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Performance prediction of radial ORC Turboexpanders 1 2 Daniele Fiaschi^(*), Giampaolo Manfrida, Francesco Maraschiello 3 4 5 Università degli Studi di Firenze, Dipartimento di Ingegneria Industriale Viale Morgagni 40/44 50134, Firenze Italy 6 Email: daniele.fiaschi@unifi.it 7 8 francesco.maraschiello@unifi.it 9 giampaolo.manfrida@unifi.it

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12 Abstract

In this paper, a zero-dimensional model for the design of radial Turbo-Expanders for ORC 13 14 applications is discussed, with special reference to the estimation of losses and efficiency; a comparison between different fluids (R134a, R1234yf, R236fa, R245fa, Cyclo-Hexane, N-Pentane) 15 is presented and discussed, referring to a typical small-size application (50 kW). In the model, 16 17 different methods for the design of radial turbines are screened, with special attention to the estimation of losses, for which correlations from literature are used. Real Equations Of State (EOS) 18 are applied to the expansion process in place of the traditionally adopted Mach relationships for 19 ideal gas, which is a significant advancement for modeling organic fluids in ORC, often operating 20 near to critical conditions. The results show that the total to total efficiency of the designed 21 machines range between 0.72 and 0.80, depending on the considered fluid. Generally, higher 22 efficiency (1.5 - 2.5 % points) can be achieved adopting backswept-bladed rotors. The most 23 24 significant losses come from the rotor secondary flows, due to the high curvature of blade profiles 25 combined to the large pressure gradient. The best performing fluids are R236fa and R245fa, followed by R134a and R1234yf. 26

Finally, starting from the developed design tool, an off-design analysis of turbo-expanders is
presented. Once the design data are available, the characteristic curves of the expander at variable
temperature, pressure and fluid mass flowrate at the expander inlet for different values of the
specific speed are built. It is thus possible to evaluate the performance of the radial expanders when
working far from design point. This analysis, demonstrated for R134a, shows that the total to static

(*)Corresponding Author

efficiency has a relatively modest sensitivity to the off design of the expansion ratio, especially atcorrected speed below the design value.

34

35 Keywords

36 Radial Turbine Design, Expansion Efficiency Losses, Off design, Micro ORC

37

38 **1. Introduction**

Organic Rankine Power Cycles (ORC) are becoming a leading technology for energy conversion, 39 with special reference to low size (< 100 kW) and low-temperature applications (T<150°C), where 40 the use of steam is not convenient. The thermodynamic properties of organic fluids make them very 41 42 interesting for small/medium size power plants (50 to 5000 kW or more, at present); applications of ORC cycles range from heat recovery at gas turbine discharge [1, 2, 3] or internal combustion 43 44 engines [4, 5], to energy conversion from biomass [6], solar [7, 8, 9], and geothermal resources [10, 11, 12]. Due to the low working temperature, ORCs have typically low efficiency levels: for this 45 reason, the accurate design of the expander is a very important issue to avoid further appreciable 46 reduction of performance. For these applications and power range, radial (or mixed flow) turbines 47 are usually preferred to the axial ones, because they offer several advantages: a low degree of 48 reaction (thereby simplifying sealing), capability of dealing with large enthalpy drops with 49 relatively low peripheral speeds, possibility of adopting a single-stage design. On the whole, this 50 results in good performance and affordable price. The optimization of the thermodynamic cycle, 51 with special reference to fluid selection, has been studied widely in the last years. Fluid-dynamic 52 design of turbo-expanders can take advantage of the availability of modern CFD methods [....]; 53 however, there is a need for preliminary design methods, and of modeling tools capable of 54 predicting the off-design performance (which is determined, for example, by the variation of the 55 resource for solar-driven EGS, or by variation of ambient temperature in geothermal or heat 56 57 recovery applications). In the field of low power output (i.e. up to 100 - 150 kW), radial expanders are almost the only choice, with the eventual competition of screw expanders. Generally, literature 58 59 is rich of theoretical – experimental correlations for the estimation of losses in axial expanders, 60 whereas much less data are available for radial turbines [13 - 30]. Most data available refer to ideal 61 gas and make use of the Mach compressibility relations [13-20]. This may not be a satisfactory approximation when dealing with ORC turbines, which operate near the saturation line or close to 62 63 the critical point. In the model hereafter proposed, correlations from literature are used [13-30], but real Equations Of State (EOS) are applied to the expansion process (static and total variables) in 64

place of ideal gas relations. This is an important feature, allowing to preliminary design and 65 66 performance prediction of turbo-expanders that work with real substances. The correlations can be refined progressively as more data on ORC expanders become available, either from field 67 operation, or from specific test arrangements. The thermodynamic properties of theworking fluids 68 are calculated using the libraries of the EES software, which is the programming environment 69 70 adopted in this work. Making use of the design model, a sensitivity analysis investigating the effects of the different design parameters on the expander performance is presented. Finally, an off-design 71 model has been developed and some results are discussed, in order to assess the behavior and 72 73 estimate the performance of the turbo-expanders when working out of nominal conditions. This 74 often happens when the ORC high-temperature resource is a time-dependent energy source, like 75 solar; but also, with seasonal change of condenser conditions, on account of the heat/mass transfer performance of the cooling system (condenser/cooling tower/air cooler). 76

77

78

2. Fundamental design concepts and parameters for Radial-Inflow Turbines (IFR)

79 Radial-inflow turbines have been less studied than the axial ones, and have been manufactured by a limited number of companies [31, 32, 33]. The fundamental design of radial-inflow turbines is 80 presented and discussed in books and scientific papers [13-30], most of which are based on 81 82 experimental work performed at NASA between 1965 and 1975 [16, 17, 18, 20, 30]. At that time radial turbines, working with ideal gases (air, helium), were designed and tested for aerospace 83 applications. The high values of centrifugal stresses on rotor blades and the limits on materials 84 performance and production technology led to the design of the ideal 90° IFR turbine: that is, a 85 rotor having radial blades at inlet. Some years later (1983) NASA researchers [18] studied and 86 developed a radial – inflow turbine with a more performing rotor design, characterized by a blade 87 88 sweep angle b₂ at rotor inlet (IFG, figure 1). The typical velocity triangles are shown in figure 2; in both cases, the absolute velocity c₃ is assumed to result axial at rotor outlet, in order to guarantee 89 90 good diffuser performance (figure 2). Generally, nominal design conditions are referred to zeroincidence at rotor inlet and zero-deviation at rotor outlet; however, in radial turbines, the best 91 efficiency values are obtained when incidence is non-zero (figure 3): this is a consequence of the 92 rotational flow, which displaces the tangential component of the relative velocity (w_u) in the 93 opposite direction with respect to the peripheral rotor velocity. From the technical literature [13, 14] 94 it appears that the best values of rotor inlet angle in the relative flow are between -20° and -40° , 95 referred to the camber line direction (the positive sign is conventionally assumed toward the 96 97 direction of peripheral velocity u).

98 In radial turbine design, some non – dimensional parameters help designers to select a geometry

99 optimizing efficiency using a limited set of variables; the following parameters are recommended in

the literature [13, 14, 17, 19, 20]:

101 $\frac{d_{3h}}{d_{3s}} = 0.40$ (1)

102
$$\frac{a_{3s}}{d_2} = 0.70$$
 (2)

103

Another important parameter is the *isentropic velocity ratio* (u_2/c_s) , which maximizes the efficiency in the range 0.69 - 0.71 [13, 14, 17, 19, 20]. c_s is the *spouting velocity*, defined as the velocity at which the kinetic energy of the flow is equal to the isentropic enthalpy drop from turbine inlet stagnation pressure p_{01} to the final exhaust pressure [14]. The definition of spouting velocity is different depending on (I) whether a diffuser is present or not downstream the turbine, see relationships (3) and (4, 5) respectively, and (II) if total (4) or static (5) conditions are considered at the turbine exit (figure 4):

111
$$c_s = \sqrt{2(h_{01} - h_{4ss})}$$
 (3)

112
$$c_s = \sqrt{2(h_{01} - h_{03ss})}$$
 (4)

113
$$c_s = \sqrt{2(h_{01} - h_{3ss})}$$
 (5)

114

115 **3. Design Guidelines**

3.1 Input data and expected process output

The input data consist in the expander rated power output, in the thermo-fluid dynamic variables determined by the thermodynamic cycle [36], and in a set of dimensional and non – dimensional parameters chosen by the designer (table 1)..The outputs of the calculations are the basic geometry with the related velocity triangles and the efficiency of the designed expander. The model is also able to calculate the turbine losses and their relative share in the resulting inefficiency.

122

123 **3.2 Preliminary sizing**

The first step is the preliminary calculation of geometry, using the non – dimensional parameters
listed in table 1, which determine also the isentropic nozzle and rotor enthalpy variations. The load

and flow coefficients ($\Psi = \Delta h_0/u_2^2$ and $\Phi = c_{m2}/u_2$ respectively) are adjusted to calculate the

peripheral velocity (u_2) , specific speed (n_s) , speed of revolution (ω) and meridional component of absolute velocity at nozzle exit/rotor inlet (c_{m2}) . These data are used for the evaluation of the mass flow rate \dot{m} :

130
$$\dot{m} = \rho_2 c_{m2} b_2 d_2 \pi (1 - BK_2)$$
 (6)

The meridional component of the absolute velocity at nozzle inlet (c_{m1}) - which is the same as absolute velocity (c_1) as the flow at the IGV nozzle inlet is assumed to be radial - is given by the application of mass balance in section 1 (figure 1):

134
$$c_{m1} = \frac{\dot{m}}{\rho_1 \pi b_1 d_1 (1 - BK_1)}$$
 (7)

135 Consequently, knowing the inlet enthalpy $h_1(p_1, T_1)$, it is possible to calculate the total enthalpy 136 (h₀₁). The thermodynamic variables at point 2 (p₂, T₂, h₂, s₂, ρ_2 , Ma_{2} , $Ma_{u2} = \frac{u_2}{VS_2}$, $Ma_{r2} = \frac{w_2}{VS_2}$) and 137 the velocity triangles at rotor inlet are determined calculating first the nozzle isentropic expansion 138 and then the real transformation using the nozzle loss coefficient ξ_N (figure 2):

139
$$h_2 = h_{2s} + 0.5\xi_{\rm N}c_2^2$$
 (8)

Once the nozzle exit/rotor inlet conditions are known, the thermodynamic variables at point 3 (rotor exit/diffuser inlet, figure 1) are calculated by solving at first the rotor isentropic expansion and assuming that the difference between the absolute velocities related to isentropic and real expansion at that point is negligible. The relative velocity at rotor output can be calculated by the conservation of rothalpy [14], figure 2:

145
$$i = h + 0.5w^2 - 0.5u^2$$
 (9)

The meridional component of the absolute velocity at point 3 is determined by the conservation ofmass (figure 2):

148
$$\dot{m} = \rho_3 c_{m3} \frac{d_{3s}^2 - d_{3h}^2}{4} \pi (1 - BK_3)$$
 (10)

Finally, using the rotor loss coefficient and assuming an axial discharge at rotor outlet, the staticenthalpy and velocity triangles at rotor outlet can be calculated:

151 $h_3 = h_{3s} + 0.5\xi_{\rm R} w_3^2$ (11)

152 The calculation of the real conditions at diffuser outlet is done by combining the total enthalpy 153 balance and the definition of diffuser loss coefficient ξ_D .

155 **3.3 Geometry of the stator (IGV)**

The prediction of the angle of flow leaving the bladed nozzle of a radial turbine, discussed in the 156 previous section, is a fundamental design topic. The next step is the calculation of the angles of 157 158 blades, which are radial at inlet for no pre swirled IGVs. At outlet, while leaving the nozzle, the flow does not follow the vanes completely but it turns toward the meridional direction of an angle 159 160 known as deviation, due to the combined effects of boundary layer growth (limited by the accelerating flow) and the subsequent abrupt expansion due to the trailing edge thickness. As a 161 simple design approach, the actual angle of blades at nozzle outlet is calculated interpolating data 162 from Hiett and Johnston [13, 29], which have a rather linear behavior, approximated by the 163 interpolating function $\alpha_{b2} = 0.884 \cdot \alpha_{b2} + 4.56$. 164

Another important parameter is the number of stator blades, which directly influences the losses. 165 166 Increasing the number of blades leads to better flow guidance at the price of higher frictional losses. A general design approach to define the stator number of blades is that it should be a prime number 167 168 compared to the rotor one. In addition to this basic criterion, the criterion of Zweifel on the optimal ratio between the chord and the blade spacing can be adopted [14; 23]. It suggests that, in order to 169 minimize the losses, the ratio between the tangential load of an actual to that of an ideal blade (Ψ_T) 170 should be about 0.80 Knowing the absolute flow angles at nozzle inlet and outlet, and after 171 calculating the chord length, from the expression of blade pitch $Z \cdot s = \pi \cdot d_2$, the following equation 172 (12) gives the optimum blade spacing, from which the number of blades can be easily determined: 173

174
$$\Psi_T = 2\left(\frac{s}{x}\right)\cos^2\alpha_2(\tan\alpha_1 + \tan\alpha_2)$$
 (12).

175

3.4 Optimal incidence at rotor inlet and number of rotor blades

Calculating the number of rotor blades is a fundamental issue in the design of radial turbines, because it defines the basic structure of the machine and has a primary role in the estimation of losses. There are no absolute criteria allowing an univocal evaluation of number of blades; the design guidelines tend to avoid very low local velocities near the blade surface in the inlet region of the rotor, with a consequent tendency to early separation [13]. On the other hand, the adoption of a high number of blades is not so convenient, especially for small rotors: the blockage effects, the weight and inertia of the rotor become very high. Moreover, a large number of blades is also responsible for a large wetted surface area, which increases the friction losses. In the present model, rather than using the formulation proposed by Jamieson [14, 15], which determines an exceedingly large number of rotor blades, the formulation proposed by

187 Glassman [16] is followed:

188
$$Z_R = \frac{\pi}{30} (110 - \alpha_2) \tan \alpha_2$$
 (14).

Once the number of blades is determined, the optimum rotor incidence angle can be calculated. As previously discussed, better efficiency values are achieved when incidence is non-zero. Referring to the camber line direction, the best values of rotor inlet angle in relative flow are between 20° and 40° counterclockwise.

193 Referring to IFR rotors (radial blades at rotor inlet), the following are the recommended 194 correlations:

195
$$\tan(\beta_{2opt}) = \left(\frac{2}{Z_R}\right) \frac{u_2}{c_{m2}}$$
 (15), [14]

196 $\tan(\beta_{2opt}) = 1 - \frac{0.73\pi}{Z_R}$ (16), [13]

197
$$\tan(\beta_{2opt}) = \frac{-1.98\tan(\alpha_2)}{Z_R\left(1 - \frac{1.98}{Z_R}\right)}$$
 (17), [13]

198 The above equations (15 - 17) provide similar results, even though (16) has been obtained

considering minimum Mach number conditions at the rotor inlet [13]. From these relationships, one
can notice that the optimum rotor incidence angle depends on the number of rotor blades and on the
kinematic conditions of flow.

In the case of the IFG design (non- radial blades at rotor inlet), the procedure suggested by Meitner & Glassman [18] can be followed by calculating first the optimal value of the peripheral component of the absolute velocity:

$$206 \quad c_{u2 opt} = \begin{cases} u_2 \left(\frac{\left[1 - \sqrt{\cos(\alpha_{b3})} / (Z_R)^{0,7}\right] \left\{1 - \left[(r_3 / r_2 - \varepsilon_{lim}) / (1 - \varepsilon_{lim})\right]^3\right\}}{1 - \frac{tg(\alpha_{b3})}{tg(\alpha_2)}} \right) \quad if \ \frac{r_3}{r_2} > \varepsilon_{lim} \ (18a), [18] \\ u_2 \left(\frac{\left[1 - \sqrt{\cos(\alpha_{b3})} / (Z_R)^{0,7}\right]}{1 - \frac{tg(\alpha_{b3})}{tg(\alpha_2)}} \right) \quad if \ \frac{r_3}{r_2} \le \varepsilon_{lim} \ (18b), [18] \end{cases}$$

207 The parameter ε_{lim} can be determined by:

208
$$\varepsilon_{lim} = \frac{1}{e^{8.16\cos\alpha_{b3}/Z_b}}$$
 (19), [18]

209 Once $c_{u2 opt}$ has been calculated, it is possible to calculate the relative velocity and blade angle as 210 follows:

211
$$w_{u2 opt} = c_{u2,opt} - u_2$$
 (20), [18]

212
$$\beta_{2 opt} = tan^{-1} \left(\frac{w_{u2,opt}}{c_{m2}} \right)$$
 (21), [18].

213

214 3.5 Expander Efficiency, Power Output, Degree of Reaction and Specific Speed

215 The calculation of the expander efficiency, power output, design degree of reaction and specific

speed are following the guidelines in [37], which are briefly recalled for completeness.

The efficiency can be either referred to turbine discharge or including also the diffuser; in the firstcase, the Total-to-Total and Total-to-Static efficiency are given by:

219
$$\eta_{tt} = \frac{h_{01} - h_{03}}{h_{01} - h_{03SS}}$$
 (22)

220
$$\eta_{ts} = \frac{h_{01} - h_{03}}{h_{01} - h_{3ss}}$$
 (23)

221 If the diffuser is included, the Total-to-Static efficiency becomes:

222
$$\eta_{ts} = \frac{h_{01} - h_{03}}{h_{01} - h_{4ss}}$$
 (24)

223 The power output can be calculated by one of the equivalent three following equations:

224
$$W = \dot{m}(h_{01} - h_{03})$$
 (25)

225
$$W = \dot{m}(u_2c_{u2} - u_3c_{u3})$$
 (26)

226

227
$$W = \frac{1}{2}\dot{m}[(u_2^2 - u_3^2) + (c_2^2 - c_3^2) - (w_2^2 - w_3^2)]$$
 (27)

228 The degree of reaction and the specific speed are given by:

229
$$R = \frac{h_2 - h_3}{h_1 - h_3} \tag{28}$$

230
$$n_s = \frac{NQ_3^{1/2}}{\Delta h_{0s}^{3/4}}$$
 (29) [14]

231 where

232 $\Delta h_{0s} = h_{01} - h_{03ss} \tag{30}$

233

4. Calculation of losses

In order to evaluate the actual performance of a turbomachine, the contributions of different losses must be calculated. This calculation cannot be substituted by advanced CFD methods, because it provides vital information to the designer, about the process of loss buildup determining the final turbomachine performance. On the other hand, advanced CFD is very useful for cross-checking the overall results of the efficiency/loss model, and often to supplement data which would require very detailed (often impossible) measurements.

Generally, the first step of the design procedure considers consequently the effects of losses through appropriate dimensionless coefficients. The related efficiency drop $\Delta \eta$ is then subtracted from the isentropic value to calculate the actual efficiency:

244 $\eta_{act} = \eta_s - \Delta \eta$ (31), [13]

In this model, the overall loss of the turbine is obtained by the sum of several contributions, each one estimated through correlations which depend on kinematic and geometric parameters. The dimensionless loss coefficients are defined in several ways, and it is important to merge them to a common basis, in order to apply them within the same model and do some reliable comparisons with results from literature. Referring to the jth loss:

250
$$\xi_j = \frac{h_j - h_{j,is}}{\frac{1}{2}V_j^2}$$
 (32), [13]

251
$$\Delta q_j = \frac{h_{0j} - h_{0j,is}}{u_j^2}$$
 (33), [13]

This is the approach followed in the present model: starting from non – dimensional loss coefficients obtained from the correlations, it calculates ξ and the related efficiency drop($\Delta \eta$), for each kind of loss. It allows, in all kinds of expanders and operating conditions, to analyze the distribution of losses and to investigate how do they affect the overall performance. The so built
model provides a reliable basis to improve the design of different kinds of rotors with several
possible working fluids.

258

259 4.1 Stator losses

The stator losses, which are generally lower than the rotor losses, have been often evaluated with less accuracy in the literature [13, 24]. They are generally based on experimental data and make use of equations for stationary ducts. Referring to the experimental tests of Hiett and Johnston, Benson determined the stator loss coefficients ($\xi_N = 0.05 - 0.15$) [24], showing that they are very small compared to the corresponding values in the rotor. For the estimation of stator losses it is possible to apply Rodger's correlation [13]:

266
$$\xi_N = \frac{0.05}{Re^{0.2}} \left[\frac{3 tg\alpha_2}{s/x} + \frac{scos\alpha_2}{b_2} \right]$$
(35), [13]

267 where:

268
$$Re = \frac{c_2 b_2}{v_2}$$
 (36)

269

270 **4.2 Rotor losses**

The flow in the rotor of a radial turbine is subject to a rapid acceleration in the flow direction, and to a turn both in the meridian plane and along the camberline. These effects give rise to a complex pattern of secondary flows. The flow in the rotor of a radial turbine does not result into a high growth of the boundary layer and separation, even though, due to the three dimensional behavior, it develops a significant non uniformity of the total pressure inside the flow channel, which can lead to generation of losses. The probability of this occurrence increases when the blade loading is augmented. In the present model, the losses are divided in different contributions:

278 - Incidence loss;

- 279 Skin friction loss;
- 280 Tip clearance loss;
- Blade loading loss;
- 282 Disk friction loss.

284 **4.2.1 Rotor Incidence loss**

In the actual working conditions of the expander, the incidence angle of the relative flow at rotor 285 inlet is rarely at the optimal value (equations 15 - 17). For this reason, the incidence loss appears. 286 The recommended models for the estimation of rotor incidence loss were developed at NASA [13, 287 14, 24]. The general approach is to assume that the kinetic energy associated with the variation of 288 289 the tangential component of the relative velocity with respect to the design value, which is the result of the fluid – blade impact, is converted in internal energy of the fluid, which leads to an increase of 290 entropy. The detailed calculation procedure is reported in [14]. The incidence losses may also be 291 calculated using alternative approaches [13], obtained with the same conceptual assumptions and 292 293 therefore formally similar:

294
$$\delta h_{0,i} = \frac{w_2^2 \sin^2(|\beta_2 - \beta_{2,opt}|)}{2}$$
 (37), [13];

295
$$\delta h_i = \frac{\left(w_2 \sin\beta_2 - w_2 \sin\beta_{2,opt}\right)^2}{2}$$
 (38), [13].

The above discussed methods provide similar results, consistent with the literature. Thus, any ofthe two proposed correlations may be adopted leading to negligible differences.

298

299 **4.2.2 Friction losses**

Friction losses can be estimated referring to a rotor-equivalent duct working on the same flow rate[26]:

302
$$\xi_{R,f} = \frac{\lambda_R L_R^*}{D_R^*}$$
 (39)

Details about the calculation of the characteristic diameter and length can be found in [24] or [26].
As for the stator, the friction factor can be determined using Moody's diagram. The relative
roughness and Reynolds number are estimated referring to the rotor-equivalent duct. Alternatively
to equation (39), the frictional losses may be calculated using the following expression:

307
$$\Delta q_{R,f} = \frac{4\lambda_R [(w_2/VS_{01})^2 + (w_3/VS_{01})^2]}{4(D_R^*/L_R^*)(u_2/VS_{01})^2} \quad (40), [13].$$

308 or

This correlationtends, generally, to overestimate the friction losses by 70 - 80%.

312 **4.2.3 Tip clearance losses**

Tip clearance losses are due to the fluid leaking through the clearance gaps between the blade tips and the shroud. With reference to the blade geometry, in radial turbines two different types of clearances can be distinguished from the construction point of view: axial at inlet and radial at outlet [30, 38]. However, there is not a net distinction between the two kinds of clearance, but a gradual and continuous change (figure 5). Referring to studies performed at NASA [30] and more recent CFD calculations [38], it may be affirmed that the contribution of radial clearance to the overall loss is almost one order of magnitude higher than the axial one [13, 15, 30, 38].

Several different correlations have been proposed for tip clearance losses, some of which are
specific for radial inflow turbines [...] and others are derived from centrifugal compressors [...]. A
wide spread in the results can be produced using different models. Here, the model of Rodgers [13]
is proposed:

324
$$\Delta q_{R,cl} = 0.4 \left(\frac{\varepsilon}{b_2}\right) \left(\frac{c_{u2}}{u_{t,le}}\right)^2 \qquad (43)$$

Equations (43) provide low values of clearance losses, which result in poor agreement with literature. Specifically, it happens when the values of axial clearance in equation are used. When the values of radial clearance are adopted, higher agreement with literature results are achieved [30] for equation (43).

329

4.2.4 Blade loading loss (including secondary flow)

Blade loading loss, including secondary flow, are caused by the high curvature of the profile and the pressure gradient in the rotor vanes. They give the largest contribution to the reduction of the expander efficiency. However, they are not extensively reported and discussed in literature, often because they are threated in combination with other losses using experimental coefficients [18, 24, 28]. The model here proposed evaluates the secondary flow losses through correlations, as functions of kinematic and geometric parameters. For the calculation of blade loading losses, the following correlation proposed by Rodgers can be used [19]:

338
$$\delta q_{R,bl} = 2 \frac{\left(\frac{c_{u2}}{u_{t,le}}\right)^2}{Z_R \frac{z}{r_2}}$$
 (48)

Where z/r_2 is the ratio between the expander axial length and rotor inlet radius[19].

For the calculation of profile losses, another correlation proposed by Rodgers [40] and suitablyrevised by Whitfield [19] may be adopted:

342
$$\delta q_{R,p} = 0.5 \left(\frac{\frac{b_2}{r_2} + \frac{b_3}{r_2}}{1 - \left(\frac{r_3}{r_2}\right)^2} \right) \left(\frac{w_2^2 + w_3^2}{2VS_{01}^2} \right) \left(\frac{VS_{01}^2}{u_2^2} \right) \quad (49).$$

When the results achieved from equations (48) and (49) are compared with those of literature, one must face the problem of lack of sufficient data for this kind of losses. However, comparing the values of the overall loss coefficient and efficiency with those reported in the literature, it seems that the above described correlations provide fairly reliable results.

347

348 4.2.5 Disk friction losses

Disk friction losses are produced in the enclosure between the back disk side of the impeller and the case of the machine, where an amount of fluid can leak due to the pressure gradient and rotate around the rotor axis.. In the present model, the formulation of Whitfield [13] was adopted, which is based on the original model of Daily & Nece [....], in alternative to the model proposed by Benson which provides exceedingly large values with respect to the available test data:

354
$$\Delta q_{R,df} = \frac{0.25\overline{\rho} \, u_{t,le} r_2^{\ 2} K_{\nu}}{\dot{m}}$$
(50)

355 where:

356
$$k_{v} = \begin{cases} \frac{\left[3.7\left(\frac{\varepsilon_{ax}}{r_{2}}\right)^{0.1}\right]}{Re^{0.5}} , Re < 3 \cdot 10^{5} \\ \frac{\left[0.102\left(\frac{\varepsilon_{ax}}{r_{2}}\right)^{0.1}\right]}{Re^{0.2}} , Re > 3 \cdot 10^{5} \end{cases}$$
(51)

357
$$Re = \frac{u_2 r_2}{v_2}$$
 (52).

As a possible alternative, providing similar results (in the range of 1%), the correlations proposed
by NASA [14, 17, 18] can be recommended.

361

362

363 **4.3 Diffuser loss**

A diffuser is generally present in radial turboexpanders downstream of the rotor, in order to allow the partial recovery of the large kinetic energy still available through controlled diffusion of the fluid. The calculation of the diffuser loss follows the standard procedure described in [42].

367

368 5. Results and parametric analysis (Design process)

Making use of the above-described loss correlations, a parametric analysis has been run to assess the behavior of losses against the main design parameters and input data:

- 371 *a.* Blade height inlet rotordiameter ratio (b_2/d_2)
- 372 b. Flow coefficient (Φ)
- 373 c. Load coefficient (Ψ)
- 374 d. Isentropic degree of reaction (R_s)

The reference case is a 50 kW turboexpander operating with a saturated or superheated vapour ORC between
the upper/lower temperature levels of 147/95 °C (referred to R134a [37]).

5.1Blade height – inlet rotor diameter ratio (b₂/d₂)

This parameter influences the power output, mass flow rate, efficiency, blade shape and 378 flow conditions, especially at rotor outlet (figures 6,7) for both radial (IFR) and backswept 379 (IFG) rotor geometries. The mass flowrate (and thus the power output) increases linearly 380 when b_2/d_2 is augmented. The absolute value is strongly dependent on the considered fluid: 381 for example, the cyclohexane flow rate is much lower than that of the other working fluids, 382 because of the larger specific enthalpy drop. Among the fluids here considered, R1234yf 383 shows the highest flowrate. Generally, with the reduction of density and velocity of fluids, 384 the required blade height ratio increases at fixed expander power output. It is also important 385 to remark that, for a fixed flowrate, expanders with backswept blades (IFG) require higher 386

 b_2/d_2 to achieve the same flow rate as for the IFR design Generally, the rotor outlet blade 387 height increases with increasing b_2/d_2 (i.e. the ratio between hub and shroud diameters at 388 rotor outlet, d_{3h}/d_{3s} decreases, figure 7). R134a and R1234yf show a particular trend, with 389 remarkable differences between IFR and IFG designs (figure 7). This is due to the fact that 390 the outlet rotor blade height is determined from the mass flowrate balance, with the 391 constraint of axial flow. Consequently, the meridional component of the outlet rotor velocity 392 increases with the reduction of blade height. Figure 7 reflects a widely different design 393 geometry of expanders working with different fluids.) 394

395 a. **5.2Flow coefficient** (Φ)

 Φ defines the velocity triangle at impeller inlet. The flow coefficient is one of the main 396 397 parameters in the design of turboexpanders, as it directly influences the mass flow rate, the performance (power output and efficiency), the geometry and the rotor number of blades. To 398 give an idea of how Φ influences the flow, the variation of shape of the velocity triangle at 399 rotor inlet at two different values of Φ is shown on figures 8 a) (radial rotor blades IFR) and 400 8 b) (backswept rotor blades IFG). Keeping constant the other non-dimensional design 401 402 parameters, the meridional component of the inlet absolute velocity (c_{m2}) increases with increasing Φ , whereas the peripheral velocity remains almost unchanged, as it mainly 403 depends on the load coefficient. This results in an increase of absolute and relative velocity 404 405 at rotor inlet (c_2 and w_2 respectively) and in a reduction of the related flow angles (α_2 and β_2). The change in β_2 directly affects the incidence losses, whereas α_2 influences the number 406 407 of rotor blades, according to equations (13) and (14): as α_2 decreases with increasing flow coefficient, the number of rotor blades is reduced, as shown on figure 9 which reports the 408 optimized values of Z_R vs. Φ . It must be remarked that, given the small size of the 409 investigated expanders, it is a good practice trying to reduce large numbers of blades which 410 results from the application of Eq. 14 (Glassman theory), in order to reduce the blockage 411 effects. In fact, it is still possible to achieve high efficiencies also with a number of rotor 412 blades much lower than that proposed by Eq. 14. Within the considered field of flow 413 coefficient (typical of radial turboexpanders, $0.08 < \Phi < 0.22$), no significant differences in 414 the "optimal" number of blades was found for the different investigated fluids (R134a shows 415 416 the lowest optimal number of blades, whereas CycloHexane shows the highest one, for both 417 IFR and IFG geometries). From figure 10, it is evident that backswept bladed (IFG) expanders have a total to static efficiency (η_{ts}) 1.5 – 2 points higher than radial (IFR) ones, 418 which is in agreement with [18]. Generally, η_{ts} increases with Φ (with the exception of 419

cyclohexane for IFG rotors). The highest values of η_{ts} are achieved by R134a and R1234yf, whereas the lowest ones are shown by R245fa and cyclohexane.

422 **5.3 Load coefficient** (Ψ)

423 Ψ is a fundamental parameter in the design of turboexpanders, because it deeply influences their performance (rotational speed, absolute and relative flow angles at nozzle outlet/rotor 424 425 inlet, overall performance) and is, with the degree of reaction, one of the main non dimensional parameters to define the different categories of rotors. Keeping constant the 426 other non-dimensional design parameters (table 1), an increase in the load coefficient leads 427 to a reduction of the meridional component of the absolute velocity at rotor inlet (see 428 modification of velocity triangles in figure 11). Thus, the mass flow rate is reduced and its 429 430 effect is added to the reduction of the expander total enthalpy drop, leading to an overall reduction of power output. Due to the reduction of the meridional and peripheral velocity at 431 rotor inlet, the absolute angle α_2 increases, which implies a reduction of the relative velocity 432 (w₂'). For this reason, the load coefficient has a large influence on the incidence angle and 433 on the associated loss. Figure 11 shows how the optimized velocity triangle for IFR tends to 434 435 that of IFG with increasing Ψ . The trend of the rotational speed vs. load coefficient is 436 shown on figure 12. It is interesting to remark the difference in rotational speed with different working fluids, which is in turn related to the total enthalpy drop and to the rotor 437 438 size. Specifically, the largest rotational speeds occur for R134a and R245fa, whereas those of CycloHexane are considerably lower. Generally, a backswept (IFG) design allows a 439 440 lower rotational speed. The dependence of the nozzle outlet/rotor inlet absolute velocity angle (α_2) on the Load Coefficient Ψ is shown on figure 13. These expanders are 441 characterized by large nozzle flow angles, which become even higher in case of backswept 442 blades. The largest values of α_2 for rotors with radial blades (IFR) are reached by 443 CycloHexane and by R1234yf and R245f in the case of an IFG design . In both IFR and IFG 444 configurations, R134a shows the lowest values of α_2 . The trend of the relative velocity 445 angles at rotor inlet (β_2) vs. Ψ is shown on figure 14. The highest absolute values are shown 446 by cyclohexane and R1234yf for radial bladed rotors and R245fa and R1234yf for the 447 backswept bladed ones. Anyway, when Ψ is within the range 1.05 – 1.15, β_2 values are at 448 449 the same levels for the different fluids in the case of backswept bladed rotors (IFG).

450

5.4 Isentropic degree of reaction (R_s)

451 R_s is defined as the ratio between the static isentropic enthalpy drop through the rotor 452 and that of the overall stage. It strongly affects the performance of the expander.When 453 combined with the other main parameters Φ and Ψ , it completes the definition of the

design geometry. When the other design parameters are fixed, with increasing R_s the 454 455 isentropic stator static enthalpy drop is reduced. For this reason, the pressure at the stator outlet is higher and the related fluid density is increased. With fixed stator outlet cross 456 sectional area, given the relatively limited change in mass flow rate, the meridional 457 component of the absolute velocity is reduced, which offsets the increase in static 458 pressure. Keeping constant the flow coefficient, the rotational speed is reduced. The 459 related velocity triangle is modified as shown in figure 15. The expander power output 460 decreases with increasing R_s of an amount variable with the different investigated fluids, 461 as shown in figure 16. R245fa is the least sensitive to Rs because it has the lowest 462 flowrate level and thus the lowest reduction of power output. Moreover, IFR expanders 463 464 with radial blades have higher R_s than those with backswept blades. The variation of R_s has strong effects on the rotor peripheral speed. Referring to figure 17, the remarkable 465 difference in peripheral speed for the different investigated fluids and rotors can be 466 noticed. Specifically, R245fa shows the highest values, whereas the lowest is shown by 467 468 R1234yf, due to its low value of the total enthalpy drop. Finally, the backswept rotors (IFG) have a lower peripheral speed compared to the radial bladed ones. This is due to 469 the higher load coefficient Ψ (with fixed total enthalpy drop) which characterizes the 470 backswept geometry. 471

472

6. Interpretation of results - Design process

474 6.1 IFR vs IFG design

Moving from the radial (IFR) to backswept blades (IFG) configuration, the load coefficient 475 476 increases Thus, in the backswept (IFG) design the meridional component of velocity at rotor inlet is reduced to maintain the fixed flow coefficient. For this reason, in order to achieve the target 50 kW 477 478 power output, the IFG design shows higher b_2/d_2 ratios. An additional consequence of the higher 479 load coefficient of backswept bladed rotors is their lower peripheral velocity (and rotational speed) 480 for a given rotor size. Finally, backswept machines show a lower degree of reaction than the corresponding radial bladed ones. As remarked in the parametric analysis, when the overall 481 482 enthalpy drop (stator + rotor) is fixed, the reduction of the degree of reaction implies a lower stator outlet backpressure and, consequently, a lower fluid density in this section. As the outlet conditions 483 484 are fixed, and because the mass flowrate undergoes only limited variations, the meridional component of the absolute velocity increases to counterbalance the reduction of fluid density. Thus, 485

in order to maintain the flow coefficient unchanged, the peripheral velocity at rotor inlet increases.

- Finally, in order to keep the load coefficient constant, the total enthalpy drop of the expander is
- 488 increased and, consequently, the related power output. In this way, by tuning b_2/d_2 , the load

489 coefficient and the reaction degree, it is possible to move across the two different configurations.

- 490 Generally, backswept bladed expanders show 1.5 2% better efficiency levels than the
- 491 corresponding radial bladed ones.

492 **6.2 Different working fluids**

A specific interpretation of the results is needed when considering the important matter of expander 493 design with different working fluids. All those here considered are good candidates for the power 494 cycle specifications (power output, temperature levels). On the other hand, large differences in 495 kinematic, geometric and performance characteristics are found between the different fluids. In the 496 following figures, the behavior of R134a, R1234yf, R245fa and cyclohexane is extensively 497 reported. Anyhow, for sake of completeness, the analysis of different fluids has been extended to 498 R236fa and CycloPenthane. The inlet diameter of the expander is in the range 80 - 110 mm. The 499 500 largest size were achieved for the hydrocarbons like cyclohexane and N-Pentane, due to their much 501 lower density, in spite of the larger specific isentropic enthalpy drop compared to HFCs. The rotational speed is between 30000 and 50000 rpm, generally lower for backswept configurations 502 503 due to the lower peripheral velocity (see the generic shape of velocity triangles in figure 15). Among the different fluids, the cyclohexane shows the lowest rotational speed, due to the much 504 505 higher diameter, in spite of the high peripheral speed. The specific speed is in the 0.055 - 0.1 range. The highest value is shown by the cyclohexane because, in spite of the lowest rotational speed and 506 507 the highest stage enthalpy drop, they are largely counterbalanced by the very high values of volumetric flowrate due to the lowest density. The flow at nozzle exit is generally supersonic $(0.9 < 10^{-3})$ 508 509 M < 1.5), with the exception of R1234yf due to the high values of blade height at section 2 (b₂, 510 table 3). The highest value of Mach is shown by CycloHexane, due to the combined effects of low density and high peripheral speed. Generally, high nozzle exit angles are found $(77 - 83.5^{\circ})$, with 511 larger values for backswept rotor (IFG) design. When considering the flow within the rotor, a high 512 deflection level has to be remarked, which is in the range $40 - 90^{\circ}$, generally higher for backswept 513 configurations. Due to the shape of velocity triangles (see velocities and angles on table 3), 514 cyclohexane shows the highest deflection level for the IFG configurations and the lowest for the 515 IFR ones. The hub to tip diameter ratio at rotor exit (d_{3h}/d_{3s}) is in the 0.39 - 0.52 range and agrees 516 with literature data [14, 17]. At rotor exit, the adoption of a diffuser for the partial recuperation of 517 the kinetic energy may be important only for fluids like cyclohexane, which have high values of 518

absolute Mach number in this section. The total- to-total efficiency of the investigated expanders

- and fluids ranges between 0.72 and 0.80, generally higher for backswept configurations than for the
- 521 corresponding radial ones. Generally, higher efficiencies are achieved with expanders having lower
- 522 velocities and deflections. Finally, it is important to remark that, for the specific size here
- 523 considered (50 kW), sub-atmospheric values of total pressure at rotor exit are not recommendable.
- 524 Thus, CycloHexane and pentane are critical from this point of view, in spite of their interesting
- efficiency levels in ORC. On the basis of expander design and cycle performance, R245fa and
- 526 R236fa represent thus the most interesting options.

527 **6.3 Distribution of losses and efficiency**

528 It is also important to analyze the distribution of the different losses through the expander, whose 529 contribution to the overall reduction of efficiency ($\Delta\eta$) is shown in table 3. It is practically the same

- 530 for the different investigated fluids and configurations. The contribution of the *stator losses* to the
- overall losses ranges between 2% of R134a and 12% of cyclohexane, both referred to radial
- 532 geometry. Especially in IFR configurations, the stator losses have the highest incidence for
- 533 hydrocarbons (mainly cyclohexane) and R245fa compared to the other investigated fluids. It is
- mainly due to the high velocity in the nozzle (table 3, see also the high values of M₂). Literature
- data [13] report that the *stator losses* generally range between 5 and 15% of the overall, which is in
- 536 line with the results achieved with the here proposed model.
- 537 Under design conditions, the *incidence losses* are negligible, as the relative velocity angle at rotor 538 inlet is the optimizing value calculated by the (15 - 17) and (21) relationships. Their contribution to 539 the overall reduction of efficiency $\Delta \eta_{ts,i}$ ranges between 0.03 and 0.2%.
- The *disk friction losses* give a contribution within the 2 4% to the total and have a reduced relative influence on the expander efficiency (0.4 % $<\Delta\eta_{ts,v}<$ 0.8 %). The highest values are shown by the cyclohexane, mainly due to the large rotor diameter and peripheral velocity.
- 543 The *tip clearance* losses, here referred to an average 3% clearance fraction of blade height and to
- backswept configuration, give a relevant contribution on the total losses, ranging from 10% of
- 545 R1234yf to 21% of CycloHexane. Generally, higher tip clearance losses are shown by fluids having
- 546 higher ratio between radial clearance and inlet blade height (ϵ/b_2), in agreement with eq. (43). The
- related overall efficiency drop $(\Delta \eta_{ts,cl})$ is within the 2 6.5% range and agrees with literature data
- 548 [30, 39].

- 549 The highest relative contribution to the losses (60 70%) is given by *secondary flows* in the rotor,
- which are due to the high blade curvature and pressure gradient through the blade vanes. As
- suggested by Rodgers [40], this contribution is shared between blade loading and profile curvature.
- 552 The former represent the highest contribution to overall losses, ranging from 27% to about 50% of
- total. The highest values are found for R134a and 1234yf, which have the lowest number of blades
- and thus the highest blade loading. For this reason, cyclehexane shows the lowest relative
- contribution of blade loading losses, in agreement with equation (48). Their contribution to the
- efficiency reduction $(\Delta \eta_{ts,cp})$ is variable between 8 and 13 %.
- The contribution of profile losses to the overall turbine losses ranges from 12% of R134a to 27% of R1234yf. Their share on the overall losses is generally higher for hydrocarbons, ranging from 20 to 26%. It is attributable to the combined effects of rotor geometry (i.e. higher d_3/d_2), fluid properties (i.e. sound speed) and kinematic conditions (i.e. higher relative velocities w_2 and w_3), in agreement with eq. (49). They provide a total to static efficiency drop ($\Delta \eta_{ts,p}$) variable from 3 to more than 8%..
- The *friction losses in the rotor* also represent an important contribution to the overall efficiency drop, reducing its value ($\Delta \eta_{is,a}$) from 0.9 to about 2.3 percentage points. They are generally higher in cases of turbines with larger wet surface like, for example, cyclohexane.
- Finally, it is also important to consider the *kinetic energy loss at expander output*.. Even though a diffuser has always been considered here, this loss is representative of the difference between total to total (η_{tt}) and total to static (η_{ts}) efficiency of the expander. On the whole, these losses are not negligible, as they can reach up to 12% of the total. They are capable to reduce the overall efficiency ($\Delta \eta_{ts,v}$) from 0.39 to 1.15 %. It is thus possible to recommend that a diffuser is always included in the design of these expanders.
- The comparison of the results with those achieved by Rohlik [14, 17, 20] shows a substantial 572 agreement, even though they were referred to air. Specifically, the highest contributions to the 573 574 overall efficiency drop are given by blade loading and profile losses into the rotor. A similar behavior is found also for the remaining losses, even though the stator and disk friction losses 575 576 calculated in this model give a generally lower contribution compared to [17]. On the contrary, the tip clearance losses are generally higher than those proposed by Rohlik [17]. Anyway, they are on 577 line with those originally proposed by NASA [30] and successively confirmed by numerical 578 calculations [39]. The comparison of the total to static efficiency of the here designed expanders 579 580 with those achieved by Rohlik in the maximum efficiency curve [30] and with the experimental

results from similar machines [24], shows a good agreement regarding the design specific speed, whereas lower values of the efficiency are achieved here, especially for radial bladed expanders (figure 18). This is mainly due to the different size, geometry and working fluids considered in the present investigation, as well as to the different relationships adopted for the calculation of losses. Finally, the comparison of the results achieved in this work with those coming from experimental campaigns of Benson [24] shows a complete agreement.

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- 588

7. Off design performance prediction of the radial turbo-expander

The above discussed design procedure can be used to build the characteristic curves of the 589 expanders, which fundamental to predict their off- design behavior. When dealing with ORC 590 591 working partially or totally with not continuously available renewables, it often happens that they work most of time under off design conditions. It is the case, for example, of solar power stations or 592 593 integrated geothermal – solar binary cycles, like those proposed in [10] and [36], where the variable amount of available solar heat leads to variable massflowrate and/or thermodynamic conditions of 594 the produced organic steam at the turbine inlet. When the characteristic curves of the designed 595 596 expanders are known, they can be used to provide an estimate of their performance under variable 597 inlet conditions (off design) and - when possible- to adjust their rotational speed in order to 598 minimize the losses.

Specifically, in this chapter we analyze the buildup of characteristic curves with variable
temperature, pressure and fluid mass flowrate at the turbine inlet (the latter depends on pressure
drop through the expander), for different values of the corrected speed:

$$N_c = \frac{N}{\sqrt{T_{01}}}$$

In the off design approach, the turbine geometry is specified, resulting from the design procedure described in the previous sections. Specifically, the following geometric parameters are given as inputs:

(55)

- 606 Blade height
- 607 Stator and rotor inlet/outlet diameters
- 608 Passage section areas
- 609 Number of rotor and stator blades
- 610 Blade metal angles

Moreover, in the present case the rotor outlet total pressure is maintained at the design value, because it is fixed by the conditions at the condenser to satisfy the cogeneration conditions. Anyway, with the developed model it is possible to let it be variable, in order to take into account of the variable conditions at the condenser due to the timely change of the environmental conditions.

The loss correlations under off design conditions are the same adopted in the expander design, as well as those relating flow and metal angles. These allow the determination of the actual flow angles given the blade metal angles and the size of the expander, both coming from the design procedure.

In order to analyze the off-design behavior of the turbo expander, the inlet total pressure and temperature are changed. Consequently, the mass flowrate is determined from the inlet – outlet pressure drop. Another possible way of estimating the off-design behavior is to fix the expander inlet mass flowrate and total temperature and calculate the total pressure. Thus, the performance maps of the expander (i.e. characteristic curves) are achieved by building the related curves under design conditions for different values of N_c . They may be directly adopted within the thermodynamic code for the analysis of the ORC.

626 **7.1 – performance behavior at off design expander pressure ratio**

The curves of power output, mass flowrate and efficiency vs. off design pressure ratio at different 627 values of corrected speed N_c are shown on figures 19, 20 and 21 respectively, each one reporting 628 the results for both radial and backswept configurations. For the sake of brevity, the off design 629 630 analysis is carried out for R134a only, without losing in generality, as the behavior is qualitatively similar for all the investigated fluids. From figure 19, it is clear that the power output is reduced 631 when the expansion ratio falls below the design value. Moreover, it is important to notice that the 632 633 power output is reduced with reducing the corrected speed: this is the result of the lower enthalpy drop, due to the lower rotational speed, which is also responsible for the reduced variation of 634 635 peripheral velocity (i.e. the effective component for momentum). Finally, it should be remarked that the reduction of power output with respect to the nominal value is larger than the reduction of the 636 637 pressure ratio, when N_C is at the design value: for example, when the expansion ratio is at 90% of the design value, the power output is reduced to about 88% of the design value. When the 638 639 expansion ratio is at 80% of design value, the power output is about 75% of the nominal. The overall behavior is found for both IFG and IFR geometries. 640

642 **7.2** – performance behavior at off design expander corrected mass flowrate

643 The other typical turbomachinery off design parameter is the corrected mass flowrate, defined as

644
$$\dot{m}_c = \frac{\dot{m}\sqrt{T_{01}}}{p_{01}}$$

Starting from the values of the total inlet pressure and temperature, from the maps of figure 20 it is possible to determine the mass flow rate with variable expansion ratio for the different values of the ratio $N_C/(N_C)_{des}$. It can be noticed that the radial bladed rotors (IFR) are more influenced by operation under off-design pressure ratio than the backswept ones (IFG).

(56).

Figure 21 shows the behavior of total to static efficiency (expressed by the off-design to design 649 ratio) as a function of the variable (off-design) expansion ratio. It is important to remark the 650 relatively low sensitivity to the expansion ratio for corrected speeds below the design value, due to 651 the typical accelerating behavior of fluids into the expanders, which allows to work into a relatively 652 wide range of incidence angles with only a limited increase of loss coefficients. Hence, the 653 reduction of N_c below the design value could be regarded as a way to reduce the efficiency drop of 654 the expander at reduced values of the expansion ratio. As it is seen, the investigated expanders show 655 a relatively limited sensitivity of the efficiency to off design expansion ratio, unless it is reduced to 656 657 very low values. It is interesting to notice the increasing efficiency (1.5 - 2%) at corrected speed lower than the design value. To better understand this behavior, it is important to analyze the 658 659 variability of velocity triangles under the three different values of corrected speed (figure 22), Let us consider a reduction of corrected speed N_c at fixed thermodynamic conditions of the inlet fluid. 660 661 As the inlet – outlet expander pressure drop is fixed, the flow rate remains practically unchanged. The lower variation of peripheral velocity leads to a reduction of stage and nozzle enthalpy drop 662 (notice that $R \neq 0$). For this reason, the pressure at nozzle exit is higher, which leads to an increase of 663 fluid density. As the mass flow rate is constant, the meridional component of absolute velocity 664 must be reduced, thus the related velocity triangle height decreases. When N_c is reduced, at fixed 665 total inlet temperature (T_{01}) , the rotational speed of the expander decreases largely, and thus also 666 the rotor peripheral velocity. The latter entails an increase of relative flow angle at rotor inlet (β_2) in 667 the same direction (see the modified red and green triangles of figure 24). Hence, the shape of the 668 669 velocity triangles is modified towards the backswept configuration. This modification affects the loss coefficients of the expander. Specifically, a reduction of N_c down to 60 – 65% of the design 670 value leads to an increase of the incidence losses and a reduction of the stator, friction and 671 secondary flow losses, as reported on figure 25. This effect is due to the change of velocity profiles 672 673 within the rotor vanes. Finally, it is interesting to analyze the behavior of the ratio of the off-design

to design total to static efficiency ($\eta_{ts}/(\eta_{ts})_{des}$) vs the corrected speed ratio ($N_c/(N_c)_{des}$), shown on figure 24 for both radial and backswept configurations, at fixed design inlet total pressure and temperature. In both cases, η_{ts} is maximized at values of $N_c/(N_c)_{des}$ where the sum of losses is minimum.

678

679 **8.** Conclusions

The paper describes the features and analyzes the results of a zero dimensional model for the design 680 of high efficiency small size ORC expanders. Two basic rotor blade geometries (radial IFR and 681 backswept IFG) and six different possible organic working fluids (R134a, R1234yf, R236fa, 682 R245fa, Ciclohexane, N-Penthane) have been analyzed and discussed. In all cases, the power output 683 has been fixed at about 50 kW. The reference thermodynamic data for the specific application are 684 taken from [36]. The relationships for the estimation of the expander losses, as well as the main 685 design parameters, have been collected by an extensive investigation of models and experimental 686 data available in literature for radial turbo-expanders. Generally, literature for radial expanders is 687 much less rich than for axial turbines and, often, data and models are derived from these last and 688 from centrifugal compressor applications, with limited adaptments. Moreover, these relationships 689 690 and models are referred to ideal gases and Mach relationships, which is also the approach often 691 applied in many CFD calculation codes. In the present work, the model applies the most recent, 692 currently available, equations of state of the investigated real fluids expanding into the ORC turbine. 693

For the investigated fluids, , the rotor diameters are in the 80 - 110 mm range and the rotational 694 speed is variable between 30000 and 50000 rpm (specific speed is always below 0.1). The only 695 exception is CycloHexane, which needs higher rotor diameters (190 - 200 mm) and a lower 696 697 rotational speed. The designed expanders are mostly supersonic and have high values of nozzle exit angles ($\alpha_2 = 77 - 83.5^\circ$), whereas the deflection of flow in the rotor is between 40 and 90°. The 698 outlet rotor hub to tip diameter ratio resulting from the developed calculation code is within the 0.39 699 -0.52 range, which agrees with literature data. In order to partially recover the outlet kinetic energy 700 701 of flow, the adoption of a diffuser at rotor outlet is proposed, which is particularly recommendable for the expansion of the cyclohexane, which has the highest value of Mach at rotor discharge. 702

- The expected total-to-total efficiency of the designed units ranges between 0.72 and 0.80,
- depending on the considered fluid and geometry configuration. The highest contribution to the

expander efficiency losses is given by the secondary flows within the rotor (blade loading andprofile curvature), due to the high curvature of blade profiles and the high pressure gradient.

The investigation, on the basis of overall thermodynamic, power plant and fluid dynamic features,
shows that the most suitable fluids are R236fa and R245fa, followed by R134a and R1234yf,
whereas the worst ones are CycloHexane and CycloPenthane, which are further penalized by the
sub atmospheric pressure at the expander output.

Generally, backswept bladed rotors (IFG) show 1.5 to 2.5% higher efficiencies. Moreover, they 711 have larger values of the number of blades, load coefficient, nozzle exit angle and rotor deflection 712 angle than the corresponding radial bladed ones. The peripheral speed and the reaction degree of the 713 714 backswept configurations are instead lower. Generally, the results of the design and parametric analysis are in agreement with literature dataThe proposed calculation model has been successively 715 used to predict the off- design performance, through the construction of the expander characteristic 716 curves (corrected mass flowrate, power output and efficiency as functions of expansion ratio and 717 corrected speed N_c). These last can be directly introduced into the thermodynamic ORC calculation 718 719 code to evaluate the off design behavior of the expander under variable thermodynamic inlet conditions (total temperature and pressure and mass flow rate for different values of corrected 720 speed), once the geometry of the expander is defined. 721

The calculation tool is open to improvements by the use of 2D - 3D CFD models and experimental tests on existing rotors, which potentially could allow the improvement of the less convalidated correlations, making it effective and reliable for the design and off-design analysis of radial turboexpanders for small-size ORC powerplants.

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Figure 1 – General schematic of Radial inflow turbine: 90° IFR (shaded), and General rotor shape (IFG, unshaded).





756 Figure 2 – Velocity triangles – nominal conditions; (a) 90° IFR (b) General (IFG)





Figure 3 – Velocity triangles – optimal incidence conditions; (a) 90° IFR (b) IFG



Figure 4: Enthalpy – Entropy representation of the expansion process [14].







Figure 6: mass flow rate and ratio of rotor exit diameter at hub and shroud as a function of ratio
between blade height and diameter at rotor inlet b₂/d₂ (IFR and IFG)







Figure 9: Number of rotor blades as a funcion of flow coefficient (IFR and IFG)



Figure 10-Total-to-static efficiency as a function of flow coefficient (IFR and IFG)



Figure 11 – Variation of velocity triangles at rotor inlet with increasing load coefficient (from black solid to green dashed) a): IFR; b) IFG





Figure 12: Speed of revolution vs. load coefficient (IFR and IFG)





803

and IFG)



806

807 Figure 14 – Angle of relative flow velocity (β_2) at nozzle outlet/rotor inlet vs. load coefficient (IFR



Figure 15: Variation of velocity triangles with increasing in isentropic degree of reaction R_s (from black to

green, a) IFR, b) IFG)





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810



820 Figure 17: Expander peripheral velocity at rotor inlet vs. isentropic degree of reaction (IFR and





Figure 18: comparison of total to static efficiency of the here designed models with the results of

Rohlik [30] (IFR and IFG)







R134a)





to R134a)







b) Backswept blades

Figure 22 – Velocity triangles at rotor inlet at variable corrected speed



Figure 23 – behavior of total to static efficiency losses ($\Delta \eta_{ts}$) with variable Nc under off design

conditions

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840



Figure 24 – Total-to-static efficiency vs Nc under off design conditions



List of tables

Table 1: Input data and typical range of values.

Ν.	Variable	Typical values
0	Rated Power Output, kW	Variable, typically 5 to 500 kW
1	Fluidname	R134a, R1234yf, R245fa, R236fa, Cyclo-Hexane, N-Pentane
2	Total inlet pressure p ₀₁ [kPa]	Variable, typically 500 to 4000
3	Total inlet Temperature T ₀₁ [°C]	Variable, typically 100 - 200
4	Isentropic enthalpy drop∆h _{ss} [kJ/kg]	Variable, typically 28 to 130
5	Work coefficient Ψ	0.90-1.10
6	Flow coefficient Φ	0.13-0.21
7	Isentropic degree of reaction R _s	0.55-0.63
8	Rotor inlet diameter d ₂ [m]	0.08-0.195
9	Nozzle geometry ratio d ₁ /d ₂	1.30-1.80
10	Rotor geometry ratio d ₃ /d ₂	0.45 – 0.60
11	Diffuser geometry ratio d ₄ /d ₃	1.4 – 1.6
12	Diffuser length – diameter ratio L _d /d ₃	1.5 – 2. 5
13	Rotor aspect ratio b ₂ /d ₂	0.03 - 0.08
14	Nozzle height ratio b ₁ /b ₂	0.8 - 1

Fluid Name	R134a		Cyclohexane		N-Pentane		R245fa		R1234yf		R236fa	
Rotor geometry	IFG	IFR	IFG	IFR	IFG	IFR	IFG	IFR	IFG	IFR	IFG	IFR
Rated power output [kW]	50	50	50	50	50	50	50	50	50	50	50	50
Total inlet pressure p ₀₁ [bar]	38	38	5	5	10	10	31	31	31	31	30	30
Total inlet Temperature T ₀₁ [°C]	147	147	147	147	147	147	147	147	147	147	147	147
Isentropic enthalpy drop Δh_{ss} [kJ/kg]	38	37	131	132	105	102	54	51	30	28	38	38
Work coefficient Ψ	0.94	1.03	0.93	1.08	0.95	1.05	0.97	1.06	0.91	1.07	0.95	1.04
Flow coefficient Φ	0.21	0.16	0.13	0.16	0.19	0.17	0.16	0.14	0.20	0.14	0.15	0.15
Isentropic degree of reaction R _s	0.61	0.56	0.60	0.57	0.61	0.55	0.61	0.55	0.63	0.55	0.61	0.55
Nozzle geometry ratio d_1/d_2	1.42	1.45	1.73	1.43	1.76	1.74	1.75	1.50	1.42	1.32	1.80	1.72
Rotor geometry ratio d ₃ /d ₂	0.46	0.47	0.55	0.62	0.51	0.54	0.54	0.54	0.56	0.48	0.52	0.55
Diffuser geometry ratio d ₄ /d ₃	1.40	1.40	1.60	1.60	1.40	1.40	1.50	1.50	1.60	1.60	1.50	1.50

Diffuser length – diameter ratio L_d/d_3	2.0	1.5	2.5	2.5	2.0	2.0	2.0	2.0	2.5	2.5	2.0	2.0
Rotor aspect ratio b ₂ /d ₂	0.030	0.045	0.036	0.037	0.042	0.055	0.040	0.046	0.055	0.082	0.049	0.050
Nozzle height ratio b1/b2	1.0	1.0	0.8	0.8	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

Table 2: Nozzle setting angle as a function of absolute flow angle at nozzle outlet

a 2	Ap5
57.5	60
62.1	65
66.5	70
70.9	75
75.2	80





Fluid	R1	.34a	Cyclo I	nexane	N-Pentane		R245fa		R1234yf		R236fa	
	IFR	IFG	IFR	IFG	IFR	IFG	IFR	IFG	IFR	IFG	IFR	IFG
d1 [m]	0.115	0.115	0.335	0.273	0.188	0.189	0.154	0.138	0.121	0.117	0.157	0.160
d₃ [m]	0.037	0.037	0.106	0.118	0.054	0.059	0.047	0.052	0.047	0.043	0.045	0.050
d₄ [m]	0.052	0.052	0.170	0.189	0.076	0.082	0.071	0.077	0.076	0.068	0.068	0.075
b ₁ [m]	0.002	0.004	0.006	0.006	0.005	0.006	0.004	0.004	0.005	0.007	0.004	0.005
b ₂ [m]	0.002	0.004	0.007	0.007	0.005	0.006	0.004	0.004	0.005	0.007	0.004	0.005
b₃ [m]	0.013	0.014	0.043	0.050	0.024	0.023	0.021	0.016	0.019	0.016	0.016	0.020
p ₀₄ [bar]	9.230	9.550	0.149	0.137	0.891	0.930	1.812	2.100	8.109	8.520	3.460	3.450
∆h _{0,stadio} [k	28.80	28.700	91.700	91.600	74.700	74.700	37.700	37.600	21.700	21.700	27.600	27.900
J/kg]	0											
<i>ṁ</i> [kg/s]	1.750	1.749	0.546	0.546	0.672	0.677	1.346	1.349	2.327	2.308	1.815	1.793
u ₂ [m/s]	175.1	166.9	313.7	291.2	280.5	266.8	197.4	188.9	154.7	142.6	170.5	164.0
Rpm	4129	40097	30932	29115	50064	46746	42845	39211	34762	30673	37418	33672
	6											
α ₂ [°]	77.4	81.0	82.1	81.9	78.7	81.1	80.6	82.4	77.6	82.4	81.0	81.8
β ₂ [°]	-15.9	10.4	-27.6	27.1	-14.7	16.9	-11.3	21.4	-25.1	26.2	-18.4	14.9
β₃[°]	-63.9	-65.7	-57.9	-63.3	-56.0	-59.0	-60.8	-60.1	-70.4	-59.5	-59.6	-66.7
δβ _R [°]	48.0	76.1	30.3	90.4	41.3	75.9	49.5	81.5	45.3	85.7	41.2	81.6
M ₂	1.047	1.077	1.500	1.626	1.321	1.374	1.441	1.493	0.921	0.982	1.276	1.325
M ₃	0.240	0.211	0.563	0.470	0.461	0.412	0.412	0.423	0.190	0.249	0.375	0.277
M ₄	0.120	0.106	0.191	0.166	0.216	0.197	0.171	0.174	0.073	0.095	0.157	0.119
M _{r2}	0.237	0.172	0.235	0.261	0.268	0.223	0.240	0.211	0.219	0.144	0.210	0.196
M _{r3}	0.537	0.514	1.059	1.048	0.823	0.802	0.843	0.848	0.566	0.490	0.741	0.696
Mu2	1.087	1.032	1.602	1.490	1,363	1,292	1.469	1.403	0.992	0.910	1.327	1.261
Mu3	0.480	0.468	0.897	0.936	0.682	0.688	0.736	0.735	0.533	0.422	0.639	0.639
Ns	0.059	0.057	0.095	0.09	0.089	0.080	0.084	0.074	0.073	0.065	0.077	0.067
R	0.52	0.48	0.55	0.47	0.53	0.49	0.52	0.49	0.54	0.47	0.54	0.48
d _{3h} /d _{3s}	0.490	0.443	0.422	0.412	0.393	0.490	0.391	0.520	0.434	0.457	0.479	0.434
ZB	15	18	21	21	16	18	16	19	15	19	14	18
Δη _{ts,N} (%)	0.55	0.87	3.75	2.17	1.785	2.37	1.753	1.87	0.692	0.85	1.625	2.02
Δη _{ts,i} (%)	0.22	0.03	0.03	0.19	0.21	0.08	0.27	0.11	0.05	0.20	0.26	0.06
Δη _{ts,cl} (%)	4.59	3.90	5.00	6.48	4.48	2.80	5.00	3.70	3.33	2.22	3.20	4.00
Δη _{ts,f} (%)	1.62	1.25	2.05	1.43	1.80	1.28	2.32	1.30	1.36	0.89	1.32	1.25
Δη ts,ke(%)	1.65	1.33	3.29	2.22	3.31	2.78	2.42	2.78	1.25	2.31	2.68	1.50
Δη ts,bl(%)	11.9	11.27	8.1	9.12	10.8	11.09	11.0	10.63	11.2	11.20	12.77	10.90
Δη _{ts,p} (%)	3.06	3.26	6.49	8.14	5.83	5.80	6.02	5.16	6.79	4.25	4.90	5.03
Δη _{ts,df} (%)	0.72	0.59	1.15	0.81	0.65	0.53	0.67	0.64	0.46	0.39	0.61	0.57
η _{tt}	0.773	0.788	0.733	0.716	0.744	0.760	0.730	0.766	0.761	0.800	0.753	0.76
η _{ts}	0.757	0.775	0.700	0.693	0.711	0.732	0.705	0.738	0.748	0.780	0.726	0.745
η _{ts,eff}	0.768	0.784	0.727	0.711	0.736	0.752	0.723	0.760	0.758	0.795	0.747	0.757
	9											

872

873 Nomenclature

blade height [m]

875 *BK* blockage factor

876 C absolute velocity [m/s]

877 c_s spouting velocity [m/s]

878	d	diameter [m]
879	D^*	characteristic diameter [m]
880	h	enthalpy [J/kg]
881	Ι	rothalpy [J/kg]
882	L^*	characteristic length [m]
883	М	Mach number
884	'n	mass flow rate [kg/s]
885	Ν	rotational speed [rpm]
886	Nc	corrected rotational speed [rpm K ^{1/2}]
887	$N_s = \frac{\Lambda}{2}$	$\frac{1/60 \cdot Q_3^{1/2}}{\Delta h_{0s}^{3/4}}$ specific speed
888	р	pressure [Pa]
889	q	loss coefficient
890	Q	volumetric flow rate [m ³ /s]
891	r	radius [m]
892	Re	Reynolds number
893	R	Degree of reaction
894	S	blade spacing [m]
895	Т	temperature [K]
896	и	peripheral velocity [m/s]
897	w	relative velocity [m/s]
898	\overline{W}	average relative velocity [m/s]
899	VS	sound speed [m/s]
900	V	Velocity (general) [m/s]

901	x	chord [m]
902	Ζ	axial length of rotor [m]
903	Ζ	number of blades
904		
905	Greek	<u> </u>
906	α	absolute angle (from radial direction, positive with u) [°]
907	α_b	actual angle of blades at nozzle outlet
908	β	relative angle (from radial direction, positive with u) [°]
909	Δ, δ	variation
910	ε	clearance[percentage of the blade height]
911	$\boldsymbol{\varepsilon}_{\mathrm{ax}}$	axial disk clearance [m]
912	ξ	loss coefficient
913	η	efficiency
914	λ	frictional factor
915	μ	dynamic viscosity [Kg/s-m]
916	v	kinematic viscosity [m ² /s]
917	ρ	density [kg/m ³]
918	$\overline{ ho}$	average density [kg/m ³]
919	Φ	flow coefficient
920	Ψ	load coefficient
921	Ψ_T	Zweifel's coefficient (ratio between the ideal and real tangential load of a blade)
922	ω	speed of revolution [rad/s]
923		

924	Subsc	ri <u>pts</u>	
925	0		total value (stagnation)
926	1, 2, 3	, 4	referred to sections 1, 2, 3, 4 (figure 4)
927	act		actual
928	bl		blade loading
929	cl		clearance
930	D		diffuser
931	df		disk friction
932	f		friction
933	h		hub
934	i		incidence
935	id	ideal	
936	ke		kinetic energy
937	le		leading edge
938	m		meridional (flow rate component)
939	Ν		nozzle
940	opt		optimal
941	р		profile
942	R		rotor
943	r		relative, radial (referring to clearance)
944	S		isentropic (Nozzle or rotor, h-s diagram)
945	S		shroud (referred to diameter)
946	SS		double isentropic (Nozzle + Rotor, h-s diagram)
947	t		tip

948	te	trailing edge
949	u	peripheral
950		
951	Acron	<u>iyms</u>
952	IED	
953	IFK	turbine with Radial blades at rotor inlet (Radial Inlet Flow)
954	IFG	turbine with General rotor shape at inlet (General Inlet Flow)
955	ORC	Organic Rankine Cycle
956		
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