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# BLOCKS WITH TRANSITIVE FUSION SYSTEMS

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ABSTRACT. Suppose that all nontrivial subsections of a  $p$ -block  $B$  are conjugate (where  $p$  is a prime). By using the classification of the finite simple groups, we prove that the defect groups of  $B$  are either extraspecial of order  $p^3$  with  $p \in \{3, 5\}$  or elementary abelian.

## 1. INTRODUCTION

Let  $p$  be a prime, and let  $\mathcal{F}$  be a saturated fusion system on a finite  $p$ -group  $P$  (cf. [1] and [8]). We call  $\mathcal{F}$  *transitive* if any two nontrivial elements in  $P$  are  $\mathcal{F}$ -conjugate. In this case,  $P$  has exponent  $\exp(P) \leq p$ , and  $\text{Aut}_{\mathcal{F}}(P)$  acts transitively on  $Z(P) \setminus \{1\}$ . This paper is motivated by the following:

**Conjecture 1.1.** (cf. [23]) *Let  $\mathcal{F}$  be a transitive fusion system on a finite  $p$ -group  $P$  where  $p$  is a prime. Then  $P$  is either extraspecial of order  $p^3$  or elementary abelian.*

Moreover, if  $P$  is extraspecial of order  $p^3$  then results by Ruiz and Viruel [26] imply that  $p \in \{3, 5, 7\}$ . Note that the conjecture is trivially true for  $p = 2$  since groups of exponent 2 are abelian. Thus Conjecture 1.1 is only of interest for  $p > 2$ . The aim of this paper is to prove the conjecture above for saturated fusion systems coming from blocks.

**Theorem 1.2.** *Let  $p$  be a prime, and let  $B$  be a  $p$ -block of a finite group  $G$  with defect group  $P$ . If the fusion system  $\mathcal{F} = \mathcal{F}_P(B)$  of  $B$  on  $P$  is transitive then  $P$  is either extraspecial of order  $p^3$  or elementary abelian.*

If  $P$  is extraspecial of order  $p^3$  then the results in [26] and [20] imply that  $p \in \{3, 5\}$ . We call a block  $B$  with defect group  $P$  and transitive fusion system  $\mathcal{F}_P(B)$  *fusion-transitive*. Whenever  $B$  has full defect then the theorem is a consequence of the results in [23]. In our proof of the theorem above, we will make use of the classification of the finite simple groups.

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## 2. SATURATED FUSION SYSTEMS

We begin with some results on arbitrary saturated fusion systems.

**Proposition 2.1.** *Let  $p$  be a prime, and let  $\mathcal{F}$  be a transitive fusion system on a finite  $p$ -group  $P$  where  $|P| \geq p^4$ . Suppose that  $P$  contains an abelian subgroup of index  $p$ . Then  $P$  is abelian.*

*Proof.* We assume the contrary. Then  $p > 2$ .

Suppose first that  $P$  contains two distinct abelian subgroups  $A, B$  of index  $p$ . Then  $AB = P$ ,  $A \cap B \subseteq Z(P)$  and  $|P : A \cap B| = p^2$ . Since  $P$  is nonabelian we conclude that  $|P : Z(P)| = p^2$ . Thus  $1 \neq P' \subseteq Z(P)$ . Since  $\text{Aut}_{\mathcal{F}}(P)$  acts transitively on  $Z(P) \setminus \{1\}$ , we conclude that  $P' = Z(P)$ . Hence there are  $x, y \in P$  such that  $P = \langle x, y \rangle$ . Then  $P' = \langle [x, y] \rangle$  (cf. III.1.11 in [17]); in particular, we have  $|P'| = p$  and  $|P| = p^3$ , a contradiction.

It remains to consider the case where  $P$  contains a unique abelian subgroup  $A$  of index  $p$ . Let  $Z$  be a subgroup of order  $p$  in  $Z(P)$ , and let  $B$  be an arbitrary subgroup of order  $p$  in  $A$ . By transitivity, there is an isomorphism  $\phi : B \rightarrow Z$  in  $\mathcal{F}$ . By definition,  $Z$  is fully  $\mathcal{F}$ -normalised. Thus, by Proposition 4.20 in [8],  $Z$  is also fully  $\mathcal{F}$ -automised and receptive. Hence  $\phi$  extends to a morphism  $\psi : N_{\phi} \rightarrow P$  in  $\mathcal{F}$ . Since  $|B| = p$  we have

$$A \subseteq N_P(B) = C_P(B) \subseteq N_{\phi}$$

(cf. p. 99 in [8]). Since  $\psi(A)$  is also an abelian subgroup of index  $p$  in  $A$  we conclude that  $\psi(A) = A$ . Thus  $\psi|_A \in \text{Aut}_{\mathcal{F}}(A)$ , and  $\psi|_A$  maps  $B$  to  $Z$ . This shows that  $\text{Aut}_{\mathcal{F}}(A)$  acts transitively on the set of subgroups of order  $p$  in  $A$ .

In the following, we view  $A$  as a vector space over  $\mathbb{F}_p$  and  $G := \text{Aut}_{\mathcal{F}}(A)$  as a subgroup of  $\text{GL}(A)$ . If  $S$  denotes the group of scalar matrices in  $\text{GL}(A)$  then  $H := GS$  is a transitive subgroup of  $\text{GL}(A)$ . The transitive linear groups were classified by Hering (cf. [16] or Remark XII.7.5 in [18]). We are going to use the list in Theorem 15.1 of [27].

Before we do this, we observe the following. By the uniqueness of  $A$ ,  $A$  is fully  $\mathcal{F}$ -automised, i.e.  $P/A = N_P(A)/C_P(A) \in \text{Syl}_p(\text{Aut}_{\mathcal{F}}(A))$ . Thus  $G = \text{Aut}_{\mathcal{F}}(A)$  and  $H = GS$  both have a Sylow  $p$ -subgroup of order  $p$ .

Now we write  $|A| = p^n$  and go through the list in Theorem 15.1 of [27]:

(i)  $H \subseteq \Gamma\text{L}_1(p^n)$ ; in particular,  $|H|$  divides  $|\Gamma\text{L}_1(p^n)| = n(p^n - 1)$ .

In this case we can identify  $A$  with the finite field  $L := \mathbb{F}_{p^n}$ . Moreover,  $P$  is the semidirect product of  $L$  with  $B = \langle \beta \rangle$  where  $\beta$  is a field automorphism of  $L$ . For  $x \in L$ , we have  $x\beta \in P$  and

$$1 = (x\beta)^p = x\beta x\beta \dots x\beta = x\beta(x)\beta^2(x) \dots \beta^{p-1}(x) = N_K^L(x)$$

where  $K$  is the fixed field of  $\beta$ . However, it is known that  $N_K^L(L) = K$ , a contradiction.

(ii)  $n = km$  where  $k \geq 2$  and  $\mathrm{SL}_k(p^m) \trianglelefteq H$ .

Since the Sylow  $p$ -subgroups of  $H$  have order  $p$ , we conclude that  $m = 1$  and  $k = 2$ . Then  $n = 2$  and  $|P| = p^3$ , a contradiction.

(iii)  $n = km$  where  $k \geq 4$  is even and  $\mathrm{Sp}_k(p^m)' \trianglelefteq H$ .

Since  $p > 2$  we have  $\mathrm{Sp}_k(p^m)' = \mathrm{Sp}_k(p^m)$ . Thus  $\mathrm{Sp}_k(p^m)$  has a Sylow  $p$ -subgroup of order  $p^{k^2/4} \geq p^4$ , a contradiction.

(iv)  $n = 6m$ ,  $p = 2$  and  $G_2(2^m)' \trianglelefteq H$ .

This case is impossible as  $p > 2$ .

(v)  $n = 2$  and  $p \in \{5, 7, 11, 19, 23, 29, 59\}$ .

Then  $|P| = p^3$  which is again a contradiction.

(vi)  $n = 4$ ,  $p = 2$  and  $H \cong \mathfrak{A}_7$ .

This case is also impossible as  $p > 2$ .

(vii)  $n = 4$ ,  $p = 3$  and  $H$  is one of the groups in Table 15.1 of [27].

In this case we have  $|P| = 3^5 = 243$ . Then Proposition 15.12 in [27] leads to a contradiction.

(viii)  $n = 6$ ,  $p = 3$  and  $H \cong \mathrm{SL}_2(13)$ .

In this case we have  $|P| = 3^7 = 2187$ . However, one can check that  $P$  has exponent 9 in this case, a contradiction.  $\square$

**Proposition 2.2.** *Let  $P$  be a nonabelian  $p$ -group with a transitive fusion system. Then  $P$  is indecomposable (as a direct product).*

*Proof.* Let  $P = N_1 \times \cdots \times N_k$  be a decomposition into indecomposable factors  $N_i \neq 1$ . Assume by way of contradiction that  $k \geq 2$ . Since  $P$  carries a transitive fusion system we have

$$\mathrm{Z}(N_1) \times \cdots \times \mathrm{Z}(N_k) = \mathrm{Z}(P) \subseteq P' = N_1' \times \cdots \times N_k'.$$

Let  $1 \neq x \in \mathrm{Z}(N_1)$ . By hypothesis there exists  $\alpha \in \mathrm{Aut}(P)$  such that  $\alpha(x) \in \mathrm{Z}(P) \setminus (\mathrm{Z}(N_1) \cup \cdots \cup \mathrm{Z}(N_k))$ . By the Krull-Remak-Schmidt Theorem (see Satz I.12.5 in [17]) there is a normal automorphism  $\beta$  of  $P$  such that  $\beta(N_i) = \alpha(N_1)$  for some  $i \in \{1, \dots, k\}$ . In particular, there is  $y \in \mathrm{Z}(N_i)$  such that  $\beta(y) = \alpha(x)$ . By Hilfssatz I.10.3 in [17], for every  $g \in P$  there is a  $z_g \in \mathrm{Z}(P)$  such that  $\beta(g) = gz_g$ . Obviously the map  $P \rightarrow \mathrm{Z}(P)$ ,  $g \mapsto z_g$ , is a homomorphism. Since  $\mathrm{Z}(N_i) \subseteq N_i'$ , we obtain  $z_y = 1$ . This gives the contradiction  $\alpha(x) = \beta(y) = y \in \mathrm{Z}(N_i)$ .  $\square$

**Proposition 2.3.** *Let  $P = \prod_{i=1}^{\infty} P_i^{a_i}$  where  $P_i = C_{p^{r_i}} \wr C_p \wr \cdots \wr C_p$  ( $i$  factors in the wreath product) and  $a_i \in \mathbb{N}_0$ ,  $r_i \in \mathbb{N}$  for  $i \in \mathbb{N}$ . Moreover, let  $U$  be a normal subgroup of  $P$  such that  $P/U$  is cyclic, and let  $Z$  be a cyclic subgroup of  $\mathrm{Z}(U)$ . Suppose that  $R := U/Z$  supports a transitive fusion system. Then  $R$  has order  $p^3$  or is elementary abelian.*

*Proof.* We assume the contrary. Then  $|R| \geq p^4$  and  $p > 2$ .

Suppose first that  $r_j > 1$  for some  $j > 1$ . Since  $p > 2$ ,  $P'$  contains a subgroup isomorphic to  $C_{p^{r_j}} \times C_{p^{r_j}}$ . Since  $P' \subseteq U$  we conclude that  $\exp(R) \geq p^2$ , a contradiction.

Thus  $r_j = 1$  for  $j > 1$ , and  $P_j$  is the iterated wreath product of  $j$  copies of  $C_p$  in this case.

Suppose next that  $a_j > 0$  for some  $j \geq 3$ . Since  $p > 2$ ,  $P'$  contains a subgroup isomorphic to  $P_{j-1} \times P_{j-1}$ . By Satz III.15.3 in [17],  $P_{j-1}$  has exponent  $p^{j-1} \geq p^2$ . Since  $P' \subseteq U$  we conclude that  $\exp(R) \geq p^2$ , a contradiction again.

Thus  $P = P_1^{a_1} \times P_2^{a_2}$  where  $P_1 = C_{p^{r_1}}$  and  $P_2 = C_p \wr C_p$ . If  $a_2 \leq 1$  then  $P$  and  $R$  contain abelian subgroups of index  $p$ . In this case Proposition 2.2 gives a contradiction.

Hence we may assume that  $a_2 \geq 2$ . Let  $\pi : P \rightarrow P_2^{a_2}$  be the relevant projection. Since  $\exp(P_2) = p^2$  we cannot have  $\pi(U) = P_2^{a_2}$ . On the other hand,  $P_2/P_2'$  is elementary abelian. Since  $P_2^{a_2}/\pi(U)$  is cyclic,  $\pi(U)$  is a maximal subgroup of  $P_2^{a_2}$ . Let  $\pi_1 : P_2^{a_2} \rightarrow P_2^{a_2-1}$  be the projection onto the direct product of the first  $a_2 - 1$  copies of  $P_2$ , and let  $\pi_2 : P_2^{a_2} \rightarrow P_2^{a_2-1}$  be the projection onto the direct product of the last  $a_2 - 1$  copies of  $P_2$ .

Now suppose that  $a_2 \geq 3$ . Then an argument similar to the one above shows that  $\pi_1(\pi(U))$  is a maximal subgroup of  $P_2^{a_2-1} = \pi_1(P_2^{a_2})$ . Thus  $\text{Ker}(\pi_1) \subseteq \pi(U)$  and, similarly,  $\text{Ker}(\pi_2) \subseteq \pi(U)$ . Thus  $\pi(U)$  contains a subgroup isomorphic to  $P_2^2$ . Hence  $\exp(R) \geq p^2$ , a contradiction.

We are left with the case  $a_2 = 2$ , i.e.  $P = A \times P_2 \times P_2$  where  $A = P_1^{a_1} \cong C_{p^{r_1}}^{a_1}$  is abelian. Since  $\pi(U)$  is a maximal subgroup of  $P_2 \times P_2$ , we see that  $A \times \pi(U)$  is a maximal subgroup of  $P$ . Let  $x \in P$  such that  $P = U\langle x \rangle$ . Then  $U\langle x^p \rangle \subseteq A \times \pi(U)$ . Since  $|P : U\langle x \rangle| \leq p$  we conclude that  $U\langle x^p \rangle = A \times \pi(U)$ . Note that  $x^p \in \mathcal{U}(P) \subseteq Z(P)$ .

Suppose that  $\exp(A) > p$ , and choose an element  $a \in A$  of maximal order. We write  $x = x_1x_2$  with  $x_1 \in A$  and  $x_2 \in P_2^2$ , we write  $a = ux^{p^i}$  with  $u \in U$  and  $i \in \mathbb{Z}$ , and we write  $u = u_1u_2$  with  $u_1 \in A$  and  $u_2 \in P_2^2$ . Then  $a^p = u^p x^{p^{2i}} = u_1^p x_1^{p^{2i}} u_2^p x_2^{p^{2i}} = u_1^p x_1^{p^{2i}} u_2^p$ . We conclude that  $u_2^p = 1$  and  $a^p = u_1^p x_1^{p^{2i}}$ . Thus  $p < \exp(A) = |\langle a \rangle| = |\langle u_1 \rangle| = |\langle u \rangle|$ , and  $1 \neq u^p \in \mathcal{U}(U) \cap A$ .

By Aufgabe III.15.36 in [17], the elements of order 1 or  $p$  form a union of two maximal subgroups. Thus  $P_2^2$  contains  $p^{2p-2}(2p-1)^2 < p^{2p+1}$  elements of order 1 or  $p$ . Hence  $\pi(U)$  contains elements of order  $p^2$ ; in particular,  $\mathcal{U}(U)$  is noncyclic. Since  $\mathcal{U}(U) \subseteq Z$ , this is a contradiction.

This contradiction shows that  $\exp(A) \leq p$ , i.e.  $P = A \times P_2 \times P_2$  where  $A$  is elementary abelian. Hence  $P/P'$  is elementary abelian. Since  $P/U$  is cyclic we conclude that  $U$  is a maximal subgroup of  $P$ . Thus  $U = A \times \pi(U)$  and  $\mathcal{U}(U) \subseteq \pi(U)$ . Since  $\pi(U)$  contains elements of order  $p^2$ , we have  $1 \neq \mathcal{U}(U) \subseteq Z$ . On the other hand, Satz III.15.4 in [17] implies that  $Z(U)$  is elementary abelian. Thus  $|Z| = p$  and  $Z = \mathcal{U}(U) \subseteq \pi(U)$ . Since  $R$  supports a transitive fusion system we have

$$AZ/Z \subseteq Z(U)/Z \subseteq Z(R) \subseteq R' = U'Z/Z = \pi(U)'Z/Z \subseteq \pi(U)/Z.$$

Therefore  $A = 1$ , i.e.  $P = P_2 \times P_2$ . Recall that  $U$  is a maximal subgroup of  $P$  and that  $\pi_1, \pi_2 : P \rightarrow P_2$  denote the two projections. Without loss of generality we have  $\pi_1(U) = P_2$ . Since  $\mathcal{U}(U)$  is cyclic,  $K_1 := \text{Ker}(\pi_1)$  has order  $p^p$  and exponent  $p$ .

If  $\pi_2(U) \neq P_2$  then  $U = P_2 \times \pi_2(U)$  and  $\exp(\pi_2(U)) = p$ . Thus  $Z = \mathcal{U}(U) \subseteq P_2 \times 1$  and  $R \cong P_2/Z \times \pi_2(U)$ , a contradiction to Proposition 2.2.

Thus we must also have  $\pi_2(U) = P_2$ . Then also  $K_2 := U \cap \text{Ker}(\pi_2)$  has order  $p^p$  and exponent  $p$ . Moreover, we have  $K_1 \times K_2 \subseteq U$ .

We may choose elements  $x, y \in U$  such that  $\pi_1(x)$  and  $\pi_2(x)$  have order  $p^2$ . Since  $\langle x^p \rangle = Z = \langle y^p \rangle$  we see that  $\pi_2(x)$  and  $\pi_1(y)$  have order  $p^2$ . However, we may choose  $y$  such that  $yK_1$  contains an element  $y'$  such that  $\pi_2(y')$  has order  $p$ . Since  $\pi_1(y) = \pi_1(y')$  still has order  $p^2$ , we have a final contradiction.  $\square$

### 3. BLOCKS

We now present the proof of Theorem 1.2.

*Proof.* Suppose that the result is false. Then  $P$  is nonabelian with  $|P| \geq p^4$  and  $p > 2$ .

By [1, Proposition IV.6.3] we may assume that  $B$  is quasiprimitive. This means that, for any normal subgroup  $H$  of  $G$ ,  $B$  covers a unique  $p$ -block of  $H$ .

Now let  $H$  be a normal subgroup of  $G$ , and let  $b$  be the unique  $p$ -block of  $H$  covered by  $B$ . Suppose that  $P \cap H = 1$ . (This is satisfied, for example, whenever  $H$  is a  $p'$ -subgroup.) Then  $b$  has defect zero. By Clifford theory, there exist a finite group  $G^*$ , a central  $p'$ -subgroup  $H^*$  of  $G^*$ , and a  $p$ -block  $B^*$  of  $G^*$  with defect group  $P^* \cong P$  such that  $\mathcal{F}_{P^*}(B^*)$  is equivalent to  $\mathcal{F}$ . Thus we may replace  $G$  by  $G^*$  and  $B$  by  $B^*$ .

Repeating the argument above we may therefore assume that every normal subgroup  $H$  of  $G$  with  $P \cap H = 1$  is central. In particular, we have  $\text{O}_{p'}(G) \subseteq \text{Z}(G)$ .

It is well-known that  $M := \text{O}_p(G) \subseteq P$ . Suppose first that  $M \neq 1$ . Since  $\mathcal{F}$  is transitive this implies  $M = P$ . Then  $\Phi(P)$  is a normal subgroup of  $G$  and properly contained in  $P$ . Since  $\mathcal{F}$  is transitive, we must have  $\Phi(P) = 1$ . Thus  $P$  is elementary abelian in this case.

Hence, in the following, we may assume that  $\text{O}_p(G) = 1$ . Then  $\text{F}(G) = \text{O}_{p'}(G) = \text{Z}(G)$ . Moreover, the layer  $\text{E}(G)$  is nontrivial. Let  $b$  be the unique  $p$ -block of  $\text{E}(G)$  covered by  $B$ . Then  $b$  has defect group  $P \cap \text{E}(G) \neq 1$ . Since  $B$  is transitive, this implies that  $P \subseteq \text{E}(G)$ .

Let  $L_1, \dots, L_n$  denote the components of  $G$ . Then  $\text{E}(G) = L_1 * \dots * L_n$  is a central product. For  $i = 1, \dots, n$ , the unique  $p$ -block  $b_i$  of  $L_i$  covered by  $b$  has defect group  $P_i := P \cap L_i \neq 1$ . Moreover, we have  $P = P_1 \times \dots \times P_n$ . Since  $\mathcal{F}$  is transitive, this implies that  $n = 1$ . Thus  $\text{E}(G) = L_1 =: L$  is quasisimple, and  $G/\text{Z}(G)$  is isomorphic to a subgroup of  $\text{Aut}(L)$ .

If  $|P| = p^4$  then Proposition 15.14 in [27] gives a contradiction. Thus we may assume that  $|P| \geq p^5$ ; in particular,  $|L|$  is divisible by  $p^5$ . If  $P$  is a Sylow  $p$ -subgroup

of  $G$  then the results of [23] imply our theorem. Hence we may assume that  $|G|$  is divisible by  $p^6$ .

We now make use of the classification of the finite simple groups and discuss the various possibilities for the simple group  $F^*(G)/Z(G) \cong L/Z(L)$ . Since  $\mathcal{F}$  is transitive we have  $C_L(u) \cong C_L(v)$  for any  $u, v \in P \setminus \{1\}$ . This will be a very useful fact.

It can be checked with GAP [13] that  $L/Z(L)$  cannot be a sporadic simple group. Similarly,  $L/Z(L)$  cannot be a simple group with an exceptional Schur multiplier.

Suppose that  $L = \mathfrak{A}_n$  is an alternating group. Then  $P$  is a defect group of a  $p$ -block of  $\mathfrak{A}_n$ . Hence  $P$  is also a defect group of a  $p$ -block of the symmetric group  $\mathfrak{S}_n$ . Thus  $P$  is a direct product of (iterated) wreath products of groups of order  $p$ . Since  $C_p \wr C_p$  has exponent  $p^2$  we conclude that  $P$  is a direct product of groups of order  $p$ , and the result follows in this case.

Suppose next that  $L = \hat{\mathfrak{A}}_n$  is the 2-fold cover of  $\mathfrak{A}_n$ . We may assume that  $b$  is a faithful block of  $\hat{\mathfrak{A}}_n$ . In this case the defect groups of  $b$  have a similar structure as those in  $\mathfrak{A}_n$  (cf. [24, Theorem 5.8.8]), so we are done here by the same argument.

Suppose now that  $L/Z(L)$  is a group of Lie type in characteristic  $p$ . Then the  $p$ -block  $b$  of  $L$  has full defect, i.e.  $P$  is a Sylow  $p$ -subgroup of  $L$ . Since  $\mathcal{F}$  is transitive, every nontrivial element  $u \in P$  is conjugate in  $G$  to an element  $v \in Z(P)$ . Thus  $|L : C_L(u)| = |L : C_L(v)|$  is not divisible by  $p$ . Therefore the results in [25] imply that  $P$  is abelian.

Finally suppose that  $L/Z(L)$  is a group of Lie type in characteristic  $r \neq p$ . First we deal with the exceptional groups of Lie type. Let  $S \in \text{Syl}_p(L)$ . By §10.1 in [14],  $S$  contains an abelian normal subgroup  $N$  such that  $S/N$  is isomorphic to a subgroup of the Weyl group of  $L/Z(L)$ . If  $|S/N| \leq p$ , then Proposition 2.1 gives a contradiction. This already implies the claim for  $p \geq 7$ . Now let  $p = 5$ . Then by the same argument we may assume that  $L/Z(L) \cong E_8(q)$  where  $q \equiv \pm 1 \pmod{5}$ . This case will be handled in Section 6. Now let  $p = 3$ . Here we need to discuss the following groups:  $F_4$ ,  $E_6$ ,  ${}^2E_6$ ,  $E_7$  and  $E_8$ . For  $L/Z(L) \cong F_4(q)$  we have  $|P| \leq p^6$  and the result follows by Proposition 15.13 in [27]. The remaining cases will be discussed in Section 6.

We may therefore assume that  $L/Z(L)$  is a classical group. In this case our theorem follows from the results of the next section.  $\square$

#### 4. CLASSICAL GROUPS IN NON-DESCRIBING CHARACTERISTIC

We keep the notation of the previous section. We suppose in this section that  $L/Z(L)$  is a simple group of Lie type in characteristic  $r$ ,  $r \neq p$ . Let  $q$  be a power of  $r$ . Suppose that  $L = \mathbf{L}^F/Z$ , where  $\mathbf{L}$  is a simple simply connected algebraic group defined over an algebraic closure  $\bar{\mathbb{F}}_q$  of a field  $\mathbb{F}_q$  of  $q$  elements,  $F : \mathbf{L} \rightarrow \mathbf{L}$  a Frobenius morphism with respect to an  $\mathbb{F}_q$ -structure on  $\mathbf{L}$  and  $Z$  is a central subgroup of  $\mathbf{L}^F$ . Note that by the classification of finite simple groups, we may assume that if  $q$  is a

power of 2, then  $\mathbf{L}$  is not of type  $C_n$ . Let  $\tilde{b}$  be the block of  $\mathbf{L}^F$  dominating  $b$  and  $\tilde{P}$  be a defect group of  $\tilde{b}$  such that  $\tilde{P}Z/Z = P$ .

We define groups  $\mathbf{H}$  as follows. If  $L/Z(L) = B_n(q)$ , then  $\mathbf{H} = \mathrm{SO}_{2n+1}(\overline{\mathbb{F}}_q)$ . If  $L/Z(L) = C_n(q)$ , then  $\mathbf{H} = \mathrm{Sp}_{2n}(\overline{\mathbb{F}}_q)$ . If  $L/Z(L) = D_n^\pm(q)$ , then  $\mathbf{H} = \mathrm{SO}_{2n}(\overline{\mathbb{F}}_q)$ . Here, if  $q$  is a power of 2, and  $\mathbf{L}$  is of type  $B_n$ , then by  $\mathrm{SO}_{2n}(\overline{\mathbb{F}}_q)$  we mean the adjoint simple group of type  $B_n$ . If  $q$  is a power of 2 and if  $\mathbf{L}$  is of type  $D_n$ , then by  $\mathrm{SO}_{2n}(\overline{\mathbb{F}}_q)$  we mean the simple algebraic group of type  $D_n$  corresponding to the root datum  $(X, \Phi, Y, \Phi^\vee)$  for which the fundamental roots are  $e_1 - e_2, e_2 - e_3, \dots, e_{n-1} - e_n, e_{n-1} + e_n$  and  $X = \{\sum_{i=1}^n a_i e_i : a_i \in \mathbb{Z}\}$  for an orthonormal basis,  $e_1, e_2, \dots, e_n$ , of  $n$ -dimensional Euclidean space. We may and will assume that  $\mathbf{H}$  is an  $F$ -stable quotient of  $\mathbf{L}$ .

**Proposition 4.1.** *Suppose that  $p$  is an odd prime and  $L/Z(L)$  is a classical group in non-describing characteristic different from triality  $D_4$ . Suppose that  $B$  is a fusion-transitive block with  $P$  of order at least  $p^5$ . Then  $P$  is abelian.*

*Proof.* Suppose that  $L/Z(L)$  is the projective special linear group  $\mathrm{PSL}_n(q)$ , so  $\mathbf{L} = \mathrm{SL}_n(\overline{\mathbb{F}}_q)$  and  $L = \mathrm{SL}_n(q)$ . Let  $D$  be a defect group of a block of  $\mathrm{GL}_n(q)$  covering  $\tilde{b}$  such that  $\tilde{P} = D \cap \mathrm{SL}_n(q)$ . By the results of Fong and Srinivasan on blocks of finite general linear groups [12, Theorem (3C)],  $D$  is isomorphic to the Sylow  $p$ -subgroup of a direct product of general linear groups over finite extensions of  $\mathbb{F}_q$ . Since  $Z(L)$  and  $D/\tilde{P}$  are cyclic, the claim follows from Proposition 2.3. The case that  $L/Z(L)$  is the projective special unitary group can be handled similarly.

Now consider the case that  $L/Z(L)$  is of type  $B$ ,  $C$  or  $D$ . Then  $\tilde{P}$  is a defect group of  $\mathbf{L}^F$ . Let  $1 \neq z \in Z(\tilde{P})$ . Since  $p$  is odd,  $C_{\mathbf{L}}(z)$  is a Levi subgroup of  $\mathbf{L}$ . For any subset  $A$  of  $\mathbf{L}$ , denote by  $\overline{A}$  the image of  $A$  under the isogeny from  $\mathbf{L}$  onto  $\mathbf{H}$  and denote by  $U$  the kernel of the isogeny. Since  $U$  is a central 2-subgroup of  $\mathbf{L}$ ,  $\overline{C_{\mathbf{L}}(z)} = C_{\mathbf{H}}(\overline{z})$ .

The group  $C_{\mathbf{H}}(\overline{z})$  is a direct product

$$C_{\mathbf{H}}(\overline{z}) = \mathbf{H}_0 \times \cdots \times \mathbf{H}_r,$$

where  $\mathbf{H}_0$  is either the identity or a classical group and for  $i \geq 1$ ,  $\mathbf{H}_i$  is a direct product of general linear groups with  $F$  transitively permuting the factors. This follows easily from the standard description of the root datum of  $\mathbf{H}$ . So,

$$C_{\mathbf{H}}(\overline{z})^F = \mathbf{H}_0^F \times \cdots \times \mathbf{H}_r^F,$$

where  $\mathbf{H}_i^F$  is a finite general linear or unitary group for  $i \geq 1$  and  $\mathbf{H}_0^F$  is a finite classical group (possibly the identity).

Let  $\mathbf{L}_i$  be the inverse image in  $C_{\mathbf{L}}(z)$  of  $\mathbf{H}_i$ ,  $0 \leq i \leq r$ . Then  $\mathbf{L}_i$  is a normal  $F$ -stable subgroup of  $C_{\mathbf{L}}(z)$ ,  $C_{\mathbf{L}}(z) = \mathbf{L}_0 \cdots \mathbf{L}_r$  and

$$[\mathbf{L}_i, \mathbf{L}_0 \cdots \mathbf{L}_{i-1} \mathbf{L}_{i+1} \cdots \mathbf{L}_r] \leq \mathbf{L}_i \cap (\mathbf{L}_0 \cdots \mathbf{L}_{i-1} \mathbf{L}_{i+1} \cdots \mathbf{L}_r) = U.$$



We claim that  $\overline{\mathbf{L}_i^F}$  is a normal subgroup of  $\mathbf{H}_i^F$  of 2-power index. Indeed, let  $M$  be the inverse image in  $\mathbf{L}_i$  of  $\mathbf{H}_i^F$ . Then  $M$  is  $F$ -stable since  $U$  is  $F$ -stable. Further,  $[M, F] \leq U$ . Since  $U$  is central in  $M$ , the map  $M \rightarrow U$  defined by  $x \rightarrow x^{-1}F(x)$  is a group homomorphism. The kernel of this map is  $\mathbf{L}_i^F$  whence  $\mathbf{L}_i^F$  is a normal subgroup of  $M$  and the index of  $\mathbf{L}_i^F$  in  $M$  divides  $|U|$ . The claim follows since  $U$  is a 2-group.

The claim implies that  $\mathbf{L}_0^F \cdots \mathbf{L}_r^F$  is a normal subgroup of 2-power index of  $\mathbf{C}_{\mathbf{L}}(z)^F$ . So,  $\tilde{P}$  is a defect group of  $\mathbf{L}_0^F \cdots \mathbf{L}_r^F$ . The commutator relationship given above then implies that  $\tilde{P}$  is a direct product  $P_0 \cdots P_r$ , where  $P_i$  is a defect group of  $\mathbf{L}_i^F$ ,  $0 \leq i \leq r$ . By Proposition 2.2,  $\tilde{P} = P_i$  for some  $i$ ,  $1 \leq i \leq r$ . Since  $z$  is central in  $\mathbf{C}_{\mathbf{L}}(z)$ ,  $i \geq 1$  and  $\mathbf{H}_i^F$  is a general linear or unitary group with a central  $p$ -element. Let  $R = \tilde{P} \cap [\mathbf{L}_i, \mathbf{L}_i]^F$ , a defect group of  $[\mathbf{L}_i, \mathbf{L}_i]^F$ . By suitably replacing  $\tilde{P}$  by an  $\mathbf{L}_i^F$ -conjugate, we may assume that the relevant block of  $[\mathbf{L}_i, \mathbf{L}_i]^F$  is  $\tilde{P}$ -stable and hence that  $\tilde{P}$  is a defect group of  $[\mathbf{L}_i, \mathbf{L}_i]^F \tilde{P}$ .

The isogeny  $\mathbf{L}_i \rightarrow \mathbf{H}_i$  restricts to an isogeny  $[\mathbf{L}_i, \mathbf{L}_i] \rightarrow [\mathbf{H}_i, \mathbf{H}_i]$  with kernel  $U \cap [\mathbf{L}_i, \mathbf{L}_i]$ . However  $[\mathbf{H}_i, \mathbf{H}_i]$  is a simply connected semisimple group, being the direct product of special linear groups. Thus,  $U \cap [\mathbf{L}_i, \mathbf{L}_i] = 1$  and the restriction of the isogeny to  $[\mathbf{L}_i, \mathbf{L}_i]$  is an abstract group isomorphism from  $[\mathbf{L}_i, \mathbf{L}_i]$  to  $[\mathbf{H}_i, \mathbf{H}_i]$  which commutes with  $F$ . Consequently,  $[\mathbf{L}_i, \mathbf{L}_i]^F \cong [\mathbf{H}_i, \mathbf{H}_i]^F$ . Also,  $U \cap [\mathbf{L}_i, \mathbf{L}_i] \tilde{P} = 1$  and the induced map  $[\mathbf{L}_i, \mathbf{L}_i]^F \tilde{P} \rightarrow \mathbf{H}_i^F$  is injective. Thus  $\tilde{\tilde{P}} \cong \tilde{P} \cong P$  is a defect group of  $[\mathbf{L}_i, \mathbf{L}_i]^F \tilde{\tilde{P}} \cong [\mathbf{H}_i, \mathbf{H}_i]^F \tilde{\tilde{P}}$ . Since  $\mathbf{H}_i^F$  is a finite general linear or unitary group, the result now follows from [12, Theorem (3C)] and Proposition 2.3 in the same way as for the case that  $L/Z(L)$  is a projective special linear or unitary group.  $\square$

## 5. ON $A_{p-1}$ -COMPONENTS

**Lemma 5.1.** *Suppose that  $p$  is an odd prime and let  $G$  be a finite group isomorphic to one of the groups  $\mathrm{SL}_p(q)$  or  $\mathrm{SU}_p(q)$  for some prime power  $q$  not divisible by  $p$ . Let  $U$  be a non-abelian  $p$ -subgroup of  $G$ . Then  $U$  contains a normal abelian subgroup  $U_0$  of index  $p$  such that any element of  $U \setminus U_0$  has order  $p$ . If  $|U| \geq p^{p+1}$ , then  $U_0$  contains an element of order  $p^2$ .*

*Proof.* First, consider the case that  $G$  is special linear or unitary. By replacing  $q$  if necessary by some power we may assume that  $U \leq \mathrm{SL}_p(q)$  and  $p$  divides  $q - 1$ . Let  $S_0$  be the Sylow  $p$ -subgroup of the group of diagonal matrices of  $\mathrm{SL}_p(q)$  and let  $\sigma$  be a non-diagonal, monomial matrix in  $\mathrm{SL}_p(q)$  of order  $p$ . Then  $S := \langle S_0, \sigma \rangle$  is a Sylow  $p$ -subgroup of  $\mathrm{SL}_p(q)$ ,  $S_0$  is normal in  $S$ , abelian, of index  $p$  in  $S$ , rank  $p - 1$  and any element of  $S$  not in  $S_0$  has order  $p$ . Let  $U_0 = U \cap S_0$ . Then  $U_0$  has index at most  $p$  in  $U$ . On the other hand, since  $U$  is non-abelian and  $S_0$  is abelian,  $U$  is not contained in  $U_0$ . Thus  $U_0$  has index  $p$  in  $U$ , proving the first assertion. Now suppose that  $U$  has exponent  $p$ . Then  $U_0$  is elementary abelian. On the other hand,  $U_0 \leq S_0$  and the  $p$ -rank of  $S_0$  is  $p - 1$ . Hence,  $|U| = p|U_0| \leq p^p$ .

□

In the rest of this section,  $p$  will denote a fixed prime and  $\mathbf{G}$  will denote a connected reductive group in characteristic  $r \neq p$  with a Frobenius morphism  $F$  with respect to some  $\mathbb{F}_{r'}$  structure for some power  $r'$  of  $r$ . In what follows, whenever we talk of a component of  $\mathbf{G}$ , we will mean a simple component of  $[\mathbf{G}, \mathbf{G}]$ .

We need a slight variation of the previous lemma.

**Lemma 5.2.** *Suppose that  $p$  is odd. If  $[\mathbf{G}, \mathbf{G}] = \mathrm{SL}_p$ , then any  $p$ -subgroup of  $\mathbf{G}^F$  has an abelian subgroup of index  $p$ .*

*Proof.* Since  $\mathbf{G} = Z^\circ(\mathbf{G})[\mathbf{G}, \mathbf{G}]$  any element and hence any subgroup of  $\mathbf{G}^F$  is contained in  $Z^\circ(\mathbf{G})^{F^d}[\mathbf{G}, \mathbf{G}]^{F^d}$  for some  $d \geq 1$ . This can be seen as follows. Since  $\mathbf{G} = Z^\circ(\mathbf{G})[\mathbf{G}, \mathbf{G}]$ , any element  $u$  of  $\mathbf{G}$  can be written in the form  $u = xy$ , where  $x \in Z^\circ(\mathbf{G})$  and  $y \in [\mathbf{G}, \mathbf{G}]$ . Let  $\iota : \mathbf{G} \rightarrow \mathrm{GL}_n$  be an embedding. Then for some power, say  $F^t$  of  $F$ , some power, say  $s$  of  $r$ , and for all  $g \in \mathbf{G}$ ,  $F^t \circ \iota(g) = F_s(\iota(g))$  where  $F_s$  is the standard Frobenius morphism of  $\mathrm{GL}_n$  raising every matrix entry to the  $s$ -th power. The claim follows since for any  $h \in \mathrm{GL}_n$ ,  $F_s^m(h) = h$  for some natural number  $m$ . Since any Sylow  $p$ -subgroup of  $Z^\circ(\mathbf{G})^{F^d}[\mathbf{G}, \mathbf{G}]^{F^d}$  is of the form  $R_1R_2$ , where  $R_1$  is a Sylow  $p$ -subgroup of  $Z^\circ(\mathbf{G})^{F^d}$  and  $R_2$  is a Sylow  $p$ -subgroup of  $[\mathbf{G}, \mathbf{G}]^{F^d}$ , the result follows from the previous Lemma and the fact that  $R_1$  is central in  $R_1R_2$ . □

**Lemma 5.3.** *Suppose that  $p$  is odd. Let  $\mathbf{X} = \mathrm{SL}_p$  be an  $F$ -stable component of  $\mathbf{G}$  such that  $\mathbf{X}^F$  has a central element of order  $p$  and let  $\mathbf{Y}$  be the product of all other components of  $\mathbf{G}$  and  $Z^\circ(\mathbf{G})$ . Let  $P$  be a  $p$ -subgroup of  $\mathbf{G}^F$  such that  $P \cap \mathbf{X}^F$  is non-abelian of order at least  $p^p$  and  $P$  is not contained in  $\mathbf{X}^F\mathbf{Y}^F$ . Then there exists an element of order  $p^2$  in  $P$ . Further, if  $Z$  is a central subgroup of  $\mathbf{G}^F$  of order  $p$  such that  $P/Z$  has exponent  $p$ , then  $Z \leq \mathbf{X}^F$ .*

*Proof.* Let  $\tilde{P}$  be the inverse image of  $P$  under the surjective group homomorphism  $\mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{G}$  induced by multiplication. The kernel of the multiplication map is isomorphic to  $\mathbf{X} \cap \mathbf{Y} = Z(\mathbf{X}) \cap Z(\mathbf{Y})$ . Since  $\mathbf{X}$  is a simple group of type  $A_{p-1}$ , the kernel of the multiplication map is a group of order  $p$  and in particular,  $\tilde{P}$  is a finite  $p$ -group. Let  $P_1 \leq \mathbf{X}$  be the image of  $\tilde{P}$  under the projection of  $\mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ . Clearly  $P_1$  contains  $P \cap \mathbf{X}^F$ . We claim that  $P \cap \mathbf{X}^F$  is proper in  $P_1$ . Indeed, otherwise  $\tilde{P} \leq (P \cap \mathbf{X}^F) \times \mathbf{Y}$ , whence  $P \leq (P \cap \mathbf{X}^F)\mathbf{Y}$ . This implies that  $P \leq (P \cap \mathbf{X}^F)(P \cap \mathbf{Y}^F) \leq P \cap \mathbf{X}^F\mathbf{Y}^F$ , a contradiction. Since  $P \cap \mathbf{X}^F$  is assumed to have order at least  $p^p$ , the claim implies that  $|P_1| \geq p^{p+1}$ .

Now  $P_1$  is a finite subgroup of  $\mathbf{X}$ , thus of some finite special linear (or unitary) group. Hence, by Lemma 5.1, there exists an element  $x \in P_1$  of order  $p^2$ . Let  $y \in \mathbf{Y}$  be such that  $w = xy \in P$ . Since  $P \cap \mathbf{X}^F$  is non-abelian again by Lemma 5.1, there exists  $\sigma \in P \cap \mathbf{X}^F$  such that  $x\sigma$  has order  $p$ . Then  $w$  and  $w\sigma \in P$ ,  $w^p = x^p y^p$  and  $(w\sigma)^p = y^p$ . Then either  $w^p \neq 1$  or  $(w\sigma)^p \neq 1$ , proving the first part of the result.

Suppose that  $P/Z$  has exponent  $p$ . Then,  $w^p, (w\sigma)^p$  are in  $Z$ . Hence  $x^p \in Z$ . Since  $1 \neq x^p$  and  $Z$  has order  $p$  the second assertion follows.  $\square$

**Lemma 5.4.** *Let  $\mathcal{X}$  be an  $F$ -stable subset of components of  $\mathbf{G}$ . Let  $\mathbf{X}$  be the product of all elements of  $\mathcal{X}$  and let  $\mathbf{Y}$  be the product of  $Z^\circ(\mathbf{G})$  and all the components of  $[\mathbf{G}, \mathbf{G}]$  not in  $\mathcal{X}$ .*

- (i) *Let  $P$  be a defect group of a block  $b$  of  $\mathbf{G}^F$ . Then  $P \cap \mathbf{X}^F \mathbf{Y}^F$  is a defect group of a block of  $\mathbf{X}^F \mathbf{Y}^F$  covered by  $b$  and is of the form  $P_1 P_2$ , where  $P_1$  is a defect group of a block of  $\mathbf{X}^F$  covered by  $b$  and  $P_2$  is a defect group of a block of  $\mathbf{Y}^F$  covered by  $b$ . If  $Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F$  has  $p'$ -order, then  $P = P_1 P_2$  and the product is direct.*
- (ii) *Let  $c$  be a  $p$ -block of  $\mathbf{X}^F \mathbf{Y}^F$ . Then the index of the stabiliser of  $c$  in  $\mathbf{G}^F$  is prime to  $p$ . Suppose further that  $Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F$  is a  $p$ -group. Then  $c$  is  $\mathbf{G}^F$ -stable,  $c$  is covered by a unique block of  $\mathbf{G}^F$  and if  $P$  is a defect group of the block of  $\mathbf{G}^F$  covering  $c$ , then  $P \cap \mathbf{X}^F \mathbf{Y}^F$  is a defect group of  $c$  and  $P/(P \cap \mathbf{X}^F \mathbf{Y}^F) \cong \mathbf{G}^F/\mathbf{X}^F \mathbf{Y}^F$ .*

*Proof.* The first statement of (i) follows from the theory of covering blocks as  $\mathbf{X}^F \mathbf{Y}^F$  is a normal subgroup of  $\mathbf{G}^F$ ,  $\mathbf{X}^F$  and  $\mathbf{Y}^F$  centralise each other and  $\mathbf{X}^F \cap \mathbf{Y}^F = Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F \subseteq Z(\mathbf{G})^F$  is central in  $\mathbf{X}^F \mathbf{Y}^F$ . The second assertion of (i) follows from the first assertion, the fact that  $|\mathbf{G}^F| = |\mathbf{X}^F| |\mathbf{Y}^F|$  and  $\mathbf{X}^F \cap \mathbf{Y}^F = Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F$ .

We now prove (ii). Let  $u \in \mathbf{G}^F$  be a  $p$ -element. Then  $u = xy$ , with  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  such that  $x^{-1}F(x) = yF(y^{-1})$  is an element of  $Z(\mathbf{X}) \cap Z(\mathbf{Y})$ . We may assume without loss of generality that  $x$  and  $y$  are  $p$ -elements. The block  $c$  of  $\mathbf{X}^F \mathbf{Y}^F$  is a product  $c_1 c_2$  of blocks  $c_1$  of  $\mathbf{X}^F$  and  $c_2$  of  $\mathbf{Y}^F$ . Thus, it suffices to prove that  ${}^x c_1 = c_1$  and  ${}^y c_2 = c_2$ .

Now consider a regular embedding  $\mathbf{X} \leq \tilde{\mathbf{X}}$ , where  $\tilde{\mathbf{X}}$  is a connected reductive group with connected centre containing  $\mathbf{X}$  as a closed subgroup, such that  $[\tilde{\mathbf{X}}, \tilde{\mathbf{X}}] = [\mathbf{X}, \mathbf{X}]$  and such that  $F$  extends to a Frobenius morphism of  $\tilde{\mathbf{X}}$ . Since  $x^{-1}F(x) \in Z(\mathbf{X}) \leq Z^\circ(\tilde{\mathbf{X}})$ ,  $x = x_1 z$  for some  $x_1 \in \tilde{\mathbf{X}}^F$ , and  $z \in Z^\circ(\tilde{\mathbf{X}})$ . We may assume also that  $x_1$  is a  $p$ -element. Then  ${}^x c_1 = {}^{x_1} c_1$ . On the other hand,  $c_1$  contains an ordinary irreducible character  $\chi$  in a Lusztig series corresponding to a semisimple element of order prime to  $p$  in the dual group of  $\mathbf{X}$ , hence the index in  $\tilde{\mathbf{X}}^F$  of the stabiliser in  $\tilde{\mathbf{X}}^F$  of  $\chi$  has order prime to  $p$  (see for instance [3, Corollaire 11.13]). This proves the first assertion. If  $Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F$  is a  $p$ -group, then  $|\mathbf{G}^F/\mathbf{X}^F \mathbf{Y}^F| = |Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F|$  is a power of  $p$ . By the first assertion,  $c$  is  $\mathbf{G}^F$ -stable and by standard block theory, there is a unique block of  $\mathbf{G}^F$  covering  $c$ . The second assertion of (ii) now follows from (i).  $\square$

**Lemma 5.5.** *Suppose that  $p$  is odd. Let  $\mathbf{X}$  be an  $F$ -stable component of  $\mathbf{G}$  of type  $A_{p-1}$  and let  $\mathbf{Y}$  be the product of all other components of  $\mathbf{G}$  and  $Z^\circ(\mathbf{G})$ . Suppose that*

$Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F \neq 1$  and that  $P$  is a defect group of  $\mathbf{G}^F$  such that  $P \cap \mathbf{X}^F$  is abelian. Then there exists an  $F$ -stable torus  $\mathbf{T}$  of  $\mathbf{X}$  such that  $P$  is a defect group of  $(\mathbf{Y}\mathbf{T})^F$ .

*Proof.* In the proof, we will identify blocks with the corresponding central primitive idempotents. Let  $b$  be a block of  $\mathbf{G}^F$  with  $P$  as defect group and let  $P_0 := P \cap \mathbf{X}^F \mathbf{Y}^F$ . The hypothesis implies that  $|Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F| = p$ . So, by Lemma 5.4,  $b$  is a block of  $\mathbf{X}^F \mathbf{Y}^F$ ,  $P_0$  is a defect group of  $b$  as block of  $\mathbf{X}^F \mathbf{Y}^F$  and  $P/P_0$  is isomorphic to  $\mathbf{G}^F/\mathbf{X}^F \mathbf{Y}^F$ . Let  $b = b_1 b_2$ , where  $b_1$  is the block of  $\mathbf{X}^F$  covered by  $b$  and  $b_2$  is the block of  $\mathbf{Y}^F$  covered by  $b$ .

Let  $u \in P$  generate  $P$  modulo  $P_0$  and write  $u = xy$ ,  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$ . Since  $u$  is a  $p$ -element, we may assume that both  $x$  and  $y$  are  $p$ -elements.

Now consider an  $F$ -compatible regular embedding of  $\mathbf{X}$  in  $\tilde{\mathbf{X}}$  such that  $\tilde{\mathbf{X}}^F$  is a finite general linear (or unitary) group. Since  $Z(\tilde{\mathbf{X}})$  is connected, there exists  $z \in Z^\circ(\tilde{\mathbf{X}})$  such that  $g := xz^{-1} \in \tilde{\mathbf{X}}^F$ . Further, we may choose  $z$  such that  $g$  is a  $p$ -element. Since  $u = xy$  normalises  $P_1$ ,  $x$  normalises  $P_1$  and therefore  $g$  normalises  $P_1$ . Therefore  $S = \langle P_1, g \rangle \leq \tilde{\mathbf{X}}^F$  is a  $p$ -group. Since  $u$  normalises  $b_1$  it also follows that  $b_1$  is  $S$ -stable.

We claim that there exists a block of  $\tilde{\mathbf{X}}^F$  covering  $b_1$  with a defect group  $D$  containing  $S$ . Indeed, in order to prove the claim, it suffices to prove that  $\text{Br}_S(b_1) \neq 0$ . Since  $b_1$  and  $b_2$  are both  $\mathbf{G}^F$ -stable,

$$0 \neq \text{Br}_P(b) = \text{Br}_P(b_1)\text{Br}_P(b_2)$$

and consequently  $\text{Br}_P(b_1) \neq 0 \neq \text{Br}_P(b_2)$ . Hence writing  $b_1 = \sum_{v \in \mathbf{X}^F} \alpha_v v$  as an element of the modular group algebra of  $\mathbf{X}^F$  there exists  $v \in \mathbf{X}^F$  with  $\alpha_v$  non-zero such that  $v$  centralises  $P$  and in particular  $v$  centralises  $P_1$  and  $u$ . Since  $z$  is central, and  $y$  centralises  $\mathbf{X}$ , we have that  $v$  also commutes with  $g$ . Hence  $v$  centralises  $S$  and it follows that  $\text{Br}_S(b_1) \neq 0$ , proving the claim.

By the block theory of finite general linear (or unitary) groups (see [12]; noting that  $p$  divides  $q - 1$  in the linear case and that  $p$  divides  $q + 1$  in the unitary case)  $D$  is a Sylow  $p$ -subgroup of the centraliser of some semisimple element of  $\tilde{\mathbf{X}}^F$ . Since by hypothesis  $P_1 = D \cap \mathbf{X}^F$  is abelian, we have that  $D$  is abelian, hence  $D$  is the Sylow  $p$ -subgroup of  $\tilde{\mathbf{T}}^F$  for some  $F$ -stable maximal torus  $\tilde{\mathbf{T}}$  of  $\tilde{\mathbf{X}}$ . Set  $\mathbf{T} = \mathbf{X} \cap \tilde{\mathbf{T}}$ , an  $F$ -stable maximal torus of  $\mathbf{X}$ . Then  $P_1 = D \cap \mathbf{X}^F$  is a Sylow  $p$ -subgroup of  $\mathbf{T}^F$ . Now  $g = xz \in S \leq D \leq \tilde{\mathbf{T}}$ , and  $z \in \tilde{\mathbf{T}}$  (as  $z$  is central), hence  $x = gz^{-1} \in \tilde{\mathbf{T}} \cap \mathbf{X} = \mathbf{T}$ .

Set  $\mathbf{G}_0 = \mathbf{T}\mathbf{Y}$ . We have  $u = xy \in \mathbf{G}_0^F$ . Since  $\mathbf{X} \cap \mathbf{Y} \leq Z(\mathbf{X}) \leq \mathbf{T}$ , we have that  $\mathbf{G}_0^F \cap \mathbf{X}^F \mathbf{Y}^F = \mathbf{T}^F \mathbf{Y}^F$  and  $\mathbf{G}_0^F/\mathbf{T}^F \mathbf{Y}^F$  is isomorphic to a subgroup of  $\mathbf{G}^F/\mathbf{X}^F \mathbf{Y}^F$  and in particular has order  $p$ . Hence  $\mathbf{G}_0^F = \langle \mathbf{T}^F \mathbf{Y}^F, u \rangle$ . Let  $e$  be a block of  $\mathbf{T}^F$  such that  $eb_2 \neq 0$ . Since  $\mathbf{T}^F$  and  $\mathbf{Y}^F$  commute,  $eb_2$  is a block of  $\mathbf{T}^F \mathbf{Y}^F$ . Since  $\mathbf{T}$  is central in  $\mathbf{G}_0$ ,  $e$  is  $\mathbf{G}_0^F$ -stable. Further,  $b_2$  is  $P$ -stable hence  $b_2$  is  $\mathbf{G}_0^F$ -stable. So  $eb_2$  is a  $\mathbf{G}_0^F$ -stable block of  $\mathbf{T}^F \mathbf{Y}^F$  and therefore a block of  $\mathbf{G}_0^F$ . Since  $P_1$  is the Sylow  $p$ -subgroup of  $\mathbf{T}^F$  and  $\mathbf{T}^F$  is abelian,  $P_1$  is the defect group of  $e$  and  $P_2$  is a defect group of  $b_2$ . Thus,  $P_1 P_2$  is a defect group of  $eb_2$  as block of  $\mathbf{T}^F \mathbf{Y}^F$ . Since

$\text{Br}_P(eb_2) = \text{Br}_P(e)\text{Br}_P(b_2)$  is non-zero, it follows by order considerations that  $P$  is a defect group of  $eb_2$ .  $\square$

## 6. THE CASE $p = 3, 5$

In this section we handle the remaining exceptional groups of Lie type for  $p \leq 5$ .

**Lemma 6.1.** *Let  $G, H$  be finite groups,  $B$  a  $p$ -block of  $G$  and  $C$  a  $p$ -block of  $H$  such that  $B$  and  $C$  are Morita equivalent. Let  $P$  be a defect group of  $B$ , and  $Q$  a defect group of  $C$ . Suppose that  $P$  has exponent  $p$ . Then  $P$  is abelian if and only if  $Q$  is abelian. Further,  $P$  has an abelian subgroup of index  $p$  if and only if  $Q$  has an abelian subgroup of index  $p$ .*

*Proof.* By [21, Satz J], the exponent of defect groups is an invariant of Morita equivalence, hence  $Q$  has exponent  $p$ . In particular any abelian subgroup of  $P$  or of  $Q$  is elementary abelian. The remaining statements follow by the fact that Morita equivalence preserves the rank of the corresponding defect groups (see [2, Theorem 2.6]).  $\square$

**Lemma 6.2.** *Let  $\mathbf{L}$  be connected reductive, with Frobenius morphism  $F$ , and let  $Z$  be a central  $p$ -subgroup of  $\mathbf{L}^F$ . Let  $b$  be a block of  $\mathbf{L}^F$  and  $P$  a defect group of  $b$ . Suppose that  $P/Z$  is non-abelian, supports a transitive fusion system and  $|P/Z| \geq p^4$ . Let  $\mathbf{H}$  be an  $F$ -stable Levi subgroup of  $\mathbf{L}$ , let  $c$  be a Bonnafé-Rouquier correspondent of  $b$  in  $\mathbf{H}$  and let  $Q$  be a defect group of  $c$ . Then  $Q/Z$  has exponent  $p$  and  $Q/Z$  does not have an abelian subgroup of index  $p$ . In particular, a Sylow  $p$ -subgroup of  $\mathbf{H}^F$  does not have an abelian subgroup of index  $p$ .*

*Proof.* Let  $\bar{b}$  be the block of  $\mathbf{L}^F/Z$  dominated by  $b$  and let  $\bar{c}$  be the block of  $\mathbf{H}^F/Z$  dominated by  $c$ . By [10, Prop. 4.1],  $\bar{b}$  and  $\bar{c}$  are Morita equivalent. Further,  $P/Z$  is a defect group of  $\bar{b}$  and  $Q/Z$  is a defect group of  $\bar{c}$ . The result now follows from Lemma 2.1 and Lemma 6.1.  $\square$

**Proposition 6.3.** *Let  $\mathbf{L}$  be connected reductive, in characteristic  $r \neq p = 3$  with Frobenius morphism  $F$ , and suppose that  $[\mathbf{L}, \mathbf{L}]$  is simply connected of type  $E_6$  in characteristic  $r \neq 3$ . Let  $Z$  be a cyclic subgroup of  $Z(\mathbf{L}^F)$  of order 1 or 3 and let  $P$  be a defect group of  $\mathbf{L}^F$ . Suppose that  $P/Z$  supports a transitive fusion system and  $|P/Z| \geq 3^7$ . Suppose further that either  $Z = 1$  or that  $\mathbf{L}$  is simple. Then  $P/Z$  is abelian.*

*Proof.* Suppose that  $P/Z$  is non-abelian. Let  $\mathbf{H}$  be an  $F$ -stable Levi subgroup of  $\mathbf{L}$  and  $c$  a block of  $\mathbf{H}^F$  such that  $c$  is quasi-isolated and  $b$  and  $c$  are Bonnafé-Rouquier correspondents. Let  $s \in \mathbf{H}^*$  be a semisimple label of  $c$  (and  $b$ ). Since  $b$  and  $c$  are Bonnafé-Rouquier correspondents,  $C_{\mathbf{L}^*}(s) = C_{\mathbf{H}^*}(s)$ . Let  $Q$  be a defect group of  $c$ . By Lemma 6.2, we may assume that  $Q/Z$  has exponent 3 and does not have an abelian subgroup of index 3. Note that all components of  $\mathbf{L}$  and hence of  $\mathbf{H}$  are simply connected.

If  $\mathbf{H}^F$  has a component of type  $D_4$  or  $D_5$ , then the only other possible components are of type  $A_1$ . We get a contradiction by Lemma 5.4(i), Lemma 6.2 and the fact that finite groups of type  $D_4(q)$ ,  $D_5(q)$ ,  ${}^2D_4(q)$ ,  ${}^2D_5(q)$  and  ${}^3D_4(q)$  have a Sylow 3-subgroup with an abelian subgroup of index 3.

Thus, either all components of  $\mathbf{H}$  are of type  $A$  or  $\mathbf{H}$  has a component of type  $E_6$ . Let us first consider the case that all components of  $\mathbf{H}$  are of type  $A$ . In particular,  $C_{\mathbf{H}^*}^\circ(s)$  is a Levi subgroup of  $\mathbf{H}^*$  and since  $s$  has order prime to 3,  $C_{\mathbf{L}^*}(s) = C_{\mathbf{H}^*}(s)$  is connected. It follows that  $s$  is central in  $\mathbf{H}^*$ , hence that  $Q$  is a defect group of a unipotent block of  $\mathbf{H}^F$ .

Suppose that  $\mathbf{H}$  has a component  $\mathbf{X}$  of type  $A_5$ . Then  $\mathbf{X}$  is  $F$ -stable and is the only component of  $\mathbf{H}$ . If  $\mathbf{X}^F$  does not contain a central element of order 3, then by Lemma 5.4(i), a Sylow 3-subgroup of  $\mathbf{H}^F$  is a direct product of a Sylow 3-subgroup of  $\mathbf{X}^F$  with the Sylow 3-subgroup of  $Z^\circ(\mathbf{H})^F$ . Furthermore in this case a Sylow 3-subgroup of  $\mathbf{X}^F$  has an abelian subgroup of index 3. If  $\mathbf{X}^F$  contains a central element of order 3, then by [5, Prop. 3.3 and Theorem], the principal block is the only unipotent block of  $\mathbf{X}^F$ , and it follows that  $Q/Z$  has an element of order 9 since  $\mathrm{PSL}_6(q)$  (respectively  $\mathrm{PSU}_6(q)$ ) has elements of order 9 if  $3 \mid q - 1$  (respectively  $3 \mid q + 1$ ).

Suppose that  $\mathbf{H}$  has a component of type  $A_4$ . Then the only other possible component is of type  $A_1$  and it follows from Lemma 5.4(i) that a Sylow 3-subgroup of  $\mathbf{H}^F$  has an abelian subgroup of index 3.

Suppose that  $\mathbf{H}$  has a component  $\mathbf{X}$  of type  $A_3$ . If all other components are of type  $A_1$ , then the above argument applies. If  $\mathbf{H}$  has a component of type  $A_2$ , say  $\mathbf{Y}$ , then this is the only other component of  $\mathbf{H}$ . If the Sylow 3-subgroups of  $\mathbf{X}^F$  are abelian, then Lemma 5.4(i) and Lemma 5.2 give the result. Thus, we may assume that the Sylow 3-subgroups of  $\mathbf{X}^F$  are non-abelian. Thus,  $\mathbf{X}^F$  is isomorphic to  $\mathrm{SL}_4(q)$  (respectively  $\mathrm{SU}_4(q)$ ) with  $3 \mid q - 1$  (respectively  $3 \mid q + 1$ ). Consequently, the principal block is the unique unipotent block of  $\mathbf{X}^F$ . In particular,  $Q$  contains a Sylow 3-subgroup of  $\mathbf{X}^F$  and  $Q/Z$  has an element of order 9.

Thus, we may assume that all components of  $\mathbf{H}$  are of type  $A_2$  or  $A_1$ . By rank considerations, there can be at most two components of type  $A_2$ . By Lemma 5.4 (i) and Lemma 5.2 we may assume that there are two  $F$ -stable components  $\mathbf{X}$  and  $\mathbf{Y}$  of type  $A_2$  such that both  $\mathbf{X}^F$  and  $\mathbf{Y}^F$  have central elements of order 3. Consequently, the principal block of  $\mathbf{X}^F$  is the only unipotent block of  $\mathbf{X}^F$  and similarly for  $\mathbf{Y}^F$ . The only other component of  $\mathbf{H}$ , if it exists is of type  $A_1$ , which also has a unique unipotent block. Hence  $Q$  is a Sylow 3-subgroup of  $\mathbf{H}^F$ .

Since  $\mathbf{H}$  is a Levi subgroup of  $\mathbf{L}$ , there is surjective group homomorphism from  $Z(\mathbf{G})/Z^\circ(\mathbf{G})$  to  $Z(\mathbf{H})/Z^\circ(\mathbf{H})$  (see [3, Prop. 4.1]) and by hypothesis,  $[\mathbf{L}, \mathbf{L}]$  is simple of type  $E_6$ . Hence  $Z(\mathbf{H})/Z^\circ(\mathbf{H})$  is cyclic of order 1 or 3. Since  $\mathbf{X}$  and  $\mathbf{Y}$  are the only components of  $\mathbf{H}$  with central elements of order 3, it follows that either  $Z(\mathbf{X})$  or  $Z(\mathbf{Y})$  covers  $Z(\mathbf{H})/Z^\circ(\mathbf{H})$ . Thus, either  $Z(\mathbf{X}) \leq Z(\mathbf{Y})Z^\circ(\mathbf{H})$  or  $Z(\mathbf{Y}) \leq Z(\mathbf{X})Z^\circ(\mathbf{H})$ .

Assume that  $Z(\mathbf{X}) \leq Z(\mathbf{Y})Z^\circ(\mathbf{H})$ . Let  $\mathbf{U}$  be the product of all components of  $\mathbf{H}$  other than  $\mathbf{X}$  and  $Z^\circ(\mathbf{H})$ . Then,  $Z(\mathbf{X})^F \leq (Z(\mathbf{Y})Z^\circ(\mathbf{H}))^F \leq \mathbf{U}^F$  and hence  $3 \mid |\mathbf{X}^F \cap \mathbf{U}^F|$ . Since  $Q$  is a Sylow 3-subgroup of  $\mathbf{H}^F$  and  $|\mathbf{H}^F| = |\mathbf{X}^F||\mathbf{U}^F|$ ,  $Q$  is not contained in  $\mathbf{X}^F\mathbf{U}^F$ . Further,  $Q \cap \mathbf{X}^F$  is a Sylow 3-subgroup of  $\mathbf{X}^F$  and in particular is non-abelian of order at least  $3^3$ . By Lemma 6.2,  $Q/Z$  has exponent 3. So, by Lemma 5.3,  $1 \neq Z \leq Z(\mathbf{X})$  whence  $Z = Z(\mathbf{X})$ . Since  $Z \neq 1$ ,  $\mathbf{L}$  is simple by hypothesis. In particular,  $Z = Z(\mathbf{X})$  covers  $Z(\mathbf{G})/Z^\circ(\mathbf{G})$ . It follows that  $Z(\mathbf{Y}) \leq Z(\mathbf{X})Z^\circ(\mathbf{H})$ . By the same argument as above with  $\mathbf{Y}$  replacing  $\mathbf{X}$ , we get that  $Z = Z(\mathbf{Y})$ . In particular  $Z(\mathbf{X}) = Z(\mathbf{Y})$ , a contradiction since  $\mathbf{X} \cap \mathbf{Y} = 1$ .

Finally, consider the case that  $\mathbf{H}$  has a component of type  $E_6$ . Then  $\mathbf{H} = \mathbf{L}$  and  $b = c$ . Let  $b_0$  be a block of  $[\mathbf{L}, \mathbf{L}]^F$  covered by  $b$  and let  $P_0 = P \cap [\mathbf{L}, \mathbf{L}]^F$  be a defect group of  $b_0$ . Let  $R$  be the Sylow 3-subgroup of  $Z^\circ(\mathbf{L})^F$ . By Lemma 5.4(i) applied with  $\mathbf{X} = [\mathbf{L}, \mathbf{L}]$  and  $\mathbf{Y} = Z^\circ(\mathbf{L})$ ,  $P \cap [\mathbf{L}, \mathbf{L}]^F Z^\circ(\mathbf{L})^F = P_0 R$ . So,  $P/P_0 R$  is a subgroup of  $\mathbf{L}^F/([\mathbf{L}, \mathbf{L}]^F Z^\circ(\mathbf{L})^F)$ . Since  $\mathbf{L}^F/([\mathbf{L}, \mathbf{L}]^F Z^\circ(\mathbf{L})^F)$  is either trivial or has order 3, we have that  $P_0 R$  has index at most 3 in  $P$ . If  $P_0$  is abelian, then  $P$  and hence  $P/Z$  has an abelian subgroup of index 3. Thus,  $P_0$  is non-abelian. We claim that  $R \leq P_0$ . Indeed, by hypothesis, either  $Z = 1$  or  $[\mathbf{L}, \mathbf{L}] = \mathbf{L}$ . If  $\mathbf{L} = [\mathbf{L}, \mathbf{L}]$ , then  $R = 1$  and the claim holds trivially. If  $Z = 1$ , then  $P$  supports a transitive fusion system. Hence  $R \leq Z(P) \leq [P, P] \leq [\mathbf{L}, \mathbf{L}]^F$  and the claim is proved. Thus,  $P_0 = PR$  has index at most 3 in  $P$ .

Assume first that  $b_0$  is unipotent. The unipotent 3-blocks of exceptional groups have been described in [11]. If  $b_0$  is the principal block, then  $P/Z$  has exponent greater than 3. So,  $b_0$  is non-principal and  $P_0$  is non-abelian. By [11] (last part of the proofs for Tableau I),  $P_0$  is the extension of a homocyclic group, say  $T$ , of rank 2 by a group of order 3. If  $T$  is not elementary abelian, then  $TZ/Z$  has exponent at least 9 and hence so does  $P/Z$ . Thus, we may assume that  $T$  is elementary abelian. So,  $|P_0| = 3^3$  and  $|P| \leq 3^4$ , a contradiction.

So, we may assume that  $b_0$  is quasi-isolated but not unipotent. Here the blocks are described in [19, Section 4.3]. In particular,  $b_0$  corresponds to one of lines 13, 14, or 15 of Table 4 of [19] (and the corresponding Ennola duals; see the last remark of Section 4 of [19]). If  $b_0$  corresponds to line 15, then  $P_0$  is abelian. If  $b_0$  corresponds to line 14, then  $P_0$  is the extension of a homocyclic group, say  $T$ , of rank 4 by a group of order 3. If  $T$  is not elementary abelian, then  $TZ/Z$  has exponent at least 9 and if  $T$  is elementary abelian, then  $|P_0| \leq 3^5$ , whence  $|P| \leq 3^6$ , a contradiction. If  $b_0$  corresponds to line 13, then  $P_0$  contains a subgroup isomorphic to a Sylow 3-subgroup of  $\mathrm{SL}_6(q)$  with  $3 \mid q - 1$ . In particular,  $\mathcal{U}^1(P)$  is not cyclic. On the other hand, since  $P/Z$  has exponent 3,  $\mathcal{U}^1(P) \leq Z$ . This is a contradiction as  $Z$  is cyclic.  $\square$

**Proposition 6.4.** *Suppose that either  $p = 3$  and  $\mathbf{L}$  is simple and simply connected of type  $E_7$  or  $E_8$  in characteristic  $r \neq 3$  or that  $p = 5$  and  $\mathbf{L}$  is simple of type  $E_8$  in characteristic  $r \neq 5$ . Let  $F$  be a Frobenius morphism on  $\mathbf{L}$  and let  $P$  be a defect group*

of a  $p$ -block of  $\mathbf{L}^F$ . Suppose that  $P$  supports a transitive fusion system and  $|P| \geq 3^7$  if  $p = 3$ . Then  $P$  is abelian.

*Proof.* Suppose if possible that  $P$  is not abelian. As before  $P$  has exponent  $p$ , and is indecomposable and  $P$  does not have an abelian subgroup of index  $p$ . Let  $z \in Z(P)$ . Since  $\mathbf{L}$  is simply connected,  $\mathbf{H} := C_{\mathbf{L}}(z)$  is a connected reductive subgroup of  $\mathbf{L}$  of maximal rank and of semisimple rank at most 8 and by [24, Chapter 5, Theorem 9.6],  $P$  is a defect group of  $\mathbf{H}^F$ . The possible components of  $\mathbf{H}$  are of type  $A$ ,  $D$ ,  $E_6$  or  $E_7$ .

Let  $\mathcal{X}$  be an  $F$ -stable subset of components of  $\mathbf{H}$  and let  $\mathbf{X}$  be the product of the elements of  $\mathcal{X}$ . Suppose that  $\mathbf{X}^F$  does not have a central element of order  $p$ . By Lemma 5.4(i),  $P = (P \cap \mathbf{X}^F) \times (P \cap \mathbf{Y}^F)$  where  $\mathbf{Y}$  is the product of  $Z^\circ(\mathbf{H})$  and all components of  $\mathbf{H}$  other than those in  $\mathcal{X}$ . The indecomposability of  $P$  implies that either  $P \leq \mathbf{X}^F$  or  $P \leq \mathbf{Y}^F$ . Since  $z$  is a central  $p$ -element of  $\mathbf{H}^F$ , and  $\mathbf{X}^F$  does not have a central element of order  $p$ , it follows that  $P \leq \mathbf{Y}^F$ . By replacing  $\mathbf{H}$  by  $\mathbf{Y}$ , we may assume that the fixed points of every  $F$ -orbit of components of  $\mathbf{H}$  have central elements of order  $p$  ( $\mathbf{Y}$  may have rank less than  $\mathbf{H}$ ). Thus, if  $p = 5$  the only possible components are of type  $A_4$  and if  $p = 3$ , then the only possible components are of type  $A_2$ ,  $A_5$ ,  $A_8$  or  $E_6$ .

Suppose that  $\mathbf{H}$  has an  $F$ -stable component  $\mathbf{X}$  of type  $A_{p-1}$ . Let  $\mathbf{Y}$  be the product of all components of  $\mathbf{H}$  other than those in  $\mathbf{X}$  with  $Z^\circ(\mathbf{H})$ . By Lemma 5.4(i) and the indecomposability of  $P$ , we may assume that  $Z(\mathbf{X})^F \cap Z(\mathbf{Y})^F$  and hence  $\mathbf{H}^F/\mathbf{X}^F\mathbf{Y}^F$  has order  $p$ . So, by Lemma 5.4(ii),  $P$  is not contained in  $\mathbf{X}^F\mathbf{Y}^F$ . By Lemma 5.5, we may assume that  $P \cap \mathbf{X}^F$  is not abelian since otherwise we can replace  $\mathbf{X}$  by a torus. Since  $\mathbf{X}^F$  has a central element of order  $p$ ,  $\mathbf{X}^F$  is a special linear (respectively unitary) group. The only non-abelian defect groups of a finite special linear (or unitary) group of degree  $p$  in non-describing characteristic are Sylow  $p$ -subgroups and  $P \cap \mathbf{X}^F$  is a non-abelian defect group of  $\mathbf{X}^F$ . Thus,  $P \cap \mathbf{X}^F$  is a Sylow  $p$ -subgroup of  $\mathbf{X}^F$  and consequently has order at least  $p^p$ . Since we have shown above that  $P$  is not contained in  $\mathbf{X}^F\mathbf{Y}^F$ , by Lemma 5.3,  $P$  has an element of order  $p^2$ , a contradiction. Thus, we may assume that any component of  $\mathbf{H}$  of type  $A_{p-1}$  lies in an  $F$ -orbit of size at least 2.

If  $p = 5$ , the only case left to consider is that  $\mathbf{H}$  has two components of type  $A_4$  (and these are the only ones) transitively permuted by  $F$ . In this case, by rank considerations,  $Z^\circ(\mathbf{H})$  is trivial, and hence  $\mathbf{H}^F$  is isomorphic to a special linear or unitary group. In particular the Sylow 5-subgroups of  $\mathbf{H}^F$  have an abelian subgroup of index 5, a contradiction. This completes the proof for the case that  $p = 5$ .

Now assume that  $p = 3$ . Let us first consider the case that there is a component  $\mathbf{X}$  of  $\mathbf{H}$  of type  $A_8$ . Then  $\mathbf{H} = \mathbf{X} = \mathrm{SL}_8$  and we may argue as in the first part of the proof of Proposition 4.1.



Let us next consider the case that there is a component  $\mathbf{X}$  of  $\mathbf{H}$  of type  $A_5$ . If  $\mathbf{X}$  also has a component of type  $A_2$ , then by rank consideration this is the unique component of type  $A_2$  and we have ruled out this situation above. Thus  $\mathbf{X}$  is the unique component of  $\mathbf{H}$ . Let  $P_0$  be a defect group of a covered block of  $\mathbf{X}^F$ . The Sylow 3-subgroup of  $Z^\circ(\mathbf{H})^F$  is contained in  $Z(P)$  and  $Z(P) \leq [P, P] \leq [\mathbf{X}, \mathbf{X}] \cap \mathbf{H}^F \leq \mathbf{X}^F$ , hence we have that the Sylow 3-subgroup of  $Z^\circ(\mathbf{H})^F$  is contained in  $\mathbf{X}^F$  and in particular has order at most 3. Thus,  $P_0$  has index at most 3 in  $P$ . In particular  $P_0$  is non-abelian. Now  $\mathbf{X} = \mathbf{M}/Z$ , where  $\mathbf{M}$  is a special linear group of degree 6 (with a compatible  $F$ -action) and  $Z$  is a central subgroup. Since  $Z(\mathbf{M})$  is cyclic of order 6 (or 3 if  $r = 2$ ) and since  $\mathbf{X}$  has a central element of order 3,  $Z$  is either trivial or of order 2,  $Z$  is  $F$ -stable and  $Z^F = Z$ . Further,  $\mathbf{M}^F/Z$  is a normal subgroup of  $\mathbf{X}^F = (\mathbf{M}/Z)^F$  of index  $|Z|$ . Thus  $P_0$  is a defect group of  $\mathbf{M}^F/Z$  and up to isomorphism a defect group of  $\mathbf{M}^F$  and  $\mathbf{M}^F = \mathrm{SL}_6(q)$  (respectively  $\mathrm{SU}_6(q)$ ). Since  $\mathbf{M}^F/Z$  has index prime to 3,  $\mathbf{M}^F/Z$  contains the 3-part of the centre of  $\mathbf{X}^F$ , hence  $\mathbf{M}^F$  has a central element of order 3. Thus,  $P_0$  is the intersection with  $\mathbf{X}^F$  of a Sylow 3-subgroup of the centraliser of a semisimple 3'-element of  $\mathrm{GL}_6(q)$  (or  $\mathrm{GU}_6(q)$ ). Since  $P_0$  has exponent 3 and is non-abelian, the possible structures of semisimple centralisers in  $\mathrm{GL}_6(q)$  (or  $\mathrm{GU}_6(q)$ ) force that the centraliser in  $\mathrm{GL}_6(q)$  (respectively  $\mathrm{GU}_6(q)$ ) has the form  $\mathrm{GL}_3(q^2)$ . Hence  $|P_0| \leq p^3$  and  $|P| \leq p^4$  a contradiction.

Suppose  $\mathbf{H}$  has a component of type  $E_6$ . Arguing as in the previous case  $\mathbf{H}$  has no components of type  $A_2$  and hence the  $E_6$ -component is the unique component of  $\mathbf{H}$ . This component is of simply connected type since as explained in the beginning of the proof we may assume that the  $F$ -fixed point subgroup of every  $F$ -orbit of components of  $\mathbf{H}$  has central elements of order 3 and we are done by Proposition 6.3 (note that we apply Proposition 6.3 here in the case that  $Z = 1$ ).

The only case left to consider is that all components of  $\mathbf{H}$  are of type  $A_2$  and no component is  $F$ -stable. By rank considerations and the fact that groups of type  $E_8$  do not have semisimple centralisers with component type  $A_2^4$  (see the tables in [9]), we are left with two possibilities: either  $\mathbf{H}$  has exactly three components, all of type  $A_2$  and in a single  $F$ -orbit or  $\mathbf{H}$  has exactly two components both of type  $A_2$  and in a single  $F$ -orbit. In any case,  $[\mathbf{H}, \mathbf{H}]^F$  has a quotient or subgroup  $H_0$  isomorphic to  $\mathrm{PSL}_3(q)$  (respectively  $\mathrm{PSU}_3(q)$ ) for some  $q$  such that  $|[\mathbf{H}, \mathbf{H}]^F|/|H_0|$  equals 1 or 3. Let  $P_0 = P \cap [\mathbf{H}, \mathbf{H}]$  and let  $P'_0$  be either the intersection of  $P_0$  with  $H_0$  or the image of  $P_0$  in  $H_0$ . Then  $P'_0$  has exponent 3. Since any 3-subgroup of a finite projective special linear or unitary group of degree 3 has an abelian subgroup of index 3 and since the 3-rank of these groups is 2, it follows that  $|P'_0| \leq 3^3$ . Hence  $|P_0| \leq 3^4$ .

We claim that the index of  $P_0$  in  $P$  is at most 3. Indeed, let  $R$  be the Sylow 3-subgroup of  $Z^\circ(\mathbf{H})^F$ . Then  $R \leq Z(P) \leq [P, P] \leq [\mathbf{H}, \mathbf{H}]$ , that is  $R \leq P_0$ . On the other hand,  $|P/P_0R|$  divides  $|Z([\mathbf{H}, \mathbf{H}]^F)|_3$  and we have seen from the structure of  $[\mathbf{H}, \mathbf{H}]^F$  that  $Z([\mathbf{H}, \mathbf{H}]^F)$  has order at most 3. This proves the claim. Hence  $|P| \leq 3^5$ , a contradiction.  $\square$

## 7. CONSEQUENCES

We note some consequences of Theorem 1.2.

**Theorem 7.1.** *Let  $B$  be a block of a finite group such that  $k(B) - l(B) = 1$  (e. g. a block with multiplicity 1). Then  $B$  has elementary abelian defect groups.*

*Proof.* See proof of Theorem 3.6 in [23]. □

**Corollary 7.2.** *Let  $B$  be a block of a finite group such that  $k(B) = 3$ . Then  $B$  has elementary abelian defect groups.*

*Proof.* We have  $l(B) \in \{1, 2\}$ . In case  $l(B) = 1$  it was shown by Külshammer [22] that the defect groups of  $B$  have order 3. The remaining case  $l(B) = 2$  follows from Theorem 7.1. □

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