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Human Behavioral Ecology: Current research and future prospects

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Abstract

Human behavioral ecology (HBE) is the study of human behavior from an adaptive perspective. It focuses in particular on how human behavior varies with ecological context. Although HBE is a thriving research area, there has not been a major review published in a journal for over a decade, and much has changed in that time. Here, we describe the main features of HBE as a paradigm, and review HBE research published since the millennium. We find that the volume of HBE research is growing rapidly, and its composition is changing in terms of topics, study populations, methodology, and disciplinary affiliations of authors. We identify the major strengths of HBE research as its vitality, clear predictions, empirical fruitfulness, broad scope, conceptual coherence, ecological validity, increasing methodological rigor and topical innovation. Its weaknesses include a relative isolation from the rest of behavioral ecology and evolutionary biology, and a somewhat limited current topic base. As HBE continues to grow, there is a major opportunity for it to serve as a bridge between the natural and social sciences, and help unify disparate disciplinary approaches to human behavior. HBE also faces a number of open questions, such as how understanding of proximate mechanisms is to be integrated with behavioral ecology's traditional focus on optimal behavioral strategies, and the causes and extent of maladaptive behavior in humans.

Key words: human behavioral ecology, humans, systematic review, behavioral gambit, evolutionary anthropology, cultural evolution, demographic transition

1. Introduction

Very soon after behavioral ecology (henceforth BE) emerged as a paradigm in the late 1960s and early 1970s, a tradition of applying behavioral-ecological models to human behavior developed. This tradition, henceforth human behavioral ecology (HBE), quickly became an important voice in the human-related sciences, just as BE itself was becoming an established and recognized approach in biology more generally. HBE continues to be an active and innovative area of research. However, it tends not to receive the attention it might, perhaps in part because its adherents are dispersed across a number of different academic disciplines, spanning the life and social sciences. Although there were a number of influential earlier reviews, particularly by Cronk (1991) and Winterhalder and Smith (2000), there has not been a major review of the HBE literature published in a journal for more than a decade. In this paper, we undertake such a review, with the aim of briefly but systematically characterizing current research activity in HBE, and drawing attention to prospects and issues for the future. The structure of our paper is as follows. In section 2, we provide a brief overview of the HBE approach to human behavior. Section 3 presents our review methodology and briefly describes what we found. We argue that the HBE research published in the period since 2000 represents a distinct phase in the paradigm's development, with a number of novel trends which require comment. Finally, section 4 presents our reflections on the current state and future prospects of HBE, which we structure in terms of strengths, weaknesses, opportunities and open questions.

2. What is human behavioral ecology?

BE is the investigation of how behavior evolves in relation to ecological conditions (Davies et al., 2011, page 22). Empirically, there are two arms to this endeavor. One arm is the study of how measurable variation in ecological conditions predicts variation in the behavioral strategies which individuals display, be it at the between-species, between-population, between-individual or even within-individual level. (Throughout this paper, 'ecological conditions' is to be interpreted in its broadest sense, to include the physical and social aspects of the environment, as well as the state of the individual within that environment). The other arm concerns the fitness consequences of the behavioral strategies that individuals adopt. Since fitness – the number of descendants left by individuals following a strategy at a point many generations in the future - cannot usually be measured within a study, this generally means measuring the consequences of behavioral strategies in some more immediate proxy currency related to fitness, such as survival, mating success, or energetic return. The two arms of BE are tightly linked to one another; the fitness consequences of some behavioral strategy will differ according to the prevailing ecological conditions. Moreover, central to BE is the adaptationist stance. That is, we expect to see, in the natural world, organisms whose behavior is close to optimal in terms of maximizing their fitness given the ecological conditions that they face. This expectation is used as a hypothesis-generating engine about which behaviors we will see under which ecological conditions. The justification for the adaptationist stance is the power of natural selection. Selection, other things being equal, favors genes which contribute to the development of individuals who are prone to behaving optimally across the kinds of environments in which they have to live (Grafen, 2006). Note that this does not imply that behavioral strategies are under direct genetic control. On the contrary, selection favors various mechanisms for plasticity, such as individual and social learning, exactly because they allow individuals to acquire locally-adaptive behavioral strategies over a range of environments (Pigliucci, 2005; Scheiner, 1993), and it is these plastic mechanisms which are often in immediate control of behavioral decisions. However, the capacity for plasticity is ultimately dependent on genotype, and plasticity is deployed in the service of genetic fitness maximization.

BE is also characterized by a typical approach, to which actual exemplars of research projects conform to varying degrees. This approach is to formulate simple *a priori* models of what the individual would gain, in fitness terms, by doing A rather than B, and using these models to make predictions either about how variation in ecological conditions will affect the prevalence of behaviors A and B, or about what the payoffs to individuals doing A and B will be, in some currency related to fitness. These models are usually characterized by the assumption that there are no important phylogenetic or developmental constraints on the range of strategies that individuals are able to adopt, and also by a relative agnosticism about exactly how individuals arrive at particular behavioral strategies (i.e. about questions of proximate mechanism as opposed to ultimate function (Mayr, 1961; Tinbergen, 1963)). The assumptions of no mechanistic constraints coming from the genetic architecture or the neural mechanisms are known respectively as the phenotypic gambit (Grafen, 1984) and the behavioral gambit (Fawcett et al., 2012). To paraphrase Krebs and Davies (1981), 'think of the strategies and let the mechanisms look after themselves'. We return to the issue of the validity of the behavioral gambit in particular in section 4.4. However, one of the remarkable features of early research in BE (what Owens (2006) calls 'the romantic period of BE') was just how well the observed behavior of animals of many different species was explained by very simple optimality models based on the gambits.

HBE is the study of human behavior from an adaptive perspective. Humans are remarkable for their ability to adapt to new niches much faster than the time required for genetic change (Laland and Brown, 2006; Nettle, 2009b; Wells and Stock, 2007). HBE has been particularly concerned with explaining this rapid adaptation and diversity, and thus, the concept of adaptive phenotypic plasticity has been even more central to HBE than it is to BE in general. HBE represents a rejection of the notion that fundamentally different explanatory approaches are necessary for the study of human behavior as opposed to that of any other animal. Note that this does not imply that humans have no unique cognitive and behavioral mechanisms. On the contrary, they clearly do. Rather, it implies that the general scientific *strategy* for explaining behavior instantiated in BE remains similar for the human case: understand the fitness costs and benefits given the ecological context, make predictions based on the hypothesis of fitness maximization, test them. There is a pleasing cyclicity to the development of HBE. BE showed that microeconomic models based on maximization, which had come from the human discipline of economics, could be used at least as a first approximation to predict the behavior of non-human animals. HBE imported these principles, enriched from their sojourn in biology by a focus on fitness as the relevant currency, back to humans again.

The first recognizably HBE papers appeared in the 1970s (e.g. Dyson-Hudson and Smith, 1978; Wilmsen, 1973). The pioneers were anthropologists, and to a lesser extent archaeologists. A major focus was on explaining foraging patterns in hunting and gathering populations (Smith, 1983), though other topics were also represented from the outset (Cronk, 1991). The focus on foragers was due to the evolutionary antiquity of this mode of subsistence, as well as these being the populations in which optimal foraging theory was most straightforwardly applicable. However, there is no reason in principle for HBE research to be restricted to such populations. The emphasis in HBE is on human adaptability; humans have mechanisms of adaptive learning and plasticity by virtue of which they can rapidly find adaptive solutions to living in many kinds of environments. Thus, we might expect their behavior to be adaptively patterned in societies of all kinds, not just the types of human society which have existed for many millennia.

The first phase of HBE lasted through the 1980s (Borgerhoff Mulder, 1988). In the second phase, the 1990s, HBE grew rapidly, with Winterhalder and Smith (2000) estimating that there were nearly 300 studies published during the decade. Its focus broadened to encompass more studies from non-foraging subsistence populations such as horticulturalists and pastoralists (e.g. Borgerhoff Mulder, 1990), and the

use of historical demographic data (e.g. Clarke and Low, 2001; Volland, 2000). There were also some pioneering forays into the BE of industrialized populations (Kaplan, 1996; Wilson and Daly, 1997). The 1990s were characterized by an increasing emphasis on topics which fall under the general headings of distribution (cooperation and social structure) and particularly reproduction (mate choice, mating systems, reproductive decisions, parental investment), rather than production (foraging).

Anthropologists continued to dominate HBE, and the methodologies of the studies reflect this: many of the studies represented the field observations of a single field researcher from a single population, usually a single site. Having briefly outlined what HBE is and where it came from, we now turn to reviewing the HBE research which has appeared in the years since the publication of Winterhalder and Smith (2000).

3. A systematic overview of current research

Our objective was to ascertain what empirical research has been done within the HBE paradigm since 2000, and characterize its key features, quantitatively where possible. We thus conducted a systematic search of 17 key journals for papers published between the beginning of 2000 and late 2011 which clearly belong in the HBE tradition (see Supporting Information (SI) for full methodology). This involved some contentious decisions about how to draw the boundaries of HBE and in the end, we drew it narrowly, including only papers containing quantitative data on naturally-occurring behavior in human populations and employing a clearly adaptive perspective. This excludes a large number of studies which take an adaptive perspective but measure hypothetical preferences or decisions in experimental scenarios. It also excludes many studies which focus on non-behavioral traits such as stature or physical maturation. The sample is not exhaustive even of our chosen subset of HBE, given that some HBE research is published in edited volumes, books or journals other than those we searched. However, we feel that our strategy provides a good transect through current research which is prototypically HBE, and the sampling method is at least repeatable and self-consistent over time.

We used the full text of the papers identified to code a number of key variables relevant to our review, including year of publication, journal, first author country of affiliation, and first author academic discipline. We also adopted Winterhalder and Smith's (2000) ternary classification of topics into production (foraging and other productive activity), distribution (resource sharing, cooperation, social structure), and reproduction (mate choice decisions, sexual selection, life history decisions, parental and alloparental investment). Finally, we coded the presence of some key features we wished to examine: the presence of any data from foraging populations, the presence of any data from industrialized populations, the use of secondary data, and the use of comparative data from more than one population.

The search resulted in a database of 369 papers (see SI for reference list and formal statistical analysis; an Endnote library of the references of the papers in the database is also available from the corresponding author). The distribution of papers across journals is shown in table 1, which also shows the median year of publication of a paper in that journal. The overall median year of publication for the full sample was 2007; thus, the table can be used to identify those journals which carried HBE papers disproportionately earlier in the study interval (e.g. *American Anthropologist*, median 2004), and those which carried them disproportionately more recently (e.g. *American Journal of Human Biology*, median 2009). The total number of papers found per year increased significantly over the 12 years sampled, from around 20 at the beginning to nearly 50 in 2011 (figure 1a; regression analysis suggests an average increase of 2.4 papers per year). In the SI, we show that HBE papers also increased as a proportion of all papers published in our target journals. First authors were affiliated with institutions in 28 different countries, with 57.5% based in the USA and 20.1% in the UK. In terms of discipline, anthropology

(including archaeology) was strongly represented (49.9% of papers), followed by psychology (19.5%) and biology (12.7%). The remaining papers came from demography (3.3%), medicine and public health (3.0%), sociology and social policy (2.4%), economics and political science (2.2%), or were for various reasons unclassifiable (7.0%). However, the growth in number of papers over time was due to increasing HBE activity outside anthropology (figure 1a). In 2000-3, 64.0% of papers were from anthropology departments, whereas by 2009-11, this figure was 47.4%. Our search strategy may, if anything, have underestimated the growth in HBE research from outside anthropology, since our search strategy was based on the journals which had carried important BE or HBE research prior to 2000, and did not include any specialist journals from disciplines such as demography or public health.

Table 1. Numbers and percentages of papers in the database by journal. Also shown is the median year of publication of an HBE paper in the sample in that journal.

Journal	Number of papers (% of sample)	Median year of publication
<i>American Anthropologist</i>	10 (2.7)	2004
<i>American Journal of Human Biology</i>	38 (10.3)	2009
<i>Behavioral Ecology</i>	3 (0.8)	2010
<i>Behavioral Ecology and Sociobiology</i>	5 (1.4)	2004
<i>Current Anthropology</i>	37 (10.0)	2005.5
<i>Evolution and Human Behavior</i>	91 (24.7)	2007
<i>Evolutionary Psychology</i> (2003-11)	17 (4.6)	2008
<i>Human Nature</i>	87 (23.6)	2007
<i>Journal of Biosocial Science</i>	17 (4.6)	2007
<i>Journal of Evolutionary Psychology</i> ** (2003-11)	7 (1.9)	2006
<i>American Naturalist</i> *	3 (0.8)	2010
<i>Biology Letters</i> * (2003-11)	6 (1.6)	2011
<i>Nature</i> *	1 (0.3)	2004
<i>Philosophical Transactions Royal Society, B</i> *	5 (1.4)	2011
<i>Proceedings Royal Society B</i> *	27 (7.3)	2006
<i>Proceedings of the National Academy of Sciences</i> *	10 (2.7)	2008
<i>Science</i> *	5 (1.4)	2009
Overall	369 (100)	2007

Notes:

* Targeted search only; for all other journals, all abstracts read.

** Formerly *Journal of Cultural and Evolutionary Psychology*.

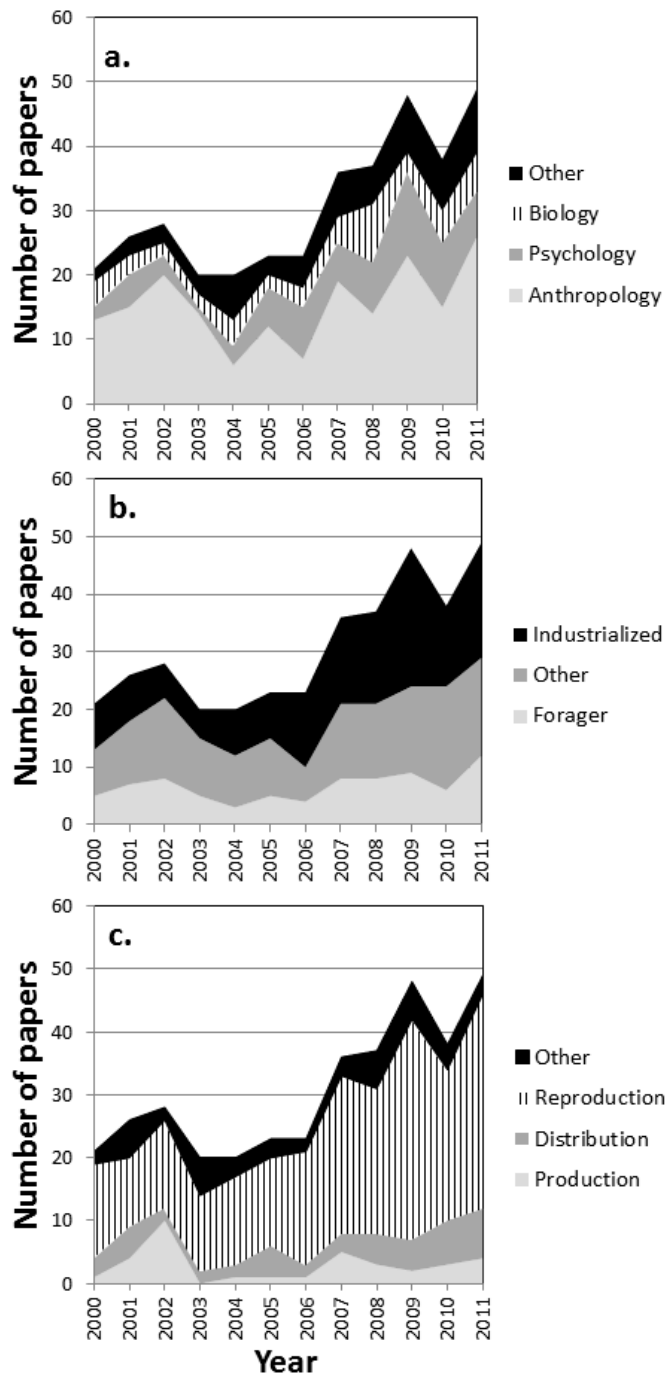


Figure 1. Number of published papers identified by year over the study period, (a) by disciplinary affiliation of first author; (b) by type of study population (other=agriculturalist, pastoralist, horticulturalist or multiple types); (c) by tripartite classification of topic.

In terms of type of population studied, 80 papers (21.7%) contained some data from foragers, broadly defined to include any subsistence population for whom foraging forms a substantial part of the diet. One hundred and forty-five papers (39.3%) contained data from industrialized populations. The remainder of papers studied either contemporary or historical agricultural, horticultural and pastoral populations. As figure 1b shows, the amount of work on industrialized populations has tended to increase over time, with 22 such papers in 2000-2 (29.3% of total), and 58 in 2009-11 (43.0%). By contrast, the amount of work on forager populations is much more stable (20 papers (26.7%) in 2000-2, 27 papers (20.0%) in 2009-11). As for topic, we classified 64.8% of our papers as concerning reproduction, with 9.5% concerning production and 13.3% distribution. The remaining 12.5% either spanned several topics or fit none of the three categories. Table 2 gives some examples of popular research questions addressed in each of the three topic areas. The preponderance of reproduction has increased over time (figure 1c); in 2000-2, 53.3% of the papers fell into this category, whilst by 2009-11, it was 68.9%. In fact, the growth of HBE papers during the study period has been completely driven by an increase in papers on reproductive topics (see SI). We classified papers according to whether they involved analysis of secondary datasets gathered for other purposes. The number of papers involving such secondary analysis increased sharply through the study period, whereas those involving primary data did not (see SI). Comparative analyses also increased significantly over time, but not faster than the overall growth in paper numbers.

Table 2. Some examples of popular research questions in our database of recent Human Behavioral Ecology papers.

<i>Topic</i>	<i>Question</i>	<i>Example references</i>
Production	When and why do men and women favor different productive tasks?	(Bliege Bird et al., 2009; Codding et al., 2011; Hilton and Greaves, 2008; Pacheco-Cobos et al., 2010; Panter-Brick, 2002)
	How does the way people use their time change with age and why?	(Bock, 2002; Gurven and Kaplan, 2006; Kramer and Greaves, 2011)
	What determines the spatial distribution of human forager groups?	(Hamilton et al., 2007)
Distribution	With whom do people share food with and why?	(Gurven, 2004; Hames and McCabe, 2007; Hawkes et al., 2001; Patton, 2005; Ziker and Schnegg, 2005)
	How do interactions with kin differ from those with non-kin?	(Borgerhoff Mulder, 2007; Burton-Chellew and Dunbar, 2011; Hadley, 2004; Næss et al., 2010; Stewart-Williams, 2007)
	Why do some societies have more unequal distributions of resources than others?	(Borgerhoff Mulder et al., 2009; Gurven et al., 2010; Roth, 2000; Shenk et al., 2010)
Reproduction	Why do women sometimes marry polygynously?	(Gibson and Mace, 2007; Pollet and Nettle, 2009)
	What determines how much effort and resources parents invest in a child?	(Anderson et al., 2007; Quinlan, 2007; Strassmann and Gillespie, 2002; Tifferet et al., 2007; Tracer, 2009)
	What factors determine the age at which people begin to reproduce?	(Bulled and Sosis, 2010; Chisholm et al., 2005; Davis and Werre, 2008; Migliano et al., 2007)
	Which grandchildren do grandparents favor and why?	(Fox et al., 2010; Pashos and McBurney, 2008; Sear et al., 2002; Tanskanen et al., 2011; Voland and Beise, 2002)

To summarize, the data suggest that HBE has changed measurably in the period since 2000. Some of the changes in this period represent continuations of trends already incipient before, such the expansion away from foraging and foragers toward reproduction and other types of population (Smith and Winterhalder 2000). Our analysis suggests that it is primarily research into the BE of industrialized societies which has expanded in the subsequent years, such that over 40% of HBE research published in the most recent three-year period was conducted on such populations. More ‘traditional’ HBE studies of foraging and small-scale food producing societies have continued, but only a modestly increased rate compared to the 1990s. An unexpected feature of HBE post-2000 is the expansion of HBE in disciplines outside anthropology. Much of the growth has come from the adoption of HBE ideas by researchers based in departments of psychology, and, to a modest extent, other social sciences such as demography, public health, economics, and sociology. This is concomitant with the increasing focus on large-scale industrialized societies, as well as changes in methodology. Anthropologists often work alone or in small teams to gather special-purpose, opportunistic datasets from a particular field site, and many of the pioneering HBE studies were done in this way. In demography, public health and sociology, by contrast, research tends to be based on very large, systematically collected, representative datasets, such as censuses, cohort and panel studies, which are designed with multiple purposes in mind. Particular researchers can then interrogate them secondarily to address their particular questions. As HBE has welcomed more researchers from these other social sciences, it has also adopted these secondary methods more strongly (see section 4.1 for further discussion). We also note the increase in the number of comparative studies. Comparative methods (albeit usually comparing related species rather than populations of the same species) have been a strong feature of BE since the outset (or before, Cullen, 1957), and thus this is a natural development for HBE. HBE comparative studies use existing cross-cultural databases (Quinlan, 2007), integrate multiple ethnographic or historical sources (Brown et al., 2009), or, increasingly, coordinate researchers to collect or derive standardized measures across multiple populations (Borgerhoff Mulder et al., 2009; Walker et al., 2006). Comparative studies have become more powerful in their analytical strategies (see section 4.1).

4. Human Behavioral Ecology: Strengths, weaknesses, opportunities and open questions

The literature review in section 3 allowed us to characterize current HBE research, and show some of the ways it has changed in the last decade. In this section, we discuss what we see as the strengths, weaknesses, opportunities and open questions for HBE as a paradigm. This is inevitably more of a personal assessment than the preceding sections, and we appreciate that not everyone in the field will share our views.

4.1 Strengths

The first obvious strength of HBE is *vitality*. As Darwinians, it comes naturally to us to assume that something which is increasing in frequency has some beneficial features. Thus, the fact that the number of recognizably HBE papers per year found by our search strategy has doubled in a decade, and that there are more and more adopters outside of anthropology, indicates that a range of people find an HBE approach useful. Whence does this utility spring? In part, it is that HBE models tend to make very *clear, a priori predictions* motivated by theory. The same cannot be said of all other approaches in the human sciences, and, arguably, the more we complicate behavioral ecological models by including details about how proximate mechanisms work, the more this clarity tends to disappear. We return in 4.4 to the issue of whether agnosticism about mechanism can be justified, but we note here that a great strength of (and defense for) simple HBE models is that they so often turn out to be empirically fruitful, despite their simplicity. Whether we are considering when to have a first baby (Nettle, 2011), what the effects of having an extra child will be in different ecologies (Lawson and Mace, 2011), whether to marry

polygynously, polyandrously or monogamously (Fortunato and Archetti, 2010; Starkweather and Hames, 2012), or which relatives to invest time and resources in (Fox et al., 2010), predictions using simple behavioral ecological principles turn out to be useful in making sense of empirically observed diversity in behavior. HBE has also demonstrated the generality of certain principles, such as the fact that male culturally-defined social success is positively associated with reproductive success in many different types of society, albeit that the slope of the relationship differs according to features of the social system (Borgerhoff Mulder, 1987; Fieder and Huber, 2007; Hopcroft, 2006; Irons, 1979; Kaplan and Hill, 1985; Nettle and Pollet, 2008).

A related strength of HBE is its *broad scope*. HBE models can apply to many kinds of behavioral decision (in principle, all kinds), and in all kinds of society. It is relatively rare in the human sciences for the same set of predictive principles to apply to variation both within and between societies, and to societies ranging from small-scale subsistence populations to large-scale industrial states, but HBE thinking about, for example, reproductive decisions, has exactly this scope (Nettle, 2011; Sear and Coall, 2011). This would be a strength indeed, even without the crucial additional feature that the explanatory principles invoked are closely related to those which can be applied to species other than our own. Thus, HBE brings a relative *conceptual coherence* to the study of human behavior, a study which has traditionally been spread across a number of different disciplines each with different conceptual starting points.

Another strength of HBE as we have defined it here is its relatively high *ecological validity*. Much psychological research into human behavior relies on hypothetical self-reports and self-descriptions, or contrived experimental situations (Baumeister et al., 2007), and much of behavioral economics consists of artificial games whose relevance to actual allocation decisions outwith the laboratory has been questioned (Bardsley, 2008; Gurven and Winking, 2008; Levitt and List, 2007). Although human behavioral ecologists use such techniques as their purposes require, at the heart of HBE is still a commitment to looking at what people really do, in the environments in which they really live, as a central component of the endeavor. Furthermore, HBE's focus on behavioral diversity means that it has studied a much wider range of populations than other approaches in the human sciences (see Henrich et al., 2010), and this has led to a healthy skepticism of simple generalizations about human universal preferences or motivations (Brown et al., 2009). Measuring relationships between behavior and fitness-relevant outcomes across a broad range of environments, HBE has now amassed considerable evidence in favor of its core assumptions that that context matters when studying the adaptive consequences of human behavior, and that behavioral diversity arises because the pay-offs to alternative behavioral strategies are ecologically contingent.

HBE is also characterized by *increasing methodological rigor*. The early phases of HBE were defined by exciting theoretical developments, as evolutionary hypotheses for human behavioral variation were first formulated and presented in the literature. However, conducting empirical studies capable of rigorously testing hypotheses derived from HBE theory presents a number of methodological challenges, not least because the human species is relatively long-lived and rarely amenable to experimental manipulation. These challenges are now being increasingly overcome, as HBE expands its tool kit to include new sources of data, statistical methods and study designs. As noted in section 3, recent years have witnessed an increased use of secondary demographic and social survey datasets, which often provide larger, more representative samples and a broader range of variables than afforded by field research. Some sources of secondary data have also enabled lineages to be tracked beyond the life span of any individual researcher, providing valuable new data on the correlates of long-term fitness (e.g. Goodman and Koupil, 2009; Lahdenpera et al., 2004).

Statistical methods have also become more advanced. Multi-level analyses are now routinely used in HBE research to deal with hierarchically structured data, and accurately partition sources of behavioral variance at different levels (e.g. within and between villages, Lamba and Mace, 2011). Phylogenetic comparative methods, which utilize information on historical relationships between populations, have become popular for testing co-evolutionary hypotheses since they were first applied to human populations in the early 1990s (Mace and Holden, 2005; Mace and Pagel, 1994), though debate remains about their suitability for modeling behavioral transmission in humans (Borgerhoff Mulder et al., 2006). Issues of causal inference are also being addressed with more sophisticated analytical techniques. For example, structural equation modeling, and longitudinal methods such as event history analysis, have enabled researchers to achieve greater confidence when controlling for potential confounding relationships (e.g. Lawson and Mace 2009; Nettle et al., 2011; Sear et al., 2002). HBE researchers are also following wider trends in the social and natural sciences by exploring alternatives to classic significance testing, such as information-theoretic and Bayesian approaches for considering competing hypotheses (Towner and Luttbeg, 2007). Some researchers have also been able to harness ‘natural experiments’ in situations where comparable populations or individuals are selectively exposed to socioecological change. For example, Gibson and Gurmu (2011) examined the effect of changes in land tenure (from family inheritance to government redistribution) on a population in rural Ethiopia, demonstrating that competition between siblings for marital and reproductive success only occurs when land is inherited across generations. These advancements represent an exciting and necessary step forward, as empirical methods ‘catch up’ with the powerful theoretical framework set out in the early days of HBE.

Finally, HBE has shown itself capable of *topical innovation*. A pertinent recent example is cooperative breeding (typically loosely defined in HBE as, the system whereby women receive help from other individuals in raising their offspring). The idea that human females might breed cooperatively had been around for several decades (Williams, 1957), and began to be tested empirically in the late 80s and 90s (e.g. Hill and Hurtado, 1991), but it was the 21st century which saw a real upsurge in interest in this topic, leading to a revitalization of the study of kinship in humans (Shenk and Mattison, 2011). HBE has now mined many of the rich demographic databases available for our species to test empirically the hypothesis that the presence of other kin members is associated with reproductive outcomes such as child survival rates and fertility rates. These analyses typically find support for the hypothesis that women adopt a flexible cooperative breeding strategy where they corral help variously from the fathers of their children, other men, and pre- and post-reproductive women (Hrdy, 2009).

4.2 Weaknesses

Though we see HBE as a strong paradigm, there are some important weaknesses of its current research to be noted. The first is HBE’s *relative isolation from the rest of BE*. The core journals of BE are *Behavioral Ecology* and *Behavioral Ecology and Sociobiology*. Our search revealed only 8 HBE papers in these journals (2.2% of the sample). The vast majority of papers in our sample appeared in journals which never carry studies of species other than humans, and we know of rather few human behavioral ecologists who also work on other systems. West et al. (2011) have recently argued that evolutionary concepts are widely misapplied (or outdated understandings are applied, a phenomenon colloquially dubbed ‘the disco problem’) in human research, due to insufficient active integration between HBE and the rest of evolutionary biology.

HBE is clearly not completely decoupled from the rest of BE (see Machery and Cohen, 2012, for quantitative evidence on this point). For example, within BE there has been a decline in interest in foraging theory, and a rise in interest in sexual selection (Owens, 2006), which are mirrored in the changes in HBE described in section 3. Behavioral ecologists have also become less concerned with

simply showing that animals make adaptive decisions, and more concerned with the nature of the neurobiological and genetic mechanisms underlying this (Owens, 2006). Parallel developments have occurred in the human literature, with the rise of adaptive studies of psychological mechanisms (see e.g. Buss, 1995). Our search strategy did not include these studies, since their methodologies are different from those of 'classical' HBE, but there is no doubt that they have increased in number. Finally, we note that there has been a recent increase in interest in measuring natural selection directly in contemporary human populations (Byars et al., 2010; Courtiol et al., 2012; Milot et al., 2011; Nettle and Pollet, 2008; Stearns et al., 2010). This anchors HBE much more strongly to evolutionary biology in general. Despite these developments, though, we see the isolation of HBE from the rest of biology as a potential risk. We hope to see more behavioral ecologists start to work on humans, and more projects cross taxonomic boundaries, in the future.

Finally, we note the *rather restricted topic base*. HBE has had a great deal to say recently about mating strategies, reproductive decisions, fertility, and reproductive success, but much less about diet, resource extraction, resource storage, navigation, spatial patterns of habitat use, hygiene, social coordination, or the many other elements involved in staying alive. In part this is because, as HBE expands to focus more on large-scale populations, it discovers that there are already disciplines (economics, sociology, human geography, public health) which deal extensively with these topics. It is in the general area of reproduction that it is easiest to come up with predictions which are obviously Darwinian, and differentiate HBE from existing social science approaches. Nonetheless, the explanatory strategy of HBE is of potential use for *any* topic where behavioral effort has to be allocated in one way rather than another, and thus we would hope to see a broadening of the range of questions addressed as HBE continues to grow.

4.3 Opportunities

As HBE continues to expand, we see a major opportunity for HBE to build bridges to the social sciences. At the moment, most HBE papers are published in journals which *only* carry papers which take an adaptive evolutionary perspective, not general social science journals. Thus, HBE is possibly as separated from other approaches to human behavior as it is from parallel approaches to the behavior of other species. This may be because early proponents of HBE saw it as radically different from existing social science approaches to the same problems, by virtue of its generalizing hypothetico-deductive framework and commitment to quantitative hypothesis testing (Winterhalder and Smith, 2000). However, the social science those authors came into closest contact with was sociocultural anthropology, which is perhaps not a very typical social science (see Irons (2000) for an account of the hostile reception of HBE within sociocultural anthropology). As HBE's expansion brings it into closer proximity with disciplines like economics, sociology, demography, public health, development studies and political science, there may be more common ground than was previously thought. Social scientists are united in the notion that human behavior is very variable, and that context is extremely important in giving rise to this variation. These are commitments which HBE obviously shares. Indeed, whilst it is still common in the human sciences for authors to rhetorically oppose 'evolutionary' to 'non-evolutionary' (or 'social' and 'biological') explanations of the same problem as if these were mutually exclusive endeavors (Nettle, 2009a), HBE defies such dichotomies adeptly.

Much of social science is highly quantitative, and, generally lacking the ability to perform true experiments, relies on multivariate statistical approaches applied to observational datasets to test between competing explanations for behavior patterns. HBE is just the same, and indeed, since the millennium, has become much more closely allied to other social sciences, adopting the large-scale data resources they provide, as well as methodological tools like multilevel modeling which they have

developed to deal with these. HBE employs a priori models based on the individual as maximizer, a position not shared explicitly by all social sciences. However, this approach is widespread in economics and political science. Indeed, it was economics which gave it to BE. The big difference between HBE and much of social science is the explicit invocation of inclusive fitness (or its proxies) as the end to which behavior is deployed. This does not necessarily make it a competing endeavor, especially since what is measured in HBE is not usually fitness itself, but more immediate proxies. Rather, HBE models can often be seen as adding an explicitly ultimate layer of explanation, giving rise to new predictions and unifying diverse empirical observations, without being incompatible with existing, more proximate theories.

Indeed, our perception is that a number of social science theories make assumptions about the ends of behavior which are quite similar to those of HBE, just not explicitly expressed in Darwinian terms; basically, people's sets of choices are constrained by the environment in which they have to live, and they make the best choices they can given these constraints, often with knock-on effects which behavioral ecologists would describe as trade-offs. Examples include the work of Geronimus on how African American women adjust their patterns of childbearing to the prevailing rates of mortality and morbidity in their neighborhoods (Geronimus et al., 1999), the work of Drewnowski and colleagues on how people adjust the type of foodstuffs they consume to the budgets they have to spend (Drewnowski et al., 2007; Drewnowski and Specter, 2004), or Downey's work on the effects of increasing family size on socioeconomic outcomes of the children (Downey, 2001). If the introductory sections of any of these papers were written from a more explicitly Darwinian perspective, they would look perfectly at home in a BE journal. The breaking down of the social science-natural science divide has long been held as desirable, but is not easy to achieve in practice. HBE's boundary with the social sciences may be one frontier where some progress can occur. Social scientists have long lamented the fragmentation of their field into multiple disciplinary areas with little common ground (e.g. Davis, 1994). Given HBE's broad scope and general principles it has the potential to serve as something of a lingua franca across social scientists working on different kinds of problems.

A related opportunity for HBE is the potential for *applied impact*. HBE models have the potential to provide new and practical insights into contemporary world issues, from natural resource management (Tucker, 2007) to the consequences of inequality within developed populations (Nettle, 2010). The causes and consequences of recent human behavioral and environmental changes (including urbanization, economic development and population growth) are recurring themes in recent studies in HBE. The utility of an ecological approach is clearly demonstrated in studies exploring the effectiveness of public policies or intervention schemes seeking to change human behavior or environments. HBE models clarify that human behavior tends to be deployed in the service of reproductive success, not financial prudence, health, personal or societal wellbeing (Hill, 1993), an important insight which differs from some economic or psychological theories. By providing insights into ultimate motivations and proximate pathways to human behavioral change, HBE studies can sometimes offer direct recommendations for the design and implementation of future initiatives (Gibson and Gurmu, 2011; Gibson and Mace, 2006; Shenk, 2007). Addressing contemporary world issues does, however, present methodological and theoretical challenges for HBE, requiring more explicit consideration of how research insights may be translated into interventions, and communicated to policymakers and users (Tucker and Taylor, 2007).

4.4 Open questions

An open question for HBE is *how the study of mechanism can be integrated into functional enquiry*. This is an issue for BE generally, not just the human case. As mentioned in section 2, BE has tended to proceed by the behavioral gambit – the assumption that the nature of the proximate mechanisms

underlying behavioral decisions is not important in theorizing about the functions of behavior. It is important to understand the status of the behavioral gambit, since it has sometimes been unfairly criticized (see Parker and Maynard Smith, 1990). In the natural world, individuals do not always behave optimally with respect to any particular decision, because there are phylogenetic or mechanistic constraints on their ability to reach adaptive solutions. However, in general terms, the only way to discover the existence of such departures from optimality is to have a theoretical model which shows what the optimal behavior *would* be, and to test empirically whether individual behavior shows the predicted pattern. Where it does not, this may point to unappreciated constraints or trade-offs, and thus shed light on the biology of the organism under study. Thus, the use of the term gambit is entirely apt; the behavioral gambit is a way of opening the enquiry designed to gain some advantage in the quest to understand. It is not the end game.

Where there is no sizable departure from predicted optimality, the ultimate adaptive explanation does not depend critically upon understanding the mechanisms. This does not mean the question of mechanism is unimportant, of course; mechanistic explanations must still be sought, and integrated with functional ones. This is beginning to occur in some cases. In the field of human reproductive ecology, the physiological mechanisms involved in adaptive strategies are beginning to be understood (Flinn et al., 2011; Kuzawa et al., 2009), and there is also increasing interchange between HBE researchers and experimentalists studying psychological mechanisms (Sear et al., 2007), which is clearly a development to be welcomed.

Where there *is* a patterned departure from optimality, understanding the mechanism becomes more critical. Aspects of mechanism can then be modeled as additional constraints which may explain the strategies individuals pursue. For example, Kacelnik and Bateson (1996) showed that the pattern of risk-aversion for variability in food amount and risk-proneness for variability in food delay is not predicted by optimal foraging theory, except when Weber's law (the principle that perceptions of stimulus magnitude are logarithmically, not linearly, related to actual stimulus magnitude) is incorporated into models as a mechanistic constraint. At a deeper level, though, this just raises further questions. Why should Weber's law have evolved, and once it has evolved, can selection relax it for any particular task? These are what McNamara and Houston call 'evo-mecho' questions (McNamara and Houston, 2009). Departures from optimality in one particular context raise such questions pervasively. Issues such as the robustness, neural instantiability, efficiency, and developmental cost of different kinds of mechanisms become salient here, and many apparently irrational quirks of behavior become interpretable as side-effects of evolved mechanisms whose overall benefits have exceeded their costs over evolutionary time (Fawcett et al., 2012). However, we would still argue that the best first approximation in understanding a question is to employ the behavioral gambit to generate and test simple optimality predictions, even though an understanding of mechanism will be essential for explaining why these may fail.

Although the issue of how incorporation of mechanism changes the predictions of BE models is a general one, in the human case it has been discussed in particular with reference to *transmitted culture*, since this is a class of mechanism on which humans are reliant to a unique extent (Richerson and Boyd, 2005). Transmitted culture refers to the behavioral traditions which arise from repeated social learning. Social learning can be an evolutionarily adaptive strategy, and the equilibrium solutions reached by it will often be the fitness-maximizing ones under reasonable assumptions (Henrich and McElreath, 2003). After all, if reliance on culture on average led to maladaptive outcomes, there would be strong selection on humans to rely on it less. Indeed, there is evidence that humans tend to forage efficiently for socially-acquired information, using it when it is adaptive to do so (Morgan et al., 2012). Thus, we would argue that culture can be treated, to a first approximation, just like any other proximate mechanism: that is, it can be set aside in the initial formulation of functional explanations (Scott-Phillips et al., 2011, though

see Laland et al. (2011) for a different view). As an example, we could take Henrich and Henrich's (2010) data on food taboos for pregnant and lactating women in Fiji. These authors show that the taboos reduce women's chances of fish poisoning by 30% during pregnancy and 60% during breastfeeding, and thus are plausibly adaptive. The fact that in this case it is culture by which women acquire them, rather than genes or individual learning, does not affect this conclusion or the data needed to test it. However, the quirks of how human social learning works may well explain some non-adaptive taboos which are found alongside the adaptive ones, which are in effect carried along by the generally adaptive reliance on social learning. Thus, while the behavioral gambit can be used to explain the major adaptive features of these taboos, an understanding of the cultural mechanisms is required to explain the details of how the observed behavior departs in subtle ways from the optimal pattern. Culture may often lead to maladaptive side effects in this way (Richerson and Boyd, 2005). Although its general effect is to allow humans to rapidly reach adaptive equilibria, nonadaptive traits can be carried along by it, and, compared to other proximate mechanisms, it produces very different dynamics of adaptive change.

A final open question is *the extent of human maladaptation*. Humans have increased their absolute numbers by orders of magnitude, and colonized all major habitats of the planet, so they are clearly adept at finding adaptive solutions to the problem of living. However, there are also some clear cases of quite systematic departures from adaptive behavior. Perhaps most pertinently, the low fertility rate typical of industrial populations still defies a convincing adaptive explanation, despite being a longstanding topic for HBE research (see Borgerhoff Mulder, 1998; Kaplan et al., 2002; Shenk, 2009). There are patterns in the fertility of modernizing populations which can be readily understood from an HBE perspective: parents in industrialized populations who have large families suffer a cost to the quality of their offspring, particularly with regard to educational achievement and adult socioeconomic success, so there is a quality-quantity trade-off (Lawson and Mace, 2011). Moreover, the reduction in fertility rate is closely associated with improvement in the survival of offspring to breed themselves, so that, as the transition to small families proceeds, the probability of having at least one grandchild may remain roughly constant (Liu and Lummaa, 2011). However, despite all this, it remains the case that people in affluent societies could still have many more grandchildren and great-grandchildren by having more children, and yet they do not (Goodman et al., 2012). Any explanation of the demographic transition must, therefore, invoke some kind of maladaptation or mismatch between the conditions under which decision-making mechanisms evolved and those under which they are now operating.

5. Conclusion

Our review has shown that HBE is a growing and rapidly developing research area. The weaknesses of HBE mostly amount to a need for more research activity, and the unresolved questions, though important, do not in our view undermine HBE's core strengths of theoretical coherence and empirical utility. HBE is being applied to more questions in more human populations with better methods than ever before. Our hope is that HBE will inspire more behavioral biologists to work on humans, for whom a wealth of data is available, and more social scientists to adopt an adaptive, ecological perspective on their behavioral questions, thus adding a layer of deeper explanations, as well as generating new insights.

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