Title: The effect of ANK3 bipolar-risk polymorphisms on the working memory circuitry differs between loci and according to risk-status for bipolar disorder

Authors: Giuseppe Delvecchio MSc, Danai Dima PhD, Sophia Frangou MD, PhD

Affiliations: Mr Delvecchio and Dr Dima are with the Social Genetic and Developmental Psychiatry Center, Institute of Psychiatry, King's College London, UK; Dr Dima is also with the Psychosis Research Program, Department of Psychiatry, Icahn School of Medicine at Mount Sinai, USA; Dr Frangou is with the Psychosis Research Program, Department of Psychiatry, Icahn School of Medicine at Mount Sinai.

Abstract

Polymorphisms at the rs10994336 and rs9804190 loci of the Ankyrin 3 (*ANK3*) gene have been strongly associated with increased risk for bipolar disorder (BD). However, their potential pathogenetic effect on BD-relevant neural circuits remains unknown. We examined the effect of BD-risk polymorphisms at rs10994336 and rs9804190 on the working memory (WM) circuit using functional magnetic resonance imaging (fMRI) data obtained from euthymic patients with BD (n=41), their psychiatrically healthy first-degree relatives (n=25) and unrelated individuals without personal or family history of psychiatric disorders (n=46) while performing the N-back task. In unrelated healthy individuals, the rs10994336-risk-allele was associated with reduced activation of the ventral visual cortical components of the WM circuit while the rs9804190-risk-allele was associated with inefficient engagement of the prefrontal cortical components of the WM. In patients and their healthy relatives, risk alleles at either loci were associated with hyperactivation in the ventral anterior cingulate cortex. Additionally, Rs9804190-risk-allele carriers with BD evidenced abnormal activation within the posterior cingulate cortex. This study provides new insights on the neurogenetic correlates of allelic variation at different genome-wide supported BD-risk associated *ANK3* locithat support their involvement in BDand highlight the modulatory influence of increased background genetic risk for BD.

Introduction

Allelic variation in the Ankyrin3 (*ANK3*) gene located on chromosome 10q21.2 has been most convincingly associated with increased risk for bipolar disorder (BD). The first report concerned a genome-wide association between BD and a single nucleotide polymorphism (SNP) at rs9804190 identified in two independent samples from the US and Germany (Baum et al., 2008). This association signal within a 70 kilobase region at the 3' end of the gene was later confirmed in a larger study by the Psychiatric GWAS Consortium Bipolar Disorder Working Group (Sklar et al., 2011). Three linked susceptibility loci at rs10994336 (Ferreira et al., 2008; Lett et al., 2011; Tesli et al., 2011), rs10994397 (Sklar et al., 2011) and rs1938526 (Takata et al., 2011; Lee et al., 2011; Dedman et al., 2012) have also been identified within a 250 kilobase region at the 5' end of the gene. The association signals within the 3' and 5' regions do not overlap and there is no evidence of linkage disequilibrium or other interaction between the corresponding SNPs (Schulze et al., 2009). These two regions are therefore considered as two independent genetic risk factors for BD.

The biological mechanisms linking allelic variation in the *ANK3* gene to increased risk for BD have yet to be clearly defined. The *ANK3* gene encodes for multiple protein isoforms of Ankyrin-G (AnkG) (Kordeli et al., 1995), a multi-functional protein with several distinct domains including spectrin- and trans-membrane binding domains. Brain-specific isoforms of AnkG are localized in the nodes of Ranvier and at axonal initial segments (AIS) (Kordeli et al., 1995). AnkG is involved in maintenance of neuronal polarity (Rasband, 2010) and in the clustering of ion gated channels required for action potential generation and propagation (Rasband, 2010; Zhou et al., 1998). Alterations in AnkG sequence or intracellular levels could disrupt these mechanisms and affect the function of neural circuits involved in mood and cognition. Congruent with this hypothesis, reduced *ANK3* expression of brain-specific transcripts in mouse models affects AIS throughout the brain (Leussis et al., 2013). These mice also exhibit a number of traits considered relevant to BD, specifically increased risk taking behaviour (decreased latency in the elevated plus maze and light-dark transition), greater reward salience (decreased latency to approach food in the novelty-suppressed feeding and increased sucrose preference), and increased reactivity to chronic stress (increased forced swim test immobility and elevated baseline and reactive corticosterone levels) (Leussis et al., 2013).

In human post-mortem samples, the BD-risk-alleles have been associated with reduced neuronal ANK3 expression in multiple brain regions (Roussos et al., 2012; Rueckert et al., 2013). However, in healthy individuals there are significant differences in the phenotypic traits associated with allelic variation at the 5' compared to the 3' *ANK3* region. Behaviourally, 5'risk-allele carriers (rs10994336) show increased anxiety-related temperamental traits (Roussos et al., 2011) while 3' risk-allele carriers (rs9804190) show abnormalities in psychosis-related traits (Roussos et al., 2012). White matter connectivity is reduced in 5'risk-allele carriers (rs10994336) but not in 3' risk-allele carriers (rs9804190) (Linke et al., 2012). In terms of cognitive function, 5'risk-allele carriers (rs10994336), but not 3' risk-allele carriers (rs9804190), underperform in tasks of sustained attention and set shifting (Linke et al., 2012; Ruberto et al., 2011; Hatzimanolis et al., 2012; Zhang et al., 2013). The one phenotypic trait shared by risk-alleles in both 3' and 5' *ANK3* regions is working memory disruption (Roussos et al., 2012; Ruberto et al., 2011) which is also a documented feature of BD.

Disruption in working memory (WM) circuitry in BD has been associated both with disease expression (Adler et al., 2004; Lagopoulos et al., 2007; Frangou et al., 2008; Townsend et al., 2010; Jogia et al., 2012; Pomarol-Clotet et al., 2012; Fernández-Corcuera et al., 2013) and familial risk (Drapier et al., 2008; Thermenos et al., 2010; Thermenos et al., 2011). Disease expression is associated with diminished function in dorsolateral frontoparietal regions involved in information encoding and maintenance (Adler et al., 2004; Lagopoulos et al., 2007; Frangou et al., 2008; Townsend et al., 2010; Jogia et al., 2012; Pomarol-Clotet et al., 2012; Fernández-Corcuera et al., 2013) and with failure to deactivate the default mode network (DMN) as evidenced by aberrant activation within medial prefrontal cortex and the anterior cingulate cortex (ACC) (Jogia et al., 2012; Pomarol-Clotet et al., 2012; Fernández-Corcuera et al., 2013). The failure to suppress ACC activation during the N-back has also been reported in unaffected first-degree relatives of patients and is likely to represent a genetically mediated vulnerability trait for BD (Drapier et al., 2008; Thermenos et al., 2010).

The current study examined the effect of SNP rs10994336 and rs9804190 on the neural circuitry subserving WM in a sample of 112 individuals comprising euthymic patients with BD (n=41), their psychiatrically healthy first-degree relatives (n=25) and unrelated healthy individuals (n=46). The study aimed to identify the neural mechanisms mediating the

increased risk for BD conferred by the two independent loci. We tested whether the pathogenetic effect of the risk-alleles at rs10994336 and rs9804190 independently contribute to failure to suppress DMC activation during the n-back task in patients and their healthy relatives, and whether a similar effect would be observed in unrelated individuals without a personal or family history of psychiatric disorders.

Subjects and methods

Participants

All participants were selected from the VIBES study cohort which comprises 75 families identified through a proband with BD type I and screened to exclude pedigrees with schizophrenia or schizophrenia spectrum disorders. Details of the VIBES rationale and design have been reported previously (Frangou, 2009). The sample considered in the present study comprised 41 euthymic patients with BD, 25 of their psychiatrically healthy first-degree relatives, and 46 healthy unrelated individuals, all of white British ancestry (Table 5-1). The study received institutional ethical approval. All individuals provided written informed consent prior to participation.

All participants were assessed by trained psychiatrists with patient or non-patient versions of the Structured Clinical Interview for Interview (SCID) (First et al., 2002a,b), the Hamilton Depression Rating Scale (HDRS) (Hamilton, 1960), the Young Mania Rating Scale (YMRS) (Young et al., 1978), the expanded Brief Psychiatric Rating Scale (BPRS) (Lukoff et al., 1986) and the Wechsler Adult Intelligence Scale-Revised (WAIS-R) (Wechsler, 1981). Patients fulfilled criteria for BD type I based on the Diagnostic and Statistical Manual of Mental Disorders, 4th edition, revised (American Psychiatric Association, 1994). We included only psychiatrically healthy relatives of BD probands based on the absence of a personal lifetime history of any psychiatric disorder. Unrelated healthy individuals without a personal or family history of psychiatric disorders were selected to match patients and relatives on age, sex, and IQ.

Exclusion criteria for all participants were current and hereditary neurological disorders, DSM-IV lifetime drug or alcohol dependence or drug or alcohol abuse in the preceding six months and contraindications to MR imaging. Prior to cognitive and MRI evaluation,

patients were required to have been in remission, defined as scoring below 7 in HDRS and YMRS, for a minimum of one month based on prospective weekly assessments, and to have remained on the same medication type and dose for at least six months. There was a significant effect of group on all symptom rating scales ($F_{(2,112)}$>9.82, $p<0.001$) with patients having higher scores than healthy relatives and unrelated healthy individuals; there was no difference between the latter two groups (Table 5-1). The HDRS, YMRS and BPRS were highly correlated with each other (all r=0.73, $p<0.0001$). As only the BPRS is suited for non-patient populations (healthy relatives and unrelated healthy individuals) this scale was chosen to control for psychopathology in subsequent analyses.

Thirty BD patients were on psychotropic medication; 12 on antipsychotics (7 on atypical, 2 on typical and 3 on both), 21 on mood stabilisers (lithium =15, sodium valproate=6), and 13 on selective serotonin reuptake inhibitors. None received anticholinergics or benzodiazepines. Medicated and unmedicated BD patients did not differ in age of onset, illness duration, IQ, HDRS, YMRS and BPRS total scores (all $p>0.31$).

DNA extraction and genotyping

DNA was obtained from buccal swabs using conventional procedures. The *ANK3* rs10994336 (risk-allele T) as well as the *ANK3* rs9804190 (risk-allele C) genotype were determined by the TaqMan allelic discrimination assay (Applied Biosystems, Assay ID C_31344821_10). Endpoint analysis was performed using the Applied Biosystems 7900HT Fast Real-Time PCR System. Genotypes were called with the SDS 2.3 software and the output was checked visually to ensure genotypes fell into distinct clusters. Call rate was 100% as buccal swabs were repeated for 7 individuals for whom initial genotyping was undetermined. Accuracy was assessed by duplicating 15% of the sample. Reproducibility was 100%.

Within each group (patients, healthy relatives, unrelated healthy individuals) homozygote and heterozygote risk-allele carriers for each SNP were considered as detailed in Supplemental Tables 5-S1 and 5-S2. There was no effect of genotype or group-by-genotype interaction on age or sex (Tables 5-S1 and 5-S2).

Neuroimaging

Experimental Paradigm: The n-back task was employed in a block design incorporating alternating experimental and sensorimotor control conditions. A series of letters in yellow font were displayed on a blue screen for two seconds each. Participants were instructed to indicate by a button press whether the letter currently displayed matched the letter from the preceding n trials. In the sensorimotor control (0-back) condition, the letter "X" was the designated target. In the experimental conditions (1, 2, 3-back) the target letter was defined as any letter that was identical to the one presented in the preceding one, two, or three trials. There were 18 epochs in all, each lasting 30 seconds, comprising 14 letters with a ratio of target to non-target letters ranging from 2:12 to 4:10 per epoch. The entire experiment lasted 9 minutes and included a total of 49 target and 203 non-target stimuli. To avoid any systematic order effects the conditions were pseudo-randomised. Performance was evaluated in terms of reaction time to target letters and accuracy (% correct responses). Group differences in accuracy were examined using analysis of variance followed by pairwise comparisons with Bonferroni correction.

Acquisition Parameters: Gradient echo planar magnetic resonance (MR) images were acquired using a 1.5-Tesla GE Neuro-optimised Signa MR system (General Electric, Milwaukee, WI, USA) fitted with 40 mT/m highspeed gradients, at the Maudsley Hospital, London. Foam padding and a forehead strap were used to limit head motion. A quadrature birdcage head coil was used for radio frequency (RF) transmission and reception. A total of 180 T2*-weighted MR brain volumes depicting blood-oxygenation level-dependent (BOLD) contrast were acquired at each of 36 near-axial planes parallel to the inter-commissural (AC-PC) plane; repetition time (TR) = 3000ms, echo time (TE) = 40ms, slice thickness = 3mm, voxel dimensions = 3.75 x 3.75 x 3.30mm, interslice gap = 0.3mm, matrix size = 64 * 64, flip angle=90°. Prior to each acquisition sequence, four dummy data acquisition scans were performed to allow the scanner to reach a steady state in T1 contrast. During the same session, a high-resolution T1-weighted structural image was acquired in the axial plane for subsequent co-registration (inversion recovery prepared, spoiled gradient-echo sequence; TR = 18ms, TE = 5.1 ms, TI = 450 ms, slice thickness = 1.5 mm, voxel dimensions = 0.9375 × 0.9375 x 1.5 mm, matrix size 256 * 192, field of view = 240 x 180 mm, flip angle = 20°, number of excitations = 1.

Neuroimaging Data Analysis: All analyses were implemented using Statistical Parametric Mapping (SPM8) (www.fil.ion.ucl.ac.uk/spm/software/spm8/). The BOLD images were realigned to the fifth volume and corrected for interscan movements by means of a rigid body transformation with three rotation and three translation parameters. Subsequently, the 180 fMRI images were spatially normalized to the standard template of the Montreal Neurological Institute (MNI) and re-sampled to a voxel size of 2x2x2mm. Finally, the images were smoothed using an 8 mm full-width-half-maximum Gaussian kernel.

The smoothed single-subject images were analyzed via multiple regression using a standard linear convolution model, with vectors of onset representing the 1, 2, 3-back and the 0-back condition as the sensorimotor control. Serial correlations were removed using an AR(1) model. A high pass filter (128s) was applied to remove low-frequency noise.

As the effect of any single SNP on neural networks is expected to be subtle, all subsequent analyses were restricted to the 3-back condition because (a) individual differences in cognitive and neural efficiency are more apparent at high WM load (Gevins and Smith, 2000), and (b) the effect of diagnosis in patients with BD and their relatives is also most consistently seen at high WM load (Jogia et al., 2012; Palaniyappan and Liddle, 2014). Images representing the 3-back vs. 0-back contrast from each subject were entered in second level random-effects.

First, we investigated the main effect of each risk-SNP (rs10994336 and rs9804190) and their interaction on the WM circuitry in healthy unrelated individuals. This analysis allowed us to relate our findings to the literature that has examined the effect of *ANK3* only in unrelated healthy individuals. Second, full factorial ANCOVA was used to the effect of each SNP and their interactions in patients, healthy relatives and unrelated healthy individuals with BPRS and accuracy as covariates. Suprathreshold clusters were identified using Family Wise Error (FWE) correction of P<0.05. Stereotactic coordinates of the peak maxima of the suprathreshold clusters were converted (www.mrc-cbu.cam.ac.uk/Imaging/mnispace.html) from the Montreal Neurological Institute spatial array (www.mni.mcgill.ca) to that of Talairach and Tournoux (Talairach and Tournoux, 1988). Mean signal change from suprathreshold clusters was extracted using the MarsBaR toolbox (http://marsbar.sourceforge.net/) and was entered in correlation analyses to examine the

effect of age of onset, duration of illness, number of episodes, and medication dose at the time of scanning (lithium and antipsychotic). Threshold for statistical significance was set at $p < 0.005$ following Bonferroni correction.

Results

Effect of ANK3 allelic variation on clinical features

Rs10994336 or rs9804190 risk associated patients had significantly higher HDRS, YMRS and BPRS scores compared to all other groups ($F_{(2, 112)} > 6.5$, $p < 0.02$) (Supplemental Tables 5-S1 and 5-S2). There was no effect of genotype on patients' age of onset, duration of illness and number of mood episodes ($t_{39} < 1.2$, $p > 0.2$).

Effect of ANK3 allelic variation on cognitive task performance

There was no effect of group, genotype or group by genotype interaction for either SNP on general intellectual ability or response time ($p > 0.1$)(Table 5-1, Supplemental Tables 5-S1 and 5-S2). In contrast, there was a significant effect of group on accuracy for the 3-back condition only, where relatives were significantly better than both other groups ($F_{(2,112)} > 24.31$, $p < 0.003$) (Table 5-1). Within the relatives group, non-risk associated relatives for either rs10994336 or rs9804190 had significantly higher accuracy ($p < 0.01$) (Supplemental Tables 5-S1 and 5-S2).

Effect of ANK3 allelic variation on WM-related activation in unrelated healthy individuals

Healthy carriers of the rs10994336 risk-allele showed significantly decreased lateral temporal cortical activation within the middle (BA 21) and inferior (BA 20) temporal gyrus (Fig. 5-1). In contrast, healthy homozygotes of the rs9804190 risk-allele showed increased activation in the lateral prefrontal cortex within the inferior (BA 47/11) and middle (BA 46) frontal gyrus (Fig. 5-1). The coordinates of the peak height voxel of the corresponding suprathreshold clusters are presented in Table 5-2.

Effect of ANK3 allelic variation on WM-related activation in BD patients and their healthy relatives

An effect of group (patients, healthy relatives, healthy unrelated individuals) was observed in the middle (BA 9 and 10) frontal gyri, in the superior and middle temporal gyri (BA 21/22)

and in the ventral ACC (BA 24/32). When compared to unrelated healthy individuals, brain activation in patients was significantly (a) reduced in the left (BA 9) and right middle frontal gyri (BA 10) and, (b) increased in the superior and middle temporal gyri (BA 21/22) on the right and in the ACC bilaterally (BA 24/32). In comparison to patients, healthy relatives had greater activation in the middle frontal gyrus bilaterally. No differences were observed between unrelated healthy individuals and healthy relatives. The coordinates of the peak activations of the suprathreshold clusters are shown in Supplemental Table 5-S3.

In patients, there were no significant correlations between mean signal change in suprathreshold clusters and age of onset, duration of illness, mood episodes or medication dose ($p > 0.1$).

For the *ANK3rs10994336*, we found a significant group by genotype interaction in the right ventral ACC (x=4, y=19, z=-3, cluster size=35, z-value=3.67) and left ventral posterior cingulate cortex (PCC; x=-28, y=-64, z=16, cluster size=166, z-value=4.10). In the right ACC, the risk T-allele was associated with increased activation in BD patients and their healthy relatives compared to unrelated healthy individuals. In the left PCC, the risk T-allele was related with increased activation in BD patients compared to their healthy relatives and to unrelated healthy individuals (Fig. 5-2).

For the *ANK3 rs9804190*, a significant group by genotype interaction was found in the right ACC (x=4, y=17, z=-4; cluster size= 58; z-value=3.95) in which patients and healthy relatives who were risk C-allele homozygotes showed increased activation compared to unrelated healthy individuals (Fig. 5-2).

Discussion

There are two key findings from this study. First, in healthy individuals without personal or family history of psychiatric disorders, the rs10994336 and rs9804190 BD-risk alleles had different effects on the working memory (WM) network, although neither affected task performance. Second, both BD-risk alleles were associated with failure to deactivate the default mode network (DMN) in patients and in their healthy relatives; accuracy was reduced in risk-associated healthy relatives.

Unrelated healthy carriers of the *rs10994336* risk-allele showed reduced engagement of the ventral visual cortex within the middle and inferior temporal gyri (Table 5-2). This accords with previous reports from two independent samples which found that the largest effect size of the rs10994336 risk-allele was on reduced sensitivity in target detection and increased errors of commission during the degraded symbol continuous performance task (Ruberto et al., 2011; Hatzimanolis et al., 2012). Although the ventral visual cortex is an integral part of the WM circuitry, the core WM network involves the frontoparietal cortices (Owen et al., 2005; Leech et al., 2011; Rottschy et al., 2012). These regions are also core components of the superordinate cognitive control network that supports a broad range of executive function tasks (Niendam et al., 2012). Unrelated healthy rs9804190-risk allele homozygotes evidenced greater activation within the prefrontal components of the WM network although their task performance was comparable to that of the non-risk associated unrelated individuals (Table 5-2). This pattern is typically interpreted as evidence of cortical inefficiency, and is consistent with behavioural data from an independent sample that also found that healthy rs9804190-risk-allele homozygotes underperform in a wide array of executive function tasks (Roussos et al., 2012).

These findings suggest that in the absence of increased background genetic risk for BD or other psychiatric disorders the two *ANK3* BD-risk loci affect different regions of the WM circuitry. The reason for these regional differences is unclear. Available data suggest that 3' risk-alleles (rs9804190) are associated with reduced transcript levels of brain-specific AnkG isoforms. To date, the region most commonly implicated is the cerebellum where *ANK3* expression is generally highest (Rueckert et al., 2013). Information about other brain regions is incomplete because the available post-mortem studies have limited statistical power due to the small number of donors and provide incomplete brain coverage (Roussos et al., 2012; Rueckert et al., 2013). With regards to rs10994336, the effect of the risk-allele on *ANK3* expression in the brain is unknown. The rs10994336 polymorphism is located in an intronic region (Tesli et al., 2011) but could affect gene expression through cis- or trans-regulatory mechanisms (Quinn et al., 2010). Alternatively, rs10994336 may be in strong linkage disequilibrium with other, yet unidentified, genetic loci that drive the effects observed here.

Rs10994336 or rs9804190 risk-associated patients and relatives showed hyperactivity within the ventral ACC. The ventral ACC is integral to a network of brain regions involved in affect

processing and generation (Critchley et al., 2003) and a key component of the anterior DMN (Raichle et al., 2001; Buckner et al., 2008). Activation within the ventral ACC is increased during the processing of arousing stimuli or during mental stress (Critchley et al., 2003). The n-back task is quite challenging and may engender mild mental stress but it is not expected to result in ventral ACC hyperactivation. In fact, deactivation of the ventral ACC is normally observed during the n-back task within the context of anticorrelated activity between the DMN and the frontoparietal cognitive control network (Leech et al., 2011; Esposito et al., 2006).

Accordingly, healthy unrelated individuals in this study showed deactivation of the ventral ACC during the n-back task regardless of genotype. As expected, hyperactivation within the ventral ACC was observed in the patients regardless of genotype (Jogia et al., 2012; Pomarol-Clotet et al., 2012; Fernández-Corcuera et al., 2013) but it was more pronounced in rs10994336 or rs9804190 risk associated individuals. Amongst the healthy relatives, ventral ACC hyperactivity was only present in risk associated individuals for either risk-allele. Additionally, BD carriers of the rs10994336 risk-allele also showed hyperactivity within the PCC, centred on the ventral and extending to dorsal regions (Vogt et al., 2006). The PCC has dense anatomical connections with multiple cortical and subcortical regions (Hagmann et al., 2008) and is a core component of the posterior DMN (Raichle et al., 2001; Buckner et al., 2008). Healthy individuals performing the n-back task show deactivation in both dorsal and ventral PCC (Leech et al., 2011; Esposito et al., 2006) so the persistent PCC activation seen in patients suggests that the rs10994336 risk-allele compromises the ability to deactivate this brain region.

Taken together, these findings suggest that aberrant hyperactivation within the ventral ACC is a key mechanism mediating the risk-conferring effects of rs10994336 and rs9804190 in connection to the WM circuitry. It is noteworthy that this effect appeared to require the concomitant presence of additional risk factors for BD as it was not observed in unrelated individuals who had no personal or family history of such risk factors. This is consistent with the multifactorial pathogenetic model of BD that involves interaction between multiple genetic and non-genetic risk factors (Sullivan et al., 2012). The effect of any individual factor depends on the relative prevalence of other risk factors that are part of the same pathogenetic process. This observation is not unique to *ANK3.* Consistent with the findings

reported here, several neuroimaging studies have shown differential effects of various susceptibility polymorphisms (e.g. *DISC1, NRG1, COMT*) on brain structure and function in patients, high-risk groups and unrelated healthy individuals (Addington et al., 2007; Mechelli et al., 2008; Prata et al., 2008; Tsuchimine et al., 2013; Narr et al., 2009; Whalley et al., 2012).

In conclusion, our results point to a differential effect of BD-risk associated polymorphisms at ANK3 rs10994336 and rs9804190 modulated by risk-status for the disorder. This suggests that the BD-risk conferring mechanisms associated with these genetic variants are influenced by other genetic and possibly non-genetic factors that contribute to risk status. Inability to suppress key nodes of the DMN emerged as a common final pathway through which either risk-allele may contribute to the pathogenesis of BD. Mood stabilizing medications such as Lamotrigine interact with the ANK3 system through ion channels bound by AnkG to the axonal initial segment. Our results therefore lend further support to our previous study on patients with BD treated with Lamotrigine that showed "normalization" of the WM circuitry (Lang et al., 1993; Haldane et al., 2008) and suggest that ANK3-related molecular pathways may be a fruitful ground for the identification of new drug targets for BD.

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Table 5-1 Study Sample

	Unrelated Healthy Individuals N=46	Patients with BD N=41	Healthy Relatives N=25
Demographic Variables			
Age(years)	40.3 (13.2)	44.3 (11.9)	39.7 (13.7)
Sex (Male/Female)	25/21	20/21	13/12
Clinical Features			
HDRS total score [a]	0.1 (0.5)	4.8 (5.3)	0.14 (0.4)
YMRS total score [a]	0.2 (0.6)	1.4 (3.0)	0.0 (0.0)
BPRS total score [a]	24.3 (0.7)	27.5 (4.0)	24.1 (0.4)
Age of onset (years)	n/a	24.7 (8.0)	n/a
Duration of illness (years)	n/a	20.2 (10.5)	n/a
Depressive episodes (n)	n/a	5.7 (7.5)	n/a
Manic episodes (n)	n/a	5.6 (7.7)	n/a
Cognitive task performance			
IQ	112.6 (14.5)	117.9 (17.9)	115.8 (18.5)
1-back accuracy (% correct)	100	100	100
1-back response time (sec)	0.6 (0.3)	0.5 (0.2)	0.5 (0.2)
3-back accuracy (% correct)[b]	72.1 (17.2)	68.9 (19.7)	90.1 (15.4)
3-back response time (sec)	0.8 (0.4)	0.8 (0.3)	0.7 (0.2)

Except for sex, all data are presented as mean (standard deviation); **BD**= Bipolar Disorder; **BPRS**= Brief Psychiatric Rating Scale; **HDRS**=Hamilton Depression Rating Scale; **YMRS**=Young Mania Rating Scale. [a] Patients> healthy individuals and relatives (P<0.001); [b] Relatives> healthy individuals and patients (P=0.003).

Table 5-2 Brain regions showing significant effects of allelic variation at 10994336 and rs9810490 in the 3-back vs 0-back contrast in unrelated healthy individuals

Region	Gyrus	Laterality	Brodmann Area	Talairach and Tournoux Coordinates			Cluster size	z-value
				X	y	z		
ANK3 rs10994336: Risk-Allele Homozygotes < Non-Risk Allele Carriers								
Temporal	Middle Temporal	Left	21	-40	12	-28	120	3.41
		Right		48	-5	-15	90	3.76
				59	-14	-4	38	3.60
	Inferior Temporal	Left	20	-42	-12	-24	56	3.43
		Right		44	-11	-20	90	3.80
ANK3 rs9810490: Risk Allele Carriers > Non-Risk Allele Homozygotes								
Frontal	Middle Frontal	Left	46	-40	38	20	46	3.51
	Inferior Frontal	Left	47/10	-46	48	-4	51	3.48

Suprathreshold clusters significant *p*<0.05 Family wise correction; x=sagittal; y=coronal; z=axial.

Figure 5-1 Effect of genotype on regional brain activation in the 3-back vs 0-back contrast in unrelated healthy individuals.
<mark>All the regions showed are ROIs which I used only for graphical purposes to present brain regions significantly activated during the N-back task.</mark>
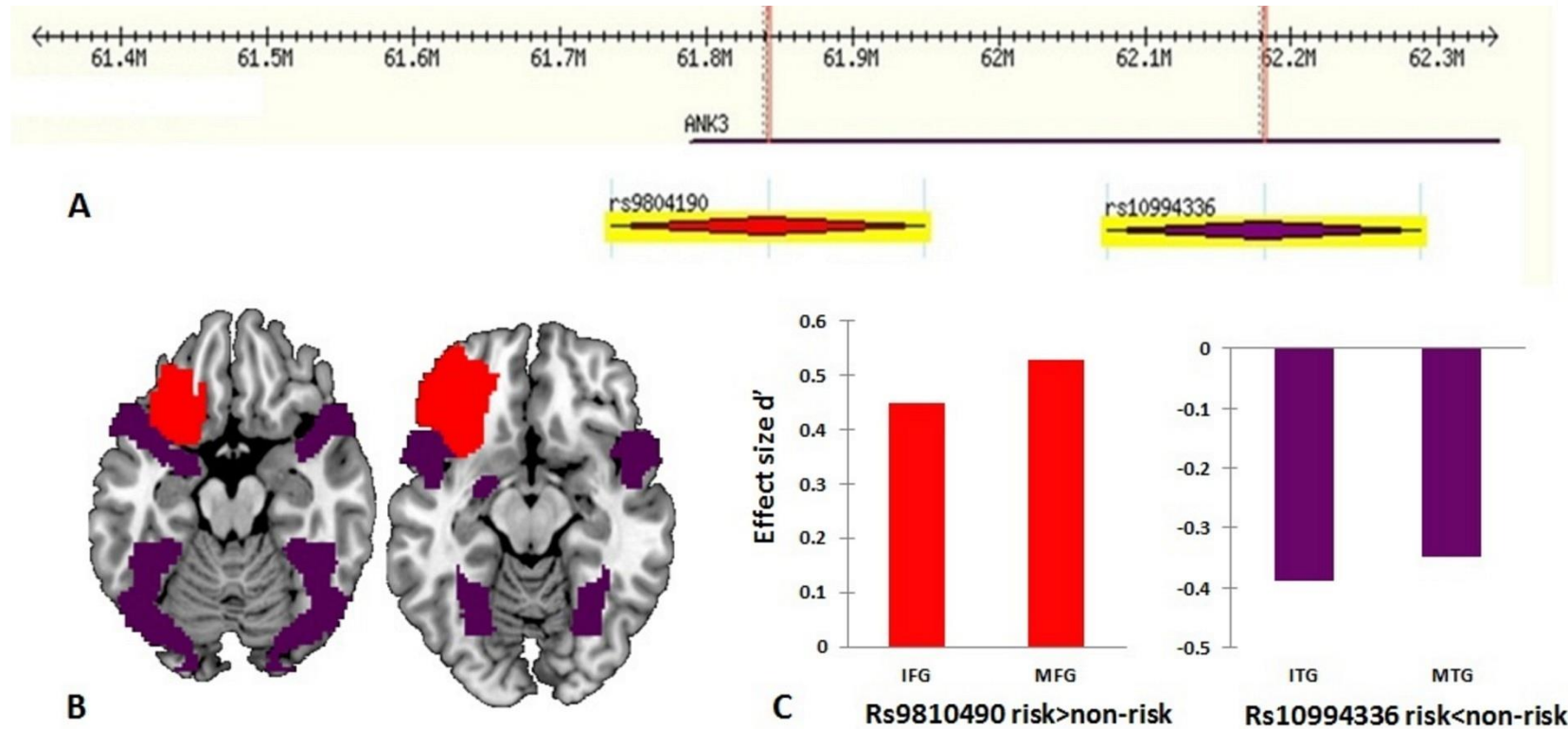
A. Chromosome 18: ANK3 rs984190 and rs10994336 loci. **B.** Rs984190 risk allele homozygotes show increased activation in the inferior (IFG) and middle (MFG) frontal gyrus (red) on the left; Rs10994336 risk allele carriers show decreased activation in the inferior (ITG) and middle (MTG) temporal gyri (purple) bilaterally. **C.** Effect size of mean signal change in the corresponding suprathreshold clusters; mean signal change in the temporal gyri was similar for left and right and was averaged.

Figure 5-2 Effect of genotype on regional brain activation in the 3-back vs 0-back contrast in patients with bipolar disorder and their psychiatrically healthy first-degree relatives.
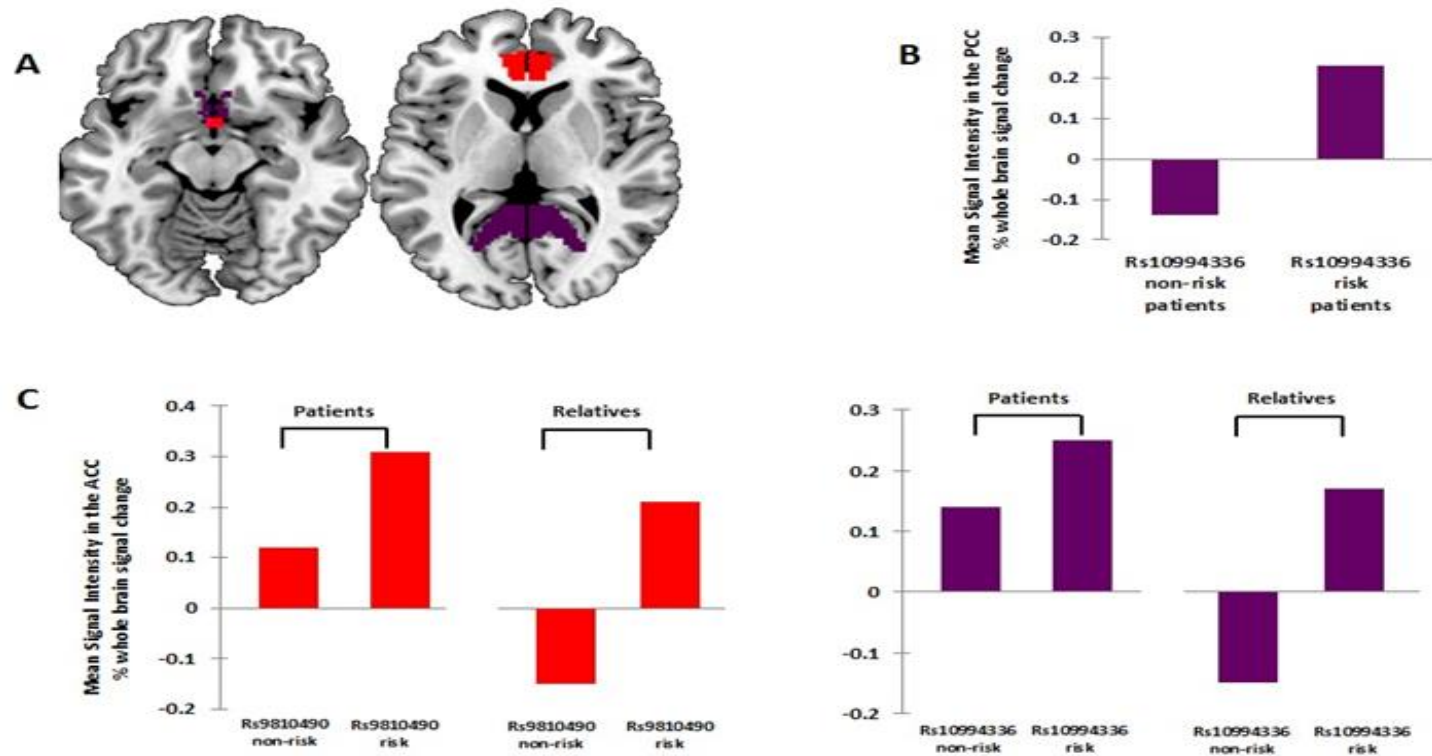
A. Rs984190 (red) and Rs10994336 (purple) risk associated patients and relatives show increased activation in overlapping regions of the ventral anterior cingulate cortex (ACC); Additionally Rs10994336-risk associated patients showed increased activation in posterior cingulate cortex (PCC). B. Mean signal change in the PCC seen in patients only. C. Mean signal change in the ACC in patients and relatives.

Supplemental Material

Supplemental Table 5-S1 Effect of ANK3 rs10994336 genotype (risk-allele T)

	Effect of Genotype		Effect of Group by Genotype					
	Risk Associated TT+CT	No-risk Associated CC	Risk Associated TT+CT			No-risk Associated CC		
			Unrelated Healthy Individuals	BD patients	Healthy Relatives	Unrelated Healthy Individuals	BD patients	Healthy Relatives
	N = 40	N = 72	N = 14	N = 16	N=10	N = 32	N = 25	n=15
Demographic Variables								
Age(years)	39.9 (13.1)	42.8 (12.28)	40.6 (12.2)	42.0 (10.7)	40.8 (8.3)	39.3 (12.3)	43.3 (12.3)	38.3 (13.7)
Sex (Male/Female)	21/19	34/38	7/7	9/7	4/6	18/14	11/14	7/8
Clinical Features								
HDRS total score [a]	2.1 (4.3)	0.5 (0.81)	0.4 (0.9)	5.3 (4.6)	0.3 (0.6)	0.1 (0.4)	1.5 (0.9)	0.1 (0.5)
YMRS total score [a]	0.7 (2.1)	0.1 (0.3)	0.2 (0.4)	1.6 (2.9)	0.0 (0.0)	0.2 (0.6)	0.7 (1.4)	0.0 (0.0)
BPRS total score [a]	25.6 (3.2)	24.9 (1.0)	24.8 (1.1)	27.3 (4.3)	24.7 (1.1)	24.2 (0.6)	25.9 (1.9)	24.1 (0.3)
Cognitive Performance								
IQ	117.2 (17.6)	116.9 (16.1)	110.7 (12.9)	112.3 (16.2)	112.0 (20.4)	116.7 (14.5)	121.7 (16.3)	118.3 (18.5)
3-back accuracy (%) [b, c]	78.7 (23.1)	66.9 (29.8)	70.5 (19.8)	73.3 (36.5)	83.8 (22.8)	75.1 (24.5)	67.2 (23.5)	90.78 (14.9)
3-back response time (sec)	0.84 (0.38)	0.77 (0.33)	0.99 (0.37)	0.68 (0.23)	0.54 (0.21)	0.86 (0.46)	0.94 (0.36)	0.75 (0.21)

Except for sex, all data are presented as mean (standard deviation); **BD**= Bipolar Disorder; **BPRS**= Brief Psychiatric Rating Scale; **HDRS**=Hamilton Depression Rating Scale; **YMRS**=Young Mania Rating Scale. [a] BD carriers of the risk-allele > unrelated healthy individuals, relatives, P<0.02; [b] relatives> unrelated healthy individuals, P=0.003; relatives> BD Patients, P = 0.003; [c] Group by Genotype Interaction, P = 0.01.

Supplemental Table 5-S2 Effect of ANK3 rs9810490 genotype (risk-allele C)

|	Effect of Genotype		Effect of Group by Genotype					
	Risk Associated CC	No-risk Associated TT+CT	Risk Associated CC			No-risk Associated TT+TC		
			Unrelated Healthy Individuals	BD Patients	Healthy Relatives	Unrelated Healthy Controls	BD Patients	Healthy Relatives
	N = 63	N = 49	N = 28	N = 21	N = 14	N = 18	N = 20	N = 11
Demographic Variables								
Age(years)	38.8 (13.6)	43.9 (12.1)	40.1 (13.26)	43.5 (12.51)	40.2 (14.3)	40.1 (11.9)	44.8 (9.5)	39.2 (13.8)
Sex (Male/Female)	29/34	29/20	14/14	8/13	7/7	11/8	12/9	6/5
Clinical Features								
HDRS total score [a]	1.7 (3.9)	2.1 (4.1)	0.1 (0.4)	5.5 (5.6)	0.1 (0.1)	1.2 (3.3)	4.5 (5.4)	0.07 (0.3)
YMRS total score [a]	0.7 (2.1)	0.5 (1.8)	0.2 (0.5)	2.0 (3.5)	0.0 (0.0)	0.06 (0.25)	1.2 (2.7)	0.0 (0.0)
BPRS total score [a]	25.8 (1.5)	25.1 (1.9)	24.3 (0.6)	29.3 (5.0)	24.2 (0.6)	24.7 (1.0)	26.3 (2.7)	24.1 (0.3)
Cognitive Performance								
IQ	111.8 (15.6)	120.4 (18.7)	117.6 (16.3)	114.1 (13.9)	108.5 (18.1)	119.4 (16.5)	118.3 (21.5)	122.9 (18.1)
3-back accuracy (%) [b, c]	72.02 (25.9)	83.01 (23.1)	67.1 (25.7)	73.5 (30.5)	85.6 (17.0)	85.8 (26.1)	62.5 (23.2)	92.5 (14.2)
3-back response time (sec)	0.76 (0.48)	0.58 (0.42)	0.85 (0.50)	0.61 (0.49)	0.70 (0.37)	0.81 (0.51)	0.41 (0.44)	0.60 (0.29)

Except for sex, all data are presented as mean (standard deviation); **BD**= Bipolar Disorder; **BPRS**= Brief Psychiatric Rating Scale; **HDRS**=Hamilton Depression Rating Scale; **YMRS**=Young Mania Rating Scale. [a] BD carriers of the risk-allele > unrelated healthy individuals, relatives, $P<0.02$, relatives> unrelated healthy individuals, $P = 0.003$; relatives> BD Patients, $P= 0.003$; [c] Group by Genotype Interaction, $P = 0.01$.

**Supplemental Table 5-S3 Brain regions showing significant effect of group in the 3-back vs 0-back contrast**

Gyrus	Laterality	Brodmann Area	Talairach and Tournoux Coordinates			z-value
			x	y	z	
Patients with BD > Healthy unrelated controls						
Anterior Cingulate	Left	24/32	-14	46	6	3.56
	Right		*10*	*26*	*-6*	*3.49*
Superior Temporal	Right	22	54	1	-4	3.93
Middle Temporal	Right	21	62	-8	-4	4.25
Patients with BD < Healthy unrelated controls						
Middle Frontal	Left	9	-34	14	40	3.70
	Right	10	38	56	-8	3.26
Patients with BD < Healthy relatives						
Middle Frontal	Left	9	-42	20	34	4.46
	Right	9	40	32	34	4.01

Suprathreshold clusters significant $p < 0.05$ Family wise correction; x=sagittal; y=coronal; z=axial.

Supplemental Figure 5-S1 Box plot with the mean signal change in the posterior Cingulate Cortex in subjects carriying the ANK3 rs10994336.
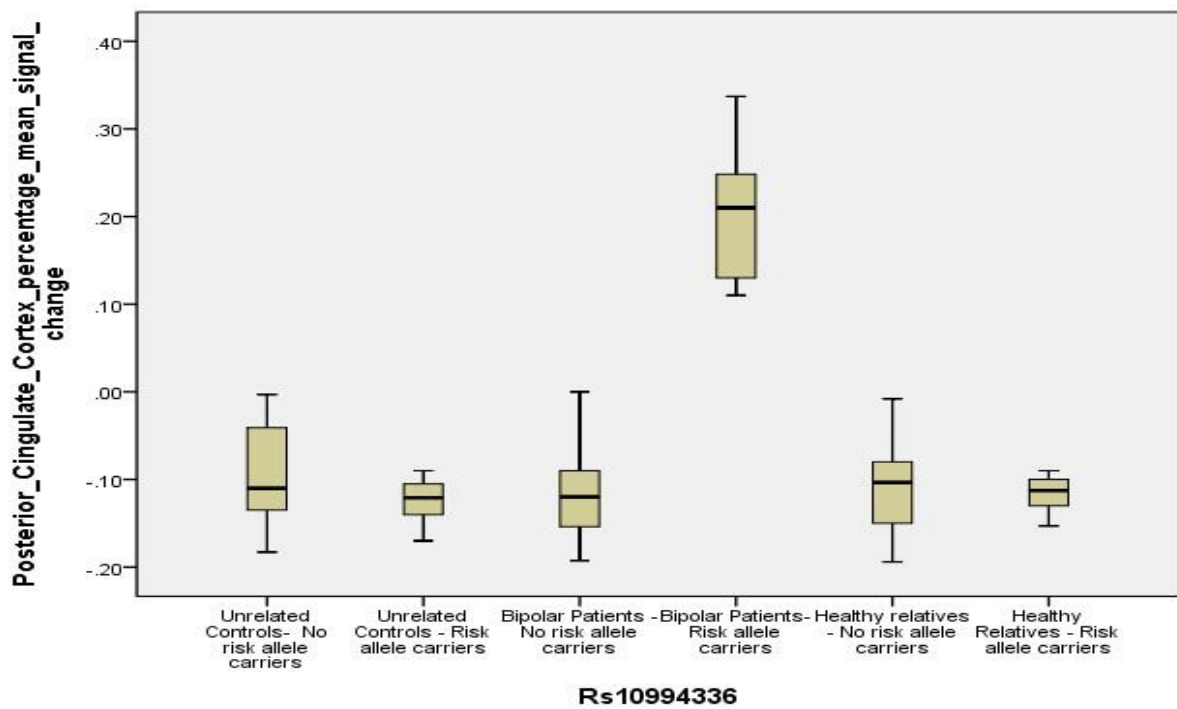
Supplemental Figure 5-S2 Box plot with the mean signal change in the Anterior Cingulate Cortex in subjects carriying the ANK3 rs10994336.
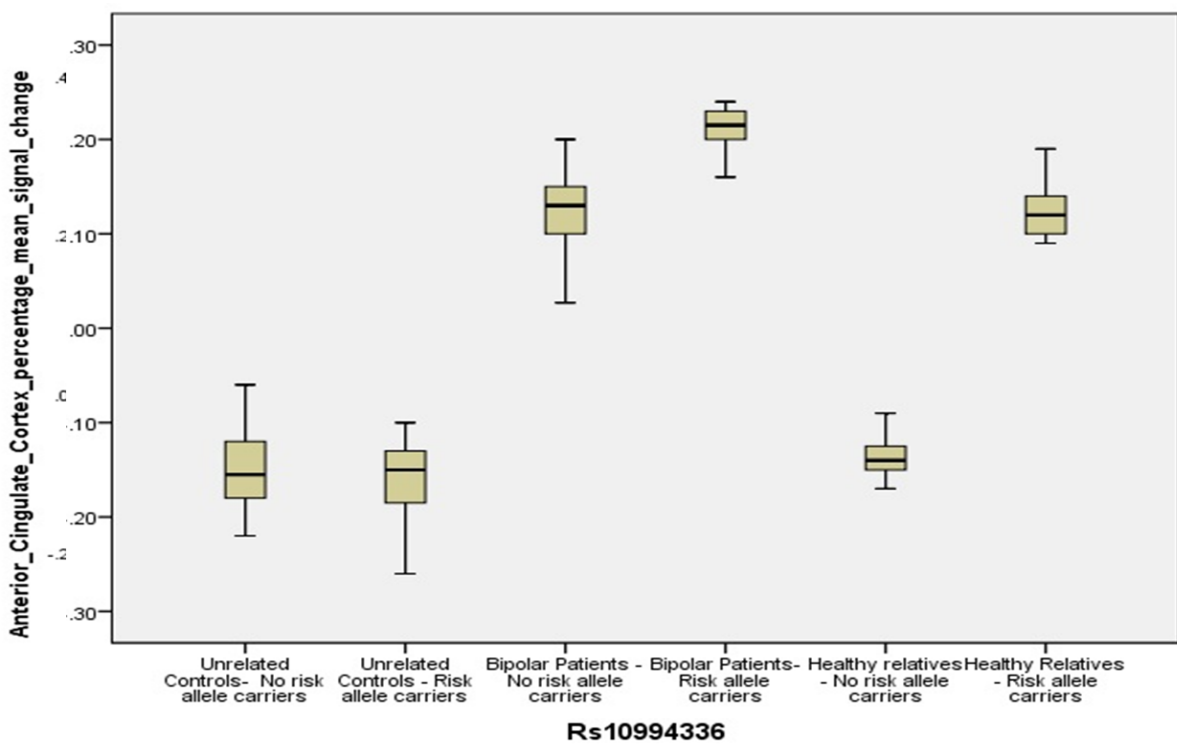
Supplemental Figure 5-S3 Box plot with the mean signal change in the posterior Cingulate Cortex in subjects carriying the ANK3 rs9810490.