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IDEAS Private Sector Study of MNCH Data Sharing

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Lucknow, September 3, 2014

Improving health worldwide





IDEAS Overview

- IDEAS: Informed DEcisions for Actions in maternal & newborn health
- 5 year measurement, learning & evaluation grant
- Funded by Bill & Melinda Gates Foundation
- Delivered by London School of Hygiene & Tropical Medicine, with local partners
- Evaluation of Bill & Melinda Gates Foundation maternal and newborn health strategy across three countries.
- Working in Ethiopia, NE Nigeria and Uttar Pradesh, India





Study overview

In support of TSU's Objective 3a

 Sub-obj: Create robust systems for data collection, analysis, and planning to improve programme management (e.g.HMIS)

Utility of the findings

 To jointly develop and test a strategy for data sharing on key MNCH services with the private health sector in UP.

Aim of the present study

 To explore current data management and reporting systems for MNCH data in the private sector, and barriers and facilitators to obtaining private sector data and setting up such systems





Private sector in healthcare (UP-AHS, 2012-13)

Table 1: Institutional deliveries

% of institutional deliveries (UP)		% of deliveries in private institutions
56.7	39.0	17.6

Table 2: Care seeking for acute and chronic illnesses

% seeking care for acute illnesses, any source	% seeking care from govt. sources	% with chronic illnesses, getting regular treatment	% seeking regular treatment from govt. sources
97.4	5.4	58.7	15.6





Method

Approach

Qualitative: 54 in-depth interviews with stakeholders

Sampling

- Districts:
 - Largest number of Level 3 (tertiary) facilities Allahabad Largest number of Level 1 (basic primary) facilities - Hardoi
- **Constituencies:** government; professional associations; private commercial health facilities; significant NGO programmes
- Respondent selection: leadership and knowledge; involvement in data processes; engaged in MNCH services (esp. deliveries and newborn care)

Process of facility/stakeholder selection (district level)

1. DPMU

Overview of district processes & facilities, who's who, HMIS

2. CMO's office (to select blocks)

Overview of registered private facilities, Reporting hubs for PCPNDT, MTP, deliveries, births and deaths Monthly Progress Report (MPR) consolidation

- 3. Selected block PHCs & CHCs (to select block facilities)
 Discussions with key informants to identify private facilities
- 4. Local pharmacies/pathology centres/other local clinics
 Cross verifiy information on facilities
- 5. Visits to selected local health facilities Interviews/scheduled appointments





Example - Allahabad

Rural (20 blocks)

- 16 have zero reporting out of which Koraon, dhanupur, pratappur, manda have no nursing homes at all.
- Chaka 45 deliveries/June; 10-12 kms from the city
- Holagarh 32 deliveries; 32 kms
- Sohraon 25 deliveries; 22 kms
- Kaurihar 11 deliveries; 20 kms
- Jasra is zero reporting and is within 20 kms.
- Mauaima is zero reporting and 35 kms.
- Phoolpur is zero, and within 40 kms but its on the main road and has a lot of nursing homes (and the names of nursing homes are given on PHC reports).

Facilities interviewed

Volume	Hardoi (14	1 facilities)	Allahabad	(11 facilities)
volume	Reporting	Non Reporting	Reporting	Non Reporting
	Facilities: 2	Facilities: 2	Facilities: 2	Facilities: 3
High	Beds: 18, 100	Beds 20, 100	Beds: 200, 200	Beds: 3, 20, 30
	Deliveries:100, 144	Deliveries 95, 100	Deliveries:100, 200	Deliveries:100, 40,100
	Facilities: 4	Facilities: 3		Facilities: 4
Medium	Beds: 20, 20, 20, 20	Beds: 5, 10, 60	None	Beds: 15, 15, 15, 10
	Deliveries: 15, 10, 10, 20	Deliveries: 15, 8, 25		Deliveries: 12, 10, 12, 10
	,	Facilities: 3		Facilities: 2
Low	None	Beds: 10, 20, 30	None	Beds: 10, 10
		Deliveries 1, 2, 2-8		Deliveries 2, 2

Part 1: Availability of MNCH data in private facilities



Private facilities report and maintain data on ultrasounds, MTPs and deliveries

	No. of private facilities registered	Reporting on ultrasounds (PCPNDT Act)/total regstd.	Reporting on MTPs / total regstd.	Reporting on deliveries
Hardoi	34	19/19	8/8	7
Allahabad	283	217	9	??(na)





Standardised formats for ultrasound reporting

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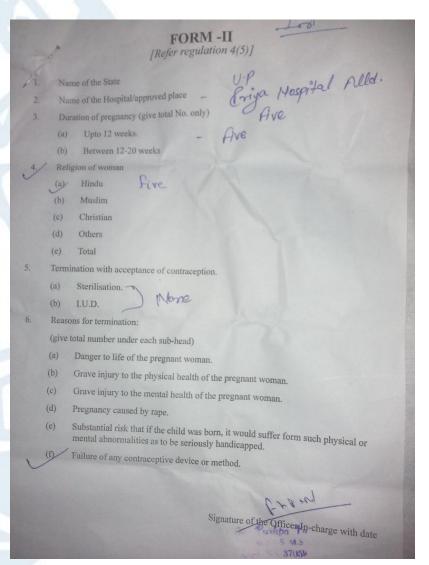
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Standardised formats for MTP reporting



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Records maintained by pvt. facilities

Types of registers

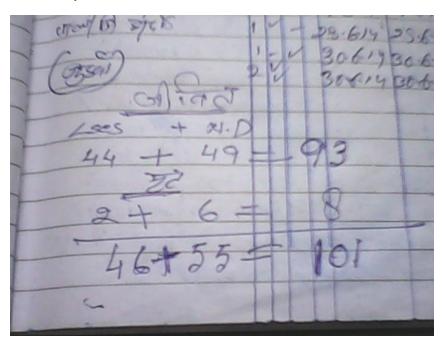
- OP/IP register; OT register; labour/ delivery register; paediatric/ immunization
- max may be 20 registers (incl. medicines & accounts)
- manual but some places computerised

Register clientele

- ANC cases, deliveries, newborns, children

Types of data (varies across facilities)

- -name, age, address, date of admission & discharge
- -normal / casearean delivery, order of birth
- -newborn: sex, birth weight, live/dead, full term/preterm, time of birth







Quality issue: Formats used for reporting deliveries are not standardised

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27/03/2014 8 00er	And singh 24vif WW. Gvanendra pratap singh Mo. Avas vikas kaloni HDI	LSCS 27/3/14	F 2750kg	4.55pm	क्रिक्टिश र इत्यादिर हो। सर्वाता कि हरते	1 . 45		05
5 27/03/2814)6 00pr	Runy Gueta 23wf W% Gaoray GuptaMo, Ashraph tola Pooja hotal ke pichhir	N D 27/3/14	F 2700kg	11.28pm	1 Care (an Eles) enior regren res exerts	1	9/	26
28/03/2014 3.00p		LSCS 28/3/14	M 2.650kg	4.51pm	या आरहतपार अर्थाना शिल्याम शिल्यो	1 6	1	Cas
29/03/2014 12:50		N.D 30/3/14	M 1.750kg		That 31 at 15 (Sept) & a state (5) (50)	100		226-5
29/03/2013 6:00p		LSCS 36/3/14	F 2.00kg	01.26pm	11 m mitte att anni de en Argel BENDE	>-	444 97500	25-20
0 29/03/2014 10 45		LSCS:30/3/14	M 3.800kg		भित्राम् अग्रह्मात्र विस्ता अहमात्र हिल्ली	15 8		53-X
1 31/03/2014 4 4fip		N.D. 1/4/14	F 2.200kg					
2 31/03/2014 11:00		ND 31/3/54	F 2.400kg					
3 01/84/2814 10:00		LSCS 2/14/14		TO COLUMN TO STATE OF THE PARTY				
AUA-107-41 UV	in the same of the	1000 2 14 14	M 3.300kg	12.49am				

11.40am

9.42pm

3.20pm

3.700kg

3.00kg

SCS 2/14/14

LSCS 2/14/14

ND2/4/14

ND2/4/14

ND3/4/14

ND3/4/14

SCS3/4/14

SCS4/4/14

30v/f W%Vineet singh Baghauli chauraha HDI

20vif W%Mayank quota M.Koyal bag Kaloni HDI

20yif W56Vijay kumar V Auhadpur P Butamau HDI

27vif WikWiek singh M.no310Jigiyani Shahabad HDI

20vf W%Raiveer SharmaV ShacraP Shahabad HDI

40ylf WHShahid all M Gijae ShahabadHDI

25wf W%Sandeep mishra ViP Atava HDI

24yif W%Mohsina Anvar M.Sray thok punyi HDI

22vif W5Mahendra vkram singhV.Dhbheliya P Yeja HDI

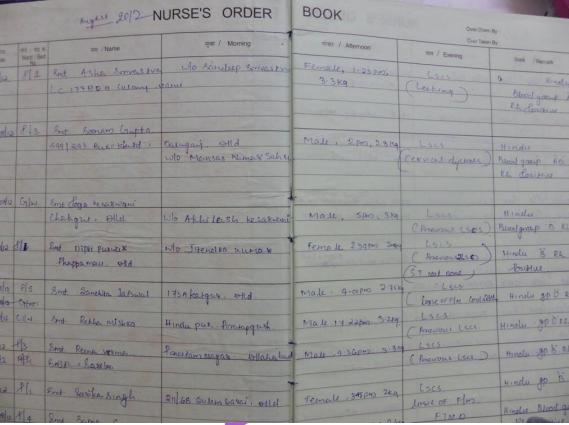
Rali gupta





Types of records at pvt. facilities





Facility records are updated frequently

- Data updating on deliveries is very frequent, every delivery is recorded almost as soon as it happens – on the same day or within a couple of days.
- However, deaths may not be recorded even in the facility's own registers; either maternal or newborn





Unregistered facilities & reporting

- Not registered, but well known even to the formal establishment
- But no exact estimates
- Providers informally trained / AYUSH
- Cheap and popular; high delivery loads
- Tie ups for quick and affordable referrals; and for birth certificates (with a doctor)
- NO RECORDS AT ALL











Private sector barriers and enablers to maintaining MNCH records

BARRIERS

No formal, standardised formats

Existing ones not developed in consultation with private sector

Varying needs and interest

- Each keeps records that are enough for their needs.
- Govt is more interested in preventive aspects and the private sector in curative aspects

Systems and effort

- Computer system not available everywhere.
- Time consuming to maintain detailed records.

Large numbers of unregistered facilities

Out of any reporting requirements





Private sector barriers and enablers to maintaining MNCH records

ENABLERS

The need to keep records

- Bigger hospitals keep records as a safety net against medico legal cases,
- All need some birth proof to give their patients

Basic system is in place

 Some staff time available everywhere for keeping records multi tasking staff that also look after records.

General willingness to maintain and share records

- Even those with rudimentary records are not averse
- Unregistered also willing if asked
- Associations willing to cooperate





Public sector barriers and enablers to maintaining MNCH records

BARRIERS

Lack of information and sustained follow up of the private sector

- Many private facilities say that they've never been asked to maintain and submit records on deliveries, especially the newer hospitals.
- No sustained or systematic efforts made by the public sector to get private facilities to maintain and submit data on deliveries.





Public sector barriers and enablers to maintaining MNCH records

ENABLERS

Importance attached to official communication by the public sector

- The hospitals that do submit delivery records (older and more established ones) say that they received a communication and a format from the CMO's office.
- So a communication from the health department does carry weight.

Basic data is similar to the 'Births and Deaths Registration' data, so formats can be easily standardised



FEEDBACK AND DISCUSSION





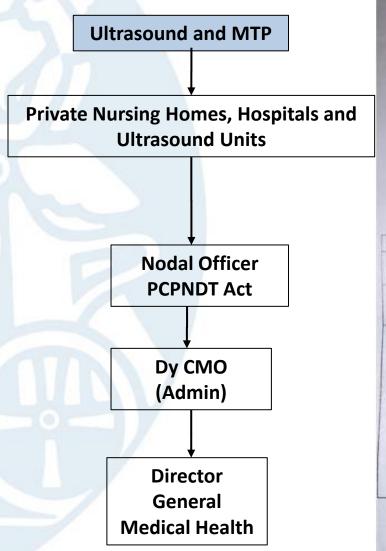
Part 2: Data collection, sharing & utilisation

चिकित्सा स्वास्थ्य एवं परिवार कल्याण, विभाग हरदोई

संकलित सूचना-माह-जून, 2014

Pathway of ultrasound and MTP reporting: strictly enforced

State Monitoring Format used to Report on Abortions



Comprehensive Abortion Care State Monitoring Format (Quarterly)

Please do not modify change (add delete columns) the format.

Name of State/U.T: UP

Month and Year of reporting: April may 2014

Number of districts in the State:ya

Reporting period Alpan to ... 20 may 2014 (Specify the quarter)

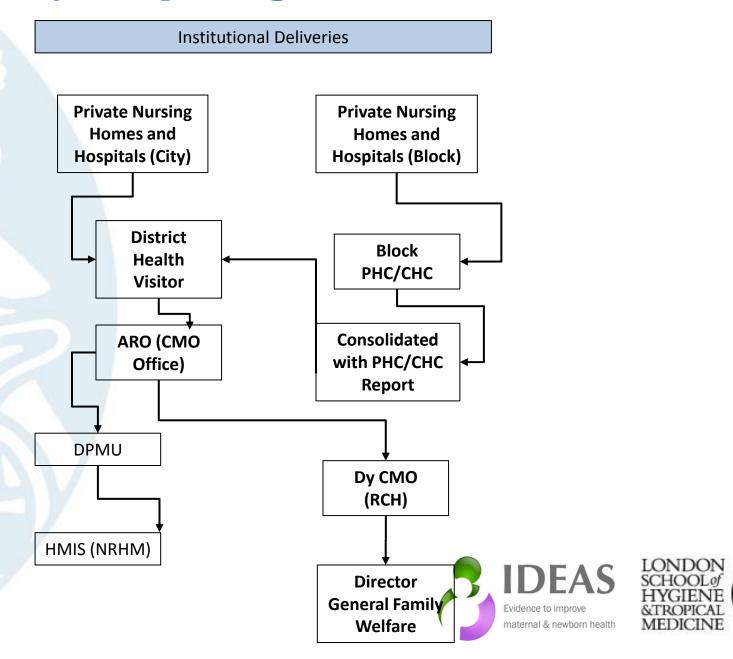
Name of State Nodal Officer for MTP activities: Designation and Contact Number: Email-id:

// District level indicators:

S.No	Indicator		Numbers
1,	Districts in whi Delivery Guide	th Comprehensive Abortion Care (CAC). Training and Service lines are available.	
2.	District Level Committees (DLCs)*	Districts With DLCs. Districts with regular DLC meeting held in the reporting period of	
3.	BOOK WINDS AND	least one meeting months a) Approved by DLCs to provide safe abortion services b) Renewal certificates issued in the reporting period	
	Private clinics and hospitals	b) Renewal certificates issued in the reporting period c) New certificates issued in the reporting period	
		 d) Number of applications pending with the DLCs for one year (at end of reporting period) 	
		 Number of new applications received in the reporting period 	
		Number of applications out of the new applications of pending with the DLCs at the end of the reporting period**	

- Is MVA equipment being procured and supplied? (Y/N).....
- As per MTP Act, Rules and Regulations 2002-2003
- **Applications on which no action has been taken (as per MTP Rules).

Pathway of reporting deliveries also exists



Private hospital deliveries reported in the MPR but not in the HMIS

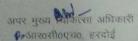
	June 2014 MPR	June 2014 HMIS
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Hardoi	84(R) 308 (U)	0 (blank cells)





Institutional deliveries - MPR 2014

carry -	सरवोई		- og-1 2014	कमिक	
4 .H	सामुव / प्रावस्थावकेन्द्र का नाम	वार्षिक लक्ष्य	माह में उपलब्धि	उपलब्धि	प्रतिशत
संख्या	प्राठस्वावकेन्द्र, सुरसा	2843	167	414	14.56%
1	प्राठस्वावकेन्द्र, स्वयन	2855	40	109	3.82%
2	पाठस्वावकन्द्र अहिरोरी	2982	108	250	8.38%
3	प्राठस्वावकन्द्र, डाहरारा	2367	66	166	7.01%
4	सामुक्स्वावकेन्द्र, टिडयावॉ	2377	102	265	11.15%
5	सामुक्तवाक्केन्द्र, दिलग्राम	2610	381	884	33.88%
6	प्राक्तवावकेन्द्र, मल्लावाँ	2124	206	491	23.12%
7	शाम्०स्वा०केन्द्र माधीमंज	2602	147	364	13.99%
8	प्रावस्थावकन्द्र, सामग्री	2422	142	357	14.74%
9	प्रावस्थावकन्द्र, साम्बर	2261	176	481	21.28%
10	प्रावस्वावकन्द्र, कोथावाँ	2409	274	671	27.85%
11	सामुक्तावकेन्द्र सण्डीला	2438	120	340	13.94%
12	प्रावरवावकेन्द्र, भरावन	2387	190	543	22.74%
13	प्रावस्वावकन्द्र, कछीना	2249	151	336	14.94%
14	प्राठस्वावकेन्द्र, टोडरपुर	2247	64	184	8.19%
15	शामुकस्वाककेन्द्र पिहानी	2976	367	958	32.19%
16	प्राव्हतावकेन्द्र, शाहाबाद	2326	213	503	21.63%
17	सामुक्दवाक्वेन्द्र, भरखनी	2750	327	728	26.47%
18	सामुक्तकावकेन्द्र, मरदाना	2161	384	867	40.12%
19	वीवपीवसीव, हरदोई	1723	922	2205	127.99%
20	पी०पी०सी०, बिलग्राम	382	0	0	0.00%
21	वीठवीठसीठ, शहाबाद	1021	0	0	0.00%
22	पी0पी0सी0, सण्डीला	737	0	0	0.00%
23	अर्बन हेल्थ पोस्ट हस्योई	0	1	1	0.00%
24	अबन हल्य पास्ट हरपाइ	51249	4548	11117	21.699
	बाला जी हास्पिटल हरदोई	0	28	65	
25	कटियार नसिंग होम	0	85	196	
26		0	00	204	
28	लक्ष्मी नर्सिय होग	0	400	274	
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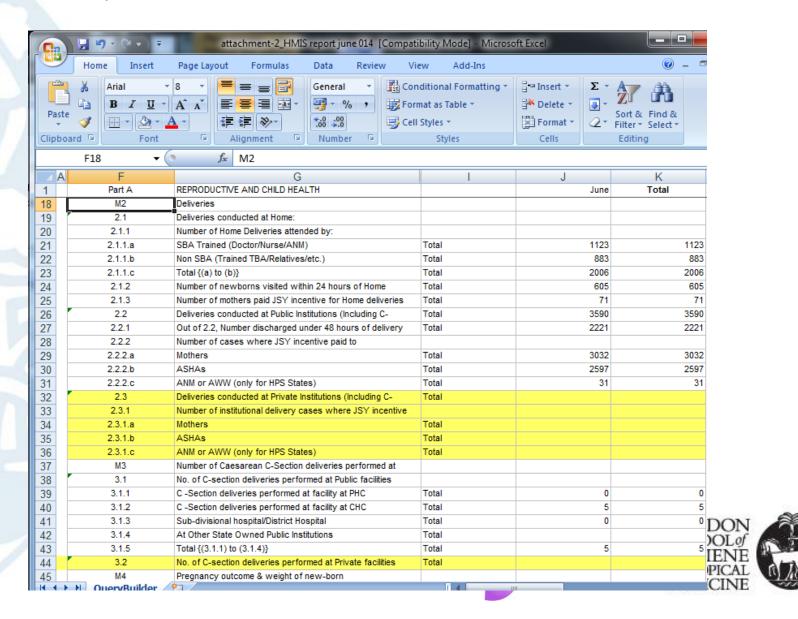




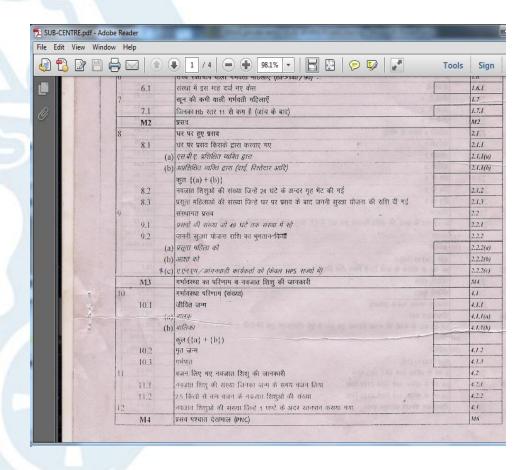




HMIS June 2014



HMIS: Source data does not include private sector deliveries



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2	इस गार्ट में जननी सुरक्षा बोजना में धंजीकृत महिलाएँ		1.7
3	वर्षको महिलाएँ जिनकी ३ जांचे हुई हैं		1.3
4	वर्णवरी महिलाओं की संख्या जिल्हें निम्न श्रुविध दी वर्ड		1.4
4.1	III	-	1.4.1
4.2	TT2 का ब्रस्टर		1.4.2
5	कुल गर्मकर्ती महिलाएं जिन्हें 100 HA गोली दी		1.5
6	उन्न रक्तवण वाली वर्गकारी महिलाएँ (88>140/90)		1.6
6.1	संस्था में इस माह दर्ज नए केंग		1.6.1
6.2	एक्सोरिकक प्रवचन के केंस की संख्या		152
7	खून की कमी वाली मर्गवती महिलाएँ		1.7
7.1	विजवा 165 रतर 11 से कप है (जांच के बाद)	1000	1.7.1
7.2	अति वंशीर एनीविया (र भी एवं) के केश जिनका उपवार सरका में हुआ	1000	1.7.2
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8	संस्थानम प्रसन		2.2
8.1	प्रथमों की सल्या जो का घंटे तक संस्था में हरे	Laure Laure	2.2.2
8.2	जननी सुरक्षा योजना सारा का पुगतान किया		2.2.2
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9	संस्था में हुए जॉपरेशन हाता प्रसम् की संस्था	200	2.1.2
M4	गर्भावस्था का प्रतिभाग व नवजात शिथु जनकारी	114	MEG.
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MPR: Format available but inconsistent/

incomplete data

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MPR: main problems

- No precise estimate of facilities providing delivery services
- Delivery data is not reported by all nursing homes; only a few that have been reporting continue to report
- PHCs/CHCs follow up only with those that report regularly
- Only data on number of deliveries, and in few case on C-Sections is consolidated into the MPR
- Unclear how other data on birth weight, order of birth, gestational maturity, live/stillbirth, gender of child is used.
- Different methods of data collection by AROs/ANMs: receive reports, view facility registers, verbal reports etc.
- HMIS only JSY deliveries included; and only rural ones so far



Reporting of Birth and Death Data - RBD Act 1969

Place of occurrence	Informants*	Notifiers**				
House	Head of the household	 Midwife or any other medical or health attendant ANMs, ASHAs and Aanganwadi Workers Keeper or the owner of a place set apart for the disposal of dead bodies or any person required by a local authority to be present at such place 				
Institution Hospital, Health facility, Nursing home, etc. Jail Hotel, Dharamshala, Choultry, hostel, etc.	 Medical Officer-in-charge or any person authorized by the MO I / C Jailor-in-charge Person In-charge 					
Public place (For any new-born or dead body found deserted)	 Headperson / Other corresponding Officer (in case of a Village) Officer in-charge of local police station (in other areas) 	Any other person whom the State Government may specify in this behalf by his / her designation, to notify every birth or death or both at which he or she attended or was present, or which occurred in such areas as may be prescribed, to the Registrar within such time and in such manner as may be prescribed				
Events in Moving vehicle / Conveyance cart, Tonga, Rickshaw on land, Aircraft, Boat, Ship, Rail, etc.	 Person in-charge of the moving vehicle 					
Plantation	 Suprintendent (Supervisor of labourers) / Plantation Managers 					
*Designated under Costing Q. Q. of the Act to construction of high stillhight and doubt together with costs of its						

^{*}Designated under Section 8 & 9 of the Act to report occurrence of birth, stillbirth and death together with certain of its characteristics in the prescribed reporting form to the concerned Registrar to facilitate registration of the event.

Reporting of births and deaths is mandatory by law.

The designated authority ('informant') of a facility has to report births, stillbirths and deaths, together with some of their characteristics in the prescribed reporting form to the concerned Registrar to facilitate registration of the event.

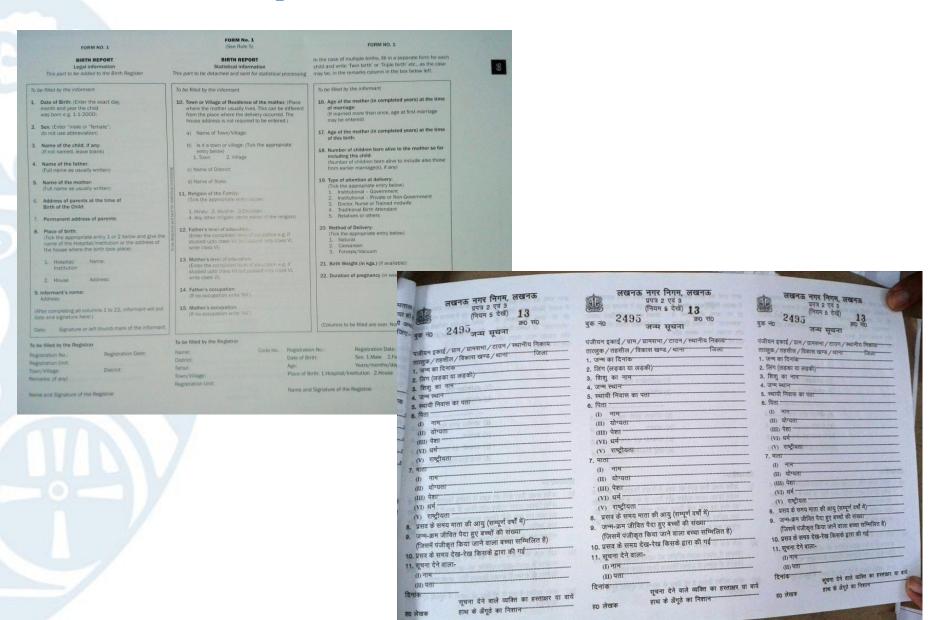
-Section 8 & 9 of the Act



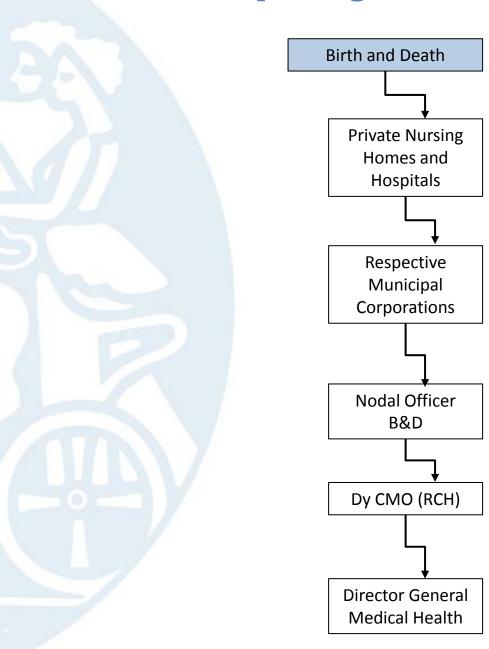


^{**}Designated under Sections 8 & 10 of the Act to notify to the concerned Registrar, birth, stillbirth or death event which she / he attended to or was present at or which occurred in the area under her / his jurisdiction after obtaining signature of the head of the household on the reporting form.

Form 1 – Birth report formats and characteristics



Process of reporting RBD data







Issues in birth and death reporting under the RBD Act

- Only urban facilities required to report to Nagar Palika; rural to panchayats; rural/urban demarcation may just be a road
- Not all facilities report births and deaths to anyone;
 typically just give a proof of birth to the family of the newborn
- Link between Panchayats and Nagar Palika or CMO's office?
- Good data on all reported births compared with the data on institutional deliveries can provide a good overview of all births, and home births vs institutional deliveries.





Enablers: Why do some hospitals report deliveries?

Perceived as mandatory

'This is a law – that those who do deliveries, have to send the numbers every month. We had a circular from the govt about 7-8 years ago for submitting their report and we have been submitting since then. Format was given by the govt, but copy of that format is not available now. But we use the same columns as were given in the format.'

- If accredited for JSY (e.g. Kamla Nehru Memorial Hospital)

A system has been in place

- ANM follows up on a fixed date every month
- 'Have been submitting data since the last 8 years. First we used to send birth and death data to nagar palika (municipal authority)— about births and deaths. Then they did not do it well, so responsibility was given to the CHC.'
- Its never been stopped!

Personal motivation / hospital credibility

- I live here 24 hours and work on the computer. No other entertainment, so keep doing this. My family lives in Lucknow, I go only on Wednesdays.

- we are a big hospital, anyone can visit anytime

Other enablers - private sector

Used to recordkeeping and reporting

Used to submitting very rigorous records in a timely manner (e.g. PCPNDT & MTP)

Overall willingness

Willingness to share data if the health department asked for it.

Professional bodies like IMA and UPNHA are willing to influence their members if the associations are roped in and involved.

Birth data cannot be hidden

Although fear of income tax exists, but at the same private facilities have to give some birth proof to all their patients, so that necessarily requires reporting accurate data on deliveries.

Enablers - public sector

Available system and mandate

A system for reporting deliveries exists which can be strengthened

A legal cover provided by the RBD Act which can be invoked.

RBD system has initiated an online registration system which is not yet well known or well utilised, but it is there.





Reasons why most hospitals do not report

Never been asked to

Haven't been asked to submit any reports so far...can submit if required

Low volume of deliveries

We don't perform so many deliveries – just one or two

Not perceived as a hospital duty

Getting births registered is the responsibility of patients

Effort required

Need a person to go there and submit..tedious process

Perception that reports are anyway treated as garbage

They just throw away our reports anyway

No motivation

Not paid for submitting, so why submit? If paid, maybe all will submit





Other barriers - private sector

Lack of communication or follow up by the public sector

....with those facilities that do not report.

Limited interactions with public sector

....in forums like DHS etc.

Fears..

... that govt will use this information for calculating income tax. Package multiplied by number of cases will disclose the income.

....that it will lead to additional work.

.....of unfriendliness of government staff -rough attitudes, especially when hospital staff go to submit any reports.

.... of harassment especially for reporting any deaths. They will be asked all kinds of questions with no understanding as to the contextual circumstances

...of inviting visits and having their quality standards exposed.

Lack of incentives

No monetary incentives or other incentives to report





Barriers to reporting - Public Sector

System in place and being used for the MPR but

- -data collection processes and formats are not standardised
- -not covering all the hospitals that provide MNCH services

MPR and HMIS issues

- -Two parallel systems with different data entry persons
- -Different provisions for private sector reporting at source
- -Overburdened data entry at DPMU level (in Allahabad, the district data entry assistant is also managing accounts)
- -Lack of ownership of the HMIS in the system
- -Limited feedback on private sector reporting by the state offices
- -Cross analysis of birth/death registration with delivery data?



Barriers to reporting - Public Sector

Lack of a central coordination cell

No central coordinating body in either CMO office or DPMU for private sector

No exchange of commodities

for which public sector can ask for a return utilisation certificate. E.g. vaccinations.

Conflict of interest

Govt doctors in private practice. Some also have their own nursing homes.

Limited capacity

- Limited ability to analyse the data and also limited computers and skills.
- Limited feedback from the state (especially for the MPR system)

 for incomplete or erroneous data
- Also a concern that if the data starts coming in, how will the govt handle this data?
 Are they equipped to handle it?

Disinclined to use

 Public sector may sometimes not want to draw attention to adverse situations reported by the private sector. So may not be comfortable to report any data that shows their district health system in a bad light.

Suggestions for improving reporting

Strengthen the system

- Use the provision of law by the RBD Act.
- Get orders passed, issue a letter
- A coordinating body for the private sector could be linked with the Clinical Establishments Act authority
- Cross sharing with the Birth and Death registration data
- Govt should take responsibility; it should not be left to the choice of the pvt sector

Improve engagement and interactions

- Include pvt sector in training cum review workshops of district health officials
- More public private platforms and increased opportunities for interaction in existing platforms





Suggestions for improving reporting

Design a user friendly system

- Develop formats in consultation with pvt bodies
- Prioritize the most critical data
- Either password protected online data entry system; or streamlined data collection systems
- The system should be simple and should not create extra burden
- Rationalize reporting frequency
- System that enables self analysis for the pvt facilities also

Capacity building

- Technical assistance for setting up the system training etc.
- Meetings and follow ups to explain and guide





Suggestions for improving reporting

Motivation

- Orientation to highlight the importance of data sharing
- Simple incentives financial and non-financial (including for public sector officials too)
- Disincentives for non-submission
- Periodic facility inspections
- Engage health insurance to increase need for reporting

Address fears

- Reassurance against any potential harassment by government
- Confidentiality and risk cover
- Govt approach -less fault findings, more strengthening





Summary

- Private sector is already reporting, even on deliveries
- Not showing up in HMIS, but it is in MPR, due to gaps in source data
- But even in MPR, it is incomplete and processes and formats not standardised
- Good data on births and newborns, but how is it/will be used?
- Private facilities do have data and are willing to share; good system has to be set up
- Legal cover is available via the Birth and Death registration Act.
- Major barriers: system lacking; communication & engagement; effort; data utilisation; effort required; fears; HMIS vs MPR; unregistered facilities
- Major enablers: RBD Act; a patchy system exists; general willingness; used to recordkeeping
- Key suggestions: system strengthening; regular engagement and interactions; designing a user friendly system; capacity building; motivation; address fears

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Thank You



