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1 *Running Title: Blastocystis update*

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5 *Title: Current status of Blastocystis: a personal view*

6

7 C. Rune Stensvold<sup>a, \*</sup>, C. Graham Clark<sup>b</sup>

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12 <sup>a</sup> *Statens Serum Institut, Copenhagen, Denmark*

13 <sup>b</sup> *London School of Hygiene and Tropical Medicine, London, UK*

14

15 \*Corresponding author: CR Stensvold - run@ssi.dk

16

17

18 Abstract

19

20 Despite *Blastocystis* being one of the most widespread and prevalent  
21 intestinal eukaryotes, its role in health and disease remains elusive. DNA-  
22 based detection methods have led to a recognition that the organism is much  
23 more common than previously thought, at least in some geographic regions  
24 and some groups of individuals. Molecular methods have also enabled us to  
25 start categorizing the vast genetic heterogeneity that exists among  
26 *Blastocystis* isolates, wherein the key to potential differences in the clinical  
27 outcome of *Blastocystis* carriage may lie.

28

29 In this review we summarize some of the recent developments and advances  
30 in *Blastocystis* research, including updates on diagnostic methods, molecular  
31 epidemiology, genetic diversity, host specificity, clinical significance,  
32 taxonomy, and genomics. As we are now in the microbiome era, we also  
33 review some of the steps taken towards understanding the place of  
34 *Blastocystis* in the intestinal microbiota.

35

36 Keywords: parasite; gut; Stramenopiles; public health; clinical microbiology

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## 43 1. INTRODUCTION

44

45 It is now over 100 years since Alexeieff [1] first described the intestinal  
46 eukaryote *Blastocystis* but, despite the efforts of numerous researchers  
47 (especially in recent years), there are still many unknowns surrounding this  
48 organism. Most important of these is whether *Blastocystis* causes disease in  
49 humans. For every report linking *Blastocystis* with gastrointestinal or other  
50 symptoms there is another that finds no such link. There are a number of  
51 factors that have contributed to this apparent lack of progress and these will  
52 form the basis of this review. We would like to warn the reader at this early  
53 stage that we ourselves are convinced only that there are no definitive data  
54 yet available to resolve this issue.

55

## 56 2. TAXONOMY AND EVOLUTION

57

58 In culture, *Blastocystis* is generally spherical with no obvious surface features.  
59 When stained, the most common morphological form seen has a large central  
60 vacuole of unknown function and the cytoplasm with all the organelles is  
61 visible as a thin peripheral layer between the vacuole and the cell membrane  
62 (Figure 1). While many morphological forms have been described, the  
63 significance of most is unclear, the boundaries between them are not discrete,  
64 and some may well represent degenerating forms [2]. We refer the reader to  
65 earlier reviews for more details [3-5]. The life-cycle is typical of most gut  
66 protists, with a resistant cyst form for transmission and a trophic form that  
67 divides by binary fission. More complex and alternative life-cycles have been

68 described (discussed in [5]) but in our opinion there is no conclusive evidence  
69 for anything other than this simple two-stage cycle.

70

71 *Blastocystis* has a complicated taxonomic history. It has been viewed as a  
72 fungus, a sporozoan and even the cyst of another organism at various points  
73 in its history, until 20 years ago [6] when it was finally placed among the  
74 Stramenopiles. This is one of the major groups of eukaryotes [7], but one that,  
75 to date, contains only a single other human-infective eukaryote, *Pythium*.  
76 *Blastocystis* has none of the typical features of a stramenopile, which is in part  
77 why identifying its correct relationships took so long.

78

79 Since its classification as a Stramenopile further data have emerged  
80 regarding the closest relatives of *Blastocystis*. These turn out to be poorly  
81 known flagellated or ciliate-like organisms that live in vertebrate intestines.  
82 While most Stramenopiles are free-living and aerobes, *Blastocystis* and its  
83 relatives are gut-living and anaerobes, although they do have mitochondrion-  
84 like organelles (see later). *Blastocystis* is related specifically to the  
85 Proteromonadidae and Slopalinida [8], but these cannot be considered close  
86 relatives. However, it seems likely that the common ancestor of these groups  
87 of organisms was already living in a gut and an anaerobe.

88

89 The simple spherical morphology of *Blastocystis* mentioned above applies to  
90 all members of this genus. This means that morphology is of no use in  
91 defining species. Traditionally, *Blastocystis* species have been defined by the  
92 identity of their host, with all human *Blastocystis* being assigned to

93 *Blastocystis hominis*. However, even before DNA sequences identified  
94 *Blastocystis* as a Stramenopile it had become clear that significant  
95 heterogeneity existed among human *Blastocystis*. Using serology,  
96 isoenzymes and karyotyping, human *Blastocystis* were being divided into  
97 subgroups [4], and this picture of variation was reinforced by direct and  
98 indirect DNA sequence analyses [9]. Subsequent data have only added to the  
99 diversity and have refined our understanding of this genus.

100

101 Analyses of human *Blastocystis* by different researchers always resulted in  
102 the detection of variation, but each group came up with its own nomenclature  
103 for the groupings it identified. To resolve this confusion a consensus  
104 terminology was agreed [9] and this classification of human *Blastocystis* into  
105 numbered subtypes has simplified communication among workers in this field.  
106 At the time of the consensus two things were clear: 1. that humans were host  
107 to a number of distinct small subunit rRNA gene (SSU-rDNA)-based subtypes  
108 of *Blastocystis*, and 2. that most of these subtypes were also found in other  
109 mammalian or avian hosts. This meant the host-linked binomial species  
110 names were untenable, as the same organism was being called by multiple  
111 names. For example, one grouping of *Blastocystis hominis* proved to be  
112 genetically indistinguishable from *Blastocystis ratti*; both are now known as  
113 *Blastocystis* subtype 4 (ST4).

114

115 The current taxonomy of *Blastocystis* follows a distinct structure for mammal  
116 and bird organisms compared to all others [10]. The mammalian/avian  
117 *Blastocystis* are subdivided into seventeen subtypes (STs), nine of which

118 (ST1–ST9) have been found in humans. There is host range overlap  
119 observed for many of these organisms (Figure 2). *Blastocystis* from reptiles,  
120 amphibia and invertebrates retain Linnean binomial names for the most part.  
121 This is largely because little investigation of diversity and host range of these  
122 *Blastocystis* has been undertaken to date and so the same impetus to change  
123 the nomenclature has not existed. Whether a similar situation involving broad  
124 host-range and large genetic diversity will be uncovered in those organisms  
125 remains to be seen; it seems likely, and therefore the nomenclature of  
126 *Blastocystis* in those hosts may require a similar solution.

127

### 128 3. GENETIC DIVERSITY AND HOST SPECIFICITY

129

130 Subtypes of *Blastocystis* are discrete and no intermediate variants have been  
131 uncovered to date despite extensive sampling from around the world.  
132 However, many host species remain to be sampled, so this picture may  
133 change. Guidance on how and when to define a new subtype has been  
134 published [11]. The recommendation is that a minimum of 5% sequence  
135 divergence from the SSU-rDNA of known subtypes is required before defining  
136 a new subtype is appropriate. One of the reasons for establishing this  
137 boundary is that *Blastocystis* subtypes are often assigned based on the  
138 sequence with the closest similarity in sequence database searches, without  
139 taking into account the degree of similarity. So a sequence that actually  
140 represents a new subtype may be assigned to an existing subtype. This  
141 misattribution has been a problem in some existing cases, for example ST13,  
142 as discussed in reference [10]. Unfortunately, information attached to entries

143 in GenBank databases are rarely corrected and this can result in  
144 misidentifications being propagated forward in the literature.

145

146 The 5% level of divergence to define a new subtype was chosen in part  
147 because variation within subtypes can also be substantial, up to at least 3%  
148 [11]. Therefore a single 'outlier' sequence that appears to be distinct and  
149 potentially a new subtype could eventually merge into an adjacent subtype as  
150 more sequences become available. Only as more subtyping data accumulate  
151 will the validity of this arbitrary threshold be tested. Note that 5% divergence is  
152 the recommendation for establishing new subtypes, where sampling is likely  
153 to be limited. The divergence between some existing subtypes (for example,  
154 ST6 and ST9) is actually less than 5%. However, sampling is sufficient to give  
155 us confidence that these are indeed distinct lineages rather than variants of  
156 the same subtype. In other words, 5% divergence has been chosen as quite a  
157 stringent criterion and more data may lead to the revision of new subtype  
158 definitions in the future..

159

160 As mentioned earlier, nine distinct subtypes have been found in humans  
161 (Figure 2). However 95% of human infections sampled belong to one of just  
162 four of these subtypes (STs 1-4; [12]) and only one of the human subtypes  
163 has not yet been found in another host: ST9 can claim (at present) to be  
164 restricted to humans. The four most common STs in humans have also been  
165 detected in other hosts. Most frequently these hosts are other primates, but  
166 they have also been found in various hoofed mammals, rodents and even  
167 birds [10]. Conversely, the rarer subtypes in humans (STs 5-8) are more



168 commonly found in other hosts: ST5 in hoofed animals, STs 6 and 7 in birds,  
169 and ST8 in non-human primates. It has been suggested that these rarer  
170 subtypes in humans are of zoonotic origin and there is some evidence to  
171 support this: ST8 has frequently been found in zookeepers that work with non-  
172 human primates [13], and ST5 is prevalent in piggery workers in Australia  
173 [14], for example. However, there is no reason to suspect that human  
174 infections involving the common STs (STs 1-4) originate from non-human  
175 sources except in rare cases.

176

177 Exposure to *Blastocystis*-infected animals alone is not sufficient to result in an  
178 infection. For example, ST10 is very common in livestock [10] but is yet to be  
179 reported in humans. This suggests that variables other than just body  
180 temperature are determining the ability of *Blastocystis* to colonize the human  
181 gut; the gut flora may have an impact, for example.

182

183 The degree of genetic diversity within subtypes is quite variable. ST3 is  
184 probably the most diverse of the well-studied subtypes – varying by ca. 3% in  
185 the SSU-rDNA sequences - while ST4 shows the least variation, especially in  
186 humans [15]. Diversity in these subtypes has been further explored using a  
187 multi-locus sequence typing approach based on variation in several regions of  
188 the mitochondrion-like organelle's genome [15]. MLST data are not yet  
189 published for other subtypes. How genetic variability within a subtype is  
190 reflected in phenotypic and functional variability is as yet unclear. However,  
191 differences in adhesion and drug resistance between strains of *Blastocystis*  
192 ST7 have been reported [16].

193

194 Intra-subtype variation has provided further insight into host specificity. For  
195 example, ST3 is common in both humans and non-human primates [13].  
196 However, MLST analysis divided ST3 into four clades and almost all human  
197 samples fell into only one of these clades [15]. Where this was not the case,  
198 the individuals concerned had work exposure to non-human primates, again  
199 suggesting zoonotic transmission had occurred [15]. It would be interesting to  
200 know whether such host specificity exists between variants within other  
201 subtypes that are found in a wide range of mammals and exhibit genetic  
202 diversity, like ST10 for example [17].

203

204 MLST has the potential to provide insight into geographic aspects of genetic  
205 variation as well. However, this could be confounded by the increasing  
206 population mobility in today's world: geographic differences will be starting to  
207 break down. To date, it is only subtyping that has provided evidence of  
208 geographic differences in *Blastocystis* distribution. Specifically, it has become  
209 clear that ST4 has a restricted distribution, being rare or absent in South  
210 America, North Africa, and the Middle East, while being the second most  
211 common subtype in Europe (summarized in [12]). The reasons for this are  
212 obscure, but when combined with the relatively low genetic diversity of ST4 in  
213 humans the evidence suggests that ST4 may only have entered the human  
214 population relatively recently (perhaps in Europe) and is yet to spread around  
215 the world [12]. ST4 is also found in other hosts [10], but there is no link  
216 between these hosts and Europe.

217

218

## 219 4. DIAGNOSIS AND MOLECULAR CHARACTERIZATION

220

221 For most parasites, both direct and indirect diagnostic methods have been  
222 developed. Direct methods include those based on morphology (microscopy)  
223 and detection of DNA (typically PCR) or antigens (IFA, antigen ELISA, etc.),  
224 while indirect methods are based mainly on detection of antibodies [18]. While  
225 the potential utility of serology in the indirect detection of *Blastocystis*  
226 infections remains unclear, some studies have used serology to look for  
227 quantitative differences in antibody responses between symptomatic and  
228 asymptomatic individuals ([19-20]; see also below).

229

230 With regard to direct detection methods, the use of diverse diagnostic  
231 modalities of varying sensitivity may very well have impaired attempts to  
232 define the role of *Blastocystis* in health and disease [21-23]. Molecular  
233 methods developed to detect *Blastocystis* in genomic DNA extracted directly  
234 from fresh stool have highlighted the sensitivity shortcomings of diagnostic  
235 methods such as the traditional 'ova and parasites' (O&P) work-up (used to  
236 detect cysts of protozoa and larvae and eggs of helminths), culture methods,  
237 and permanent staining of fixed fecal smears [24-26].

238

239 Simple stains like Lugol's iodine can be used as a quick aid to the  
240 identification of *Blastocystis* in fecal smears or concentrates; the organism is  
241 otherwise difficult to differentiate from other structures seen in unstained  
242 preparations due to the lack of diagnostic morphological features. Trichrome

243 staining is one of several permanent stains used for detection of trophic forms  
244 of protozoa in feces. *Blastocystis* stains characteristically with Trichrome, and  
245 this method had a specificity and sensitivity of 100% and 82%, respectively, in  
246 a study by Stensvold et al. [24].

247

248 Despite being the primary diagnostic tool worldwide, the use of microscopy to  
249 detect *Blastocystis* has limited utility in clinical microbiology laboratories and  
250 in generating data for clinical and epidemiological purposes: 1) Microscopy of  
251 fecal concentrates - the commonly applied O&P method - has very low  
252 sensitivity in detecting *Blastocystis* [24, 27]; 2) there is no consensus on the  
253 importance of the cell numbers (see below) or the various morphological  
254 forms reported; and 3) microscopy cannot distinguish between genetically  
255 highly dissimilar organisms (STs), which may differ in their clinical  
256 significance, a situation potentially similar to *Entamoeba histolytica* and  
257 *Entamoeba dispar*. Nevertheless, there are situations in which microscopy  
258 may serve a purpose, such as those aiming to verify the presence of  
259 *Blastocystis* in various types of non-human samples, including those of  
260 environmental and animal origin, to inform hypotheses on transmission. For  
261 instance, a recent study used microscopy to identify *Blastocystis* in various  
262 environmental samples, including food, water, and fomites [28].

263

264 Xenic *in vitro* culture (XIVC) is defined as culture in the presence of an  
265 undefined bacterial flora. *Blastocystis* can be grown and propagated xenically  
266 in a variety of media [29, 30]. Perhaps due to its simplicity and low cost,  
267 Jones' medium has been popular for both detecting and maintaining

268 *Blastocystis*; another medium often used for isolation is Robinson's [29], while  
269 we have also used LYSGM (a variant of TYSGM-9; [31]) for propagation when  
270 large numbers of cells are needed. XIVC as a diagnostic tool using Jones'  
271 medium has a sensitivity ranging from 52%—79% compared with real-time  
272 PCR assays [26, 32].

273

274 The diagnostic utility of Ag-ELISA and immunofluorescent antibody staining  
275 methods for the detection of *Blastocystis*, including commercial kits such as  
276 ParaFlor B (Boulder Diagnostics, Boulder, CO, USAa), coproELISA™  
277 Blastocystis (Savyon Diagnostics, Ashdod, Israel), and Blasto-Fluor  
278 (Antibodies Inc., Davis, CA, USA), is as yet unclear, since these assays have  
279 been used in only a limited number of studies and applied to only a very  
280 limited number of samples [33-37]. The utility of such assays remains  
281 unknown as the range of subtypes they detect is unclear.

282

283 The first diagnostic PCR for *Blastocystis* was introduced in 2006 [25] but it  
284 was later suspected to exhibit preferential amplification of some subtypes over  
285 others. Since then, three diagnostic real-time PCR assays have been  
286 reported. A real-time PCR based on an unknown *Blastocystis* target using  
287 FRET probes was validated against ST1, ST3, and ST4 [38]. A SYBR green  
288 real-time PCR used the SSU rRNA gene for detection of *Blastocystis*-specific  
289 DNA (ST1–ST9), and subsequent subtyping was performed by melting curve  
290 analysis [26]. The relatively large PCR product used (320 to 342 bp,  
291 depending on the subtype) may impair the sensitivity of this test—especially  
292 when DNA quality is not optimal—and the specificity of the assay was 95%.

293 The third real-time assay, using a hydrolysis probe based on the SSU rRNA  
294 gene, was characterized by 100% specificity and ability to detect all nine  
295 subtypes identified in humans so far [32]. The use of real-time PCR in large-  
296 scale surveys would assist in identifying whether the development of  
297 symptoms is related to infection intensity by simple analysis of threshold cycle  
298 ( $C_t$ ) values for individual samples, as this enables quantitation of the amount  
299 of *Blastocystis*-specific DNA present. The same DNA samples may also be  
300 used for subtyping and MLST protocols, hence allowing the detection and  
301 evaluation of genetic diversity as well as the simple presence of *Blastocystis*  
302 [22]. *Blastocystis* has also been included as a diagnostic target in commercial  
303 gastrointestinal pathogen diagnostic panels such as Feconomics® (Salubris  
304 Inc, Boston, USA), EasyScreen™ Enteric Parasite Detection Kit (Genetic  
305 Signatures, Sydney, Australia), and NanoChip® (Savyon Diagnostics, Israel).  
306

307 While the potency of DNA-based methods is evident, they do not allow the  
308 evaluation of whether differences in morphotypes are important. Several  
309 different forms of *Blastocystis* have been described, including the avacuolar,  
310 vacuolar, multivacuolar, granular, ameboid, and cyst stages. Although there  
311 are a few reports of ameboid stages being detected only in symptomatic  
312 *Blastocystis* carriers [eg. [39]], there is no consensus regarding the  
313 significance of the different forms. Moreover, as mentioned earlier, it is not  
314 clear whether some of these forms represent life-cycle stages, or are artifacts  
315 resulting from exposure to oxygen or other stresses [2]. Relatively few studies  
316 on the cyst stage are available [40-42], which is remarkable given that this is

317 the stage that allows survival of the parasite in the environment and  
318 transmission to a new host.

319

320 The high sensitivity of qualitative PCR for detection of *Blastocystis* DNA in  
321 stool was reinforced by a recent study of *Blastocystis* in Senegalese children  
322 [43], where the prevalence of *Blastocystis* among 93 children with and without  
323 gastrointestinal symptoms was 100%. When prevalence is so high there will  
324 be little incentive for including *Blastocystis* PCR as a screening tool in the  
325 clinical microbiology laboratory. However, where treatment of a patient with  
326 *Blastocystis* has been undertaken, PCR methods are useful in post-treatment  
327 follow-up to evaluate treatment efficacy.

328

329 This leads to one of the fundamental questions for clinical microbiology labs:  
330 When is testing for *Blastocystis* appropriate? Data currently emerging indicate  
331 that *Blastocystis* can be more common in individuals with a healthy GI system  
332 than in patients with organic and functional bowel diseases (see below).

333 Therefore, the inclusion of *Blastocystis* as a specific target in screening  
334 panels, alongside known pathogens such as *Giardia*, *Cryptosporidium*, and  
335 *Entamoeba histolytica*, currently appears to make little sense in the clinical  
336 microbiology laboratory. The presence of *Blastocystis* in stool samples most  
337 likely implies that the carrier has been exposed to fecal-oral contamination,  
338 which should prompt the laboratory to look more closely for the presence of  
339 pathogens transmitted in the same way. However, since *Blastocystis* may  
340 colonize the human colon for more than 10 years [44], it may be impossible to  
341 identify when this contamination happened. This has important implications

342 for the interpretation of clinical microbiology lab results. *Blastocystis* is  
343 sometimes detected in stool samples of patients with diarrhea or other  
344 gastrointestinal symptoms and in the absence of proven pathogens, so  
345 clinicians might conclude that *Blastocystis* could be the cause of the  
346 symptoms. If it is known that the infection is recent, the organism could  
347 certainly be viewed as a potential cause of the symptoms; however, in most  
348 cases it will be impossible to rule out that it has been present in the gut for  
349 months - even years - and therefore is an incidental finding.

350

351 Another dilemma is the question of whether or not to report the presence of  
352 *Blastocystis* in stool samples given that it is so common. Several studies have  
353 sought to address this by setting a threshold number of *Blastocystis*  
354 organisms detected microscopically per visual field at a specified  
355 magnification before scoring the sample as positive; usually this has been set  
356 at 5 organisms per 40x field (see references in [5]). However, the rationale for  
357 this is unclear. It is known that shedding of both trophic and cyst forms of the  
358 organism is irregular [45]. Moreover, several factors may influence the  
359 number of organisms seen per visual field, including whether or not the  
360 sample was fresh or preserved prior to analysis, and if preserved whether or  
361 not the sample was fresh at the time of fixation. Real-time PCR would be  
362 more sensitive and less affected by some of these variables.

363

364 In the event that symptoms are eventually linked to specific subtypes,  
365 including those individual subtypes as specific targets in diagnostic panels  
366 would be more relevant than including a general target for *Blastocystis*.



367 Subtype-specific PCRs already exist, and barcoding of *Blastocystis* DNA  
368 amplified by generic primers can also be performed [46, 47]. To date,  
369 diagnostic PCR methods have been developed and validated only for human  
370 clinical samples; no validated PCR method for detecting *Blastocystis* in  
371 environmental samples is yet available to the knowledge of the authors.

372

373 Given the extensive cryptic genetic diversity of *Blastocystis* [10, 15, 48], a  
374 number of tools have been developed to map its molecular epidemiology.  
375 Among these tools, two in particular have been widely used. A PCR assay for  
376 detecting subtypes using sequence-tagged-site (STS) primers was developed  
377 and refined in the early 1990s [49]. This approach involves the use of seven  
378 PCR reactions, one for each of subtypes 1—7, and should be viewed as  
379 comprising a diagnostic method for each of these subtypes, circumventing the  
380 need for sequencing. The other method involves analysis of SSU rDNA  
381 variation. This approach has been developed independently by several  
382 groups, each of which used different regions of the SSU rRNA gene as  
383 markers [24-25, 50-56]. The barcoding method mentioned above, developed  
384 in 2006 by Scicluna et al., is one such example [46]. A comparison of the STS  
385 method and barcoding showed that barcoding should be preferred where  
386 possible for a variety of reasons [47]. First and foremost, barcoding enables  
387 the detection of subtypes beyond STs 1—7 and further scrutiny of genetic  
388 diversity. The barcode region has also been validated as a marker of overall  
389 genetic diversity of *Blastocystis* [15].

390

391 Barcoding uses the primers RD5 and BhRDr, which amplify ~600 bp at the 5'-  
392 end of the SSU rRNA gene. Comparison of phylogenetic trees obtained by  
393 analysis of barcoding sequences with those obtained using concatenated  
394 sequences obtained by MLST (reflecting loci in the genome of the  
395 mitochondrion-like organelle) demonstrated the appropriateness of using the  
396 barcode region as a surrogate marker for overall genome diversity in this  
397 particular organism [15]. The drawbacks of barcoding compared to the STS  
398 method are that sequencing is required and that mixed subtype infections  
399 may not always be evident in sequence chromatograms, and, even if they are,  
400 they may prove difficult to decipher [47]. On the other hand, barcoding  
401 enables more subtle analyses, namely SSU rDNA allele analysis [15]. A  
402 public database is available (<http://pubmlst.org/blastocystis/>) that includes a  
403 sequence repository for barcode sequences and those obtained by MLST. It  
404 also has a BLAST facility, where individual or bulk fasta files can be uploaded  
405 and analyzed for rapid identification of subtype and allele number, hence  
406 eliminating the need for phylogenetic analysis. To date, 35 SSU rDNA alleles  
407 within ST3 have been identified, whereas the number of SSU rDNA alleles for  
408 ST4 and some other subtypes remains much more limited. However, some of  
409 the allelic variation included is the result of sequencing of cloned DNA;  
410 intragenomic SSU rDNA polymorphism has been reported [57, 58], and such  
411 polymorphism will likely go unnoticed when sequences obtained directly from  
412 PCR products are studied.

413

414 There is no doubt that DNA-based methods now enable us to carry out large  
415 and well-designed research studies that are dependent on accurate detection

416 and molecular characterization of *Blastocystis*. Such studies are required to  
417 produce data that can shed light on the role of this organism in human health  
418 and disease with a view to potentially developing diagnostics, biomarkers, and  
419 therapies, including antimicrobial or probiotic agents, as appropriate.

420

## 421 5. CLINICAL SIGNIFICANCE AND EPIDEMIOLOGY

422

423 Even after more than 100 years, the role of *Blastocystis* in human health and  
424 disease remains obscure. While *Blastocystis* has been speculated to be  
425 involved in a range of organic and functional bowel diseases, it is clear that  
426 asymptomatic carriage is common. This does not mean that *Blastocystis* does  
427 not cause disease. The situation may resemble that for *Giardia*, where many  
428 infections are asymptomatic (for example [59]), and *Entamoeba histolytica*,  
429 where the proportion of symptomatic infections is at most 10% [60]. Case  
430 reports and surveys continue to be published with regularity, mostly indicating  
431 a link between *Blastocystis* and symptoms, although not always. We do not  
432 propose to evaluate all the evidence here. However we do wish to highlight  
433 two common issues: 1. Identification of an appropriate control group for  
434 survey studies can be problematic; and 2. Excluding all other possible  
435 etiologic agents or non-infectious causes of intestinal symptoms is almost  
436 impossible.

437

438 While distinctive intestinal pathology has been clearly linked to the intestinal  
439 protists *Giardia*, *Cryptosporidium*, and *Entamoeba*, there is little – if any –  
440 evidence for direct pathology caused by *Blastocystis*. Phagocytosis of red

441 blood cells is a well-known feature of *Entamoeba histolytica* that correlates  
442 with virulence; there is only one study reporting phagocytosis in *Blastocystis*  
443 [61]. No *Blastocystis* proteins such as glycoproteins or lectins that could  
444 facilitate attachment to the gut epithelial layer have been identified, although  
445 Denoeud et al. [57] have speculated that *Blastocystis* hydrolases might be  
446 able to alter the colonic mucus layer (see below). It is generally accepted that  
447 *Blastocystis* is non-invasive as well as lacking the ability to phagocytize the  
448 microbiota or host-derived material.

449

450 When examining tissue sections from pig intestines, Fayer et al. [62] found  
451 *Blastocystis* primarily in the lumen, usually associated with digested food  
452 debris, and although sometimes in close proximity to or appearing to adhere  
453 to the epithelium, there were no cells penetrating to the epithelium or the  
454 lamina propria. These observations were confirmed by Wang et al. [63], who  
455 did not observe any obvious pathology in histological sections of porcine gut  
456 mucosal biopsies. In the latter study, *Blastocystis* cells were observed as  
457 vacuolar/granular forms found within luminal material or in close proximity to  
458 epithelial cells, with no evidence of attachment or invasion. When *Blastocystis*  
459 is observed adhering to the epithelium in histological preparations it should be  
460 kept in mind that histological procedures are likely to dissolve and eliminate  
461 the mucus layer that is potentially separating *Blastocystis* from the mucosa in  
462 vivo.

463

464 Despite the absence of invasion, discrete non-specific colonic inflammation  
465 has been reported in a patient with both urticaria and what was characterized

466 as 'heavy *Blastocystis* colonization'; *Blastocystis* eradication resulted in  
467 symptom resolution [64]. There are also some reports of *Blastocystis* having  
468 been found extra-intestinally, but in those cases it has not been possible to  
469 rule out that the presence of *Blastocystis* at these sites was merely a result of  
470 incidental or secondary colonization resulting from damage generated by  
471 other microorganisms or anatomical anomalies [65-68].

472

473 *Blastocystis* is one of several organisms to have been linked to Irritable Bowel  
474 Syndrome (IBS), including post-infectious IBS [69-71]. Genome analysis by  
475 Poirier et al. [72] identified various genes encoding hydrolases and serine and  
476 cysteine proteases, and the authors speculated that these potential virulence  
477 factors could be triggers of IBS by alteration of the mucus layer and  
478 interaction with tight junctions.

479

480 Cross-sectional studies testing the hypothesis that *Blastocystis* is linked to  
481 IBS mostly assume that, if the organism is associated with the disease, it  
482 should be more common in patients with IBS symptoms. The outcomes of  
483 such studies have been mixed, with some finding a higher prevalence of  
484 *Blastocystis* in IBS patients and some finding no difference or even lower  
485 prevalence (summarized in [12]). A few have looked at the subtype  
486 distribution, but although they have generally found differences between IBS  
487 and non-IBS patients, there is no consistency regarding the subtypes  
488 associated with IBS (summarized in [12]). IBS itself presents a diverse  
489 picture, with patients having diarrhea, constipation or a mixture of symptoms

490 [69]. Even fewer investigations have been performed to look at potential links  
491 between *Blastocystis* and subgroups within IBS.

492

493 IBS patients are likely to have multiple tests performed before a diagnosis is  
494 made and, because of this, a common finding may well be *Blastocystis* in the  
495 stool, which might then be suspected of being the agent responsible for the  
496 symptoms if no other candidates have been uncovered. So *Blastocystis* may  
497 be more commonly detected in IBS patients simply because the investigations  
498 are more thorough. Post-infectious IBS - a term describing the development of  
499 IBS following treatment of an infection with antimicrobials [71] – adds another  
500 complication, as the actual trigger for IBS may have been eliminated by  
501 antimicrobial treatment, leaving *Blastocystis* behind to take the blame. It is  
502 also impossible to exclude that *Blastocystis* was the initial trigger of IBS even  
503 if it is no longer present. The potential links, if any, between *Blastocystis* and  
504 IBS may be impossible to prove or disprove without large longitudinal cohort  
505 studies.

506

507 One of the most interesting recent findings is that *Blastocystis* could be a  
508 marker of gastrointestinal health rather than a cause of disease. This may in  
509 fact not be surprising, given that we have been unable to reach a consensus  
510 on a role for the organism in disease despite the large number and wide  
511 range of investigations undertaken. A recent study identified *Blastocystis* as a  
512 common member of the healthy human gut microbiota, with greater than 50%  
513 of the healthy background population colonized [44]. Moreover, long-term  
514 colonization trends were also noted; the same strains were present in the

515 same hosts for up to 10 years [44]. A lower prevalence of *Blastocystis* in IBS  
516 patients (n = 189) compared with healthy controls (n = 297), 14.5% versus  
517 22% respectively (p = 0.09), was also highlighted in a recent study [73]; the  
518 prevalence of *Dientamoeba fragilis* also differed significantly between the two  
519 groups, with *D. fragilis* being similarly more common in individuals without  
520 gastrointestinal symptoms. Another study, this time involving 96 healthy  
521 controls and 100 patients with Inflammatory Bowel Disease (IBD) - a disease  
522 affecting about 12,000 individuals in Denmark alone, 0.2% of the population -  
523 detected a significantly lower prevalence of *Blastocystis* in IBD patients  
524 compared with healthy controls (p < 0.05), with only 5/100 IBD patients being  
525 colonized by *Blastocystis* compared with 18/96 controls [74-75]. Interestingly,  
526 four of the five positive IBD patients were in an inactive stage of the disease;  
527 only 1/42 patients with active IBD was a carrier.

528

529 Whether it is linked to gastrointestinal health or disease, it is clear that  
530 *Blastocystis* is much more common than previously reported, reaching a  
531 prevalence of 100% in some cohorts [43]. Individuals in communities with high  
532 prevalence may become and remain infected from a very young age, while in  
533 other communities, particularly where the overall prevalence is low, many  
534 individuals may acquire *Blastocystis* later in life. For now, it is uncertain  
535 whether the age at colonization - including whether *Blastocystis* becomes a  
536 stable member of the intestinal microbiota from early on - is of any clinical  
537 importance. It could be that in some regions of the world, *Blastocystis* might  
538 be an 'emerging pathogen'.

539

540 While recent observations suggest that *Blastocystis* colonization may be  
541 inversely correlated with intestinal disease [44], we now know that the  
542 bacterial component of the gut microbiota in IBS, IBD, and other intestinal  
543 diseases is significantly different to that of the healthy human gut [69, 76].  
544 Importantly, this may in fact indicate that *Blastocystis* is dependent on other  
545 components of the microbiota to colonize and maintain a stable colonization in  
546 the human gut. To test this prediction, we recently obtained access to data  
547 from the MetaHIT Consortium (<http://www.metahit.eu/>), originally generated to  
548 identify associations between intestinal bacterial communities and disease  
549 patterns, including obesity, diabetes, and IBD [77]. From the data, we were  
550 able to extract *Blastocystis*-specific DNA signatures, which enabled us to (1)  
551 identify the relative prevalence of *Blastocystis* in each of the study groups,  
552 and (2) to perform a preliminary investigation of the association between  
553 *Blastocystis* and bacterial communities, in this case the so-called  
554 ‘enterotypes’ [77]. Our analysis [78] showed that: 1) *Blastocystis* was indeed  
555 negatively associated with disease and absent in all 13 patients with Crohn’s  
556 disease (although not all studies have found this; [79]); and 2) very  
557 intriguingly, *Blastocystis* was negatively associated with the *Bacteroides*  
558 enterotype ( $p < 0.0001$ , unpublished data). This finding may be linked to the  
559 fact that the *Bacteroides* enterotype—compared with the *Prevotella* and the  
560 *Ruminococcus* enterotypes—is characterized by low microbial diversity, and  
561 this could therefore indicate that *Blastocystis* requires high overall microbial  
562 diversity to become established in the human colon. However, it could also be  
563 that some other unknown feature(s) of the enterotype may be responsible for  
564 determining *Blastocystis* colonization, such as bacterial metabolic by-



565 products. There is no doubt that studies of *Blastocystis* in the context of  
566 intestinal bacterial communities and host physiology and immunity are likely to  
567 advance our understanding of the clinical significance of *Blastocystis*. The  
568 apparent impact of the gut flora on *Blastocystis* colonization may also mean  
569 that standard animal models may be of limited use in exploring the effects of  
570 *Blastocystis* on the human gut.

571

572 Comparing both bacterial and eukaryotic microbial communities in samples  
573 from 23 individuals from agrarian communities in Malawi following traditional  
574 lifestyles and from 13 individuals residing in Pennsylvania and Colorado,  
575 USA, following a modern lifestyle, Parfrey et al. [80] recently showed that the  
576 Malawi population harbored a diverse community of protists, including  
577 *Blastocystis*, when compared to the North American populations, and that the  
578 overall organismal diversity in the Malawian human gut is comparable to that  
579 in other mammals. These, and other, data could indicate that the declining  
580 diversity of the human bacterial microbiota identified in the West compared  
581 with populations with traditional agrarian lifestyle has led to a reduced  
582 prevalence of *Blastocystis* in Western populations [81].

583

584 It is also clear that geographical differences in subtype distributions may result  
585 in geographical differences in the clinical significance of the parasite. There is  
586 precedent in *Entamoeba* for cryptic genetic differences underlying differences  
587 in the clinical outcome of infection (the *E. histolytica*/*E. dispar* story; [60]). So  
588 a working hypothesis over the past few years has been that differences  
589 between the clinical outcome of *Blastocystis* infection may reflect genetic

590 differences in the organism. Hence, dozens of studies from all over the world  
591 have sought to identify *Blastocystis* STs in both healthy and symptomatic  
592 individuals (summarized in [11]). The distribution of subtypes across the major  
593 geographical regions is depicted in Figure 3. So far, no particular subtype has  
594 been linked consistently to disease. However, such a finding might not be  
595 unexpected if the distribution of subtypes is uneven. While ST1, ST2, and ST3  
596 appear to have a global distribution, current data suggest that ST4 is confined  
597 mainly to Europe. ST4 was the only subtype identified in Danish patients with  
598 acute diarrhea, but the overall prevalence of the parasite was also lower in  
599 this group of patients than in others that have been studied in Denmark [82].  
600 ST4 also dominated in symptomatic patients in Spain [83].

601

602 A significant gap in clinical *Blastocystis* research is the lack of large  
603 randomized controlled clinical treatment trials [84-87]. To date these have  
604 produced inconsistent and indeed contradictory results. It appears that no  
605 single drug or drug combination currently in use consistently results in reliable  
606 *Blastocystis* eradication [88-90]. Metronidazole has traditionally been used to  
607 treat anaerobic microorganisms, including *Entamoeba* and *Giardia*; however,  
608 its effect on *Blastocystis* has in some studies been minimal, with an  
609 eradication rate as low as 0%. Even the use of combinations such as  
610 diloxanide furoate, secnidazole, and trimethoprim/sulfamethoxazole or  
611 nitazoxanide may not result in consistent eradication [90].

612

613

614 6. GENOMICS

615

616 With the advances in sequencing technology in recent years it has become  
617 possible to sequence eukaryotic genomes quickly and relatively inexpensively  
618 compared with even a few years ago. Perhaps surprisingly, the published  
619 *Blastocystis* nuclear genome sequences at the time of writing are for ST7,  
620 obtained by 'traditional' Sanger sequencing [57], and ST4, obtained by next  
621 generation sequencing [91]. Others have not yet appeared in print despite  
622 anecdotal evidence that suggests a flood of new data is about to arrive.

623

624 However, *Blastocystis* has two genomes. In addition to the nuclear genome it  
625 also contains an organelle genome. In contrast to most anaerobic eukaryotes,  
626 *Blastocystis* has mitochondrion-like organelles that have a quite normal  
627 appearance under the transmission electron microscope (see [4]). It was  
628 known for many years that these organelles contained DNA, based on  
629 staining properties, but it was not until 2007 that the coding potential of these  
630 molecules was uncovered. Two groups published sequences of the genomes  
631 present in the mitochondrion-like organelle in three subtypes – STs 1, 4 and 7  
632 [92-93]. The gene content and gene order of the 27-29 kilobasepair circular  
633 molecules was identical, although the sequence divergence was  
634 considerable. Subsequently, mitochondrion-like organelle genomes from  
635 additional subtypes have been obtained (unpublished data) and these initial  
636 observations have been upheld.

637

638 The gene content of the genome of the mitochondrion-like organelle is distinct  
639 from the more familiar ones from mammals and yeast. Particularly notable is

640 the absence of any genes encoding cytochrome and ATPase subunits and  
641 the presence of a number of ribosomal protein genes. In common are the  
642 genes encoding ribosomal RNAs and several tRNAs plus NADH  
643 dehydrogenase (Complex I) subunits. The nuclear genomes and expressed  
644 sequence tag (EST) surveys that are available confirm that the *Blastocystis*  
645 mitochondrion-like organelle has only retained complexes I and II of the  
646 electron transport chain, a characteristic shared with certain other anaerobic  
647 eukaryotes. However, many other features of mitochondrial metabolism are  
648 also present [31, 57]. This is in contrast to the situation in, for example,  
649 *Giardia* and *Entamoeba* where the genome has been lost completely and the  
650 function of the resulting organelles (known as mitosomes) has become highly  
651 reduced. Whether the *Blastocystis* organelle would follow a similar path given  
652 enough time is impossible to predict.

653

654 The only published nuclear genomes at this time are for ST4 and ST7.  
655 However, a recently published report on polyadenylation in *Blastocystis* also  
656 includes data on a ST1 genome, suggesting its publication is imminent. The  
657 polyadenylation report uncovered a unique situation in *Blastocystis*, where  
658 around 15% of the stop codons in messenger RNAs are created through the  
659 cleavage of a precursor and addition of the poly A tail to the mRNA [94]. This  
660 is unprecedented outside of mitochondria. Given the degree of genetic  
661 divergence between subtypes, comparative genomics may well reveal  
662 significant differences between features of their nuclear genomes as well as  
663 confirming genus-wide peculiarities, as in this case.

664

665 Overall, the *Blastocystis* nuclear genome is quite small (under 19 Mb) with  
666 relatively few genes (just over 6,000), quite a few of which appear to have  
667 been acquired by horizontal gene transfer. Introns are numerous and small,  
668 but repetitive DNA is rare. Of note is the fact that individual ribosomal RNA  
669 cistrons are sometimes present in subtelomeric regions of the genome rather  
670 than being exclusively found in long tandem arrays as in many other  
671 eukaryotes [57].

672

## 673 7. CONCLUSION

674

675 *Blastocystis* is one of the most successful intestinal eukaryotes identified to  
676 date, being able to infect a wide range of host species. It may reside in the gut  
677 for years on end and appears to show remarkably little susceptibility to  
678 standard chemotherapeutic interventions, although analysis of biochemical  
679 pathways identified through genome sequencing may generate some new  
680 directions for drug interventions. However, the recognition of a high  
681 prevalence of *Blastocystis* in healthy populations, identified using sensitive  
682 molecular diagnostic tools, has heralded a paradigm shift in clinical  
683 *Blastocystis* research. Studies of the gut microbiota in people with and without  
684 *Blastocystis* are likely to provide valuable - if not critical - information to help  
685 determine the role of *Blastocystis* in human health and disease.

686

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690

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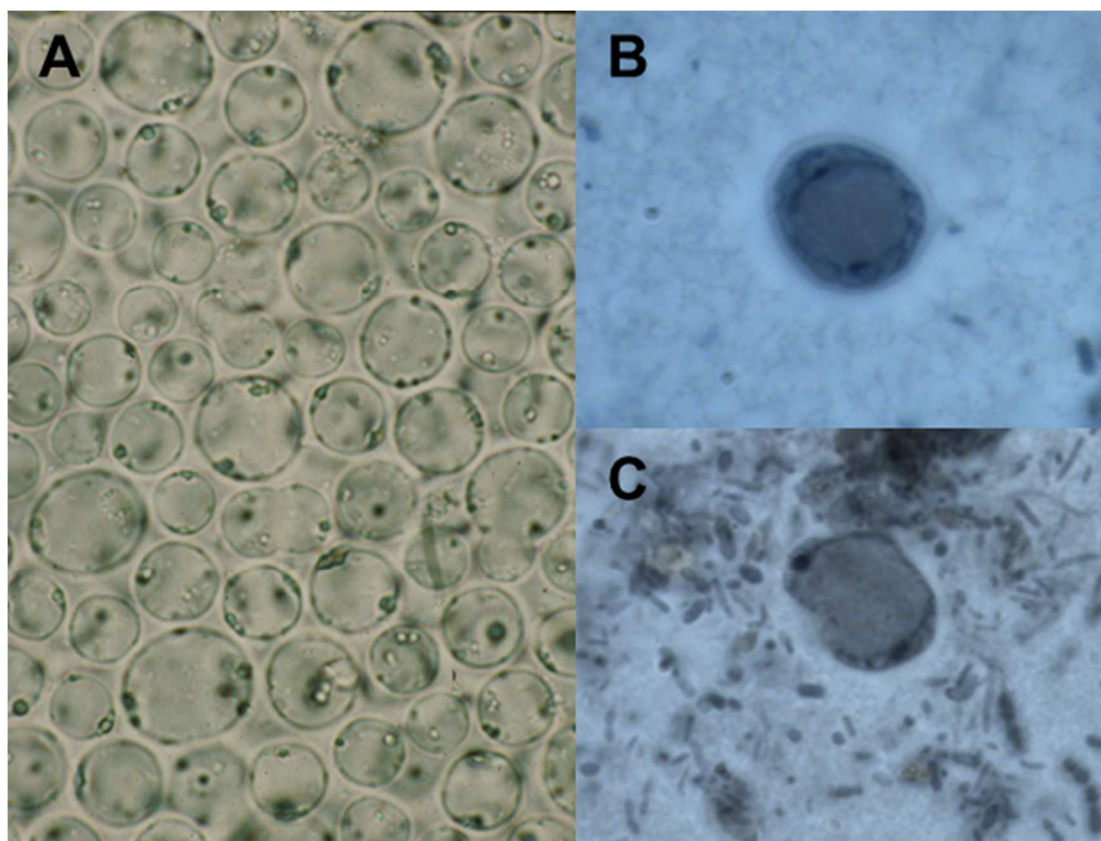
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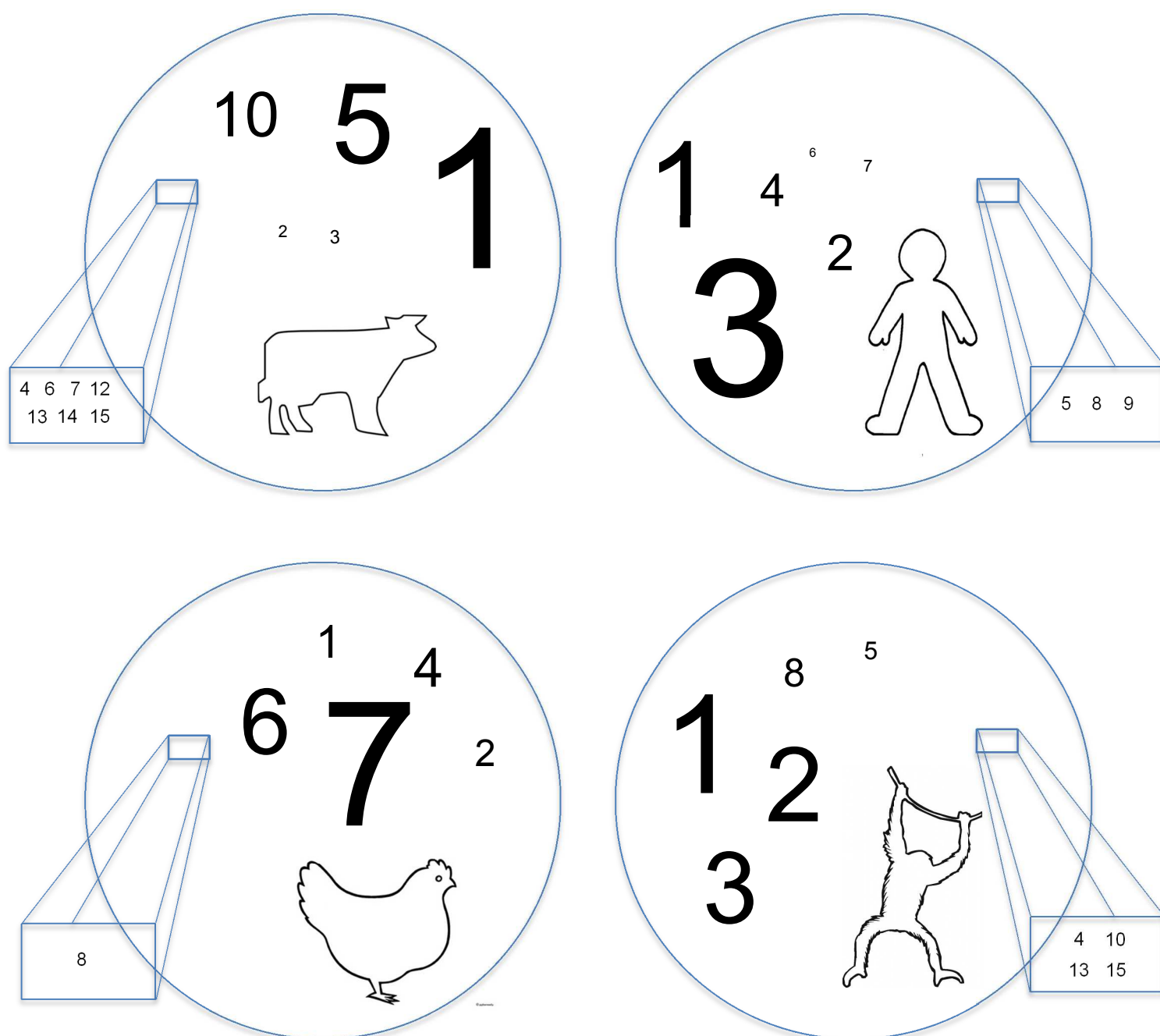
1047 Figure 1. Light microscopy images of *Blastocystis*. A. *Blastocystis* in culture.  
1048 Using Robinson's and other media [29], *Blastocystis* often reaches high  
1049 density in xenic culture. This stage is typically reported as 'vacuolar' due to  
1050 the large central region of uncertain function. Organelles are seen as 'dots'  
1051 along the periphery of the cell. B and C. *Blastocystis* in fecal smears, stained  
1052 using iron-hematoxylin. Prominent nuclei are seen in the periphery of the cells  
1053 as the most conspicuous morphological hallmark, along with the large central  
1054 'void'. Other organelles can be discerned as smaller peripheral 'dots', which  
1055 will include the mitochondrion-like organelles, etc. However, these can only be  
1056 positively identified by transmission electron microscopy. Images courtesy of  
1057 John Williams (A) and Claire Rogers (B, C), Diagnostic Parasitology  
1058 Laboratory, London School of Hygiene and Tropical Medicine.  
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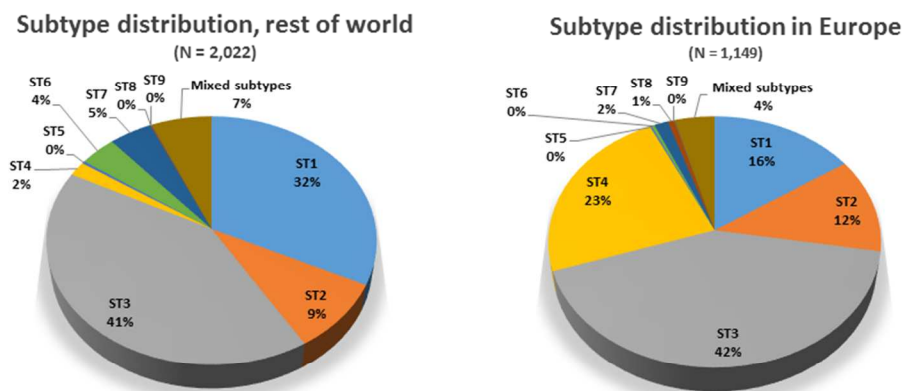
1061 Figure 2. Host range and relative prevalence of *Blastocystis* subtypes. In this  
 1062 schematic, the range of subtypes reported for four major host groups  
 1063 (humans, non-human primates, ungulates and birds) is shown. In the circle,  
 1064 the numbers are those of the most common subtypes found in the respective  
 1065 host, with the integer font size proportional to its prevalence. Numbers in the  
 1066 magnified boxes represent those subtypes that each constitute less than 5%  
 1067 of the total samples subtyped to date. Derived from the numbers presented in  
 1068 reference [10]. As an indication, prevalence figures for STs 1-4 in humans are  
 1069 28.0%, 10.9%, 44.4% and 10.0% respectively.

1070





1071 Figure 3: Pie charts of human *Blastocystis* subtype distributions in Europe (A)  
1072 and the rest of the world (B). These were produced from the data presented in  
1073 Alfellani et al. [12]. Of note is the fact that although ST4 accounted for 10% of  
1074 the samples across the world ( $N = 318$ ), 87% of these (278) were from  
1075 Europe, suggesting that ST4 is more or less geographically restricted to  
1076 Europe.



1077