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# A simplified mathematical model for thrombin generation

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## 3 Abstract

4 A new phenomenological mathematical model based directly on laboratory data for thrombin 5 generation and having a patient-specific character is described. A set of the solved equations 6 for cell-based models of blood coagulation that can reproduce the temporal evolution of 7 thrombin generation is proposed; such equations are appropriate for use in Computational 8 Fluid Dynamics (CFD) simulations. The initial values for the reaction rates are either taken 9 from already existing model or experimental data, or they can be obtained from simple 10 reasoning under certain assumptions; it is shown that coefficients can be adjusted in order to 11 fit a range of different thrombin generation curves as derived from thrombin generation 12 assays. The behaviour of the model for different platelet concentration seems to be in good 13 agreement with reported experimental data. It is shown that the reduced set of equations used 14 represents to a good approximation a low-order model of the detailed mechanism and thus it 15 can represent a cost-effective and-case specific mathematical model of coagulation reactions 16 up to thrombin generation

#### 17 Keywords:

18 CFD, coagulation, simulation, thrombus

19

## 20 Introduction

The formation of thrombus in blood is involved in a number of life threatening situations like Coronary Artery Disease and mechanical heart valve complications; it is a multi-scale phenomenon both in respect of time and space, involving a number of biochemical substances, blood circulating minerals and cellular responses. While the formation of blood clot is a physiological response of human body to vessel injury, it can be initiated when blood

contacts certain substances like those exposed after the rupture of atheromatous plaques in 26 27 stenosed vessels [Rauch, Osende et al. 2001] and when pathological flow conditions prevail 28 in a region [Nesbitt, Westein et al. 2009]. Between the initiation and the formation of a thrombus, a series of enzymatic reactions takes place also known as coagulation cascade 29 30 [Furie and Furie 2008], classically divided in three parts: (1) the extrinsic or Tissue Factor 31 (TF) pathway, (2) the intrinsic or contact pathway and the (3) common pathway. In every 32 step of the process a circulating zymogen is activated, with the activation reaction being 33 catalysed by the products of previous steps. However, as most of these enzymatic reactions 34 take place on cell membranes, the current approaches for coagulation are cell-based models 35 and the process is divided in three discrete phases, initiation, amplification and propagation. 36 Thrombin (factor IIa) and platelets play critical roles in the coagulation process. Thrombin in 37 the final step catalyses the conversion of fibrinogen (factor I) to fibrin (factor Ia), a protein 38 that through polymerization creates a mesh clot that also traps circulating blood cells. In 39 addition, thrombin activates factor XIII (that forms bonds that crosslink the fibrin strands 40 [Mosesson 2005]), causes the activation of platelets [Brass 2003], the activation of factors V 41 and VIII and their inhibitor protein C (APC). Platelets on the other hand, after activation by 42 chemical or mechanical stimulation [Jesty, Yin et al. 2003] become adhesive and form 43 aggregates on the materials exposed after arterial damage [Badimon, Badimon et al. 1986] or 44 plaque rapture [Fernández-Ortiz, Badimon et al. 1994; Reininger, Bernlochner et al. 2010] or 45 in flowing blood. In addition they play a major role in thrombin formation [Rosing, van Rijn] et al. 1985; Monroe, Hoffman et al. 2002] and they enhance the coagulation process by 46 47 supporting on their membrane some of the coagulation reactions [Smith 2009], releasing 48 chemical substances and micro-particles [Rendu and Brohard-Bohn 2001] that influence the 49 progress of coagulation and activate other platelets.

50 T

The advance of computational techniques and increase of computational power have made

51 possible the emergence of in silico studies that reproduce a part of or the whole process in 52 greater or lesser detail, simulating either the whole process of thrombus formation or only the 53 coagulation reaction system up to thrombin or fibrin production. The first mathematical simulation of thrombin and fibrin generation in plasma used exponential time functions as 54 55 fixed inputs for concentration of some enzymes [Willems, Lindhout et al. 1991]. In vitro measurements of the reaction rate constants [Lawson, Kalafatis et al. 1994] were used for the 56 57 development of a system of 20 reactions, including formation and breakage of complexes, in 58 a study that mainly focused on the effect of variation of the concentration of different factors 59 [Jones and Mann 1994]. A similar model was proposed for the intrinsic pathway including fibrin production and APC inhibition mechanism, and was used to investigate threshold 60 61 values for some enzymes and the spatial propagation of coagulation from the reacting site due 62 to diffusion [Zarnitsina, Pokhilko et al. 1996; Zarnitsina, Pokhilko et al. 1996]. Subsequent 63 work included more chemical substances and biochemical processes [Hockin, Jones et al. 64 2002] up to thrombin production, resulting in a system consisting of 27 reactions and 42 65 reaction rate constants that later was combined with a Monte Carlo simulation method, in 66 order to detect changes to the cascade initiation behaviour, due to small variation of the concentration of enzymes induced by the stochastic approach [Lo, Denney et al. 2005]. At the 67 68 same time some studies used simulations to investigate a specific part of the coagulation 69 cascade, as the function of positive feedback loops and threshold concentrations for cascade 70 initiation[Beltrami and Jesty 1995], the triggering threshold with respect to Tissue Factor 71 Pathway Inhibitor (TFPI) [Xu, Hu Xu et al. 2005] or the inhibition mechanism of APC [Qiao, 72 Xu et al. 2004].

The studies that simulate thrombus formation and growth, simultaneously with blood flow
and concentration of related substances, necessitate a less detailed sub-model for the
coagulation cascade. While for the first studies of this kind the production rates of substances

were mainly modelled as fluxes or with the use of few reactions [Hubbell and McIntire 1986; 76 77 Folie and McIntire 1989], the increase of computational power allowed more complicated 78 multi-scale and multi-phase models to emerge, that include an integrated coagulation sub-79 model. The authors of [Kuharsky and Fogelson 2001] proposed an integrated model of 80 thrombus formation under flow conditions, taking into account the localization of reactions 81 on surfaces, with the inclusion of the available binding sites on cell membranes for enzymes 82 and using a system of 59 equations to simulate the coagulation system up to thrombin 83 generation. A study that modelled platelet-platelet and platelet-wall interaction as reversible 84 elastic links demonstrated the influence of these interactions on the flow field and predicted thrombus evolution and emboli formation [Fogelson and Guy 2004]. The initial model was 85 86 later improved with the addition of the APC mechanism and the transport of substances 87 between plasma and endothelium cells [Fogelson and Tania 2005]. The same concepts for 88 cells and reactions were combined with an immersed boundary method [Lai and Peskin 2000; 89 Peskin 2002] for modelling platelet movement and the interaction between platelet membrane 90 sites and chemicals or endothelium. The results of this micro-scale model were also used to 91 develop a continuous model for platelet aggregation (with platelets as continuous phase with 92 movement limitations) describing the alterations in blood flow due to the presence of 93 aggregated platelets [Fogelson and Guy 2008]. The macro-scale model was tested in 94 simulations with pulsating flow in an idealized two dimensional vessel bifurcation [Yang, 95 Lewis et al. 2004]. The continuous model, with coupling of flow with thrombus growth and 96 including flow and transport within the thrombus, was used to demonstrate the effects of flow 97 conditions and the quantity of TF exposed in thrombus growth [Leiderman and Fogelson 98 2011]. Anand et al [Anand, Rajagopal et al. 2003; Anand, Rajagopal et al. 2005] presented 99 another multi-process model that used a viscoelastic model to simulate flow for both free 100 vessel lumen and clot. This model also incorporated the activation of platelets due to

101 excessive shear stress and fibrin production and lysis. In a similar work, a model for the 102 viscosity of blood depending on fibrin concentration was proposed and used in a three-103 dimensional simulation of blood coagulation in a tube with a reacting site; in this study the 104 area where fibrin concentration exceeded a specific value interpreted as the area occupied by 105 the clot[Bodnár and Sequeira 2008]. In [Xu, Chen et al. 2008] another multi-scale model was 106 proposed that included a cellular pot model [Marée, Grieneisen et al. 2007] for discrete cells 107 and cell movement was simulated through an energy-based stochastic process. The 108 simulation involved differentiation of cell movements depending on fibrin levels and cell-cell 109 or cell-surface interaction and bonds. The model was used to evaluate the role of fVII in 110 venous thrombus formation due to vessel injury [Xu, Lioi et al. 2010] and to examine the 111 impact of pulsating flow and the non-Newtonian characteristics of blood on thrombus growth 112 [Xu, Chen et al. 2009].

113 While the detailed description of coagulation included in these works makes them appropriate 114 for studying the influence of different factors, unfortunately it increases dramatically the 115 computational cost; thus published applications mainly refer to small two dimensional 116 regions (~100µm) while the dimensions of computational regions for studying thrombus 117 formation in a coronary artery or in mechanical heart valves are much larger (typically, the 118 diameter of the coronary artery is about 4mm while the diameter of the aortic root is of some 119 cm) with the flow distribution being three-dimensional and strongly time dependent 120 preventing use of simplified flow models. In addition, these models do not have patient-121 specific characteristics, as the use of reaction rate constants derived from experiments do not 122 allow the significant variability of thrombin generation observed for different individuals 123 [Oliver, Monroe et al. 1999]. At the same time, it has been shown that the resulting thrombin 124 generation curve predicted by such models under steady state conditions can be simulated in 125 different ways by a much simpler system of 6 equations [Wagenvoord, Hemker et al. 2006].

As the process between the initial stimulation and the formation of thrombin consist the main part of the coagulation reactions, our motivation is to develop a phenomenological model for thrombin formation that would be efficient enough to be used with three dimensional Computational Fluid Dynamic (CFD) simulations while also being adjustable in order to reflect measured differences existing in data of different individuals. A case-specific simplified model like this, though not including the full biochemical details of the process, could be used for comparison of different cases of clinical interest.

## 133 Materials and methods

134 The aim of the study is to propose a set of equations that describe the thrombin generation in 135 blood using the minimum possible number of parameters but which are able to describe with 136 acceptable accuracy the whole process. The model is validated against experimental results 137 for thrombin generation in vitro from Thrombin Generation Assays (TGA). The proposed 138 model for thrombin production is based on the cell-based models of coagulation[Smith 2009]; 139 the full description of the biochemical processes are mainly based on [Hoffman and Monroe 140 2001]. For a detailed modelling of coagulation the localization of the different reactions 141 makes the task more complicated, as it requires taking into account additional parameters 142 such as the binding rate of the substances on cell membranes and the expression, 143 concentration and availability of appropriate binding sites on the cell surfaces. For the 144 development of a simplified model however, it can function as an advantage, as the processes 145 can be grouped in respect to the location they occur (on platelet surface, on the vessel wall or 146 in plasma). This approach is rigorous in cases where the transport of reactants is mainly due 147 to convection such as arterial flow conditions, or in cases where the different species are well 148 mixed. The generation of thrombin and generally the coagulation process is mainly attributed 149 to activated platelets, while the initiation phase is localized on the reacting site of the vessel 150 surface. The burst of thrombin generation is considered to occur when the small amounts of

thrombin produced during the initiation phase cause thrombin concentration to exceed a
threshold value. The threshold values used are within the range of thrombin concentration
values that have been reported in [Rosing, van Rijn et al. 1985] as being capable of causing
platelet activation, 0.5 to 1.2nM of thrombin (0.05 to 0.12U/ml). The equations of the model
are shown in Table 1. The initial values for the reaction rate constants, shown in Table 2, are
taken either from already existing models or from experimental studies.

157 As the proposed model used a reduced number of 4 reactions, each reaction rate represents 158 the integral effect of more than one actual process. Also, 'activated platelets' actually 159 represent platelet activity, in the sense that 100% activated platelets implies maximum 160 platelet activity rather than the fact that all platelets are activated. Figure 1a illustrates the 161 actual biochemical reactions that influence each constant while Figure 1b the reduced model. 162 The model is structured as follows: During the initiation phase thrombin generation is 163 described by a slow first order reaction. This reaction, which is localized on the TF-bearing 164 cells, is used to describe the whole pro-coagulant activity that occurs on the TF-bearing cells 165 - formation of the TF-VIIa complex, activation of factors IX, X and V. In this reaction is also 166 included the inhibition of the amounts of IXa, Xa that leave the cell surfaces by TFPI in plasma. The initial value for the reaction rate constant was estimated using the lag times 167 168 reported in [Lawson, Kalafatis et al. 1994; van't Veer and Mann 1997]. Inhibition of thrombin 169 during this phase is also modelled as a first-order reaction, with the value of the reaction rate 170 constant for thrombin inhibition calculated from the IIa ·ATIII inhibition reaction, using the initial bulk concentration of ATIII ( $k_{in} = k_{in,ATIII} \cdot \varphi_{ATIII,0} = 1.71 \cdot 10^{-2} s^{-1}$ ), as this 171 172 reaction is the major process of thrombin inhibition .(Thrombin inactivation is 77% by antithrombin, 14% a<sub>2</sub> macroglobulin and 9% by minor inhibitors [Hemker and Béguin 1995]). 173 174 With this setup for the initiation phase, the model describes the threshold behaviour of the 175 initiation of blood coagulation in respect to TF concentration[Okorie, Denney et al. 2008;

176 Shen, Kastrup et al. 2008], as there is a minimum value of activation constant capable of

177 causing thrombin burst and, as this constant is related to the TF concentration (see

178 discussion), a threshold value for TF concentration.

179 Beyond the point that thrombin concentration has reached the threshold value, the conversion 180 of prothrombin to thrombin is mainly attributed to platelets after their activation. This process 181 is modelled as a second-order reaction, with the initial values for the reaction rate constant 182 used from [Rosing, van Rijn et al. 1985; Sorensen, Burgreen et al. 1999]. Platelets can be 183 activated either by thrombin, if its concentration is greater than the threshold value, or 184 directly by other activated platelets. Thrombin in concentration about 1nM can initiate the 185 activation of platelets [Liu, Freedman et al. 1994], from 0.5nM for minimum activity to 186 1.2nM for maximal [Rosing, van Rijn et al. 1985]. Platelet activation by thrombin is 187 modelled as a first-order reaction that is initiated when thrombin concentration reaches the 188 threshold value. The activation of platelets by activated platelets represents the activation by 189 platelet-released substances and is modelled as a second order reaction. the reaction rate 190 constants for the activation of platelets used were found in [Kuharsky and Fogelson 2001]. In 191 the case of TF induced coagulation the contribution of the later reaction is negligible, but it 192 can make the model capable of being used to describe shear induced coagulation.

193

Inhibition of thrombin during the propagation phase is again modelled as a first-order reaction. The reaction rate constant for the inhibition of thrombin in plasma is not the same as for the initiation phase; here we must note that this constant is significantly smaller than the one used in [Leiderman and Fogelson 2011] for modelling this reaction in the same manner, which was based on thrombin half-life in plasma. However, as the activation of protein C that acts as an inhibitor to the coagulation process mainly occurs on the endothelium cells, for the

200 areas near the endothelium cells the value used for thrombin inhibition is larger, with the 201 exact value derived from the adjustment of the model with the use of TGA results. As 202 demonstrated in Figure 2, the model using the initial parameter values gives reasonable 203 results. By adjusting the values of the constants and parameters of the model within 204 physiological limits, the model can reproduce, to a good level of accuracy, actual thrombin 205 production, by considering the four main parameters of a thrombin generation assay: lag-time 206 (Tlag), maximum concentration (Cmax), time until thrombin concentration reaches the 207 maximum (Tmax) and the estimated thrombin potential (ETP); the last one is represented by 208 the surface under the curve in a thrombin concentration vs time graph. The initial attempts of 209 adjustments were performed manually, but in general the adjustment can be done using the 210 following procedure and assumptions.

Platelet response is very fast compared to the other processes included in the model
[Frojmovic, Mooney et al. 1994; Frojmovic, Mooney et al. 1994]. With the approximation
that all platelets are activated as soon as thrombin concentration reaches the threshold value,
the system of the equations can be analytically solved, giving the equation that describes
thrombin concentration through time. This equation has the general form (see appendix 1a):

$$[IIa](t) = \frac{B_i}{A_i - C_i} (e^{A_i t} - e^{C_i t}) = F(t)$$

The equation is similar to the equation proposed in [Wagenvoord, Hemker et al. 2006] but in a non-uniform platelet distribution the results will vary in space. Here the constants A, B and C depend both on the model parameters and TAG results, and they have different values for the initial phase and the propagation phase.

220 Using the parameters of the TGA and this function we obtain the equations

$$F(Tlag) = [IIa]_{thr}$$

$$F(Tmax) = Cmax$$
$$\frac{dF}{dt}(Tmax) = 0$$

\_ / \_

$$\int_0^\infty F(t)dt \cong \int_{Tlag}^{t\infty} F(t)dt = ETP$$

From this set of equations the constants of the model can be approximated numerically, making the resulting model equations able to reproduce with the curve of a TGA with given parameters. In most of the following results only the constants related to thrombin production  $k_{II}^{AP}$ ,  $k_{surf}$ ,  $k_{in}$  (see Table 1) were adjusted. In case data from the whole curve is available,

# the constants can be approximated as described in appendix 1b.

## 226 Results

227 We first applied the model using the initial values for the constants; while the resulting curve 228 has the shape of a typical thrombin generation curve, the actual values were significantly 229 higher than the typical results of TGA for fresh platelet rich plasma as reported in 230 [Gerotziafas, Depasse et al. 2005]. Performing simulations for different time steps, we found 231 that the results of the model are identical for time steps below 0.5s (Figure 2). As a whole 232 heart cycle at rest conditions is about 0.8s (for 75 bpm), the maximum magnitude of the time 233 steps for simulating pulsating flow is much smaller, thus in terms of temporal discretization, 234 the coupling of the model with CFD simulations can be straightforward.

235 Subsequently we applied the model in 8 different cases, denoted as Case1-8. In the plots,

when both model and experimental results are represented, model results are named 'C#

237 model' and the experimental results 'C# exp'. The equations for the adjustment of the

238 constants were solved in MATLAB, while the adjustment of the platelet related constants was

done manually using Excel and Systems Biology Toolbox 2 [2006]. Case 1 represents the

240 tuning of the model constants in order to reproduce typical TGA results as reported in 241 [Gerotziafas, Depasse et al. 2005] and the resulting curve (C1 model) is shown in Figure 3 242 and Figure 4, compared with curves representing slower thrombin generation. In the absence 243 of inhibition (case 2 and case 3), the time interval between the end of the initiation phase and 244 the moment that thrombin concentration reaches its maximum value is 2-4 min, depending on 245 the constant for thrombin activation by activated platelets, and the results match approximately the experimental thrombin production curves found in [Lawson, Kalafatis et 246 al. 1994] (Figure 5). 247

248 The next test (Case 4) involved adjusting the model constants in order to fit an arbitrary thrombin generation curve. The experimental curve was found in [Hemker, Giesen et al. 249 250 2003]. Figure 6, shows that the model predicts the experimental curve with good accuracy. 251 For future application of the model under flow conditions characterised by non-uniform concentrations of platelets, it has been considered important to test its behaviour with given 252 253 constants for varying concentration of platelets near to the physiological values. We adjusted 254 the constants so that the model approximated the TGA results for platelet concentration 150 x  $10^9$  pl/L (physiological values are 200-400 x  $10^9$  pl/L) and then applied the model with the 255 same setup for two other values of platelet concentrations; Cases 5-7 use the same values for 256 reaction rate constants with platelet concentration 400, 150 and 100 x  $10^9$  pl/L respectively. 257 258 The results shown on Table 3 are within the range of values reported in [Gerotziafas, Depasse 259 et al. 2005] although the dependence of maximum concentration of thrombin on platelet 260 concentration seems a little stronger than for the in vitro experiments. The resulting curves 261 are shown in Figure 3. Further increase of platelet concentration resulted in higher values for 262 maximum thrombin concentration and small decrease of Tmax, without significant effect on 263 ETP. If the constants describing platelet activation are also suitably modified, the equations 264 can match curves that depict slower thrombin formation like the ones reported in [Allen,

Wolberg et al. 2004] for lower platelet concentrations (75 x  $10^9$  pl/L) as shown in Figure 4.

266 The values of the model constants after modification, in order to fit different cases, are shown

in Table 4 and for all cases the values are within a reasonable range.

268 **Discussion** 

269 This work presents a phenomenological model for thrombin generation that is flexible

enough to reproduce a wide range of cases and simple enough to be used for modelling

thrombin generation in large scale CFD simulations – in contrast with the most recently

suggested models. The four equations of the model are based on the principle assumption that

all reactions occur either on the platelet surface or on the reacting site of the vessel wall.

274 The reactions related to platelet activation actually represent the transition from the initiation 275 to the propagation phase. As the curves used to reproduce a wide range of TGA results 276 correspond to different experiments, these constants vary significantly between two groups of 277 Cases. In the experiments for Cases 1-4, where phospholipids have been used as a substrate for enzymatic reactions, this transition is much faster than for Cases 5-8 where human 278 279 platelets have been used. For Cases 5-8 the values of these constants have been adjusted 280 manually. It is interesting that the calculated time until platelets reach half of the maximum 281 activity for Case 8 is 5-10 min, in agreement with [Allen, Wolberg et al. 2004]. However, as 282 reported recently [Ninivaggi, Apitz-Castro et al. 2012], TGA results in whole blood resemble 283 the curves of Case 1 and 4, (possibly because red blood cells that are not included in most 284 TGA also contribute to thrombin generation [Ninivaggi, Apitz-Castro et al. 2012; Whelihan and Mann 2013]) so this modification of the parameters related to platelet activation is not 285 286 necessary for physiological cases and the model can be calibrated as described above. On the 287 other hand with the use of this modification the model could also approximate pathological 288 cases as haemophilia or thrombin generation after anticoagulation treatment. In contrast to

289 the methods described and reviewed in [Brummel-Ziedins 2013] where the effect of the 290 variation of each factor concentration and activity is investigated, this model uses only the 291 information included in the TGA curve, thus it has some limitations. For a given value of 292 inhibition reaction rate, has a maximum lag time that it can reproduce if the reported lag time 293 is greater than this maximum value, modification of the inhibition constant is required. 294 Curves corresponding to pathological situations as severe haemophilia A [Wagenvoord, 295 Hemker et al. 2006]) can be approximated. As factor VIII is mainly involved in the 296 propagation phase, it is expected that in order to reproduce curves for different fVIII concentrations the related constants  $(k_{in}, k_{AP}^{IIa} and k_{IIa}^{AP})$  should be modified. As shown in 297 298 Figure 8, this can by done ( (the concentrations of VIII have been calculated using 12.8 hours 299 as half-life of fVIII [van Dijk, van der Bom et al. 2005]). `At first sight, the most significant 300 inaccuracy of the model (when the initial values of the parameters are used) is that the time 301 interval between the initiation of thrombin burst and maximum concentration of thrombin is 302 smaller than the one reported in TGAs (2.8min compared to 2min predicted by the model) 303 when adjusting only the three previously mentioned parameters. The increase of thrombin 304 concentration predicted by the model is sharper compared to the experimental data and the 305 shapes of the two curves differ for this time interval. That can be fixed by adjusting manually 306 the constants related to platelet activation as we did for Cases 4-8. However the experimental 307 data, while in all studies presented in the form of curves, in some cases actually correspond to 308 measurements in discrete time intervals and the resulting curves are obtained through data 309 fitting. In Figure 7 a plot of three curves is shown, for the time interval of thrombin 310 concentration increase, obtained from the same model results. In one case (dense) the time 311 interval between two data points is 2s, while for the other two cases (sparse1 and sparse2) is 30s and 20s respectively -reasonable time intervals between the withdrawal of two samples. 312 313 It is obvious that curve fitting on experimental values, used in studies prior to the introduction

of continuous monitoring of thrombin generation, while making the results more presentable
can also give incorrect information on the actual evolution of the process between two
measurements, so differences between modelled and experimental curves do not necessary
indicate inadequacy of the model.

The constant that represents the initial thrombin production rate  $k_{surf}$  (for a given value of the inhibition rate) is mainly determined by the lag time. While there is dependence of different experiments' results on the exact composition of the samples and the triggering substance used, for the case described in [van't Veer and Mann 1997; Gerotziafas, Depasse et al. 2005], there seems to be a clear relationship (R<sup>2</sup>=0.989) between TF concentration and  $k_{surf}$  for the same experimental conditions and TF concentrations between 1 and 30pM:

$$k_{surf} = k_{surf,max}(A + Bln\left(\frac{[TF]}{[TF_{max}]}\right))$$

The constant values are  $A = 0.996 \approx 1$ , B = 0.0372 while for the aforementioned study the maximum calculated value for the rate of thrombin generation during the initiation phase is  $k_{surf,max} = 7.91 \cdot 10^{-6} s^{-1}$  and the relationship can approximately be written as:

$$k_{surf} = k_{surf,max}(1 + 0.0372 \cdot \ln\left(\frac{[TF]}{[TF_{max}]}\right))$$

These relationship gives good result when estimating  $k_{surf}$  in other studies with similar methodology, but for studies using recombinant TF:VIIa as trigger for the coagulation process, while the a good correlation between  $k_{surf}$  and trigger concentration can be established, (R<sup>2</sup>>0.98) the resulting formulas are different.

For the case of thrombus formation on a reacting site of a blood vessel wall, the termrepresenting the slow phase of thrombin generation corresponds to a surface reaction term.

333 The reaction rate for the surface reaction can be calculated with the use of the reported surface TF concentration in atheromatous plaques (33pg/cm<sup>2</sup>) [Bonderman, Teml et al. 2002] 334 and the molecular weight of (46,000Da approximately [Arabinda Guha 1986]). For a 335 computational cubic cell of e.g. 100µm this numbers would lead to a TF concentration of 336 about 70pM resulting to  $k_{surf} = 9.75 \cdot 10^{-6} s^{-1}$ . Sub-threshold concentration of thrombin 337 338 actually represents also the products of the previous steps of coagulation. At the same time 339 this approach allows a slow rate of fibrin production before the burst of thrombin and a 340 realistic prediction of clotting time. As TGA results demonstrate inter-laboratory variation 341 [Van Veen, Gatt et al. 2008], this model, based on TGA results and with its simplified 342 character, does not claim to reproduce with precise accuracy thrombin generation but offers a 343 way to model thrombin generation that (1) has comparative value, in the sense that it can be 344 adjusted to describe different rates of thrombin generation, and (2) can be easily coupled with 345 CFD simulations. We believe that these equations, if combined with two more, one 346 describing fibrin formation and one describing platelet deposition on the reacting site of the 347 vessel, can be used as a thrombus formation model for three dimensional CFD simulations in 348 blood vessels. The results of such a model can be used to compare the evolution of thrombus 349 formation in different cases and for different thrombogenic potential of human blood. Finally, 350 as the model describes the production of thrombin in blood in a phenomenological way, it 351 does not require additional information regarding the concentration of different factors and 352 the details for every reaction in the coagulation system and it can be calibrated and applied 353 directly for different cases based only on the parameters or the curve of the TGA (or the curve itself). 354

### 355 **Declarations**

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359

360 (4,585 words)

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524	

- 525 Appendix: Equations' solution:
- a. Under the assumption that all platelets are instantly activated when thrombin reaches
- 527 its threshold value, the concentration of platelets is zero when thrombin concentration
- 528 is below the threshold value and equal to the resting platelet concentration when
- 529 thrombin exceeds the threshold values. The equations describing thrombin and
- 530 prothrombin concentration become:

$$\frac{\partial [IIa]}{\partial t} = -k_{in}[IIa] + k_{tot} \cdot [II]$$
$$\frac{\partial [II]}{\partial t} = -k_{tot} \cdot [II]$$

- 531 Here k<sub>tot</sub> represent the total rate of prothrombin conversion to thrombin and includes both the
- 532 production on the reacting site and on the platelet surfaces:

$$\begin{aligned} k_{tot} &= k_{surf} + k_{II}^{AP} \cdot [PL], if \ [IIa] > [IIa]_{th} \\ k_{tot} &= k_{surf}, \qquad \qquad if \ [IIa] \leq [IIa]_{th} \end{aligned}$$

533 This leads to a direct solution for prothrombin concentration:

$$[II](t) = [II](t=0) \cdot e^{-k_{tot} \cdot t}$$

534 The D.E. describing thrombin concentration becomes:

$$\frac{\partial [IIa]}{\partial t} = -k_{in}[IIa] + k_{tot} \cdot [II]_o \cdot e^{-k_{tot} \cdot t}, or \frac{dx}{dt} = Ax - CBe^{Ct}$$
  
where  $A = -k_{in}, B = [II]_o$  and  $C = -k_{tot}$ 

535 The equation can be rewritten as follows,

$$\frac{dy}{dt} = (A - C) \cdot y - CB, where \ y = x \cdot e^{-Ct}$$

536 The last expression, after manipulation leads to an (approximate because of the aforementioned

537 assumption) analytic solution for the temporal evolution of thrombin concentration (X):

$$X(t) = \frac{e^{Ct}}{A - C} \left[ \left( (A - C)X_0 e^{-Ct_0} - CB \right) e^{(A - C)t} + CB \right] \text{ or }$$

$$[IIa](t) = \frac{e^{-k_{tot} \cdot t}}{k_{tot} - k_{in}} \left[ \left( (k_{tot} - k_{in}) [IIa]_0 e^{k_{tot} \cdot t_0} + k_{tot} \cdot [II]_o \right) e^{(k_{tot} - k_{in}) \cdot t} - k_{tot} \cdot [II]_o \right]$$

538

539 For [IIa](t = 0) = 0 the equation gets the simplified form:

$$[IIa](t) = \frac{k_{tot} \cdot [II]_o}{k_{in} - k_{tot}} (e^{-k_{tot}t} - e^{-k_{in} \cdot t})$$

b. In the case the data from the whole curve is available, there is another way for

541 obtaining the model constants. The reaction rate is obtained by solving (numerically)

542 the last equation:

$$[IIa]_{th} - \frac{k_{surf} \cdot [II]_o}{k_{in} - k_{surf}} \left( e^{-k_{surf}Tlag} - e^{-k_{in} \cdot Tlag} \right) = 0$$

543 As the activated platelet concentration is approximately,

 $[AP] = [RP](0) \left(1 - e^{-(t - Tlag)k_{AP}^{IIa}}\right), t > Tlag$ 

544 Analytical expression can be obtained for prothrombin concentration versus time,

545  $[II](t) = [II](t = 0) \cdot e^{-k_{tot}(t) \cdot t}$  and therefore for the differential equation

546 describing thrombin evolution for t>Tlag,  $\frac{d}{dt}[IIa] = -k'_{in} \cdot [IIa] + k_{tot}(t) \cdot [II](t)$ 

547 and the constants can be obtained numerically using an iterative process.

## 548 List of Figures

549 **Figure 1**: Schematic representation of cell based model for blood coagulation (reproduced

from Smith et al 2009). (a) and the reduced model (b). The different coloured arrows

551 represent the actual processes that are lumped in each reaction rate constant . Red:

552 Inhibition of thrombin in plasma by ATIII and near the vessel wall by APC. Green: Platelet

activation by thrombin. Purple: thrombin production by activated platelets. Yellow:

554 Thrombin production in plasma near the reacting site on vessel wall, includes all the reactions

from binding of VIIa on TF up to the generation of small amounts of IIa and also (mostly) the

556 inhibition of all other species except thrombin. Turquase: Activation of platelets by

substances released by activated platelets such as ADP.

Figure 2: Results of the model for different time steps. For time steps below 0.5s the resultscoincide.

560 **Figure 3**: Behaviour of the model for different initial platelet concentrations.

561 **Figure 4:** Adjustment of the model in order to reproduce slower thrombin generation,

562 experimental data.<sup>1</sup>

- Figure 5: Model results for thrombin production without inhibition, adjusted to fit the results
   reported in<sup>27</sup>
- 565 **Figure 6:** Capability of the model to reproduce an arbitrary thrombin generation curve<sup>20</sup>
- 566 **Figure 7**: Dependence of the shape of the curve on the time interval between two data
- 567 points. While the initial results are the same curve fitting on data produces significantly
- 568 different curves
- 569 Figure 8: Reproduction of thrombin generation curves corresponding to different fVIII
- 570 concentrations and the normalized modification of the related constants.
- 571

# 572 **Tables, figures**

# 573 Table 1: Equations of the model

Thrombin (IIa)	$\frac{\partial [IIa]}{\partial t} = -k_{in}[IIa] + (k_{surf} + k_{II}^{AP} \cdot [AP]) \cdot [II]$
Prothrombin (II)	$\frac{\partial [II]}{\partial t} = -(k_{surf} + k_{II}^{AP} \cdot [AP]) \cdot [II]$
Activated Platelets (AP)	$\frac{\partial [AP]}{\partial t} = k_{AP}^{AP} \cdot [AP] \cdot [RP] + k_{AP}^{IIa} \cdot [RP]$
Resting Platelets (RP)	$\frac{\partial [RP]}{\partial t} = -k_{AP}^{AP} \cdot [AP] \cdot [RP] - k_{AP}^{IIa} \cdot [RP]$

# 575 Table 2: Constants and parameters

Process	Constant	Initial value (S.I.))	Reference	
	symbol			
Thrombin generation by activated platelets	k_II	$0.0856 - 1.81s^{-1}$	[Rosing, van Rijn et al. 1985; Sorensen, Burgreen et al. 1999]	
Thrombin generation on reacting surface	k <sub>surf</sub>	$10^{-5}s^{-1}$	n/a	
Platelet activation by thrombin	k <sup>IIa</sup>	$0, if [IIa] < [IIa]_{thr}$ $0.5, if [IIa] \ge [IIa]_{thr}$	[Kuharsky and Fogelson 2001]	
Platelet activation by activated platelets	k <sup>AP</sup> <sub>AP</sub>	5.24 $\cdot$ 10 <sup>-2</sup> s <sup>-1</sup>	[Kuharsky and Fogelson 2001]	
Thrombin inhibition*	k <sub>in</sub>	$1.71 \cdot 10^{-2} - 0.2s^{-1}$	[Hockin, Jones et al. 2002; Leiderman and Fogelson 2011]	
Thrombin concentration threshold for platelet activation	[IIa] <sub>thr</sub>	$1.75 - 4.18 \cdot 10^{-8} kg/kg$ (0.5-1.2nM or 0.05-0.12U/ml)	[Rosing, van Rijn et al. 1985]	

#### Tlag (min) Tmax (min) Cmax (nM) ETP (nM·min) experiment $3.6 \pm 0.8$ 7.4±1.8 164±50 1321±330 Case 1 model 3.7 6.8 165 1364 3.5 $(1.5 \cdot 10^3)$ experiment 1.5 n/a Case 2 $(1.5 \cdot 10^3)$ model 1.5 4 n/a $(1.5 \cdot 10^3)$ experiment 0.67 2.25 n/a Case 3 $(1.5 \cdot 10^3)$ model 0.65 2.38 n/a experiment 3.5 4.8 199 735 Case 4 model 3.7 4.6 196 710 experiment 5±0.5 11±2.7 161±38 1633±81 Case 5 9 model 190 1718 5.8 experiment 5.5±0.5 11±0.2 98±40 1316±255 Case 6 6 9.4 91 1370 model experiment 5.8±0.7 13±0.9 72±38 1135±300 Case 7 model 6 9.6 62 1118 experiment 15 27 101 2012 Case 8 model 13.3 25.3 102 1934

# 577 Table 3: Results of the model for different cases

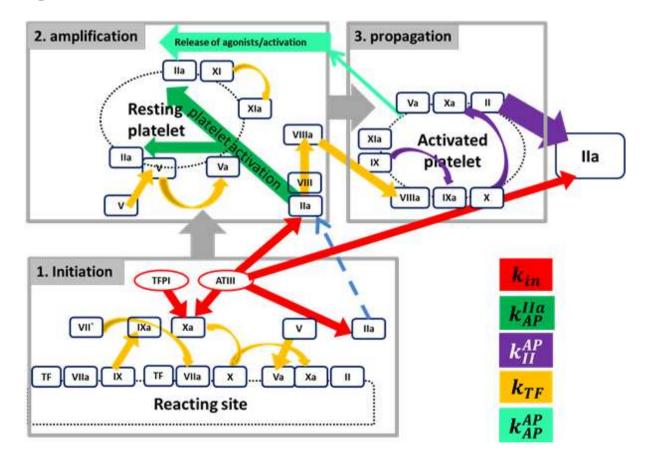
578

581 Table 4: The variation of the model constants after adjustment in order to reproduce different

# 582 cases

Constant	Initial estimations	Case 1	Case 2	Case 3	Case 4	Cases 5-7	Case 8
$k_{surf}(s^{-1})$	10-5	7.367·10 <sup>-6</sup>	9.162·10 <sup>-6</sup>	1.511.10-5	7.367·10 <sup>-6</sup>	7.223.10-6	4.06.10-7
$k_{II}^{AP}(s^{-1})$	0.0856 - 1.81	0.73	2.8	4	1.55	0.525	3.6
$k_{in}(s^{-1})$	$1.71 \cdot 10^{-2}$ - 0.2	0.032	0	0	0.052	0.0262	0.024
$k_{AP}^{IIa}(s^{-1})$	0.2 – 0.5	-	-	0.5	0.5	0.002	0.0018

# **Figure 1a**



# 586 Figure 1b

