



# 1 Assessment of Seismic behavior of a RC Precast building

2 Hugo Vitorino<sup>1</sup>, Nadia Batalha<sup>2</sup>, Romain Sousa<sup>1</sup>, Paulo Fernandes<sup>3</sup>, Humberto  
3 Varum<sup>2</sup>, Hugo Rodrigues<sup>4\*</sup>

4 <sup>1</sup> ESTG- Polytechnic Institute of Leiria, Leiria, Portugal

5 <sup>2</sup> CONSTRUCT-LESE, Faculty of Engineering (FEUP), University of Porto, Porto, Portugal

6 <sup>3</sup> CERIS, ESTG- Polytechnic Institute of Leiria, Leiria, Portugal

7 <sup>3</sup> RISCO-, ESTG- Polytechnic Institute of Leiria, Leiria, Portugal

8 \*corresponding author: hugo.f.rodrigues@ipleiria.pt

9 **Abstract.** Past earthquakes brought attention to the poor performance of precast  
10 reinforced concrete structures. One of the problems observed in those structures  
11 is related to the beam-to-column connections. The evaluation of different meth-  
12 odologies for the analysis of beam-to-column connections in industrial buildings  
13 is an important aspect that should be studied. The numerical analyses developed  
14 allowed the study of the effect that different connection properties have on the  
15 frequencies of vibration, members drifts and seismic coefficients. The connection  
16 properties were modelled through a macro-element that considers the friction  
17 (between concrete-concrete and concrete-neoprene) and the steel dowels. The re-  
18 sults showed that the friction between concrete elements and the consideration of  
19 the neoprene in the connection have a small impact on the drifts demands in the  
20 columns and seismic coefficient of the analyzed structure; on the other hand, the  
21 effect of the steel dowel on the drift demand and seismic coefficient is significant.  
22 The comparison of the models with different properties and connections allowed  
23 a better understanding of the factors with a higher impact on the results.

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25 **Keywords:** Industrial Buildings, Precast Reinforced Concrete, Beam-to-Column  
26 Connections, Seismic Performance, Numerical Analysis.

## 27 1 Introduction

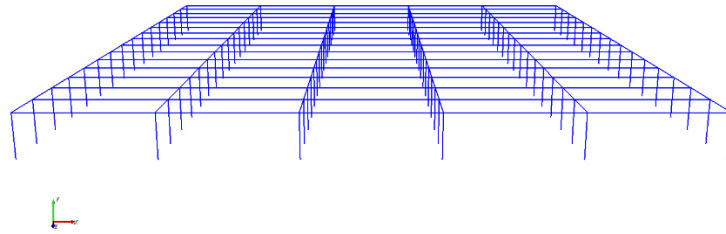
28 Precast reinforced concrete (RC) structures have shown in several cases a poor seismic  
29 performance presenting damages on structural and non-structural elements, highlight-  
30 ing the vulnerability of industrial buildings [1]–[4]. An important part of these buildings  
31 was not designed with seismic provisions. Most of the observed damages are related to  
32 structural elements, namely in the beam-to-column connections. In several buildings  
33 were observed significant failures and collapses. For example, after the 2011 earth-  
34 quake in Emilia Romagna, more than half of the existing precast structures exhibited  
35 significant damages [5]. Even in moderate and short duration earthquakes events, RC  
36 structures exhibit high levels of structural damages as Romão et al. described after field  
37 observations of the 2011 Lorca earthquake [6]. The unceasing reports of damages on  
38 precast structures derived from seismic events pointed to a need for consistent

39 methodologies for analysis, modeling and assessment of existing constructions. Those  
40 models need to account for the interaction between structural elements (e.g. beam-to-  
41 columns connections) and structural and non-structural elements in order to describe  
42 the non-linear dynamic behavior of this type of structures [7]. In the precast topic, Sacks  
43 et al. [8] presented a parametric work with 3D modeling with examples from precast  
44 concrete, analyzing the requirements, features and performance of a CAD platform.  
45 The need to assess the seismic vulnerability of existing buildings led different authors  
46 to develop new modeling solutions following both macro (e.g., [9]–[12]) and refined  
47 numerical models (e.g., [13]–[15]). The use of refined models tends to offer more pre-  
48 cise results given the ability to consider the different mechanisms involved. However,  
49 these models are computationally demanding and, therefore, unsuitable for common  
50 engineering applications or seismic risk analyses at a large building scale. Since the  
51 beam-to-column connections were identified as one of the most critical elements in  
52 precast structures under seismic loads, some works were developed in this field in the  
53 last years. It should be highlighted the works of Casotto et al. [11] and Magliulo et al.  
54 [12] that are focused on the behavior connections without dowels, others, e.g. Clementi  
55 et al. [9], account only for the contribution of the dowels. The macro-element adopted  
56 in the present work follows the model proposed by Sousa et al. [16], which explicitly  
57 simulate the contribution of both friction and dowel action.

## 58 **2 Parametric Study**

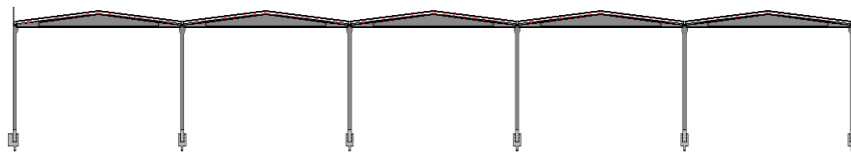
### 59 **2.1 Description of the Case Study**

60 The RC precast building under study represents an industrial framed structure (Fig. 1.)  
61 constituted by one floor with an area of  $180 \times 175 \text{ m}^2$  and a height of 12 m. The structure  
62 has 5 spans in the X direction (Fig. 2.) with 35 m of length each and 15 spans in the Y  
63 direction with 12 m of length each. The columns of the structure have a height of 12 m  
64 (the height of the building) and a rectangular section of  $0,70 \times 0,50 \text{ m}$  (**Error! Refer-**  
65 **ence source not found.** 3.) with a 40 mm cover. The concrete used was the C40/50 and  
66 the steel was the S500 NR-SD. The beams are pre-stressed with an I variable section,  
67 with a length of 35 m and a 30 mm cover. The columns are assumed fix to the founda-  
68 tion. In Europe, the most common type of beam-to-column connection in precast RC  
69 industrial buildings is the dowel beam-to-column connection [17]. In this system, the  
70 beam is mechanically connected to the column through vertical steel dowels. These  
71 dowels, usually one or two, protruding from the column's corbel, fit into sleeves left in  
72 the edge of the beams, which are later filled with a proper grout. In several cases, a steel  
73 or neoprene pad is placed between the column and the beam. These connections do not  
74 restrain the rotations between both members, while the transfer of horizontal forces  
75 between the beam and column is essentially ensured by friction and dowels (if present).  
76 In this type of connection, the transfer of the horizontal forces between the beams and  
77 columns is essentially ensured by the dowel action and friction between the beam and  
78 column [18].

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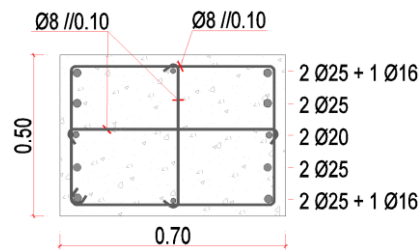
**Fig. 1.** A 3D overview of the building under study.

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**Fig. 2.** Principal direction (X) of the framed structure.

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**Fig. 3.** Column section.

87 For the numerical analysis, constant vertical loads distributed on beams were consid-  
 88 ered to simulate the dead load of the self-weight of roof and RC elements, and the  
 89 corresponding quasi-permanent value of live loads, giving a total value of 0.65 kN/m<sup>2</sup>.  
 90 The 3D models were subjected to incremental dynamic analysis (IDA). A total of 10  
 91 ground motion record were selected from real previous seismic events according to the  
 92 Araújo et al. [19] method. The average of the earthquake records fit the Eurocode 8  
 93 spectrum according to Type 1, for Lisbon, soil type A and were progressively scaled.

## 94 2.2 Sensitivity Parameters

95 To understand the seismic performance of the structure a parametric study was devel-  
 96 oped. Several cases were considered in a 3D model to better understand the impact that  
 97 certain parameters have on the response of the building. The parameters considered are  
 98 focused on the response of the beam-to-column connections, namely regarding the rela-  
 99 tive importance of the contribution of the dowels, neoprene and friction. Each case  
 100 was named according to the properties considered in the model, for example, the case  
 101 DFNC corresponds to a Dowel, Friction and Neoprene Connection considered in the

102 model, in the same way, the case DC corresponds to a Dowel Connection and the case  
 103 FNC corresponds to a Friction and Neoprene Connection considered in the model. The  
 104 model PC corresponds to Pinned Connections considered in the model. In Table 1 is  
 105 the list of properties adopted in the different models.

106 **Table 1.** List of properties adopted in the different models.

Model	Number/Diameter of Dowels [mm]		Friction	Neoprene Pad [mm]
	X Dir.	Y Dir.		
PC	Pinned Connection			
DFNC	2 $\phi$ 24	2 $\phi$ 20	Yes	20
DC	2 $\phi$ 24	2 $\phi$ 20	NC	NC
FNC	NC	NC	Yes	20

107 NC- not considered in the model

## 108 **3 Results**

### 109 **3.1 Contribution of the Connection to the global behavior**

110 One of the main aims of the present study is to assess the effects of the connection in  
 111 the global behavior of the building under study. In Table 2 are presented the 1<sup>st</sup> and 2<sup>nd</sup>  
 112 frequency of the different structures with different connections. The models with  
 113 pinned connections (PC) and DFNC connections have the same frequencies. This situ-  
 114 ation shows that, for this model, when analyzing the frequencies, considering a detailed  
 115 connection with dowel, neoprene and friction is the same as considering a pinned con-  
 116 nection. The models with DFNC and DC connections have the same frequencies, which  
 117 shows the very low impact of the neoprene and friction on the structure frequency. On  
 118 the other hand, the models with DFNC and FNC connections have different contribu-  
 119 tions to the global stiffness of the structure, which shows that the dowels may have a  
 120 significant impact on structure behavior in terms of strength, as expected, but also in  
 121 the global stiffness.

122 **Table 2.** Frequency comparison between the models with different connections.

Model	Frequency 1 (Hz)	Frequency 2 (Hz)
PC	0.44	0.65
DFNC	0.44	0.65
DC	0.44	0.65
FNC	0.44	0.48

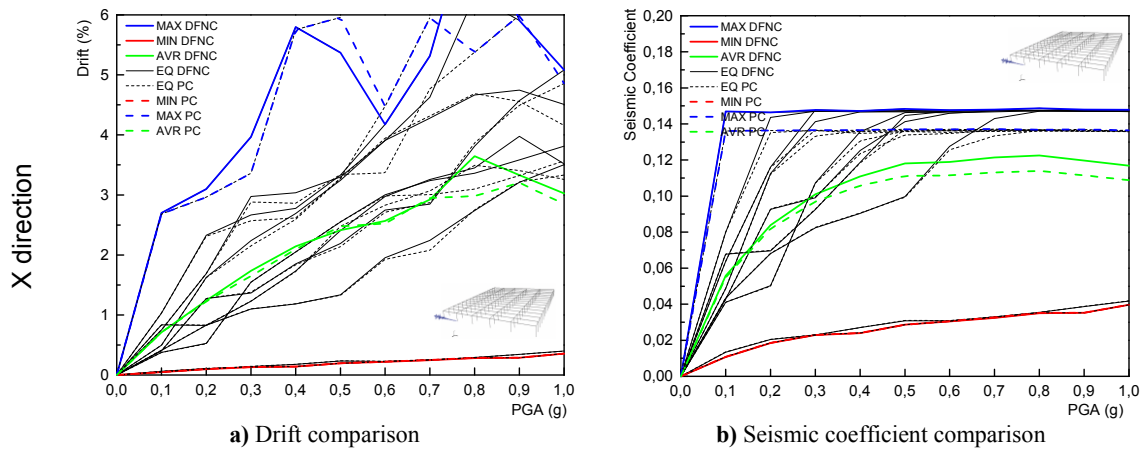
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### 125 **3.2 DFNC connection and Pinned connection**

126 In the present section, the DFNC model is compared with the PC model to find the  
 127 difference between considering a model with a connection with dowel, friction and ne-  
 128 oprene and a model with pinned connections, usually considered in the common design

129 stage. In Figure 4 are represented the drifts and seismic coefficients for the DFNC and  
 130 PC models in the X direction. The difference between the DFNC and PC models is very  
 131 low, which shows that developing a connection model with dowels, friction and neo-  
 132 prene might not be necessary to study the drifts and seismic coefficients of the structure.  
 133 Considering a pinned connection leads to a relatively simpler model that has practically  
 134 the same results as considering a model with dowel, friction and neoprene connections.  
 135 Most of the time, the DFNC model leads to slightly higher results when compared with  
 136 the PC model.  
 137



138 **Fig. 4.** Model with pinned and DFNC connections.  
 139

### 140 3.3 Effect of the neoprene and friction

141 In the present section is discussed the comparisons of the drift and seismic coefficient  
 142 with DC and DFNC connections, to evaluate the effect of the connection only with the  
 143 dowel and the connection considering the dowel, friction and neoprene. For the building  
 144 under study this effect seems to not play a significant role. Figure 5 shows that the  
 145 difference between considering a DC and DFNC connection is inexistent, leading to a  
 146 low influence of the friction and neoprene in the drift and seismic coefficient of the  
 147 structure. In fact, in other studies [16], only focused in the connection level, the contri-  
 148 bution of the friction and neoprene are evaluated around 25% of the global connection  
 149 response. Both cases can be true, once in the building under study the connection does  
 150 not experience a huge demand, like observed in the previous studies. For buildings with  
 151 higher demands at the connection level, the contribution of the friction and neoprene  
 152 pad may not be so insignificant.  
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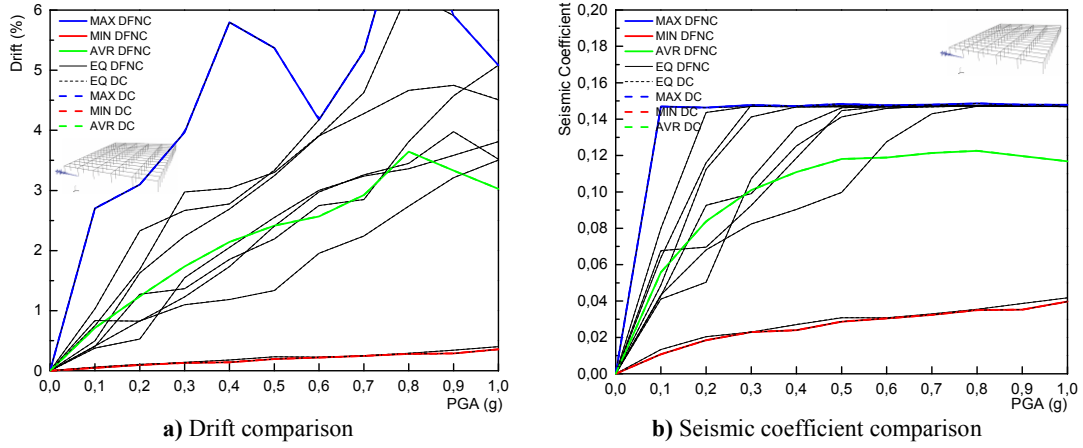


Fig. 5. Model with DC and DFNC connections.

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**3.4 Effect of the dowels**

In this section is presented the comparative analysis of the models with FNC and DFNC connections. Figure 6 shows a significant difference between considering FNC and DFNC connections, which shows that the dowel is a connection parameter with influence in the drift and seismic coefficient of the structure. For the same PGA, the model without dowel presents a lower drift demand in the columns when compared with the model with dowels.

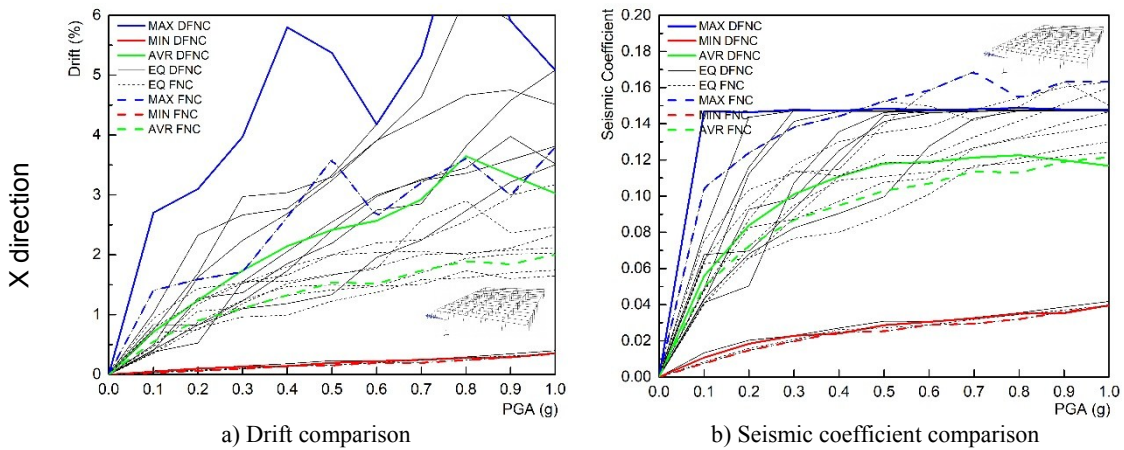


Fig. 6. Model with DFNC and FNC connections.

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## 165 4 Concluding Remarks

166 Several comparisons between models featuring different beam-to-column connections  
 167 were developed to assess its contribution and impact to the global behavior of general  
 168 RC precast structures. The comparison between the models DFNC and PC showed that  
 169 the models have the same frequencies and equivalent results of the drift and the seismic  
 170 coefficient. This situation shows that considering a detailed connection with dowels,  
 171 neoprene and friction may not be necessary to study the drifts and seismic coefficients  
 172 of the structure. Comparing the models DFNC and DC allows the evaluation of the  
 173 effect of the neoprene and friction on the structure. The frequencies of the models  
 174 DFNC and DC are the same. The drift and seismic coefficient in the X direction of the  
 175 DFNC and DC models are similar which shows that there is no contribution of the  
 176 neoprene and friction on the drift and seismic coefficient of the structure. The compar-  
 177 ison between the models DFNC and FNC allowed the evaluation of the effect of the  
 178 dowels on the structure. The dowels, contrary to the friction and neoprene, have a sig-  
 179 nificant impact on the drift and seismic coefficient of the structure. For the same PGA,  
 180 the FNC model has lower drift demand when compared with the DFNC model. For  
 181 lower PGA, the seismic coefficient in the model FNC is lower than in the model DFNC.  
 182 For higher PGA, it is the contrary, the seismic coefficient in the model FNC is higher  
 183 than in the model DFNC. From a general point of view, the results showed the im-  
 184 portance of the beam-to-column connections to the seismic behavior of the entire struc-  
 185 ture. In the presence of adequately design dowels, small deformations are expected at  
 186 the connections level and, therefore, the response of the structures is controlled by the  
 187 properties of the vertical elements. For these cases, the consideration of simple pinned  
 188 connection appears as an efficient and accurate numerical approach. On the other hand,  
 189 in the absence of dowels, or in cases where these are not properly designed, a concen-  
 190 tration of damage is expected to occur at the connection level, whilst the columns re-  
 191 mains essentially undeformed. Hence, whenever the relative horizontal strength be-  
 192 tween the columns and the adjacent connections is unknown, the consideration of the  
 193 different connection mechanisms is recommended in order to obtain a reliable estima-  
 194 tion of the seismic behavior of the building.

195

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