^*]$  and hence  $(x, y) \notin \pi_{i^*}[\lambda^*] = R_{i^*}$ .  $\Box$  335

*Remark 2.14* Another possibility to construct the linear orders  $R_i$  in the above proof 336 is the following. Fix a well ordering < on I and for  $i \in I \setminus \{i^*\}$  let 337

$$R_{i} = \pi_{i} \cup ((\sigma_{i} \cap \sigma_{i}^{-1}) \cap \Lambda) \cup (\Theta \cap \mu),$$
$$R_{i^{*}} = \pi_{i^{*}} \cup ((\sigma_{i^{*}} \cap \sigma_{i^{*}}^{-1}) \cap \Lambda) \cup (\Theta \cap \mu^{-1}).$$

where  $\Lambda = \{(x, y) \mid (y, x) \in \pi_k \text{ and } (x, y) \in \bigcap_{i \in I, i < k} (\sigma_i \cap \sigma_i^{-1}) \text{ for some } k \in I\}.$  338

In view of Corollaries 2.6 and 2.10, the above Theorem 2.13 yields the following. 339

**Theorem 2.15** If  $(A, \gamma)$  is a quasiordered set and  $hsdim(A, \gamma) = 1$ , then  $\gamma$  is a half- 340 space and 341

 $\dim(A/(\gamma \cap \gamma^{-1}), r_{\gamma}) = 1$  if  $\gamma$  has no empty box with more than one element,

 $\dim(A/(\gamma \cap \gamma^{-1}), r_{\gamma}) = 2$  if  $\gamma$  has an empty box with more than one element.

If  $hsdim(A, \gamma) \ge 2$ , then we have

$$\dim(A/(\gamma \cap \gamma^{-1}), r_{\gamma}) = \operatorname{hs} \dim(A, \gamma).$$

**Theorem 2.16** If  $(A, \gamma)$  is a partially ordered set and  $hsdim(A, \gamma) = 1$ , then  $\gamma$  is a 343 half-space and 344

 $\dim(A, \gamma) = 1$  if  $\gamma$  is a linear order,

$$\dim(A, \gamma) = 2$$
 if  $\gamma$  is not a linear order.

If  $hsdim(A, \gamma) \ge 2$ , then we have

$$\dim(A, \gamma) = \operatorname{hsdim}(A, \gamma).$$

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#### 346 3 Direct Product Irreducibility of Half-Space Quasiorders

347 If  $(A_i, \gamma_i), i \in I$  is a family of quasiordered sets, then

$$\prod_{i \in I} \gamma_i = \{ (\mathbf{a}, \mathbf{b}) \mid \mathbf{a}, \mathbf{b} \in \prod_{i \in I} A_i \text{ and } (\mathbf{a}(i), \mathbf{b}(i)) \in \gamma_i \text{ for all } i \in I \}$$

348 is a quasiorder on the product set  $\prod_{i \in I} A_i$  (here **a** and **b** are functions  $I \longrightarrow \bigcup_{i \in I} A_i$  such 349 that  $\mathbf{a}(i), \mathbf{b}(i) \in A_i$  for all  $i \in I$ ). We call  $(\prod_{i \in I} A_i, \prod_{i \in I} \gamma_i)$  the direct product of the above 350 family. The kernel of the natural surjection

$$\varphi: \prod_{i\in I} A_i \longrightarrow \prod_{i\in I} A_i / (\gamma_i \cap \gamma_i^{-1})$$

351 is  $\prod_{i \in I} (\gamma_i \cap \gamma_i^{-1})$ , whence we obtain a natural bijection

$$\left(\prod_{i\in I}A_i\right)/\left(\prod_{i\in I}(\gamma_i\cap\gamma_i^{-1})\right)\longrightarrow\prod_{i\in I}A_i/(\gamma_i\cap\gamma_i^{-1}).$$

352 It is easy to see that

$$\left(\prod_{i\in I}\gamma_i\right)\cap \left(\prod_{i\in I}\gamma_i\right)^{-1} = \prod_{i\in I}(\gamma_i\cap\gamma_i^{-1}) \text{ and } r = \prod_{i\in I}r_{\gamma_i},$$

353 where *r* is the partial order on  $\prod A_i/(\gamma_i \cap \gamma_i^{-1})$  induced by the quasiorder  $\prod \gamma_i$ .

The product of non-trivial partial orders is never a linear order. In contrast, the product of two half-spaces can be a half-space again: the four element Boolean lattice  $M_2$  is a product of two-element chains. We show that this is the only possibility to get a non-trivial half-space as a product of quasiorders.

**1358 Lemma 3.1** Let  $(A_i, \gamma_i)$ ,  $i \in I$  be a family of quasiordered sets and let  $j, k \in I$ ,  $j \neq k$  **1359** be indices such that  $a_j \neq c_j$ ,  $(a_j, c_j) \in \gamma_j$ ,  $(a_j, b_j) \notin \gamma_j$  for some  $a_j, b_j, c_j \in A_j$  and  $\gamma_k \neq j$ **1360**  $A_k \times A_k$  with  $|A_k| > 1$ . Then  $\prod_{i \in I} \gamma_i$  is not a half-space on  $\prod_{i \in I} A_i$ .

361 *Proof* Let  $\mathbf{u} \in \prod_{i \in I} A_i$  be an arbitrary element and  $x_k, y_k \in A_k$  such that  $(x_k, y_k) \notin \gamma_k$ . 362 Define  $\mathbf{a}, \mathbf{b}, \mathbf{c} \in \prod_{i \in I} A_i$  as follows: for an index  $i \in I$  let

$$\mathbf{a}(i) = \begin{cases} a_j \text{ if } i = j \\ y_k \text{ if } i = k \\ \mathbf{u}(i) \text{ if } i \in I \setminus \{j, k\} \end{cases}, \quad \mathbf{b}(i) = \begin{cases} b_j \text{ if } i = j \\ x_k \text{ if } i = k \\ \mathbf{u}(i) \text{ if } i \in I \setminus \{j, k\} \end{cases}$$

$$\mathbf{c}(i) = \begin{cases} c_j \text{ if } i = j \\ y_k \text{ if } i = k \\ \mathbf{u}(i) \text{ if } i \in I \setminus \{j, k\} \end{cases}$$

363 Clearly,  $(a_j, b_j) \notin \gamma_j$  implies  $(\mathbf{a}, \mathbf{b}) \notin \prod_{i \in I} \gamma_i$  and  $(x_k, y_k) \notin \gamma_k$  implies  $(\mathbf{b}, \mathbf{a}) \notin \prod_{i \in I} \gamma_i$ . Since 364  $(\mathbf{a}, \mathbf{c}) \in \prod_{i \in I} \gamma_i$  and  $(x_k, y_k) \notin \gamma_k$  implies  $(\mathbf{b}, \mathbf{c}) \notin \prod_{i \in I} \gamma_i$ , we can use part (3) in Proposition 2.2

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to see that  $\prod \gamma_i$  is not a half-space (we note that  $\mathbf{c} \neq \mathbf{a}$  is an immediate consequence 365 of  $a_i \neq c_i$ ). □ 366

**Lemma 3.2** If  $(A, \gamma)$  is a quasiordered set such that there are no elements  $a, b, c \in A$  367 with  $a \neq c$ ,  $(a, c) \in \gamma$  and  $(a, b) \notin \gamma$ , then  $\gamma \in \{\Delta_A, A \times A\}$  or  $\gamma = (B_1 < B_2)$  is a 368 half-space with a full lower box  $B_1$  (or  $|B_1| = 1$ ) and an empty upper box  $B_2$ . 369

*Proof* If  $\gamma \notin \{\Delta_A, A \times A\}$  satisfies the above conditions, then for each  $a \in A$  we 370 have either  $(a, x) \in \gamma$  for all  $x \in A$  or  $(a, y) \notin \gamma$  for all  $y \in A$ . Take 371

 $B_1 = \{a \in A \mid (a, x) \in \gamma \text{ for all } x \in A\} \text{ and } B_2 = \{a \in A \mid (a, y) \notin \gamma \text{ for all } y \in A\},\$ 

then  $B_1 \cup B_2 = A$ ,  $B_1 \cap B_2 = \emptyset$  and  $\gamma = B_1 \times A = (B_1 \times B_1) \cup (B_1 \times B_2)$  is a half-372 space, with a full lower box  $B_1$  (or  $|B_1| = 1$ ) and an empty upper box  $B_2$ . Thus we 373 can write  $\gamma = (B_1 < B_2)$ . □ 374

**Lemma 3.3** Let  $\gamma_i = (B_{i1} < B_{i2}), 1 \le i \le 2$  be half-spaces on  $A_i$  with full lower boxes 375  $B_{i1}$  (or  $|B_{i1}| = 1$ ) and empty upper boxes  $B_{i2}$ . Then we have the following. 376

(1)  $\Delta_{A_1 \times A_2} \neq \gamma_1 \times \gamma_2 \neq (A_1 \times A_2) \times (A_1 \times A_2)$  and take  $\mathbf{a} = (a_{12}, a_{21}), \ \mathbf{b} = 377$  $(a_{11}, a_{21}), \mathbf{c} = (a_{12}, a_{22}), \text{ where } a_{ij} \in B_{ij}, i, j \in \{1, 2\} \text{ are arbitrary elements. Then } 378$  $\mathbf{a} \neq \mathbf{c}$ ,  $(\mathbf{a}, \mathbf{c}) \in \gamma_1 \times \gamma_2$  and  $(\mathbf{a}, \mathbf{b}) \notin \gamma_1 \times \gamma_2$ . 379

(2) 
$$\gamma_1 \times \gamma_2$$
 is a half-space if and only if  $|B_{ij}| = 1$  for all  $i, j \in \{1, 2\}$ .

Proof

- (1): Obvious.
- (2): If  $|B_{ij}| = 1$  for all  $i, j \in \{1, 2\}$ , then it is clear that  $A_1 \times A_2$  is a four element set 383 and  $\gamma_1 \times \gamma_2$  is a partial order relation on  $A_1 \times A_2$  providing a lattice isomorphic 384 to  $M_2$ , which is a half-space as we have already noted. 385

Suppose now, that  $|B_{11}| > 1$  and take  $a', a'' \in B_{11}$  such that  $a' \neq a''$ . Let  $\mathbf{z} = (a', b)$ , 386  $\mathbf{x} = (a'', b)$  and  $\mathbf{y} = (a, c)$ , where  $a \in B_{12}$ ,  $b \in B_{22}$ ,  $c \in B_{21}$  are arbitrary elements. 387 Since  $(\mathbf{x}, \mathbf{y}) \notin \gamma_1 \times \gamma_2$ ,  $(\mathbf{y}, \mathbf{x}) \notin \gamma_1 \times \gamma_2$  and  $(\mathbf{x}, \mathbf{z}) \in \gamma_1 \times \gamma_2$ ,  $(\mathbf{y}, \mathbf{z}) \notin \gamma_1 \times \gamma_2$ , we can 388 apply part (3) in Proposition 2.2 to derive that  $\gamma_1 \times \gamma_2$  is not a half-space. 389

If  $|B_{12}| > 1$  then take  $a', a'' \in B_{12}$  such that  $a' \neq a''$ . Let  $\mathbf{z} = (a', b), \mathbf{x} = (a', c)$  390 and  $\mathbf{y} = (a'', c)$ , where  $b \in B_{22}$ ,  $c \in B_{21}$  are arbitrary elements. Since  $(\mathbf{x}, \mathbf{y}) \notin \gamma_1 \times \mathbf{z}$ 391  $\gamma_2$ ,  $(\mathbf{y}, \mathbf{x}) \notin \gamma_1 \times \gamma_2$  and  $(\mathbf{x}, \mathbf{z}) \in \gamma_1 \times \gamma_2$ ,  $(\mathbf{y}, \mathbf{z}) \notin \gamma_1 \times \gamma_2$ , we can apply part (3) in 392 Proposition 2.2 to derive that  $\gamma_1 \times \gamma_2$  is not a half-space. 393

The cases  $|B_{21}| > 1$  and  $|B_{22}| > 1$  can be treated analogously.

**Theorem 3.4** If  $(A_i, \gamma_i)$ ,  $i \in I$  is a family of non-trivial quasiordered sets (i.e.  $\Delta_{A_i} \neq i$ 395  $\gamma_i \neq A_i \times A_i$  for all  $i \in I$ ), then the following are equivalent. 396

- $\prod \gamma_i$  is a half-space on  $\prod A_i$ . (1)397
- *Either I* = {1} and  $\gamma_1$  is a half-space or I = {1, 2} and (A<sub>1</sub>,  $\gamma_1$ ), (A<sub>2</sub>,  $\gamma_2$ ) are two-(2) 398 element chains. 399

Proof

 $(2) \Longrightarrow (1)$ : It is an immediate consequence of part (2) in Lemma 3.3.

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□ 394

402 (1)  $\implies$  (2): It is enough to deal with the case  $|I| \ge 2$ . Using Lemma 3.1, we obtain 403 that there is no  $j \in I$  such that  $a_i \neq c_i$ ,  $(a_i, c_i) \in \gamma_i$ ,  $(a_i, b_i) \notin \gamma_i$  for some  $a_i, b_i, c_i \in \gamma_i$  $A_i$ . In view of Lemma 3.2, each  $\gamma_i$  is a half-space on  $A_i$  of the form  $\gamma_i = (B_{i1} < B_{i2})$ 404 405 with a full lower box  $B_{i1}$  (or  $|B_{i1}| = 1$ ) and an empty upper box  $B_{i2}$ . If  $|I| \ge 3$ , then 406 we have different indices  $i_1, i_2, i_3 \in I$  and

$$\prod_{i\in I} \gamma_i = (\gamma_{i_1} \times \gamma_{i_2}) \times \gamma_{i_3} \times \left(\prod_{i\in I\setminus\{i_1,i_2,i_3\}} \gamma_i\right),$$

407 where  $\gamma_{i_1} \times \gamma_{i_2}$  has the property described in part (1) of Lemma 3.3. Since  $\gamma_{i_3} \neq$ 408  $A_{i_3} \times A_{i_3}$  with  $|A_{i_3}| > 1$ , Lemma 3.1 ensures that our product is not a half-space, a 409 contradiction. Thus |I| = 2 and part (2) in Lemma 3.3 gives that  $(A_1, \gamma_1)$  and  $(A_2, \gamma_2)$ 410 are two-element chains (here we assumed  $I = \{1, 2\}$ ). П

411 Remark 3.5 If  $\gamma_i = \Delta_{A_i}$  and  $|A_i| > 1$  for some  $j \in I$ , then  $\prod \gamma_i$  is disconnected, hence 412 not a non-trivial half-space (because  $\prod \gamma_i = \Delta$  would be the only possibility to get 413 a half-space). If  $\gamma_i = A_i \times A_j$  for some  $j \in I$ , then  $\gamma_j$  has no effect on wether the 414 product  $\prod \gamma_i$  is a half-space (in other words  $\prod \gamma_i$  is a half-space if and only if  $\prod \gamma_i$  is  $i \in I$  $i \in \overline{I \setminus \{i\}}$  $i \in I$ 415 a half-space).

Now, as promised in the introduction, we illustrate the use of half-spaces in a short 416 417 proof of the following statement.

418 **Theorem 3.5** (Dushnik–Miller) If  $(A_i, R_i)$ ,  $i \in I$  is a family of non-trivial linearly 419 ordered sets (chains) with  $|I| \ge 2$ , then

$$\dim\left(\prod_{i\in I}A_i,\prod_{i\in I}R_i\right)\leq |I|$$

420 *Proof* For an index  $j \in I$  let  $\pi_j$  denote the natural  $\prod A_i \longrightarrow A_j$  projection. We have  $i \in I$ 

$$\bigcap_{j \in I} \{ (\mathbf{a}, \mathbf{b}) \mid \mathbf{a}, \mathbf{b} \in \prod_{i \in I} A_i \text{ and } \mathbf{a}(j) \leq_{R_j} \mathbf{b}(j) \} = \prod_{i \in I} R_i,$$

421 for the half-spaces

$$\{(\mathbf{a}, \mathbf{b}) \mid \mathbf{a}, \mathbf{b} \in \prod_{i \in I} A_i \text{ and } \mathbf{a}(j) \leq_{R_j} \mathbf{b}(j)\} = \ker(\pi_j) \cup \pi_j^{-1}(R_j), \ j \in I$$

422 (see Proposition 2.7). If  $\prod R_i$  is not a half-space, then  $i \in I$ 

$$\dim\left(\prod_{i\in I}A_i,\prod_{i\in I}R_i\right) = \operatorname{hsdim}\left(\prod_{i\in I}A_i,\prod_{i\in I}R_i\right) \le |I|$$

423 by Theorem 2.16. If  $\prod R_i$  is a half-space, then |I| = 2 and  $(\prod A_i, \prod R_i)$  is the four i∈I 424 element Boolean lattice by Theorem 3.4.

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