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1	MUD-DOMINATED BASIN MARGIN PROGRADATION: PROCESSES AND IMPLICATIONS
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13	ABSTRACT: The accretion of coarse-grained material at the shelf-edge rollover has been
14	emphasized in studies of basin margin progradation, despite fine grained sediment (clay and silt)
15	representing a volumetrically more significant component of subaqueous clinothems. The timing and
16	processes of fine-grained sediment transport across the shelf and onto the slope remains an
17	understudied facet of sedimentary basin stratigraphy. Three exhumed basin margin-scale clinothems

18 of the Permian Waterford Formation, in the Karoo Basin, South Africa, offer outcrop examples of 19 margin development through the accretion of mud during flooded shelf conditions. The progradation 20 of wave/storm-influenced sandy shelf topset deposits over a thick mudstone succession and beyond a 21 previously established sand-rich shelf-edge rollover suggests that some periods of basin margin 22 progradation took place exclusively via dilute mud-rich gravity flows. Detailed outcrop and core study 23 of offshore mudstones reveals a high content of organic debris and mica. Individual beds show 24 normal and inverse grading, internal erosion surfaces and moderate to low bioturbation, reflecting 25 relatively stressed conditions in frequently supplied outer shelf to upper slope regions. The estimated 26 low gradient (<0.7°) of the Karoo Basin margin and prevailing wave/storm conditions facilitated 27 prolonged suspension of fluid mud and transport across the shelf and beyond the shelf-edge rollover 28 in sediment gravity flows. This study represents a rare example of mudstone-dominated shelf-edge 29 rollover deposits documented at outcrop and core, and demonstrates how fine-grained sediment 30 accretion can play a significant role in basin margin progradation. Conventional depositional models

do not adequately account for progradation of basin margins in the absence of sand supply, which
 implies potential risks in the identification of shelf edge rollover positions and application of trajectory
 analysis in strongly progradational margins.

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## INTRODUCTION

36 Mud-rich clinothems are major components of continental shelves and the progradation of mud-37 dominated deltas has been widely described in modern systems (e.g. Cattaneo et al. 2007; 38 Slingerland et al. 2008). Shelf-edge progradation is commonly associated with the accretion of 39 coarse-grained material (very fine sand and coarser) on and beyond the rollover (zone between the 40 topset and foreset), when sedimentation in topsets is limited by low accommodation and/or high 41 sediment supply (Morton and Suter 1996; Muto and Steel 2002; Steel and Olsen 2002; Steel et al. 42 2003; Johannessen and Steel 2005; Porebski and Steel 2006; Carvajal and Steel 2009; Carvajal et al. 43 2009; Covault et al. 2009; Olariu and Steel 2009; Hubbard et al. 2010; Dixon et al. 2012a; 2012b). 44 Typically, the recognition of sand-rich shelf-edge rollovers is used in outcrop and subsurface studies 45 to define basin margin clinothems (e.g. Plink-Björklund and Steel 2002; Mellere et al. 2003; Pyles and 46 Slatt 2007; Uroza and Steel 2008; Dixon et al. 2012a) (Fig. 1). The trajectory of multiple shelf-edge 47 rollovers can be used to infer long-term relative sea-level changes (e.g. Steel and Olsen 2002; 48 Helland-Hansen and Hampson 2009; Henriksen et al. 2009; 2011; Olariu et al. 2012). When trajectory 49 is used in combination with the interpreted dominant shelf-edge process regime (Dixon et al. 2012b), 50 the timing of coarse-grained sediment delivery from shelves to deep basins can be predicted.

51 Mud-grade sediment is a volumetrically significant proportion of the total sediment transferred by 52 rivers (e.g. Burgess and Hovius 1998), and a major sediment component in modern shelf construction 53 (McCave 1972; Nittrouer et al. 1986; Kineke et al. 1996; Kuehl et al. 1996; Kuehl et al. 1997; Michels 54 et al. 1998; Kineke et al. 2000; Liu et al. 2001; Bentley 2003; Hill et al. 2009). Consequently 55 subaqueous deltas, shelf-edge rollovers, and basin margin clinothems are dominated by thick mud(-56 stone)-rich packages (e.g. Damuth et al. 1988; Bohacs 1998; Driscoll and Karner 1999; Cattaneo et 57 al. 2007; Liu et al. 2007; Slingerland et al. 2008; Bohacs et al. 2014; Patruno et al. 2015) (Fig. 1), 58 despite the emphasis commonly being on their sand-rich components. In addition, oceanographic 59 studies have documented the existence of high energy prograding mud-rich shelves (Rine and Ginsburg 1985; Augustinus 1989; Allison and Nittrouer 1998; Allison and Neill 2003; Cattaneo et al.
2003; Rotondo and Bentley 2003; Walsh et al. 2004; Ta et al. 2005).

62 A re-examination of mud transport processes (Macquaker and Bohacs 2007; McAnally et al. 2007; 63 Schieber et al. 2007; Schieber and Southard 2009; Schieber and Yawar 2009) and the mechanisms 64 responsible for widespread distribution of mud along the shelf (Nemec 1995; Abbott 2000; Traykovski 65 et al. 2000; Parsons et al. 2001; Dalrymple and Cummings 2005; Pattison 2005; Nakajima 2006; 66 Macquaker et al. 2007; Varban and Plint 2008; Ichaso and Dalrymple 2009; Macquaker et al. 2010; 67 Ghadeer and Macquaker 2011; Harazim and McIlroy 2015) have led to a major reappraisal of fine-68 grained successions in ancient shelves and epicontinental seas (e.g. Soyinka and Slatt 2008; 69 Bhattacharya and MacEachern 2009; Plint et al. 2009; MacKay and Dalrymple 2011; Plint et al. 2012; 70 2014; Wilson and Schieber 2014). However, there remains a lack of detailed studies across ancient 71 mudstone-rich shelf-edge rollover successions (type 4 clinothems of Steel et al. 2000), and the 72 mechanism and timing for basin margin clinothem progradation under mud-dominated supply regimes 73 are still poorly constrained.

74 This study of the Permian Waterford Formation, Karoo Basin (South Africa), utilizes an established 75 stratigraphic framework (Wild et al. 2009; Jones et al. 2013; 2015), but focuses specifically on 76 documenting a particular style of mudstone-dominated basin margin progradation in two basin 77 margin-scale clinothems. The combined outcrop and core dataset permits to i) recognize and provide 78 a depositional model of the shelf-to-slope transition in fine grained successions; ii) to understand the 79 processes responsible for the transport and deposition of outer shelf and upper slope mudstones; and 80 iii) to consider and discuss the implications of basin margin growth in the absence of coarse-grained 81 sediment delivery at the shelf edge.

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### STUDY AREA AND DATASET

The 5500-m-thick Karoo Supergroup in the SW Karoo Basin of South Africa comprises the Dwyka Group (Late Carboniferous to Early Permian glacial deposits), the Ecca Group (Permian clastic marine/marginal marine) and the Beaufort Group (Permo–Triassic fluvial sediments) (Veevers et al. 1994; Johnson et al. 1997; Visser 1997; Rubidge et al. 2000; Cole and Whipplinger 2001) (Fig. 2). Subsidence during Ecca Group time was generated by a combination of dynamic topography related to subduction of the paleo-Pacific oceanic plate, and inherited basement structures (Visser and 90 Praekelt 1996; Pysklywec and Mitrovica 1999; Tankard et al. 2009), that led to the development of the
91 Tanqua and Laingsburg depocenters.

92 The Karoo Basin deep water succession (Wickens 1994; Hodgson et al. 2006; Flint et al. 2011) is 93 overlain by upper slope and shelf deposits of the Waterford Formation (Wickens 1994) (Fig. 2), a 400 94 m-thick mixed-influence deltaic succession (Wild et al. 2009; Oliveira et al. 2011; Jones et al. 2013). 95 The complete vertical stratigraphic transition from slope channel-levee systems (Wild et al. 2005; 96 Hodgson et al. 2011) to shelf deltas, in combination with extensive down-dip exposures, permits the 97 geometry of the basin margin to be reconstructed, and the identification of successive basin margin 98 clinothems and their shelf-edge rollover positions (Wild et al. 2009; Oliveira et al. 2011; Dixon et al. 99 2012a; Jones et al. 2013; 2015). Recent improved constraints on the timing of sedimentation from U-100 Pb volcanic ash dating (Fildani et al. 2007; Fildani et al. 2009; McKay et al. 2015) suggest deltaic 101 deposition began slightly earlier in the Tanqua than in the Laingsburg depocenter. However, the 102 correlation of time-equivalent units between both depocenters is not the objective of this paper.

103 The dataset in the 6000 km<sup>2</sup> study area (Fig. 2) includes 66 detailed logged sections (15 in Tanqua, 104 51 in Laingsburg) and a 550 m fully cored research borehole (SL1), that collectively total nearly 21 km 105 of measured thickness, with units walked out between logs to provide physical stratigraphic 106 correlation. The outcrop dataset from both depocenters is displayed in >40 km-long correlation panels 107 (Figs. 3, 4). Collection of unidirectional paleoflow measurements from ripple foresets and flute casts, 108 and bidirectional measurements from groove marks and the crest-lines of symmetrical ripples indicate 109 that the overall paleoflow was to the NE and E (030°-080°) such that the panels are sub-parallel to 110 depositional dip, with landward to the west and south and basinward to the east and north. Panels in 111 Laingsburg are about 6 km apart across depositional strike, providing three-dimensional control on 112 sedimentological characteristics and depositional architecture for each clinothem (Jones et al. 2015).

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#### **FACIES ANALYSIS**

The sedimentary facies scheme is largely based on previous studies (Wild et al. 2009; Oliveira et al. 2011; Jones et al. 2013) and is presented in Table 1. The sand-dominated facies associations of the Waterford Formation topset deposits exhibit characteristics that are consistent with mixed wave- and river-influenced shoreline settings (Reineck and Singh 1973; Harms et al. 1975; 1982; McCubbin 1982; Browning et al. 2006; Ainsworth et al. 2011). This work focusses on the range of facies and facies associations that span from outer shelf through shelf-edge rollover to upper slope depositional settings (Table 1). Overall, the amount of Sedimentary structures that indicate river-dominance is less in Laingsburg than in Tanqua, and therefore shoreface nomenclature is used to interpreted the depositional environments in the Laingsburg area (Jones et al. 2013; 2015), but a deltafront/shoreface nomenclature is maintained for the Tanqua area (Wild et al. 2009).

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## **CLINOTHEMS – UNITS OF BASIN MARGIN PROGRADATION**

127 The stratigraphic units of the lower Waterford Formation are interpreted as basin margin clinothems, 128 the fundamental building blocks of basin margin development (e.g. Steel and Olsen 2002; Helland-129 Hansen et al. 2012; Patruno et al. 2015) (Fig. 1). Wild et al. (2009) and Jones et al. (2013) recognized 130 multiple 10-100 m-thick clinothems along depositional dip profiles (Figs. 3, 4), in Tanqua and 131 Laingsburg respectively. The vertical profile, depositional setting and scale of these stratigraphic 132 packages are consistent with deltaic parasequences as described by Van Wagoner et al. (1990). 133 Constraining the complete topset, foreset and bottomset deposits for each individual clinothem is not 134 always possible. However, the basinward thickening of parasequences, defined by regional mudstone 135 units interpreted to contain the deepwater equivalent of flooding surfaces, can be recognized and 136 used to define clinothems (Dixon et al. 2012b; Jones et al. 2015). The first abrupt or significant 137 change in the gradient can be used to interpret the location of successive shelf edge rollovers 138 (Southard and Stanley 1976), but the 'apparent' geometry of ancient shelf margins might be highly 139 dependent on the choice of datum and the result of post-depositional factors, such as differential 140 sand/mud compaction and accumulated error when measuring thicknesses in the field. This 141 geometric criterion must be therefore used in combination with other observed features, which do not 142 independently point the shelf edge position, but that in conjunction indicate abrupt changes in 143 sedimentary facies and depositional architecture close to the shelf-edge rollover zone. These include 144 (i) extensional deformation (growth faults), (ii) widespread bypass features (gullies) and (iii) 145 progressive increase in sandstone turbidites beyond the rollover (see Jones et al. 2013).

In the up-dip exposures, clinothem thickness decreases stratigraphically upward from ~50 m to ~25 m in Tanqua, and from ~100 m to ~20 m in Laingsburg (Table 2). The documented NE and E paleoflow direction in both the Tanqua and Laingsburg depocenters is consistent with a NW-SE orientation of the reconstructed shelf margin, although with local irregularities and lateral variability as reported inthe Laingsburg depocenter (Jones et al. 2015) (Fig. 5).

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## STRATIGRAPHIC ARCHITECTURE

#### Laingsburg depocenter

154 The lower Waterford Formation in the Laingsburg area comprises eight regionally-correlated 155 clinothems (Jones et al. 2015). The lower four units (WfC-1-4) show a progradational stacking pattern 156 interpreted as a highstand systems tract (Jones et al., 2013). WfC 4 and 5 are separated by an 157 interpreted regressive surface of marine erosion (type-2 sequence boundary, Fig. 4) (Jones et al. 158 2013). Clinothems show an increasingly steep rising trajectory with the shelf-edge rollover of WfC 5 159 positioned almost directly on top of the rollover of WfC 4 (Fig. 4) (Jones et al. 2015). WfC 5 160 represents the final sand-dominated shoreface system established at the shelf-edge rollover, and with 161 an overlying 5-10 m-thick basinward thickening mudstone is interpreted as a transgressive systems 162 tract (TST) and associated maximum flooding surface (MFS) that marks the retreat of the system to 163 an inner shelf position (Fig. 4). WfC 6 and 7 consist primarily of heterolithic shoreface/offshore 164 transition (SOT) deposits with poorly developed amalgamated lower shoreface facies only observable 165 in their proximal exposures (Figs. 5, 6, 7). The seaward pinchout of the sand-rich shoreface facies of 166 WfC 6 is 10-15 km updip from the shelf-edge rollover position of WfC 5, and the shoreface component 167 of WfC 7 is progradational relative to WfC 6 but also fines and pinches out to a minimum of 5 km 168 landward of the shelf-edge rollover of WfC 5 (Figs. 5, 7). The basinward stepping of WfC 6 and 7 169 suggests that the system returned to a progradational trend as part of the subsequent highstand 170 systems tract. However, the lack of coarse-grained material in WfC 6 and 7 beyond the shelf-edge 171 rollover position of WfC 5 in some areas along the shelf margin (Figs. 5) indicates that the sand-rich 172 components of WfC 6 and 7 remained on the inner shelf (shelf-confined; Fig. 1). Correlations along 173 the Zoutkloof area show that sand-rich shoreface facies associations of WfC 8 extend for 15 km 174 beyond the last sand-defined shelf edge of WfC 5 (Jones et al. 2015) and well beyond the inner shelf 175 sand-rich pinch-outs of WfC 6 and 7 (Fig. 7). Therefore, during WfC 6, 7 and lower WfC 8 the shelf-176 edge rollover prograded ~15 km (distance from the lower sand-rich rollover position identified in WfC 177 5 to the sand-rich shelf-edge rollover of WfC 8) through the accretion of mud under sea level 178 highstand conditions.

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#### Tanqua depocenter

The stratigraphic architecture of the lower Waterford Formation clinothems (C1-C8, Fig. 3) in the Tanqua depocenter is similar to that described in Laingsburg but the correlation between both successions is not established due to the lack of absolute age control. Differences (Table 2) include thinner clinothems combined with lower estimated gradients (0.5° to 0.7°, using compacted thicknesses) (see also Wild et al. 2009), and a thinner underlying channelized slope succession (Wild et al. 2005; Hodgson et al. 2006), suggesting a lower-gradient margin and a shallower basin margin relief in the Tangua depocenter.

188 Clinothems C2-4 exhibit a strongly aggradational to progradational stacking pattern and rising 189 shoreline and shelf edge rollover trajectory, interpreted as part of a highstand systems tract (Wild et 190 al. 2009), culminating in the maximum regression point in C4-5, with the rollover located close to the 191 SL1 locality (Fig. 3). The sandstone pinch-out of the overlying clinothem C5 is positioned slightly 192 landward of the sand-rich rollover of C4, south of SL1-Bitterberg (T5), suggesting a turnaround to a 193 retrogradational stacking pattern. This, together with an overlying regionally extensive mudstone is 194 interpreted to be part of a transgressive systems tract (TST) and early HST, and contain an 195 associated MFS, at which time the shoreline stepped back onto a more landward shelf position (Fig. 196 3).

197 Clinothem C6 consists of amalgamated organic-rich delta front/shoreface facies associations (Table 198 1) that are only recognized in the most proximal exposures (T2-T3, Fig. 3). The sand-rich component 199 of C6 fines and thins basinward, and pinches out between Vaalberg and Bitterberg (T4 and T5, Fig. 200 3), i.e., before the established shelf-edge rollover position of C5. Clinothem C7 prograded over C6 201 and its delta front/shoreface sandstones pinchout beyond the previous shelf-edge rollover position of 202 C5, reaching the westernmost edge of the study area in Katjiesberg (T7, Fig. 3). The progradational 203 stacking pattern of C6 and C7 is consistent with the lower part of a second highstand systems tract 204 after the regional transgressive event in C5. The absence of sand-rich C6 deposits basinward of the 205 rollover position of C5 is consistent with deltaic/shoreface sandstones confined in the inner shelf and 206 with a mudstone-dominated shelf edge and upper slope. Sand-rich facies associations in C7 can be 207 followed basinward for 10 km beyond the sand-rich rollover positions of C4 and C5 and well beyond

- 208 the sandstone pinch out of C6 (Fig. 3). This indicates that during C6 and early C7 time, the shelf-edge 209 rollover also prograded through the accretion of mud under sea level highstand conditions.
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## MUDSTONE-DOMINATED SHELF EDGE DEPOSITS

## Outcrop observations

213 WfC 6 and 7 are well exposed in the Zoutkloof area of the Laingsburg depocenter (Fig. 6, see location 214 in Fig. 4A). Detailed outcrop observations just above shoreface-offshore transition (SOT, Table 1) 215 deposits of WfC 5 reveal an 8 m-thick fining-upward package overlain by a 33 m-thick coarsening-216 and thickening-upward package (Fig. 6). The lower package starts with highly bioturbated coarse 217 siltstones, showing a distinctive mottled texture with an irregular distribution of sand grains within a 218 silty matrix. Overlying these siltstones are multiple surfaces with associated iron-rich nodular 219 horizons, interpreted to record condensed sections and are therefore included in the upper part of the 220 TST associated with WfC 5 (Figs. 6, 7, Table 1). Just above the best developed of these surfaces, 221 considered to be recording the maximum flooding surface, facies pass abruptly into darker, finer-222 grained and laminated siltstones, rich in organics and mica. These thin beds feature mm-scale 223 dominantly unidirectional to combined-flow tractional structures with little to no bioturbation, 224 interpreted as the oldest most distal deposits of WfC 6 (Fig. 6). Thin beds alternate with diffusely 225 bedded structureless fine siltstones, and become progressively coarser and cleaner up section, losing 226 their organic content while retaining a low to moderate bioturbation index. An overlying pervasively 227 bioturbated 1.2 m-thick package is interpreted to record the transgressive top of WfC 6. The overlying 228 WfC 7 succession coarsens- and thickens-upward from sandstones with symmetrically rippled tops to 229 thicker-bedded sandstones with hummocky cross-stratification (Table 1). The stacking pattern and 230 facies characteristics of WfC 6 and 7 are consistent with an upward transition from offshore/distal 231 prodelta mudstones deposited initially below storm wave base to progressively sandier and shallower 232 wave-influenced deposits (Fig. 6, Table 1). The soft-sediment deformation features observed in WfC 7 233 are interpreted to record delta front/shoreface collapse (Oliveira et al. 2011) (Fig. 7, Table 1). WfC 8 234 starts with moderately-bioturbated and laminated prodeltaic thin beds, but records a more abrupt 235 transition into sand-rich shoreface facies associations (Fig. 6).

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Core observations

238 Core observations of the SL1 research borehole (Wild et al. 2009) drilled close to the Bitterberg 239 locality (T5, Figs. 3, 8) of the Tangua depocenter allowed subtle variations in the characteristics of 240 fine-grained deposits in the C6-C7 succession to be documented (Fig. 8). The stratigraphic control 241 indicates that in the core, this mudstone-dominated package overlies the maximum flooding surface 242 above C5, and captures deposition across the shelf-edge rollover (Fig. 3). Analysis included detailed 243 (mm-scale) logging of the whole 40 m-thick C6-C7 package, with special attention to the stacking 244 pattern and sedimentological features of thin beds to allow an accurate description and interpretation 245 of processes (Fig. 8).

246 Observations reveal the presence of mm to cm-scale organic and mica-rich laminated siltstone layers, 247 interbedded with few bioturbated and/or structureless mudstones (Fig. 8). Parallel- and ripple-248 laminated siltstones show normal and/or inverse grading, and a range of internal erosion and traction 249 structures within a single bed, along with small-scale soft-sediment deformation (Fig. 8) towards the 250 basal contact of the beds. Sedimentary structures, when observed, mostly include undulate bedding, 251 starved current ripples and apparent planar lamination (Schieber et al. 2010). Some beds show a 252 distinctive two-part organization with a clean, laminated silt-rich lower part, preserving primary 253 structures and an erosive and/or loaded base (Fig. 8), overlain with a sharp contact by a finer and 254 darker poorly sorted/bioturbated upper section, rich in mud clasts and containing mica and plant 255 debris (Fig. 9). Bioturbation intensity generally ranges from moderate to low (Bioturbation Index 0-2) 256 (e.g. Taylor et al. 2003). Evidence of combined-flow indicators can be inferred from low 257 angle/undulated cross laminations in the coarser beds of these fine-grained intervals (Fig. 8).

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## Characterization of mudstones at the shelf-edge rollover

The outcrop examples of Laingsburg WfC 6 and 7 in the Zoutkloof panel (Fig. 7) combined with the core observations of Tanqua C6 and C7 in the SL1 well (Fig. 8), offer the opportunity to study two unusual examples of fine-grained shelf to slope transitions. These mudstone thin beds are grouped according to their interpreted sedimentary processes and inferred position along the depositional profile (*Types A-D*; Fig. 10).

*Type-A* beds are mainly composed of coarse siltstone with sharp base and top, and combined- to unidirectional-flow tractional structures. *Type-A* beds dominate the upper (and more proximal) parts of mudstone-dominated clinothems in WfC 6-7 in Zoutkloof (Fig. 6) and in C6-7 in the SL1 well (Fig. 8), and are also commonly seen interbedded with wave-dominated sand-rich thin beds in shorefaceoffshore transition deposits (Fig. 6, Table 1). These beds (0.5-2 cm-thick) are interpreted to record the most proximal expression of dilute silt-rich gravity-flows in distal prodelta/outer shelf settings, sometimes under the effect of storm/waves (undulate cross laminations observed might be the product of storm reworking), and with the sharp bed tops indicative of basinward bypass (Stevenson et al. 2015) of finer particles (Fig. 10).

274 *Type-B beds* have sharp, erosive/loaded bases with a distinctive bipartite character that comprises a 275 lower (0.5-2 cm-thick) well-sorted silt-rich, parallel to low angle laminated part, overlain by a 276 mud/organic-rich poorly-sorted upper section (1-2 cm-thick; Fig. 10). The poorly sorted part commonly 277 drapes a scour surface (Fig. 9,). Type-B beds are interpreted to record a longitudinal change in flow 278 properties within the same event, associated with flow acceleration due to sediment entrainment 279 and/or gradient increase at the shelf edge. This flow transformation is recorded in the sharp intra-bed 280 facies change from the clean and well-sorted laminated basal part to the poorly sorted argillaceous 281 part overlying an erosion surface. The basal part is interpreted as the deposit of a waxing underflow, 282 and the upper part as a muddy debrite, with the erosion surface between suggesting a phase of 283 basinward sediment bypass. Type-B beds dominate intermediate sections of the studied intervals 284 (Fig. 8).

285 Type-C beds form 2-4 cm-thick inverse-graded beds with a gradational base, relatively sharp, 286 mudstone clast-rich tops sometimes overlain by a finer and moderately bioturbated normally graded 287 upper part, and a general absence of bioturbation (Fig. 8). Their character suggests an 288 accelerating/waxing flow origin and entrainment of seafloor material and/or lofted mud-size particles 289 from the turbid ambient fluid (Fig. 10). The sharp tops suggest basinward sediment bypass. Type-C 290 beds are less common than other bed types, and occur in the lower parts of the studied sections, 291 suggesting deposition occurred where gradient progressively increased towards the upper slope (Fig. 292 10).

*Type-D beds* are generally 0.5-7 cm-thick, sharp-based and normally graded with traction structures and grade into well-developed mud-rich tops with moderate bioturbation (B.I. 2) and abundant organic debris and mica. They are interpreted to record deposition of the dilute part of a waning sediment gravity flow across the shelf-to-slope transition (Fig. 10). Although *Type-D beds* are found throughout the entire succession, they are more common in the lower part of the studied sections (Fig. 8),

suggesting they record deposition in a more distal setting under relatively quieter conditions. Locally, *Type-C* and *Type-D beds* combine to form inverse- to normally-graded beds, which has been used as
diagnostic criteria for deposition from hyperpychal flows (Mulder et al. 2003; Plink-Björklund and Steel
2004; Zavala et al. 2007).

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# DISCUSSION

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# Implications for basin margin analysis

305 Large-scale correlation within the lower Waterford Formation demonstrates that some periods of 306 basin margin progradation were exclusively through the accretion of mud (clay and silt) across the 307 shelf-edge rollover and onto the upper slope. In the Laingsburg depocenter, during WfC 6 and 7 and 308 early WfC 8, shelf margin accretion and progradation took place in the absence of coarse-grained 309 sediment supply under flooded shelf conditions. Sufficient accommodation and shallow water depths 310 led to the development of low-amplitude (5-30 m-thick) mud-rich and shelf-confined delta clinothems 311 (Figs. 1, 6). However, at this time the shelf-edge rollover prograded a minimum of 15 kilometers via 312 deposition of mud-rich flows, down dip from time equivalent shelf-confined sand-rich delta 313 fronts/shorefaces (Figs. 6, 10). During periods of high relative sea level, although the sand-rich 314 component of deltas mostly accumulates on the inner shelf (e.g. Porebski and Steel 2006), the shelf 315 edge is still present as a physiographic feature, but is muddier and more attenuated (Olariu and Steel 316 2009). In the absence of absolute age control in the Karoo Basin the rates of aggradation and 317 progradation cannot be constrained. These results contrast with 'classic' seismic sequence 318 stratigraphy, that was developed to understand and predict the spatial and temporal distribution of 319 potential reservoir sand bodies in relation to accommodation history of basin margins (Vail et al. 1977; 320 Posamentier et al. 1988; Posamentier and Vail 1988; Van Wagoner et al. 1990). Therefore, 321 depositional models have paid little attention to the large volume and processes of fine-grained 322 sediment delivery to build the shelf prism, and instead emphasize the timing of sand transfer to the 323 slope and basin floor, as a response to relative sea level change (e.g. Helland-Hansen and Hampson 324 2009). During periods of low relative sea level, the shelf margin position tends to move basinward, but 325 part or all of the shelf may become exposed subaerially, and the shelf and shelf-edge rollover areas 326 will be subject to sediment bypass and local degradation (Ross et al. 1994; Hadler-Jacobsen et al. 327 2005; Ryan et al. 2009).

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#### Muddy shelf-edge rollovers and clinoform trajectories

330 Most studies of ancient clinothems and shelf margins focus on the process regime and architecture of 331 sand-rich deposits to support shelf-edge rollover identification (e.g. Plink-Björklund and Steel 2002; 332 Mellere et al. 2003; Pyles and Slatt 2007; Uroza and Steel 2008; Hubbard et al. 2010; Dixon et al. 333 2012a; Jones et al. 2013). The present study demonstrates that shelf-edge rollovers are not always 334 defined by sand-rich deposits, yet can still be identified at outcrop based on geometry and detailed 335 sedimentology. Under flooded shelf conditions, the mud-rich extended bottomset component of 336 deltaic clinothems may reach the upper slope, to build fine-grained shelf-edge rollovers and basin 337 margin clinothem foresets that prograde basinward. This occurs when the sand-rich topset and 338 foreset component of delta-scale clinothems is confined to the inner part of the shelf (Fig. 1). Analysis 339 of the lower Waterford Formation clinothems highlights a potential limitation of shelf-edge trajectory 340 analysis; delivery systems are observed to change laterally from shelf-confined to shelf-edge (e.g. 341 Sanchez et al. 2012; Jones et al. 2015) (Figs. 1, 11), however the clinothem trajectory may remain 342 consistently progradational. An example of this can be found in the Upper Cretaceous Fox Hills 343 Formation (Wyoming, USA), where, although most of prograding clinothems are dominated by sand, 344 some examples have shelf edge rollovers dominated by mud (clinothems C06, C07 and C12, Olariu 345 et al. 2012). The expression of shelf-edge rollovers and parasequence boundaries of muddy 346 clinothems are challenging to identify, and the time they represent is difficult to constrain (Bohacs 347 1998). This is particularly true in subsurface studies, due to the complex recognition of impedance 348 contrasts (Miller et al. 2013). As in the Waterford Formation, under relative sea level highstand 349 conditions, the delta top sand-rich components of some parasequences can be confined in inner shelf 350 positions, remaining below seismic resolution, but the shelf margin can still prograde through the 351 accretion of mud (Fig. 11). The position of highstand deposits relative to the shelf margin can be 352 problematic in exploration studies, because muddy parasequences may have laterally extensive, 353 comparatively sand-dominated topsets (Figs. 5, 11) and therefore require the presence of regional, 354 transgressive mudstones to develop effective seals.

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Sediment transport on a high-energy muddy shelf

357 The integration of outcrop data with detailed core analysis shows that mud-dominated shelf margin 358 progradation was the result of deposition of muddy and organic-rich sediment gravity flows. The 359 significant amount of plant debris and mica in some beds indicates a continental origin of mud, 360 possibly from hyperpychal river plumes (Mulder and Alexander 2001; Mulder et al. 2003; Bouma and 361 Scott 2004; Plink-Björklund and Steel 2004; Zavala et al. 2006a; 2006b; Bhattacharya and 362 MacEachern 2009; Zavala et al. 2012). However, the common occurrence of wave/storm processes 363 that influenced the deposition of sand-rich deposits in shoreface and shoreface-offshore transition 364 settings (Table 1) (Jones et al. 2015), combined with a relatively low gradient (Table 2), is not 365 consistent with the characteristics of margins where fine-grained sedimentation is associated with 366 recurrent and sustained hyperpychal discharges to the shelf edge (Mutti et al. 1996; Bentley 2003; 367 Mulder et al. 2003; Mutti et al. 2003; Plink-Björklund and Steel 2004; Friedrichs and Scully 2007). 368 Wave/storm processes are therefore advocated to be the main mechanism that kept unconsolidated 369 silt and flocculated clay fraction in suspension, or re-suspended (e.g. Traykovski et al. 2000; Pattison 370 2008; Macquaker et al. 2010). Mud particles that accumulate as floccules or organo-mineralic 371 aggregates (Plint 2014) act hydrodynamically as silt or sand grains (Schieber et al. 2007). This is 372 supported by the ubiquity of tractional structures observed within the thin mudstone beds. Wave 373 enhancement of gravity flows or storm re-suspension of previously-deposited sediment can occur 374 before, during, or shortly after river flood events (Ogston et al. 2000; Traykovski et al. 2000; Fan et al. 375 2004), but the process is more commonly identified in systems that are not able to deliver significant 376 amounts of new mud to the shelf (Bentley et al. 2006). The paucity of combined-flow indicators in bed 377 Types B to D (Fig. 10) contrasts with their presence in Type A beds and their presence in the 378 shoreface and shoreface-offshore transition sandy counterparts (Fig. 6 and Table 1). This is 379 interpreted to indicate that, although waves/storms played an important role keeping mud in 380 suspension across the shelf, deposition of the finest particles in the studied sections took place mostly 381 below storm wave base as sediment gravity flows (e.g. Pattison 2005). Erosive and sharp boundaries 382 within beds, and internal scours draped by poorly-sorted mudstones suggest sediment bypass and 383 downslope transformation from waxing to waning gravity-driven flows. This, together with the low 384 bioturbation intensity and diversity within the thin, silty beds, reflects environmental stresses and high 385 sedimentation rates in outer shelf to upper slope settings of mud-dominated clinoforms.

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#### CONCLUSIONS

388 Three parasequences from exhumed and well-constrained basin margin clinothems of the Permian 389 Waterford Formation, in adjacent depocenters of the Karoo Basin (South Africa), provide the first 390 examples of mudstone-dominated shelf-edge rollover deposits documented in outcrop and core. This 391 dataset has allowed the timing and processes of fine-grained sediment transport across the shelf and 392 onto the slope to be assessed. The study demonstrates that some periods of shelf-edge progradation 393 occurred through the accretion of mud when the sand-rich part of wave-influenced deltas was 394 positioned on the inner shelf. Detailed analysis of offshore mudstones suggests that recurrent supply 395 to outer shelf and upper slope regions was by micaceous and organic-rich fluid mud that was kept in 396 suspension or re-suspended from inner shelf positions during storms and transported across the low 397 gradient shelf as dilute silt-rich gravity flows. Thin bed characteristics at the shelf-edge rollover and 398 upper slope include soft-sediment deformation, evidence of sediment bypass including sharp 399 contacts, internal erosions and traction structures and a subtle downdip facies changes within low 400 density, silty turbidites. This work demonstrates that processes responsible for the transport and 401 deposition of fine-grained material across and beyond the shelf edge play a fundamental role in basin 402 margin development. The documentation of mud-rich shelf to slope transitions is significant for 403 outcrop and subsurface investigations, because clinothems are not always defined by sand-rich shelf-404 edge rollovers, and significant basin margin progradation can also occur in the absence of coarse-405 grained sediment supply. This implies potential risks in the identification of shelf-edge rollover 406 positions from presence of sand alone, and in the use of trajectory analysis to interpret relative sea-407 level changes and to predict down dip sand supply.

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Figure 1: Cartoon showing nomenclature and main characteristics of shelf-edge versus shelf-confined
clinothems. Based on Johannessen and Steel (2005); Helland-Hansen and Hampson (2009);
Mountain et al. (2010); Jones et al. (2013).

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Figure 2: Map and general stratigraphy of the SW Karoo Basin showing the Waterford Formation outcrop belt and the location of sedimentary logs and correlation panels in the Tanqua and Laingsburg depocenters. Note that the stratigraphic intervals studied in the two depocenters are not correlated. Stratigraphy modified from Flint et al. (2011).

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Figure 3: Correlation panel of the basin margin succession in the Tanqua depocenter, showing the clinoform stacking of the lower Waterford Formation. Correlation displays thirteen parasequences (C1-13), with their flooding surfaces and main sequence stratigraphic boundaries, as well as the interpreted position of shelf edge rollovers, based on major gradient changes combined with secondary criteria including outcrop-scale growth faults, widespread erosion (gullies) and increase in sandstone turbidites beyond the shelf edge (Jones et al. 2013). A regional mudstone unit on top of Unit 5 acts as a correlation datum. Modified from Wild et al. (2009).

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Figure 4: Correlation panel of the lower Waterford Formation in the Laingsburg depocenter. The Baviaans South (BS), Baviaans North (BN) and Zoutkloof (*Z*) correlation panels encompass eight lower Waterford parasequences (WfC 1-8). Sequence boundaries, flooding surfaces and a type 2 sequence boundary between WfC 5 and WfC 6 are shown. The panels use top of Unit F as a correlation datum. Modified from Jones et al. (2015). Same color code as in Figure 3.

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Figure 5: Paleogeographic map reconstructions of WfC 6 (A) and WfC 7 (B) from the data shown in the correlation panels of Fig. 4. (C) Map view of the evolution of the shelf-edge rollover position through time in the Laingsburg depocenter. Note that during WfC 6 and WfC 7 the position of the sand pinchout is not coincident with the interpreted location of the shelf edge rollover.

832

Figure 6: Representative sedimentary log from the Faberskraal farm locality (Z10, see location in Figure 4A), showing a lower fining-upward unit with bioturbated and nodular siltstones included in the TST associated with WfC 5, followed by an overall coarsening and thickening-up succession (WfC 6-7) of non- to moderately-bioturbated shoreface-offshore transition (SOT) thin beds passing into thicker lower shoreface deposits. Same color code used in Figure 3.

838

Figure 7: Detailed view of the correlation along the Zoutkloof area, showing progradation during WfC 6-7 after the regional transgression above WfC 5. The absence of delta front/shoreface deposits beyond the WfC 5 shelf-edge rollover position in WfC 6-7 suggests their sand-rich components are shelf-confined deltas (as their sand pinch-out position indicates), with mud-dominated shelf-edge rollovers. Note the low net progradation of the shelf-edge between WfC 4-5 compared with the basinward shift of the sand-rich deformed facies of WfC 8 over 50 m of mudstones of WfC 6-7 and about 15 km beyond the pre-established sand-dominated shelf-edge rollover of WfC 5.

846

Figure 8: General stratigraphic section of the SL1 research borehole, in the Tanqua depocenter, with detailed sketches/photographs of key mudstone beds (1-6) along C6 and C7. Note the vertical scale of the logs is in centimeters. Cycles in the well log are based on the recognition of flooding surfaces in the core (Wild et al. 2009). VSH = Shale volume from Gamma Ray log.

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Figure 9: Enlarged view of a polished outcrop sample of a typical bi-partite (*Type B*) thin bed. Note the internal complexity of mud-rich thin beds and the difficulty to recognize their subdivisions in outcrop due to their small-scale expression.

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Figure 10: Cartoon showing the interpreted spatial distribution of dilute gravity flow processes and deposits across a fine-grained shelf-edge rollover associated with storm-dominated shelves. The position of the defined bed types along the depositional profile is extrapolated from their stratigraphic distribution.

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Figure 11: Sketch of shelf-edge rollover areas based in the Waterford Formation stacked basin margin clinothems, with temporal flooded shelf conditions, showing the complexity in rollover

identification and potential risks of clinoform trajectory analysis based on identification of sand-richrollovers.

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866 Tables

Table 1. Summary of sedimentary facies and facies associations found from the shelf to upper slope
of the lower Waterford Fm. based on previous works (Wild et al. 2009; Oliveira et al. 2011; Jones et
al. 2013; 2015)

870

Table 2. Clinoform thickness and slope variability in the Tanqua and Laingsburg depocenters.
Estimated gradients and trajectories are from compacted thickness measurements (see also Wild et al. 2009).





























Lithofacies	Structures	Contacts	Thickness	Geometry	Trace fossils and other features	Depositional process	Common facies association
Concretionary horizons	Isolated or layered nodules and concretions.	Sharp	Up to 50 cm	Lenticular to irregular	Sideritic and pre- compactional	Diagenetic processes at times of maximum sediment starvation	Offshore
Grey silty claystones	Structureless. Rare parallel lamination	Gradational	2 cm to m+ packages	Laterally extensive sheets	Rare. Common with concretionary horizons	Hemi-pelagic suspension settling	Offshore
Interbedded claystones and siltstones	Structurelss to parallel lam., starved-ripples. Normally and inversely- graded	Sharp base and gradational top	Laminae 0.1 to 1 cm. units 10 cm to 4 m	Laminae tabular to lenticular. Units often sheet like	Rare to moderate. Chondrites, Gordia sp.	Low concentration turbidity current, hyperpycnal or wave- enhanced gravity flow	Offshore / prodelta
Interbedded siltstones and sandstones	Current,wave-ripples, convex-up and parallel lam. and structureless. Normally and inversely- graded. Dewatering	Sharp base, tops gradational to sharp	1 to 5 cm	Individual beds tabular at the outcrop scale. Units display a sheet geometry	Common. Chondrites, Helminthopsis, Helminthoida, Gordia sp. Lorenzinia, Lophoterium, Cosmorhaphe, Palaeodyctyon	Alternation of high/low energy currents, or wave- influenced low concentration currents	Prodelta / Shoreface- Offshore transition (SOT)
Thin to medium bedded sandstones	Current,wave-ripples, parallel lam., structureless. Local sigmoid geometry and pinch and swell	Sharp bases to sands. Sharp to gradational tops.	Bedding of 5- 10 cm, 10-20 cm and 20 cm+	Beds tabular. Sheet geometry to units	Moderate to high bioturbation indexes, particularly when observed at the top of parasequences	Low concentration turbidity current to high concentration turbidite currents, locally wave- influenced	Lower shoreface / delta front
Medium to thick bedded vf sandstones	Structureless, parallel lam., climbing ripples, locally sigmoidal. Some scour and fill	Bases sharp. Rarely erosional. Sharp to gradational tops	20 to 60 cm	Tabular to locally lenticular. Units form sheets and channels	Rare. <i>Helmenthoides,</i> <i>Undicna bina</i> (fish traces)	High concentration turbidite currents.Dominated by depletive steady flow	Slope turbidites
Medium to thick bedded vf-f sandstones	HCS/SCS / low angle cross-stratification. Symmetric rippled tops, dewatering	Sharp	20 cm-1 m+	Sheets	-	Traction-load deposition reworked by combined flows or waves	Upper shoreface
Thick-bedded vf-f sandstones (sheet- like)	Structureless, local parallel lamination. Local scour and fill. Dewatering	Sharp to erosive base. Sharp tops	50-100 cm. m+ packages due to amalgamation	Sheets	Wood fragments	Unconfined high concentration turbidite currents	Slope turbidites (lobes)
Thick-bedded vf-f sandstones (channel-like)	Massive local parallel lamination. Local scour and fill. Dewatering	Sharp to erosive base. Sharp tops	80cm-m+ due to amalgamation	Channel fills and some sheets	Wood fragments, rip-up clasts to the base	Confined high concentration turbidite currents with depletive- steady/non steady flows	Slope turbidites (gullies)
Intraclast rich conglomerates	Chaotic	Sharp and sometimes erosive	1 cm to 0.5 m	Lenticular up to 20m wide	Wood and organic debris	Debris flows, sediment bypass and localised deposition of rip up clasts	Slope turbidites
Lower shored	ace		Deformed	deposits		Offshore SOT transiti Upper shoreface	fsv(s si ç on
Oam L					Collapsed deformed deposits Low. shorefa		
SOT transition			Prodeita	X	A Carl	/ delta from	t 🔁
						SOT transiti / Prodelta	on
1.2m						Offshore	
Offshore	11-3	S. P.	Slope turb	idites		Slope turbidites	

Table 1

LAINGSBURG CLINOFORMS								
Cyclo	Thickness (m)		Gradient (deg)	Slope	Rollover	Dominant		
Cycle	Max	Min	Min (30 km)	deposits	trajectory	process		
WfC1	163.4	23.6	0.712	muddy	flat	river		
WfC2	145.5	31.2	0.713	sandy	flat-rising	wave		
WfC3	92	34	0.700	mud>sand	flat-rising	river/wave		
WfC4	124	16.4	0.570	sandy	rising	wave		
WfC5	58	10	0.531	sandy	rising	wave		
WfC6	37	6.8	0.552	muddy	flat-falling	river		
WfC7	38	7	0.531	muddy	flat-falling	river		
WfC8	23	6.04	0.513	sandy?	falling	river		

TANQUA CLINOFORMS								
Cycle	Thickness (m)		Gradient (deg)	Slope	Rollover	Dominant		
	Max	Min	Min (30 km)	deposits	trajectory	process		
C1	45	21	0.435	muddy	flat	river		
C2	52	17	0.512	sandy	flat-rising	river/wave		
C3	44	31	0.504	sand>mud	rising	river/wave		
C4	54	32	0.439	mud>sand	rising	river		
C5	32	13	0.455	mud>sand	rising	river/tide		
C6	15	10	0.455	muddy	flat-falling	river		
C7	19	9.5	0.458	muddy	flat-falling	river		
C8	14	7	0.474	sand>mud	flat-rising	river		

Table 2