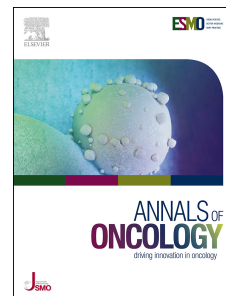


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Practical considerations in screening for genetic alterations in cholangiocarcinoma

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1 **Review**

2

3 **Practical considerations in screening for genetic alterations in**
4 **cholangiocarcinoma**

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15

16

17 **Abstract**

18 Cholangiocarcinoma (CCA) encompasses diverse epithelial tumors historically associated with
19 poor outcomes due to an aggressive disease course, late diagnosis, and limited benefit of
20 standard chemotherapy for advanced disease. Comprehensive molecular profiling has revealed
21 a diverse landscape of genomic alterations as oncogenic drivers in CCA. *TP53* mutations,
22 *CDKN2A/B* loss, and *KRAS* mutations are the most common genetic alterations in CCA.
23 However, intrahepatic CCA (iCCA) and extrahepatic CCA (eCCA) differ substantially in the
24 frequency of many alterations. This includes actionable alterations, such as *IDH1* mutations and
25 a large variety of *FGFR2* rearrangements, which are found in up to 29% and approximately 10%
26 of patients with iCCA, respectively, but are rare in eCCA. *FGFR2* rearrangements are currently
27 the only genetic alteration in CCA for which a targeted therapy, the FGFR1-3 inhibitor
28 pemigatinib, has been approved. However, favorable phase 3 results for *IDH1*-targeted therapy
29 with ivosidenib in iCCA have been published, and numerous other alterations are actionable by
30 targeted therapies approved in other indications. Recent advances in next-generation
31 sequencing (NGS) have led to the development of assays that allow comprehensive genomic
32 profiling of large gene panels within 2-3 weeks, including in vitro diagnostic tests approved in
33 the US. These assays vary regarding acceptable source material (tumor tissue or peripheral
34 whole blood), genetic source for library construction (DNA or RNA), target selection technology,
35 gene panel size, and type of detectable genomic alterations. While some large commercial
36 laboratories offer rapid and comprehensive genomic profiling services based on proprietary
37 assay platforms, clinical centers may use commercial genomic profiling kits designed for clinical
38 research to develop their own customized laboratory-developed tests. Large-scale genomic
39 profiling based on NGS allows for a detailed and precise molecular diagnosis of CCA and
40 provides an important opportunity for improved targeted treatment plans tailored to the
41 individual patient's genetic signature.

42

43 Keywords

44 Actionable genetic alterations, cholangiocarcinoma, genomic profiling, next-generation
45 sequencing, targeted therapy

46

47 Highlights

- 48 • Cholangiocarcinoma is a relatively rare, aggressive, heterogeneous malignancy
49 associated with poor outcomes.
- 50 • Comprehensive molecular profiling has revealed a diverse landscape of oncogenic
51 genomic alterations in cholangiocarcinoma.
- 52 • Advances in next-generation sequencing have allowed large gene panels to be assayed
53 with high sensitivity, specificity, and at reduced cost.
- 54 • Large-scale genomic profiling has found actionable genomic alterations targeted by
55 therapies approved in other indications.
- 56 • Large-scale genomic profiling may allow precise molecular diagnostics to guide
57 treatment decisions in cholangiocarcinoma.

58

59

60 Introduction

61 Cholangiocarcinoma (CCA) represents diverse tumors originating from cholangiocytes in the
62 bile ducts. Depending on their anatomical location, CCA is classified as intrahepatic (iCCA) or
63 extrahepatic (eCCA), and eCCA is further classified as perihilar or distal eCCA.^{1,2}

64 CCA is a relatively rare cancer with an incidence rate in the US of 1.20 per 100,000 person-
65 years from 2000 and 2015, based on data from the National Cancer Institute (NCI) Surveillance,
66 Epidemiology, and End Results (SEER) Program.³ Estimated incidence rates in the US for iCCA
67 and eCCA during this period were 0.77 and 0.43 per 100,000 person-years, respectively.³

68 Recent retrospective data analyses suggest that the incidence of CCA has increased in past
69 decades in both the US³⁻⁵ and most European countries,⁶ particularly of iCCA. In the US, the
70 annual percentage increases from 2003 to 2015 in iCCA and eCCA were 7.0 and 2.1,
71 respectively.³ In Western and Central Europe, age-adjusted incidence rates (per 100,000
72 person-years) from 2008 to 2012 for iCCA were highest in the UK (1.15), France (1.13), and
73 Germany (1.05), and those for eCCA were highest in Germany (0.74), the Netherlands (0.69)
74 and Ireland (0.68).⁶

75 Although CCA is essentially a sporadic disease, diverse factors have been associated with
76 increased risk of CCA, including bile duct cyst, Caroli's disease, primary sclerosing cholangitis,
77 cholelithiasis or choledocholithiasis, parasitic liver infections, liver cirrhosis, hepatitis B or C
78 virus infection, and hepatolithiasis (iCCA only).⁷ The high incidence of CCA in some East Asian
79 countries, such as South Korea and Thailand,⁶ is due to the endemic presence of *Opisthorchis*
80 *viverrini* and *Clonorchis sinensis* liver flukes⁷ and vertical hepatitis B virus transmission.⁸

81 CCA is an aggressive cancer associated with a poor prognosis. SEER data from patients
82 diagnosed with CCA between 1973 and 2008 suggested an estimated 5-year mortality rate of
83 70% to 91%, depending on age.⁴ Major factors that contribute to poor outcomes in CCA are
84 late-stage diagnosis and limited treatment options. Although complete surgical resection is

85 potentially curative, only about a third of patients diagnosed with CCA qualify for surgery.¹
86 Approved treatments for patients with unresectable, advanced CCA are largely limited to
87 chemotherapy regimens tested in heterogeneous study populations of patients with biliary tract
88 cancer (BTC). The standard first-line therapy, which consists of combination therapy with
89 cisplatin and gemcitabine, was associated with a median overall survival (OS) of 11.7 months in
90 a pivotal clinical trial in patients with locally advanced or metastatic BTC, 60% of whom had
91 CCA.⁹ For patients who experience disease progression after first-line therapy, available
92 second-line combination chemotherapies provide only modestly improved survival benefit. In the
93 phase 3 ABC-06 study in patients with advanced BTC (including 72% with CCA) who
94 progressed after treatment with cisplatin plus gemcitabine, addition of modified FOLFOX to
95 active symptom control was associated with a marginal improvement in the median OS
96 compared with active symptom control alone (6.2 vs 5.3 months).¹⁰ Results of a retrospective
97 database analysis estimated the median OS from the time of second-line therapy initiation to be
98 13.4 months for patients with iCCA and 6.8 months for those with eCCA.¹¹

99 Based on histologic criteria, CCAs can be classified as well, moderately, or poorly
100 differentiated adenocarcinomas, or rare variants.¹² However, histopathologic criteria have
101 proven to be insufficient tools for guiding treatment decisions to improve outcomes. Recent
102 advances in comprehensive and integrative molecular profiling have revealed substantial
103 molecular heterogeneity of CCA, even within anatomically or histologically defined subtypes,
104 with important implications for diagnosis and disease classification,¹³⁻¹⁵ prognosis,^{13,16} and
105 treatment.^{16,17} The International Cancer Genome Consortium (ICGC) project is coordinating
106 large-scale cancer genome studies in ~50 different cancers, including two projects focusing on
107 BTC, to systematically characterize genomic alterations and to provide the cancer research
108 community with access to the data.¹⁸⁻²⁰ The Pan Cancer Analysis of Whole Genome project
109 (PCAWG) is a major initiative of the ICGC and the US National Cancer Institute's The Cancer
110 Genome Atlas (TCGA),²¹ and involves the collaboration of more than 1300 scientists and

111 clinicians from 37 countries. As of February 2020, more than 2600 genomes of 38 different
112 tumor types have been analyzed and 16 working groups have been created to study multiple
113 aspects of cancer development, progression, and classification.²⁰

114 In light of the limited effectiveness of standard chemotherapy in patients with BTC and the
115 complexity of the molecular landscape of CCA, recent technological advances in conducting
116 rapid and comprehensive profiling of genomic alterations in individual patients offer the
117 opportunity to include genomic profiling in the standard work-up during diagnosis and staging of
118 patients suspected to have CCA. “Molecular diagnosis” at the individual patient level may
119 provide useful information for treatment planning and selection of appropriate therapies,
120 especially targeted therapy. In this review, we provide an overview of the molecular
121 heterogeneity of CCA, the molecular profiling platforms available for molecular diagnosis, and
122 the implication of molecular diagnosis for the management of CCA.

123

124 **Genomic Alterations in CCA**

125 **Frequently Altered Genes**

126 A number of recent studies have used comprehensive genomic profiling to determine the
127 frequency of different genomic alterations in patients with CCA, including those with prior
128 histopathologic diagnosis of iCCA vs eCCA.^{17,22-28} Although these studies varied with respect to
129 the numbers of genes and patients analyzed, the overall findings suggest substantial
130 heterogeneity among the molecular profiles of individual patients, and important differences
131 between the molecular landscapes of iCCA and eCCA (Figure 1). In the largest study reported
132 to date, Javle et al. profiled tumor samples from 4371 patients with CCA to identify alterations in
133 exons and select introns of up to 404 genes and to determine tumor mutational burden (TMB),
134 microsatellite instability (MSI), and genomic loss of heterozygosity.²⁷ Although 75% of the tumor
135 samples originated from liver biopsies, the primary tumor location (iCCA vs eCCA) was not

136 disclosed. The most commonly altered genes (in at least 10% of patients) were *TP53* (mostly
137 truncations and mutations), *CDKN2A/B* (mostly copy number loss), *KRAS* (mostly mutations),
138 *ARID1A* (mostly truncations), *IDH1* (mutations), *BAP1* (mostly truncations), *PBRM1* (mostly
139 truncations), and *FGFR2* (85% fusions) (**Figure 1A**).²⁷

140 Comprehensive genomic profiling of altered genes in more than 1000 patients with CCA
141 was performed during screening for enrollment in FIGHT-202 (NCT02924376), a phase 2 study
142 of the selective oral FGFR 1-3 inhibitor pemigatinib in patients with previously treated advanced
143 CCA.²⁹ Most of the patients (>80%) had iCCA, although the precise percentage could not be
144 determined as primary tumor location at diagnosis was not available for all patients [Silverman I,
145 personal communication]. Similar to the study by Javle et al.,²⁷ the most commonly altered
146 genes (in at least 10% of patients) were *TP53*, *CDKN2A/B*, *KRAS*, *CDKN2B*, *ARID1A*, *IDH1*,
147 *SMAD4*, *IDH1*, *BAP1*, and *PBRM1*; *FGFR2* alterations were found in 7% of patients (Figure
148 1A).²⁵ An overall similar distribution of altered genes was also observed in a comprehensive
149 molecular profiling study of 410 cancer-associated genes in 195 patients with CCA, including
150 158 (81%) with iCCA (Figure 1A).²⁴

151 Several molecular profiling studies determined the frequency of genomic alterations
152 separately in patients with iCCA and eCCA.^{17,24,28} Although the studies were limited by relatively
153 small numbers of patients with eCCA, they revealed important differences between the
154 molecular landscapes of iCCA and eCCA. In the study by Lowery et al.²⁴ genes preferentially
155 altered in iCCA ($n = 158$; Figure 1B) vs eCCA ($n = 37$) were *IDH1* (29% vs 5%), *BAP1* (19% vs
156 0%), and *FGFR2* (13% vs 0%), whereas genes preferentially altered in eCCAs vs iCCAs were
157 *TP53* (49% vs 18%), *KRAS* (38% vs 7%), *SMAD4* (30% vs 5%), and *STK11* (11% vs <1%).
158 Genomic profiling of 412 patients with iCCA and 57 patients with eCCA identified *IDH1*
159 mutations and *FGFR2* alterations in 16% and 9%, respectively, of patients with iCCA (Figure
160 1B), but failed to detect such alterations in eCCA.²⁸ Genomic profiling of 73 genes in 150
161 patients with eCCA found that the most common alterations (in at least 10% of patients) were

162 *KRAS*, *TP53*, *ARID1A*, and *SMAD4* mutations (Figure 1C).¹⁵ In addition, eCCA was associated
163 with recurrent chromosomal amplifications in *YEATS4* (6.0%), *MDM2* (4.7%), *CCNE1* (2.7%),
164 *CDK4* (1.3%) and *ERBB2* (1.3%).¹⁵ Similar findings were previously reported by Lee et al. for 99
165 patients with eCCA (Figure 1C).²³ Of note, a particularly high rate of *TP53* alterations (68%) was
166 found in 80 Chinese patients with eCCA (Figure 1C).²⁶

167 Along with the apparent differences in molecular landscapes between CCA subtypes, the
168 molecular profile of CCA is also geographically heterogeneous, which may reflect divergent
169 extrinsic risk factors and etiologies.⁶ In this regard, a recent NGS study compared genomic
170 profiles of patients with iCCA located in the US (n = 283) with those in China (n = 164).³⁰
171 Divergent genomic signatures were found: *BRCA1/2*, *DDR*, *KMT2C*, *NF1*, *RB1*, *RBM10*,
172 *SPTA1*, *TERT*, *TGFBR2*, and *TP53* were significantly more prevalent in Chinese patients, and
173 *BAP1*, *CDKN2A/B*, and *IDH1/2* were significantly more common in US patients.³⁰ Also
174 consistent with this, a particularly high rate of *TP53* alterations was observed in 80 Chinese
175 patients with eCCA (Figure 1C).²⁶ Notably, an integrative clustering analysis of copy number,
176 gene expression, mutation, and epigenetic data from 489 CCA samples spanning 10 countries,
177 yielded 4 clusters characterized by divergent clinicopathologic and molecular profiles.¹³ Clusters
178 1 and 2 primarily encompassed liver fluke-positive CCAs and were enriched in *ERBB2*
179 amplification and *TP53* mutation; clusters 3 and 4 primarily encompassed liver fluke-negative
180 CCAs, with cluster 3 displaying immune checkpoint gene upregulation (*PD1*, *PD-L1*, and *BTLA*),
181 and cluster 4 exhibiting *IDH1/2* and *BAP1* mutations, as well as *FGFR* alterations.¹³ Another
182 study also reported significant differences in genomic profiles between liver fluke-positive and -
183 negative CCA, including *BAP1* mutations which were more prevalent in liver fluke-negative
184 iCCA.³¹ Elsewhere a whole-exome sequencing (WES) study of iCCA samples from 103 Chinese
185 patients provided evidence for an association between somatic *TP53* mutations and HBsAG-
186 seropositivity,³² suggesting a role of p53-mediated signaling in iCCA resulting from HBV
187 infection.⁸

188 The question whether the genomic signature of CCA changes longitudinally and whether the
189 sample assessed is derived from primary tumor or metastases biopsies remains in debate.
190 Evidence supporting this was provided by a larger comparative comprehensive genomic
191 profiling study, which demonstrated that the frequency of *KRAS* mutations was significantly (~2-
192 fold) greater in metastasis versus primary tumor biopsies.³³ However, contrary to these findings,
193 a recent NGS study did not find any significant differences in the frequencies of genetic
194 alterations in primary tumor biopsies (n = 141) versus metastasis biopsies (n = 54).²⁴ Of note,
195 *FGFR2* fusions have been reported to occur in surgical resections from patients with early-stage
196 cholangiocarcinoma, indicating that *FGFR2* fusions may occur early in oncogenesis and may
197 drive subsequent disease progression.³⁴ Clonal mutations, such as *FGFR* fusions, would be
198 expected to be present in most re-biopsies performed longitudinally, including those performed
199 at metastases. Moreover, for similar reasons, it is unlikely that *FGFR* fusions would emerge
200 from chemotherapy.

201

202 **Clinically Actionable Genetic Alterations**

203 Although *FGFR2* rearrangements, which are found in approximately 10% to 13% of patients
204 with iCCA,^{17,22,24,35} are currently the only genetic alterations with an approved targeted therapy
205 for CCA, an estimated 40% to 50% of patients with CCA have at least one genetic alteration
206 that may be clinically actionable.^{24,25} “Actionable genes” are often differentiated based on
207 information provided by the oncoKB database, which categorizes them by level of evidence
208 supporting treatment, including treatment approved by the US Food and Drug Administration
209 (FDA) (level 1), standard of care (level 2), clinical evidence (level 3), and biological evidence
210 (level 4).^{36,37} A ranking system developed by the European Society of Medical Oncology
211 (ESMO), the ESMO Scale for Clinical Actionability of molecular Targets (ESCAT), distinguishes
212 five levels of treatment evidence, including improved outcomes in clinical trials (level I),
213 antitumor activity associated with unknown magnitude of benefit (level II), improved benefit in

214 other tumor types (level III), preclinical evidence (level IV), and objective response without
215 improved outcomes in clinical trials (level V).³⁸ Based on the current evidence, ESMO further
216 recommended routine use of NGS multigene panels covering level I alterations on tumor
217 samples in a number of epithelial cancers including cholangiocarcinoma.³⁹ For the purpose of
218 this review, we considered genetic alterations in CCA to be actionable if a targeted therapy is
219 approved for any indication or under investigation in a pivotal phase 2 or 3 trial in patients with
220 BTC or CCA (Table 1).

221 Results from larger genomic profiling studies suggest that *IDH1* and *FGFR2* are the most
222 common genes with actionable alterations in iCCA (Figure 2). In FIGHT 202, an estimated 45%
223 of the 1206 patients screened had clinically actionable genetic alterations.²⁵ The most common
224 were *IDH1* missense mutations (10%), *ERBB2* alterations (8%: 48% amplifications, 24%
225 missense mutations, 28% multiple alterations), *FGFR2* alterations (7%: 59% fusions, 17% other
226 rearrangements, 12% missense mutations, 12% multiple alterations), *PIK3CA* alterations (7%:
227 91% missense mutations, 9% multiple alterations), and *BRAF* alterations (5%: 92% missense
228 mutations, 5% rearrangements, 3% multiple alterations) (Figure 2A).²⁵ Similar findings were
229 reported by Javle et al., who profiled more than 4000 CCA samples obtained primarily through
230 liver biopsies, and by Lowery et al. who profiled 195 patients of whom 81% had been diagnosed
231 with iCCA (Figure 2A).^{24,27} Results from these two studies further suggest that *IDH1* and *FGFR2*
232 alterations are largely mutually exclusive,^{24,27} although co-occurring *IDH1* mutations were found
233 in 5.1% of patients with *FGFR2*-rearranged CCA in the FIGHT-202 prescreening analysis by
234 Silverman et al.²⁵

235 The larger profiling studies in CCA by Silverman et al.²⁵ and Javle et al.²⁷ revealed that
236 many genes with actionable alterations are found in less than 2% of patients (e.g., *EGFR*,
237 *FGFR3*, *FGFR1*, *RET*, *ALK*, and *ROS1*). Furthermore, in both studies, MSI-high was observed
238 in approximately 1% of patients, and TMB >20 mutations per megabase was observed in
239 slightly more than 1% of patients;^{25,27} 3% of patients profiled by Javle et al. had TMB >10

240 mutations per megabase.²⁷ MSH-high and high TMB were highly correlated,^{25,27} but did not
241 commonly coincide with other actionable alterations.²⁵ In the FIGHT-202 analysis, no patient
242 with an *FGFR2* rearrangement had MSI-high status or TMB >20 mutations per megabase.²⁵

243 Quantitative data for actionable genetic alterations in patients with eCCA are limited, due to
244 the small number of studies specifically conducted in this patient population, relative small
245 sample sizes, and variable criteria used to identify actionable genetic alterations.^{15,23,26}

246 Important differences between the molecular profiles of eCCA and iCCA are the low frequency
247 of *IDH1* mutations and apparent lack of *FGFR2* fusions/rearrangements in eCCA.^{15,23,24,26}

248 Results of a recent study in 189 patients with eCCA suggest that approximately 25% of the
249 patients harbor actionable mutations.¹⁵ *ERBB2* and *PIK3CA* each were altered in 5% of
250 patients, *BRCA1/2* and *IDH2* each in 3% of patients, *BRAF* and *IDH1* each in 2% of patients,
251 and *EGFR* in 1% of patients (Figure 2B).

252 Because the genomic profile of CCA is governed by the underlying etiology, the presence
253 (or absence) of actionable genetic alterations may also vary across geographic regions of the
254 world. For example, the integrative clustering analysis of 489 CCA samples spanning 10
255 countries described above found that *IDH1/2* and *FGFR2* rearrangements were exclusive to a
256 cluster described by liver fluke-negative CCA etiologies.¹³

257 Of note, the comparative comprehensive genomic profiling study described above
258 demonstrated that among actionable genetic alterations in metastasis versus primary tumor
259 biopsies, respectively, the *KRAS* G12C mutation was significantly more frequent, whereas *IDH1*
260 mutations and *FGFR2* alterations were significantly less frequent.³³ Because *IDH1* and *FGFR2*
261 alterations are highly characteristic of iCCA, the authors concluded that the metastasis biopsies
262 assessed may have included metastatic lesions derived from primary tumors that had been
263 misclassified as iCCA.³³

264

265 **Targeted Therapies**

266 Increasing understanding of the unique and complex mutational landscape of CCA has fueled
267 efforts to develop therapies targeting specific genetic alterations in CCA. The complexity and
268 heterogeneity of the mutational landscape in CCA is best illustrated by the large and growing
269 number of different novel *FGFR2* fusions that have been detected in patients with iCCA.^{25,27}
270 While Silverman et al. found 63 unique *FGFR2* fusion partners in 1206 patients with iCCA,²⁵
271 profiling of 4371 patients with CCA identified 144 different *FGFR2* fusion partners, 131 of which
272 were each found in less than five patients.²⁷ Pemigatinib was recently approved in the US,
273 Europe, and Japan for the treatment of patients with previously treated unresectable, locally
274 advanced CCA with *FGFR2* fusions and other rearrangements⁴⁰ based on results of FIGHT-202
275 showing an objective response rate (ORR) of 35.5% (95% confidence interval [CI], 26.5-45.4), a
276 median progression-free survival (PFS) of 6.9 months (95% CI, 6.2-9.6), and a median OS of
277 21.1 months (95% CI, 14.8-not estimable).²⁹ A randomized phase 3 study investigating the
278 efficacy and safety of pemigatinib vs chemotherapy as first-line therapy in unresectable or
279 metastatic cholangiocarcinoma with *FGFR2* rearrangement (FIGHT-302, NCT03656536)⁴¹ is
280 currently recruiting patients. In addition to pemigatinib, other *FGFR* inhibitors, including
281 derazantinib,⁴²⁻⁴⁴ infigratinib,^{45,46} Debio 1347,^{47,48} and futibatinib⁴⁹⁻⁵¹ have provided encouraging
282 results in early-phase clinical studies for patients with CCA with *FGFR2* alterations, and most of
283 these agents are now in phase 2 or 3 clinical development (Table 1). In a phase 2 study
284 (NCT02150967) of infigratinib in patients with previously treated CCA with *FGFR2* alterations,
285 those with *FGFR2* fusions ($n = 71$) had an ORR of 31.0% (95% CI, 20.5-43.1%), a median PFS
286 of 6.8 months (95% CI, 5.3-7.6), and a median OS of 12.5 months (95% CI, 9.9-16.6).⁴⁶ An
287 ongoing randomized controlled phase 3 study (PROOF 301, NCT03773302) is comparing first-
288 line therapy with infigratinib vs gemcitabine plus cisplatin in patients with advanced
289 cholangiocarcinoma with *FGFR2* translocations.⁵²

290 *IDH1* missense mutations currently are the most common actionable genetic alterations in
291 iCCA,²⁴ and thus of particular importance as therapeutic targets in CCA. *IDH1* missense

292 mutations are known oncogenic drivers in acute myeloid leukemia, which can be treated with
293 the FDA-approved IDH1 inhibitors ivosidenib and enasidenib.⁵³ The efficacy and safety of the
294 selective IDH1 inhibitor ivosidenib in patients with CCA harbouring *IDH1* mutations was
295 assessed in the global phase 3 ClarIDHy study (NCT02989857).⁵⁴ The primary analysis in 185
296 patients (92% with metastatic CCA) demonstrated significantly longer PFS with ivosidenib vs
297 placebo (hazard ratio [HR] = 0.37, 95% CI: 0.25-0.54; $P < 0.001$; median, 2.7 vs 1.4 months).⁵⁴
298 However, the ORR with ivosidenib was low (2.4% vs 0% with placebo).⁵⁴ The difference in OS
299 between ivosidenib and placebo arms in this analysis was not statistically significant (HR 0.69
300 [95% CI, 0.44-1.10]; $P = 0.060$; median 10.8 vs 9.7 months);⁵⁴ this difference remained non-
301 significant in a later final analysis in 187 patients when OS maturity had been achieved (HR =
302 0.79 [95% CI, 0.56–1.12; $P = 0.093$; median 10.3 vs 7.5 months).⁵⁵ This apparent lack of a
303 significant difference in OS between arms likely reflects the high crossover rate from placebo to
304 ivosidenib in the trial (70%).⁵⁵ Many genes altered in CCA are potentially actionable with
305 targeted therapies that have approved indications in other cancers, such as non-small-cell lung
306 cancer (NSCLC), breast cancer, and melanoma (Table 1). Some of the targeted therapies
307 established in other cancers are currently being investigated in CCA or BTC with DNA repair
308 gene mutations including *BRCA1* and *2*, *ERBB2*, and *PIK3CA* (Table 1). Furthermore, a phase
309 2 basket trial of dabrafenib plus trametinib provided encouraging results in patients with
310 previously treated advanced *BRAFV600E*-positive BTC, including a median OS of 11.3 months
311 (95% CI, 7.3-17.6).⁵⁶ Of note, the immune checkpoint inhibitor pembrolizumab was recently
312 approved in the US for the treatment of patients with solid tumors with MSI-high or TMB >10
313 mutations per megabase who have no alternative treatment options,⁵⁷ and thus is also available
314 for patients with CCA who have these alterations. In addition, FDA-approved therapies are
315 available for the treatment of patients with solid tumors harboring *NTRK* fusions (Table 1).
316 Although the US clinical studies leading to the approval of these therapies included a few

317 patients with CCA with *NTRK* fusions,^{58,59} *NTRK* fusions appear to be rare among patients with
318 CCA treated in the US.^{17,25,27}

319 The demonstrated efficacy of FGFR inhibitors exerts selection pressure favoring clonal
320 evolution and acquired resistance; a knowledge of the associated resistance mechanisms is
321 vital for guiding salvage treatment decisions. To allow this, it is recommended to obtain biopsies
322 of primary tumors as well as any metastases after progression on treatment. Several acquired
323 *FGFR2* mutations have been identified in patients with CCA who have progressed on FGFR
324 inhibitor treatment.^{25,51,60} Among 8 patients progressing on pemigatinib in FIGHT-202, all
325 acquired resistance mutations spanning 5 amino acid residues (residues numbered according to
326 *FGFR2*-IIIb splice isoform: *FGFR2* p.N550H/K, p.E566A, p.K660M, p.L617V, p.K641R), with 3
327 patients acquiring polyclonal mutations.²⁵ The *FGFR2* p.N550H mutation was also identified in a
328 separate case study of a patient with iCCA harboring an *FGFR2-CLIP1* fusion who had
329 progressed on pemigatinib.⁶¹ A case series of 3 patients with iCCA harboring *FGFR2* fusions
330 who had progressed on infigratinib detected the same 5 point mutations as well as an additional
331 *FGFR2* p.V564F variant.⁶⁰ Point mutations were also detected in another case series of 4
332 patients with iCCA progressing on infigratinib (*FGFR2* p.K660M, p.K715R, p.N550H/K/T,
333 p.V565F, and p.E566A), or Debio1347 (*FGFR2* p.H683L and p.M538I). Importantly, in vitro
334 assays demonstrated that *FGFR2* point mutations including p.N549H, p.E565A, and p.L617M
335 reduce the potency of FGFR inhibitors including AZD4547, erdafitinib, Debio1347, pemigatinib,
336 and infigratinib.^{51,61,62} In keeping with this, N549, E565 (and V564) residues participate in the
337 ATP-binding site and may perturb the ATP-competitive binding of FGFR inhibitors.^{25,51} The
338 effects of acquired resistance mutations on FGFR inhibitor potency remain to be fully
339 determined, and there is an unmet need for inhibitor molecules rationally designed to overcome
340 such acquired resistance.

341

342 **Genomic Profiling to Guide Treatment Decisions**

343 Information on whether and when NGS-based genomic testing should be performed in patients
344 with CCA is currently lacking in the literature. However, this question has been examined more
345 generally in patients with cancer,⁶³⁻⁶⁷ and reference to these findings shed light on when NGS
346 might be useful in patients with CCA. In general, it has been suggested that NGS may not be
347 clinically warranted in patients with early-stage cancer, because molecular profiling in these
348 patients is unlikely to yield actionable genetic alterations other than those that could be
349 identified from conventional approaches (see below).⁶⁶ Because of the high costs associated
350 with administering expensive anticancer agents in the off-label setting, it has also been posited
351 that, in general, NGS use might be more suited to clinical trials and investigational/research
352 studies rather than community practice.^{63,64,68} Nevertheless, given the genetic heterogeneity of
353 CCA and large number of potentially actionable genetic alterations,^{17,22-28} patients with early
354 stage CCA might benefit from multiplex NGS after diagnosis. The consensus in the literature for
355 patients with locally advanced or metastatic cancer, including CCA, is that many could benefit
356 from early NGS-testing to guide treatment planning, given that few standard-of-care treatment
357 options are available to them.⁶⁵⁻⁶⁷ In keeping with this, treatment guidelines recommend
358 considering molecular profiling for CCA at advanced stage, when the tumor is unresectable or
359 metastatic^{69,70} with the motivation of matching these patients to basket trials recruiting for
360 corresponding genetic alterations. On a practical note, if resection is planned for a patient with
361 CCA, a preoperative biopsy for molecular profiling may not be routinely recommended to avoid
362 treatment delays.⁷¹ In this situation, given the high rate of relapse after surgery, patients with
363 advanced CCA should be encouraged to undergo a biopsy for molecular profiling as soon as
364 possible after diagnosis to proactively establish a treatment plan.⁶⁵⁻⁶⁷ The authors also
365 recommend requesting the surgical team to reserve a tissue sample during surgery, which may
366 then be used for molecular profiling.

367 Two main considerations for selecting molecular screening tests for a specific type of cancer
368 are the need for comprehensive coverage of altered genes that are potentially actionable and
369 the ability to identify commonly occurring types of genomic alterations, including single-
370 nucleotide variants (SNVs), insertions and deletions (indels), gene amplifications, and
371 chromosomal rearrangements. CCA has a diverse landscape of oncogenic drivers, representing
372 all types of these genomic alterations (Figure 3). Although some genomic alterations found in
373 CCA have been well characterized in other cancer types and may be identified with established
374 conventional tests, others, such as the large and growing number of *FGFR2* rearrangements,
375 are not easily detected by conventional testing approaches. In addition, the molecular profile in
376 individual patients varies substantially and is a priori unknown. Thus, a useful molecular
377 diagnosis to aid therapeutic decision making would require an unbiased and comprehensive
378 testing strategy.

379 In the US, the FDA has recognized the importance of NGS-based genomic profiling in
380 cancer diagnostics, as indicated by the clearance or approval of a number of such assays for in
381 vitro diagnostics (IVD).^{72,73} Of note, FoundationOne CDx, a large-scale genomic profiling assay
382 targeting up to 324 genes, was recently approved as a companion diagnostic test for
383 pemigatinib therapy in patients with CCA with *FGFR2* fusions or other rearrangements.⁷⁴
384 Furthermore, the ESMO Precision Medicine Working Group recently issued recommendations
385 for the use of NGS in patients with specific types of metastatic cancer, including advanced
386 CCA.³⁹ For CCA, it was recommended to use targeted multigene NGS-based genomic profiling
387 for the detection of ESCAT level I actionable alterations, such as *IDH1* mutations, *FGFR2* and
388 *NTRK* fusions, and MSI-high.³⁹

389

390 **Conventional Genetic Tests**

391 A large number of established conventional tests, including FDA-cleared or approved
392 companion diagnostic tests for approved therapies,⁷² are available for alterations that have been

393 well characterized in other cancer types, including but not limited to *BRAFV600* mutations,
394 *ERBB2* amplifications, *EGFR* and *KRAS* mutations, and *ALK*, *ROS1*, and *EGFR*
395 rearrangements.⁷⁵⁻⁸² Common conventional tests are based on immunohistochemistry (IHC),
396 fluorescence in situ hybridization (FISH), or various strategies involving DNA or RNA sequence
397 amplification via polymerase chain reaction (PCR). Although most conventional tests are
398 inexpensive and rapid to perform (within a day), they are not suitable for high-throughput
399 profiling of multiple genes and generally require knowledge of the targeted alterations.

400 IHC is widely used to detect overexpression of specific oncogenic proteins, where the
401 underlying genetic cause for phenotypical changes in protein expression are a priori known.
402 However, IHC test results may be difficult to quantify,⁸³ and test quality depends on the
403 sensitivity and specificity of available antibody probes. In practice, IHC is used to confirm well-
404 characterized amplification events of specific genes, such as *HER2 (ERBB2)* amplifications in
405 breast cancer,^{83,84} and validated IHC tests are available to determine the presence of
406 established rearrangements associated with overexpression of the resulting fusion protein in
407 tumor tissue compared with the native protein in control tissue (e.g., established *ALK* and *ROS1*
408 fusions).^{85,86} IHC may be used as a screening method to detect *NTRK* fusions in CCA.⁸⁷
409 However, it must be emphasized that no IHC techniques have been validated up to now for the
410 detection of *FGFR2* fusions. In addition, some mutations, including *EGFR* mutations associated
411 with non-small-cell lung cancer⁸⁸ and the *BRAFV600E* mutation^{75,77} can be detected by IHC
412 using mutation-specific antibodies.

413 FISH, which relies on direct hybridization of a fluorescence-labeled DNA-probe with genomic
414 DNA, is used to quantify gene amplifications and test for known rearrangements, including gene
415 fusions, in specific genes.⁸² Break-apart FISH is a common approach to detect gene fusions. It
416 requires the use of two differently labeled DNA probes (red and green fluorescence)
417 encompassing the fusion breakpoint to create a signal of overlapping red and green
418 fluorescence that is specific to the unaltered gene. Rearrangements at the fusion breakpoint

419 increase the distance between the 5' and 3' probes, resulting in the separation of the red and
420 green fluorescent signals.⁸² Break-apart FISH does not require knowledge of the precise fusion
421 breakpoint or the identity of the possible fusion partners. However, the reliability of break-apart
422 FISH is sensitive to the distance between the 5' and 3' probes before and after rearrangement,
423 requiring careful probe design and validation for each assay to avoid false negative results.
424 Especially, intrachromosomal rearrangements, which account for approximately 50% of all
425 *FGFR2* rearrangements in CCA,⁸⁹ may not be detected if the distance between the 5' and 3'
426 probes after rearrangement remains too short.

427 Among conventional tests, PCR-based assays provide the greatest versatility in detecting
428 mutations. DNA-based quantitative PCR can be used to screen for specific deletion mutations
429 and SNVs in exon sequences.^{75,90,91} In addition, RNA-based real-time reverse transcription PCR
430 is a fast and sensitive method to detect transcribed gene fusions, where both fusion partners
431 and the location of the breakpoint are known.⁹² The use of multiplex PCR may allow
432 simultaneous detection of different known alterations at the same time.⁹³ An example is the
433 *therascreen* FGFR RGQ RT-PCR Kit, which is a companion diagnostic test for erdafitinib use in
434 urothelial cancer that allows the simultaneous detection of disease-specific *FGFR3* mutations
435 and fusions.⁹⁴ Furthermore, combining real-time PCR with subsequent amplicon sequencing
436 can be used to test for unknown mutations in specific target regions of genomic DNA.⁷⁵
437 Because of the large number of potential *FGFR2* fusion partners in CCA (>150), real-time PCR
438 is not feasible for *FGFR2* fusion testing in this disease.

439

440 **NGS-Based Molecular Profiling**

441 The development of next-generation sequencing (NGS) platforms that allow massive parallel
442 sequencing of large numbers of genes with unprecedented sensitivity, specificity, accuracy, and
443 speed⁹⁵ provides oncologists with the opportunity for comprehensive unbiased molecular

444 screening of individual patients to detect genetic alterations that may inform treatment
445 decisions.

446

447 *NGS-Based Assay Technologies*

448 Although WES and whole transcriptome sequencing are available options for genomic profiling
449 (e.g., Caris Molecular Intelligence⁹⁶), technologies for targeted NGS (e.g., MSK-IMPACT⁹⁷ and
450 FoundationOne CDx⁹⁸) have been developed that allow comprehensive genomic profiling of
451 select gene panels to improve coverage of relevant tumor-specific genes, reduce the amount of
452 input material needed, and shorten turnaround time.⁹⁹

453 Targeted NGS-based tests may differ in multiple respects, including the type of acceptable
454 source material (e.g., formalin-fixed paraffin-embedded tumor tissue or peripheral whole blood),
455 the genetic source material used for library construction (genomic DNA, RNA, or circulating cell-
456 free DNA [cfDNA]), the technology used for target selection (hybrid selection or amplicon-based
457 technologies), the size of available gene panels, and the type of genetic alterations that can be
458 detected.

459 Genomic profiling assays for solid tumors generally require formalin-fixed paraffin-
460 embedded (FFPE) tissue samples obtained by tumor biopsy. However, biliary tract tumors may
461 not always be easily accessible, as is often the case for primary cholangiocarcinoma, rendering
462 them operationally difficult to biopsy.¹⁰⁰ In this regard, a recent retrospective analysis of 149
463 tumor samples from 104 patients with advanced biliary tract cancers demonstrated a high
464 sample failure rate of 26.8% in tissue biopsies, which was mainly due to inadequate tumor
465 content in the sample (91.2%).¹⁰¹ Although repeat biopsy might remedy sample failure due to
466 inadequate tumor content, this is also accompanied by correspondingly increased morbidity
467 risk.¹⁰² In addition, FFPE processing and storage of tumor tissue for RNA-based assays may
468 pose practical challenges due to the instability of RNA, which is easily degraded by omnipresent

469 RNases. Thus, ensuring the integrity of biopsy materials (e.g., FFPE tissue samples) is critical
470 for the performance of RNA-based tests.

471 To overcome these practical challenges with sample integrity and failure, NGS-based
472 genomic profiling assays using blood samples (liquid biopsy) to extract cfDNA are being
473 developed and validated for clinical applications in patients with advanced solid tumors.^{103,104}
474 However, although liquid biopsies are minimally invasive, circulating tumor DNA (ctDNA)
475 constitutes only a fraction of cfDNA, and limited quantities of ctDNA retrieved from blood
476 samples pose challenges to the sensitivity of liquid biopsy-based assays for solid tumors.
477 Recent studies of NGS-based genomic profiling in patients with advanced tumors have shown
478 variable concordance (<60% to 100%) in the detection of clinically relevant genetic alterations in
479 plasma-derived ctDNA versus genomic DNA derived from tumor biopsies.^{101,105-110} Low
480 concordance has been attributed, in part, to intra-tumoral genomic heterogeneity (e.g., between
481 primary and metastatic sites).^{105,109-111} However, a high degree of concordance between plasma-
482 and tissue-based NGS has been reported for established validated biomarkers in
483 gastrointestinal cancer,¹¹¹ and results from a large observational screening study in patients with
484 advanced gastrointestinal cancer showed that plasma-based vs tumor tissue-based testing
485 within the same centers significantly shortened screening time without compromising patient
486 selection for targeted therapies.¹¹² The increasing clinical relevance of ctDNA testing is further
487 illustrated by the recent approval of two cfDNA-based genomic profiling assays, FoundationOne
488 Liquid CDx¹¹³ and Guardant360 CDx,¹¹⁴ as companion diagnostics for the treatment of various
489 solid tumors.⁷³ Taken together, plasma derived cfDNA testing could facilitate identification of
490 additional therapeutically targetable genetic alterations not identified due to biopsy and/or
491 sample failure.

492 The types of genetic alterations that are preferentially detected by NGS-based molecular
493 profiling tests depend to a large extent on whether genomic DNA or RNA is used as the genetic
494 source material (Table 2). DNA-based tests are capable of determining essentially any type of

495 genomic alteration, including SNVs, indels, rearrangement, amplifications, TMB, and MSI-high.
496 However, the specific capabilities of a given test also depend on the size of the available gene
497 panel and the type of sequences targeted, such as promoter regions and other intronic
498 sequences in addition to exons. The main drawback of tests targeting genomic DNA is that
499 effects on gene expression and the sequences of transcripts resulting from rearrangements
500 have to be predicted for novel alterations. In contrast, RNA-based assays detect genomic
501 alterations manifest in the transcriptome, including those more difficult to detect with DNA-based
502 assays, such as alternative splicing events and complex gene fusions.¹¹⁵⁻¹¹⁸ Furthermore, they
503 allow quantification of gene expression to provide direct phenotypic evidence of activating
504 genetic alterations. However, because mutations in genes expressed at low level can be
505 missed, and heterozygous loss-of-function mutations cannot be detected, DNA-based NGS
506 methods are generally preferred for the detection of exonic mutations.

507 Targeted NGS-based genomic profiling platforms using DNA or RNA can roughly be
508 distinguished by two main strategies used for target enrichment (Table 2), namely hybrid
509 capture (e.g., FoundationOne^{95,98} and MSK-IMPACT^{97,119}) and amplicon-based approaches
510 (e.g., Oncomine Dx Target Test¹²⁰ and Archer FusionPlex Solid Tumor panel^{121,122}). Target
511 enrichment via hybrid capture is achieved by using gene-specific hybridization probes to select
512 the desired target sequences from shotgun genomic DNA libraries (DNA-based method)⁹⁵ or
513 from libraries of expressed transcripts (RNA-based method).¹¹⁶ Hybrid capture-based NGS has
514 been used for comprehensive genomic profiling of large gene panels (>400 genes) in large
515 patient populations, including patients with CCA,^{25,27} to screen for genetic alterations that
516 included base substitutions, indels, rearrangements, gene amplifications, MSI, and TMB.^{95,119,123}

517 Amplicon-based approaches targeting genome or transcriptome sequences use target-
518 specific primers for PCR amplification of target sequences during library construction. Amplicon-
519 based approaches are rapid and require relatively little DNA;¹²⁰ however, hybrid capture-based
520 NGS has been reported to provide more uniform coverage and yield higher sensitivity for variant

521 calling.¹²⁴ For anchored multiplex PCR, a universal adapter sequence is added to the target
522 sequence to allow PCR amplification with a gene-specific primer at one end and a universal
523 primer at the other end.¹²¹ RNA-based anchored multiplex PCR is particularly useful for the
524 profiling of gene fusions,¹¹⁸ especially of genes that have a large number of known and
525 unknown fusion partners, as in the case of *FGFR2* fusions in patients with iCCA.²⁵ RNA-based
526 NGS technologies combining the use of universal and gene-specific primers in multiplexed
527 assays, such as the Archer FusionPlex NGS assay, allow the simultaneous detection of any
528 known or unknown 5' or 3' prime fusion partner of multiple targets.¹²⁵ Comparison of RNA-based
529 NGS technologies for the detection of fusions demonstrated that the performance in detecting
530 unknown fusions and known fusions with unknown breakpoints was affected by library
531 preparation technology and exon coverage.¹²⁶

532 Despite the sensitivity and accuracy of NGS-based platforms for detecting gene fusions,
533 including *FGFR2* fusions,²⁵ the question arises whether a second platform, based on different
534 architecture should be used to confirm the presence or absence of a gene fusion, if the
535 presence of that fusion is suspected. If the initial platform that generated a negative result was
536 suboptimal for detecting fusions (ie RT-PCR-based), then use of a different platform would be
537 warranted. However, this should be weighed against current data suggesting a high degree of
538 concordance between platforms in the results generated.^{127,128}

539 *NGS-Based In Vitro Diagnostic Tests*

540 NGS-based assays exist in a variety of formats, including FDA-cleared or approved IVDs or
541 internally validated laboratory-developed tests (LDTs). As of November 2020, a number of
542 “tumor profiling” assays have been cleared or approved by the FDA for use as an IVD, with
543 panels of up to several hundred genes (Table 3).⁷² Most of these tests are or will become
544 available for routine diagnostic testing through commercial clinical testing laboratories.

545 Clinical centers also may design their own LDTs to aid clinical decision making in the
546 absence of appropriate commercially available tests. NGS-based LDTs derived from

547 commercially available test kits (designated “for research only”), such as OncoPrint
548 Comprehensive Assay Plus¹²⁹ and TruSight Oncology 500,¹³⁰ may require extensive
549 customization and validation to be able to serve as suitable and reliable molecular diagnostic
550 tests for specific cancers. Memorial Sloan Kettering Cancer Center (MSKCC) was one of the
551 first academic centers to develop its own large-scale genomic profiling platform, MSK-IMPACT,
552 in order to provide molecular diagnostic services for its patients, and MSK-IMPACT was cleared
553 in 2017 by the FDA for use as an IVD (Table 3).⁹⁷ For oncologists associated with clinical
554 institutions that do not have the resources to provide suitable validated NGS-based LDTs, large
555 commercial laboratories, such as Foundation Medicine (Cambridge, MA), Caris Life Sciences
556 (Irving, TX) , Tempus (Chicago, IL), NeoGenomics (Fort Myers, FL), and Kew (Cambridge, MA),
557 offer comprehensive genomic profiling services with turnaround times of approximately 2 to 3
558 weeks. Most of these services involve proprietary targeted NGS platforms currently designed for
559 clinical research only (e.g., Tempus xT,¹³¹ Neotype Discovery Profile,¹³² and CANCERPLEX¹³³
560 (Table 3).

561 In the UK, the National Health Service (NHS) England is establishing a National Genomic
562 Medicine Service through a network of genomic laboratory hubs tasked to coordinate services
563 for different parts of the country.¹³⁴ NHS England recently activated its genomic testing services
564 for patients with cancer. The 2019/2020 National Genomic Test Directory for cancer specifies
565 the genomic tests commissioned by the NHS England for each cancer type, including
566 conventional and NGS-based tests, patients’ eligibility criteria for each test, and the scope of
567 each test.¹³⁵

568 *Cost of NGS-Based Tests*

569 Since the sequencing of the Human genome in 2003, which was the culmination of an
570 approximately decade-long international collaboration costing several hundreds of millions of
571 dollars, the cost of genomic sequencing has decreased exponentially with the advent of NGS
572 and improved sample handling and analysis efficiency.¹³⁶ The current cost of the NGS-based

573 molecular profiling tests varies widely and the turnaround times range from a few days to ~2
574 weeks (Table 3). Current research focused on the overall cost and cost-effectiveness of NGS-
575 based molecular profiling has been reviewed extensively elsewhere.^{100,101,137-143} Overall, there is
576 a paucity of real world evidence for the cost-effectiveness of the use of NGS in routine clinical
577 practice, upon which payers rely for making coverage decisions.^{144,145} In keeping with this, a
578 qualitative study published in 2015 which canvassed U.S. payers for their opinions regarding
579 challenges associated with coverage of NGS-based tests cited a lack of evidence-based
580 support leading to a belief that NGS should be considered an investigational/experimental
581 modality rather than a medical necessity.¹⁴⁶ Nevertheless, the coverage policies of private and
582 governmental payers are starting to include NGS-based testing, in part in recognition of recent
583 FDA approvals of NGS-based companion diagnostic tests including FoundationOne CDx,
584 FoundationOne Liquid CDx⁹⁸, and Oncomine DX Target Test¹²⁰ for patients with CCA.^{73,147}
585 Studies of the overall cost and cost-effectiveness of NGS have drawn primarily on data from the
586 US; the important question of how these data translate to financial burden of NGS-based testing
587 on patients located in other countries, particularly in those of middle- and low-income, remains
588 to be resolved. Finally, any cost-effectiveness evaluation should take into consideration the
589 clinical scenario. Most patients with iCCA have only limited biopsy sample available for
590 molecular profiling; NGS allows the detection of multiple biomarkers in a single analysis, thus
591 reducing the amount of tissue needed for testing. When using standard techniques analyzing a
592 single biomarker per test, a significant fraction of patients will need an additional biopsy to
593 obtain sufficient tissue to test for all the approved biomarkers, as has been already shown in
594 lung cancer.¹⁴⁸

595

596 **Conclusion**

597 CCA is characterized by significant molecular heterogeneity, with implications for prognosis and
598 treatment. The molecular landscape of CCA, especially iCCA, is unique, as it includes
599 alterations not commonly found in other solid tumors, such as *IDH1* mutations and a large
600 variety of *FGFR2* rearrangements. Although *FGFR2* rearrangements are the only genetic
601 alteration in CCA for which a targeted therapy has been approved, favorable phase 3 results for
602 an *IDH1*-targeted therapy have recently been published, and large-scale genomic profiling has
603 identified numerous actionable alterations for which targeted therapies are approved in other
604 indications. Given the paucity of current treatment options and the limited effectiveness of
605 standard chemotherapy in CCA, “molecular diagnosis” provides an important opportunity for
606 improved personalized treatment plans in CCA.

607 Large-scale genomic profiling based on NGS allows a comprehensive and precise
608 molecular diagnosis of CCA in individual patients that cannot be achieved with conventional
609 molecular diagnostic tools. Due to the progress in sequencing technologies in the last decade,
610 NGS-based tests can now produce results for individual patients within 1 to 2 weeks.

611 Currently available tests vary with regard to the technologies used and the number of genes
612 that can be covered. DNA-based tests using hybrid selection or amplicon-based technology for
613 target enrichment can cover essentially any genomic alteration and are offered for the profiling
614 of standard or custom gene panels that may include more than 400 genes. RNA-based tests
615 using anchored multiplex PCR to generate amplicons are ideal to screen for *FGFR2* fusion
616 transcripts with large numbers of different or unknown fusion partners.

617 Recent approvals of NGS-based genomic profiling assays as IVDs illustrate their increasing
618 relevance in cancer diagnosis to enable personalized medicine. The recent successes and
619 continuing efforts in the development of targeted therapies for patients with CCA suggest that
620 genomic profiling will become an integral diagnostic tool to guide treatment decisions in CCA.
621 However, future development of precision medicine in CCA will likely require the integration of
622 information from multiple omics approaches. For example, response rates to FGFR and IDH

623 inhibitors in iCCA are lower than response rate to targeted therapy in other oncogene-addicted
624 cancer, such as EGFR-mutated lung cancer. In addition to genomic profiling, transcriptomics,
625 proteomics, and metabolomics may provide valuable information to improve treatment
626 stratification of patients with CCA.

627

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Table 1. Actionable genetic alterations and associated targeted therapies in CCA

Gene	Genetic alteration	Targeted therapy	Approved indication ^a	Clinical trial in BTC or CCA
<i>IDH1</i>	Mutation	Ivosidenib	AML	Phase 3 – CCA (NCT02989857); with results ⁵⁴
<i>IDH2</i>	Mutation	Enasidenib	AML	
<i>FGFR2</i>	Rearrangement, mutation, amplification	Pemigatinib	CCA	Phase 2 – CCA (previously treated, NCT02924376) ²⁹ Phase 3 – CCA (first line, NCT03656536)
		Infigratinib		Phase 2 – CCA (previously treated, NCT02150967) Phase 3 – CCA (first line, NCT03773302)
		Futibatinib		Phase 3 – CCA (first line, NCT04093362)
		Derazantinib		Phase 2 – CCA (previously treated, NCT03230318)
		E7090		Phase 2 – CCA (previously treated, NCT04238715)
		Erdafitinib	Urothelial carcinoma	
		<i>FGFR3</i>	Mutation, rearrangement	Erdafitinib
<i>BRAF</i>	Mutation, rearrangement	Encorafenib	Colorectal cancer, melanoma	
		Dabrafenib	Melanoma, NSCLC, anaplastic thyroid cancer	Phase 2 – iCCA (NCT02465060)
		Vemurafenib	Melanoma	
<i>BRCA1/2</i>	Mutation	Olaparib	Breast cancer	Phase 2 – BTC (NCT04042831)
<i>ERBB2</i>	Amplification,	Trastuzumab	Breast cancer	Phase 2 – CCA:

	mutation			Trastuzumab (NCT00478140)
				Trastuzumab emtansine (NCT02999672)
		Tucatinib	Breast cancer	
		Lapatinib	Breast cancer	Phase 2 – BTC/Liver cancer (NCT00101036, NCT00107536)
		Neratinib	Breast cancer	
		Afatinib, dacomitinib	NSCLC	
<i>PIK3CA</i>	Mutation	Alpelisib	Breast cancer	
		Copanlisip	Follicular lymphoma	Phase 2 – CCA (NCT02631590)
<i>CDK4/ CDK6</i>	Amplification	Palbociclib, ribociclib, abemaciclib,	Breast cancer	
<i>MET</i>	AMP	capmatinib	NSCLC	
<i>RET</i>	Rearrangement, mutation	Selpercatinib	NSCLC, thyroid cancer	
<i>EGFR</i>	Mutation	Erlotinib, gefitinib	NSCLC	
<i>ALK</i>	Rearrangement	Alectinib, lorlatinib, ceritinib, brigatinib, crizotinib	NSCLC	
<i>ROS1</i>	Rearrangement	Entrectinib, crizotinib	NSCLC	
<i>NTRK</i>	Fusion	Larotrectinib, entrectinib	Solid tumors	

<i>JAK2</i>	Mutation	Ruxolitinib, fedratinib	Myelofibrosis
MSI-high		Pembrolizumab	Tumor-agnostic
		Nivolumab	Colorectal cancer
TMB >10 mutations/megabase		Pembrolizumab	Tumor-agnostic

AML, acute myeloid leukemia; BTC, biliary tract cancer; CCA, cholangiocarcinoma; MSH, microsatellite instability; NSCLC, non-small-cell lung cancer; TMB, tumor mutational burden.

^aSee prescribing information for details.

Table 2. Comparison of DNA- and RNA-based NGS assays for targeted molecular profiling in CCA

	DNA-based	RNA-based
Sequences targeted	Genome: exons, introns, promoter regions	Transcriptome: exons, transcribed rearrangements
Size of gene panels	<20 to >600	<100
Alterations detected	SNVs, indels, CNVs, rearrangements, MSI, TMB	SNV, indels, alternative splicing events, gene fusions
Limitations	Effects of genetic alterations on gene expression have to be predicted	Quality of biopsy material is critical due to RNA instability
	Only hybrid-capture based assays may identify unknown fusion partners	Alterations in genes expressed at low level may be missed
Main applications in CCA	Comprehensive profiling of genetic alterations	Identification of fusion transcripts, including novel fusions ^b

CNV, copy number variation; indel, insertion/deletion; MSI, microsatellite instability; NGS, next-generation sequencing; TMB, tumor mutation burden; SNV, single-nucleotide variation.

^aUsing multiplexed amplicon-based approaches combining universal and gene-specific primers.

Table 3. Targeted NGS-based molecular profiling assays

Company	Test	Specimen	Template	Enrichment technology	Gene panel size	Genetic alterations	FDA Approved? ^a	Turnaround	Cost ^c
Memorial Sloan Kettering Cancer Center	MSK-IMPACT ⁹⁷	FFPE	DNA	Hybrid capture	468	SNVs, indels, MSI	Yes	NR	NR
Foundation Medicine	FoundationOne CDx ⁹⁸	FFPE	DNA	Hybrid capture	324	SNVs, substitutions, indels, CNAs, rearrangements, MSI, TMB, LOH	Yes ^b	~2 weeks	3500 USD
	FoundationOne Liquid CDx ¹¹³	Whole blood plasma	cfDNA	Hybrid capture	311	SNVs, substitutions, indels, CNAs, rearrangements; blood TMB, MSI	Yes	~2 weeks	3500 USD
	FoundationOne Heme ¹⁴⁹	Whole blood, bone marrow aspirate	DNA + RNA	Hybrid capture	406 (DNA), 265 (RNA)	SNVs, substitutions, indels, CNAs, rearrangements, MSI, TMB	No	~2 weeks	3500 USD
Guardant Health	Guardant360 CDx ¹¹⁴	Whole blood plasma	cfDNA	Hybrid capture	55	SNVs, indels, CNAs, fusions	Yes	1 week	3500 EURO
ArcherDX	FusionPlex Solid Tumor ¹²²	FFPE	RNA	Anchored multiplex PCR	53	Fusions	No	3-5 days	NR
Tempus	Tempus xT ¹³¹	FFPE	DNA + RNA	NR	596	SNVs, indels, CNAs,	No	~2 weeks	NR

			(for transcriptome sequencing)			rearrangements, MSI, TMB			
ThermoFisher Scientific	Oncomine Comprehensive Assay Plus ¹²⁹	FFPE	DNA, RNA	AmpliSeq	>500	SNVs, indels, CNA, fusions, MSI, TMB	No	5 days	14,570.00 USD
	Oncomine Dx Target Test ¹²⁰	FFPE	DNA + RNA (for fusions only)	AmpliSeq	23	SNVs, deletions, ROS1 fusions	Yes	4 days	NR
Illumina	TruSight Oncology 500 ¹³⁰	FFPE	DNA + RNA (for transcriptome sequencing)	Hybrid capture	523	SNVs, indels, CNA, rearrangements, MSI, TMB	No	4-5 days	17,999 USD
NeoGenomics Laboratories	NeoType Discovery Profile for Solid Tumors ¹³²	FFPE	DNA, RNA	NR	323	SNVs, indels, rearrangements, MSI, TMB	No	~2 weeks	NR
KEW	CANCERPLEX ¹³³	FFPE, biopsy, aspirate	DNA	NR	435	SNVs, indels, CNA, rearrangements, MSI, TMB	No	7-10 days	NR
Caris Life Sciences	CARIS Molecular Intelligence ¹⁵⁰	FFPE, biopsy aspirate	DNA, RNA	Microdissection	~22,000	SNVs, indels, CNA, fusions, MSI, TMB	No	10-14 days	NR
Paradigm	PCDX ¹⁵¹	FFPE	DNA, RNA	NR	234	SNVs, indels, CNA,	No	4-5 days	NR

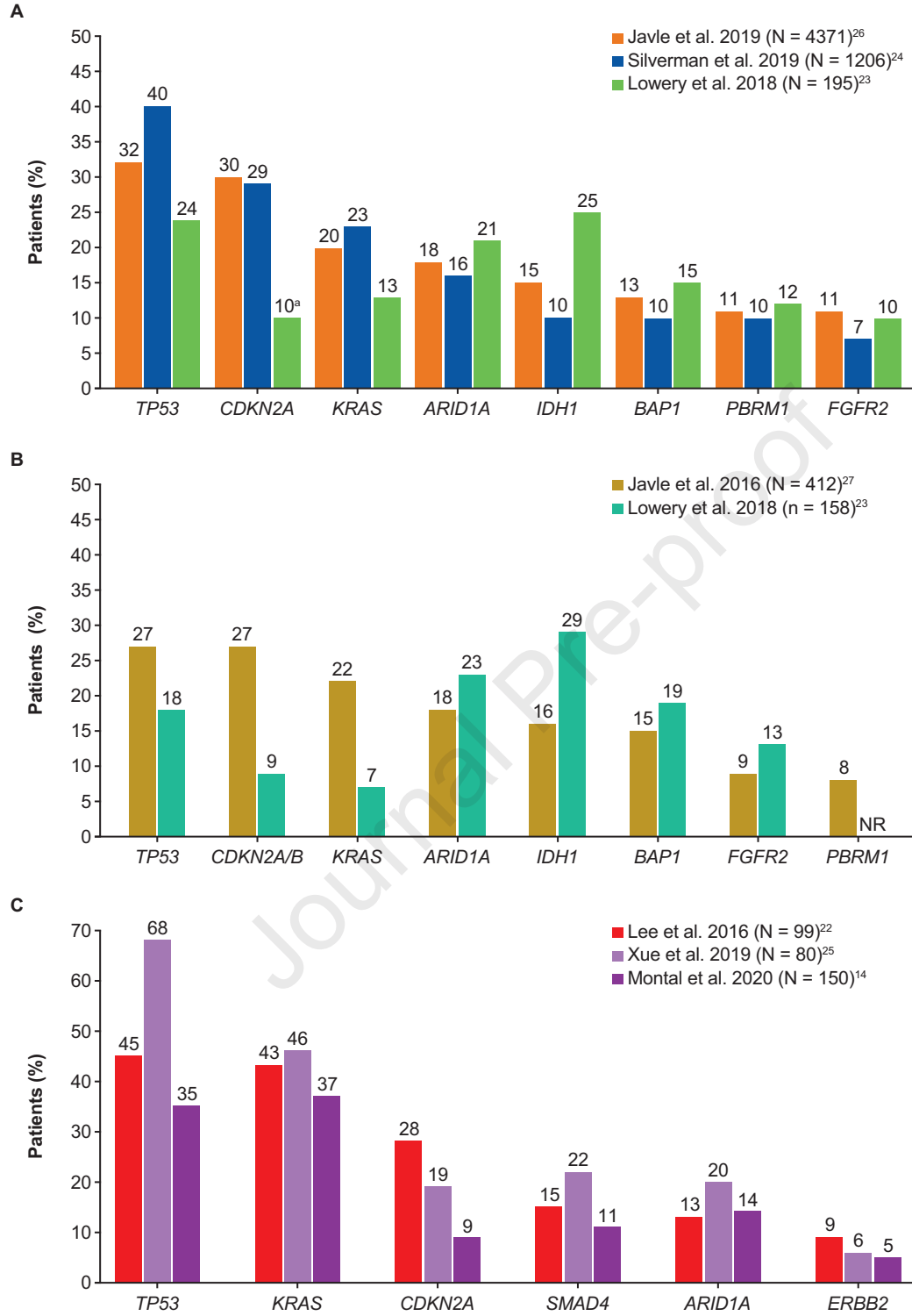
						rearrangements, TMB			
OmniSeq Corporation	OmniSeq Comprehensive ¹⁵²	FFPE	DNA, RNA		144	SNVs, indels, CNA, fusions, MSI, TMB	No	10-15 days	NR
PathGroup	SmartGenomics ¹⁵³	FFPE	DNA	NR	160	SNVs, indels, fusions	No	NR	NR

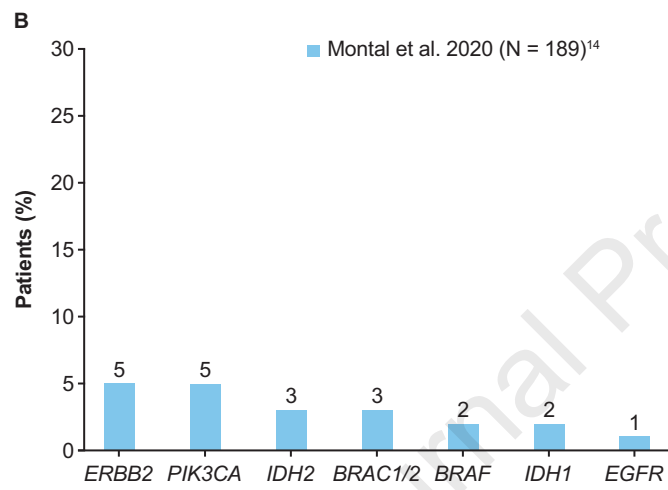
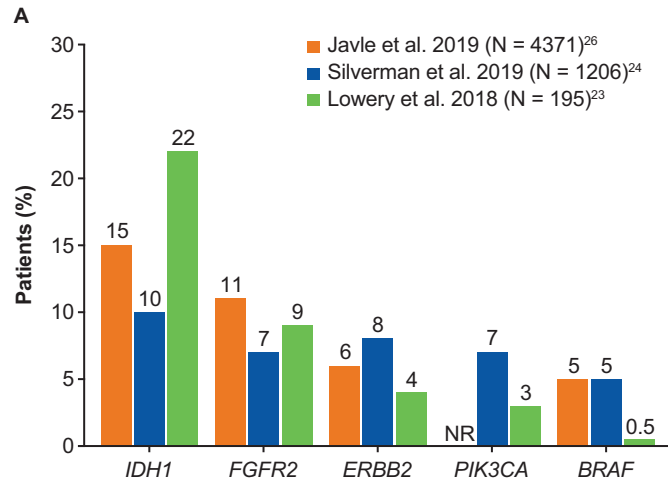
cfDNA, cell-free DNA; CNA, copy number alteration; FFPE, formalin-fixed paraffin-embedded; GIS, genomic instability score; indel, insertion or deletion of a DNA sequence into a genome; IVD, in vitro diagnostic; LOH, loss of heterozygosity; MSI, microsatellite instability; NR, not reported; PCR, polymerase chain reaction; SNV, single-nucleotide variant; TMB, tumor mutational burden; USD, United States dollars.

^aFDA-cleared/approved IVD test.⁷²

^bFDA-approved as companion diagnostic for pemigatinib-eligibility in patients with cholangiocarcinoma.⁷⁴

^cCosts are those publicly disclosed in the company's website or literature.





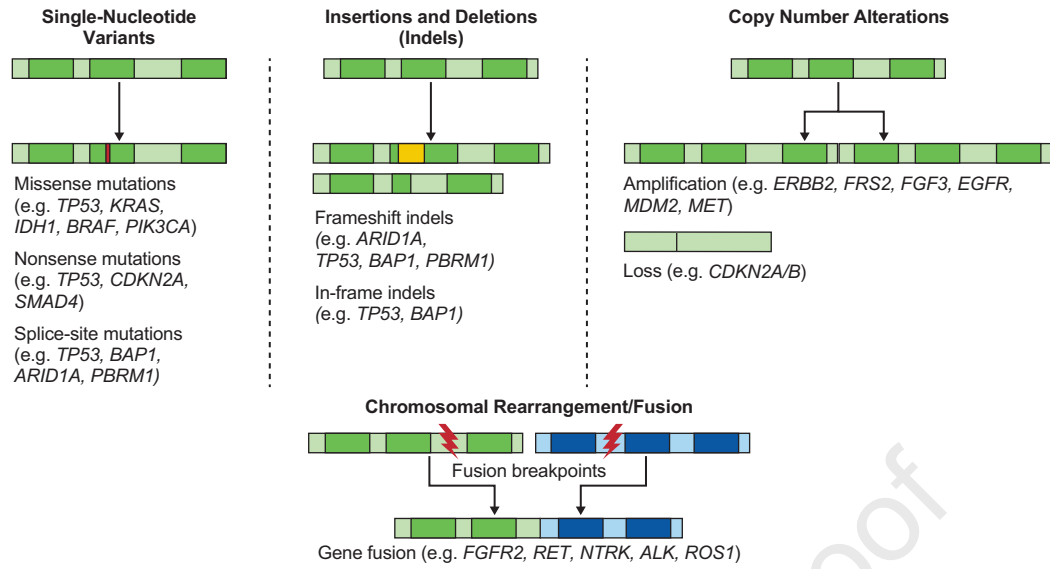


Figure Captions

Figure 1. Frequently altered genes in CCA (A), iCCA (B), and eCCA (C). In two of the studies listed in panel A, Silverman et al 2019²⁵ and Lowery et al. 2018,²⁴ >80% of patients had iCCA. The percentage of patients with iCCA in the study by Javle et al. 2019,²⁷ was not disclosed. Some patient percentages were estimated based on graphic rather than numerical data presented in the original studies. NR, not reported. ^aAlso includes patients with *CDKNB* alterations.

Figure 2. Commonly altered genes with actionable alterations in CCA/iCCA (A) and eCCA (B). In two of the studies listed in panel A, Silverman et al 2019²⁵ and Lowery et al. 2018,²⁴ >80% of patients had iCCA. The percentage of patients with iCCA in the study by Javle et al. 2019,²⁷ was not disclosed. Some patient percentages were estimated based on graphic rather than numerical data presented in the original studies. The most common actionable alterations (in each gene) were *IDH1* missense mutations, *FGFR2* fusions/rearrangements, *ERBB2* amplifications and mutations; *PIK3CA* missense mutations; *BRAF* missense mutations, *IDH2* mutations, *BRCA1/2* mutations, and *EGFR* amplifications and mutations. NR, not reported.

Figure 3. Genetic alterations in CCA.