

Attention Enhancement for Exoskeleton-assisted Hand Rehabilitation using Fingertip Haptic Stimulation

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Conceptualization and methodology, Supervision: ML. Hand exoskeleton design: ML, JC and GH. Experiment design: ML and JC. Investigation: JC and GH. Data analysis: ML and JC. Writing: ML, JC, LC, CC, ES, WY, JX, GX, HW. Funding acquisition: ML and GX.

Keywords

Haptic Feedback, Hand Rehabilitation, fingertip haptic stimulation, Pneumatic haptic actuator, robot-assisted hand rehabilitation, Hand exoskeleton

Abstract

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Active enrollment in rehabilitation training yields better treatment outcomes. This paper introduces an exoskeleton-assisted hand rehabilitation system. It is the first attempt to combine fingertip cutaneous haptic stimulation with exoskeleton-assisted hand rehabilitation for training participation enhancement. For the first time, soft material 3D printing techniques are adopted to make soft pneumatic fingertip haptic feedback actuators to achieve cheaper and faster iterations of prototype designs with consistent quality. The fingertip haptic stimulation is synchronized with the motion of our hand exoskeleton. The contact force of the fingertips resulted from a virtual interaction with a glass of water was based on data collected from normal hand motions to grasp a glass of water. System characterization experiments were conducted and exoskeleton-assisted hand motion with and without the fingertip cutaneous haptic stimulation were compared in an experiment involving healthy human subjects. Users' attention levels were monitored in the motion control process using a Brainlink EEG-recording device and software. The results of characterization experiments show that our created haptic actuators are lightweight (6.8 ± 0.23 g each with a PLA fixture and Velcro) and their performance is consistent and stable with small hysteresis. The user study experimental results show that participants had significantly higher attention levels with additional haptic stimulations compared to when only the exoskeleton was deployed; heavier stimulated grasping weight (a 300 g glass) was associated with significantly higher attention levels of the participants compared to when lighter stimulated grasping weight (a 150 g glass) was applied. We conclude that haptic stimulations increase the involvement level of human subjects during exoskeleton-assisted hand exercises. Potentially, the proposed exoskeleton-assisted hand rehabilitation with fingertip stimulation may better attract user's attention during treatment.

Contribution to the field

Active enrollment in rehabilitation training yields better treatment outcomes. This paper introduces a haptic hand exoskeleton for attention enhancement during hand rehabilitation to improve training involvement of stroke patients and promote the rehabilitation of motor function. The haptic hand exoskeleton is consisted of a fingertip haptic stimulation system with soft material 3D-printed pneumatic actuators, a hand exoskeleton using a rigid-soft combined mechanism, and a fingertip stimulation method imitating the contact force of grasping a glass during exoskeleton-assisted glass-grasping motion. The main contributions of this paper include 1) prototyping and evaluation of a fingertip haptic stimulation system with soft material 3D-printed pneumatic actuators; 2) examining normal hand-glass interactions to establish a glass-grasping model for fingertip haptic stimulation during exoskeleton-assisted grasping motion; 3) investigating the attention enhancement effect of introducing fingertip haptic stimulation into exoskeleton-assisted hand motion in an experimental study.

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Studies involving human subjects

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In review

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In review

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16 **Keywords:** haptic feedback¹, hand rehabilitation², fingertip haptic stimulation³, hand
17 exoskeleton⁴, pneumatic haptic actuator⁵, robot-assisted hand rehabilitation⁶.

18 Abstract

19 Active enrollment in rehabilitation training yields better treatment outcomes. This paper introduces
20 an exoskeleton-assisted hand rehabilitation system. **It is the first attempt to combine fingertip**
21 **cutaneous haptic stimulation with exoskeleton-assisted hand rehabilitation** for training participation
22 enhancement. **For the first time, soft material 3D printing techniques are adopted to make soft**
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24 **designs with consistent quality.** The fingertip haptic stimulation is synchronized with the motion of
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26 glass of water was based on data collected from normal hand motions to grasp a glass of water.
27 System characterization experiments were conducted and exoskeleton-assisted hand motion with and
28 without the fingertip cutaneous haptic stimulation were compared in an experiment involving healthy
29 human subjects. Users' attention levels were monitored in the motion control process using a
30 Brainlink EEG-recording device and software. The results of characterization experiments show that
31 our created haptic actuators are lightweight (6.8 ± 0.23 g each with a PLA fixture and Velcro) and
32 their performance is consistent and stable with small hysteresis. The user study experimental results
33 show that participants had significantly higher attention levels with additional haptic stimulations
34 compared to when only the exoskeleton was deployed; heavier stimulated grasping weight (a 300 g
35 glass) was associated with significantly higher attention levels of the participants compared to when
36 lighter stimulated grasping weight (a 150 g glass) was applied. We conclude that haptic stimulations

37 increase the involvement level of human subjects during **exoskeleton-assisted hand exercises**.
38 Potentially, the proposed **exoskeleton-assisted hand rehabilitation with** fingertip stimulation **may**
39 better attract user's attention during treatment.

40 **1 Introduction**

41 Stroke is a common global health problem and a principal contributor to acquired disability (Murphy
42 and Werring, 2020). Many stroke survivors suffer from hand motor dysfunctions. Their abilities to
43 live independently are greatly affected since hand functions are essential for our daily life (Heo et al.,
44 2012). Because of the complexity of hand functions and the much larger area of cortex in
45 correspondence with the hand than other limb parts, hand motion dysfunction is more challenging to
46 recover than other limb parts (Yue et al., 2017) demanding research of hand motor recovery.

47 Hand rehabilitation requires continuous passive motion (CPM) exercises, which involve passive,
48 repetitive tasks such as grasping, to provide motor sensory stimulation improving hand strength,
49 range of motion, and motion accuracy with assistance from therapist or robotic assistive devices
50 (Ueki et al., 2012). High costs of conventional treatments often prevent patients from spending
51 enough time on necessary rehabilitation (Maciejasz et al., 2014). Virtual Reality (VR)-mediated
52 motor interventions and robotic rehabilitation devices have now been introduced to address these
53 shortcomings (Yue et al., 2017). VR allows patients to interact with simulated environments and
54 perceive real-time performance feedback (Cho et al., 2014). A robotic rehabilitation device can act as
55 an effective “therapist” that (i) delivers reproducible motor learning experiences, (ii) quantitatively
56 monitors patient performance, (iii) adjusts rehabilitation training according to patients' progress, and
57 (iv) ensures consistency in planning a therapy program (Cho et al., 2014; Henderson et al., 2008).

58 Robot-assisted rehabilitation has been proved to be effective in hand motor function improvements
59 (Carmeli et al., 2011; Kutner et al., 2010). During the past few years, hand exoskeleton devices have
60 drawn increasing research attention with promising results for hand rehabilitation (Hadi et al., 2018;
61 Haghshenas-Jaryani et al., 2017; Li et al., 2019; Yap et al., 2017). Exoskeleton robots have many
62 advantages such as portability, which have become the development trend of hand rehabilitation
63 robots for stroke survivors (Yue et al., 2017). In this context, in our previous study, we proposed a
64 hand exoskeleton that can assist both extension and flexion of fingers in CPM for hand rehabilitation
65 purposes using a rigid-soft combined mechanism (Li et al., 2019).

66 Active enrollment in rehabilitation training yields better treatment outcomes (Ang and Guan, 2013;
67 Teo and Chew, 2014). However, since the CPM training is passive, it is difficult for the patient to
68 stay focused during the training process. Multi-mode sensory feedback during rehabilitation training
69 can enrich experience to improve training involvement, enhance motor learning, help rebuilding the
70 sensorimotor loop, and thus promote functional recovery of patients' limbs (Sharififar et al., 2018;
71 Sigrist et al., 2013; Takeuchi and Izumi, 2013). There have been several reports of rehabilitation
72 training combining *visual* and/or *auditory* cues or stimuli (Cameirão et al., 2012; Li et al., 2018;
73 Secoli et al., 2011; Yue et al., 2017). Tracking the user's hand and providing task-specific visual
74 feedback during rehabilitation training can increase the patient's engagement and motivation (Pereira
75 et al., 2020). Auditory stimulation is helpful for rhythmic movements and improving exercise
76 duration (Lee et al., 2018; Song and Ryu, 2016).

77 Stroke survivors with hand dysfunction may also lose part of *haptic sensation* in their hands (Heo et
78 al., 2012). Haptic feedback can provide more sensation cues in virtual world during VR-mediated
79 rehabilitation training, subsequently leading to improved motor relearning (Piggott et al., 2016).

80 Hand exoskeleton can provide movement assistance to the hand during a CPM training process
81 creating sensorimotor feedback. Cutaneous (also can be referred as tactile) inputs are generated by
82 stimulating mechanoreceptors in the skin, and detect skin contact with objects and perception of
83 surface properties (Lim et al., 2014). Combining cutaneous haptic stimulation to the fingertips with
84 exoskeleton-assisted hand rehabilitation can provide sensorimotor and cutaneous haptic feedback
85 simultaneously and may have potential to improve training involvement of stroke patients and thus
86 promote the restoration of motor function. To the best of our knowledge, cutaneous haptic
87 stimulation integrated with exoskeleton-assisted hand rehabilitation has not yet been reported.

88 Combining haptics with exoskeleton-assisted hand rehabilitation requires devices to provide
89 compelling haptic sensations and, at the same time, be small, lightweight, inexpensive, and
90 comfortable to wear. Since fingertips are more sensitive and tend to be involved in more contact
91 interactions than other areas of hands, it would be most effective for cutaneous haptic devices to
92 provide tactile sensation to fingertips rather than to the whole hand reducing the size and weight of
93 any haptic feedback system. Due to the challenges of being small size and less complexity, wearable
94 fingertip cutaneous haptic feedback systems have only started to be developed in recent years
95 (Minamizawa et al., 2010; Pacchierotti et al., 2017; Schorr and Okamura, 2017; Zhai et al., 2020).
96 Advances in soft robotics have provided a unique approach for conveying haptic feedback to a user
97 by soft wearable devices. In our previous study, we created pneumatic haptic feedback actuators for
98 multi-fingered palpation (Li et al., 2014a, 2014b). Those actuators were fabricated via casting and
99 molding using materials such as PDMS and silicone rubber. Such methods are expensive to replicate
100 given the need to recreate a mold for every prototype iteration and the prototype quality is hard to
101 control. In recent years, there has been a significant trend towards the use of 3D printing technology
102 to fabricate soft material structures for soft robotic systems (Gul et al., 2018). The recent progress in
103 soft material 3D printing techniques that allow cheaper and faster iterations of prototype designs have
104 not been adopted to make haptic feedback actuators (Ang and Yeow, 2017; Gul et al., 2018; Yap et
105 al., 2016).

106 This paper builds on our previous research investigating a rigid-soft combined mechanism for a hand
107 exoskeleton that can assist both extension and flexion of fingers in hand rehabilitation (Li et al.,
108 2019). Here, we presents the creation and validation of a fingertip cutaneous haptic stimulation
109 system for exoskeleton-assisted hand rehabilitation using 3D-printed pneumatic actuators to improve
110 training involvement of stroke patients and promote motor function recovery. The proposed fingertip
111 cutaneous haptic stimulation is integrated with the hand exoskeleton to form a hand rehabilitation
112 system. By combining the sensorimotor feedback created by exoskeleton-assisted hand movements
113 and the cutaneous haptic feedback generated by the fingertip cutaneous haptic stimulation, the
114 exoskeleton-assisted hand CPM exercise becomes more attention-catching making the patients focus
115 more on the process of hand extension and flexion training.

116 Section 2.1 describes the system design. Section 2.2 shows the experiment to investigate the change
117 pattern of the fingertip contact forces during the process of grasping a glass to establish a glass-
118 grasping model for fingertip cutaneous haptic stimulation. Section 2.3 provides the system
119 characterization and user study. The experimental results are analyzed in Section 3. Discussions are
120 provided in Section 4.

121 **2 Materials and methods**

122 **2.1 Hand rehabilitation system**

123 **2.1.1 Concept of combining hand exoskeleton and fingertip cutaneous haptic stimulation**

124 Fig. 1(A) shows the conventional exoskeleton-assisted hand rehabilitation and Fig. 1(B) presents the
125 concept of our hand rehabilitation system combining a hand exoskeleton with fingertip cutaneous
126 haptic stimulation. In the conventional exoskeleton-assisted hand rehabilitation, only a hand
127 exoskeleton is used to provide extension and flexion assistance to the patient's fingers during a CPM
128 training. This passive, repetitive exercises can provide sensorimotor feedback to the patient to
129 improve hand functions in terms of range of motion and strength. However, since the training is
130 passive, it is difficult for the patient to stay focused. Therefore, we proposed to add haptic feedback
131 to the fingertips to improve the patient's involvement in the exoskeleton-assisted rehabilitation
132 training process. The hand exoskeleton - driven by linear motors - supports human fingers to conduct
133 flexion and extension motions resulting in sensorimotor feedback. During the process, haptic
134 stimulation actuators - mounted on the fingertips - generate contact forces between the actuators and
135 the fingertips enhancing patient's somatosensory stimulation. Integrating haptic stimulation with
136 exoskeleton-assisted hand rehabilitation aims to improve the patient's involvement in the training
137 process (i) enhancing motor learning, (ii) helping the recovery of sensorimotor feedback loop, and
138 (iii) promoting the recovery of hand motor function.

139 **2.1.2 Hand exoskeleton**

140 In our previous study, we proposed a hand exoskeleton that can assist both the extension and flexion
141 of the fingers using a rigid-soft combined mechanism (Li et al., 2019). Please note that the hand
142 exoskeleton was not used to provide kinesthetic feedback of the interaction between the fingers and
143 the virtual objects (like the haptic exoskeletons in (Secco and Maereg, 2019; Wang et al., 2020)) but
144 to provide movement assistance to the hand. Each finger is driven by one actuator containing a linear
145 motor, a steel strap, and a multi-segment mechanism (see Fig. 1(C)). Each segment of the mechanism
146 is made of VisiJet Crystal material using a rapid prototyping machine (3D Systems MJP3600). Five
147 finger actuators are attached to a fabric glove via Velcro straps. Linear motors are attached to a rigid
148 part, which are fixed to the forearm by a Velcro strap. Each steel strap are attached to a motor by a
149 small rigid 3D-printed part. The rigid part are made of PLA using a rapid prototyping machine
150 (D3020, Shenzhen Sundystar technology co. Ltd, China). The spring layer bends and slides when it is
151 pushed by the linear motor. The multi-segment structure then becomes like a circular sector. The
152 spring layer is straightened when pulled by the linear motor. The linear motors (L12-50-210-12-I,
153 Fircelli Technologies, Ca) allow a stroke up to 50 mm, with a maximum speed of 5mm/s, and a
154 maximum force of 30 N. The weight of the overall device is 435 g, including the glove, the multi-
155 segment mechanism, and the motors.

156 **2.1.3 3D-printed fingertip cutaneous haptic stimulation actuators**

157 Researchers used actuators with air chambers and inflatable surfaces to create the contact force
158 between the fingertip and the actuator surface for fingertip cutaneous haptic feedback (Li et al.,
159 2014a, 2014b; Lim et al., 2014; Sarkar et al., 2020). Casting and molding fabrication methods were
160 used to create such actuators with materials such as PDMS and silicone rubber (Li et al., 2014a,
161 2014b; Lim et al., 2014; Sarkar et al., 2020). However, such methods are expensive to replicate given
162 the need to recreate a mold for every prototype iteration and the prototype quality is hard to control.
163 To solve this problem, we adopted soft material 3D printing techniques which allow cheaper and
164 faster iterations of prototype designs to make soft pneumatic fingertip cutaneous haptic feedback
165 actuators in this study. As shown in Fig. 1(D), the novel proposed haptic stimulation actuators
166 contains an air chamber surrounded by a 0.45 mm thick working surface, a 2.5 mm thick bottom and
167 a 2.5 mm thick oval side. The actuator was 3D printed using a Ninjaflex soft material (NinjaTek,
168 2019): a 3D printer model Lulzbot TAZ 6 with a resolution of 0.15 mm was used. No support

169 materials were required to print the chambers. When printing the parts above the chambers, the
170 material sagged a little for the first few layers without affecting the function of the actuators. An air
171 tubing with a diameter of 2 mm is connected to the actuator by using RTV 108 clear silicone rubber
172 adhesive sealant (Momentive, 2020). When air is injected into the air chamber, the working surface
173 inflates increasing the contact force between the actuator and the user's fingertip while the bottom
174 and side shows a little deformation. The relation between the input pressure and the contact force on
175 the actuator surface is determined through a calibration set of experiments as it is shown in Section
176 2.3.1 and 3.2. An actuator fixture with 3D-printed PLA part and Velcro was used to attach the haptic
177 actuator to the user's fingertip.

178 **2.1.4 Fingertip cutaneous haptic stimulation**

179 The hand exoskeleton is controlled to drag the user's hand conducting the motion of grasping. During
180 the flexion and extension motion of the exoskeleton, the haptic stimulation force varies to simulate
181 the contact force when the hand interacts with a virtual object (e.g., a glass in our case). According to
182 the design of the hand exoskeleton, the change of motor travel distance and the bending angle of the
183 exoskeleton fingers have a linear relation (Li et al., 2019). The motor travel distance is monitored
184 through the motor stroke feedback signal which is acquired by using an analog input/output module
185 (JY-DAM10AIAO, Beijing Elit Gathering Electron, China). The finger joint angles are then acquired
186 through the motor stroke data. When the finger is about to touch the simulated glass, then the haptic
187 feedback actuator is activated. The corresponding target contact force for each fingertip is calculated
188 through a glass-grasping model, which is established based on the data from the experiment shown in
189 Section 2.2 and 3.1. The required pressure is calculated according to the target contact force by using
190 the experimentally determined relation between the input pressure and the contact force on the
191 actuator surface expressed in Eq. 1 and shown in Section 3.2. The corresponding analog signal is
192 then transmitted to a pressure regulator (SMC ITV0010, Japan) through the analog output module.
193 Pressurized air is provided by an air compressor (U-STAR601, U-STAR, China).

194 **2.1.5 System integration and control**

195 Fig. 2 shows the overall system integration and control of the hand rehabilitation system, combining
196 the exoskeleton-assisted hand motion and the fingertip cutaneous haptic stimulation. The motor
197 stroke sequence is embedded in an Arduino Mega 2560. When the computer sends a start command,
198 the Arduino Mega 2560 starts to send the control signals to the linear motors in the hand exoskeleton.
199 The motor stroke feedback signals are sent to an analog input/output module JY-DAM10AIAO. The
200 target haptic force is calculated, according to the selected mode and the feedback motor stroke
201 information and transfers to the analog input/output module JY-DAM10AIAO to control the air
202 pressure inside the fingertip cutaneous haptic stimulation actuators via the pressure regulators SMC
203 ITV0010. Pressurized air is provided by an air compressor U-STAR601 as reported before. The
204 feedback signals from the pressure regulators are monitored by the JY-DAM10AIAO device.

205 **2.2 Experiment of normal contact force change pattern during glass grasping**

206 An experiment was conducted to investigate the change pattern of the fingertip contact forces during
207 the process of grasping a glass. A glass-grasping model for fingertip cutaneous haptic stimulation can
208 then be established based on the contact force change pattern during glass grasping.

209 Ten participants (seven males and three females with an average age of 27, all right-handed) were
210 involved in this experiment. As shown in Fig. 3, a 3D-printed glass-shaped object (diameter: 70 mm,
211 height: 120 mm, net weight: 150 g) that could embed force sensors was applied. The material of this
212 object is PLA. The 3D-printed glass-shaped object contains five grooves to install force sensors (SI-

213 12-0.12, ATI Nano 17, USA) corresponding to the five fingers. Tissue is used to fill the gap between
214 the groove and the sensor in order to secure the sensor. The weight of the glass was changed by
215 adding water into the glass. The weight of the tested glass was 150 g, 200 g, 250 g, and 300 g,
216 respectively. During the test, the participants were required to use the same grasping pattern for the
217 same weight of different trials. The test was repeated five times. This study with human participants
218 was approved by the Institutional Review Board of Xi'an Jiaotong University. All subjects signed a
219 written consent before the beginning of the experiments.

220 **2.3 System performance validation and influence of haptic stimulation on user's attention**

221 **2.3.1 Haptic actuator and haptic stimulation system characterization**

222 The weight of five haptic actuators was measured using an electronic scale (measurement range 0-
223 100 g with a resolution of 0.01 g). The deformation response of the actuators was examined under
224 different inflation pressures ranging from 0 to 100 kPa with an interval of 0.5 kPa. The deformation
225 of the actuators was measured by using a laser displacement sensor (HG C1100, Panasonic, Japan,
226 repeated accuracy 79 μm , measurement range $\pm 35\text{mm}$, light spot diameter 120 μm) (see Fig. 4). Five
227 actuators were examined. An analog input/output module JY-DAM10AIAO was used to provide the
228 control signal to the pressure regulator SMC ITV0010. The pressure regulator reduced the air
229 pressure from the air source and inflated the actuator with an amount of pressure which is
230 proportional to the given control signal.

231 As shown in Fig. 4, the generated contact force was also calibrated when the actuators were inflated
232 and deflated between 0 and 100 kPa for five times. One inflation and deflation process lasted 100 s.
233 A haptic stimulation actuator was fixed at one side of a guide rail. An ATI Nano 17 Force/Torque
234 sensor SI-12-0.12, which was attached to a contact block printed using Ninjaflex for force
235 measurement, was fixed to the sliding block on the guide rail. Before the test, they were moved to
236 just contact each other. Twelve actuators were examined.

237 The response time of the haptic stimulation system was also examined. The haptic stimulation system
238 was controlled to generate stimulation force from 0 to 4 N and then back to 0 N. As shown in Fig. 5,
239 a Force/Torque sensor ATI Nano 17 SI-12-0.12 was used to replace the fingertip and capture the
240 contact force. The experiment was repeated for three times.

241 **2.3.2 Experimental protocol of user study**

242 In this study, we assumed that adding fingertip cutaneous haptic feedback to exoskeleton-assisted
243 hand extension and flexion motions for rehabilitation purposes could improve the participation of the
244 user in the rehabilitation training process. A user study was conducted to investigate this attention
245 enhancement effect of integrating haptic stimulation into the exoskeleton-assisted hand rehabilitation.
246 The experimental set-up is shown in Fig. 6. During the experiment, the participants' attention levels
247 were monitored in real time by using a Brainlink Lite device. Brainlink is a commercial, easy-to-
248 wear, inexpensive EEG detection device that consists of three dry electrodes, including an EEG
249 signal channel, a reference electrode, and a grounding electrode. The Brainlink sampling rate is 512
250 Hz with a frequency range of 3 Hz-100 Hz. This device records EEG the band power values of the
251 delta, theta, alpha, beta, and gamma waves. A ThinkGear AM (TGAM) module (NeuroSky, Inc.,
252 Silicon Valley, United States) was used to process the brain signals. The outputs of this module
253 report the attention and relaxation of the user brain via a built-in patented eSense biometric
254 algorithms which measure whether the brain is focused or relaxed (NeuroSky, 2018). The parameter
255 (i.e., the Attention and the so called Meditation) are calculated in a range between 1 and 100. Thus,

256 the current attention level of the subject was recorded through the BrainLink, in order to analyze
257 whether the subject was focused on the rehabilitation process during our experiments.

258 **The development of rehabilitation robots usually consists of several stages. Validating rehabilitation**
259 **robots with healthy participants is a common practice in the early stages of development (Becker et**
260 **al., 2019; Chisholm et al., 2014; Li et al., 2017; Nicholson-Smith et al., 2020). Therefore, in this**
261 **preliminary study, thirteen healthy participants were involved in this user study to prove the attention**
262 **enhancement effect of integrating haptic stimulation into the exoskeleton-assisted hand rehabilitation.**
263 Three experimental modes were examined including 1) grasping motion assisted by exoskeleton
264 without haptic stimulation, 2) grasping motion assisted by exoskeleton with haptic stimulation
265 (simulated glass weight of 150 g), and 3) grasping motion assisted by exoskeleton with haptic
266 stimulation (simulated glass weight of 300 g).

267 Five cycles of the flexion/extension motion were involved in each trial. Four trials were conducted by
268 each participant. The sequence of the five experiment parts was pseudo random. During the
269 experiment, the attention levels were recorded at a sampling rate of 1 Hz. The study was approved by
270 the Institutional Review Board of Xi'an Jiaotong University. All subjects signed a written consent
271 before the start of the experiment.

272 **2.3.3 Statistical Analysis**

273 **The primary outcome of interest in this study was the average change in intention level in different**
274 **groups. A Shapiro-Wilk test was used to check the sample normality. A Levene test was used to**
275 **examine the homogeneity of variance. One-way ANOVA with PostHoc LSD was used to determine**
276 **the significant difference among those groups. A single-tailed pairwise student t-test was used to**
277 **compare the attention level difference between every two modes. Since three experiment modes were**
278 **compared in this multiple hypothesis testing, a Benjamini-Hochberg method was used to control the**
279 **false discovery rate. For all analyses with P value smaller than 0.05 was considered statistically**
280 **significant. All analyses were performed using R software (Version 3.6.3, The R Foundation).**

281 **3 Results**

282 **3.1 Typical normal contact force change pattern**

283 Fig. 7 shows a typical normal contact force change pattern during the experiment. The data represents
284 the middle finger contact force from a grasping trial of one of our experimental participants. Similar
285 patterns can be observed in the other trials. In general, the process of grasping the glass can be
286 divided into 3 stages: (1) the rapid loading stage, (2) the slow release stage and (3) the rapid release
287 stage.

288 In order to determine the force curve of grasping the glass, 5 variables need to be defined: loading
289 time t_1 , unloading time t_2 in the first stage, unloading time t_3 in the second stage, peak force F_P , and
290 unloading force node F_T . Fig. 8 shows the data of the time length of each stage. The data of duration
291 in each of the three stages (t_1 , t_2 and t_3) shows individual differences, but the average stage duration
292 of five fingers are consistent. Fig. 9 shows the data of peak forces and release turning points. The
293 thumb borne the maximum normal force when grasping the simulated glass of water. There is a trend
294 of decreasing peak force and turning point force from the thumb to the little finger.

295 Therefore, in our glass-grasping model for fingertip haptic stimulation, the average stage duration of
296 the five fingers is used as the stage duration of the haptic stimulation actuator. The loading stage t_1 ,

297 first stage of release t_2 , and the second stage of release t_3 are 0.36 s, 1.20 s, and 0.24 s, respectively.
 298 Two weights of glass of water (150 g and 300 g) were simulated. The average peak forces F_P and
 299 turning points F_T from the experiment were used in the model for fingertip haptic stimulation (see
 300 Table 1).

301 3.2 Experimental results of Characterization

302 The weight of a haptic actuator is 2.5 ± 0.22 g. The haptic actuator with the actuator fixture weighted
 303 6.8 ± 0.23 g. As shown in Fig. 10 (A), the surface displacements of the haptic actuators are nonlinear
 304 in the low pressure range (0-40 kPa), whereas in the high pressure range (40-100 kPa), they present a
 305 good linear feature. The curve shown in Fig. 10 (B) was obtained by taking the derivative of the
 306 surface displacement with respect to the input pressure. The derivative of the actuator at the input
 307 pressure of nearly 100 kPa is close to a constant of 0.007 (as reported in black line within the figure),
 308 and there is no obvious abrupt change. Therefore, the maximum output pressure of the pneumatic
 309 proportional valve (100 kPa) did not exceed the upper limit of the actuator.

310 As shown in Fig. 10 (C), the differences of the force output distribution among the actuators are
 311 negligible. **There is an approximate linear relation between the contact force on the actuator surface
 312 and the input air pressure. Therefore, the fitting relation between the input pressure and the contact
 313 force on the actuator surface was acquired with linear least square fitting using the data from all the
 314 12 actuators. This relation** can be expressed as

$$315 \quad F = 0.0585P - 0.4055, \quad (1)$$

316 where F is the generated contact force with the unit of N; P is the input pressure with the unit of kPa.
 317 The test results show that the maximum output force of the 3D-printed pneumatic haptic stimulation
 318 actuator was 5.436 ± 0.171 N. Almost all of the actuators have a dead zone in the low-pressure range.
 319 The mean dead zone pressure of the 12 actuators is 4.233 kPa. Therefore, the actuators should be pre-
 320 inflated with about 5 kPa before using it. In general, the performance of the produced actuators is
 321 consistent and stable. The hysteresis negligible.

322 Fig. 10 (D) shows the measured force compared to the target force during the system response
 323 experiment. The average response time of the haptic stimulation system to the input control signal is
 324 0.17 s. The ratio of the output force of the actuator as it was monitored by the force sensor to the
 325 target output force is 79.5%. 20.5% of the output force is converted into the elastic deformation of
 326 the Velcro. This loss of the output force is taken into account by compensating the input signal.

327 3.3 Experimental results of user study

328 An average attention level was calculated for each trial. There were 52 attention level values (4 trials
 329 \times 13 participants) for each mode. **The average attention level of each experiment mode fits a normal
 330 distribution (Shapiro-Wilk test, $p > 0.05$), (in exoskeleton only group: $W = 0.9748$, $p = 0.3323$; in 150 g
 331 glass group: $W = 0.9650$, $p = 0.1291$, in 300 g glass group: $W = 0.9734$, $p = 0.2932$). The Levene test
 332 confirmed the homogeneity of variance ($p = 0.154$). As shown in Fig.11, the average attention level
 333 for those three experiment modes was 47.5 ± 12.34 (Mean \pm Standard Deviation), 56.1 ± 9.27 , $63.6 \pm$
 334 10.08 , respectively. There was a significant difference between groups (One Way ANOVA, PostHoc
 335 LSD, $p < 0.05$). As shown in Table 2, participants had significantly higher attention levels in haptic
 336 stimulation than the group that only exoskeleton was used to drag the fingers (Paired t test, $p = 0.000$);
 337 participants have significantly higher attention levels in the higher stimulation level group**

338 (simulating grasping a 300 g glass) than the lower stimulation level group (simulating grasping a 150
339 g glass) (Paired t test, $p=0.000$).

340 4 Discussions

341 This paper presents a hand rehabilitation system with the functions of exoskeleton-assisted hand
342 movements and fingertip haptic stimulation to improve training involvement of stroke patients and
343 promote the rehabilitation of motor function. The hand rehabilitation system is consisted of a
344 fingertip haptic stimulation system with soft material 3D-printed pneumatic actuators, a hand
345 exoskeleton using a rigid-soft combined mechanism, and a fingertip stimulation method imitating the
346 contact force of grasping a glass during exoskeleton-assisted glass-grasping motion. The main
347 contributions of this paper include (i) combining cutaneous haptic stimulation to the fingertips with
348 exoskeleton-assisted hand rehabilitation to provide sensorimotor and cutaneous haptic feedback
349 simultaneously; (ii) adopting soft material 3D printing techniques to make soft pneumatic fingertip
350 haptic feedback actuators achieving cheaper and faster iterations of prototype designs with consistent
351 quality; (iii) experimentally verifying the assumption that adding fingertip cutaneous haptic
352 stimulation to exoskeleton-assisted hand extension and flexion motions can improve the training
353 involvement of the user.

354 According to Pacchierotti et al. (Pacchierotti et al., 2017), the average weight of the eighteen
355 reviewed wearable haptic devices for the fingertip is 31.4 g (at the fingertip) and the smallest
356 dimensions of the twenty reviewed wearable haptic devices for the fingertip is $12 \times 12 \times 30$. The
357 proposed 3D-printed pneumatic haptic stimulation actuator is small ($16 \times 16 \times 20$), wearable, and
358 light-weight (6.8 ± 0.23 g each with a PLA fixture and Velcro). The maximum continuous normal
359 force the proposed fingertip haptic device can generate is around 5.4 N while this figure of other
360 wearable haptic devices ranges from 1.5 N to 6.72 N (Chinello et al., 2015; Girard et al., 2016;
361 Prattichizzo et al., 2013; Sarakoglou et al., 2012). As shown in Fig. 10, the performance of the
362 produced actuators is consistent and stable with small hysteresis. The current fabrication process
363 limited the further miniaturization of the actuator (Maereg et al., 2017). During the 3D printing
364 process, the working surface of the haptic feedback actuator was facing down to ensure the quality of
365 this surface. Since no support materials were used to print the chamber, the bottom of the actuator
366 (facing up during printing) would sag for the first few layers when printing. In order to ensure that
367 the sagging material does not touch the working surface and has very little influence on the
368 performance of the haptic actuator, a thick air chamber is required. What's more, the bottom surface
369 should not deform too much when the actuator is activated. Therefore, the bottom surface of the
370 actuator is required to be much thicker than the working surface. To further improve the fabrication
371 process and miniaturize the actuator, further study is required. Moreover, the output contact force of
372 the actuator was not monitored in the current system. Therefore supplementary work is required to
373 improve the fabrication process, to miniaturize the actuator, to generate the tangential contact force,
374 and to improve the actuator's control. Building a prosthetic hand with haptic feedback is an emerging
375 research trend (Raspopovic et al., 2014). In this study, our hand exoskeleton and the fingertip haptic
376 feedback system are designed for stroke rehabilitation, but the proposed haptic stimulation system
377 may also have potential to be used for restoring tactile sensory feedback in hand prostheses. Clinical
378 studies will be performed in the future.

379 In the conventional exoskeleton-assisted hand rehabilitation process, only a hand exoskeleton is used
380 to provide extension and flexion assistance to the patient's fingers during a CPM training. The
381 passive repetitive exercises can provide sensorimotor feedback to the patient. However, since the
382 training is passive, it is difficult for the patient to stay focused. Therefore, we proposed to add haptic

383 feedback to the fingertips to improve the participation of the patient (indicated by the attention level)
384 in the exoskeleton-assisted rehabilitation training process. To the best of our knowledge, cutaneous
385 haptic stimulation integrated with exoskeleton-assisted hand rehabilitation has not yet been reported,
386 other than in our study. We assumed that adding fingertip cutaneous haptic feedback to exoskeleton-
387 assisted hand extension and flexion motions for rehabilitation purposes could improve the
388 participation of the user in the rehabilitation training process. To verify this assumption, exoskeleton-
389 assisted hand trainings with and without haptic stimulation were compared in an experiment
390 involving healthy human subjects in this study. The experiment of the user study showed that
391 participants had significantly higher attention levels when fingertip cutaneous haptic stimulations
392 were added compared to when only the exoskeleton was used to drag the fingers ($p=3.820\times 10^{-5}$,
393 $p=1.724\times 10^{-9}$). This result confirms that adding haptic stimulation to exoskeleton-assisted hand
394 movements significantly increase the attention levels of the participants. The increased attention
395 levels of the participants may suggest the increase of the subjects' active involvement during the
396 exoskeleton-assisted motion training process. Further, the increased active involvement of the
397 subjects may lead to better training outcomes (Ang and Guan, 2013; Teo and Chew, 2014). We
398 conclude that haptic stimulations increase the involvement level of human subjects during hand
399 rehabilitation training. Potentially, the proposed fingertip cutaneous stimulation system can be used
400 in rehabilitation training that can better attract user's attention during treatment. According to Piggott
401 et al., the benefits of using haptic devices in upper-limb rehabilitation include creating more
402 immersive virtual reality and contributing to the recovery of sensory function (Piggott et al., 2016).
403 Apart from the attention enhancement effect, combining exoskeleton-assisted hand motion and
404 fingertip haptic stimulation may stimulate motor cortex and somatosensory cortex of the brain
405 simultaneously, and thus further promote motor function recovery. Apart from the attention levels,
406 other more direct indicators reflecting the degree of active involvement of the subjects should also be
407 investigated in the future studies. Our future work includes further investigation of the effects of
408 haptic stimulation on functional areas of the brain. The experiment results also showed that
409 participants had significantly higher attention levels when the higher stimulation level (simulating
410 grasping a 300 g glass) rather than the lower stimulation level (simulating grasping a 150 g glass)
411 was applied ($p=5.515\times 10^{-6}$). This figure suggests that stronger haptic stimulation yields higher
412 attention levels of the participants. But please note that too much pressure added to the fingertips by
413 the haptic actuators may cause discomfort to the user. In this study, only a glass grasping task is
414 involved. In the future study, other influence factors such as the types of grasping and the fingertip
415 haptic feedback modalities will be studied in order to further understand the mechanism of the
416 attention enhancement. What's more, in the present experiment, only a group of young, healthy
417 people participated. **In other words, the attention enhancement effect of integrating haptic stimulation
418 into the exoskeleton-assisted hand exercise was only proved on healthy subjects. This is one of the
419 limitations of our current study.** In future studies, a greater number of stroke patients should be
420 included to further prove the clinical feasibility of the proposed method.

421 In this study, the cutaneous haptic stimulation actuators only provide normal force stimulus to the
422 fingertips, which is perpendicular to the actuator surface. To create a more vivid haptic experience,
423 the tangential contact force during the grasping interaction should also be provided. However, the
424 complexity of the actuators and the difficulty of the control will be significantly increased. Moreover,
425 the normal force is much larger than the tangential force during the grasping interaction as we
426 observed in our experiment. There might be a trade-off between providing a more vivid haptic
427 experience and designing the complexity of the actuators' system. **Please note that providing vivid
428 haptic experience of grasping is not the main purpose of this study. In other words, to accurately
429 simulate the grasping process is not the main goal of the study. It is used as a mean to enhance the
430 attention of the user during the hand rehabilitation training process.** Of course, if other haptic

431 information like the slippery effects is added, it may provide a more vivid interaction experience for
432 the user. Since our concept is to provide more stimulation with finger extension/flexion assistance to
433 attract the patient's attention during the hand rehabilitation, we argue that providing less haptic
434 information than the actual grasping scene does not affect our purpose. In our future studies, we will
435 try to improve the actuator structure and control algorithm to provide a more vivid interaction
436 experience.

437 **5 Conflict of Interest**

438 *The authors declare that the research was conducted in the absence of any commercial or financial*
439 *relationships that could be construed as a potential conflict of interest.*

440 **6 Author Contributions**

441 Conceptualization and methodology, Supervision: ML. Hand exoskeleton design: ML, JC and GH.
442 Experiment design: ML and JC. Investigation: JC and GH. Data analysis: ML and JC. Writing: ML,
443 JC, LC, CC, ES, WY, JX,GX, HW. Funding acquisition: ML and GX.

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452 **9 Reference**

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600 **Tables**

601 Table 1 Peak forces F_P and turning point forces F_T in our glass-grasping model for fingertip haptic
 602 stimulation

| | Peak force [N] | | Turning point force [N] | |
|---------------|-----------------------|------|--------------------------------|------|
| Item | 150g | 300g | 150g | 300g |
| Thumb | 2.16 | 3.43 | 1.33 | 2.15 |
| Index | 1.44 | 1.76 | 0.80 | 1.03 |
| Middle | 1.00 | 1.28 | 0.55 | 0.68 |

| | | | | |
|--------------|------|------|------|------|
| Ring | 0.85 | 1.34 | 0.48 | 0.75 |
| Pinky | 0.59 | 0.79 | 0.32 | 0.46 |

603

604

Table 2 The results of student t-tests with Benjamini-Hochberg correction.

| Item | <i>p</i> |
|--|--------------------------|
| Exoskeleton only vs. Haptic stimulation simulating 150 g glass | $3.820 \times 10^{-5**}$ |
| Exoskeleton only vs. Haptic stimulation simulating 300 g glass | $1.724 \times 10^{-9**}$ |
| Haptic stimulation simulating 150 g glass vs. Haptic stimulation simulating 300 g glass | $5.515 \times 10^{-6**}$ |

605

606

** Stronger significance than at the 1% level

*Significance at the 5% level

607

608 Figure Legends

609 Figure 1. Illustrations of (A) the conventional exoskeleton-assisted hand rehabilitation, (B) our hand
610 rehabilitation robot system combining hand exoskeleton and fingertip haptic stimulation, (C) hand
611 exoskeleton, and (D) the proposed pneumatic haptic stimulation actuator.

612 Figure 2. System integration and control of the hand rehabilitation combining the hand exoskeleton
613 (left diagram) and the fingertip haptic stimulation (right diagram).

614 Figure 3. A 3D-printed glass-shaped object with force sensor embedded for the experiment
615 investigating the change pattern of fingertip contact forces during the process of grasping a glass.

616 Figure 4. Experimental set-up for the deformation response and generated contact force of the
617 actuator.

618 Figure 5. Experimental set-up for the system response characterization.

619 Figure 6. Experimental set-up for the user study.

620 Figure 7. A typical normal contact force change pattern.

621 Figure 8. The time length of each stage of glass-grasping: (A) loading, (B) first stage of release, and
622 (C) second stage of release. **If the data are greater than $q_3 + 1.5 \times (q_3 - q_1)$ or less than $q_1 - 1.5 \times (q_3 -$
623 $q_1)$, where q_1 and q_3 are 25th and 75th percentiles of the sample data, they are marked red.**

624 Figure 9. (A) Peak forces (mean±SD) and (B) turning points when grasping a 150 g simulated glass
625 of water; (C) peak forces and (D) turning points when grasping a 200 g simulated glass of water; (E)
626 peak forces and (F) turning points when grasping a 250 g simulated glass of water; (G) peak forces
627 and (H) turning points when grasping a 300 g simulated glass of water. **If the data are greater than q_3
628 $+ 1.5 \times (q_3 - q_1)$ or less than $q_1 - 1.5 \times (q_3 - q_1)$, where q_1 and q_3 are 25th and 75th percentiles of the
629 sample data, they are marked red.**

630 Figure 10. Characterization experimental results: (A) the relationship between surface displacement
631 and input pressure, (B) the derivative of the surface displacement corresponding to the input pressure,
632 (C) the relationship between the input pressure of the actuator and the contact force on the actuator
633 surface, and (D) the measured force compared to the target force.

634 Figure 11. The attention levels of the participants during the experiment.

In review

Figure 1.TIFF

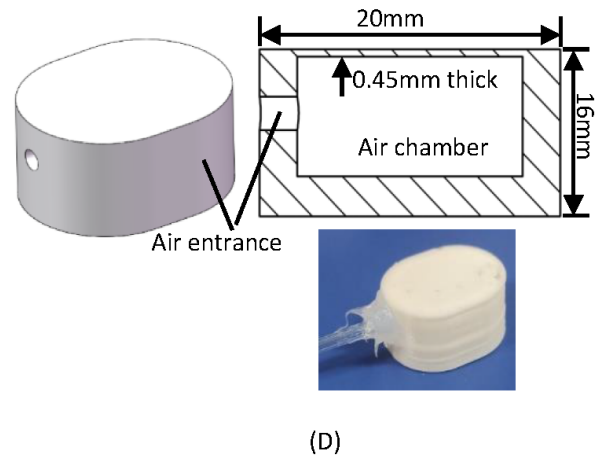
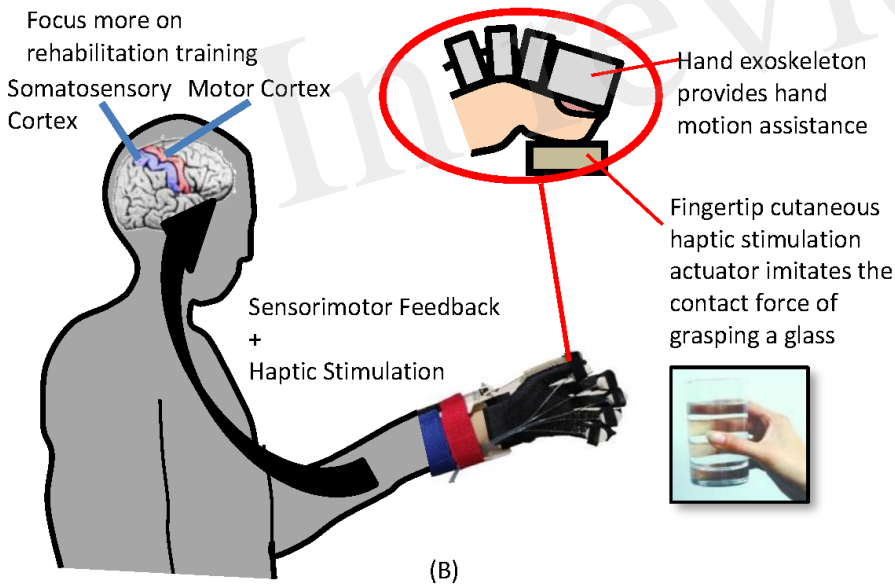
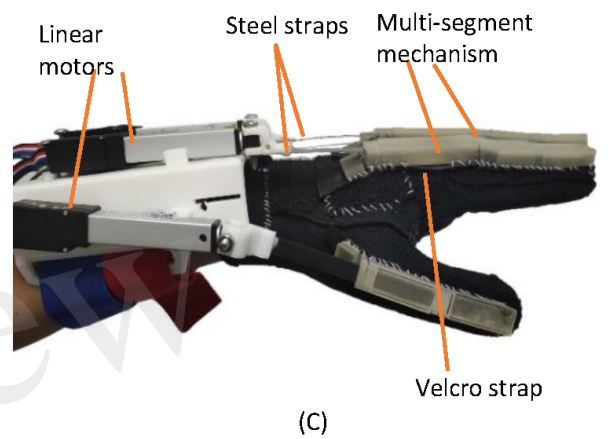
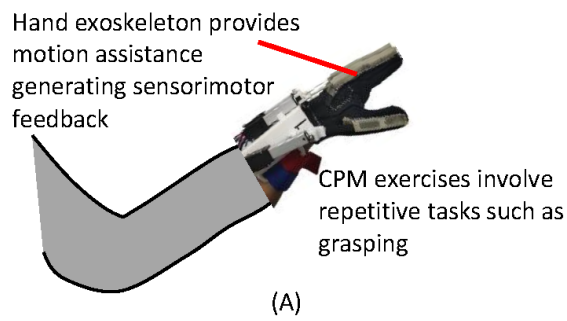


Figure 2.TIF

In review

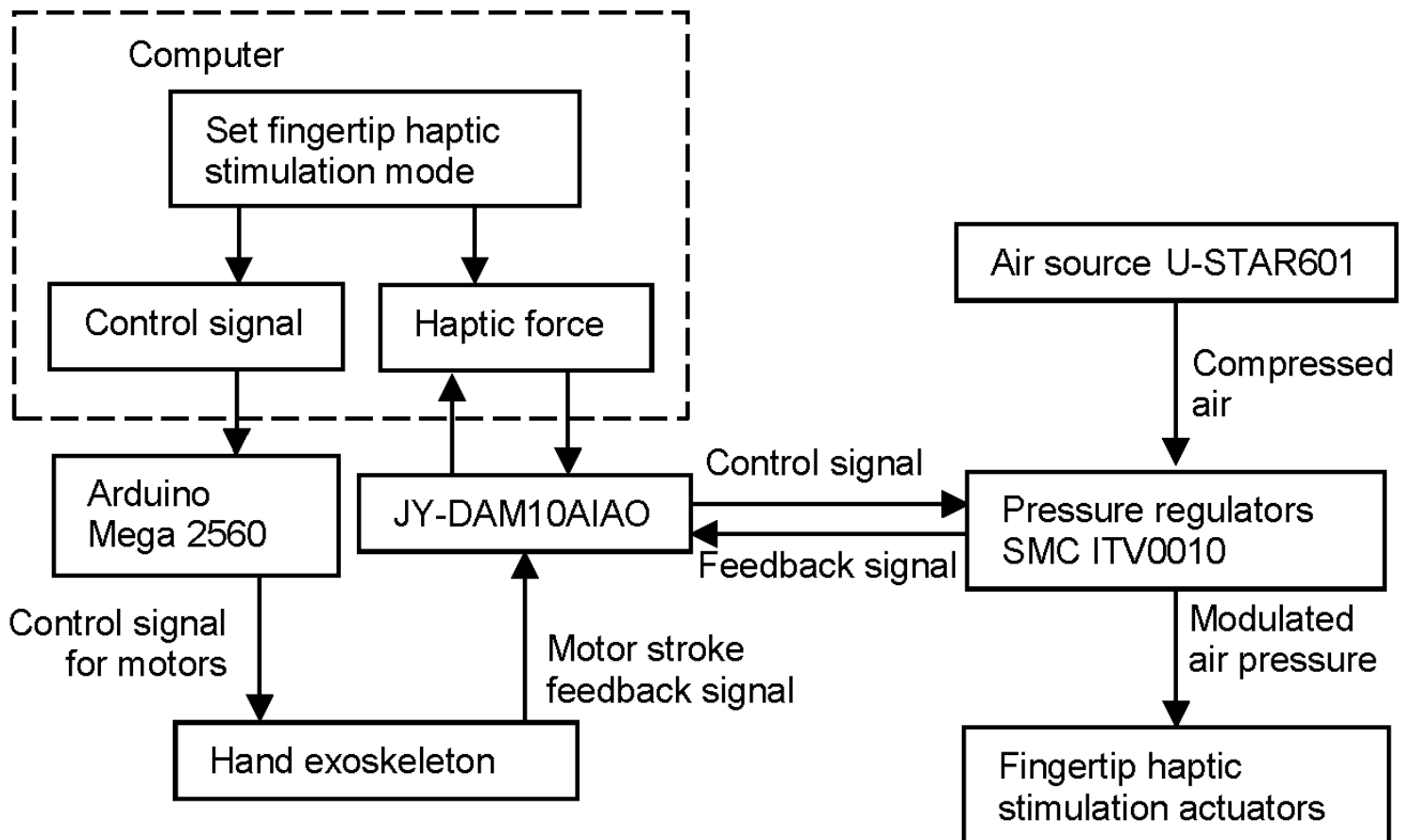


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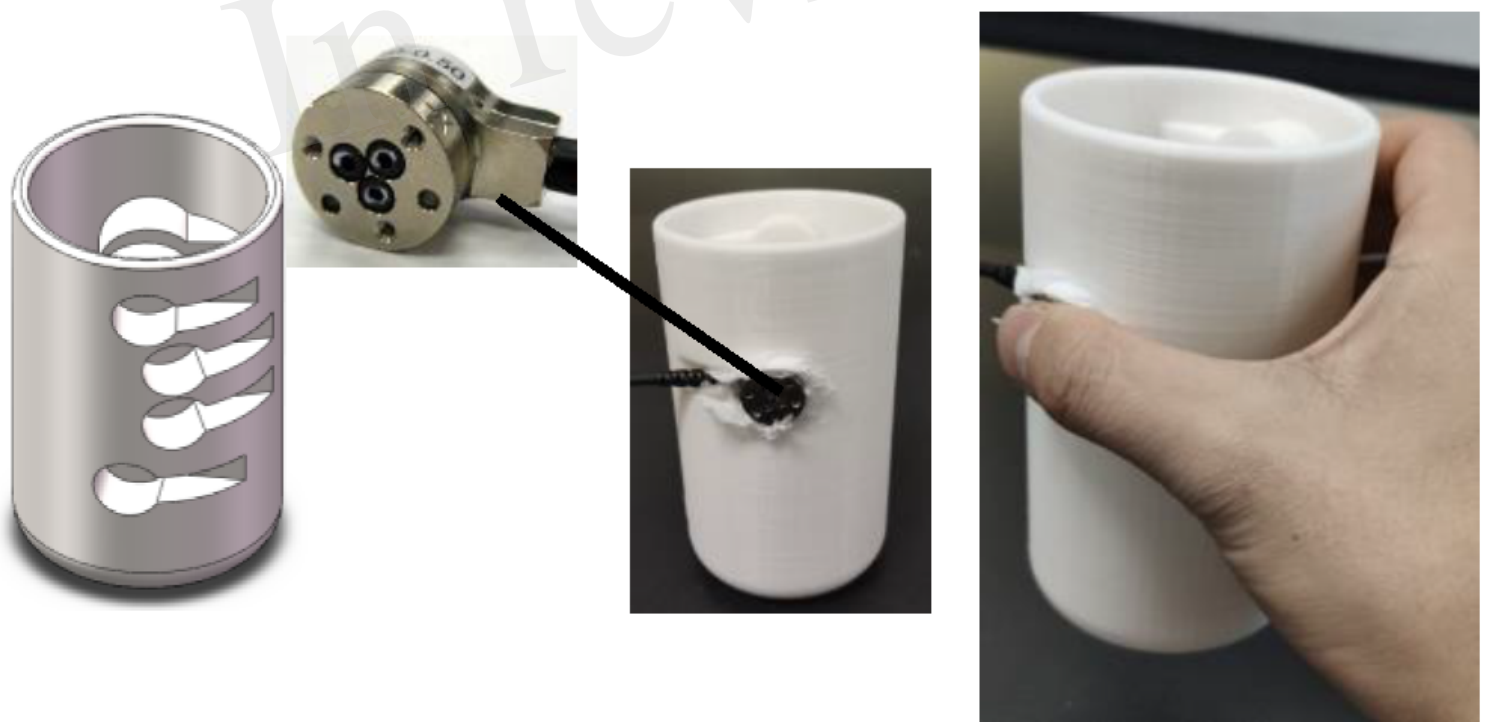


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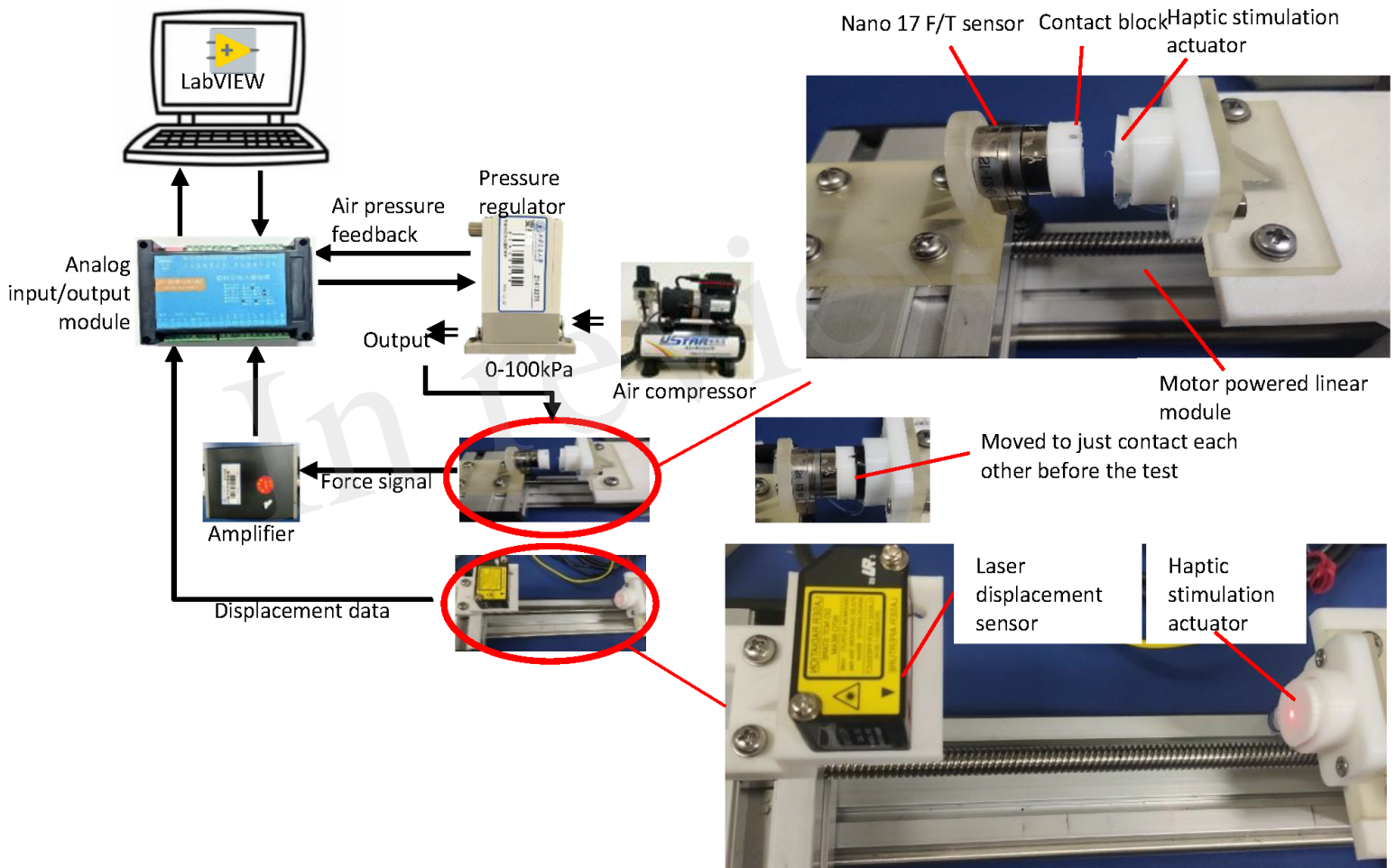


Figure 5.TIF



Nano 17 F/T sensor

Fingertip haptic
stimulation actuator

Actuator
fixture

Figure 6.TIF

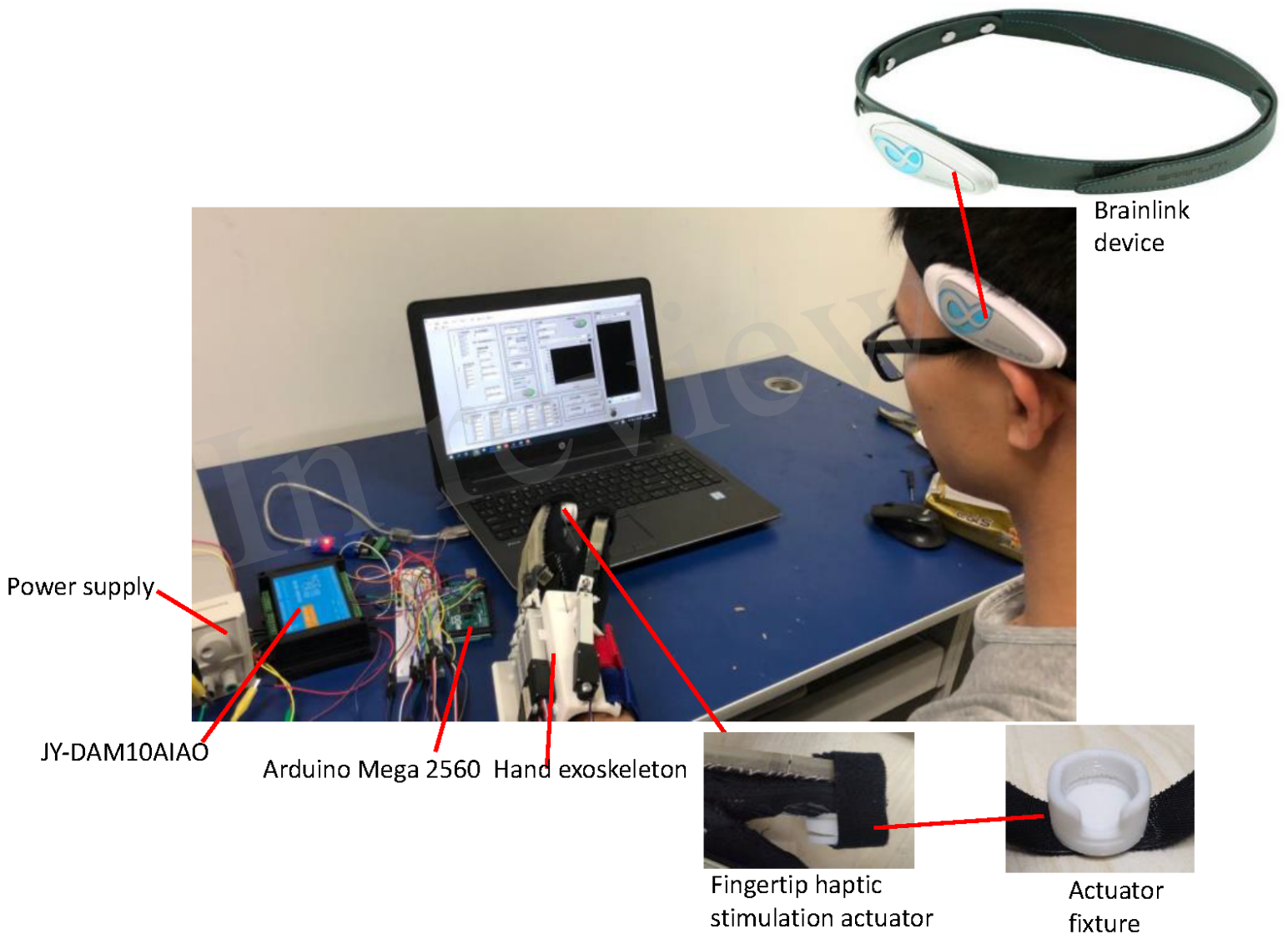


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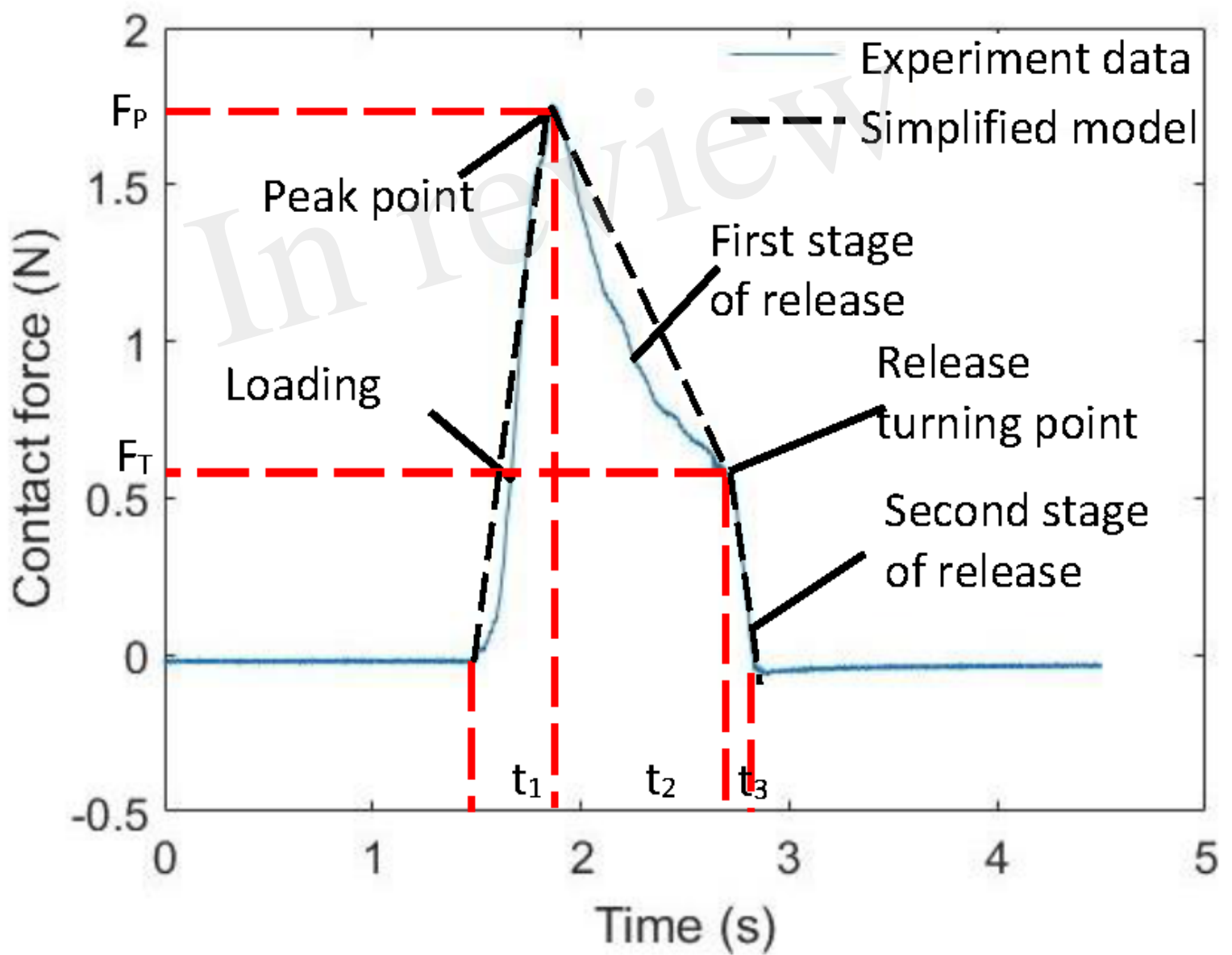
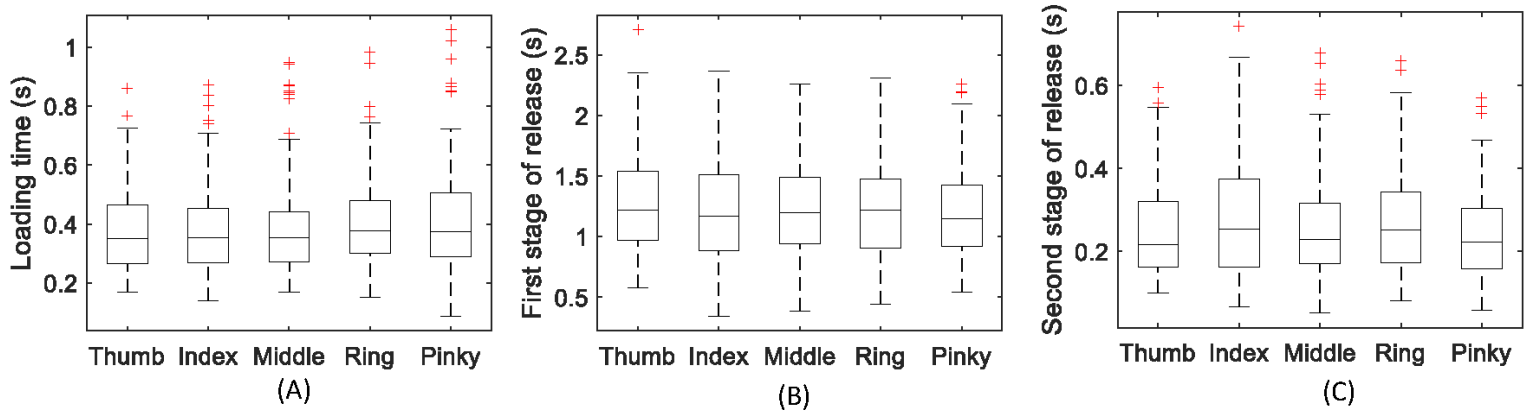
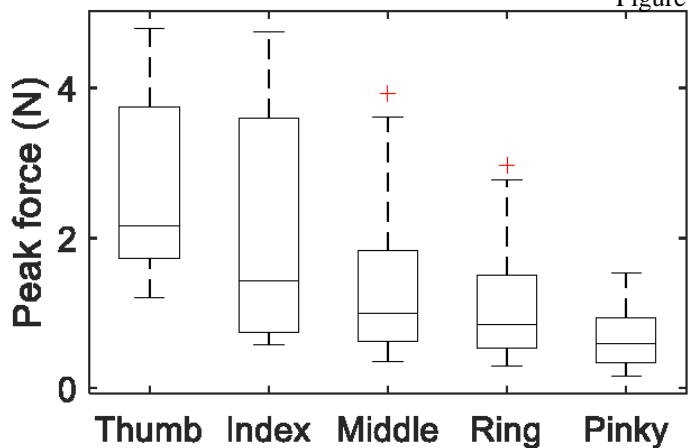


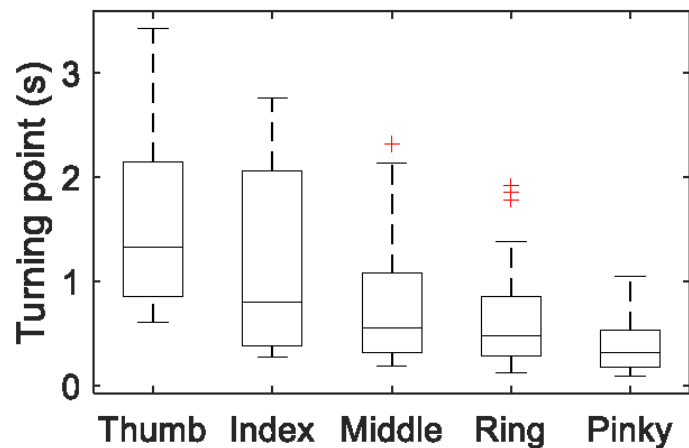
Figure 8.TIF

In review

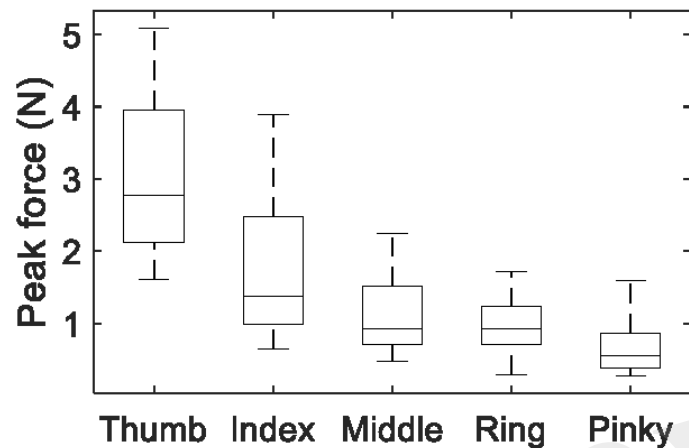




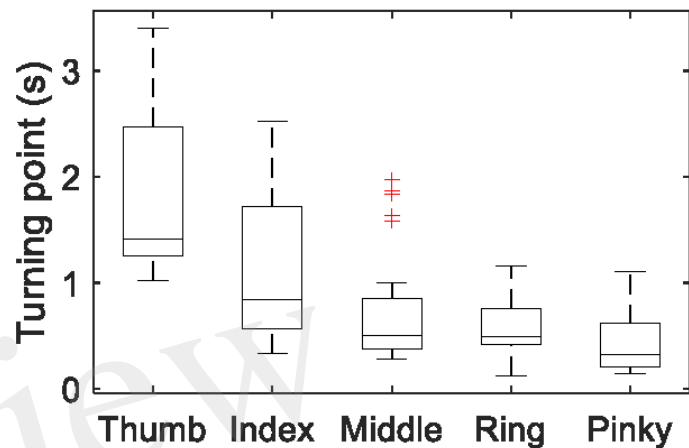
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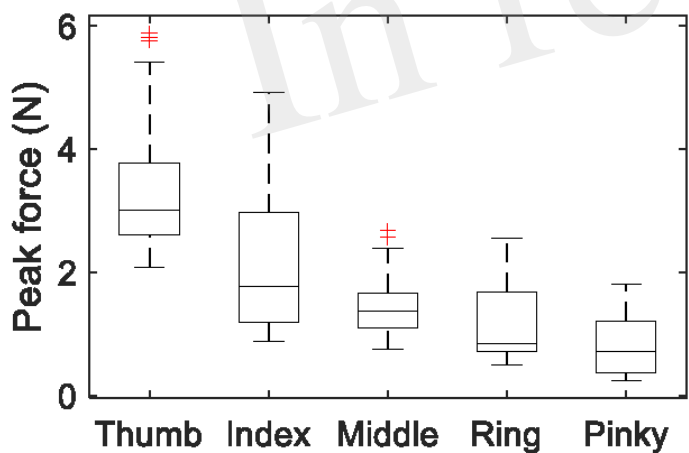
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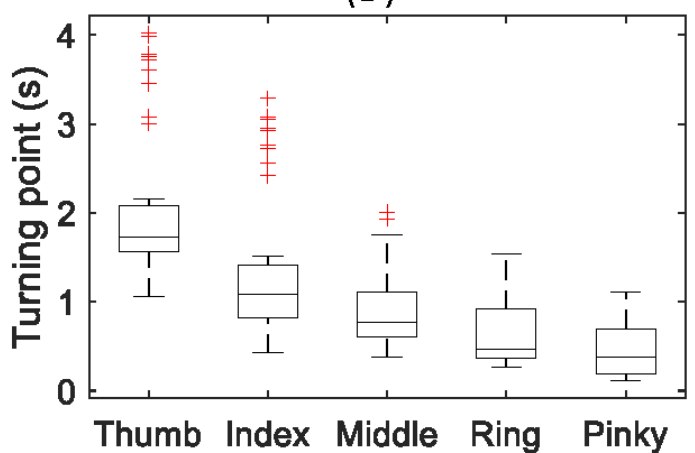
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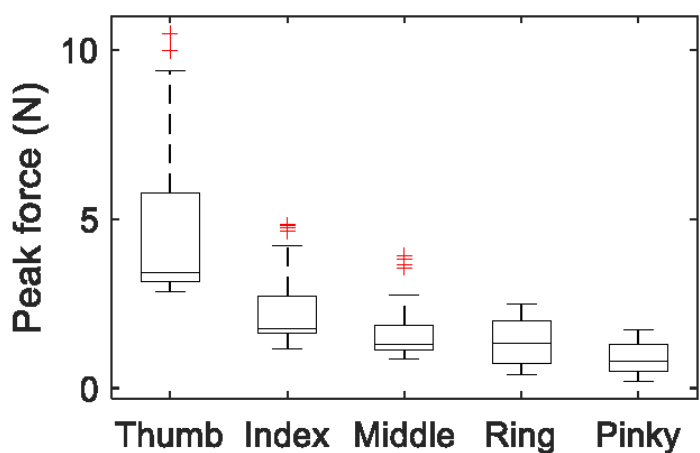
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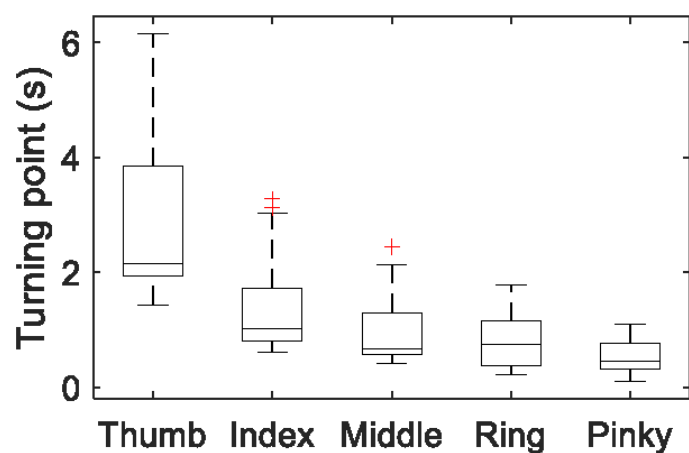
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(F)



(G)



(H)

Figure 10.TIF

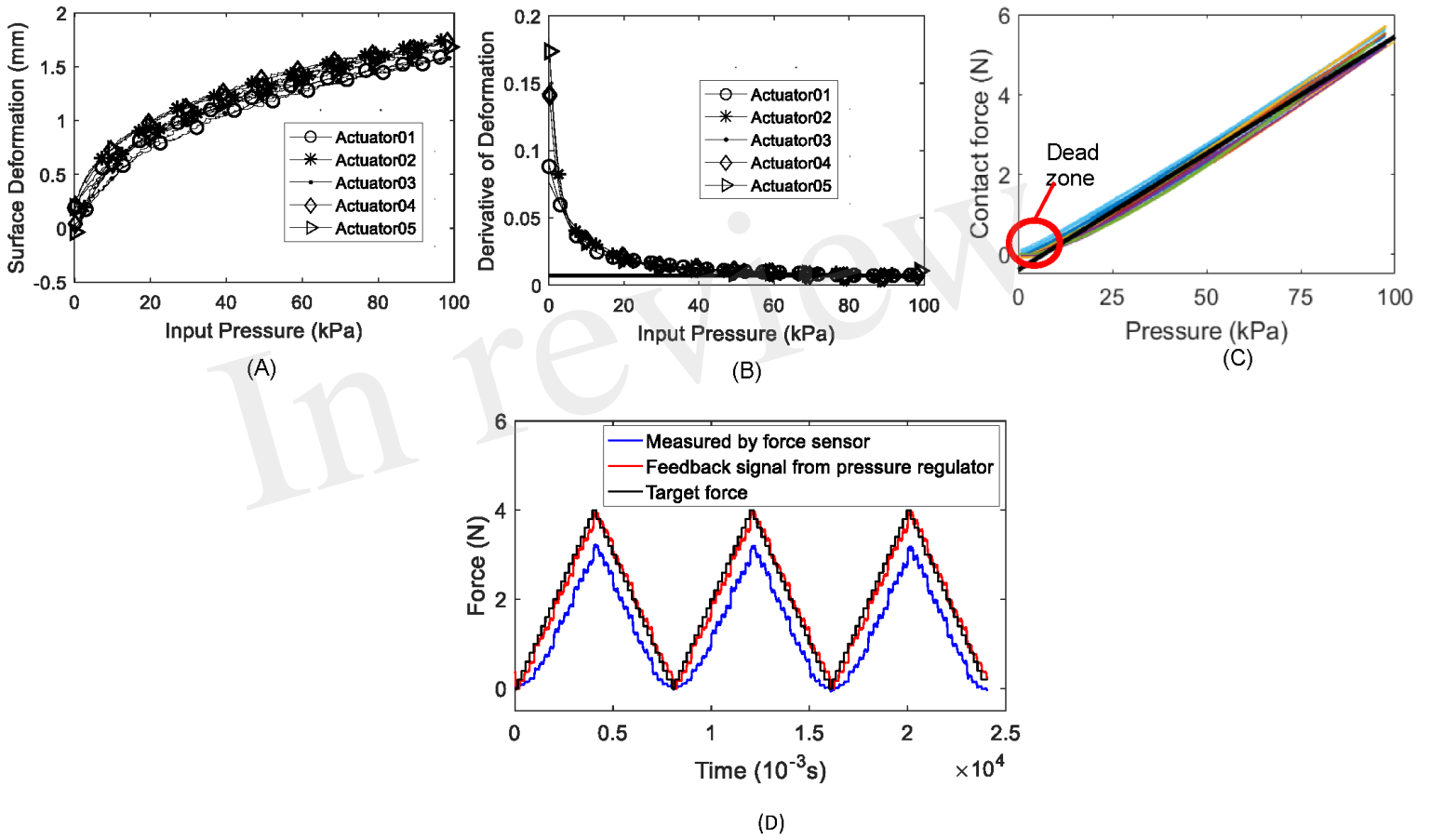


Figure 11.TIF

