

# Phase Field Approaches to Fracture: Towards the Simulation of Cutting Soft Tissues

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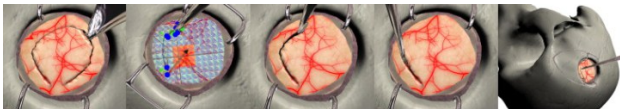
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# Prelude: Medical Simulations

## Phase Field Approaches to Fracture

- The aim of "RealTCut" is to devise real-time numerical methods for the simulation of cutting. (Courtecuisse et al., 2014)
- These methods are aimed at surgical training, which has the potential to help surgeons improve their skills without endangering patients.



- Here, we are more interested in predictive and accurate simulations. . .
- We have some thoughts on phase field approaches to model fracture of "incompressible" soft tissues. (Gültekin et al., 2016)

# Towards Real-Time Multi-Scale Simulation of Cutting

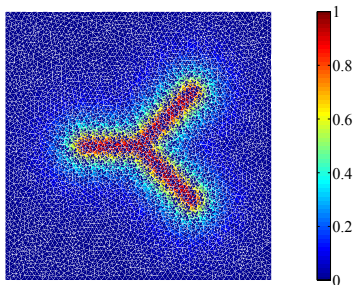
## Phase Field Approaches to Fracture



# Prelude: Smearred Crack Approaches

## Phase Field Approaches to Fracture

- The phase field approaches to fracture
  - Based on energy minimization with both displacement and crack path (Francfort & Marigo, 1998)
  - Use a **continuous** scalar field to denote the crack (Bourdin et al., 2008)
  - Able to predict crack nucleation/branching without extra input



- **Cons:**
  - High computational cost
  - Polyconvexity of the functional



- **Phase Field Formulation in General Context**
- Phase Field Formulation with Incompressibility
- Implementation on FEniCs

# Small Strain Measures

## Phase Field Formulation in General Context

- Let  $\psi[\boldsymbol{\varepsilon}(\mathbf{u})]$  be the strain energy density which depends on the strain

$$\boldsymbol{\varepsilon}(\mathbf{u}) := \frac{1}{2} (\nabla \mathbf{u} + \nabla \mathbf{u}^T)$$

as

$$\psi(\boldsymbol{\varepsilon}) := \frac{\lambda}{2} (\text{tr } \boldsymbol{\varepsilon})^2 + \mu \|\boldsymbol{\varepsilon}\|^2$$

- Note that we exclude large strain measure, although most phenomenological models are based on hyperelastic formulation.

# Variational Formulation of Fracture

## Phase Field Formulation in General Context

- The variational formulation for fracture of the solid consists in finding the minimizer of the following potential:

$$\Pi[\mathbf{u}, \Gamma] := \int_{\Omega \setminus \Gamma} \psi[\boldsymbol{\varepsilon}(\mathbf{u})] d\Omega - \int_{\Omega} \mathbf{b} \cdot \mathbf{u} d\Omega - \int_{\partial_N \Omega} \mathbf{t}_N \cdot \mathbf{u} d\Gamma + g_c |\Gamma|$$

among all  $\mathbf{u} : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  that are bounded deformation functions of  $\Omega$  and that satisfy

$$\mathbf{u} = \mathbf{u}_D, \quad \text{on } \partial_D \Omega.$$

- $\Gamma = \Gamma(\mathbf{u}) \subset \Omega$  is the set of discontinuities of  $\mathbf{u}$ .  $|\Gamma|$  denotes the length of  $\Gamma$ .
- But it is not easy to search among all possible  $\Gamma$ 's for minimization...

# Phase Field Regularization

## Phase Field Formulation in General Context

- We define a **continuous scalar field** ( $d$ ) to denote the crack.
- We introduce the crack length functional, which takes the following form:

$$\Gamma_\ell[d] := \int_{\Omega} \left( \frac{d^2}{2\ell} + \frac{\ell}{2} \nabla d \cdot \nabla d \right) d\Omega,$$

where  $\ell$  is a **length scale** such that when  $\ell \rightarrow 0$ , the regularized formulation  $\Gamma$ -converges to that with explicit crack representation. (Dal Maso et al., 2005)

- $d : \Omega \rightarrow [0, 1]$ : In particular, regions with  $d = 0$  and  $d = 1$  correspond to “perfect” and “fully-broken” states of the material, respectively.

# Regularized Variational Formulation of Fracture

## Phase Field Formulation in General Context

- We regularize the functional by means of the phase field:

$$\begin{aligned} \Pi_\ell[\mathbf{u}, d] := & \int_{\Omega} \psi[\boldsymbol{\varepsilon}(\mathbf{u}), d] \, d\Omega - \int_{\Omega} \mathbf{b} \cdot \mathbf{u} \, d\Omega - \int_{\partial_N \Omega} \mathbf{t}_N \cdot \mathbf{u} \, d\Gamma \\ & + g_c \int_{\Omega} \left( \frac{d^2}{2\ell} + \frac{\ell}{2} |\nabla d|^2 \right) \, d\Omega. \end{aligned}$$

- Here  $\psi(\boldsymbol{\varepsilon}, d)$  is the strain energy density degraded by the phase field such that  $\psi(\boldsymbol{\varepsilon}, 0) = \psi_0(\boldsymbol{\varepsilon})$  and that  $\psi(\boldsymbol{\varepsilon}, d_1) \geq \psi(\boldsymbol{\varepsilon}, d_2)$  if  $d_1 < d_2$ .
- Now we look for various ways to degrade the strain energy density...

# Popular Phase Field Models (A)

## Phase Field Formulation in General Context

- Model A:** This is the original model proposed for similar formulations. It is convenient in that  $\psi$  is analytic in both  $d$  and  $\epsilon$ . (Bourdin et al., 2008)

$$\psi = (1 - d)^2 \psi_+ + \psi_-, \quad \sigma = \frac{\partial \psi}{\partial \epsilon},$$

$$\psi_+ = \frac{\lambda}{2} (\text{tr } \epsilon)^2 + \mu \|\epsilon\|^2,$$

$$\psi_- = 0.$$

# Popular Phase Field Models (B)

## Phase Field Formulation in General Context

- Model B:** This model assumes that both volumetric expansion and deviatoric deformation contribute to crack propagation but not volumetric compression. (Amor et al., 2009)

$$\psi = (1 - d)^2 \psi_+ + \psi_-, \quad \sigma = \frac{\partial \psi}{\partial \varepsilon},$$

$$\psi_+ = (\lambda + 2\mu/3) \langle \text{tr } \varepsilon \rangle_+ \mathbf{1} + 2\mu \text{ dev } \varepsilon,$$

$$\psi_- = (\lambda + 2\mu/3) \langle \text{tr } \varepsilon \rangle_- \mathbf{1}.$$

# Popular Phase Field Models (C)

## Phase Field Formulation in General Context

- Model C:** This model postulates that the stress degradation is due to a combination of tensile loading and volumetric expansion. (Miehe et al., 2010)

$$\psi = (1 - d)^2 \psi_+ + \psi_-, \quad \sigma = \frac{\partial \psi}{\partial \epsilon},$$

$$\psi_+ = \lambda \langle \text{tr } \epsilon \rangle_+ \mathbf{1} + 2\mu \sum_{i=1}^3 \langle \epsilon_i \rangle_+ \mathbf{n}_i \otimes \mathbf{n}_i,$$

$$\psi_- = \lambda \langle \text{tr } \epsilon \rangle_- \mathbf{1} + 2\mu \sum_{i=1}^3 \langle \epsilon_i \rangle_- \mathbf{n}_i \otimes \mathbf{n}_i.$$



# The Weak Form

## Phase Field Formulation in General Context

- Find  $(\mathbf{u}, d) \in H^1(\Omega; \mathbb{R}^2) \times H^1(\Omega)$  with  $\mathbf{u} = \mathbf{u}_D$  on  $\partial_D \Omega$ , such that for all  $(\mathbf{w}, q) \in H^1(\Omega; \mathbb{R}^2) \times H^1(\Omega)$  with  $\mathbf{w} = \mathbf{0}$  on  $\partial_D \Omega$ ,  $\delta \Pi_\ell[(\mathbf{u}, d), (\mathbf{w}, q)] = 0$ , or equivalently:

$$\int_{\Omega} \boldsymbol{\sigma}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}(\mathbf{w}) \, d\Omega = \int_{\Omega} \mathbf{b} \cdot \mathbf{w} \, d\Omega + \int_{\partial_N \Omega} \mathbf{t}_N \cdot \mathbf{w} \, d\Gamma,$$

$$\int_{\Omega} \left[ 2dq\psi_+(\varepsilon) + g_c \left( \frac{d}{\ell} q + \ell \nabla d \cdot \nabla q \right) \right] d\Omega = \int_{\Omega} 2q\psi_+(\varepsilon) \, d\Omega.$$

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# The Tangent Stiffness Matrices

## Phase Field Formulation in General Context

- We normally solve for the phase field formulation with iterations between the elasticity half problem and the phase field half problem.
- The tangent stiffness matrices are then given by:

$$K_{PQ} = \int_{\Omega} \boldsymbol{\varepsilon}(\mathbf{N}_P) : \mathbb{A}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}(\mathbf{N}_Q) d\Omega,$$

$$\bar{K}_{PQ} = \int_{\Omega} 2\phi_P \psi_+(\boldsymbol{\varepsilon}) \phi_Q d\Omega + g_c \int_{\Omega} \left[ \frac{\phi_P \phi_Q}{\ell} + \ell \nabla \phi_P \cdot \nabla \phi_Q \right] d\Omega$$

where the fourth-order tensor

$$\mathbb{A}[\boldsymbol{\varepsilon}(\mathbf{u}), d] := \left. \frac{\partial \boldsymbol{\sigma}(\boldsymbol{\varepsilon}, d)}{\partial \boldsymbol{\varepsilon}} \right|_{\boldsymbol{\varepsilon}=\boldsymbol{\varepsilon}(\mathbf{u})}$$

is the tangent elastic modulus tensor.

- Phase Field Formulation in General Context
- **Phase Field Formulation with Incompressibility**
- Implementation on FEniCs

# Regularized Variational Formulation of Fracture

## Phase Field Formulation with Incompressibility

- Let

$$\mathcal{S}_u := \left\{ \mathbf{u} \in H^1(\Omega; \mathbb{R}^2) \mid \mathbf{u}(\cdot) = \mathbf{u}_D(\cdot) \text{ on } \partial_D \Omega \right\},$$

$$\mathcal{S}_p := L^2(\Omega),$$

$$\mathcal{S}_d := H^1(\Omega).$$

- We aim to minimize the following potential: (Wheeler et al., 2014)

$$\begin{aligned} \Pi_\ell[\mathbf{u}, p, d] := & \int_{\Omega} \psi^{Dev}[\boldsymbol{\varepsilon}(\mathbf{u}), d] \, d\Omega + \int_{\Omega} \left( -\frac{p^2}{2\lambda} + p \operatorname{div} \mathbf{u} \right) \, d\Omega \\ & - \int_{\partial_N \Omega} \mathbf{t}_N \cdot \mathbf{u} \, d\Gamma - \int_{\Omega} \rho \mathbf{b} \cdot \mathbf{u} \, d\Omega + g_c \int_{\Omega} \left( \frac{d^2}{2\ell} + \frac{\ell}{2} |\nabla d|^2 \right) \, d\Omega. \end{aligned}$$

# The Strong Form

## Phase Field Formulation with Incompressibility

- The Euler-Lagrange equations read:

$$\begin{aligned}
 \operatorname{div} \boldsymbol{\sigma}^{Dev} + \nabla p + \mathbf{b} &= \mathbf{0}, && \text{in } \Omega, \\
 \left( -\frac{1}{\lambda} p + \operatorname{div} \mathbf{u} \right) &= 0, && \text{in } \Omega, \\
 -\frac{\partial \psi^{Dev}}{\partial d} - \frac{g_c}{\ell} \left( d - \ell^2 \Delta d \right) &= 0, && \text{in } \Omega, \\
 \left( \boldsymbol{\sigma}^{Dev} \cdot \mathbf{n} \right) - \mathbf{t}_N &= \mathbf{0}, && \text{on } \partial_N \Omega, \\
 \frac{\partial d}{\partial \mathbf{n}} &= 0, && \text{on } \partial \Omega, \\
 \mathbf{u} &= \mathbf{u}_D, && \text{on } \partial_D \Omega.
 \end{aligned}$$

# The Weak Form

## Phase Field Formulation with Incompressibility

- The weak form can be stated as: Find  $(\mathbf{u}, p, d) \in \mathcal{S}_u \times \mathcal{S}_p \times \mathcal{S}_d$  such that for all  $\mathbf{w} \in \mathcal{V}_u$ ,  $\tilde{p} \in \mathcal{V}_p$ , and  $q \in \mathcal{V}_d$ :

$$\begin{aligned}
 & \int_{\Omega} \boldsymbol{\sigma}^{Dev}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}^{Dev}(\mathbf{w}) \, d\Omega + \int_{\Omega} p \operatorname{div} \mathbf{w} \, d\Omega \\
 &= \int_{\partial_N \Omega} \mathbf{t}_N \cdot \mathbf{w} \, d\Gamma + \int_{\Omega} \mathbf{b} \cdot \mathbf{w} \, d\Omega, \\
 & \int_{\Omega} \left( -\frac{1}{\lambda} p + \operatorname{div} \mathbf{u} \right) \tilde{p} \, d\Omega = 0, \\
 & \int_{\Omega} \left[ 2dq\psi_+^{Dev}(\boldsymbol{\varepsilon}) + g_c \left( \frac{d}{\ell} q + \ell \nabla d \cdot \nabla q \right) \right] d\Omega = \int_{\Omega} 2q\psi_+^{Dev}(\boldsymbol{\varepsilon}) \, d\Omega.
 \end{aligned}$$

- Phase Field Formulation in Dynamic Context
- Phase Field Formulation in General Context
- Phase Field Formulation with Incompressibility
- **Implementation on FEniCs**

# Implementation on FEniCs

## Features

- The **FEniCS Project** is a collection of free software with an extensive list of features for efficient solution of differential equations.

```
energy_elastic = psi(epsdev(u_), d_) * dx
```

```
...
```

```
Residual_u = derivative (energy_total, v_, v_t)
```

```
Jacobian_u = derivative (Residual_u, v_, v)
```

- We use the FEniCS project and PETSc software packages:
  - “Rigid Punch Incompressible Elasticity” by **Jack S. Hale**
  - “FEniCS Variational Damage and Fracture” by **Corrado Maurini**  
*Available online at <https://bitbucket.org/cmaurini/>*
  - “Phase Field Models with Incompressibility” by **Vahid Ziaei-Rad**

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- We used phase field approach toward the simulation of cutting soft tissues.
- We discussed pros and cons of some popular phase field models.
- We developed a model for incompressible materials in small strain measure.
- We introduced some features of our FEniCS implementation.

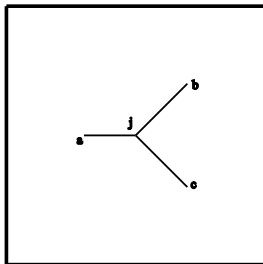
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# Prelude: Explicit Crack Approaches

## Phase Field Approaches to Fracture

- The explicit crack approaches
  - **Family 1:** To regenerate/adjust the mesh
  - **Family 2:** To introduce enrichment for the displacement discontinuity



- **Cons:**

- Need to track the complicated geometry of the evolving crack
- Need extra input to predict complex phenomena

# Phase Field Formulation in General Context

## The first variation

- Taking the first variation yield:

$$\begin{aligned}
 \delta \Pi_\ell[(\mathbf{u}, d), (\mathbf{w}, q)] &:= \left. \frac{d}{d\epsilon} \Pi_\ell[\mathbf{u} + \epsilon \mathbf{w}, d + \epsilon q] \right|_{\epsilon=0} \\
 &= \int_{\Omega} \boldsymbol{\sigma}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}(\mathbf{w}) \, d\Omega - \int_{\Gamma_N} \mathbf{t}_N \cdot \mathbf{w} \, d\Gamma - \int_{\Omega} \mathbf{b} \cdot \mathbf{w} \, d\Omega \\
 &\quad - \int_{\Omega} 2(1-d)q\psi_+(\boldsymbol{\varepsilon}) \, d\Omega + g_c \int_{\Omega} \left( \frac{d}{\ell} q + \ell \nabla d \cdot \nabla q \right) \, d\Omega
 \end{aligned}$$

where

$$\boldsymbol{\sigma} := \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}} = \left[ (1-d)^2 + k \right] \frac{\partial \psi_+(\boldsymbol{\varepsilon})}{\partial \boldsymbol{\varepsilon}} + \frac{\partial \psi_-(\boldsymbol{\varepsilon})}{\partial \boldsymbol{\varepsilon}}$$

is the Cauchy stress tensor.

The weak form

# Phase Field Formulation in General Context

## The residuals

- If we use  $\{\mathbf{N}_P\}$  to denote the set of basis functions for  $\mathbf{u}$  and  $\mathbf{w}$ , and  $\{\phi_P\}$  that for  $d$  and  $q$ , then we can write the residuals as

$$R_P = \int_{\Omega} \boldsymbol{\sigma}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}(\mathbf{N}_P) d\Omega - \int_{\Gamma_N} \mathbf{t}_N \cdot \mathbf{N}_P d\Gamma - \int_{\Omega} \mathbf{b} \cdot \mathbf{N}_P d\Omega,$$

$$\bar{R}_P = - \int_{\Omega} 2(1-d)\phi_P\psi_+(\boldsymbol{\varepsilon}) d\Omega + g_c \int_{\Omega} \left( \frac{d\phi_P}{\ell} + \ell \nabla d \cdot \nabla \phi_P \right) d\Omega.$$

# Phase Field Formulation in General Context

## The second variation

- To derive the expression of the tangent stiffness matrices, we take another variation:

$$\begin{aligned}
 \delta^2 \Pi_\ell[(\mathbf{u}, d), (\mathbf{w}, q); (\delta \mathbf{u}, \delta d)] &:= \left. \frac{d}{d\epsilon} \delta \Pi_\ell[(\mathbf{u} + \epsilon \delta \mathbf{u}, d + \epsilon \delta d), (\mathbf{w}, q)] \right|_{\epsilon=0} \\
 &= \int_{\Omega} \boldsymbol{\varepsilon}(\mathbf{w}) : \mathbb{A}[\boldsymbol{\varepsilon}(\mathbf{u}), d] : \boldsymbol{\varepsilon}(\delta \mathbf{u}) \, d\Omega \\
 &\quad + \int_{\Omega} 2qd \left. \frac{\partial \psi_+(\boldsymbol{\varepsilon})}{\partial \boldsymbol{\varepsilon}} \right|_{\boldsymbol{\varepsilon}=\boldsymbol{\varepsilon}(\mathbf{u})} : \boldsymbol{\varepsilon}(\delta \mathbf{u}) \, d\Omega \\
 &\quad + \int_{\Omega} \boldsymbol{\varepsilon}(\mathbf{w}) : \frac{\partial \boldsymbol{\sigma}[\boldsymbol{\varepsilon}(\mathbf{u}), d]}{\partial d} \delta d \, d\Omega \\
 &\quad - \int_{\Omega} 2q \psi_+(\boldsymbol{\varepsilon}) \delta d \, d\Omega \\
 &\quad + \mathcal{G}_c \int_{\Omega} \left[ \frac{q \delta d}{\ell} + \ell \nabla q \cdot \nabla(\delta d) \right] \, d\Omega.
 \end{aligned}$$

# Popular Phase Field Models (B)

## Phase Field Formulation in General Context

- Model B:** This model assumes that both volumetric expansion and deviatoric deformation contribute to crack propagation but not volumetric compression. (Amor et al., 2009)

$$\psi = (1 - d)^2 \psi_+ + \psi_-, \quad \sigma = \frac{\partial \psi}{\partial \epsilon},$$

$$\psi_+ = (\lambda + 2\mu/3) \langle \text{tr } \epsilon \rangle_+ \mathbf{1} + 2\mu \text{ dev } \epsilon,$$

$$\psi_- = (\lambda + 2\mu/3) \langle \text{tr } \epsilon \rangle_- \mathbf{1}.$$

The incompressibility formulation