

# Journal Pre-proof

## COVID-19 Vaccination Prioritization Based on Cardiovascular Risk Factors and Number-Needed-to-Vaccinate to Prevent Death

Darryl P. Leong MBBS, MPH, M.Biostat, PhD ,  
Amitava Banerjee MA, MPH, DPhil , Salim Yusuf MBBS, DPhil

PII: S0828-282X(21)00218-X  
DOI: <https://doi.org/10.1016/j.cjca.2021.04.012>  
Reference: CJCA 4029



To appear in: *Canadian Journal of Cardiology*

Received date: 23 March 2021

Accepted date: 13 April 2021

Please cite this article as: Darryl P. Leong MBBS, MPH, M.Biostat, PhD ,  
Amitava Banerjee MA, MPH, DPhil , Salim Yusuf MBBS, DPhil , COVID-19 Vaccination Prioritization Based on Cardiovascular Risk Factors and Number-Needed-to-Vaccinate to Prevent Death, *Canadian Journal of Cardiology* (2021), doi: <https://doi.org/10.1016/j.cjca.2021.04.012>

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier Inc. on behalf of Canadian Cardiovascular Society.

**COVID-19 Vaccination Prioritization Based on Cardiovascular Risk Factors and Number-  
Needed-to-Vaccinate to Prevent Death**

**Darryl P. Leong<sup>1</sup>, MBBS, MPH, M.Biostat, PhD; Amitava Banerjee<sup>2</sup>, MA, MPH, DPhil; Salim  
Yusuf<sup>1</sup>, MBBS, DPhil**

1. The Population Health Research Institute, McMaster University and Hamilton Health Sciences and the Department of Health Research Methods, Evidence and Impact, McMaster University
2. Institute of Health Informatics, University College London

**Corresponding author:**

Darryl Leong

[leongd@phri.ca](mailto:leongd@phri.ca)

**Authors' declaration:** The authors have no competing interests to declare.

**ABSTRACT**

The supply limitations of COVID-19 vaccines have led to the need to prioritize vaccine distribution. Obesity, diabetes and hypertension have been associated with an increased risk of severe COVID-19 infection. Approximately half as many individuals with a cardiovascular risk factor need to be vaccinated against COVID-19 to prevent related death as compared with individuals without a risk factor. Adults with body-mass index  $\geq 30\text{kg/m}^2$ ,

diabetes or hypertension should be of a similar priority for COVID-19 vaccination to adults 10 years older with a body-mass index 20 to  $<30\text{kg/m}^2$ , no diabetes and no hypertension.

## BRIEF SUMMARY

In this Canadian analysis, about half as many adults with body-mass index  $\geq 30\text{kg/m}^2$ , diabetes or hypertension would need to be vaccinated against COVID-19 to prevent related death as compared with adults without these risk factors. We suggest that individuals with these risk factors should be of a similar vaccination priority to adults 10 years older without such risk factors.

## INTRODUCTION

Vaccination is highly efficacious at preventing symptomatic COVID-19 infection(1-3). There has consequently been unprecedented global demand for effective COVID-19 vaccines, which has exceeded supply. Policymakers have implemented guidance for prioritising vaccine distribution in their countries and jurisdictions. These policies are designed to vaccinate those at highest risk of contracting COVID-19, being hospitalized or dying from the condition. Current policy prioritizes the elderly, especially those institutionalized, with evolving guidelines for other groups. The US Centers for Disease Control(4) and Public Health England(5) suggest that individuals with certain underlying health conditions, which are thought to be associated with increased COVID-19 morbidity and mortality, should be prioritized for vaccination. As of February 23, 2021, Public Health England's guidance for vaccination is that adults  $\geq 65$  years be prioritized highest; followed by adults  $<65$  years with diabetes, body-mass index  $\geq 40\text{kg/m}^2$ , or other chronic disease; with healthy adults  $<65$  years prioritized lowest. However, the public health benefits of such guidance have not

been demonstrated and in the absence of data on the population distribution of these morbidities, the value of the strategies proposed cannot be quantified and are speculative.

Younger age and male sex are associated with an increased risk of acquiring COVID-19, while in those who develop infection, older age and male sex are associated with a higher risk of death. Cardiovascular risk factors are recognized risk factors for acquiring COVID-19 (obesity and diabetes)(6) and have also been associated with a higher case-fatality rate among those developing COVID-19 (obesity, diabetes and hypertension)(7, 8). Therefore, adults in the general population with cardiovascular risk factors are likely to be at higher risk of acquiring COVID-19 and also having a higher mortality should they get infected. Consequently, the absolute reduction in COVID-19 risk from vaccinating these individuals might be expected to be higher than non-obese individuals without diabetes or hypertension. The objective of this analysis was to estimate the number of middle-aged and older adults (age 40-80 years) needed-to-vaccinate to prevent a COVID-19 death in populations with different clinical characteristics.

## **DATA SOURCES**

The numbers of men and women stratified by age in Canada in 2020 were obtained from estimates from the United Nations Department of Economic and Social Affairs(9). The Canadian incidence rates of COVID-19 for the week of 31 January to 6 February, 2021, were obtained from the Government of Canada's website on COVID-19 epidemiological and economic research data(10). We estimated the age-stratified and overall prevalence rates of obesity, diabetes and hypertension using data from the Prospective Urban Rural Epidemiology (PURE) study – a large, prospective cohort study including 10,462 adults from British Columbia, Ontario and Quebec. Obesity was defined as a body-mass index  $\geq 30\text{kg/m}^2$ .

Diabetes included self-reported diabetes, use of blood glucose-lowering medications or a fasting blood glucose level  $\geq 7$  mmol/L. Hypertension included self-reported hypertension, use of a blood pressure-lowering medication or blood pressure  $\geq 140/90$  mmHg(11). We observed a relative risk for COVID-19 infection among obese individuals (as compared with those with a body-mass index 20 to  $<30$  kg/m<sup>2</sup>) of 1.61 (95% confidence interval, CI: 1.19-2.17); a relative risk for COVID-19 infection among adults with diabetes of 1.72 (95% CI: 1.21-2.45); and a relative risk for COVID-19 infection among adults with hypertension of 1.17 (0.77-1.42) based on our analysis of 12,599 individuals from the PURE data (unpublished). We used Public Health Ontario's estimates of the COVID-19 case-fatality ratio, which included data up to May, 2020(12). The effects of obesity on COVID-19 case-fatality rates were estimated using data from a systematic review in which BMI  $\geq 30$  kg/m<sup>2</sup> was associated with an odds ratio for death of 1.67 (95% CI 1.43-1.96)(13). Based on another systematic review of the effects of co-morbidities on COVID-19 outcomes, we assumed that those with diabetes and COVID-19 had a relative risk of death of 1.94 and that those with hypertension and COVID-19 had a relative risk of death of 2.10 as compared with infected individuals without diabetes or hypertension respectively(7). We estimated the protective effect of vaccination by pooling (using random effects models) the estimates from three randomised trials evaluating the Pfizer, Moderna and Oxford-Astra Zeneca COVID-19 vaccines respectively(1-3).

We estimated the number-needed-to-vaccinate in each stratum of the Canadian population as  $NNV = \frac{1}{ARR}$  where the absolute risk reduction,

$ARR =$

*(COVID19 mortality rate assuming no vaccination) –*

*(COVID19 mortality rate assuming complete vaccination).*

## FINDINGS

In Canada, in 2020, there were 2,414,000 men aged 40-50 years; 2,597,000 men aged 50-60 years; 2,322,000 men aged 60-70 years; and 1,435,000 men aged 70-80 years. The respective numbers of women in these age categories were 2,433,000; 2,585,000; 2,390,000; and 1,583,000. In Canada, the incidence rate of COVID-19 per 100,000 people from 31 January to 6 February, 2021, was 85.2 among men aged 40-50 years; 72.7 among men aged 50-60 years; 53.1 among men aged 60-70 years; and 40.3 among men aged 70-80 years. Respective rates among women were 84.5; 71.3; 44.4; and 39.2. In the PURE study, rates of obesity, diabetes and hypertension among Canadian participants (above 35 years of age) were respectively 26%, 9% and 38%. Rates of these risk factors stratified by age and sex are presented in the Supplementary Table S1. The pooled effect of COVID-19 vaccination on the risk of acquiring COVID-19 (Figure 1) was a relative risk (95% CI) of 0.10 (0.03-0.34). Among those who are infected with COVID-19, the case-fatality ratio (i.e. the proportion of identified cases that succumb to the infection adjusted for censoring bias) according to Public Health Ontario data is 0.67% for those aged 40-50 years; 2.03% for those aged 50-60 years; 6.52% for those aged 60-70 years; and 20.89% for those aged 70-80 years. Using these data, we estimated the numbers of individuals 1) in each age, sex and body-mass index stratum; 2) among those with and without diabetes; and 3) among those with and without hypertension who would develop and die from COVID-19 in the absence of vaccination versus the numbers expected to develop and die from COVID-19 following vaccination. Based on these data, the estimated numbers-needed-to vaccinate to prevent one COVID-19 death in Canadian adults overall is 33,595 and in men aged 70-80 years is

8722 and in women aged 70-80 years is 9060. The estimated numbers-needed-to vaccinate to prevent one COVID-19 death in different subgroups of the adult population are presented in the Figure 2. We undertook separate analyses of the AstraZeneca vaccine (relative risk, 95% CI: 0.30, 0.20-0.45) and the two mRNA vaccines (pooled relative risk, 95% CI: 0.064, 0.043-0.094). While the number-needed-to-vaccinate differed according to vaccine type, the proportionate reduction in number-needed-to-vaccinate among those with obesity, diabetes or hypertension was similar between vaccine types (Figure 3).

## DISCUSSION

The major finding from this analysis of Canadian data on middle-aged and older adults is that the number-needed-to-vaccinate to prevent COVID-19 death among those with cardiovascular risk factors (obesity, diabetes or hypertension) is approximately half the number-needed-to-vaccinate among adults without these cardiovascular risk factors. Consequently, the number-needed-to-vaccinate of obese, diabetic and hypertensive adults in any age group is similar to the number-needed-to-vaccinate of non-obese, non-diabetic and normotensive adults 10 years older.

In most regions within Canada, COVID-19 vaccination is offered to the elderly first (along with long-term care residents and frontline healthcare workers), followed by members of the public in cohorts of successively decreasing age. Our analysis supports this approach by demonstrating that vaccinating the elderly first is a highly efficient way of preventing COVID-19 deaths. Once elderly individuals have been vaccinated, there remains uncertainty as to how to prioritize vaccination of the remaining population. It has been recognized that cardiovascular risk factors including obesity, diabetes and hypertension are risk factors for both the acquisition of COVID-19 infection as well as for a fatal outcome in

the event of COVID-19 infection. Our analysis, which has been conducted using contemporary data to inform the prevalence of these cardiovascular risk factors in the Canadian population, suggests that preferentially vaccinating individuals with one or more cardiovascular risk factors may be an efficient way to prevent COVID-19 mortality.

This analysis has several limitations. We assumed that COVID-19 vaccines are equally effective among individuals with cardiovascular risk factors as among those without cardiovascular risk factors. While there was no evidence from the randomized, controlled trials of COVID-19 vaccinations to indicate that the efficacy of these vaccines varies according to the recipient's age, sex or cardiovascular risk factors, data that have yet to be peer-reviewed suggest that among 248 healthcare workers receiving the BNT 162b2 vaccine, the humoral immune response was larger among those with a "normal" body-mass index as compared with a higher body-mass index(14). Also, while we demonstrate a reduction in the number-needed-to-vaccinate to reduce mortality if those with cardiovascular risk factors are vaccinated earlier, we have not estimated the number of quality-adjusted life-years gained by such a strategy. If individuals without cardiovascular risk factors gain more quality-adjusted life-years through COVID-19 vaccination, this may attenuate the apparent advantages of preferentially vaccinating those with cardiovascular risk factors earlier. We have not evaluated the potential impact of preferentially vaccinating adults with chronic diseases other than obesity, diabetes and hypertension because there were limited numbers of these diseases in the PURE data. Public Health England guidance includes diseases such as dementia, kidney disease and recent cancer as conditions warranting earlier vaccination, based in part on data from the UK National Health Service demonstrating individuals with these diseases to be at higher risk of COVID-19 death(15, 16). Lastly, our analysis is based on the Canadian adult population distribution and



characteristics. These findings may not be generalizable to populations with substantially different distributions of cardiovascular risk factors, although the general principles are likely to hold.

Despite these limitations, this analysis represents an important estimation of the effects of prioritized vaccination strategies. In the context of a novel disease that is causing enormous morbidity and mortality, such models, using the best available data (even if limited) are important to guide public health decision-making(17). Importantly, this analysis does not address the role of vaccines in preventing community spread of COVID-19. We are unable to make inference on the plausible population benefits of prioritizing the vaccination of people, such as younger adults who are at high risk of acquiring and therefore transmitting the infection.

#### FIGURE LEGENDS

**Figure 1.** Forest plot demonstrating the relative risk (RR) with 95% confidence interval (CI) for COVID-19 in each of three vaccine trials, as well the pooled RR.

**Figure 2.** The estimated numbers-needed-to vaccinate (NNV) to prevent one COVID-19 death stratified by sex; age in years; and by cardiovascular risk factor presence.

**Figure 3.** The estimated numbers-needed-to vaccinate (NNV) to prevent one COVID-19 death stratified by vaccine type; sex; age in years; and by cardiovascular risk factor presence.

#### REFERENCES

1. Baden LR, El Sahly HM, Essink B, Kotloff K, Frey S, Novak R, et al. Efficacy and Safety of the mRNA-1273 SARS-CoV-2 Vaccine. *N Engl J Med.* 2021;384(5):403-16.

2. Polack FP, Thomas SJ, Kitchin N, Absalon J, Gurtman A, Lockhart S, et al. Safety and Efficacy of the BNT162b2 mRNA Covid-19 Vaccine. *N Engl J Med*. 2020;383(27):2603-15.
3. Voysey M, Clemens SAC, Madhi SA, Weckx LY, Folegatti PM, Aley PK, et al. Safety and efficacy of the ChAdOx1 nCoV-19 vaccine (AZD1222) against SARS-CoV-2: an interim analysis of four randomised controlled trials in Brazil, South Africa, and the UK. *Lancet*. 2021;397(10269):99-111.
4. CDC's COVID-19 Vaccine Rollout Recommendations. Updated March 25, 2021. Accessed April 7, 2021. [Available from: <https://www.cdc.gov/coronavirus/2019-ncov/vaccines/recommendations.html>].
5. COVID-19: the green book, chapter 14a. Public Health England. Last updated 12 February, 2021 [Available from: <https://www.gov.uk/government/publications/covid-19-the-green-book-chapter-14a>].
6. Ho FK, Celis-Morales CA, Gray SR, Katikireddi SV, Niedzwiedz CL, Hastie C, et al. Modifiable and non-modifiable risk factors for COVID-19, and comparison to risk factors for influenza and pneumonia: results from a UK Biobank prospective cohort study. *BMJ Open*. 2020;10(11):e040402.
7. Ng WH, Tipih T, Makoah NA, Vermeulen JG, Goedhals D, Sempa JB, et al. Comorbidities in SARS-CoV-2 Patients: a Systematic Review and Meta-Analysis. *mBio*. 2021;12(1).
8. Bae S, Kim SR, Kim MN, Shim WJ, Park SM. Impact of cardiovascular disease and risk factors on fatal outcomes in patients with COVID-19 according to age: a systematic review and meta-analysis. *Heart*. 2021;107(5):373-80.
9. United Nations, Department of Economic and Social Affairs [Available from: <https://population.un.org/wpp/Download/Standard/Population/>].

10. Government of Canada COVID-19 epidemiological and economic research data  
[Available from: <https://www.canada.ca/content/dam/phac-aspc/documents/services/diseases/2019-novel-coronavirus-infection/surv-covid19-weekly-epi-update-20210212-eng.pdf>].
11. Yusuf S, Joseph P, Rangarajan S, Islam S, Mente A, Hystad P, et al. Modifiable risk factors, cardiovascular disease, and mortality in 155 722 individuals from 21 high-income, middle-income, and low-income countries (PURE): a prospective cohort study. *Lancet*. 2020;395(10226):795-808.
12. Public Health Ontario COVID-19 Case Fatality, Case Identification, and Attack Rates in Ontario [Available from: <https://www.publichealthontario.ca/-/media/documents/ncov/epi/2020/06/covid19-epi-case-identification-age-only-template.pdf?la=en>].
13. Huang Y, Lu Y, Huang YM, Wang M, Ling W, Sui Y, et al. Obesity in patients with COVID-19: a systematic review and meta-analysis. *Metabolism*. 2020;113:154378.
14. Pellini R, Venuti A, Pimpinelli F, Abril E, Blandino G, Campo F, et al. OBESITY MAY HAMPER SARS-CoV-2 VACCINE IMMUNOGENICITY. *medRxiv*. 2021:2021.02.24.21251664.
15. Williamson EJ, Walker AJ, Bhaskaran K, Bacon S, Bates C, Morton CE, et al. Factors associated with COVID-19-related death using OpenSAFELY. *Nature*. 2020;584(7821):430-6.
16. Clift AK, Coupland CAC, Keogh RH, Diaz-Ordaz K, Williamson E, Harrison EM, et al. Living risk prediction algorithm (QCOVID) for risk of hospital admission and mortality from coronavirus 19 in adults: national derivation and validation cohort study. *BMJ*. 2020;371:m3731.

17. Tam DY, Naimark D, Natarajan MK, Woodward G, Oakes G, Rahal M, et al. The Use of Decision Modelling to Inform Timely Policy Decisions on Cardiac Resource Capacity During the COVID-19 Pandemic. *Can J Cardiol.* 2020;36(8):1308-12.

Journal Pre-proof

Figure 1

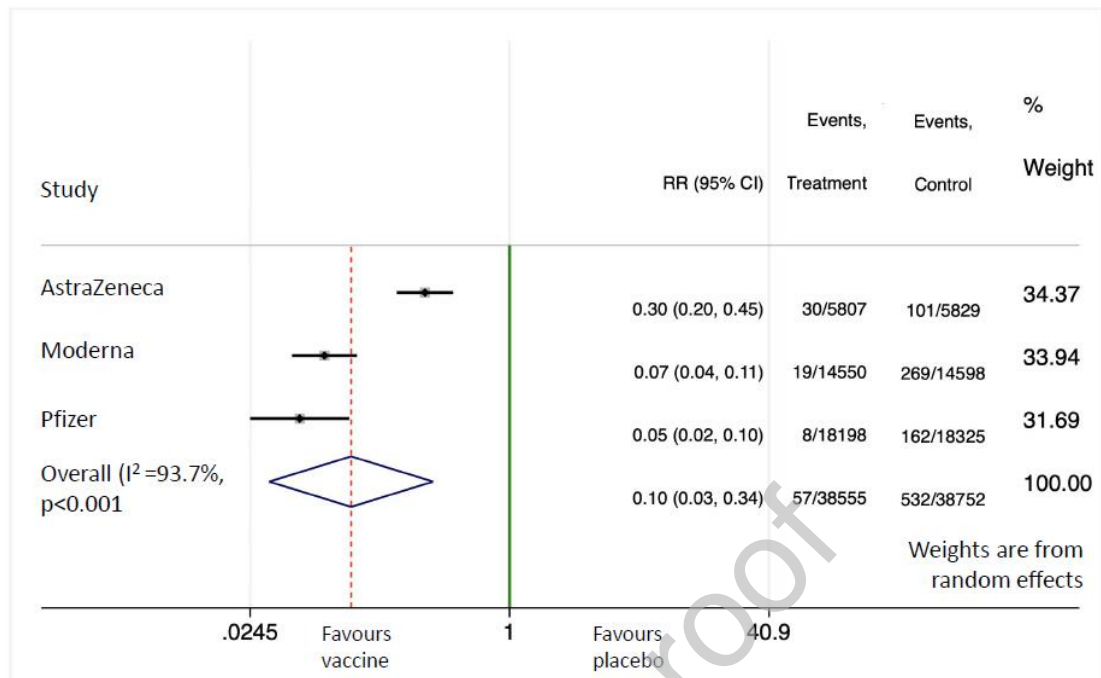


Figure 2

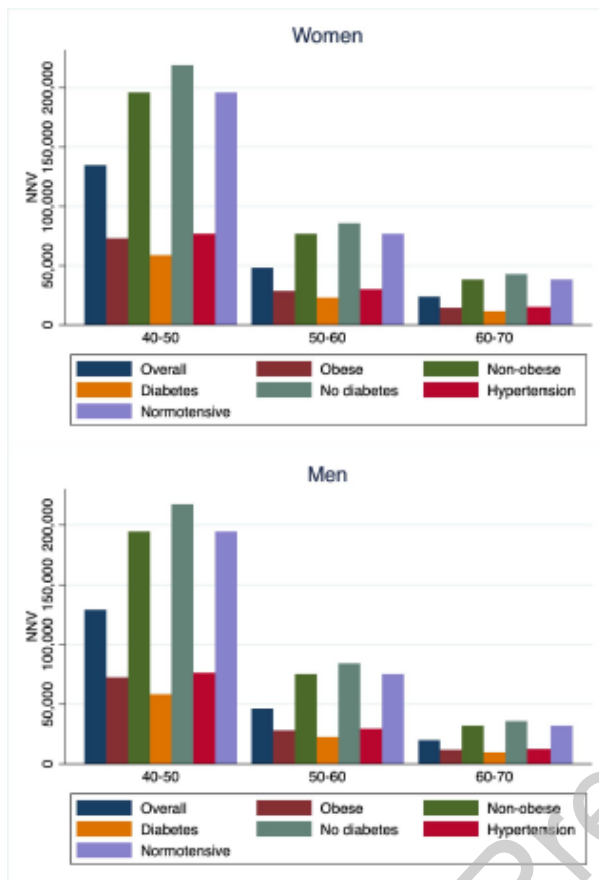


Figure 3

