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1 **Title**

2 Diagnostic accuracy of technologies for glaucoma case-finding in a community
3 setting

4

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21

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25

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40

41 **Conflict of interest**

42 Dr. Garway-Heath reports personal fees from Heidelberg Engineering, grants
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44 In addition, Dr. Garway-Heath has a patent ANSWERS pending.

45

46 **Running head**

47 Accuracy of technologies for glaucoma case-finding

48

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57 **This article contains additional online-only material. The following should**
58 **appear online-only: Table 1, Table 2, Table 4, Table 6, and Figure 3.**

59 **Abstract**

60

61 Purpose: To assess the case-finding performance of the Frequency Doubling
62 Technology perimeter (FDT), Moorfields Motion Displacement Test (MMDT),
63 iVue Optical Coherence Tomographer (OCT) and Ocular Response Analyser
64 (ORA), used alone or combined, for suspect and definite primary open angle
65 glaucoma (POAG).

66

67 Design: Cross-sectional, observational, community-based study.

68

69 Participants: 505 subjects aged 60 years and older recruited from a community
70 setting using no pre-defined exclusion criteria.

71

72 Methods: Subjects underwent 4 index tests conducted by a technician
73 unaware of subjects' ocular status. FDT and MMDT were used in
74 suprathreshold mode. iVue OCT measured ganglion cell complex and retinal
75 nerve fibre layer (RNFL) thickness. The reference standard was a full
76 ophthalmic examination by an experienced clinician, masked to index test
77 results. Subjects were classified as POAG (open drainage angle,
78 glaucomatous optic neuropathy, and glaucomatous field defect), glaucoma
79 suspect, ocular hypertension (OHT) or non-POAG/non-OHT.

80

81 Main Outcome Measures: Test performance evaluated the individual as unit of
82 analysis. Diagnostic accuracy was initially assessed using predefined cut-offs
83 for abnormality to generating sensitivity, specificity, and likelihood ratios.

84 Continuous data were used to derive estimates of sensitivity at 90% specificity,
85 and partial area under the curve of receiver operating characteristic (AUROC)
86 plots from 90% to 100% specificity.

87

88 Results: From the reference standard examination, 26 (5.1%) subjects were
89 POAG and 32 (6.4%) glaucoma suspects. Sensitivity (95% confidence interval)
90 at 90% specificity for detection of glaucoma suspect/POAG combined was
91 41% (28 to 55) for FDT, 35% (21 to 48) for MMDT, and 57% (44 to 70) for
92 best-performing OCT parameter (inferior quadrant RNFL thickness); for POAG,
93 sensitivity was 62% (39 to 84) for FDT, 58% (37 to 78) for MMDT, and 83% (68
94 to 98) for inferior quadrant RNFL thickness. The partial AUROC was
95 significantly greater for inferior RNFL thickness than visual-function tests
96 ($p < 0.001$). Post-test probability of glaucoma suspect /POAG combined and
97 definite POAG increased substantially when best-performing criteria were
98 combined for FDT or MMDT, iVue OCT and ORA.

99

100 Conclusions: Diagnostic performance of individual tests gave acceptable
101 accuracy for POAG detection. The low specificity of visual-function tests
102 precludes their use in isolation, but case-detection improves by combining
103 RNFL thickness analysis with visual-function tests.

104 Open angle glaucoma (OAG) is a major cause of visual morbidity, accounting
105 for 10.6% to 13.5% of blindness in high-income countries.¹ However,
106 epidemiological studies in developed countries consistently demonstrated that
107 approximately half of those with OAG remained undetected using current case-
108 finding strategies.²⁻⁸

109

110 OAG satisfies Wilson-Jungner criteria for the condition and treatment ideally
111 required to initiate a screening programme.⁹ In 2012, a Comparative
112 Effectiveness Review by the Agency for Healthcare Research and Quality
113 concluded that limited evidence existed on the effectiveness of screening for
114 OAG in adult populations.¹⁰ An earlier UK-based economic modelling study
115 reported that population screening at any age was not cost-effective, but
116 stronger evidence existed in support of targeted screening of high-risk
117 groups.¹¹ A strategy for improving screening cost-effectiveness was proposed,
118 involving initial technology-based assessment, allowing an enriched population
119 to be referred for office-based assessment by an ophthalmologist or
120 optometrist. In the context of case-finding for a low prevalence disease in the
121 general population, an ideal screening test must be simple, fast and combine
122 high specificity (above 90%), with acceptably high sensitivity. However, a 2008
123 systematic review found no single test, used alone or in combination, provided
124 sufficiently high accuracy for OAG detection.¹² The review highlighted a dearth
125 of high-quality diagnostic accuracy studies for OAG detection. In many cases,
126 reliability and applicability of study findings are limited by methodology, with
127 failure to satisfy the quality assessment of diagnostic accuracy studies
128 (QUADAS) criteria.¹³

129

130 This study aims to determine diagnostic accuracy of modern imaging and
131 visual function testing technologies, used alone and in combination, for
132 detecting OAG in a representative sample of the primary-care population,
133 compared to a reference standard ophthalmic examination including standard
134 automated perimetry (SAP). The study was designed, and findings reported in
135 accordance with Standards for Reporting of Diagnostic Accuracy (STARD)
136 criteria.¹⁴

137 **Methods**

138 This prospective cross-sectional study was conducted in one university-based
139 community eye clinic in London, UK, during 12 months from September 2012.
140 The study was approved by the institutional review board and adhered to the
141 Declaration of Helsinki tenets. All subjects provided written informed consent.
142 Males and females aged 60 years and older were recruited. Study information,
143 together with an invitation to participate, was distributed locally through
144 neighbouring optometry practices and community groups. To ensure a
145 representative sample of the eligible population, no pre-defined exclusion
146 criteria were specified; subjects with known POAG or other ocular morbidities
147 were included.

148

149 All subjects underwent a series of technology-based index tests, followed by a
150 reference standard ophthalmic examination on the same day. Figure 1 shows
151 the study flow diagram. Thresholds of abnormality for the index tests were
152 based on cut-offs commonly reported in previous literature, manufacturers'
153 suggested cut-offs, and comparisons with internal normative databases, and
154 were specified in the protocol prior to data analysis. The technology-based
155 assessment comprised four index tests and was performed by a single,
156 experienced technician with no prior knowledge of subjects' ocular status or
157 findings from the reference standard ophthalmic examination. All equipment
158 used for tests performed during the reference standard ophthalmic
159 examination and technology-based assessment was calibrated daily in
160 accordance with manufacturers' instructions, and examinations were

161 undertaken in dedicated research rooms based in the community eye clinic to
162 ensure a consistent and reliable testing environment over the 12-month period.

163

164 *Visual function tests (FDT and MMDT)*

165 The first generation frequency doubling technology (FDT; Carl Zeiss Meditec,
166 Inc., Dublin, CA) perimeter was used in C20-5 suprathreshold mode (software
167 version 4.00.0). Contrast thresholds are evaluated at 17 locations within the
168 central 20° of visual field. A detailed description of measurement principles has
169 been described elsewhere.¹⁵ An abnormal result was defined using two cut-
170 offs: a) one or more location(s) missed at the $p < 5\%$ significance level and b)
171 one or more location(s) missed at the $p < 1\%$ significance level. Further analysis
172 was performed using a scoring system described by Patel et al. which
173 allocates an overall score between 0 and 87 for each FDT result, giving
174 increased importance to more severe defects and locations missed closer to
175 fixation.¹⁶

176

177 The Moorfields motion displacement test (MMDT; Moorfields Eye Hospital,
178 London, UK) is a prototype perimeter based on a form of temporal hyperacuity,
179 in which subjects identify oscillation of a vertical bar, the threshold being the
180 smallest displacement seen. Testing was performed using the Enhanced
181 Standard Threshold Algorithm (ESTA) 99.5 suprathreshold program (Pandora,
182 software version v1.7.10) (see <http://www.moorfieldsmdt.co.uk/clinicians.asp>
183 for more details on MMDT technology). The test presents 31 stimuli on a
184 standard laptop LCD display. Displacements seen or not seen are recorded on
185 a pass-fail plot, and this information is used together with the ESTA spatial

186 filter to generate a probability plot that provides an estimate of the 'probability
187 of true damage' (PTD) between 0 and 100 at each test location. In the present
188 study, an abnormal plot was defined by the developers' recommended
189 threshold of a global PTD ≥ 3.0 .

190

191 The testing order between FDT and MMDT was randomized, and these
192 examinations were never performed in immediate succession. Tests were
193 repeated once if one or more locations were missed, or if the result was
194 unreliable (Table 1, available at www.aaojournal.org).

195

196 *iVue Spectral Domain OCT (SD-OCT)*

197 The iVue optical coherence tomographer (OCT; Optovue Inc., Fremont, CA) is
198 a compact version of the RTVue OCT. Diagnostic data for OAG detection were
199 obtained using the ganglion cell complex (GCC) protocol of the iWellness
200 scan, and glaucoma optic nerve head (ONH) retinal nerve fibre layer (RNFL)
201 scan patterns in software version V3.2.0.42 (details of scan protocols are
202 described elsewhere¹⁷). Scans were initially captured through undilated pupils
203 in dark-room illumination, and repeated following pupil dilation if data quality
204 failed to meet manufacturers' guidelines (8%, 81 of 1009 eyes).

205

206 Of the structural parameters for GCC and RNFL thickness, the overall mean,
207 superior hemifield and inferior hemifield thickness were analysed. RNFL
208 thickness was further evaluated by hourglass quadrant: temporal 316 to 45
209 degrees, superior 46 to 135 degrees, nasal 136 to 225 degrees, and inferior
210 226 to 315 degrees. GCC thickness data were also represented by two

211 additional parameters which analyse the pattern of GCC loss using differing
212 levels of focality: Global loss volume (GLV) and Focal loss volume (FLV).
213 Descriptions of procedures deriving these parameters have been reported
214 previously.¹⁸⁻²⁰ The defined cut-off for abnormality was any RNFL or GCC
215 parameter falling outside the 99% normal limit based on manufacturers'
216 integrated normal database.

217

218 *Ocular response analyzer (ORA)*

219 The ORA (Reichert Ophthalmic Instruments, Depew, NY, USA) is an air-puff
220 tonometer which uses a bi-directional applanation sequence to derive two
221 measures of corneal biomechanical properties: corneal hysteresis (CH) and
222 corneal resistance factor (CRF), and two intraocular pressure (IOP)
223 parameters: IOPg (Goldmann-correlated) and IOPcc (Cornea-compensated).²¹
224 A minimum of four measurements from each eye was acquired (software
225 version 3.01). The highest waveform score (WS) measurement was used for
226 analysis provided multiple measurements with similar graphical outputs had
227 been attained²² with a WS of 3.5 or greater.^{22, 23} IOPg or IOPcc above
228 21mmHg was defined as the cut-off for abnormality.

229

230 *Reference standard ophthalmic examination*

231 All subjects underwent a series of standard tests for glaucoma by an
232 experienced clinician, trained and validated in glaucoma according to UK
233 practice, and masked to results of the preceding index tests. Validation of the
234 reference standard examiner was confirmed by competency-based
235 assessment, with results being compared with classification by a consultant

236 glaucoma sub-specialist ophthalmologist. Kappa agreement for combined and
237 separate assessment of the optic disc and visual field ranged from 0.70 to
238 0.89.

239

240 Visual field testing was performed with the Humphrey Field Analyzer (HFA;
241 Carl Zeiss Meditec, Inc., Dublin, CA) and the Swedish Interactive Thresholding
242 Algorithm (SITA) 24-2 standard pattern (Model 720i, software version 5.1.2).
243 Where possible, HFA was repeated for unreliable results (false negative
244 responses or fixation losses >33%, false positive responses >15%) and
245 Glaucoma hemifield test (GHT) recordings of 'outside normal limits'. Following
246 full anterior segment assessment by biomicroscope, and measurement of IOP
247 by Goldmann Applanation Tonometer, eyes with a potentially occludable angle
248 identified by the van Herick test²⁴ were evaluated by gonioscopy. Detailed
249 posterior segment examination was performed through dilated pupils using
250 indirect ophthalmoscopy and fundus photography (Topcon TRC-NW8F).
251 Subjects were asked to complete a questionnaire regarding the acceptability of
252 each index test.

253

254 The following criteria were used for classification of subjects as definite POAG
255 or as glaucoma suspect based on observations from one or both eyes:

- 256 • Definite POAG: open anterior chamber angle, presence of glaucomatous
257 optic neuropathy (either localised absence of neuro-retinal rim, cup/disc
258 ratio (CDR) of ≥ 0.7 or inter-ocular asymmetry in vertical CDR of ≥ 0.2 in
259 similar sized discs) and the presence of a concordant glaucomatous field
260 defect based on criteria amended from Anderson and Patella²⁵ (a cluster of

261 ≥ 3 points on the pattern deviation plot having $p < 5\%$ with at least one point
262 with $p < 1\%$, none of which can be edge points unless located immediately
263 above or below the nasal horizontal meridian, AND pattern standard
264 deviation (PSD) $p < 5\%$, AND GHT 'outside normal limits').

265

266 • Glaucoma suspect: included 'disc suspects' showing features of
267 glaucomatous optic neuropathy but with normal or equivocal fields, and
268 subjects with visual field defects but without concordant disc damage (see
269 'Definite POAG' above for definitions of glaucomatous optic neuropathy
270 and visual field defects).

271

272 The ocular hypertension (OHT) case definition in this study for subjects not
273 taking IOP-lowering medication was based on measurement of IOP above
274 21mmHg on two separate occasions, with open anterior chamber angles and
275 neither visual field plots nor optic discs meeting the criteria for abnormality.

276

277 **Sample size calculation**

278 The sample size was based on an anticipated sensitivity of the index tests to
279 detect POAG (based on current case definitions) of 0.75^{12} with a minimal
280 acceptable precision of the sensitivity estimate of ± 0.25 with 0.95 probability.

281 This requires 42 POAG cases. Since prevalence of suspected and definite
282 POAG in the local elderly population would be approximately $10\%^{26}$ it was
283 estimated that at least 420 subjects needed to be recruited.

284

285 **Statistical analysis**

286 Statistical analysis was performed using SPSS 21.0 software
287 (www.ibm.com/SPSS_Statistics), Medcalc 14.8.1 (www.medcalc.org), and
288 STATA 13.0 (StataCorp. 2013. College Station, TX: StataCorp LP,
289 www.stata.com). Index data were analysed masked to findings from the
290 reference ophthalmic examination. Unreliable results acquired by visual
291 function tests (FDT and MMDT), and data from repeatedly poor quality ORA
292 and OCT acquisitions were removed from analysis. The unit of analysis was
293 the individual, and the comparison was between the most abnormal index test
294 result from either the right or left eye and the overall reference standard
295 classification.

296

297 Differences in mean values for demographic characteristics between
298 diagnostic groups were evaluated by ANOVA for normally distributed data, and
299 Kruskal-Wallis test for data with skewed distributions, each together with post-
300 hoc analysis. For all tests, $p < 0.05$ was considered statistically significant. Initial
301 diagnostic accuracy estimates of each index test to detect glaucoma
302 suspect/definite POAG combined and definite POAG were evaluated using the
303 predefined cut-offs for abnormality to generate sensitivity, specificity and
304 likelihood ratios with 95% confidence intervals. To compare index test
305 performance within a clinically relevant range for detection of a low prevalence
306 disease we determined the sensitivity at 90% specificity, and normalized the
307 partial AUROC curves to determine the average sensitivity²⁷ between 90% and
308 100% specificity. To test for any statistically significant differences between
309 sensitivity at set specificity, and partial AUROC curve estimates the Wald test
310 was used.²⁸ Best performing structural and functional criteria were combined in

311 series to calculate sensitivity and specificity values, and change from pre-test
312 to post-test probability estimates of a given subject having POAG were
313 determined using Bayesian reasoning.

314 **Results**

315

316 505 subjects entered the study (59% female and 41% male), aged between 60
317 and 92 years with median (interquartile range) age being 68 (59 to 77) years.
318 Self-reported ethnicities were 88% White, 8% South Asian, 2% Black, 1%
319 Chinese, and 1% 'other'. Based on the reference standard examination, 26
320 (5.1%) subjects were classified as definite POAG, 32 (6.4%) glaucoma
321 suspect, and 17 (3.4%) OHT. Using Hodapp-Parrish-Anderson criteria,²⁹ 11
322 (42%) definite POAG cases were classified as early, 6 (23%) as moderate and
323 9 (35%) as advanced. Demographic and summary clinical data for each group
324 are summarised in Table 2, available at www.aaojournal.org. A high proportion
325 of subjects had ocular co-morbidities, including 9.5% with moderate or
326 advanced AMD and 10.7% with clinically-significant cataract in one or both
327 eyes. Following repeat examination, over 95% of results acquired using each
328 of the four index tests were reliable or of sufficient quality for analysis (Table 1,
329 available at www.aaojournal.org).

330

331 **Diagnostic performance of visual-function tests**

332 A FDT performance cut-off of 1 or more missed location at $p < 5\%$ level of
333 significance, representing the most common threshold for abnormality in
334 published literature, yielded 72.4% (CI 59.8 to 82.3) sensitivity and 66.7 (CI
335 62.1 to 71.0) specificity for detection of glaucoma suspect/POAG combined
336 (Table 3). Using the same cut-off, sensitivity to detect POAG alone was 92.3%
337 (CI 75.9 to 97.9) and specificity 65.2% (60.8 to 69.3). Test specificity improved
338 to 79.1% (CI 75.2 to 82.5) using a test failure cut-off of 1 or more location(s)
339 missed at $p < 1\%$ level of significance, while retaining a sensitivity of 88.5% (CI

340 71.0 to 96.0) for POAG detection (Table 3). The developers' recommended
341 MMDT performance cut-off (global PTD ≥ 3.0) achieved test specificity of over
342 80% but lower sensitivity of 51.7% (CI 39.2 to 64.1) for glaucoma
343 suspect/POAG combined, and 65.4% (CI 46.2 to 80.6) for POAG detection.
344 Notably, all (100%) cases of moderate and advanced POAG (mean deviation
345 worse than -6dB) were detected by both perimetry index tests. Of the 11
346 POAG subjects classified with early disease (-6dB or better), only 2 subjects
347 (18%) were test positive using MMDT (global PTD ≥ 3.0), compared with 9
348 subjects (82%) detected by the less specific FDT criterion (1 or more missed
349 location at $p < 5\%$ level of significance).

350

351 **Diagnostic performance of the SD-OCT**

352 Best performing parameters based on highest test sensitivity for detection of
353 glaucoma suspect /POAG combined were GCC FLV (46.6%, CI 34.3 to 59.2),
354 and inferior quadrant RNFL thickness (46.6%, CI 34.3 to 59.2). A similar trend
355 followed for detection of POAG (GCC FLV 73.1%, CI 53.9 to 86.3; inferior
356 quadrant thickness 76.9%, CI 57.9 to 89.0) (Table 3). Notably, all 5 GCC and 7
357 RNFL parameters included for analysis individually provided a test specificity
358 exceeding 90%. In particular, GCC GLV was 97.9% (CI 96.2 to 98.8) specific
359 for discrimination of definite POAG, with the highest positive likelihood ratio of
360 21.8 (CI 10.4 to 45.8) of all iVue parameters (Table 4, available at
361 www.aaojournal.org). However, a threshold of abnormality defined by any of
362 the 7 RNFL parameters exceeding the 99% normative level provided further
363 diagnostic value by improving sensitivity to 62.1% (CI 49.2 to 73.4) for
364 glaucoma suspect/POAG combined and 88.5% (CI 71.0 to 96.0) for POAG

365 while achieving specificity above 88%. Using the same cut-off, sensitivity
366 improved to 93.3% (CI 70.2 to 98.8) for distinguishing POAG subjects with
367 moderate and advanced POAG. Moreover, 25 of the 26 (96.1%, CI 81.1 to
368 99.3) subjects classified as POAG in the reference ophthalmic examination
369 were detected by one or more GCC or RNFL parameter exceeding the 99%
370 normative interval (see Table 3) for a specificity of 81.3% (77.5 to 84.6).

371

372 IOP estimates of IOPcc and IOPg generated by the ORA had little diagnostic
373 value for distinguishing glaucoma suspect and POAG subjects from the rest of
374 the sample.

375

376 **ROC analysis**

377 Sensitivity at 90% specificity, and partial AUROC curve for 90% to 100%
378 specificity are summarized in Table 5 (see Table 6, available at
379 www.aaojournal.org for data on total AUROC curves). Overall, inferior
380 quadrant RNFL thickness measured using the iVue SD-OCT was best
381 performing parameter, providing highest sensitivity (56.9%, CI 44.2 to 69.6
382 glaucoma suspect/POAG combined; 82.8%, CI 67.6 to 97.9 POAG) and partial
383 AUROC curve estimate (0.46, CI 0.34 to 0.58 glaucoma suspect/POAG
384 combined; 0.70, CI 0.53 to 0.86 POAG) from 90% to 100% specificity. In fact,
385 inferior quadrant RNFL thickness was statistically significantly superior to each
386 of the visual function tests, based on partial AUROC curve estimates
387 (glaucoma suspect/POAG combined FDT and MMDT $p < 0.001$; POAG FDT
388 and MMDT $p < 0.001$) (Figure 2). Of the visual-function tests, FDT Patel et al.
389 score (2000) achieved higher sensitivity (61.5%, CI 39.4 to 83.6) but a lower

390 partial AUROC curve result (0.35, CI 0.18 to 0.52) compared with MMDT
391 global PTD (57.7%, CI 37.4 to 78.0 sensitivity, 0.44, CI 0.26 to 0.61 partial
392 AUROC curve) for ranges starting from 90% specificity for distinguishing
393 POAG from the rest of the sample, but these observations did not represent a
394 statistically significant difference (sensitivity at set specificity $p=0.598$, partial
395 AUROC curve $p=0.248$) (Figure 2).

396

397 **Combining index test results**

398 The combination of inferior quadrant RNFL thickness ($p<1\%$) with FDT (1 or
399 more location(s) missed at $p<5\%$ level) in which failure of either test is
400 indicative of abnormality achieves a sensitivity of 79.3% (CI 67.2 to 87.7) for
401 glaucoma suspect/POAG combined and 100.0% (CI 87.1 to 100.0) for POAG
402 detection but with a marked reduction in specificity (glaucoma suspect/ POAG
403 combined 63.3, CI 58.9 to 67.6; POAG 65.2, CI 60.7 to 69.5). On the other
404 hand, stipulating that failure of both tests was indicative of POAG improved
405 specificity to 96.8% (CI 94.8 to 98.1), but this did not represent a statistically
406 significant improvement above test specificity of 95.0% (CI 92.6 to 96.6)
407 achieved by inferior quadrant thickness alone (McNemar, $p=1.0$). Notably, the
408 combination of iVue SD-OCT RNFL inferior quadrant parameter ($p<1\%$) with
409 FDT (1 or more missed location at $p<5\%$ level) detected all 26 subjects
410 classified as POAG (Figure 3, available at www.aaojournal.org).

411

412 To further evaluate the diagnostic value of combining index test data using
413 Bayesian probabilistic reasoning, best-performing parameters and cut-offs for
414 abnormality were selected using the highest positive likelihood ratios (Table 4,

415 available at www.aaojournal.org). The probability estimate of a given subject
416 having POAG rose from 5% (pre-test probability) to over 85% (post-test
417 probability) when visual function tests (FDT, 1 or more missed location at
418 $p < 1\%$ level or MMDT, global PTD ≥ 3.0) were combined in series with best
419 performing structural parameters (RNFL inferior quadrant thickness or GCC
420 GLV, $p < 1\%$), and ORA IOPcc (> 21 mmHg). Using these test cut-offs, a post-
421 test probability over 90% was achieved for detection of glaucoma
422 suspect/POAG combined, rising from a pre-test probability of 11.5%.

423 **Discussion**

424 Currently, a national population-based screening programme for OAG has not
425 been implemented in any country. An economic modeling study undertaken in
426 Finland determined that an organized screening programme for glaucoma
427 could be a cost-effective strategy compared to opportunistic case-finding,
428 especially in older age groups.³⁰ A UK-based study using a similar approach to
429 evaluate the clinical and cost-effectiveness of screening for POAG proposed
430 the use of tonometry combined with an initial technology-based assessment,
431 which would allow an enriched population to be referred for an office-based
432 assessment by an ophthalmologist.¹¹ Alternatively, clinical data collected from
433 a technology-based assessment could be transferred digitally and evaluated in
434 a virtual clinic by a glaucoma specialist to improve the positive predictive value
435 of referrals for further ophthalmic investigation.^{31, 32} Cost-effectiveness may be
436 improved by implementing a screening programme that targeted a number of
437 sight-threatening eye diseases.

438

439 The current study evaluated the diagnostic performance of structural and
440 visual function tests for the detection of glaucoma in a population of elderly
441 subjects, representative of the target population for screening, in which
442 pathologies other than glaucoma may be present. Data were analyzed using
443 the individual as the unit of analysis. The performance of the FDT using the
444 C20-5 screening program was similar to that reported in previous population
445 screening studies.^{33, 34} However, there has only been one published diagnostic
446 accuracy study evaluating the MMDT.³⁵ This study found sensitivities and
447 specificities of greater than 85%. It is likely that the lower performance of the

448 MMDT in the current study relates to the high levels of ocular co-morbidity
449 typical of an elderly population, which may have impacted on the overall
450 performance of the vision-function tests. ROC analysis of the FDT and MMDT,
451 based on sensitivities at set specificities and partial AUROC, showed no
452 statistical difference in performance between the two tests for the detection of
453 POAG. However, in view of the MMDTs greater portability, ease of use and
454 relatively lower cost it warrants further evaluation in population studies to
455 further determine its potential as a screening test for glaucoma.

456

457 The iVue OCT is a recently developed compact SD-OCT and this is the first
458 study to investigate its diagnostic performance for glaucoma detection using its
459 in-built normative database. The structural parameters selected for the
460 analysis and associated pass-fail criteria (value outside the 99% confidence
461 interval) were established *a priori*. The best performing individual structural
462 parameter (inferior quadrant RNFL thickness) provided a sensitivity of over
463 75% with a specificity of 95%, which may reflect the vulnerability of the inferior
464 quadrant of the optic disc to glaucomatous damage.^{36, 37} The OCT was
465 particularly effective in identifying subjects with glaucoma, for example using a
466 criterion of any structural parameter at the $p < 1\%$ level the OCT would have
467 identified 25 of 26 glaucoma subjects in our sample. ORA-derived IOP
468 estimates were of limited diagnostic value in our population as half of the 26
469 glaucoma subjects were already receiving IOP-lowering therapy or had
470 previously undergone surgical or laser interventions.

471

472 Early detection and treatment of glaucoma reduces the rate of progression of
473 glaucomatous vision loss and visual field defects,^{38, 39} which is likely to result in
474 a better health-related quality of life for those affected, but concerns have been
475 raised as to the potential overtreatment of individuals who may not be at
476 significant risk of developing advanced glaucoma and visual impairment in
477 their lifetime.¹¹ A retrospective UK study using a large visual field dataset, and
478 modelling projected field loss in the patients' remaining lifetime, determined
479 that only 5.2% of patients were at risk of progressing to statutory blindness in
480 both eyes; more than 90% of these had a visual field mean deviation worse
481 than -6dB in one or both eyes at presentation.⁴⁰ Given that the likelihood of
482 patients suffering significant visual impairment is linked to the level of VF loss
483 at presentation, it is notable that 100% of those in the current study with
484 moderate or advanced glaucoma (mean deviation worse than -6dB) were
485 detected by either the FDT ($p < 5\%$ level), or the MMDT (global PTD ≥ 3.0).

486

487 The natural history of glaucoma means that in some people with early disease,
488 structural changes precede functional loss, whilst in others functional
489 abnormalities may be observed before detectable changes in structural
490 parameters.⁴¹ In the current study, thirty-two subjects fell into either category
491 and were classified as 'glaucoma suspects'. Differentiating between suspects
492 and normals presents a significant clinical challenge, as there is a substantial
493 overlap of clinical characteristics between the groups. All four index tests
494 showed poorer discrimination between normal subjects and POAG/glaucoma
495 suspect groups combined than between those with confirmed glaucoma and
496 the rest of the sample. The detection of glaucoma suspects requires a case

497 definition based on failure on either a structural or functional test. Whilst this
498 strategy is likely to improve sensitivity it is generally at the expense of
499 specificity. An alternative case-finding strategy is to use a Bayesian reasoning
500 approach. In clinical practice, a clinician will intuitively integrate the results of
501 diagnostic tests together with an estimate of the patient's pre-test probability of
502 disease based on age, IOP and family history of glaucoma to estimate an
503 individual's post-test probability. The probability of disease can be formally
504 estimated by calculations using the likelihood ratios of the diagnostic tests. The
505 results of independent tests can be combined in series to revise post-test
506 probability estimates.⁴² However, the lack of true independence between
507 structural and functional criteria may lead to an overestimation of the combined
508 post-test probability. Nevertheless, this Bayesian approach could be used to
509 develop diagnostic algorithms and has great potential for glaucoma case-
510 finding or population screening pathways.⁴³

511

512 The present study had a number of strengths: the design, analysis and
513 reporting complied with the principles of the STARD statement¹⁴ and to reduce
514 spectrum bias the target population included consecutive subjects who met the
515 inclusion criteria. Although it is possible that higher numbers of those with
516 previous or family ocular history were more likely to volunteer and agree to
517 participate in the study, the prevalence of OAG in our population (5%) was
518 comparable with that expected for the age demographic. Furthermore, a wide
519 spectrum of disease severity was identified. We therefore feel the population is
520 likely to be broadly representative of those presenting for glaucoma case-
521 finding in the community. The reference standard for OAG corresponded to

522 that used in a typical hospital glaucoma unit and was based on the results of a
523 standard ophthalmic examination by a validated clinician. At the present time,
524 this examination represents the clinical reference standard for OAG, but as
525 evidence accumulates it is anticipated that OCT may become part of this
526 standard in the future. All index tests and the reference standard examination
527 were undertaken on the same day, and the clinicians performing the reference
528 and index tests were masked to the outcome of either. The study also has
529 some limitations. The sample size of 505 subjects provided only 26 glaucoma
530 subjects. This resulted in wide confidence intervals around our diagnostic
531 sensitivity estimates, which may have masked real differences between index
532 tests. Furthermore, almost 90% of our study population was of White European
533 origin suggesting our findings may not be generalizable to other ethnic groups
534 where glaucoma is more prevalent (e.g. subjects of Black origin). Data
535 collection for this study was undertaken in dedicated research rooms based in
536 a community eye clinic. In a real-world clinic setting, equipment may not be
537 calibrated routinely and it is anticipated that diagnostic performance may be
538 less good. Nevertheless, this study provides useful data to inform the
539 development of further larger multi-center glaucoma screening studies.

540

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542

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547 medical retina images and facilitating the core-competency-based
548 assessments for the validation of the reference standard examiner.

549 **Legends for Figures 1 and 2**

550

551 Figure 1: Study flow diagram. FDT = frequency doubling technology perimeter;
552 MMDT = Moorfields motion displacement test; SD-OCT = spectral domain
553 optical coherence tomographer; ORA = ocular response analyzer; POAG =
554 primary open angle glaucoma; OHT = ocular hypertension.

555

556 Figure 2: Index test diagnostic effectiveness comparisons using ROC curves
557 with sensitivity at set specificity estimates and associated 95% confidence
558 intervals for detection of glaucoma suspect/POAG (primary open angle
559 glaucoma) combined (a) and POAG (b). FDT = Frequency Doubling
560 Technology Perimeter; MMDT = Moorfields motion displacement threshold
561 test; RNFL = retinal nerve fibre layer thickness.

562

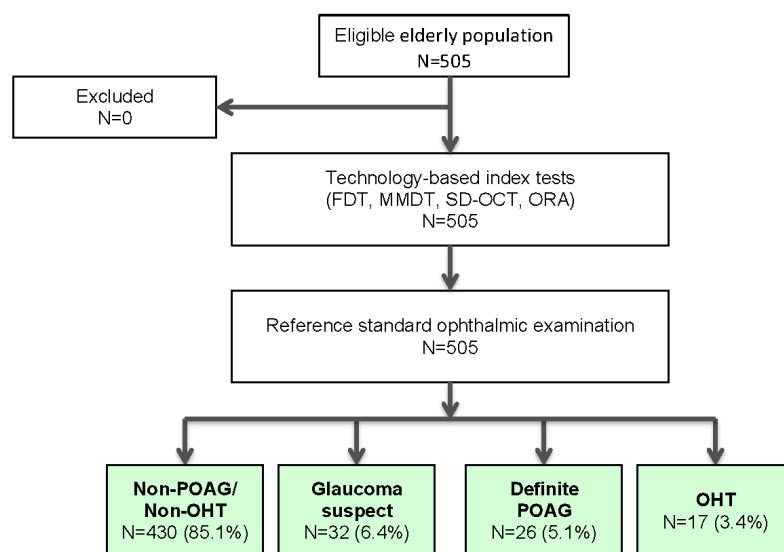
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