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Cross Sections for Electron Collisions with H₂O

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ABSTRACT

Electron collision cross section data for the water molecule are compiled from the literature. Cross sections are collected and reviewed for total scattering, elastic scattering, momentum transfer, excitations of rotational and vibrational states, electronic excitation, dissociation, ionization, and dissociative attachment. For each of these processes, the recommended values of the cross sections are presented. The literature has been surveyed up to the end of 2019.

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Key words: attachment; dissociation; electron collisions; evaluation; ionization; total cross sections.

CONTENTS

1. Introduction	2
2. Cross Section Definitions	3
3. Total Scattering Cross Section	4
3.1. Comparison with positron scattering	6
4. Elastic Scattering Cross Section	6
5. Momentum-Transfer Cross Section	8
6. Rotational Excitation Cross Sections	11
7. Vibrational Excitation Cross Sections	11
8. Electronic-Excitation Cross Section	15
9. Dissociation into Neutrals	15
10. Ionization Cross Section	17
11. Dissociative Electron Attachment (DEA) Cross Section	19
12. Summary and Future Work	21
13. Supplementary Material	22

Acknowledgments	22
Data Availability	22
14. References	22

List of Tables

1. Recommended (in 10 ⁻¹⁶ cm ²) TCSs for electron scattering on H ₂ O	7
2. Recommended elastic DCSs for H ₂ O DCSs and uncertainties (δ) are in the units of 10 ⁻¹⁶ cm ² sr ⁻¹	8
3. Recommended elastic ICSs for H ₂ O in the units of 10 ⁻¹⁶ cm ² Energy in eV	9
4. Recommended EMT cross section and TMT or effective momentum-transfer cross section for the water molecule The TMT cross section coincides with the EMT cross section in the energy range above 6 eV	10

5.	Recommended cross sections for rotational excitation of H ₂ O from the ground rotational level 0 ₀₀ to excited rotational levels with $J' = 1, 2,$ and 3	12	9.	Comparison of cross sections for rotational excitation from different theoretical calculations	11
6.	Energies of the normal modes of H ₂ O in the ground electric state ⁹⁶	13	10.	Comparison of cross sections for rotational excitation $J_{K_a, K_c} \rightarrow J'_{K'_a, K'_c}$ from different theoretical calculations	13
7.	Recommended vibrational excitation cross sections for e + H ₂ O	14	11.	Comparison of cross sections available in the literature for the excitation of the bending mode (000) → (010)	13
8.	Excitation energies ¹⁰² of the lowest electronic states of H ₂ O	15	12.	Comparison of cross sections available in the literature for the excitation of the stretching modes (000) → (100) + (001)	13
9.	Electron impact electronic-excitation cross section for H ₂ O (A ¹ B ₁)	16	13.	Recommended cross sections for the excitation of the stretching modes	14
10.	Cross sections for the production of the OH neutral fragment following electron impact on the H ₂ O molecule	17	14.	Cross sections for the electron impact electronic excitation of the \tilde{A} ERROR!!	15<toc id=
11.	Cross sections for the production of the O(¹ S ₀) atom in the excited ¹ S state, from Ref	17	16.	Comparison of the total ionization ^{118,119} cross sections with measurements of the yield of two neutral species ^{113,117} OH and O(¹ S ₀)	16
12.	Recommended cross sections for the electron-impact ionization of H ₂ O: data are from the review by Lindsay and Mangan ²⁸ and are based on measurements performed by Straub <i>et al.</i>	20	17.	Gross total ionization cross section in H ₂ O	18
13.	Recommended dissociative attachment cross sections (CS) for the ion formation from H ₂ O	21	18.	Partial ionization cross section for H ₂ O ⁺ from the Landolt-Börnstein data collection, ²⁸ Märk and Egger, ¹²¹ Orient and Srivastava, ¹²² Rao <i>et al.</i>	18
			19.	Partial ionization cross section for OH ⁺ from the Landolt-Börnstein data collection, ²⁸ Orient and Srivastava, ¹²² Rao <i>et al.</i>	18
			20.	Partial ionization cross section for H ⁺ from the Landolt-Börnstein data collection, ²⁸ Orient and Srivastava, ¹²² Rao <i>et al.</i>	18
			21.	Partial ionization cross section for O ⁺² from the Landolt-Börnstein data collection, ²⁸ Montenegro <i>et al.</i>	19
			22.	Partial ionization cross section for H ₂ ⁺ from the Landolt-Börnstein data collection, ²⁸ Montenegro <i>et al.</i>	19
			23.	Partial ionization cross section for O ⁺ from the Landolt-Börnstein data collection, ²⁸ Orient and Srivastava, ¹²² Rao <i>et al.</i>	19
			24.	The recommended total and partial ionization cross sections for H ₂ O	19
			25.	Recommended cross sections for (a) H ⁻ , (b) O ⁻ , and (c) OH ⁻ ion formation from H ₂ O	22
			26.	Summary of the recommended cross section for electron collisions with H ₂ O	22

List of Figures

1.	Energies of the lowest dissociation channels for H ₂ O and H ₂ O ⁻	3
2.	TCSs for electron scattering on H ₂ O	4
3.	TCSs for electron scattering on H ₂ O in the high energy range (100–3000 eV) approximated by the Born-Bethe dependence [Eq	5
4.	TCSs for positron scattering on H ₂ O	6
5.	Recommended elastic DCSs for H ₂ O at four representative energies taken from Ref	9
6.	Recommended elastic ICSs for H ₂ O	10
7.	Momentum-transfer cross sections for the water molecule	10
8.	Recommended cross sections for rotational excitation of H ₂ O from the ground rotational level 0 ₀₀ to excited rotational levels with $J' = 1, 2,$ and 3	11

1. Introduction

Water is a unique substance. Compared to the isoelectronic molecules, HF, CH₄, and NH₃, water remains liquid at relatively high (i.e., “ambient”) temperatures. Thanks to its large dipole moment (1.84 D), water is an excellent solvent for many different classes of substances. Water vapor is transparent in the visible range owing to its relatively high threshold, compared, for example, to the NO₂ or SO₂ molecules, for electronic excitation (above 6.5 eV; see, for example, Ref. 1); however, it is opaque in much of the IR region extending to wavelengths of 10 μm or excitations of a few meV. An extensive set of empirical rotation–vibration levels for water has recently been provided by Furtenbacher *et al.*,^{2,3} and a comprehensive list of rotation–vibration transitions has been given by the recently published POKAZATEL line list.⁴ In turn, even the lowest electronically excited states are strongly dissociative, leading to the formation of H and OH radicals, important in biological and environmental chemistry. Due to its closely spaced but widely spread

rotational levels, the H₂O molecule is the main greenhouse gas; note that CO₂ only fills the windows that remain between the H₂O rotation–vibration bands. Moreover, due to feedback effects, increasing temperature increases the saturation vapor pressure, and H₂O plays an essential role in destabilizing the climate into glacial and interglacial periods.

Water in the liquid phase has been called the matrix of life.⁵ The thermodynamic, transport, and structural properties of bulk water are profoundly affected in incompletely understood ways by nanoscale confinement. The implications of this are effective energy storage, ice nucleation in clouds, desalination, and even replication of the influenza virus inside infected cells.⁶ The electrical interaction between H₂O and metal surfaces, which is still only partially understood, is the basis for all Volta’s cells.⁷ Finally, the presence of water in atmospheres of extra-solar planets⁸ is the *sine qua non* condition for their habitability.

Due to the importance of water, cross sections for electron scattering on H₂O have been reviewed in numerous papers using different

approaches—comparing partial cross sections and total cross sections (TCSs)^{9,10} and/or delivering self-consistent sets of cross sections to be used in plasma modeling¹¹ and/or reproducing electron transport coefficients in the H₂O gas phase^{12–14} and/or modeling electron slowing in liquid water.^{15–18} However, in some cases, significant differences appear in outcomes of those works; see our detailed comparison in Ref. 19. The differences in the “recommended” sets of cross sections result not only from alternative methodologies but also from different definitions of cross sections, as is discussed further in Sec. 2. Analytic parameterization of total and partial cross sections was performed by Shirai *et al.*²⁰ Finally, we note that the comprehensive review made by two of us (Itikawa and Mason)²¹ forms the starting point for the current study.

Cross sections for electron scattering were measured in early pioneering works, for example, the 1895 high energy beam study by Lenard²² (which looked at NH₃ and CH₄), but they were studied in a low energy beam experiment by Brüche²³ at 1–50 eV and at very low (below 0.1 eV) energies in a swarm experiment by Pack, Voshall, and Phelps²⁴ and in a cyclotron-resonance experiment by Tice and Kievelson.²⁵ Already, these early experiments indicated a rapid rise in the TCS in the zero energy limit. In spite of numerous past experiments and comparisons, cross sections for water vapor are still subject to intense research^{26,27} and the low-energy cross sections, important for the biochemistry, remain ambiguous.

The scope of this work is to give recommendations for electron collision cross sections with H₂O. For a number of important processes, we were unable to identify reliable cross sections; recommendations for future work are presented in the Conclusion. As seen from Fig. 1, several dissociation processes of H₂O are open below 10 eV collision energy, therefore influencing the low-energy biochemistry.

2. Cross Section Definitions

The very large rotational excitation cross sections exhibited by electron collisions with water combined with the very strong forward peak of the associated differential cross sections (DCSs) introduce some ambiguity into the definition of the cross section for a number of processes.

The TCS is unambiguously defined as the sum over all angles, that is, 4π steradians, and over all processes for the scattering of electrons. TCSs are often used as benchmarks since, in principle, such cross sections can be measured using transmission experiments to high accuracy. However, even transmission experiments struggle to distinguish electrons that collide but continue in the forward direction, with little to distinguish them from electrons in the original beam that pass straight through the sample without colliding. Therefore, TCS measurements can be affected by the energy and angular resolution of the apparatus used; see Ref. 28. Accordingly, it is essential that the characteristics of the apparatus are described.

Elastic scattering conserves the kinetic energy of the colliding particles. This means that quantum numbers that determine the energy are unchanged, but other quantum numbers corresponding to degenerate states (e.g., helicity or spin flip) may change. For many measurements, not all states in the system are resolved; in particular, it is usual for measurements on water to not resolve the rotational state, which means that so-called elastic measurements actually include a contribution from rotationally inelastic collisions. In this case, effective elastic cross sections are determined, which we will below refer to as rotationally unresolved elastic cross sections. The strictly elastic cross section will be referred to as the rotationally elastic cross section.

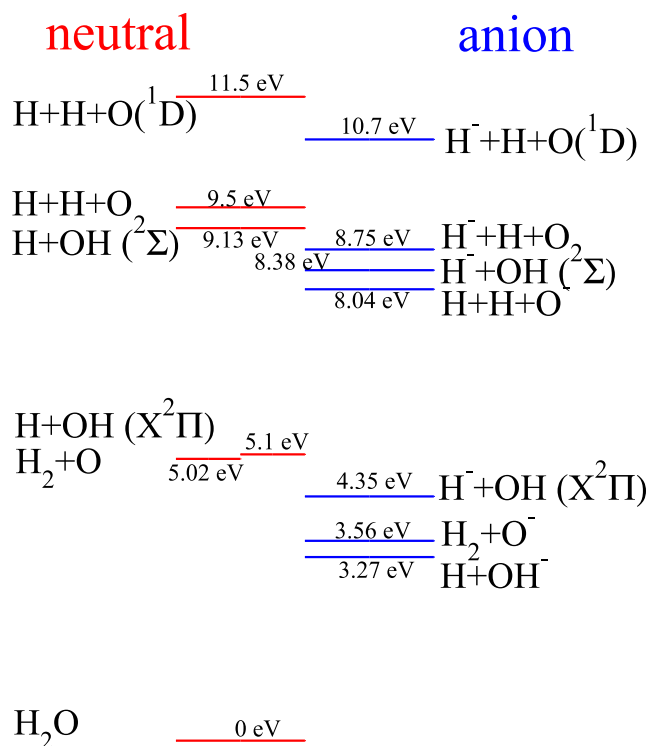


FIG. 1. Energies of the lowest dissociation channels for H₂O and H₂O⁻.

The rotational distribution of the gas sample is temperature dependent, and for water at room temperature, the rotational probability distribution peaks for rotational states with $J = 7$. Given the large rotational excitation cross sections, this raises the possibility that rotationally unresolved cross sections may in practice depend on the temperature of the target. In this case, the temperature or state distribution of the target may influence the resulting cross section. However, modeling performed as part of this work looked at the effect of target temperatures up to 1000 K. The models used the recommended rotational excitation cross section discussed below and found that, in practice, the rotationally unresolved elastic cross sections showed little variation as a function of temperature, so this effect is ignored below.

Elastic cross sections are usually measured at specific energies and angles. These data are used to determine the integral elastic cross section by integrating over the angular range, which may involve extrapolation or use of theoretical models to extend the range of measurements. The sharp forward peak shown in water cross sections causes a number of issues, which are discussed extensively below. Measurements of the elastic DCS therefore provide a more stringent test of theory.

The elastic momentum-transfer (EMT) cross section is the $1 - \cos(\theta)$ weighted, angle-integrated DCS for elastic scattering, where θ is the scattering angle. For water, the momentum-transfer cross section differs significantly from the elastic integral cross section (ICS) obtained by direct integration of the DCS over all angles. However, like the elastic cross section, momentum-transfer cross section is often determined rotationally unresolved. Below, we consider both rotationally unresolved and rotational EMT cross sections.

Other cross sections considered, in particular, excitation cross sections, ionization cross sections, dissociation cross sections, and attachment cross sections, probably do not depend strongly on the details of the rotational distribution and so are only considered rotationally unresolved below. The vibrational excitation cross section may well depend on the rotational state concerned, but at present, there is no information available on this for water, so these too are only considered rotationally unresolved below.

Ambiguities may rise also in experiments on partial cross sections. Resolving the fundamental stretching modes (100) and (001) is not possible by presently used techniques and requires theory. Furthermore, determining absolute values in the experiment requires normalization to the elastic peak and this is significantly broadened by rotational excitations.

Determining the electronic-excitation cross sections (in energy-loss experiments) requires de-convolution of the overlapping bands that are so dense in the vibrational and rotational progression that no separate levels are distinguishable. The electronic-excitation cross section in the theory could be compared with the cross sections for optical emission. In practice, these two measurements are not fully compatible as optical emission can occur in steps (i.e., in a cascade process). Furthermore, in H₂O, it turns out that the electronic excitation leads mainly to the dissociation of the molecule, so the optical emission from different neutral (and ionized) fragments must be collected. Experiments on dissociation into neutrals require special techniques (such as laser-induced fluorescence or formation of XeO* excimers), and again, normalization is required.

In ionization processes, one has to define the gross total ionization cross section, which is the signal of the overall ion charge collected (with doubly charged ions counted twice in the ion-tube experiment and/or two fragment ions coming from the same ionization event in coincidence experiments) and the counting ionization cross section, which gives correct information on the number of ionizing collisions. In H₂O, the small difference in mass between O⁺ and OH⁺ makes it necessary to perform measurement on D₂O. The same difficulty shows up in experiments on the formation of negative ions [i.e., in dissociative electron attachment (DEA)]. However, in contrast with the positive ionization, the dynamics of the DEA in D₂O differs much from that in H₂O, so data cannot be transferred between isotopologues. Furthermore, the amplitude of signals from O⁻ and OH⁻ ions differ by a few orders of magnitude, so experiments are tedious.

Overall, the intrinsic molecular properties of H₂O lead to significant experimental difficulties in determining electron-scattering cross sections and, in turn, in recommending them.

3. Total Scattering Cross Section

The magnitude of the TCS in water is a question of essential importance. For example, radiation damage in DNA may come not from high energy electrons but via the dissociative attachment of low-energy electrons.²⁹ In addition, water due to close spacing (and low thresholds) for rotational excitations facilitates the efficient slowing of electrons to thermal energies. Hence, the magnitude of the very low-energy cross sections in water is of vital importance for models of radiation damage in living organisms. Very high values of TCS and momentum-transfer cross sections ($10\,000 \times 10^{-16} \text{ cm}^2$) in the limit of zero energy were already derived from the swarm experiments

performed by Pack, Voshall, and Phelps²⁴ in the 1960s but have not been confirmed by beam measurements. This led to a serious discrepancy in the recommended values: Hayashi³⁰ (and the beam data of Sueoka,^{31,32} corrected by Kimura *et al.*³³ for the angular resolution error) reported a constant rise in the TCS in the limit of zero energy (see Fig. 2), while Karwasz *et al.*,³⁴ following beam experiments,³⁵ recommended lower values, with a maximum of TCS at about 10 eV, similar to that in NH₃ and CH₄ (see Ref. 9).

Figure 2 presents the available beam measurement of the TCS. Data were obtained in different experimental setups. Szymtkowski and collaborators³⁵ used a transmission configuration with a 127°, cylindrical energy monochromator (80 meV energy resolution) and 30 mm-long scattering cell (1 ms angular resolution of the detector). Szymtkowski reported TCS in H₂O twice: from the setup without a retarding field analyzer³⁵ and with the analyzer.³⁹ For H₂O, the two results coincide; this differs from similar measurements in NO₂ (see Ref. 45), for which the use of the retarding field analyzer produced higher (by a few percent) TCS in the energy range of 10–100 eV. Relying on this comparison, one could deduce that the electronic excitation (that can be discriminated with the analyzer) is lower in H₂O than N₂O.

Sueoka and collaborators^{31,32} measured the TCS for electron (and positron) scattering in the energy range of 1–400 eV using a longitudinal guiding magnetic field, rather a short (67 mm) scattering cell and wide (8 mm in diameter) entrance and exit apertures. In electrostatically guided beams, the angular resolution is defined as the solid angle of the exit aperture as seen from the center of the scattering cell; the determination of the angular resolution error in magnetically guided beams is not straightforward, requiring the calculation of the cyclotronic radius of electrons (positrons).⁴⁶ Therefore, Sueoka and Mori³¹ checked that raising the magnetic field in their apparatus from 0.3 to 0.6 mT lowers the electron-scattering TCS in H₂O at 1.2 eV by

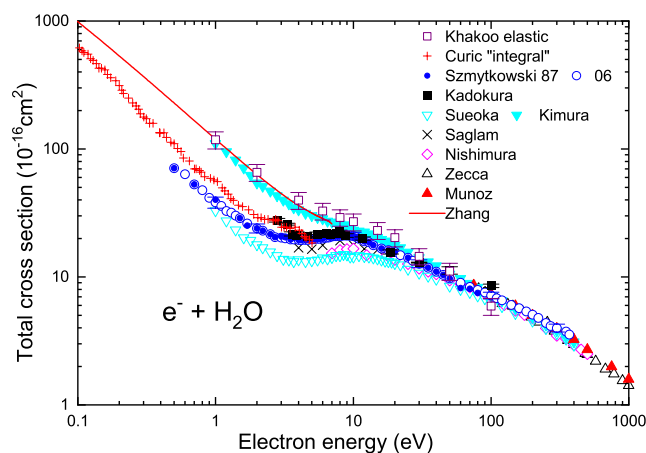


FIG. 2. TCSs for electron scattering on H₂O. Crosses, "integral CS" from Aarhus laboratory³⁶ digitized from Ref. 37, open squares,³⁸ closed squares,²⁶ points,³⁵ circles,³⁹ open inverted triangles,³¹ closed inverted triangles, Sueoka and Mori³¹ data corrected for the angular resolution error, tilted crosses,^{40,41} diamonds,⁴² open triangles,⁴³ closed triangles,⁴⁴ and line, R-matrix calculation.³⁷ Recommended TCS are based on the data of Zhang *et al.*³⁷ up to 7 eV, those of Szymtkowski and Mozejko³⁹ up to 100 eV, and those of Munoz *et al.*⁴⁴ above 100 eV.

some 20%. The original measurements of Sueoka and collaborators,^{31,32} open inverted triangles in Fig. 2, have been corrected³³ a posteriori for the forward-angle scattering using the Born approximation,⁴⁷ closed inverted triangles in Fig. 2. While at 100 eV the correction is merely +10%, it amounts to a factor of 3 in the 1–5 eV energy range.

Sağlam and Aktekin^{40,41} used a linear beam (“that of Nickel *et al.*⁴⁸”) with a rather long (141 mm) scattering cell and small (1 mm in diameter) apertures. However, due to the lack of a monochromator, the energy resolution was 0.35 eV, hence not allowing discrimination of even vibrational excitation. In fact, their results⁴⁰ are slightly (4% at 25 eV) lower than those of Szymtkowski;³⁵ the difference⁴¹ amounts to 15% at 4 eV. Nishimura and Yano⁴² used a similar linear geometry but a short (2.5 mm) scattering cell, which straightforwardly leads to large angular resolution errors: their TCS at 10 eV is 20% lower than that of Szymtkowski.³⁵ We discuss these earlier beam results to indicate the role of the angular resolution in the quality of TCS measurements in polar molecules. In fact, for CH₄, which is isoelectronic with H₂O, the agreement between the TCSs measured using the various apparatuses compared here was within 5% at 10 eV; see Ref. 49. The angular resolution (and the energy-loss discrimination) influences the TCS in H₂O in two limits: at very low energies (below 1 eV), where scattering is extremely forward-centered (see the R-matrix calculation augmented with Born corrections by Tennyson and collaborators),^{37,50} and at high energies (above 1000 eV), where elastic scattering is forward-centered and also the electronic-excitation cross sections, for dipole-allowed states, are forward-centered. Therefore, also the high energy TCS may be underestimated if measured without using an energy-loss analyzer. This is the case of the measurements performed by Zecca *et al.*⁴³ their apparatus, even though it featured a good geometrical angular resolution of 0.34 ms, lacked means to discriminate inelastically scattered electrons with energy loss less than 15% of their kinetic energy. As stated by Zecca *et al.*,⁵¹ the error induced by these experimental limitations may amount to some 25%–30% in the limit of 3 keV projectile energy. Figure 3 shows the more recent TCS measured by Garcia and collaborators⁴⁴ in the high energy limit: they used an energy selector at the exit of the scattering cell, and the geometrical angular resolution was 0.02 ms. The figure uses a so-called Bethe–Born plot: at high energies, the TCS should follow the dependence,

$$\sigma(E) = A/E + B \log(E)/E, \quad (1)$$

where the energy is expressed in Rydbergs, $R = 13.6$ eV, and the cross section is expressed in atomic units, $a_0^2 = 0.28 \times 10^{-16}$ cm². As seen from the figure, the TCS of Munoz *et al.*⁴⁴ can be fitted by a straight-line with $A = 12 \pm 5$, $B = 219 \pm 10$. At 350 eV, the four experiments^{39,42–44} agree within 15%, and at 3000 eV, the TCS measured without energy-loss discrimination⁴³ is lower by 35%. Both parameters A and B in water vapor are lower than those in methane:⁴⁹ for H₂O, the presently recommended TCSs are 20.9×10^{-16} cm² at 10 eV and 1.58×10^{-16} cm² at 1000 eV, while for CH₄, they are 25.7×10^{-16} cm² and 1.82×10^{-16} cm², respectively.

In the low energy limit, the discrepancy between direct experimental determinations^{31,35,39,41,42} and TCS corrected for forward scattering³³ is serious. R-matrix calculations^{37,50} with Born closure agree well with the TCS of Sueoka and Mori³¹ as corrected by Kimura *et al.*;³³ see Fig. 2. Similar corrections would be possible⁴⁶ also for other experiments, in particular for that from Gdansk

laboratory,^{35,39,46} but details of the measurement procedure used must be known.

The physical reason for the difficulty in very low-energy measurements in H₂O comes from a big contribution of the rotational excitation at energies below 5 eV. According to the R-matrix calculation with Born closure,⁵⁰ at 3 eV, where the integral elastic cross section shows the minimum, the ICS for the rotational 0 → 1 excitation exceeds the rotationally elastic cross section by a factor of 30 and at 1 eV by a factor of nearly 10. Rotational excitation is strongly forward-centered,⁵⁰ at 0.25 eV, the DCS for the 0 → 1 excitation rises from about 100 Å²/sr at 20° to more than 1000 Å²/sr at 5°. This makes the experimental TCS very sensitive to the angular resolution.

Previous recommended values were those given by Karwasz *et al.*³⁴ in 2003 and by Itikawa and Mason²¹ in 2005. Since then, there have been measurements performed by Muñoz *et al.*⁴⁴ at high energies, very low (17–250 meV) measurements from Aarhus synchrotron laboratory,³⁶ and small-angle experiments performed by Kadokura *et al.*²⁶ [University College London (UCL)] down to 2.8 eV. The first measurement is decisive for the choice of recommended TCS that substitutes earlier,^{21,34} and other two put into doubts the quality of earlier measurements³⁵ at low energies. Ćurik *et al.*³⁶ used a synchrotron-radiation source for electrons (obtaining 1.6 meV energy resolution) and a 30 mm-long scattering cell with a 3 mm exit aperture. They corrected results for the forward scattering error, reporting “ICSs.” In the independent measurement, with the longitudinal magnetic field, they measured the signal of electrons scattered into 90° angles (obtaining the “backward cross section”). We present their ICSs in Fig. 2. They lie higher than Gdansk TCS³⁹ but lower than corrected³³ Sueoka’s TCS.

Kadokura *et al.*²⁶ used a beam with electrostatic guiding exclusively, a 53 mm-long scattering cell with 1 mm diameter apertures. To improve the angular resolution, a position-sensitive detector was positioned 8 cm from the scattering cell. This allowed them

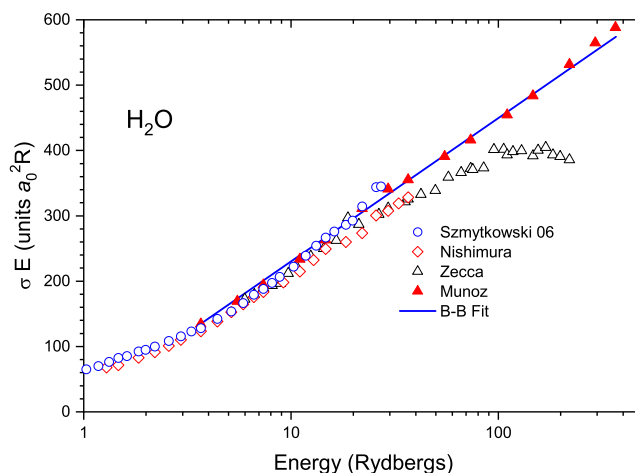


FIG. 3. TCSs for electron scattering on H₂O in the high energy range (100–3000 eV) approximated by the Born–Bethe dependence [Eq. (1)]: circles,³⁹ diamonds,⁴² open triangles,⁴³ and closed triangles.⁴⁴ The data of Zecca *et al.* in the high energy limit suffered from the lack of energy-loss discriminator and so are underestimated.

to study directly the dependence of the TCS on the angular resolution. The reported TCSs were measured with 0.5 ms angular acceptance: at 10–100 eV, they agree with those from Gdańsk laboratory³⁹ within combined error bars, but below 4 eV, they are about 30% higher; they merge well with Aarhus ICSs. Laricchia and collaborators used the same machine²⁶ for positron scattering⁵² TCSs: positron sources are much more stable than thermionic electron sources; see Ref. 53.

3.1. Comparison with positron scattering

In order to understand the experimental reasons for the discrepancies in the TCS from different laboratories, it is useful to compare TCSs for positron scattering. While at intermediate energies (5–10 eV) the TCS for positron scattering is, tendentially, lower than that for electrons (due to a weaker scattering potential⁵³), in the very low-energy range scattering on polar molecules, the TCS for the two projectiles should coincide (the point-charge interaction with a permanent dipole does not distinguish the sign of the projectile).

In Fig. 4, we compare the early and recent measurements of positron scattering from four laboratories: Yamaguchi University³¹ with a guiding magnetic field, measurements performed by Zecca *et al.*⁵⁴ using the Trento apparatus⁵⁵ (using a 9 G guiding magnetic field and 100 mm-long scattering cell), measurements performed by Buckman and collaborators^{56,57} at Australian National University (ANU) with an apparatus using 0.53 T field, and experiments performed by Laricchia and collaborators from UCL on two distinct machines—with a magnetic field⁵⁸ (up to 0.22 T) and exclusively with electrostatic focusing.⁵²

Among recent experiments, the TCS obtained by Zecca *et al.*⁵⁴ coincides below 2 eV, surprisingly, with the electron-scattering TCS obtained by Szymkowski.³⁵ At 10 eV, the electron-scattering TCS is, as can be expected, higher than the positron one,⁵⁴ see Fig. 3. The

measurements from ANU,⁵⁶ if uncorrected for the angular resolution, coincide with those performed by Zecca *et al.*⁵⁴ A correction for the angular resolution error using R-matrix calculations with Born closure done by Zhang *et al.*³⁷ raises the positron TCS in the very low (0.5–2 eV) energy range by a factor of 3; see Fig. 4. In subsequent work, Tattersall *et al.*,⁵⁷ using the same apparatus but by changing the intensity of the magnetic field in the retarding field analyzer, were able to distinguish the quasi-elastic (i.e., elastic + rotational + vibrational) cross section from the inelastic one (ionization + electronic excitation + positronium formation). They corrected the quasi-elastic cross section for forward scattering using again the R-matrix method.³⁷ We show their results in Fig. 4, separately for the quasi-elastic uncorrected and corrected and total (quasi-elastic corrected plus inelastic) cross sections. This comparison confirms that the angular resolution error causes a strong (by a factor of 3 at 5 eV) underestimation of the TCS. Furthermore, while at 20 eV the corrected TCS of Tattersall *et al.*⁵⁷ coincides with that of Zecca *et al.*,⁵⁴ at 5 eV, it is higher by a factor of 2; see Fig. 4.

Sueoka *et al.*^{31,32} in their 1986–1987 measurements used a weak (0.3–0.9 mT) magnetic field but large apertures (9 mm diameter) in the scattering cell, compared with more recent measurements (1.5 and 5 mm in Trento and ANU, respectively). Therefore, Sueoka's original data suffered from high angular error: uncorrected³² data at 1 eV are a factor of 2 lower than those by Zecca *et al.*⁵⁴ and corrected³³ data are higher by a similar factor, coinciding within error bars with the corrected measurements from ANU.⁵⁶

Measurements from UCL with the magnetic field⁵⁸ lie lower than those by Zecca *et al.*⁵⁴ In turn, UCL measurements with the electrostatic beam agree, within error bars, with those of Sueoka *et al.*³¹ and corrected TCS of Makochekanwa *et al.*⁵⁶ but lie higher than the cross sections of Tattersall *et al.*⁵⁷

R-matrix calculation^{37,60} (elastic + rotational) in the low energy range lie in between the two sets: corrected^{33,56,57} and uncorrected.^{31,54,56,57} In Fig. 4, we show also the integral elastic cross sections for positron scattering using the Schwinger multichannel (SMC) model of Arretche *et al.*⁵⁹ The (pure) elastic cross section below 5 eV coincides with the results of Zecca *et al.*⁵⁴ and uncorrected results of Makochekanwa *et al.*⁵⁶ This would indicate that experiments (with both positrons and electrons) easily miss the rotational excitation part of the TCS.

In conclusion, even if several comparisons indicate that the recommended TCS in the low energy range should be the theoretical R-matrix with the Born closure result, experimental evidence is still weak: in spite of the importance of H₂O for modeling live tissues, only the UCL team performed experiments intended to cross check earlier measurements. TCS in polar molecules requires further special experimental attention.^{61,62} On summarizing, our recommended TCSs are given in Table 1. They are based on the (elastic + rotational excitation) R-matrix calculations performed by Tennyson and collaborators up to 7 eV, measurements of Szymkowski and Mozejko³⁹ up to 100 eV, and experiment of Munoz *et al.* above 100 eV (we give values resulting from the Bethe–Born fit, as described above). The estimated error bars are asymmetric: –15% to +5% at 0.1–7 eV (our recommended values are rather an upper limit than lower in this energy range), –5% to +10% at 8–100 eV, and ±7% at 150–1000 eV.

4. Elastic Scattering Cross Section

Even though there are many experimental and theoretical reports on elastic DCSs and ICSs of electron–water vapor interactions,

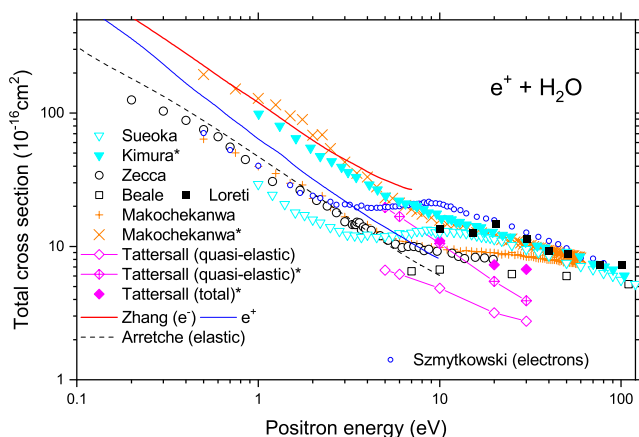


FIG. 4. TCSs for positron scattering on H₂O. Data corrected for the angular resolution are signed with asterisks. Inverted triangles—Yamaguchi University,^{31,33} crosses and tilted crosses—TCS from ANU⁵⁶ and UCL measurements on two different machines,^{52,58} diamonds—quasi-elastic (i.e., elastic + rotational + vibrational) and inelastic (i.e., electronic excitation, positronium formation, and ionization) from ANU. Zecca *et al.*⁵⁴ measurements on the Trento apparatus; theory—R-matrix with Born closure³⁷ (elastic + rotational) and Schwinger multichannel.⁵⁹

TABLE 1. Recommended (in 10^{-16} cm^2) TCSs for electron scattering on H_2O . At energies of 0.1–7 eV, recommended values are based on R-matrix calculation,^{37,60} at 7–50 eV, they are based on experiments performed by Szymtkowski and collaborators^{35,39} and Kadokura *et al.*,²⁶ and at 50–1000 eV, they are based on measurements performed by Munoz *et al.*⁴⁴ Uncertainty ranges are asymmetric: –15% to +5% at 0.1–7 eV, –5% to +10% at 8–100 eV, and $\pm 7\%$ at 150–1000 eV

Electron energy (eV)	TCS (10^{-16} cm^2)	Electron energy (eV)	TCS (10^{-16} cm^2)
0.1	987.8	10	20.9
0.2	533.1	12	19.5
0.3	368.1	15	17.2
0.4	282.1	17	16.5
0.5	229.0	20	15.7
0.6	193.0	25	14.1
0.7	166.9	30	12.9
0.8	147.2	35	12.2
0.9	131.7	40	11.5
1.0	119.3	45	10.9
1.2	100.6	50	10.2
1.5	81.8	75	8.60
2.0	63.1	100	7.39
3.0	43.6	150	5.94
4.0	36.2	200	5.01
5.0	31.5	300	3.95
6.0	28.6	400	3.25
6.99	25.5	500	2.70
8.0	22.8	750	1.99
9.0	21.2	1000	1.58

there have been disagreements and controversies until recently. Among them, a few rather old results relevant to this report are the following: Danjo and Nishimura⁶³ measured the DCS in the energy range from 4 to 200 eV and in the angular range from 10° to 120° ; Shyn and Cho⁶⁴ used a modulated crossed beam method to measure the DCS for the energy and angular ranges from 2.2 to 20 eV and from 15° to 150° , respectively; and later, Shyn and Grafe⁶⁵ reported further cross section measurements in the energy range from 30 to 200 eV and the angular range from 12° to 156° . They made considerable efforts with the design and layout of their electron spectrometer to reduce the mechanical constraints that the spectrometer placed on the accessible angular range. In doing so, they could cover higher scattering angles, up to 156° , and they reported strong backward scattering; Johnstone and Newell⁶⁶ reported the elastic DCS in the energy range of 6–50 eV for scattering angles from 10° to 120° . More recently, Cho *et al.*⁶⁷ reported DCSs for the energy range of 4–50 eV measured at the angular range from 10° up to 180° with the use of a magnetic-angle-changing device. They estimated the ICS from these DCS results without applying Born-dipole extrapolation to extrapolate at forward angles. Later, the Khakoo group (Silva *et al.*⁶⁸ and Khakoo *et al.*³⁸) reported experimental and theoretical DCSs for the energies from 1 to 100 eV and for scattering angles ranging from 5° to 130° and integrated over angles to obtain ICSs using the Born-dipole extrapolation. Their DCS and ICS are generally higher than those of Cho *et al.*⁶⁷ Very recently, Matsui *et al.*⁶⁹ reported the DCS and ICS for electron scattering in the incident energy range of 2–100 eV and in the scattering angle range of 10° – 130° , as a part of the works to measure electronic-excitation cross sections. Their DCS generally agrees better

with that of Cho *et al.*⁶⁷ than that of Khakoo *et al.*³⁸ Theoretical calculations include, among others, those of Rescigno and Lengsfield,⁷⁰ Okamoto *et al.*,⁴⁷ Gianturco *et al.*,⁷¹ and Varella *et al.*⁷² More recently, Tennyson and his colleagues (Gorfinkel *et al.*,⁷³ Faure *et al.*,⁶⁰ and Zhang *et al.*³⁷) obtained elastic DCSs and ICSs using the R-matrix theory. Machado *et al.*⁷⁴ and Vinodkumar *et al.*⁷⁵ also reported the theoretical elastic cross sections. We would like to conclude that the DCSs of Cho *et al.*⁶⁷ and Matsui *et al.*⁶⁹ agree quite well with each other and tend to be lower than the DCS of the Khakoo group (Silva *et al.*⁶⁸ and Khakoo *et al.*³⁸). In addition, the DCS of Cho *et al.*⁶⁷ agrees quite well with the theory of Faure *et al.*⁶⁰ Therefore, we choose the more recent measurements of Matsui *et al.*⁶⁹ as the recommended values of elastic DCSs of electron scattering for the water molecule.

For the ICS, we mainly decided to follow the recommendation made by Itikawa and Mason.²¹ They recommend the theoretical cross sections of Gorfinkel *et al.*⁷³ for use at 6 eV and below. At a higher energy of 50 eV, only the theoretical DCS of Okamoto *et al.*⁴⁷ is available for comparison with experiments and the recommended cross sections of Buckman *et al.*³⁴ From this comparison, Itikawa and Mason conclude that the theoretical ICS is too large compared with the experiment at 50 eV. Hence, they recommend the experimental data at 50 eV and above. To provide the recommended cross section in the energy region of 6–50 eV, Itikawa and Mason interpolated the two sets of cross sections: the theoretical ones below 6 eV and the experimental ones above 50 eV. They provided the recommended elastic ICS for the energy range from 1 to 100 eV. To this recommendation, we added the theoretical values of Faure *et al.*⁶⁰ between

TABLE 2. Recommended elastic DCSs for H₂O. DCSs and uncertainties (δ) are in the units of 10^{-16} cm² sr⁻¹

Angle (deg)	2 eV		4 eV		6 eV		8 eV		10.0 eV		15.0 eV		20.0 eV		30.0 eV		50.0 eV		100 eV	
	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ	DCS	δ
10			9.781	1.467	7.106	1.066	6.198	0.930	6.518	0.978	7.661	1.149	6.735	1.010	6.522	0.978	7.130	1.070	4.464	0.670
15			5.127	0.769	4.394	0.659	3.703	0.555	4.537	0.681	4.267	0.640	4.456	0.668	4.597	0.690	4.782	0.717	2.459	0.369
20	6.827	1.024	5.127	0.769	4.394	0.659	3.703	0.555	4.537	0.681	3.254	0.488	3.215	0.482	3.196	0.479	3.147	0.472	1.384	0.208
25	4.977	0.747	3.519	0.528	2.942	0.441	2.711	0.407	3.293	0.494	2.639	0.396	2.421	0.363	2.371	0.356	2.004	0.301	0.803	0.120
30	3.752	0.563	2.483	0.372	2.225	0.334	2.123	0.318	2.454	0.368	1.931	0.290	1.930	0.290	1.596	0.239	1.252	0.188	0.445	0.067
40	2.272	0.341	1.347	0.202	1.314	0.197	1.271	0.191	1.506	0.226	1.226	0.184	1.146	0.172	0.917	0.138	0.516	0.077	0.167	0.025
50	1.512	0.227	0.871	0.131	0.963	0.144	0.864	0.130	1.014	0.152	0.794	0.119	0.695	0.104	0.537	0.081	0.267	0.040	0.090	0.014
60	1.060	0.159	0.654	0.098	0.752	0.113	0.718	0.108	0.797	0.120	0.590	0.089	0.477	0.072	0.316	0.047	0.145	0.022	0.057	0.009
70	0.813	0.122	0.528	0.079	0.648	0.097	0.625	0.094	0.693	0.104	0.463	0.069	0.367	0.055	0.227	0.034	0.094	0.014	0.036	0.005
80	0.571	0.086	0.461	0.069	0.580	0.087	0.565	0.085	0.590	0.089	0.395	0.059	0.267	0.040	0.166	0.025	0.070	0.011	0.023	0.003
90	0.505	0.076	0.416	0.062	0.517	0.078	0.537	0.081	0.547	0.082	0.327	0.049	0.233	0.035	0.157	0.024	0.058	0.009	0.020	0.003
100	0.409	0.061	0.339	0.051	0.421	0.063	0.452	0.068	0.446	0.067	0.262	0.039	0.222	0.033	0.130	0.020	0.050	0.008	0.022	0.003
110	0.366	0.055	0.312	0.047	0.363	0.054	0.371	0.056	0.395	0.059	0.259	0.039	0.221	0.033	0.126	0.019	0.066	0.010	0.029	0.004
120	0.352	0.053	0.284	0.043	0.307	0.046	0.370	0.056	0.406	0.061	0.300	0.045	0.249	0.037	0.177	0.027	0.085	0.013	0.041	0.006
130	0.409	0.061	0.290	0.044	0.338	0.051	0.421	0.063	0.496	0.074	0.345	0.052	0.324	0.049	0.237	0.036	0.156	0.023	0.064	0.010

0.1 and 7 eV. Accordingly, to recommend the ICS between 7 and 50 eV, we interpolated the theoretical values of Faure *et al.*⁶⁰ and the experimental data at 50 eV and above recommended by Itikawa and Mason.²¹ The recommended DCSs at 10 different energies from 2 to 100 eV are tabulated in Table 2, and the four representative figures are presented in Fig. 5. Similarly, the recommended ICSs from 0.1 to 100 eV are presented in Table 3 and Fig. 6, respectively. Uncertainties in the DCS of Matsui *et al.*⁶⁹ are claimed to be typically 10%–15%. The recommended ICS is mostly based on the theoretical results, the uncertainties of which are not known to the authors.

5. Momentum-Transfer Cross Section

As the definition of the momentum-transfer cross section,

$$Q(m) = 2\pi \int_0^\theta (1 - \cos \theta) q_{\text{elas}}(\theta) \sin \theta d\theta, \quad (2)$$

indicates, the EMT cross section can be obtained experimentally by measuring the elastic scattering DCS, $q_{\text{elas}}(\theta)$. Typical examples of the EMT cross section of the water molecule obtained by electron beam experiments^{38,63,67,76} are shown in Fig. 7 by plots. Measurements were carried out in the energy range above 1 eV. Consistency among these results seems fine, and uncertainties claimed by the authors are typically 12%–20%. Because of the fractional momentum loss factor, $1 - \cos \theta$, in the above definition, the contribution of the forward scattering to the momentum-transfer cross section, especially in the scattering angle range less than 10°, is less significant than to the elastic ICS. In addition to this, Cho *et al.*⁶⁷ measured the differential elastic cross section of the water molecule in the backward direction up to 180° by using a magnetic-angle-changing device based on the design of Read and co-workers^{77,78} and removed possible uncertainties due to backward extrapolation that are otherwise unavoidable. Therefore, their momentum-transfer cross section should be the most reliable. It also seems to be extended smoothly to a higher energy range by the result of Katase *et al.*⁷⁶ It should be noted, however, that most of the current electron beam experiments do not have enough energy resolution to resolve each of the rotational excitation states and the experimental data cited above are all vibrationally elastic.²¹ While there are no electron beam measurements at energies below 1 eV, there are several results of electron swarm studies. Some of them are shown by curves also in Fig. 7. The results seem to be grouped into two: one with larger magnitude obtained by Pack, Voshall, and Phelps²⁴ and Ness and Robson⁷⁹ and the other with slightly smaller magnitude obtained by Yousfi and Benabdessadock.¹³ In electron swarm studies, a set of electron collision cross sections is determined in a trial and error manner by solving the Boltzmann equation repeatedly in order that the resultant cross section set can reproduce all electron transport parameters measured in the gas. Obtaining cross sections from a swarm study is therefore an inverse problem. A two-term spherical harmonic expansion has widely been used historically, and in many cases, the effect of inelastic collision processes (rotational excitations with small energy loss around 10⁻³ eV/collision) is effectively included in the momentum-transfer cross section. This momentum-transfer cross section is called the effective (or total) momentum-transfer cross section⁸⁰ and is considered approximately as the sum of the inelastic cross sections and the EMT cross section. Pack *et al.*²⁴ actually did not solve the Boltzmann equation numerically but analytically determined this total momentum-transfer

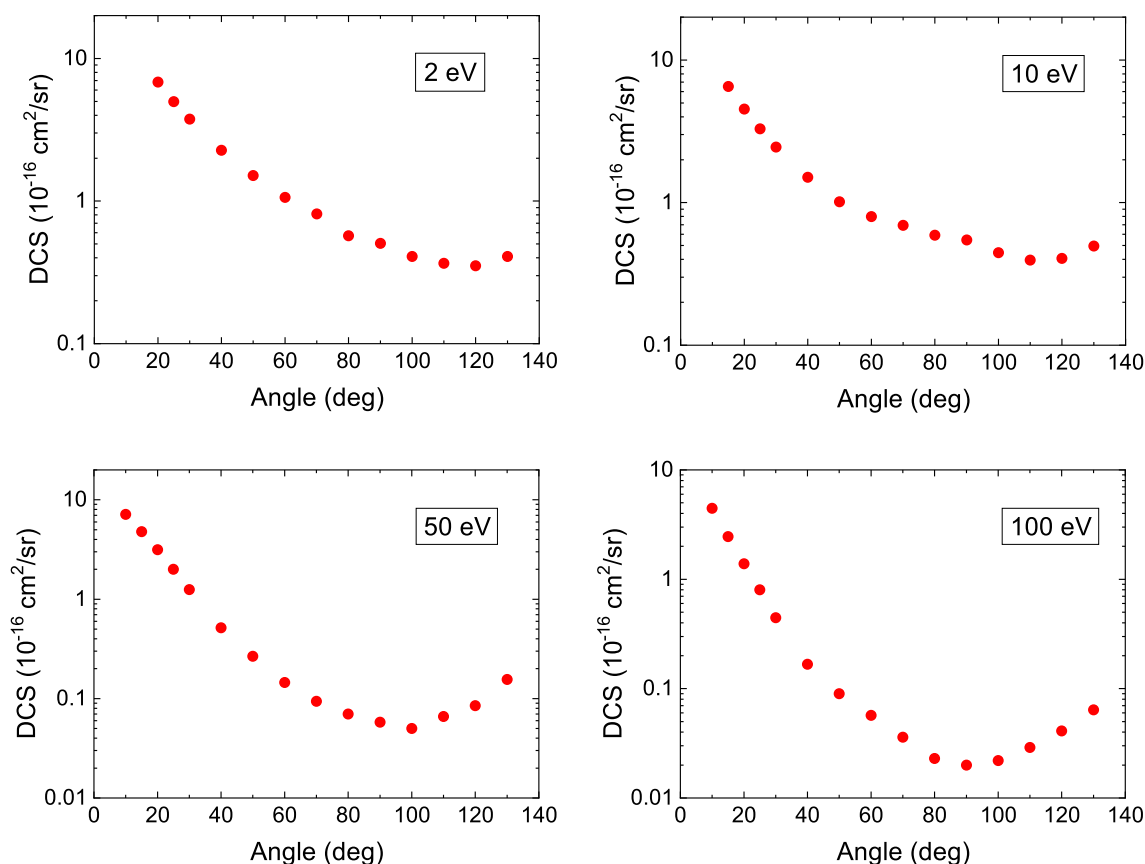


FIG. 5. Recommended elastic DCSs for H₂O at four representative energies taken from Ref. 69.

TABLE 3. Recommended elastic ICSs for H₂O in the units of 10⁻¹⁶ cm². Energy in eV

Electron energy (eV)	ICS (10 ⁻¹⁶ cm ²)	Electron energy (eV)	ICS (10 ⁻¹⁶ cm ²)
0.1	987.8	6	28.6
0.2	533.1	10	20.8
0.3	368.1	20	13.6
0.4	282.1	30	10.1
0.5	229.0	40	7.90
0.6	193.0	50	6.62
0.7	166.9	60	5.37
0.8	147.2	70	4.72
0.9	131.7	80	4.13
1	119.3	90	3.60
2	63.1	100	3.43
4	36.2		

(TMT) cross section from their electron drift velocity measurements in water vapor at two different temperatures, 300 and 443 K. Ness and Robson⁷⁹ collected a set of cross sections for water vapor consistent with electron swarm parameters measured at 294 K using their rigorous solution of the Boltzmann equation (the moment method)

including the TMT cross section. Their TMT cross section was further confirmed by measuring electron drift velocities in water vapor-helium mixtures (de Urquijo *et al.*¹⁴) and in water vapor-argon mixtures (White *et al.*⁸¹). Faure, Gorfinkiel, and Tennyson⁵⁰ calculated the elastic (rotationally summed) momentum-transfer cross

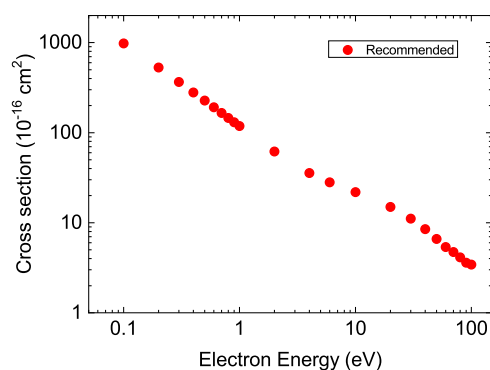


FIG. 6. Recommended elastic ICSs for H₂O.

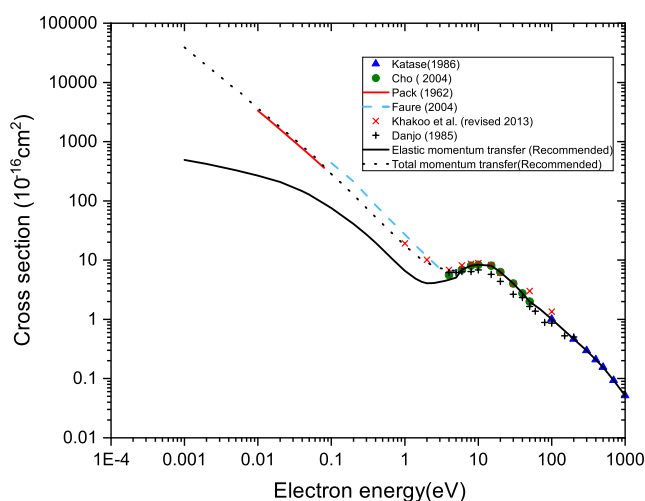


FIG. 7. Momentum-transfer cross sections for the water molecule. Electron beam experiments: crosses, Danjo and Nishimura,⁶³ open triangles, Katase *et al.*,⁷⁶ open circles, Cho *et al.*,⁶⁷ and tilted crosses, Khakoo *et al.* (revised in 2013).³⁸ Electron swarm study (TMT): solid line (red), Pack, Voshall, and Phelps²⁴ and short broken line (black), Ness and Robson⁷⁹ and present recommended for 294 K. Electron swarm study (EMT): solid line (black), Yousfi and Benabdessadok¹³ to 500 eV and present recommended for 1000 eV. Theory (elastic, rotationally summed): broken line (cyan), Faure, Gorfinkiel, and Tennyson⁵⁰ (R-matrix method).

section using an R-matrix method, and the result is reasonably consistent with the TMT cross sections in magnitude and in energy dependence although the two cross sections may not be identical in principle. Rotational transition frequencies depend not only on the cross sections but also on the fractional populations of thermally excited rotational states, and therefore, the TMT cross section depends on the gas temperature. The electron drift velocity of Pack, Voshall, and Phelps²⁴ actually depends on the vapor temperature, and it increases about 30% by changing the temperature from 300 to 443 K in a low reduced electric field where electrons are expected to be in thermal equilibrium with water molecules. Yousfi and Benabdessadok¹³ used another rigorous Boltzmann analysis (a multi-term analysis) and obtained an EMT cross section along with several

TABLE 4. Recommended EMT cross section and TMT or effective momentum-transfer cross section for the water molecule. The TMT cross section coincides with the EMT cross section in the energy range above 6 eV

Electron energy (eV)	EMT (10^{-16} cm ²)	TMT (10^{-16} cm ²)
0.001	490	39 000
0.002	420	19 300
0.005	330	7 530
0.01	267	3 670
0.02	208	1 770
0.05	127	665
0.1	76.0	284
0.2	40.7	126
0.3	27.0	75.9
0.5	15.1	40.7
1.0	6.60	17.8
1.4	4.90	12.3
1.7	4.30	10.3
2.0	4.05	9.11
2.5	4.10	7.89
3.0	4.30	7.33
3.5	4.47	6.95
4.0	4.66	6.72
5.0	5.05	6.61
6.0	6.88	6.88
8.0	8.04	
10	8.37	
15	8.05	
20	6.31	
30	4.04	
50	2.00	
70	1.48	
100	1.01	
200	0.464	
300	0.296	
400	0.208	
500	0.156	
700	0.093	
1000	0.0515	

representative rotational excitation cross sections. Their EMT cross section is also recommended by Itikawa and Mason.²¹ In fact, it can be confirmed by using a two-term Boltzmann calculation that the EMT cross section of Yousfi and Benabdessadok,¹³ the 48 largest rotational excitation cross sections out of the 182 cross sections calculated using the molecular R-matrix method,⁵⁰ and the 48 corresponding rotational de-excitation cross sections prepared by using the principle of detailed balance, each of the latter two cross section groups being weighted by the fractional population of the thermally excited initial rotational state⁸² at the vapor temperatures, 300 and 443 K, can reproduce the above-mentioned temperature dependence of the drift velocity observed by Pack, Voshall, and Phelps²⁴ quantitatively (unpublished). In conclusion, both the EMT and the TMT cross sections shown in Fig. 7 are recommended depending on the situation and are tabulated in Table 4.

6. Rotational Excitation Cross Sections

The previous review by Itikawa and Mason²¹ recommended rotational excitation cross sections computed by Faure, Gorfinkiel, and Tennyson.^{50,60} There are no other published data on this process since that review. Therefore, we recommend the same data, given in Table 7 of Ref. 21, but extend it to somewhat higher energies using the cross sections computed by Machado *et al.*⁸³ The recommended cross sections for the excitation from the ground rotational level 0_{00} to the levels with the angular momenta $J' = 0-4$ and summed up over allowed projections of J' on molecular axes are shown in Fig. 8.

Because no new data are available since the previous review, we comment only on the data⁸³ added to the recommendation of Ref. 21. These cross sections for energies up to 500 eV are calculated by Machado *et al.*⁸³ using the Schwinger variational method combined with the distorted-wave approximation. Figure 9 compares these calculations with the two other calculations performed by Faure, Gorfinkiel, and Tennyson^{50,60} and by Gianturco *et al.*⁷¹ As one can see, the cross sections for $J = 0 \rightarrow J' = 0, 2, 3$ transitions agree well with each other in the region of higher energies, where the distorted-wave approximation is applicable. However, for the $0 \rightarrow 1$ transition, the cross section computed by Machado *et al.*⁸³ is about a factor of 1.5–2 smaller than in the two other calculations. This is the reason why we have not included the $0 \rightarrow 1$ cross section computed by Machado *et al.*⁸³ into the recommended dataset. The recommended datasets are shown in Fig. 8 and given in Table 5.

In addition, in the [supplementary material](#), we give the cross sections for individual transitions $J_{K_a, K_c} \rightarrow J'_{K'_a, K'_c}$ obtained by Faure, Gorfinkiel, and Tennyson.^{50,60} The energies of different rotational levels J_{K_a, K_c} are given in Table 6 of Itikawa and Mason²¹ (reproduced from Ref. 84). Figure 10 shows a few examples of the cross section for individual transitions $J_{K_a, K_c} \rightarrow J'_{K'_a, K'_c}$ obtained in the two calculations,

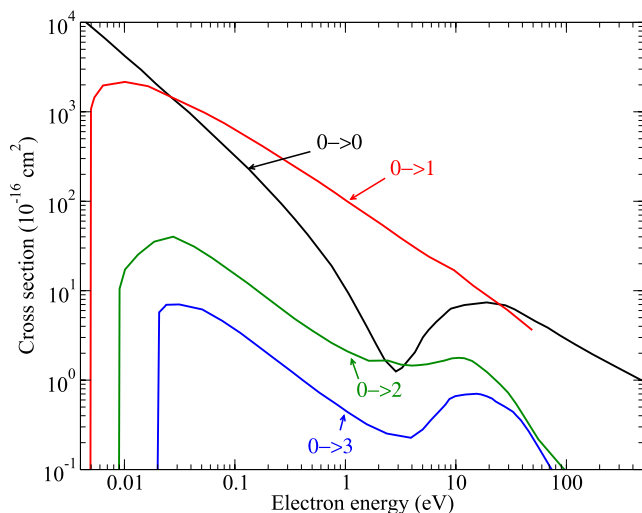


FIG. 8. Recommended cross sections for rotational excitation of H_2O from the ground rotational level 0_{00} to excited rotational levels with $J' = 1, 2,$ and 3 . The rotationally elastic cross section $0_{00} \rightarrow 0_{00}$ is also shown.

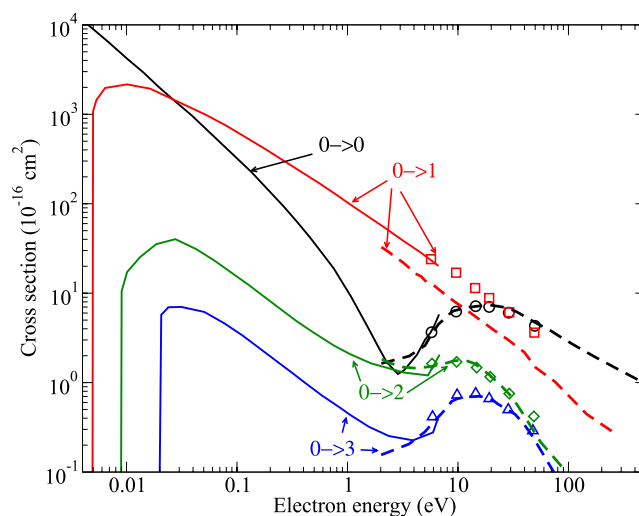


FIG. 9. Comparison of cross sections for rotational excitation from different theoretical calculations. The shown cross sections correspond to the transitions $0_{00} \rightarrow J' = 0-3$ where a summation over final states with the same J' but different K'_a and K'_c is performed. Solid lines represent the cross sections computed by Faure, Gorfinkiel, and Tennyson^{50,60} (as cited by Itikawa and Mason²¹), symbols are the data of Gianturco *et al.*,⁷¹ and dashed lines are the cross sections computed by Machado *et al.*⁸³

performed by Faure, Gorfinkiel, and Tennyson^{50,60} and by Čurík *et al.*³⁶

It is quite extraordinary that no beam measurements of the rotational excitation of such an important molecule have been made so far. We are aware of only one study⁸² where rotational excitation of H_2O was investigated experimentally. In this study, the DCS of rotational excitation and de-excitation was estimated from the energy loss in electron- H_2O collisions at two scattering energies in a gas with a relatively broad distribution over initial rotational states of H_2O so that the cross sections were not measured for individual rotational transitions. It is highly desirable to have experimental data, especially at energies very close to rotational thresholds and near the resonance region around 3 eV.

7. Vibrational Excitation Cross Sections

Water has three vibrational modes whose excitation energies are given in Table 6. All modes can be excited by dipole-allowed transitions. In the absence of any low-lying resonances in the electron-water system, vibrational excitation is dominated by $\Delta v = 1$ excitations. There are a number of evaluations of the vibrational cross sections for H_2O , including ones from swarm and beam experiments as well as from theory. The closeness of the excitation energy of the two stretching modes means that, except for fragmentary results (i.e., only a few DCSs) of Allan and Moreira⁸⁵ and Makochekanwa *et al.*,⁵⁶ no experimental information is available on the vibrational cross sections of the individual stretching mode, $(000) \rightarrow (100)$ and $(000) \rightarrow (001)$. As the experiment has not distinguished between stretching excitations, measurements usually provide two cross sections: one for bending excitation and the other for stretching, which is the sum of the (100) and (001) excitation cross sections.

TABLE 5. Recommended cross sections for rotational excitation of H₂O from the ground rotational level 0₀₀ to excited rotational levels with $J' = 1, 2,$ and 3

Energy (eV)	$J = 0 - J' = 0$ (10 ⁻¹⁶ cm ²)	Energy (eV)	$J = 0 - J' = 1$ (10 ⁻¹⁶ cm ²)	Energy (eV)	$J = 0 - J' = 2$ (10 ⁻¹⁶ cm ²)	Energy (eV)	$J = 0 - J' = 3$ (10 ⁻¹⁶ cm ²)
1.0173 × 10 ⁻³	47 186	4.9290 × 10 ⁻³	0.100 04	8.9430 × 10 ⁻³	0.098 22	1.9997 × 10 ⁻²	0.100 96
1.4213 × 10 ⁻³	33 906	4.9810 × 10 ⁻³	1074.3	9.0370 × 10 ⁻³	10.511	2.0634 × 10 ⁻²	5.734
2.0277 × 10 ⁻³	23 920	5.1940 × 10 ⁻³	1290.8	1.0138 × 10 ⁻²	17.256	2.3148 × 10 ⁻²	6.642
2.8330 × 10 ⁻³	16 568	5.3590 × 10 ⁻³	1454.5	1.3303 × 10 ⁻²	25.375	2.3885 × 10 ⁻²	6.954
3.8762 × 10 ⁻³	11 689	6.4010 × 10 ⁻³	1969.1	1.8587 × 10 ⁻²	35.313	3.1342 × 10 ⁻²	7.018
4.7770 × 10 ⁻³	9 377	1.0138 × 10 ⁻²	2158.5	2.7648 × 10 ⁻²	40.156	5.0690 × 10 ⁻²	6.171
6.6740 × 10 ⁻³	6 616	1.6396 × 10 ⁻²	1933.3	4.2883 × 10 ⁻²	31.054	7.6990 × 10 ⁻²	4.600 4
9.3250 × 10 ⁻³	4 582.4	5.2850 × 10 ⁻²	980.1	6.2470 × 10 ⁻²	23.149	1.1216 × 10 ⁻¹	3.367
1.0138 × 10 ⁻²	4 180.4	8.0280 × 10 ⁻²	744.1	9.1010 × 10 ⁻²	16.634	1.6001 × 10 ⁻¹	2.419 4
1.4164 × 10 ⁻²	2 949.2	1.1942 × 10 ⁻¹	554.7	1.2983 × 10 ⁻¹	12.174	2.2828 × 10 ⁻¹	1.738 5
1.9380 × 10 ⁻²	2 042.8	1.7764 × 10 ⁻¹	413.5	1.7037 × 10 ⁻¹	9.414	3.2567 × 10 ⁻¹	1.249 2
2.7648 × 10 ⁻²	1 389.2	2.5878 × 10 ⁻¹	308.24	2.4305 × 10 ⁻¹	6.765	4.0986 × 10 ⁻¹	1.002 2
3.8628 × 10 ⁻²	980.1	3.8495 × 10 ⁻¹	225.59	3.4675 × 10 ⁻¹	4.861	5.8470 × 10 ⁻¹	0.720 1
5.2850 × 10 ⁻²	678.9	5.6080 × 10 ⁻¹	168.17	5.0510 × 10 ⁻¹	3.557 6	8.5180 × 10 ⁻¹	0.527 04
7.2310 × 10 ⁻²	470.21	8.1690 × 10 ⁻¹	123.08	7.5140 × 10 ⁻¹	2.603 8	1.0498 × 10 ⁰	0.438 64
9.8940 × 10 ⁻²	325.69	1.0281 × 10 ⁰	100.57	1.0498 × 10 ⁰	2.088 9	1.5616 × 10 ⁰	0.321 03
1.3537 × 10 ⁻¹	225.59	1.4977 × 10 ⁰	73.6	1.6283 × 10 ⁰	1.645 3	2.3720 × 10 ⁰	0.252 86
1.8139 × 10 ⁻¹	153.41	2.1818 × 10 ⁰	53.869	2.4159 × 10 ⁰	1.656 92	3.9172 × 10 ⁰	0.226 48
2.4305 × 10 ⁻¹	104.33	3.1783 × 10 ⁰	38.708	3.1825 × 10 ⁰	1.492 18	5.0200 × 10 ⁰	0.281 17
2.6425 × 10 ⁻¹	93.45	4.6301 × 10 ⁰	28.33	4.0100 × 10 ⁰	1.453 84	5.9280 × 10 ⁰	0.356 54
3.4675 × 10 ⁻¹	63.55	5.7060 × 10 ⁰	24.015	4.5280 × 10 ⁰	1.467 32	7.0000 × 10 ⁰	0.442 66
4.5501 × 10 ⁻¹	42.43	9.6230 × 10 ⁰	16.942	5.5220 × 10 ⁰	1.506 29	8.0420 × 10 ⁰	0.532 37
5.8470 × 10 ⁻¹	28.33	1.4314 × 10 ¹	11.416	7.0490 × 10 ⁰	1.606 96	8.7430 × 10 ⁰	0.614 9
7.5140 × 10 ⁻¹	18.915	1.9180 × 10 ¹	8.828	7.9010 × 10 ⁰	1.656 49	9.8920 × 10 ⁰	0.662 56
9.2610 × 10 ⁻¹	12.4	2.9133 × 10 ¹	6.115	9.2260 × 10 ⁰	1.751 17	1.2183 × 10 ¹	0.690 29
1.0720 × 10 ⁰	9.243	4.9130 × 10 ¹	3.623 6	1.0600 × 10 ¹	1.775 92	1.5415 × 10 ¹	0.704 45
1.2938 × 10 ⁰	6.059			1.1945 × 10 ¹	1.756 56	1.6813 × 10 ¹	0.693 17
1.5616 × 10 ⁰	3.972			1.3994 × 10 ¹	1.638 28	1.8940 × 10 ¹	0.661 67
1.8848 × 10 ⁰	2.5564			1.5396 × 10 ¹	1.511 97	2.1247 × 10 ¹	0.622 02
2.2749 × 10 ⁰	1.6758			1.7235 × 10 ¹	1.356 35	2.2967 × 10 ¹	0.575 71
2.8630 × 10 ⁰	1.2492			1.9126 × 10 ¹	1.230 05	2.7789 × 10 ¹	0.504 56
3.2455 × 10 ⁰	1.3693			2.1411 × 10 ¹	1.081 19	3.2828 × 10 ¹	0.442 95
4.2587 × 10 ⁰	2.0509			2.3556 × 10 ¹	0.966 16	3.5721 × 10 ¹	0.395
5.0340 × 10 ⁰	3.0157			2.5137 × 10 ¹	0.897 27	3.8710 × 10 ¹	0.356 88
5.6470 × 10 ⁰	3.6236			2.9657 × 10 ¹	0.725 41	4.5640 × 10 ¹	0.267 22
6.6690 × 10 ⁰	4.5001			3.4989 × 10 ¹	0.546 38	5.5330 × 10 ¹	0.181 16
7.6440 × 10 ⁰	5.2455			4.2430 × 10 ¹	0.374 51	7.2860 × 10 ¹	0.102 29
8.5370 × 10 ⁰	5.9619			5.5900 × 10 ¹	0.216 92	9.4290 × 10 ¹	0.062 97
9.0220 × 10 ⁰	6.3057			9.4350 × 10 ¹	0.102 2	1.2303 × 10 ²	0.048 58
1.1894 × 10 ¹	6.9069			1.7783 × 10 ²	0.051 86	1.6875 × 10 ²	0.033 7
1.9026 × 10 ¹	7.3929			3.5416 × 10 ²	0.030 13	2.3853 × 10 ²	0.027 17
2.8013 × 10 ¹	6.8757			4.8290 × 10 ²	0.027 07	4.6250 × 10 ²	0.012 94
3.3991 × 10 ¹	6.1872						
5.2890 × 10 ¹	4.5232						
6.7820 × 10 ¹	3.8632						
8.6980 × 10 ¹	3.1745						
1.1787 × 10 ²	2.5429						
1.7355 × 10 ²	1.9398						
2.7761 × 10 ²	1.4225						
4.8250 × 10 ²	0.9909						

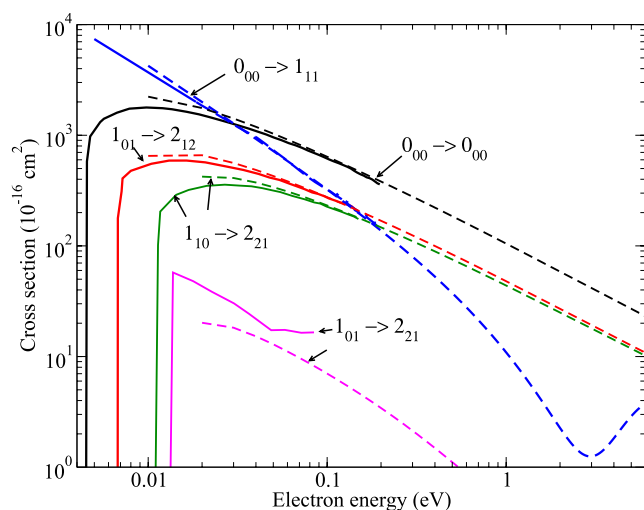


FIG. 10. Comparison of cross sections for rotational excitation $J_{K_a, K_c} \rightarrow J'_{K_a, K_c}$ from different theoretical calculations. In contrast to Fig. 9, cross sections for individual final states J'_{K_a, K_c} are shown. Solid lines represent the cross sections obtained by Čurik *et al.*,³⁸ and dashed lines are the data of Faure, Gorfinkiel, and Tennyson.^{50,50}

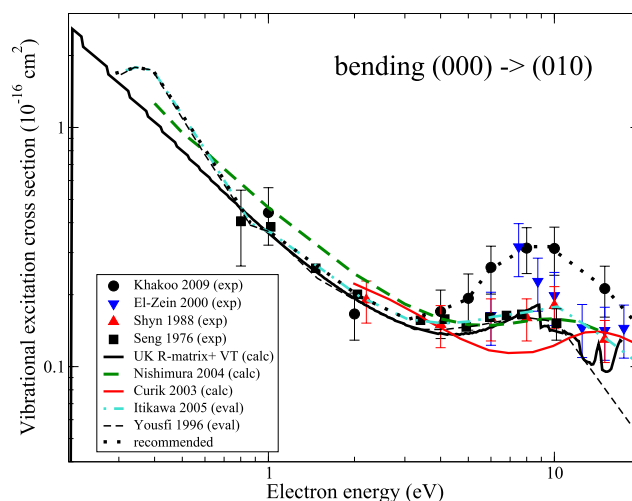


FIG. 11. Comparison of cross sections available in the literature for the excitation of the bending mode $(000) \rightarrow (010)$. The black dotted line is the cross section recommended in this study. The black solid line, representing UK R-matrix + VT, is the theoretical result obtained in this study.

Below, we discuss studies separately for low energies, up to 3 eV, and higher energies.

In their review, Itikawa and Mason²¹ adopted the vibrational cross sections derived from the swarm experiment of Yousfi and Benabdessadok¹³ to extend recommended cross sections determined by beam measurement to energies below 1 eV. Recently, Ness *et al.*¹² tested the swarm data of Yousfi and Benabdessadok with a new analysis of the transport coefficients of electrons in water vapor. Ness *et al.*¹² solved the Boltzmann equation using an improved method. Their analysis showed that the vibrational cross sections of Yousfi and Benabdessadok cannot reproduce the experimental values of the drift velocity. With the use of their own recommended vibrational cross sections (based on the experiment of Seng and Linder⁸⁶), Ness *et al.*¹² succeeded in reproducing the measured values of the drift velocity. The cross sections of Seng and Linder are shown in Figs. 11 and 12. Except for near the threshold peak, the data of Seng and Linder are consistent with the previous recommended values. The analysis shows that the most important vibrational cross section in the swarm is near thresholds. Accordingly, we adopt the vibrational excitation cross sections of Seng and Linder near the threshold as our recommended value at low energy, up to 3 eV.

There are four beam measurements of cross sections for vibrational excitation by Seng and Linder,⁸⁶ by Shyn, Cho, and Cravens,⁸⁷ by El-Zein, Brunger, and Newell,⁸⁸ and by the group of Khakoo.⁸⁹ The data are shown in Figs. 11 and 12. The two older

TABLE 6. Energies of the normal modes of H₂O in the ground electric state⁹⁶

Mode	Energy (eV)
Bending (010)	0.198
Symmetric stretching (100)	0.453
Asymmetric stretching (001)	0.466

experiments^{86,87} agree well with each other for the bending and stretching excitation. The stretching excitation cross section of El-Zein *et al.*⁸⁸ also agrees with the older experiments. However, the most recent measurements performed by Khakoo *et al.*⁸⁹ for both types of modes as well as the bending excitation cross section by El-Zein *et al.* are all significantly larger than the data from the older experiments at energies above 3 eV.

For energies above 3 eV, we recommend the cross sections obtained using a beam experiment by Khakoo *et al.*⁸⁹ At energies

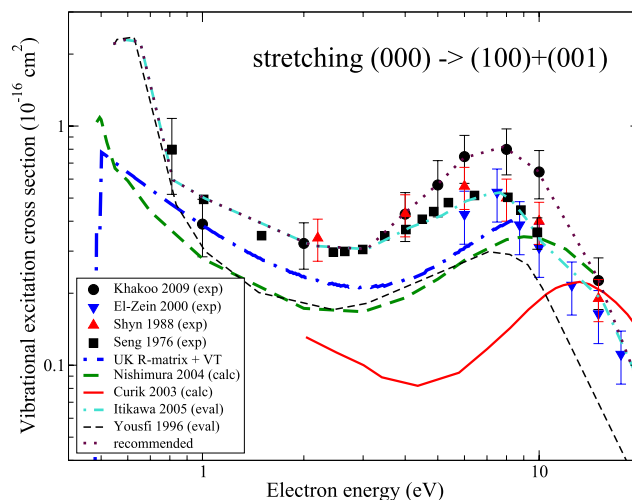


FIG. 12. Comparison of cross sections available in the literature for the excitation of the stretching modes $(000) \rightarrow (100) + (001)$. The blue dotted-dashed line, representing UK R-matrix + VT, is the theoretical result obtained in this study.

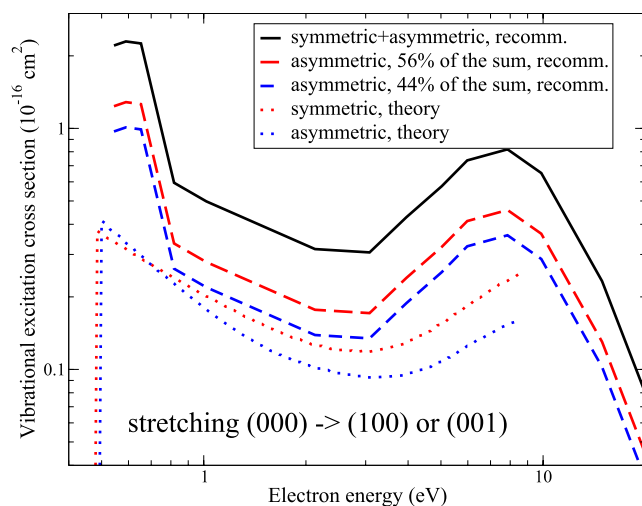


FIG. 13. Recommended cross sections for the excitation of the stretching modes. Relative magnitudes of the symmetric/asymmetric theoretical cross sections at 3 eV are 0.56/0.44. The recommended cross sections (red and blue dashed lines) for the symmetric and asymmetric modes are 56% and 44% of the sum of the two cross sections, represented by the solid curve in the figure. The dotted lines show the results of R-matrix calculations performed in this study.

about 100 eV we take the data of Seng and Linder which extend to the lower energies. The resulting values are shown in Fig. 13 and Table 7. The uncertainty of those values is 30% below 1 eV and 27% above that energy, as derived from the respective original papers.^{86,89}

Several theoretical studies were devoted to the vibrational excitation of H₂O by electron impact. In their study, Nishimura and Itikawa⁹⁰ used a close-coupling method taking into account an *ab initio* electrostatic potential of interaction between the incident electron and the target. The effects of electron exchange and target

polarization are taken into account approximately. Curik and Carsky⁹¹ also used an *ab initio* approach based on two-channel Lippmann–Schwinger equations expanded in momentum space with static and exchange contributions accounted for explicitly. The theoretical study of Nishimura and Gianturco⁹² described the electron scattering using vibrational close-coupling equations with the electron–target interaction potential represented by a sum of an *ab initio* electrostatic, an electron exchange, and a polarization term. The data from the three theoretical studies are also shown in Figs. 11 and 12. The most recent study by Nishimura and Gianturco⁹² seems to be the most accurate one in terms of the theoretical method. The cross section for the bending mode from this work agrees well with the two older experiments,^{86,87} but the theoretical stretching-mode cross section is about two times smaller than the experimental data for energies below 10 eV. We note that de-excitation rate coefficients given by Faure and Josselin⁹³ were obtained from the data of Nishimura and Gianturco⁹² using the principle of detailed balance.

In this study, we have also performed calculations of the vibrational excitation cross sections using the approach developed by Liu *et al.*⁹⁴ and applied to the excitation of NO₂. The approach combines the UK R-matrix calculations and the vibrational frame transformation. In the R-matrix calculations, we used the DZP electronic basis set, using the configuration interaction (CI) method⁹⁵ built on Hartree–Fock orbitals. Only the lowest orbital was frozen in the calculations. The remaining 8 electrons of H₂O were allowed to be distributed over the 8 lowest-energy orbitals. The R-matrix radius was chosen to be 10 a₀. The results of the calculations are shown in Figs. 11–13.

While in this review we recommend the mentioned experimental cross sections, the ratio of the calculated cross sections for the individual stretching modes allows us to deconvolve the experimental cross section and estimate individual contributions for the excitation of the symmetric and asymmetric stretching modes. We recommend the ratio of 0.56/0.44 between the symmetric/asymmetric stretching mode contributions. The ratio is derived from the theoretical

TABLE 7. Recommended vibrational excitation cross sections for e + H₂O

(010)		(100) + (001)	
Electron energy (eV)	CS (10 ⁻¹⁶ cm ²)	Electron energy (eV)	CS (10 ⁻¹⁶ cm ²)
0.198	0	0.453	0
0.26	2.15	0.53	4.44
0.5	1.162	0.875	0.81
1	0.441	1	0.389
2	0.166	2	0.323
4	0.17	4	0.428
5	0.193	5	0.567
6	0.26	6	0.745
8	0.313	8	0.799
10	0.312	10	0.643
15	0.212	15	0.226
20	0.144	20	0.0806
30	0.0972	30	0.0245
50	0.0458	50	0.0159
100	0.0108	100	0.006

calculations performed in this study at 3 eV. As one can see in Fig. 13, the symmetric/asymmetric stretching mode ratio in the calculation changes but not much between 1 and 20 eV. The recommended cross sections for the excitation of the symmetric and asymmetric stretching modes are shown in the figure by red and blue dashed lines, respectively.

8. Electronic-Excitation Cross Section

Experimentally, cross sections for the H₂O electronic excitation to the lowest electronic states were measured by Lassetre *et al.*,⁹⁷ Trajmar *et al.*,⁹⁸ and, more recently, by Khakoo's group,^{99,100} Brunger's group,^{101–104} and Matsui *et al.*⁶⁹ There have also been several theoretical studies of electronic excitation of H₂O, in particular, using the UK R-matrix approach,⁷³ the SMC method,^{99,100} and the Kohn variational method.⁷⁰ Data from the most recent experimental and theoretical studies for the excitation to the two lowest electronic states are shown in Figs. 14 and 15. In the most recent experimental work, Matsui *et al.*⁶⁹ claimed that the ambiguity in the deconvolution of electron energy-loss spectra is reduced compared to the previous studies. They also found that the BE *f*-scaling model^{101,105} for the spin-allowed $X^1A_1 \rightarrow \tilde{A}^1B_1$ transition reproduces well the ICS, as shown in Fig. 14. However, the BE *f*-scaling model is less reliable at low energies.¹⁰⁶ Therefore, for the excitation of the \tilde{A}^1B_1 state, see Table 9, we recommend the data of Ralphs *et al.* for energies below 17 eV and the BE *f* data for energies above 17 eV, which agree well with Matsui's data. For the excitation of the \tilde{a}^3B_1 state, we recommend Matsui's data for energies below 12 eV and Ralphs's data for energies above 12 eV. Ralphs *et al.*¹⁰⁰ gave also cross sections for the excitation of four more electronic states, 3A_2 , 1A_2 , \tilde{b}^3A_1 , and \tilde{B}^1A_1 . Energies of these states are given in Table 8. Due to the disagreement with theoretical calculations and with the available previous experimental data of Thorn *et al.*,¹⁰¹ these cross sections should be used with caution; see also Ref. 107.

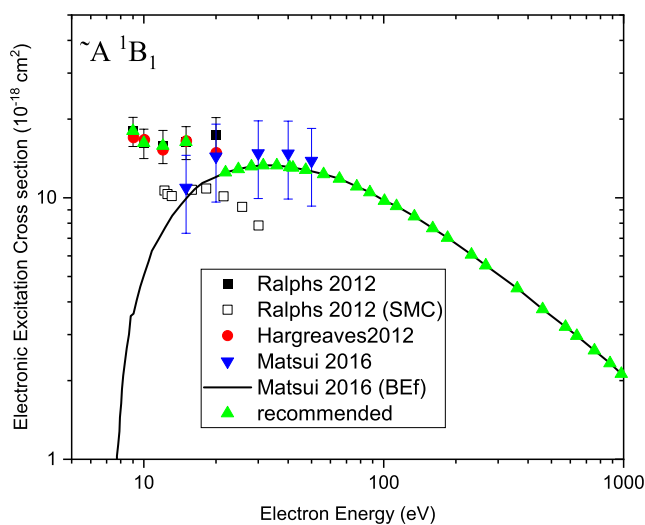


FIG. 14. Cross sections for the electron impact electronic excitation of the \tilde{A}^1B_1 state obtained by Hargreaves *et al.*,⁹⁹ Ralphs *et al.*,¹⁰⁰ and Matsui *et al.*⁶⁹

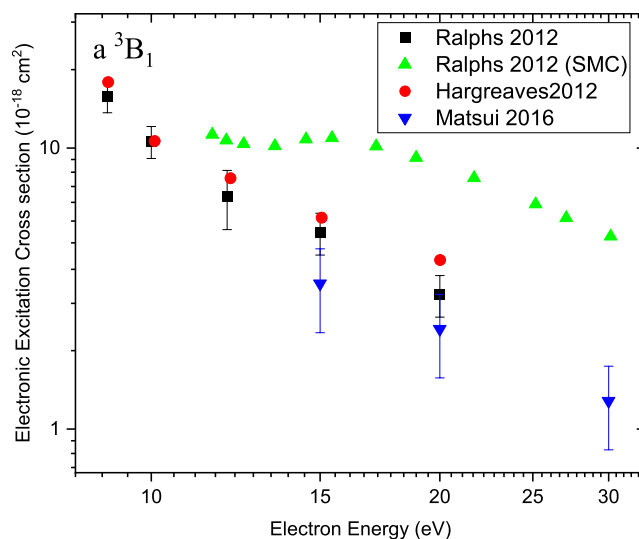


FIG. 15. Cross sections for the electron impact electronic excitation of the \tilde{a}^3B_1 state obtained by Hargreaves *et al.*,⁹⁹ Ralphs *et al.*,¹⁰⁰ and Matsui *et al.*⁶⁹

TABLE 8. Excitation energies¹⁰² of the lowest electronic states of H₂O

State	Excitation energy (eV)
\tilde{a}^3B_1	7.14
\tilde{A}^1B_1	7.49
3A_2	8.90
1A_2	9.20
\tilde{b}^3A_1	9.46
\tilde{B}^1A_1	9.73

9. Dissociation into Neutrals

As discussed above, the ionization cross section in H₂O is significantly smaller than in the isoelectronic CH₄, and cross sections for the formation of H⁺ and OH⁺ ions are less than 20% of the total ionization each. Out of these fragments, the hydroxyl ions (and radical) are of primary importance for the chemical processes in the Earth's atmosphere.¹⁰⁸ "Hydroxyl radicals are a key component of the self-cleaning capacity of the atmosphere, as they rid the air of many dangerous pollutants," says a comment¹⁰⁹ to a detailed study of the OH atmospheric concentration.¹¹⁰ As far as the yield of the OH⁻ ion is very small (see Sec. 9 on dissociative attachment), it seems that the formation of the neutral radical OH is one of the main "exit" channels in electron scattering on H₂O. McConkey and collaborators¹¹¹ used laser-induced fluorescence to measure the formation of the OH radical; they normalized the relative yields to the H⁻ formation at 6.5 eV according to Melton.¹¹² In the present work, we recommend a lower (not $6.7 \times 10^{-18} \text{ cm}^2$ but $4.6 \times 10^{-18} \text{ cm}^2$) cross section for the formation of H⁻; as a consequence, we renormalized also the OH yield. Even after such a renormalization, the cross section for the formation of the OH neutral fragment¹¹³ is still very high, almost $1.5 \times 10^{-16} \text{ cm}^2$ at 75 eV; see Fig. 16.

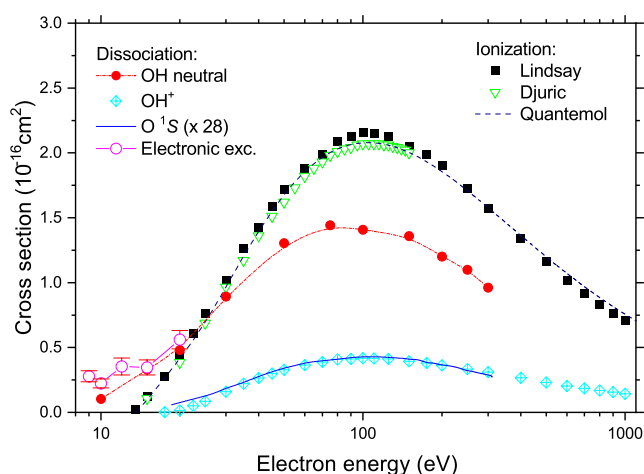
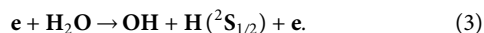


FIG. 16. Comparison of the total ionization^{118,119} cross sections with measurements of the yield of two neutral species^{113,117} OH and O(¹S₀). The sum of the electronic excitation into six states¹⁰⁰ up to 20 eV is also shown. Tabulated data for OH and O are taken from the review by McConkey *et al.*¹¹¹

As noted by Harb *et al.*,¹¹³ the OH radical was produced in high rotational states, which indicates that optically allowed electronic excitations are the leading mechanism. As seen in Fig. 16, the energy dependence of the OH production falls slowly with energy (which is typical for dipole-allowed electronic excitations) and does not follow the cross sections for partial ionizations. The most probable channel is therefore the formation of the two neutral species,



In Fig. 16, we give also the sum of the electronic-excitation cross section to six (³B₁, ¹B₁, ³A₂, ¹A₂, ³A₁, ¹A₁) states measured by Ralphs *et al.*¹⁰⁰ (we use their data, as giving the excitation into six states, even if somewhat different from the more recent experiment performed by Matsui *et al.*⁶⁹ of the excitation to the ³B₁ and ¹B₁ states in the energy

range of 2–100 eV). As seen from that figure, the absolute values for the sum of electronic excitations and the formation of the OH radical at 20 eV coincide within the error bar. The optical emission from the OH radical formed in electron-induced dissociation was reviewed in detail by Itikawa and Mason.²¹ The two more recent measurements of the OH optical emission band $\tilde{A} \ ^2\Sigma^+ \rightarrow X \ ^2\Pi$ disagree: the data of Schappe and Urban¹¹⁴ confirm the earlier experiment performed by Beenakker *et al.*,¹¹⁵ with the maximum of $0.9 \times 10^{-18} \text{ cm}^2$, and those by Khodorkovskii *et al.*¹¹⁶ are by few folds lower. All measurements agree that the (sharp) maximum of the OH $\tilde{A} \ ^2\Sigma^+ \rightarrow X \ ^2\Pi$ optical emission is situated at about 15–20 eV. As recalled by Khodorkovskii *et al.*,¹¹⁶ the OH radical in the $\tilde{A} \ ^2\Sigma^+$ excited state may come from the dissociation of two excited H₂O molecular electronic states: ¹A₁ and ³A₁. According to Ralph *et al.*,¹⁰⁰ the cross section for the excitation of the H₂O molecule to these states amounts at 20 eV to about $20 \times 10^{-18} \text{ cm}^2$. While the cross section for the production of the OH fragment is very large, the yield of O (in the ¹S₀ excited state) is two orders of magnitude lower, reaching a maximum of $1.5 \times 10^{-18} \text{ cm}^2$ at 100 eV; see Ref. 111. This cross section was measured by the XeO* excimer technique.¹¹⁷ An analog cross section for the production of O(¹S₀) from O₂ amounts in its maximum to $2.08 \times 10^{-18} \text{ cm}^2$, by a factor of 10 lower than the O(¹S₀) production from N₂O; see Ref. 111.

The cross section for the production of O(¹S₀), multiplied by an arbitrary chosen factor, is shown in Fig. 16. As far as the OH radical seems to be produced mainly via the electronic excitation, the energy dependence of the O(¹S₀) cross sections suggests that this atomic species is produced in some partial ionization processes. Optical emission from H and O atoms (and O⁺, O₂⁺ ions) was extensively reviewed by Itikawa and Mason.²¹ All cross sections show broad maxima at around 100 eV, indicating that also the atomic emission comes from the prior ionization rather than from the neutral dissociation of the H₂O molecule. As a reference number for the sum of the UV emission from the two atomic species (and O⁺, O₂⁺ ions) at 200 eV, we recall²¹ the number of $1.9 \times 10^{-18} \text{ cm}^2$. Quite abundant (and pretty congruent) measurements of the electronic excitation, optical emission, and neutral dissociation open, potentially, the way for detailed theoretical studies of the excited states for the H₂O molecule.

TABLE 9. Electron impact electronic-excitation cross section for H₂O (A¹B₁)

For electron energy (eV)	CS (10 ⁻¹⁸ cm ²)	Electron energy (eV)	CS A ¹ B ₁ (10 ⁻¹⁸ cm ²)
9.0	18.02	87.5	10.51
10.0	16.21	100.7	9.73
12.0	15.80	113.1	9.29
15.0	16.35	134.1	8.50
21.9	12.50	160.1	7.64
24.8	12.87	184.4	7.03
28.1	13.19	232.3	6.06
31.5	13.31	266.2	5.52
35.8	13.31	360.4	4.51
40.6	13.11	459.9	3.75
41.8	13.04	572.0	3.21
47.4	12.78	638.7	2.96
56.1	12.31	756.5	2.61
65.4	11.83	877.9	2.33
77.3	11.04	977.7	2.12

TABLE 10. Cross sections for the production of the OH neutral fragment following electron impact on the H₂O molecule. Measurements performed by Harb *et al.*¹¹³ tabulated data from Ref. 111, renormalized to the present value of the DEA cross section (see text). The uncertainty is $\pm 40\%$

Electron (eV)	Cross section (10^{-16} cm ²)
10	0.103
15	0.330
20	0.481
30	0.893
50	1.30
75	1.44
100	1.41
150	1.36
200	1.20
250	1.09
300	0.96

The recommended values for the formation of the OH radical and O(¹S₀) atom are given in Tables 10 and 11. Error bars on the given values are, according to the original experimental papers,^{113,117} 36% and 30%, respectively.

10. Ionization Cross Section

Ionization cross sections for H₂O have been investigated in many experiments.^{119,126} Similarly, several alternative approaches exist.^{127–129} In CH₄ (see Ref. 49) and N₂O,⁴⁵ we found that the early experiment performed by Rapp and Briglia¹³⁰ gave a very reliable integral ionization cross section. They used a simple method, measuring the total ion current; unfortunately, they did not publish data for H₂O. Similar methods of collecting the total ion current were used in the energy region of 0.1–20 keV by Schutten *et al.*¹³¹ and by Djuric *et al.*¹¹⁹ from threshold up to 150 eV: merging between these two sets gives good results; see Fig. 17. Orient and Srivastava¹²² used the

method of crossed electron and target gas beams and a quadrupole mass spectrometer to get partial cross sections. Their data are higher by a factor of 2 at the maximum; see Fig. 17. A possible source of this increase could be the method of estimating the density of target molecules in the effusive beam: such density depends much on gas dynamic properties, different for each type of molecule.¹³² Note that also in CH₄,⁴⁹ the data of Orient and Srivastava were slightly higher than other measurements. In the successive measurement,¹²³ they improved the collection of ions, so the energy dependence of the total ionization cross sections is, within the error bar, the same as in other experiments,^{119,131} but a normalization by a factor of 0.7 is still needed; see Fig. 17.

The total ionization cross sections of Bolorizadeh and Rudd¹²⁶ in the energy range of 50–2000 eV were obtained by integration of DCSs—the declared error is 15%. Straub *et al.*¹¹⁸ used a parallel plate apparatus with a time-of-flight spectrometer and position-sensitive detector. Their total ionization cross section agrees very well with the data of Djuric¹¹⁹ and Schutten *et al.*,¹³¹ see Fig. 17. Lindsay and Mangan²⁸ recommended the results of Straub *et al.*, rectifying some values (but within 1%). As partial cross sections for the formation of H₂O⁺, OH⁺, and H₂⁺, Lindsay and Mangan recommended the results of Straub *et al.*¹¹⁸ for D₂O as the spectrometer used did not assure adequate precision for these signals in H₂O. More recently, the total ionization cross section in the range 50–5000 eV was measured by Muñoz *et al.*⁴⁴ on the same apparatus as the TCS and using normalization to N₂ ionization. Their data are in good (within 10%) agreement with those of Straub *et al.*¹¹⁸ and Djuric *et al.*¹¹⁹ The maximum²⁸ of the total ionization is 2.16×10^{-16} cm², much lower than the maximum in CH₄, 3.79×10^{-16} cm². This, roughly, reflects¹³³ the “rule of thumb” that the maximum value of ionization cross sections is numerically $4/3\alpha$ if the dipole polarizability α is expressed in Å³ ($\alpha = 1.5$ and 2.45 for H₂O and CH₄, respectively).

The Bethe–Born binary encounter (BEB) model, as applied to H₂O by Hwang *et al.*¹³⁴ slightly overestimates the total ionization cross section; see Fig. 17. The newer application of the BEB model, in the Quantemol package,¹²⁰ with Hartree–Fock frozen orbitals agrees

TABLE 11. Cross sections for the production of the O(¹S₀) atom in the excited ¹S state, from Ref. 111, measurements performed by Kedzierski *et al.*¹¹⁷ The uncertainty is $\pm 30\%$

Electron (eV)	Cross section (10^{-16} cm ²)	Electron (eV)	Cross section (10^{-16} cm ²)
18.6	0.20	133.4	1.47
22.5	0.36	141.3	1.46
26.5	0.48	153.2	1.43
30.4	0.67	161.1	1.42
34.4	0.78	173.0	1.36
42.3	1.04	180.9	1.33
50.2	1.20	192.8	1.30
54.2	1.25	200.7	1.28
62.1	1.36	220.5	1.24
70.0	1.39	240.3	1.16
81.9	1.45	260.1	1.11
93.8	1.49	283.9	1.05
101.7	1.50	303.7	1.00
113.6	1.50	319.5	0.95
121.5	1.49		

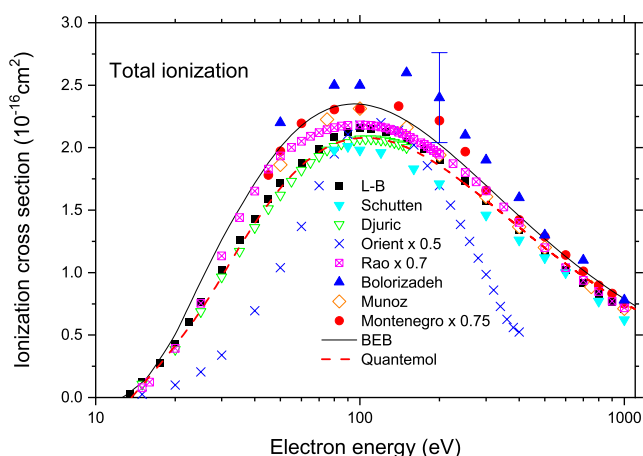


FIG. 17. Gross total ionization cross section in H_2O . “L-B” stands for the recommended cross section by Lindsay and Mangan,²⁸ from Landolt-Börnstein data collection. These recommended cross sections are based on the measurements performed by Straub¹¹⁸ and are used as recommended also in the present work. “Quantemol¹²⁰” stands for the BEB model, calculated in the suit of programs (HF frozen orbitals were used).

better with recommended values. Hwang *et al.* used the experimental threshold ionization energy (12.61 eV), which is lowered by the effect of molecular vibrations; the vertical ionization threshold calculated by Quantemol is 13.67 eV. We checked for a series of fluorocarbons that more elaborated molecular orbital sets (such as complete active space or from density functional theory) give higher ionization cross sections than the HF method.¹³⁵ This is also the case of H_2O ; see the Quantemol internet site for details. Partial ionization cross sections were measured first by Märk and Egger¹²¹ for H_2O^+ ion only: they used normalization to Ar cross sections, and their spectrometer probably suffered from incomplete collection of ions at low energies

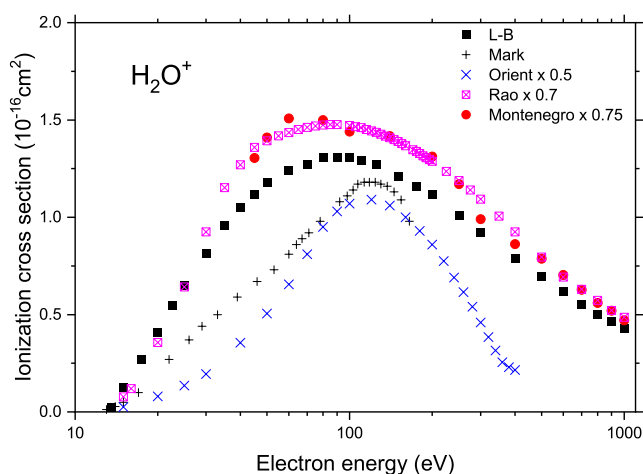


FIG. 18. Partial ionization cross section for H_2O^+ from the Landolt-Börnstein data collection,²⁸ Märk and Egger,¹²¹ Orient and Srivastava,¹²² Rao *et al.*,¹²³ and Montenegro *et al.*¹²⁴ with renormalization factors.

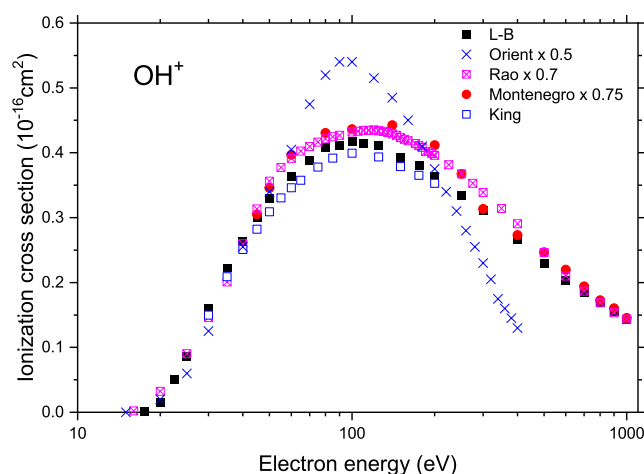


FIG. 19. Partial ionization cross section for OH^+ from the Landolt-Börnstein data collection,²⁸ Orient and Srivastava,¹²² Rao *et al.*,¹²³ Montenegro *et al.*,¹²⁴ and King *et al.*¹²⁵ with renormalization factors.

(compare the discussion for CF_4 in Ref. 9); see Fig. 18. In the same figure, we present partial H_2O^+ cross sections from Orient and Srivastava¹²² and Rao *et al.*,¹²³ renormalized by the same factors as their total ionization: the agreement is fair. In two recent experiments,^{124,125} production of ion pairs was monitored in coincidence, allowing one to distinguish processes such as $\text{H}_2\text{O} + e \rightarrow \text{H}^+ + \text{OH}^+ + e$ from $\text{H}_2\text{O} + e \rightarrow \text{H}^+ + \text{O}^+ + \text{H} + e$. Montenegro *et al.*¹²⁴ used a method of effusive gas outflow, similar to that of Orient and Srivastava¹²² and Rao *et al.*,¹²³ and applied normalization to measured CH_4 . As a consequence, similar discrepancies in absolute values between Montenegro *et al.* and Straub *et al.* emerged: we renormalized the partial ionization cross sections of Montenegro *et al.* by a

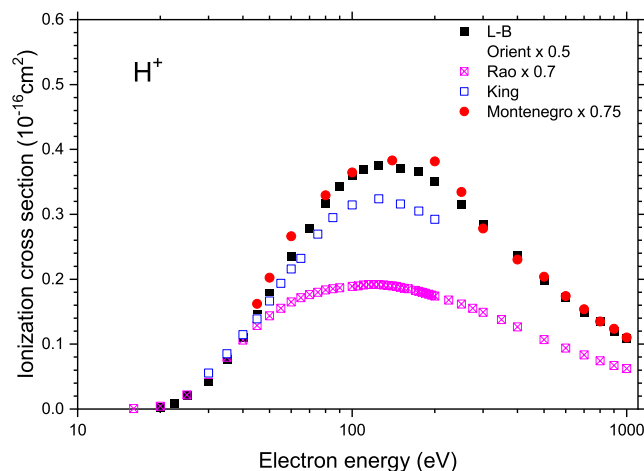


FIG. 20. Partial ionization cross section for H^+ from the Landolt-Börnstein data collection,²⁸ Orient and Srivastava,¹²² Rao *et al.*,¹²³ Montenegro *et al.*,¹²⁴ and King *et al.*¹²⁵ with renormalization factors.

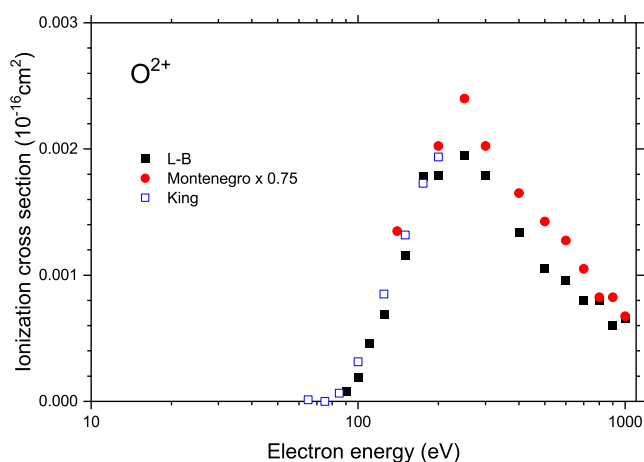


FIG. 21. Partial ionization cross section for O^{2+} from the Landolt-Börnstein data collection,²⁸ Montenegro *et al.*,¹²⁴ and King *et al.*¹²⁵ with renormalization factors.

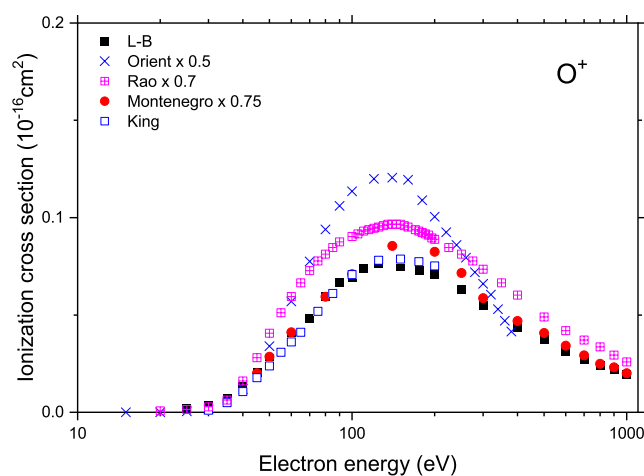


FIG. 23. Partial ionization cross section for O^+ from the Landolt-Börnstein data collection,²⁸ Orient and Srivastava,¹²² Rao *et al.*,¹²³ Montenegro *et al.*,¹²⁴ and King *et al.*¹²⁵ with renormalization factors.

factor of 0.75. In this way, their partial and the total ionization cross sections agree with other recent sets;^{28,44,119,125} see Figs. 18–23.

The yield for the heaviest dissociated ion (OH^+) is $\sim 1/3$ of the parent (i.e., H_2O^+) ion; see Fig. 19. This contrasts with CH_4 , in which the CH_3^+ ion is produced with almost the same yield as CH_4^+ . The hydrogen H^+ ion is produced almost with the same intensity as OH^+ ; see Fig. 20. The agreement for H^+ between recent data^{28,124,125} is good, while the early measurement by Rao *et al.*¹²³ suffered, probably, from incomplete collection of this light ion. O^{2+} and H_2^+ ions are each produced in less than 0.1% of ionization events; see Figs. 21 and 22.

The cross sections for the two types of fragmentation, resulting from a double ionization, i.e., ($H^+ + OH^+$) and ($H^+ + O^+ + H$), coincide at high collision energies; at 200 eV collision energy, they amount to $0.7 \times 10^{-16} \text{ cm}^2$ each; at lower energies, the first type of fragmentation dominates; see Ref. 124.

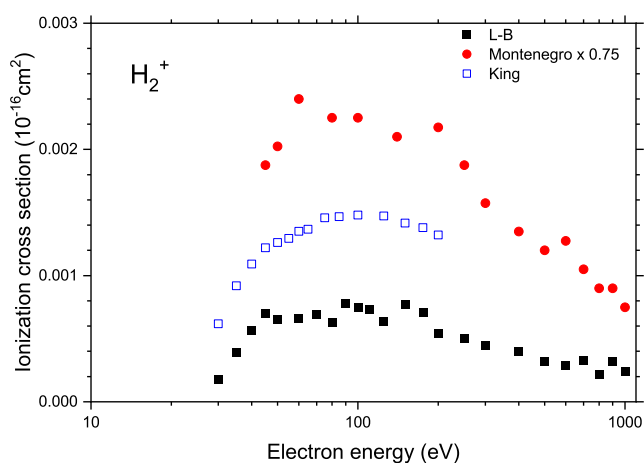


FIG. 22. Partial ionization cross section for H_2^+ from the Landolt-Börnstein data collection,²⁸ Montenegro *et al.*,¹²⁴ and King and Price¹²⁵ with renormalization factors.

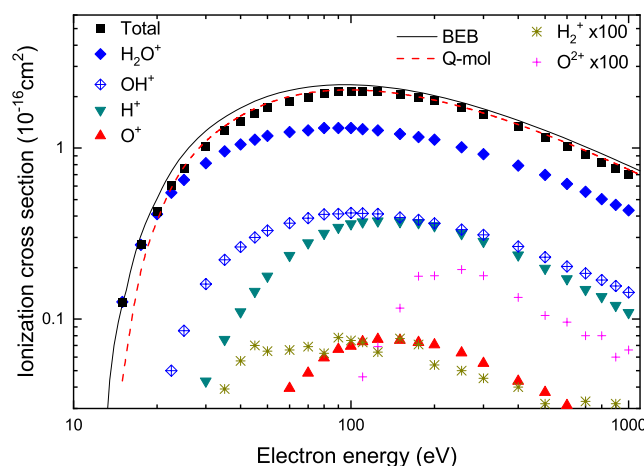


FIG. 24. The recommended total and partial ionization cross sections for H_2O .

King *et al.*¹²⁵ managed to identify the yield of fragment ions, resulting from single, double, and triple ionization. Cross sections for the production of H^+ , O^+ , and OH^+ ions in single vs double ionization events scale, at 85 eV collision energy, approximately as 10:1; see Ref. 125. At 200 eV, the double ionization is $\sim 7\%$ of the total ionization cross section.¹²⁴

The recommended total and partial ionization cross sections are resumed in Fig. 24 and in Table 12.

11. Dissociative Electron Attachment (DEA) Cross Section

Early measurements of DEA to water were made by Compton and Christophorou¹²⁷ and by Melton.¹¹² Melton found good agreement with the results of Compton and Christophorou,¹²⁷ and DEA resulted in three kinds of negative ion fragments, H^- , O^- , and

TABLE 12. Recommended cross sections for the electron-impact ionization of H₂O: data are from the review by Lindsay and Mangan²⁸ and are based on measurements performed by Straub *et al.*¹¹⁶ The values of partial cross sections for H₂O⁺, OH⁺, O⁺, and H₂⁺ are based on measurements on D₂O. The uncertainty on the total ionization cross section is 6% and on partial cross sections is ±6%, ±7%, ±9%, ±7%, ±13%, and ±16% for H₂O⁺, OH⁺, O⁺, O²⁺, H₂⁺, and H⁺, respectively

Energy (eV)	H ₂ O ⁺ (10 ⁻¹⁶ cm ²)	OH ⁺ (10 ⁻¹⁶ cm ²)	O ⁺ (10 ⁻¹⁶ cm ²)	O ²⁺ (10 ⁻¹⁶ cm ²)	H ₂ ⁺ (10 ⁻¹⁶ cm ²)	H ⁺ (10 ⁻¹⁶ cm ²)	Total (10 ⁻¹⁶ cm ²)
13.5	0.025	0.025
15	0.126	0.126
17.5	0.272	0.0013	0.274
20	0.411	0.0145	0.0024	0.428
22.5	0.549	0.0500	0.0091	0.609
25	0.652	0.0855	0.0022	0.0207	0.761
30	0.815	0.1600	0.0037	...	1.8 × 10 ⁻⁴	0.0433	1.02
35	0.958	0.222	0.0070	...	3.9 × 10 ⁻⁴	0.0759	1.26
40	1.05	0.264	0.0132	...	5.7 × 10 ⁻⁴	0.110	1.43
45	1.12	0.300	0.0207	...	7.0 × 10 ⁻⁴	0.145	1.59
50	1.18	0.329	0.0275	...	6.5 × 10 ⁻⁴	0.178	1.72
60	1.24	0.364	0.0394	...	6.6 × 10 ⁻⁴	0.235	1.88
70	1.27	0.389	0.0484	...	6.9 × 10 ⁻⁴	0.279	1.99
80	1.31	0.409	0.0594	...	6.3 × 10 ⁻⁴	0.317	2.09
90	1.31	0.412	0.0666	8.00 × 10 ⁻⁵	7.8 × 10 ⁻⁴	0.343	2.13
100	1.31	0.418	0.0695	1.94 × 10 ⁻⁴	7.5 × 10 ⁻⁴	0.360	2.16
110	1.29	0.415	0.0738	4.60 × 10 ⁻⁴	7.3 × 10 ⁻⁴	0.370	2.15
125	1.27	0.412	0.0763	6.90 × 10 ⁻⁴	6.4 × 10 ⁻⁴	0.375	2.13
150	1.21	0.393	0.0752	1.16 × 10 ⁻³	7.7 × 10 ⁻⁴	0.371	2.05
175	1.16	0.381	0.0731	1.78 × 10 ⁻³	7.1 × 10 ⁻⁴	0.366	1.99
200	1.12	0.363	0.0707	1.79 × 10 ⁻³	5.4 × 10 ⁻⁴	0.351	1.90
250	1.01	0.334	0.0634	1.95 × 10 ⁻³	5.0 × 10 ⁻⁴	0.316	1.73
300	0.921	0.311	0.0551	1.79 × 10 ⁻³	4.5 × 10 ⁻⁴	0.284	1.57
400	0.789	0.266	0.0434	1.34 × 10 ⁻³	4.0 × 10 ⁻⁴	0.237	1.34
500	0.696	0.23	0.0373	1.05 × 10 ⁻³	3.2 × 10 ⁻⁴	0.198	1.16
600	0.618	0.203	0.0313	9.60 × 10 ⁻⁴	2.9 × 10 ⁻⁴	0.172	1.02
700	0.555	0.185	0.0271	8.00 × 10 ⁻⁴	3.3 × 10 ⁻⁴	0.149	0.917
800	0.502	0.169	0.0240	8.00 × 10 ⁻⁴	2.2 × 10 ⁻⁴	0.135	0.830
900	0.465	0.156	0.0220	6.00 × 10 ⁻⁴	3.2 × 10 ⁻⁴	0.120	0.763
1000	0.432	0.143	0.0194	6.60 × 10 ⁻⁴	2.4 × 10 ⁻⁴	0.109	0.705

OH⁻. However, Melton did not detect a third peak in the H⁻ curve observed later by others as we will see soon. Belic *et al.*¹³⁶ observed H⁻ at three peaks in the cross sections at 6.5, 8.6, and 11.8 eV and interpreted these peaks as being due to the Feshbach resonances. As mentioned above, Melton showed no third peak in the H⁻ curve. Belic *et al.*¹³⁶ observed a third H⁻ peak at around 11.8 eV and concluded its intensity to be ~600 times weaker than the value at 6.5 eV. Fedor *et al.*¹³⁷ studied DEA to water and measured H⁻, O⁻, and very weak OH⁻ ion peaks as a function of the incident electron energies. However, they measured only relative intensities and reported no absolute cross sections. In the work of Rawat *et al.*¹³⁸ on DEA to water, the apparatus used eliminates discrimination due to the kinetic energy and angular distribution of the ions. As Itikawa and Mason²¹ pointed out, many early measurements of anions produced by electron impact suffered from kinetic energy discrimination of the anions. They normalized the DEA cross sections to absolute values using the cross section for production of O⁻ from O₂ (Ref. 130). However, in the results of Rawat *et al.*,¹³⁸ no OH⁻ was reported. They tried complete collection of all ions irrespective of their kinetic energies and angular distributions at the cost of mass resolution. Thus, it was not possible to separate OH⁻ from O⁻. As we have seen, OH⁻ ions were observed in

some experiments but not in some others. Melton¹¹² argued that OH⁻ + H was a true channel of DEA to H₂O, while Klots and Compton¹³⁹ argued that OH⁻ is produced by DEA to water clusters [H₂O]_n. Later, Fedor *et al.*¹³⁷ concluded that it is a direct product of DEA to water. Haxton *et al.*¹⁴⁰ argued that the energetically lowest H + OH⁻ channel does not directly correlate with the three Feshbach states (²B₁, ²A₁, and ²B₂). They therefore concluded that the OH⁻ production must be due to nonadiabatic effects. We would conclude that OH⁻ ions are produced in the process of DEA to water but could not be observed in certain experiments depending on the experimental conditions. Finally, we recommend Ref. 138 for the DEA cross sections of H⁻ and O⁻ ions. For OH⁻ ions, Melton reported the OH⁻ peak, but Rawat *et al.*¹³⁸ did not, while their absolute intensities are more trusted. Therefore, we first normalized the O⁻ peak of Melton¹¹² to the O⁻ peak of Rawat *et al.*¹³⁸ and then scaled the OH⁻ peak of Melton by that normalization factor to get the recommended cross section for OH⁻ DEA to water. We chose the O⁻ peak for normalization instead of the H⁻ peak because the mass of O⁻ ions is closer to that of OH⁻ ions, considering the kinetic energy discrimination of the anions of the experimental apparatus. Uncertainties in the cross section for producing O⁻ and H⁻ are claimed to be ±15% by Rawat

TABLE 13. Recommended dissociative attachment cross sections (CS) for the ion formation from H₂O. Note the different units for the different ions. H⁻ and O⁻ from the cross sections of Rawat *et al.*¹³⁸ and OH⁻ from the corrected cross sections of Melton *et al.*¹¹²

H ⁻		O ⁻		OH ⁻	
Energy (eV)	CS (10 ⁻¹⁸ cm ²)	Energy (eV)	CS (10 ⁻¹⁹ cm ²)	Energy (eV)	CS (10 ⁻²⁰ cm ²)
4.5	0	4.5	0.01	4.5	0.04
5.0	0.06	5.0	0.03	5.0	0.19
5.5	0.68	5.5	0.09	5.5	0.79
6.0	3.02	6.0	0.10	6.0	2.56
6.5	4.61	6.5	0.20	6.5	5.50
7.0	2.98	7.0	0.31	7.0	2.97
7.5	1.22	7.5	0.23	7.5	1.65
8.0	0.94	8.0	0.24	8.0	3.20
8.5	1.26	8.5	0.80	8.5	3.86
9.0	1.12	9.0	1.12	9.0	2.08
9.5	0.60	9.5	1.24	9.5	0.96
10.0	0.26	10.0	1.14	10.0	1.71
10.5	0.13	10.5	1.36	10.5	3.19
11.0	0.11	11.0	1.96	11.0	3.93
11.5	0.12	11.5	2.65	11.5	3.79
12.0	0.08	12.0	2.74	12.0	1.92
12.5	0.07	12.5	2.41	12.5	0.88
13.0	0.05	13.0	1.89		
13.5	0.04	13.5	1.10		
14.0	0.02	14.0	0.56		
14.5	0.02	14.5	0.28		
15.0	0.01	15.0	0.26		
15.5	0.01	15.5	0.16		
16.0	0.01	16.0	0.11		
16.5	0.01				
17.5	0.01				
18.0	0.01				
18.5	0.01				
19.0	0.01				
19.5	0.02				

et al.,¹³⁸ but for OH⁻, no uncertainty is given by Melton *et al.*¹¹² Recommended cross sections for DEA to water are given in Table 13 and Fig. 25, respectively.

12. Summary and Future Work

Since the most recent comprehensive review²¹ in 2005 on H₂O, substantial experimental (and theoretical) progress has been made. Figure 26 summarizes our recommended electron collision cross sections for H₂O. TCSs in the low energy range have been measured with a high angular resolution,²⁶ indicating that earlier measurements were underestimated due to the angular resolution error; in this way, also the theoretical corrections³⁷ to the TCS gain an experimental validation. Long-standing contradictions on elastic scattering (and therefore also the beam measurements of the momentum-transfer cross sections) got an important experimental contribution from California State University (CSU)⁶⁹ and now seem to correspond to the theoretical indications.⁵⁰

With support from the theory,⁵⁰ we solve the earlier ambiguities in defining total and EMT cross sections;¹⁹ see Fig. 7. Earlier

electronic-excitation cross sections, which were measured, were also subject to experimental uncertainties and seem to converge to a self-consistent set, thanks to new experiments from CSU,¹⁴¹ Tokyo Sophia University, and Adelaide Flinders University.¹⁰¹

The same holds true also for the vibrational excitation, with a new measurement⁸⁹ in 2009. However, as the non-resonant vibrational excitation in H₂O is a theoretical “classics,”¹⁴² the theory of the resonant vibrational excitation^{90,92,143} would profit from new contributions.

Still single (and normalized) measurements^{113,117} on the dissociation into neutrals exist, and the agreement with optical-emission cross sections is poor.

We do not make corrections to earlier recommended ionization cross sections.^{21,28} However, we note new, detailed studies using the ion-coincidence technique,^{124,125} which potentially bring important information on the ion-fragmentation of the molecule.

The controversy between the theory¹⁴⁰ and early experiment¹¹² on the production of the OH⁻ ion got the verification,^{137,138} but the yield of OH⁻ is very low, so some theoretical understanding of possible mechanisms is needed.

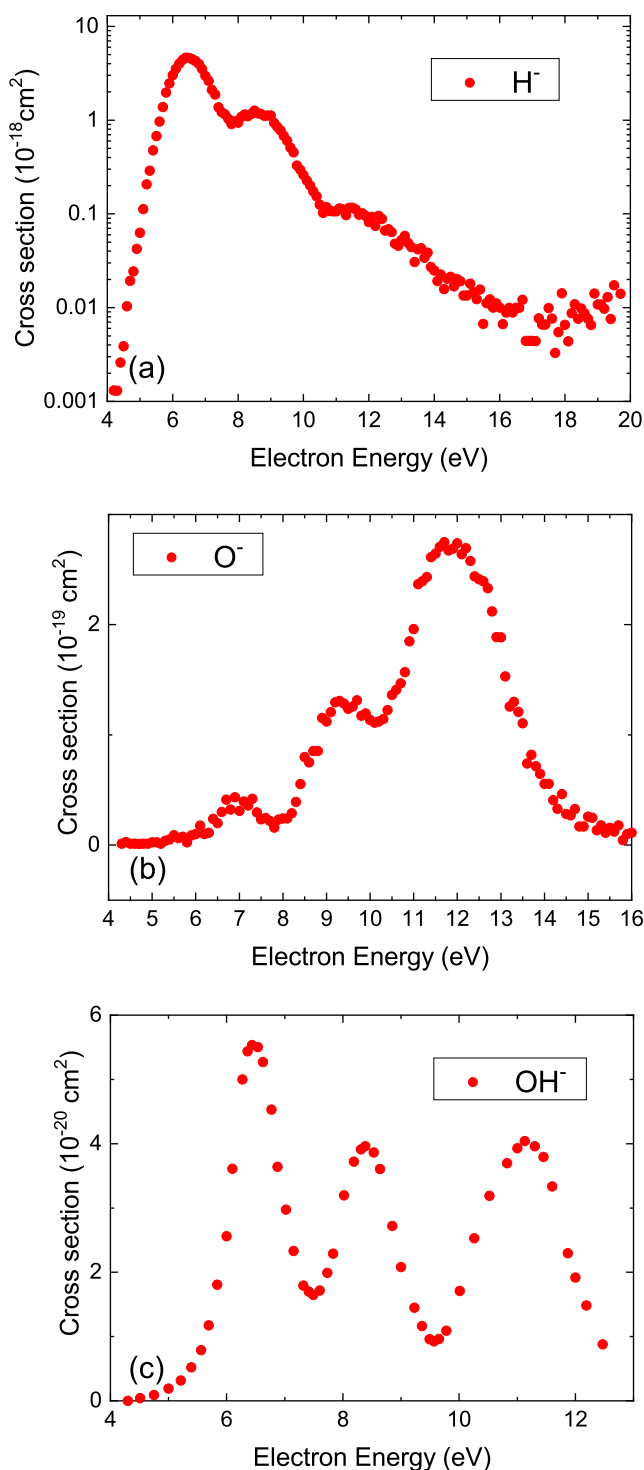


FIG. 25. Recommended cross sections for (a) H^- , (b) O^- , and (c) OH^- ion formation from H_2O . Note the different units for the different ions. H^- and O^- from the cross sections of Rawat *et al.*¹³⁸ and OH^- from the corrected cross sections of Melton *et al.*¹¹²

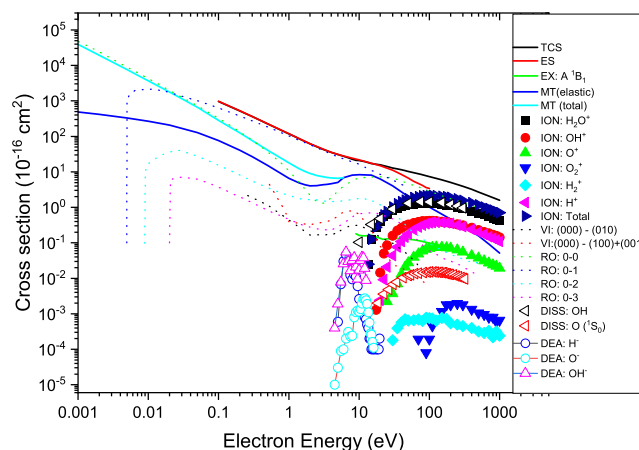


FIG. 26. Summary of the recommended cross section for electron collisions with H_2O . TCS—total scattering, ES—elastic scattering, MT—momentum transfer, ION—ionization, VI—vibrational excitation, RO—rotational excitation, EX—electronic excitation, DEA—dissociative electron attachment, and DISS—neutral dissociation.

In summary, it seems that the previous review²¹ triggered broad collaborations between the theory and experiment. This is particularly needed for such an important process like electron scattering on water in gas, liquid, and solid states.

13. Supplementary Material

See the [supplementary material](#) for the additional data on rotational excitation (by Faure, Gorfinkiel, and Tennyson^{50,60}).

Acknowledgments

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Data Availability

The data that support the findings of this study are available within the article and its [supplementary material](#).

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