

1 **Brain tumor segmentation based on deep learning and an attention**  
2 **mechanism using MRI multi-modalities brain images**

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23 **Abstract:**

24 Brain tumor localization and segmentation from magnetic resonance imaging (MRI) are hard  
25 and important tasks for several applications in the field of medical analysis. As each brain imaging  
26 modality gives unique and key details related to each part of the tumor, many recent approaches  
27 used four modalities T1, T1c, T2, and FLAIR. Although many of them obtained a promising  
28 segmentation result on the BRATS 2018 dataset, they suffer from a complex structure that needs  
29 more time to train and test. So, in this paper, to obtain a flexible and effective brain tumor  
30 segmentation system, first, we propose a preprocessing approach to work only on a small part of  
31 the image rather than the whole part of the image. This method leads to a decrease in computing  
32 time and overcomes the overfitting problems in a Cascade Deep Learning model. In the second  
33 step, as we are dealing with a smaller part of brain images in each slice, a simple and efficient  
34 Cascade Convolutional Neural Network (C-ConvNet/C-CNN) is proposed. This C-CNN model  
35 mines both local and global features in two different routes. Also, to improve the brain tumor  
36 segmentation accuracy compared with the state-of-the-art models, a novel Distance-Wise  
37 Attention (DWA) mechanism is introduced. The DWA mechanism considers the effect of the  
38 center location of the tumor and the brain inside the model. Comprehensive experiments are  
39 conducted on the BRATS 2018 dataset and show that the proposed model obtains competitive  
40 results: the proposed method achieves a mean whole tumor, enhancing tumor, and tumor core dice  
41 scores of 0.9203, 0.9113 and 0.8726 respectively. Other quantitative and qualitative assessments  
42 are presented and discussed.

43 **Keywords:** Brain tumor segmentation, Image segmentation, Medical image analysis, Attention  
44 mechanism

45 **1. Introduction**

46 Brain tumors include the most threatening types of tumors around the world. Glioma, the  
47 most common primary brain tumors, occurs due to the carcinogenesis of glial cells in the spinal  
48 cord and brain. Glioma is characterized by several histological and malignancy grades, and an  
49 average survival time of fewer than 14 months after diagnosis for glioblastoma patients[1].  
50 Magnetic Resonance Imaging (MRI), a popular non-invasive strategy, produces a large and diverse  
51 number of tissue contrasts in each imaging modality and has been widely used by medical  
52 specialists to diagnose brain tumors [2]. However, the manual segmentation and analysis

53 of structural MRI images of brain tumors is an arduous and time-consuming task which, thus far,  
54 can only be accomplished by professional neuroradiologists [3], [4]. Therefore, an automatic and  
55 robust brain tumor segmentation will have a significant impact on brain tumor diagnosis and  
56 treatment. Furthermore, it can also lead to timely diagnosis and treatment of neurological disorders  
57 such as Alzheimer’s disease (AD), schizophrenia, and dementia. An automatic technique for  
58 Lesion segmentation can support radiologists to deliver key information about the volume,  
59 localization, and shape of tumors (including enhancing tumor core regions and whole tumor  
60 regions) to make therapy progress more effective and meaningful. There are several differences  
61 between the tumor and its normal adjacent tissue (NAT) which hinder the effectiveness of  
62 segmentation in medical imaging analysis, e.g., size, bias field (undesirable artifact due to the  
63 improper image acquisition), location, and shape [5]. Several models that try to find accurate and  
64 efficient boundary curves of brain tumors in medical images have been implemented in the  
65 literature. These models can be divided into three main categories:

66 1) Machine learning approaches address these problems by mainly using hand-crafted  
67 features (or pre-defined features) [6]–[9]. As an initial step in this kind of segmentation, the key  
68 information is extracted from the input image using some feature extraction algorithm, and then a  
69 discriminative model is trained to recognize the tumor from normal tissues. The designed machine  
70 learning techniques generally employ hand-crafted features with various classifiers, such as  
71 random forest [10], support vector machine (SVM) [11], [12], fuzzy clustering [3]. The designed  
72 methods and features extraction algorithms have to extract features, edge-related details, and other  
73 necessary information—which is time-consuming [13]. Moreover, when boundaries between  
74 healthy tissues and tumors are fuzzy/vague, these methods demonstrate poorer performances.

75 2) Multi-atlas registration (MAS) algorithms are based on the registration and label fusion of  
76 multiple normal brain atlases to a new image modality [4]. Due to the difficulties in registering  
77 normal brain atlases and the need for a large number of atlases, these MAS algorithms have not  
78 been successfully dealing with applications that require speed [14].

79 3) Deep learning methods extract crucial features automatically. These approaches have  
80 yielded outstanding results in various application domains, e.g., pedestrian detection [15], [16],  
81 speech recognition and understanding [17], [18], and brain tumor segmentation [19], [20].

82 Zhang et al. [21] proposed a TSBTS network (task-structured brain tumor segmentation  
83 network) to mimic the physicians’ expertise by exploring both the task-modality structure and the

84 task-task structure. The task-modality structure identifies the dissimilar tumor regions by weighing  
85 the dissimilar modality volume data since they reflect diverse pathological features, whereas the  
86 task-task structure represents the most distinct area with one part of the tumor and uses it to find  
87 another part in its vicinity.

88 A learning method for representing useful features from the knowledge transition across  
89 different modality data employed in [22]. To facilitate the knowledge transition, they used a  
90 generative adversarial network (GAN) learning scheme to mine intrinsic patterns from each  
91 modality data. Zhou et al. [23] introduced a One-pass Multi-Task Network (OM-Net) to overcome  
92 the problem of imbalanced data in medical brain volume. OM-Net uses shared and task-specific  
93 parameters to learn discriminative and joint features. OM-Net is optimized using both learning-  
94 based training and online training data transfer approaches. Furthermore, a cross-task guided  
95 attention (CGA) module is used to share prediction results between tasks. The extraction of both  
96 local and global contextual features simultaneously was proposed inside the Deep CNN structure  
97 by Havaei et al. [24]. Their model uses a simple but efficient feature extraction method. An  
98 AssemblyNet model was proposed by Coupé et al. [25] which uses the parliamentary decision-  
99 making concept for 3D whole-brain MRI segmentation. This parliamentary network is able to  
100 solve unseen problems, take complex decisions, and reach a relevant consensus. AssemblyNet  
101 employs a majority voting by sharing the knowledge among neighboring U-Nets. This network is  
102 able to overcome the problem of limited training data.

103 Owing to the small size of tumors compared to the rest of the brain, brain imaging data are  
104 imbalanced. Due to this characterization, existing networks get to be biased towards the one class  
105 that is overrepresented, and training a deep model often leads to low true positive rates.  
106 Additionally, existing deep learning approaches have complex structures—which makes them  
107 more time-consuming.

108 To overcome the mentioned difficulties, in our work, a powerful pre-processing strategy to  
109 remove a huge amount of unimportant information has been used, which causes promising results  
110 even in the present deep learning models. Owing to this strategy, we do not use a complex deep  
111 learning model to define the location of the tumor and extract features that lead to a time-  
112 consuming process with a high fault rate. Furthermore, thanks to the reduction in the size of the  
113 region of interest, the preprocessing step in this strategy also decreases overfitting problems.  
114 Besides, after the pre-processing step, a cascade CNN approach is employed to extract both local

115 and global features in an effective way. In order to make our model robust to variation in size and  
116 location of the tumor, a new distance-wise attention mechanism is applied inside the CNN model.

117 This study is structured as follows. In Section 2.1, the pre-processing procedure including Z-  
118 Score normalization is described in detail for four MRI modalities. In Section 2.2, deep learning  
119 architecture is described. In Section 2.3.1, the distance-wise attention module is demonstrated. In  
120 Section 2.3.2, the architecture of the proposed Cascade Convolutional Neural Networks (C-  
121 ConvNet/C-CNN) is explained. The experiments, discussion, and concluding remarks are in  
122 Sections 3 and 4.

## 123 **2. Material and Methods**

124 In this section, we will discuss the proposed method in detail.

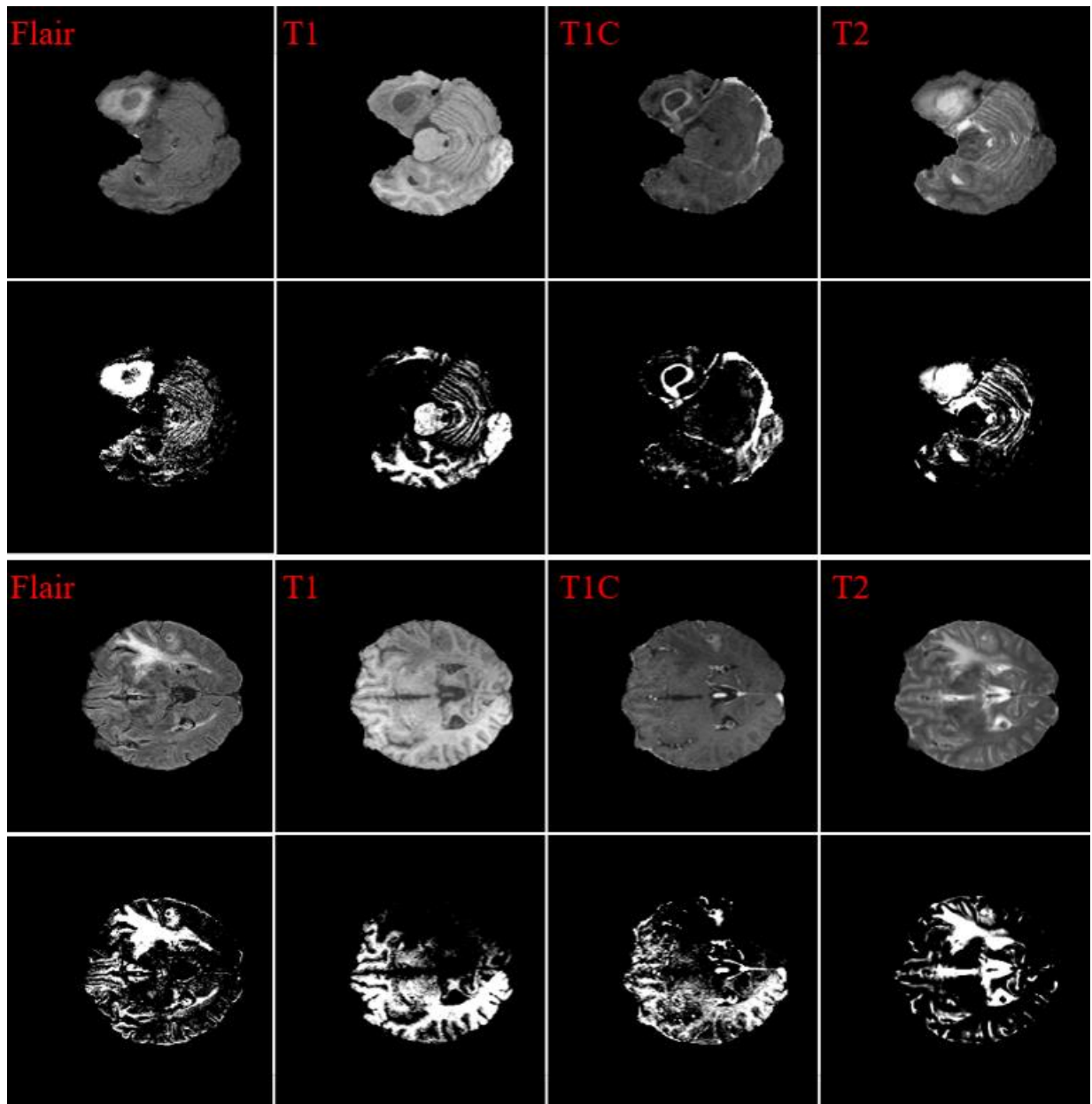
### 125 **2.1 Pre-processing**

126 Unlike many other recent deep learning approaches which use the whole of the image, we  
127 only focus on a limited area of it to extract key features. By removing these unnecessary  
128 uninformative parts, the true negative results are dramatically decreased. Also, by applying such a  
129 strategy, we do not need to use a very deep convolutional model.

#### 130 **2.1.1 Similar distributions**

131 To improve the final segmentation accuracy, we use four brain modalities, namely T1,  
132 FLAIR, T1C, and T2 [26], [27]. To enforce the MRI data more uniform and remove the effect of  
133 the anisotropic (especially for the FLAIR modality), we conduct the Z-Score normalization for the  
134 used modalities. By applying this approach to a medical brain image, the output image has zero  
135 mean and unit variance [24]. We implemented this step by subtracting the mean and dividing by  
136 the standard deviation in only the brain region (not the background). This step was implemented  
137 independently for each brain volume of every patient. [Fig. 1](#) shows some samples of the four input  
138 modalities and their corresponding normalization results.

139



140

141 Fig. 1. Two sets of four MRI modalities and their corresponding Z-Score normalization.

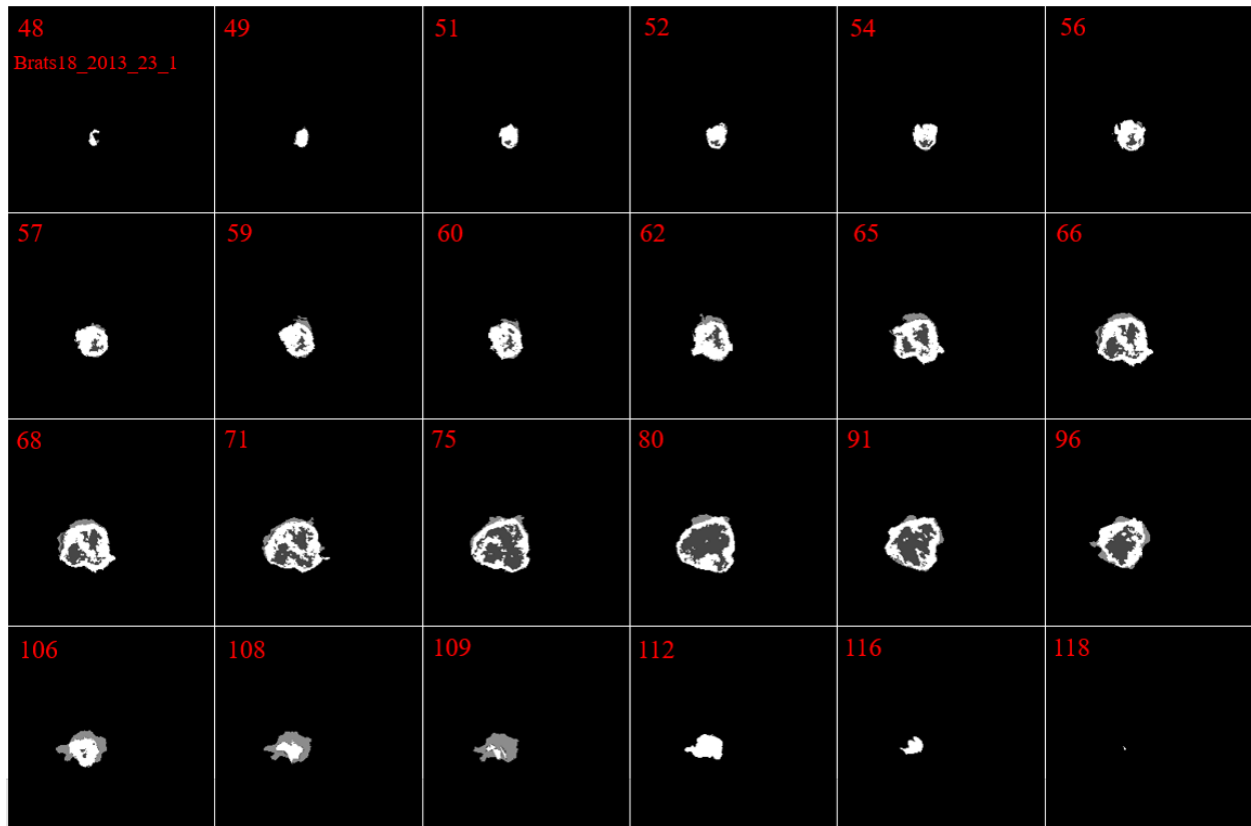
142 **2.1.2 Tumor representation in each slice**

143 In our investigation, we found that the size and the shape of the tumor in sequential slices  
 144 increase or decrease steadily. The tumor emerges in the first slices with a small size at any possible  
 145 location of the image. Then, in the following slices, the tumor will remain in the same location  
 146 inside the image, but it will have a bigger size. Next, after reaching maximum size, the tumor size  
 147 will start to decrease until it vanishes entirely. This is the core concept of our pre-processing

148 method. These findings are indicated in Figs. 2 and 3.

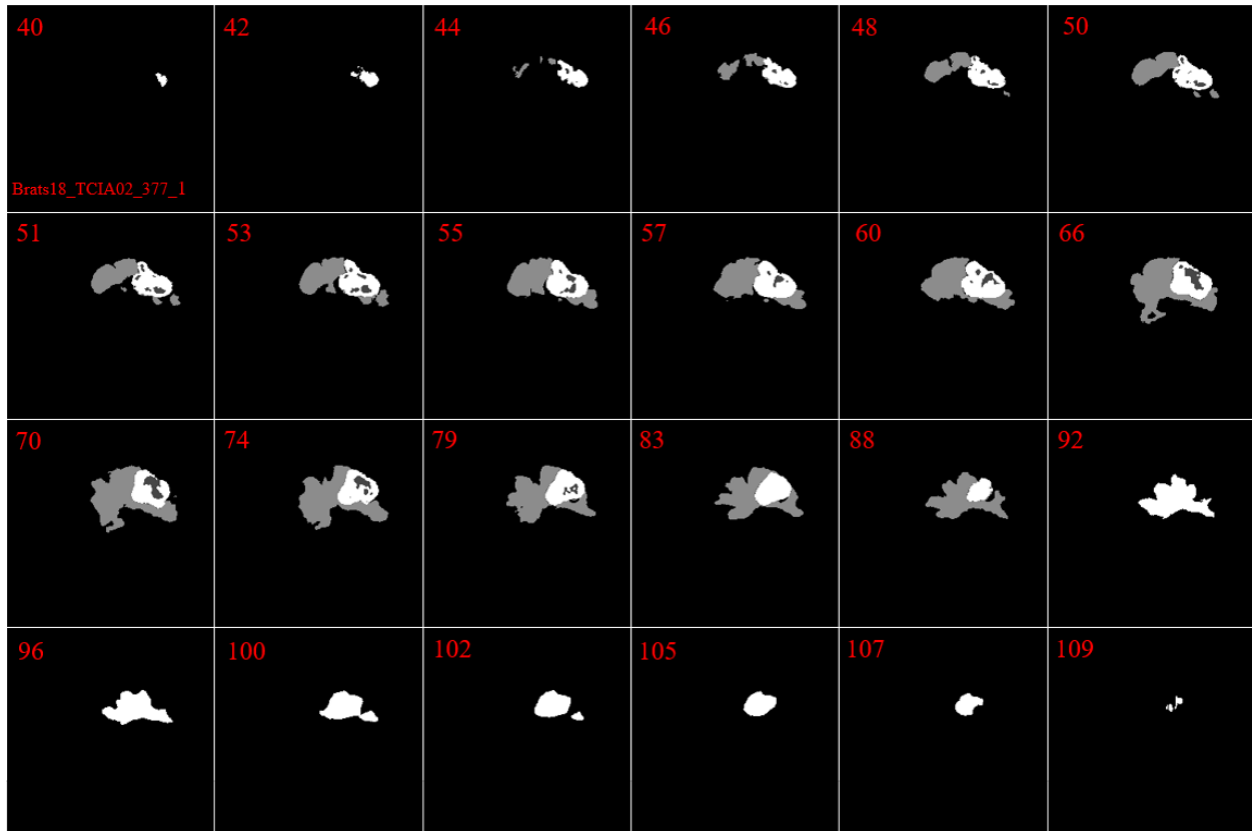
149 The main reason for using the mentioned four brain modalities is their unique characteristics  
150 for detecting some parts of the tumor. Moreover, to find a tumor, we need to find all three parts in  
151 each of the four modalities, then combine them to make a solid object. So, our first goal is to find  
152 one part of the tumor in each modality.

153



154

155 Fig. 2. Illustration of the ground truth in 24 different slices in Brats18\_2013\_23\_1. The red numbers  
156 indicate the number of the slice.



157

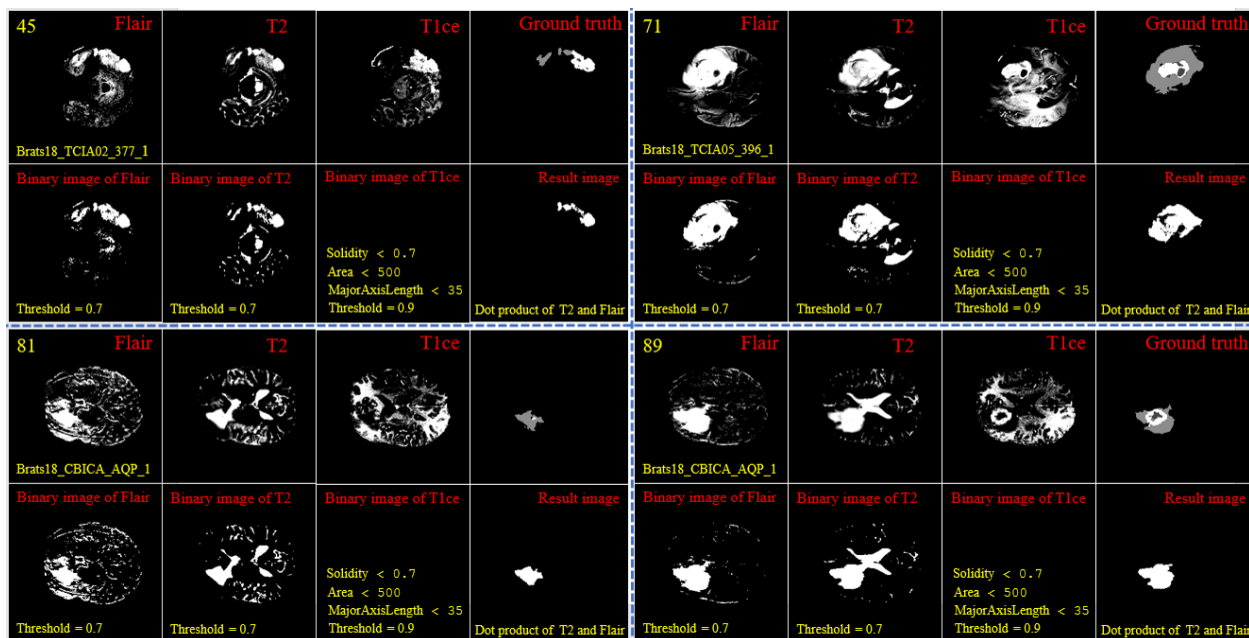
158 Fig. 3. Demonstration of the ground truth in 24 different slices in Brats18\_TCIA02\_377\_1. The red  
 159 numbers indicate the number of the slice. Different parts of tumor are illustrated with different colors.

### 160 2.1.3 Finding the expected area of the tumor

161 By looking deeper into [Figs. 2](#) and [3](#), we notice emerging, vanishing, and big tumor sizes are  
 162 encountered in different slices related to different patients. For instance, the biggest tumors are  
 163 depicted in slices 80 and 74 for [Figs. 2](#) and [3](#), respectively. Another important fact is that to the  
 164 best of our knowledge no sharp difference can be observed in the size of continuous slices and  
 165 tumor size can be varied slightly. During the investigation phase, we noticed that finding the  
 166 location of the emerging and vanishing tumor is a hard and challenging task. But this is not true  
 167 when we are looking for the biggest tumor inside the image. To detect the tumor area in each slice  
 168 we follow four main steps: 1) read all modalities except the T1 image and compute the Z-Score  
 169 normalized image, 2) binarize the obtained image with the thresholds 0.7, 0.7, and 0.9 for FLAIR,  
 170 T2, and T1ce, respectively, 3) apply a morphological operator to remove some irrelevant areas, 4)  
 171 multiply both binary images of FLAIR and T2 to create a new image and 5) combine the obtained  
 172 areas from each image together. This procedure is demonstrated in [Figs. 4](#) and [5](#) in details.



173 As the observed tumor in FLAIR and T2 images is demonstrated with a higher intensity than  
 174 other parts of the brain, the threshold value of binarization needs to be larger than the mean value  
 175 (we selected 0.7). Moreover, the tumor is much brighter in T1ce than FLAIR and T2 images.  
 176 Therefore, a bigger threshold value of binarization needs to be selected (we selected 0.9). If a small  
 177 threshold value is selected for binarization, several normal tissues will be identified as tumor  
 178 objects.  
 179



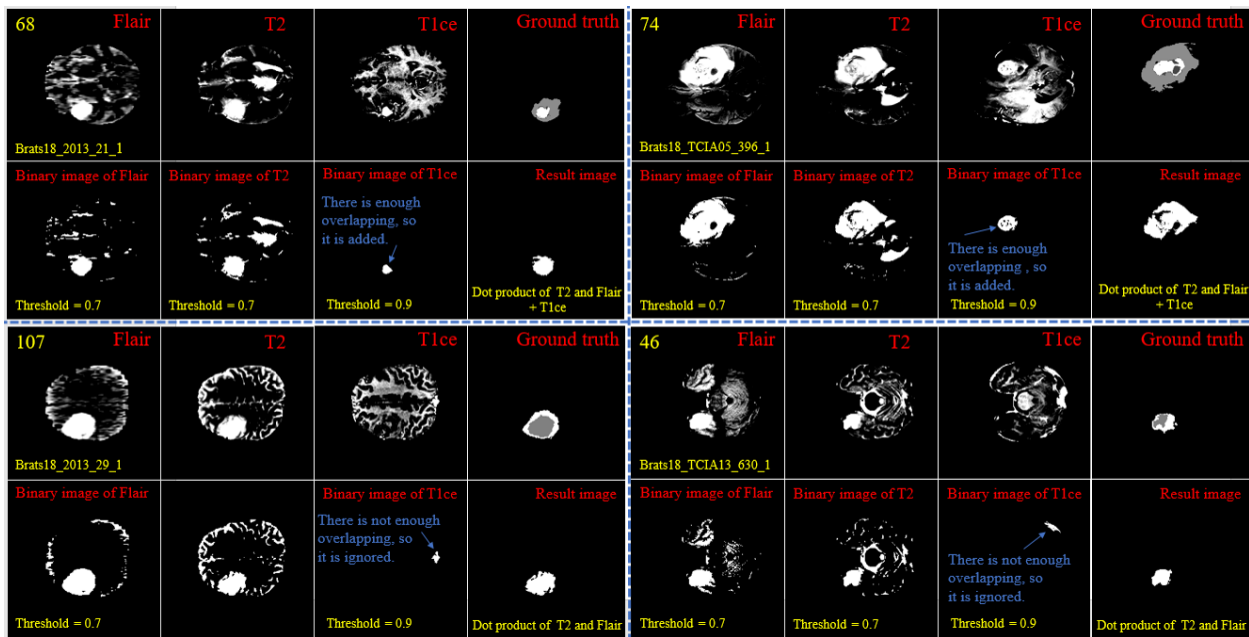
180  
 181 Fig. 4. Demonstration of the process of finding a part of the tumor in each slice. The yellow color in the  
 182 top left corner and the bottom indicates the slice number and sample ID, respectively. Also, the conditions  
 183 for selecting the object are shown in yellow color. The red color is chosen for identifying the presented  
 184 image. All binary objects inside the binarized T1ce image are bigger than the threshold criteria, so they  
 185 were eliminated.

186 In the next step, as there are some tumor-like objects inside the obtained image, we need to  
 187 discard them using some simple but precise rules. As shown in Figs. 4 and 5, to decide whether to  
 188 select a binary object as a part of the tumor or not, extra constraints are applied to the binarized  
 189 T1ce images: 1) object solidity bigger than 0.7, 2) object area bigger than 500 pixels, and 3) length  
 190 of the major axis of the object needs to be bigger than 35 pixels. Any object in the binarized T1ce  
 191 image that does not pass these criteria is removed from the image (Fig. 4). The defined constraints  
 192 (rules) are the same for all the binarized images and we do not need to be altered to obtain good

193 result. Moreover, to overcome the problem of using MRI images with different sizes and  
 194 thicknesses, the value for each constraint was selected based on a wide span. For instance, in the  
 195 BRATS 2018 dataset, we defined the smallest object area value as 500 pixels. While using a wide  
 196 span for selecting an object decreases accuracy, applying the other rules (solidity and major axis  
 197 length) enables us to overcome that problem effectively.

198 After detecting all binary objects using morphological operators, we need to add them to each  
 199 other to create a binary tumor image. But there is still another condition before adding the binarized  
 200 T1ce to the obtained image from the binary dot product of the FLAIR and T2 images. We can only  
 201 consider the effect of a binary object inside the T1ce images if it has an overlapping area bigger  
 202 than 20 pixels with a binary object inside the image obtained from the binary dot product of FLAIR  
 203 and T2 (Fig. 5).

204



205

206 Fig. 5. Demonstration of the process of finding a part of the tumor in each slice. The yellow color in the  
 207 top left corner and the bottom indicates the slice number and sample ID, respectively. Also, the conditions  
 208 for selecting the object are shown in yellow color. The red color is chosen to identify the presented image.  
 209 The detected object from T1ce is indicated by the blue text.

210 In the next step, we need to find the location of the big tumor inside the slices. To this end,  
 211 we need to be sure that all detected objects are truly tumor objects. To overcome this issue, we  
 212 track each tumor object in sequential slices. It means if a tumor object is found in almost the same

213 position with a small change in the size in the sequential slices, we can be sure that this object is a  
214 true tumor object. After finding the true tumor object in a slice, we search in the same area inside  
215 all other slices to find the biggest object. This procedure is explained in [Fig. 6](#) in details. Finally,  
216 using morphological operators this object can be enlarged to cover all possible missing tumor areas  
217 (we call this area the biggest expected area). By finding this object and its location, we can search  
218 only in this area to find the tumor and segment it in all slices ([Fig. 7](#)). Finally, based on the  
219 information explained in Section 2.1.2 and also [Figs 2.](#) and [3](#), it is obvious that by moving to the  
220 first or last slice, the size of the tumor will be decreased. So, we can create a binary mask for all  
221 slices in which the size of the expected areas differs slightly from the expected slice to slice  
222 difference.

223

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**Proposed algorithm for detecting a tumor in each slice and find the location of the biggest tumor.**

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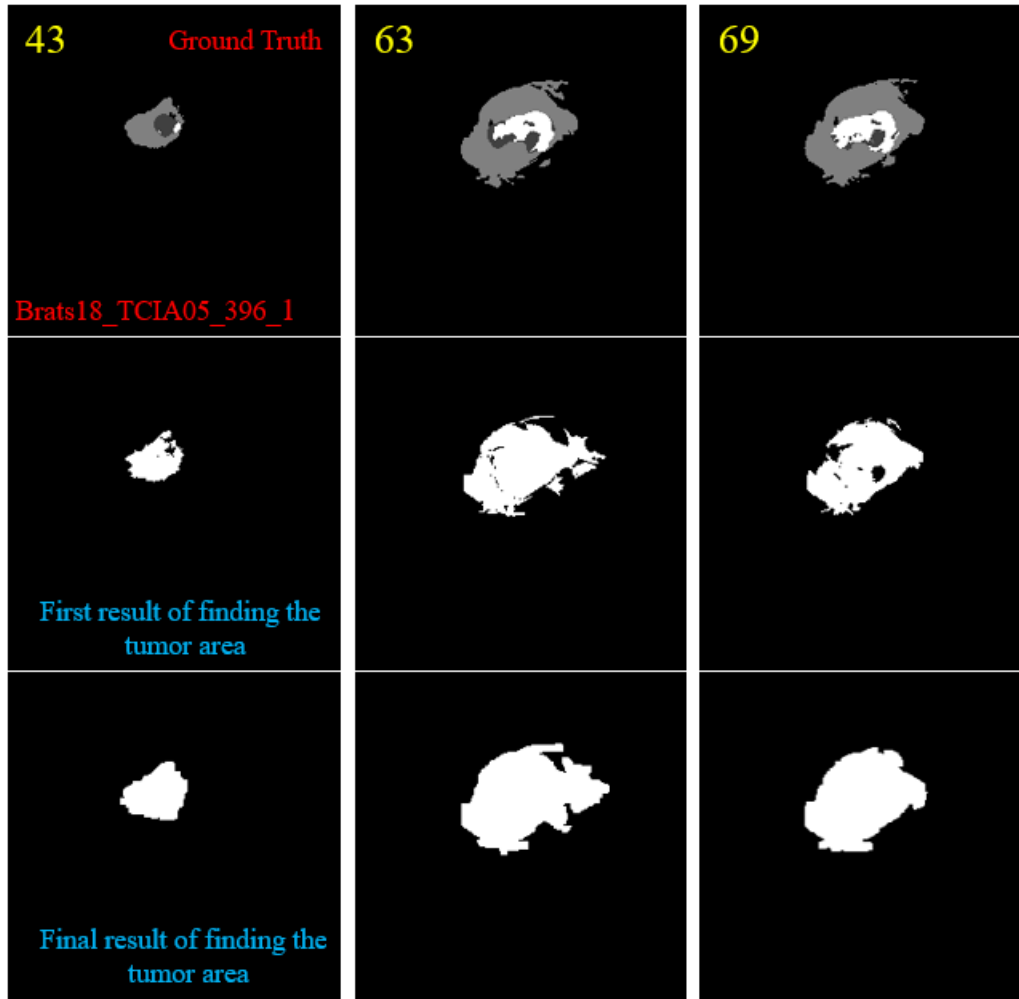
**Input:** Four  $240 \times 240 \times 150$  images of the four modalities.

**Output:** A  $240 \times 240 \times 150$  image.

1. Read each slide of the flair, t2 and t1ce and calculate the Z-Score.
  2. Compute the binary image with the threshold of 0.9 for t1ce. (T1B)
  3. Compute the binary image with the threshold of 0.7 for flair and t2 that we name them FB and T2B, respectively.
  4. The dot product of FB and T2B to find overlapping pixels is computed. (FT2B)
  5. All objects less than 100 pixels in T1B need to be eliminated.
  6. All objects less than 400 pixels in FT2B need to be eliminated.
  7. All objects in T1B which have all following characteristics need to be eliminated:  
Major Axis Length > 35 & Area > 500 & Solidity < 0.7
  8. If remains only one object in T1B image:
    - a. Write the number of one in the present location of the slice in the flag variable. ( For example, if it is slice of 54, flag\_T1B (54,1) = 1).
    - b. If the value of the previous location is bigger than zero, the value of the present location is sum of the value of previous location and 1.  
(For example, if it is slice of 54, and value of flag\_T1B (53,1) == 1, then the value of flag\_T1B (54,1) will be 1+1=2).
  9. If there is only one object in FT2B:
    - a. Write the number of one in the present location of the slice in the flag variable. ( For example, if it is slice of 54, flag\_FT2B (54,1) = 1).
    - b. If the value of the previous location is bigger than zero, the value of the present location is sum of the value of previous location and 1.  
(For example, if it is slice of 54, and value of flag\_FT2B (53,1) == 1, then the value of flag\_FT2B (54,1) will be 1+1=2).
    - c. If there are more than 20 white pixels (object) that are in the same position in both images (overlapping objects), two image are added and a bigger object is created.
  10. Find the location of the maximum value in flag\_FT2B and flag\_T1B.
  11. If this value in flag\_FT2B is bigger than 1 we use it (ind1), otherwise, the maximum value of flag\_T1B is used (ind2).
  12. The maximum obtained value for ind1 or ind2 indicate the location of the maximum area of the whole of the tumor.
- 

224

225 Fig. 6. Pseudocode of the proposed algorithm for detecting the biggest tumor among all slices.



226  
 227 Fig. 7. Two examples of finding the tumor object (expected area) and its corresponding center location and  
 228 applying morphological filters to enlarge the tumor regions. The first row indicates the ground-truth images.  
 229 The second row demonstrates the tumor object. The third row shows the enlarged tumor objects obtained  
 230 in the second row. The yellow color in the top left corner indicates the slice number.

## 231 2.2 Deep learning architecture

232 In today's artificial intelligence (AI) applications, the convolutional neural network  
 233 (ConvNet/CNN) pipelines that are a class of deep feed-forward artificial neural networks exhibit  
 234 a tremendous breakthrough in medical image analysis and processing [28]–[32]. The structure of  
 235 a CNN model was inspired by the biological organization of the visual cortex in the human brain  
 236 which uses the local receptive field. This architecture is similar to that of the connectivity pattern  
 237 of neurons.

238 As the CNN model is not invariant to rotation and scale, it is a tremendous task to segment

239 an object that can be moved in the image. One of the key concerns about using a CNN model in  
240 the field of medical imaging lies in the time of the evaluation, as many medical applications need  
241 prompt responses to minimize the process for additional analysis and treatment. The condition is  
242 more complicated when we are dealing with a volumetric medical image. So, by applying a 3D  
243 CNN model for detecting lesions using the traditional sliding window approaches, an acceptable  
244 result cannot be achieved. This is highly impractical when there are high-resolution volumetric  
245 images, and a large number of 3D block samples need to be investigated. In all brain volumetric  
246 images, the location, size, orientation, and shape of the tumor are different from a patient to another  
247 and cause uncertainty in finding the potential region of the tumor. Also, it is more reasonable to  
248 only search a small part of the image rather than the whole image.

249 To this end, in this work, we first identify the region of interest with a high probability of  
250 encountering the tumor and then apply the CNN model to this smaller region--thus reducing  
251 computational cost and increasing system efficacy.

252 The major drawback of convolutional neural network models (CNN) lies in the fuzzy  
253 segmentation outcomes and the spatial information reduction caused by the strides of convolutions  
254 and pooling operations [32]. To further improve the segmentation accuracy and efficiency, several  
255 advanced strategies have been applied to obtain better segmentation results [21], [25], [33], [34]  
256 with approaches like dilated convolution/pooling [35]–[37], skip connections [38], [39], as well as  
257 additional analysis and new post-processing modules like Conditional Random Field (CRF) and  
258 Hidden Conditional Random Field (HCRF) [10], [40], [41]. Using the dilated convolution method  
259 causes a large receptive field to be used without applying the pooling layer to the aim of relieving  
260 the issue of information loss during the training phase. The skip connection has the capability of  
261 restoring the unchanged spatial resolution progressively with the integration of features and adding  
262 outputs from previous layers to the existing layer in the down-sampling step.

263 Recently, the attention mechanism has been employed in the deep learning context that has  
264 shown excellent performance for numerous computer vision tasks including instance segmentation  
265 [42], image-denoising [43], person re-identification [44], image classification [45], [46], etc.

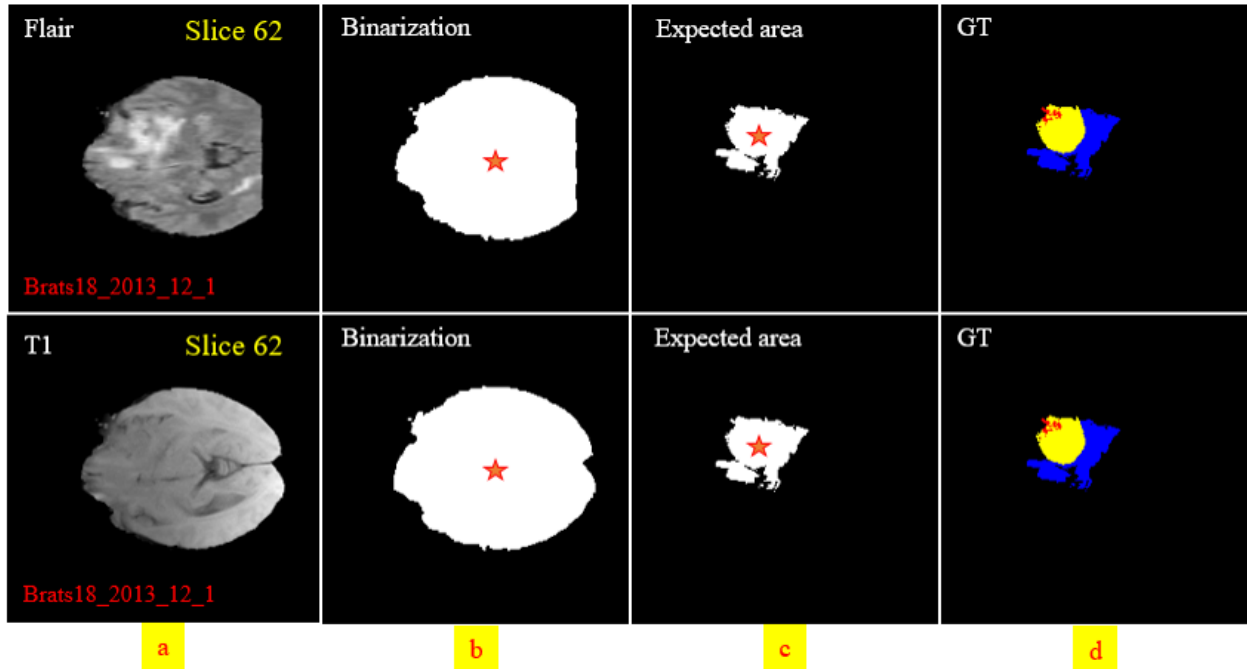
### 266 **2.3 Proposed structure**

267 In this study, a cascade CNN model has been proposed that combines both local and global  
268 information from across different MRI modalities. Also, a distance-wise attention mechanism is  
269 proposed to consider the effect of the brain tumor location in four input modalities. This distance-

270 wise attention mechanism successfully applies the key location feature of the image to the fully-  
 271 connected layer to overcome overfitting problems using many parallel convolutional layers to  
 272 differentiate between classes like the self-co-attention mechanism [47]. Although many CNN-  
 273 based networks have been employed for similar multi-modality tumor segmentation in prior  
 274 studies, none of them uses a combination of an attention-based mechanism and an area-expected  
 275 approach.

### 276 2.3.1 Distance-Wise Attention (DWA) module

277 By considering the effect of dissimilarity between the center of the tumor and the expected  
 278 area, we can guess the probability of encountering each pixel in the investigating process. In other  
 279 words, knowing the location of the center of the expected (see Fig. 8) leads to a better  
 280 differentiation between pixels of the three tumor classes.



281  
 282 Fig. 8. An example depicting the whole brain and its corresponding binary mask for two modalities. The  
 283 expected area is shown in the third column. The center of the binary mask and the expected area is shown  
 284 by a red star.

285  
 286 The DWA module explores distance-wise dependencies in each slice of the four employed  
 287 modalities for the selection of useful features. Given an input feature channel set  $\mathbb{A} \in \mathbb{R}^{H \times W \times N}$ ,  
 288  $\mathbb{A} = \{\mathbb{A}_1, \mathbb{A}_2, \dots, \mathbb{A}_N\}$ , where  $\mathbb{A}_i \in \mathbb{R}^{H \times W}$  indicates a channel. The variables N, H, and W, are the

289 input channels, spatial height, and spatial width, respectively. So, as it is shown in Fig. 9, the  $O^{th}$   
 290 centroid of the object is obtained on each channel map by

$$291 \quad \begin{cases} y_c = y_0 + \frac{H_{object}}{2} \\ x_c = x_0 + \frac{W_{object}}{2} \end{cases} \quad (1)$$

$$292 \quad \begin{cases} W_{object} = \max \arg(\text{sum pixels} == 1 \text{ in each row}) \\ H_{object} = \max \arg(\text{sum pixels} == 1 \text{ in each column}) \end{cases} \quad (2)$$

$$293 \quad \begin{cases} y_0 = \text{location of the starting point in } H_{object} \\ x_0 = \text{location of the starting point in } W_{object} \end{cases} \quad (3)$$

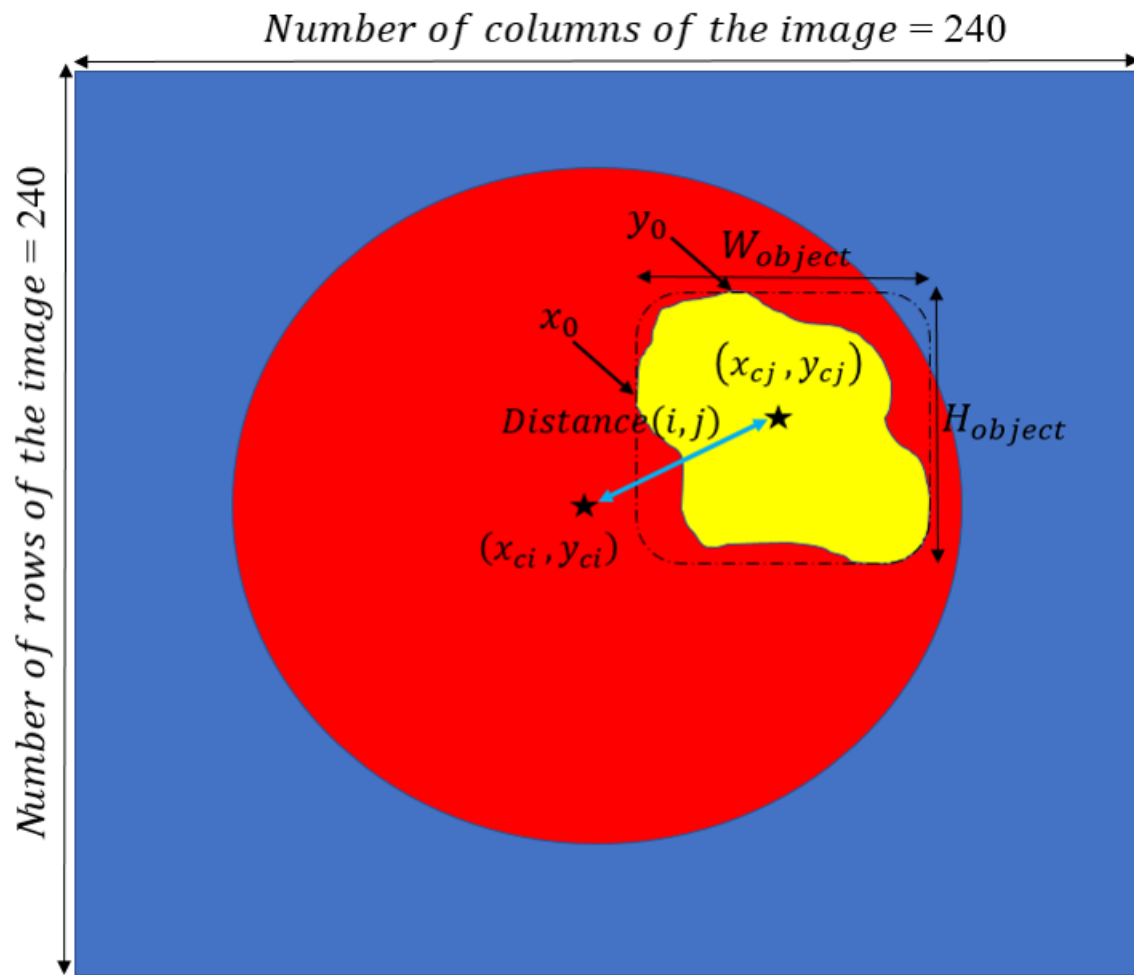
294 where  $y_c$  and  $x_c$  represent the center of the white object,  $W_{object}$  and  $H_{object}$  indicate the width  
 295 and height of the object, respectively.

296 By calculating Equation (1) for both the expected area (see Fig.8 (c)) and binarization of the input  
 297 modality in each slide (see Fig.8 (b)), the distance-wise can be defined as

$$300 \quad Distance(i, j) = \frac{\sqrt{(x_{ci} - x_{cj})^2 + (y_{ci} - y_{cj})^2}}{\text{Number of rows of the image}} \quad (4)$$

301 where  $i$  and  $j$  represent the binarized input modality and expected region, respectively. To  
 302 obtain the width  $W_{object}$  of the object in Equation (2), we need to count the number of pixels in  
 303 each row that have the value 1, and then select the row with the maximum count. For calculating  
 304 the height  $H_{object}$ , we do the same strategy but in vertical. Fig. 9 provides more details about  
 305 computing parameters in the DAW module. As shown in Fig. 10, this process is done for all input  
 306 modalities and the mean of them is fed to the output of the module for each slice.

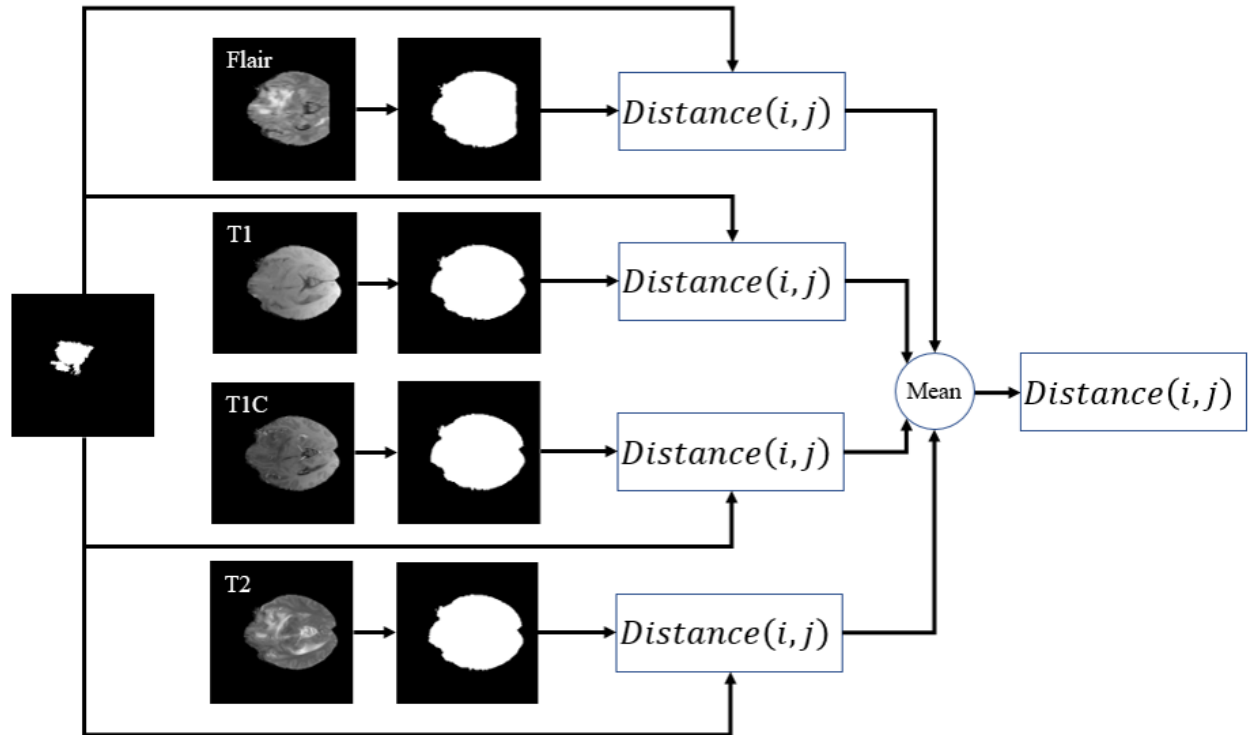




307

308 Fig. 9. Illustration of parameter calculation in the Distance-Wise Attention (DAW) module. The blue and  
 309 red pixels are the background and the brain, respectively. The expected area is represented by a yellow  
 310 object. The size of the image is 240×240.

311



312  
 313 Fig. 10. Distance calculation based on the center of the expected area and the four input modalities mask.

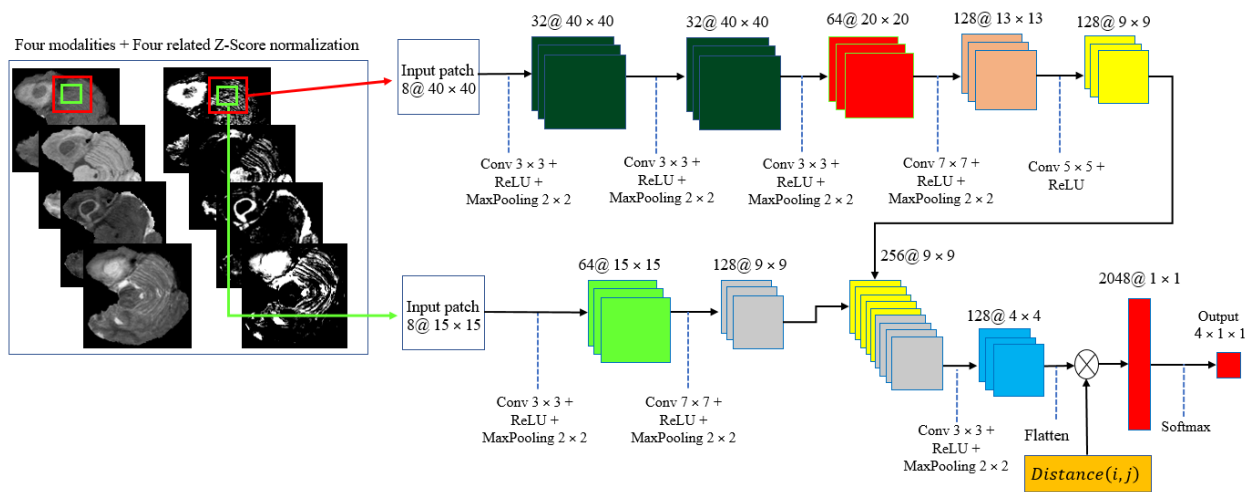
314  
 315 **2.3.2 Cascade CNN model**

316 The flowchart of our cascade mode is depicted in Fig. 11. To capture as many rich tumor  
 317 features as possible, we use four modalities, namely, fluid attenuated inversion recovery (Flair),  
 318 T1-contrasted (T1C), T1-weighted (T1), T2-weighted (T2). Moreover, we add four corresponding  
 319 Z-Score normalized images of the four input modalities to improve the dice score of segmentation  
 320 results without adding more complicated layers to our structure.

321 Due to the use of a powerful preprocessing step that eliminates about 80% of the insignificant  
 322 information of each input image, there is no need for a complex deep network such as [10], [22],  
 323 [32]. In other words, by selecting approximately 20% of the whole image (this percentage is the  
 324 mean of the whole slices of a patient) for each input modality and corresponding Z-Score  
 325 normalized image, there fewer pixels to investigate.

326 Also, considering the effect of the center of the tumor to correct detection leads to improve the  
 327 segmentation result without using a deep CNN model. So, in this study, a cascade CNN model  
 328 with eight input images is proposed which employs the DWA module at the end of the network to  
 329 avoid overfitting.

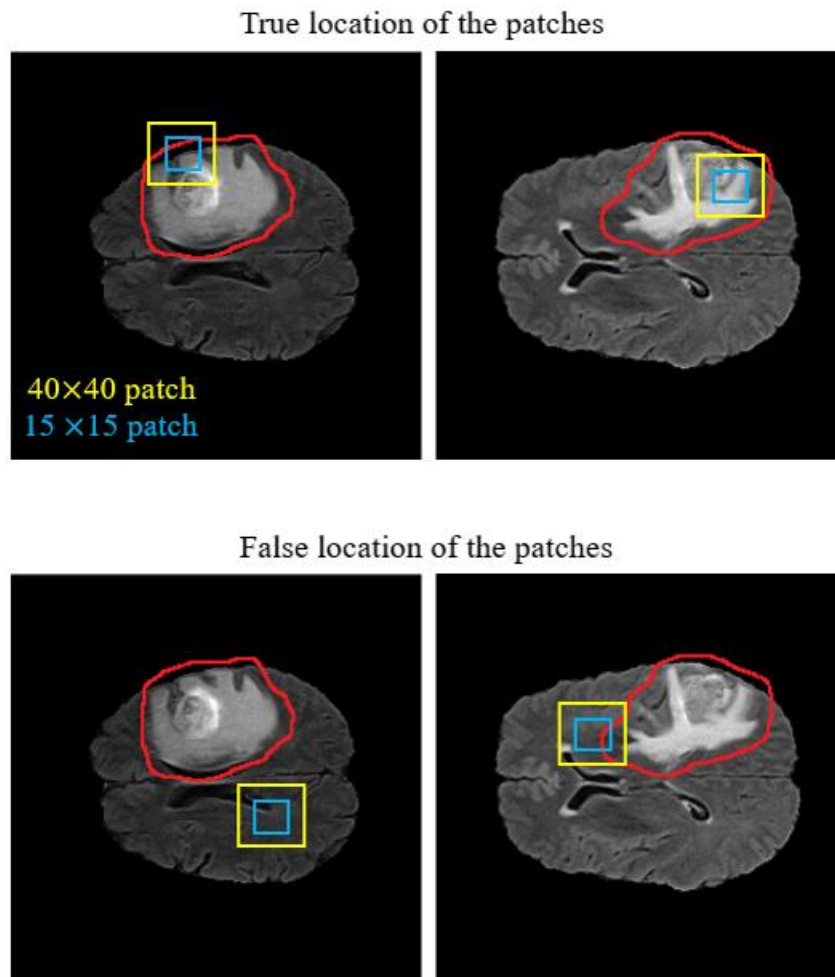
330 As demonstrated in Fig. 11, our CNN model includes two different routes which extract local  
 331 and global features from the four input modalities and the corresponding Z-Score normalized  
 332 images. The key goal of using the first route is detecting the pixels on the border of each tumor  
 333 (the global feature), whereas the key goal of the second route is labelling each pixel inside the  
 334 tumor (the local feature). In the first route, a  $40 \times 40$  patch (red window) is selected from each  
 335 input image to feed the network. It is worth noting that we extract only patches that have their  
 336 centers located in the obtained expected area, as shown in Fig. 12. The presence of Z-Score  
 337 normalized images improves the accuracy of the tumor border recognition. The number of  
 338 convolutional layers for extracting the global feature is five. Unlike the first route, in the local  
 339 feature extraction route, there are only two convolution layers and they are both fed with eight  $15 \times 15$   
 340  $\times 15$  input patches (green window). The core building block of the proposed CNN structure is  
 341 expressed as the convolutional layer. This layer can calculate the dot-product between input data  
 342 with arbitrary size and a set of learnable filters (masks), much like a traditional neural network  
 343 [32], [48], [49].



344  
 345 Fig. 11. Our implemented cascade structure. The green and red windows inside the input images represent  
 346 the local and global patches, respectively. The DWA module is represented at the end of the structure before  
 347 the FC layer.

348 The size of the applied masks is always smaller than the dimensions of the input data in all  
 349 kinds of CNNs. Regularly, the first convolution layers which are applied at the beginning of the  
 350 CNN model play a significant role in extracting low-level features such as luminance and texture  
 351 discontinuity [50], [51]. The high-level features including tumor region masks are investigated in  
 352 the deeper convolutional layers of the pipeline, while the middle convolutional layers are utilized

353 for investigating the mid-level features including edges, curves, and points.  
354



355  
356 Fig. 12. Our implemented cascade structure. The blue and yellow windows inside the input images represent  
357 the local and global patches, respectively. The red contour indicates the obtained expected area.

358 As demonstrated in the first row of Fig. 12, the center of each patch is located inside the red  
359 border, regardless of whether there is part of the window outside the red border or not. By doing  
360 this, we do not investigate insignificant areas (which do not include the tumor). This is more  
361 helpful and reasonable when we are encountering imbalanced data. So, samples of the lesion are  
362 being equalized to the normal tissue which avoids overfitting in the training step. Additionally,  
363 this approach is helpful when dealing with images of various sizes and thicknesses as insignificant  
364 parts of the images are discarded before affecting the recognition of the tumor algorithm.

365 After each convolution layer, there is an activation layer that helps the network to learn

366 complex patterns without changing the dimension of the input feature maps [52]. In other words,  
367 in the case of an increased number of layers and to overcome the vanishing gradient problem in  
368 the training step, an activation function is applied to each feature map to enhance the computational  
369 effectiveness by inducing sparsity [51], [53].

370 In this study, all negative values are changed to zero using the Non-Linearity (ReLU)  
371 activation function which acts as a linear function for positive and zero values. It means some  
372 nodes obtain null weights and become useless and do not learn anything. So, fewer neurons would  
373 be activated because of the limitations applied by this layer.

374 In contrast to the convolution operation, the pooling layer which is regularly incorporated  
375 between two sequential convolutional layers has no parameters and summarizes the key  
376 information without losing any details in the sliding window (mask). Additionally, as the  
377 dimension of the feature maps (in both column and row) is decreased in this layer, the training  
378 time will be smaller and mitigates overfitting [32], [49]. By using the max-pooling method in this  
379 paper, the feature map is divided into a set of regions with no overlapping, then takes the maximum  
380 number inside each area.

381 As in a CNN pipeline, the dimension of the receptive field does not cover the entire spatial  
382 dimension of the image in the last convolutional layer, the produced maps by the last convolutional  
383 layer related to only an area of the whole input image. Due to this characterization of the receptive  
384 field, to learn the non-linear combinations of the high-level features, one or more FC layers have  
385 to be used. It should be noticed that before employing the achieved feature maps in the fully  
386 connected layer, these two-dimensional feature maps need to be changed into a one-dimensional  
387 matrix [54]. Furthermore, to reduce the effect of the overfitting a dropout layer [55] with a 7%  
388 dropout probability has been employed (before the FC layer).

389 Unlike the convolutional layers, the fully connected layers are composed of independent more  
390 parameters, so they are harder to train [56]. The last layer in the proposed pipeline for the  
391 classification task is the Softmax regression (Multi-class Logistic Regression) layer that is used to  
392 distinguish one class from the others. This Multi-class Logistic regression can follow a probability  
393 distribution between the range [0,1] by normalizing an input value into a vector of values. This  
394 procedure demonstrates how likely the input data (image) belongs to a predefined class. It should  
395 be mentioned that the sum of the output probability distribution is equal to one [24], [48].

396 In the proposed network, we employed the stochastic gradient descent approach as the cross-

397 entropy loss function to overcome the class imbalance problem [57]. This loss function calculates  
398 the discrepancy between the ground truth and the network's predicted output. Also, in the output  
399 layer, four logistic units were utilized to investigate the probabilities of the given sample belonging  
400 to either of the four classes. The loss function can be formulated as follows:

$$401 \quad loss_i = -\log \left( \frac{e^{U_p}}{\sum_{d=1}^Q e^{U_d}} \right) \quad (5)$$

402 where  $loss_i$  implies the loss for the  $i$ -th training sample. Also,  $U_p$  demonstrates the  
403 unnormalized score for the ground-truth class  $P$ . This score can be generated by considering the  
404 effect of the outputs of the former FC layer (multiplying) with the parameters of the corresponding  
405 logistic unit. To get a normalized score to determine the between-class variation in the range of 0  
406 and 3, the denominator adds the predicted scores for all the logistic units  $Q$ . As only four output  
407 neurons have been used in this study, the value for  $Q$  is equal to four. In other words, each pixel  
408 can be categorized into one of four classes.

### 409 **3. Experiments**

#### 410 **3.1 Data and Implementation Details**

411 In this study, training, validation, and testing of our pipeline have been accomplished on the  
412 BRATS 2018 dataset which includes the Multi-Modal MRI images and patient's clinical data with  
413 various heterogeneous histological sub-regions, different degrees of aggressiveness, and variable  
414 prognosis. These Multi-Modal MR images have the dimensions of  $240 \times 240 \times 150$  and were  
415 clinically obtained using various magnetic field strengths, scanners, and different protocols from  
416 many institutions that are dissimilar to the Computed Tomography (CT) images. There are four  
417 MRI sequences for training, validation, and testing steps which include the Fluid Attenuated  
418 Inversion Recovery (FLAIR), highlights water locations (T2 or T2-weighted), T1 with  
419 gadolinium-enhancing contrast, and highlights fat locations (T1 or T1-weighted).

420 This dataset includes 75 cases with LGG and 210 cases with HGG which we randomly  
421 divided into training data (80%), validation data (10%), and test data (10%). Also, labels of images  
422 were annotated by neuro-radiologists with tumor labels (necrosis, edema, non-enhancing tumor,  
423 and enhancing tumor are represented by 1, 2, 3, and 4, respectively. Also, the zero value indicates  
424 a normal tissue). Label 3 is not used.

425 The experimental outcomes are achieved for the proposed structure using MATLAB on Intel

426 Core I7- 3.4 GHz, 32 GB RAM, 15 MB Cache, over CUDA 9.0, CuDNN 5.1, and GPU 1080Ti  
427 NVIDIA computer under a 64-bit operating system. We adopted the Adaptive Moment Estimation  
428 (Adam) for the training step, with a batch size 2, weight decay  $10^{-5}$ , an initial learning rate  $10^{-4}$ .  
429 We took in total 13 hours to train and 7s per volume to test.

### 430 3.2 Evaluation measure

431 The effectiveness of the approach is assessed by metrics regarding the enhancing core (EC),  
432 tumor core (TC, including necrotic core plus non-enhancing core), and whole tumor (WT,  
433 including all classes of tumor structures). The Dice similarity coefficient (DSC) is employed as the  
434 evaluation metric to compute the overlap between the ground truth and the predictions.

435 The experimental results were obtained using the three criteria, namely HAUSDORFF99,  
436 Dice similarity, and Sensitivity [23], [58]–[60]. The Hausdorff score assesses the distance between  
437 the surface of the predicted regions and that of the ground-truth regions. Dice score is employed  
438 as the evaluation metric for computing the overlap between the ground truths and the predictions.  
439 Specificity (actual negative rate) is the measure of non-tumor pixels that have been calculated  
440 correctly. Sensitivity (Recall or True positive rate) is the measure of tumor pixels that have been  
441 correctly calculated. These three criteria can be formulated as:

$$442 \text{DICE}(R_p, R_a) = 2 * \frac{R_p \cap R_a}{R_p + R_a} \quad (6)$$

$$443 \text{Sensitivity} = (R_p \cap R_a) / (R_a) \quad (7)$$

444 where  $R_p$ ,  $R_a$ , and  $R_n$  demonstrate the predicted tumor regions, actual labels, and actual non-  
445 tumor labels, respectively.

### 446 3.3 Experimental results

447 To have a clear understanding and for quantitative and qualitative comparison purposes, we  
448 also implemented five other models (Multi-Cascaded [34], Cascaded random forests [10], Cross-  
449 modality [22], Task Structure [21], and One-Pass Multi-Task [23]) to evaluate the tumor  
450 segmentation performance. Quantitative results of different kinds of our proposed structure are  
451 presented in [Table 1](#).

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Table 1. Evaluation results with different pipeline configurations on BRATS 2018 dataset.

Method	Dice score (mean)			Sensitivity (mean)		
	Enh.	Whole	Core	Enh.	Whole	Core
Two-route CNN	0.2531	0.2796	0.2143	0.2456	0.2569	0.2007
Global route CNN + Attention mechanism	0.3128	0.3410	0.3025	0.3343	0.2947	0.2896
Local route CNN + Attention mechanism	0.3412	0.3671	0.3625	0.3356	0.3819	0.3808
Two-route CNN + Attention mechanism	0.4136	0.3754	0.3988	0.3910	0.3951	0.3822
Global route CNN + Preprocessing	0.7868	0.7916	0.7867	0.7426	0.7965	0.7448
Local route CNN + Preprocessing	0.8602	0.8343	0.8516	0.8751	0.8569	0.8485
Two-route CNN + Preprocessing	0.8756	0.8550	0.8715	0.8941	0.9036	0.8512
Proposed method	<b>0.9113</b>	<b>0.9203</b>	<b>0.8726</b>	<b>0.9217</b>	<b>0.9386</b>	<b>0.9712</b>

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From Table 1, we can observe that the two-route CNN model without using a preprocessing approach is not able to segment the tumor area properly. Adding an attention mechanism to a two-route model without using the preprocessing method causes to gain better segmentation results in terms of all three criteria. Also, by adding the preprocessing approach, the Dice scores in three tumor regions observe a surge increase from 0.2531, 0.2796, and 0.2143 to 0.8756, 0.8550, and 0.8715 for End, Whole, and Core, respectively. Despite only having a one-route CNN model (local or Global features) and thanks to the use of the preprocessing approach, the CNN model consistently obtains improved segmentation performance in all tumor regions. Moreover, it is observed that the use of the preprocessing method is more influential than only using an attention mechanism. In other words, the proposed attention mechanism can be more helpful when we are dealing with a smaller part of the input image extracted by the preprocessing method. By comparing the effect of local and global features, it can be recognized that the local features are more effective than global features.

The Dice, Sensitivity, and HAUSDORFF99 values of all input images using all the structures are described in Table 2. For each index in Table 2, the highest Dice, Sensitivity, and the smallest HAUSDORFF99 values are highlighted in bold. From Table 2, it is obvious that our strategy can achieve the highest Sensitivity values in Enh and Whole tumor areas and the highest value for the



474 Core area was obtained by [10]. Also, there is a minimum difference between the values of  
 475 HAUSDORFF99 using [34] and [23]. In [22], there is a significant improvement in the Enh area  
 476 for all three measures. Also, [21] achieves the worst results in the Whole and Core areas for  
 477 HAUSDORFF99 measure.

478

479 Table 2. Comparison between the proposed method and other baseline approaches on BRATS 2018  
 480 dataset.

Method	Dice score (mean)			Sensitivity (mean)			HAUSDORFF99 (mm)		
	Enh.	Whole	Core	Enh.	Whole	Core	Enh.	Whole	Core
[34] Multi-Cascaded	0.7178	0.8824	0.7481	0.8684	0.7621	<b>0.9947</b>	2.80	4.48	7.07
[10] Cascaded random forests	0.75	0.86	0.79	0.83	0.91	0.86	-	-	-
[22] Cross-modality	0.903	0.791	0.836	0.919	0.846	0.835	4.998	3.992	6.369
[21] Task Structure	0.782	0.896	0.824	-	-	-	3.567	5.733	9.270
[23] One-Pass Multi-Task	0.811	0.908	0.857	-	-	-	2.881	4.884	6.932
Proposed method	<b>0.9113</b>	<b>0.9203</b>	<b>0.8726</b>	<b>0.9217</b>	<b>0.9386</b>	0.9712	<b>1.669</b>	<b>1.427</b>	<b>2.408</b>

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482

483 Table 3. Comparison of execution time of different techniques applied on BRATS 2018 dataset for one  
 484 subject patient.

Approach	Multi-Cascaded [34]	Cascaded random forests [10]	Cross-modality [22]	Task Structure [21]	One-Pass Multi- Task [23]	Proposed method
Time	261 sec	314 sec	208 sec	193 sec	277 sec	<b>84 sec</b>

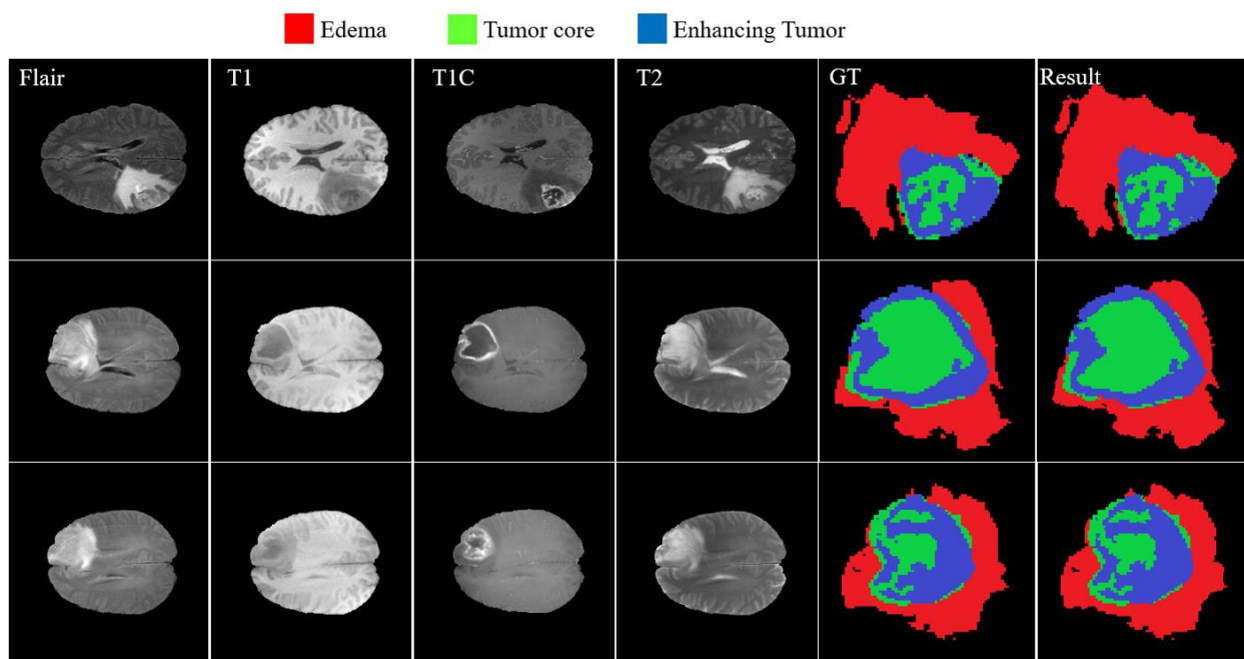
485

486 Notice that when using the proposed method, all criteria were improved in comparison to  
 487 other mentioned approaches, but the sensitivity value in the Core area using [34] is still higher. To  
 488 our best knowledge, there are three reasons. First, the proposed strategy pays special attention to  
 489 removing insignificant regions inside the four modalities before applying them to the CNN model.  
 490 Second, our method uses both the local and global features with different numbers of convolutional  
 491 layers which explores the richer context tumor segmentation. Third, by considering the effect of  
 492 the dissimilarity between the center of the tumor and the expected area, the network can be biased

493 to a proper output class. Additionally, compared to the state-of-the-art algorithms with heavy  
494 networks, such as [22] and [23], our approach obtains more promising performance and decreases  
495 the running time by only using a simple CNN structure. Moreover, as shown in Table 3, the  
496 proposed method is faster at segmenting the tumor than other compared models.

497 **Fig. 13** provides a visual demonstration of the good results achieved by our approach on the  
498 BRATS 2018 dataset. As shown, all regions have a mutual border with all of the other regions.  
499 Due to the difference between the value of tumor core and enhancing areas inside the TIC images  
500 (third column), the border between them can be easily distinguished with a high rate of accuracy  
501 without using other modalities. But it is not true when we are dealing with the border of a tumor  
502 core, edema areas, or enhanced edema areas. Due to these mentioned characteristics of each  
503 modality, we observe that there is no need for a very deep CNN model if we decrease the searching  
504 area.

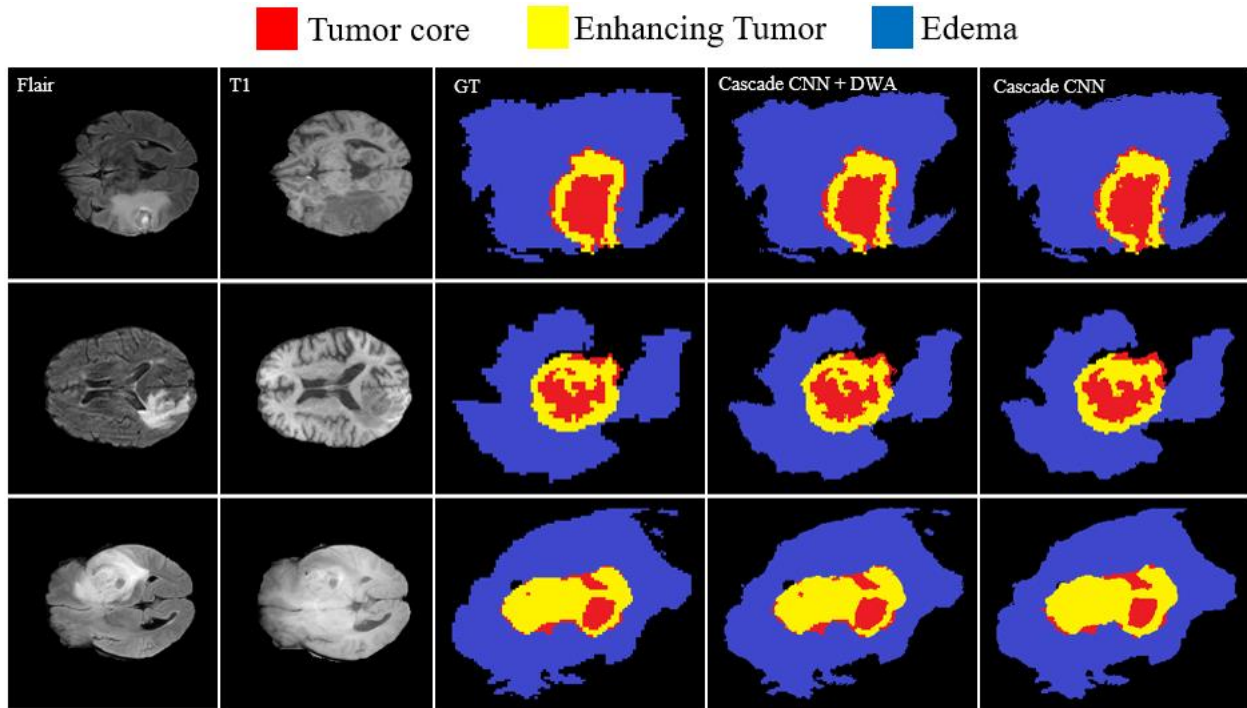
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506

507 **Fig. 13.** The results of brain tumor segmentation using the proposed strategy (the blue, green, and red colors  
508 are enhanced, core, and edema regions respectively).

509 Owing to the use of the DWA module, our model can mine more unique contextual  
510 information from the tumor and the brain which leads to a better segmentation result. **Fig. 14** shows  
511 the improved segmentation resulting from the application of the DWA module in the proposed  
512 method—particularly in the border of touching tumor areas.



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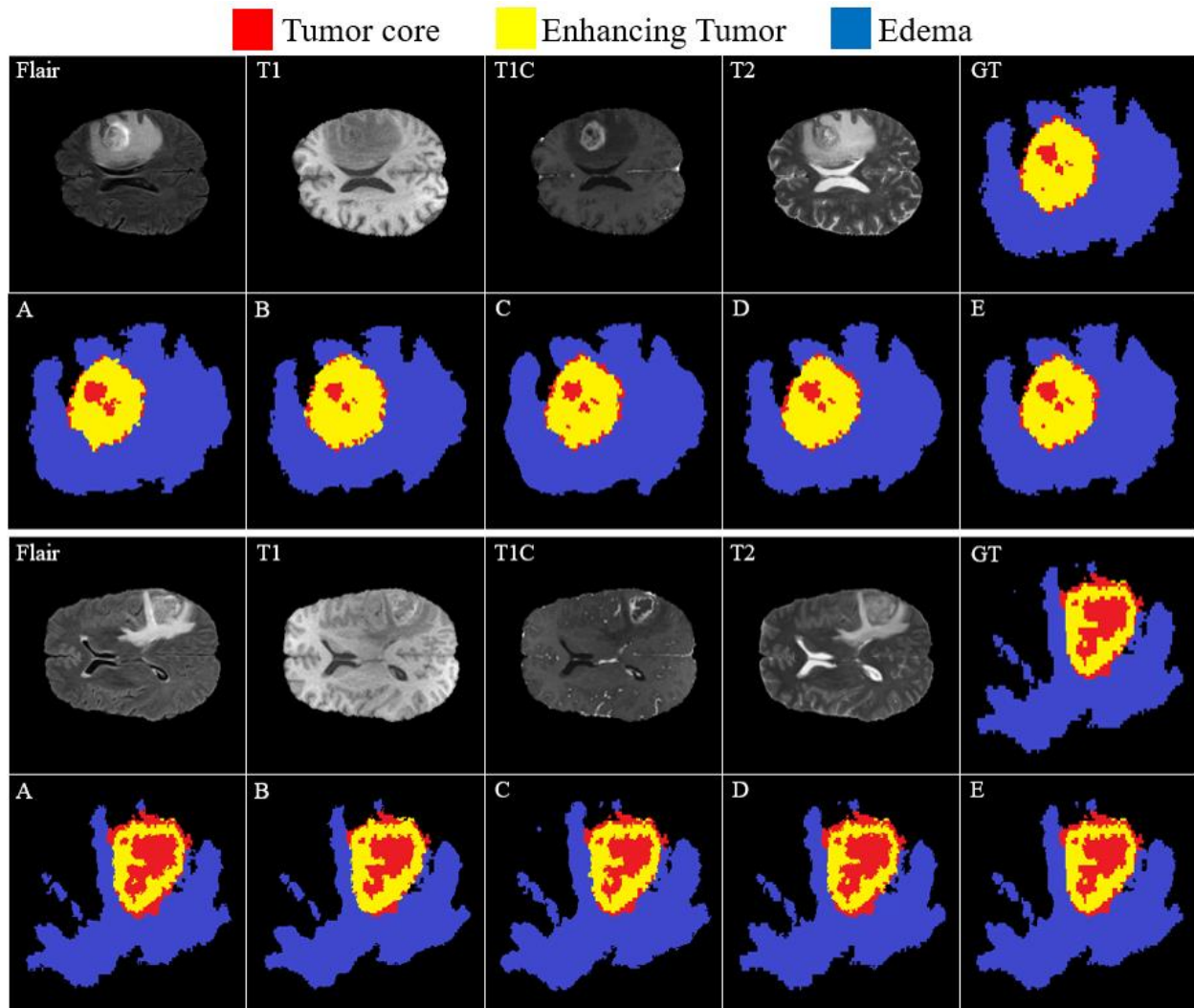
514 Fig. 14. Comparing the results of brain tumor segmentation by applying DWA method to the proposed  
 515 CNN structure. The blue, yellow, and red colors are edema, enhanced, and core regions respectively.

516

517 The comparison between the baseline and our model in Fig. 15 shows the effectiveness of the  
 518 proposed method in the capability of distinction between all four regions.

519 Fig. 15(GT) indicates the ground truth corresponding to all four modalities in the same row.  
 520 The Multi-Cascaded (Fig. 15(A)) and Cascaded random forests (Fig. 15(B)) approaches show  
 521 satisfactory results in detecting the Edema area but cannot detect the small regions of Edema  
 522 outside the main Edema body. The Cross-modality (Fig. 15(C)) and One-Pass Multi-Task (Fig.  
 523 15(D)) approaches gain promising results in detecting the tumor Core and Enhancing areas,  
 524 especially in detecting tumor Core in outside border of the Enhancing area.

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526  
 527 Fig. 15. Comparing the results of brain tumor segmentation using the proposed strategy with four state-of-  
 528 art methods (the blue, yellow, and red colors are edema, enhanced, and core regions respectively). (A)  
 529 Multi-Cascaded [34], (B) Cascaded random forests [10], (C) Cross-modality [22], (D) One-Pass  
 530 Multi-Task [23], and (E) Our method.

531 It is illustrated that some separated Edema regions are stuck together in final segmentation  
 532 using the Cross-modality method. As shown in Fig. 15(C), applying the Cross-modality structure  
 533 reaches the minimum segmentation accuracy for detecting the Edema regions compared to others.  
 534 This model under-segments the tumor Core areas and over-segments the Edema areas. The One-  
 535 Pass Multi-Task approach shows a better core matching with the ground-truth compared to Fig.  
 536 15(A-C) but still has insufficient accuracy, especially in the Edema areas. Based on our evaluation,  
 537 estimation of the three distinct regions of the brain tumor using an attention-based mechanism is

538 an effective way to help specialists and doctors to evaluate the tumor stages which is of high  
539 interest in computer-aided diagnosis systems.

#### 540 **4. Discussion and Conclusions**

541 In this paper, we have developed a new brain tumor segmentation architecture that benefits  
542 from the characterization of the four MRI modalities. It means that each modality has unique  
543 characteristics to help the network efficiently distinguish between classes. We have demonstrated  
544 that working only on a part of the brain image near the tumor tissue allows a CNN model (that is  
545 the most popular deep learning architecture) to reach performance close to human observers.  
546 Moreover, a simple but efficient cascade CNN model has been proposed to extract both local and  
547 global features in two different ways with different sizes of extraction patches. In our method, after  
548 extracting the tumor's expected area using a powerful preprocessing approach, those patches are  
549 selected to feed the network that their center is located inside this area. This leads to reducing the  
550 computational time and capability to make predictions fast for classifying the clinical image as it  
551 removes a large number of insignificant pixels off the image in the preprocessing step.  
552 Comprehensive experiments have indicated the effectiveness of the Distance-Wise Attention  
553 mechanism in our algorithm as well as the remarkable capacity of our entire model when compared  
554 with the state-of-the-art approaches.

555 Although the proposed approach's outstanding results compared to the other recently  
556 published models, our algorithm has still limitations when encountering tumor volume of more  
557 than one-third of the whole of the brain. This is because of an increase in the size of the tumor's  
558 expected area which leads to a decrease in the feature extraction performance.

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562

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