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**Relationships between generated musical structure,  
performers' physiological arousal and listener perceptions  
in solo piano improvisation**

Journal:	<i>Journal of New Music Research</i>
Manuscript ID	NNMR-2015-0067.R1
Manuscript Type:	Research Paper
Keywords:	emotion, expression, performance, Improvisation; segmentation; professional improvisers; skin conductance; movement; affect.

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1  
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4 Abstract. What musical structures do improvisers produce, and how do these relate to  
5  
6 their physiological arousal while performing and to listener perceptions? Nine  
7  
8 professional improvisers performed both structured and free improvisations. We  
9  
10 hypothesised that increases in performers' arousal and attention during structural  
11  
12 transitions would be reflected by changes in skin conductance. Consistent with the  
13  
14 hypothesis, skin conductance changed particularly around transitions. Improvisers  
15  
16 then listened to their improvisations, continuously rating musical change. Fourteen  
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18 non-musicians also rated change, and separately rated perceptions of affect. Their  
19  
20 perceptions related to structural parameters, though these were less influenced by  
21  
22 musical features than those of the performers.  
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28 Key words: Improvisation; segmentation; professional improvisers; skin conductance;  
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30 movement; affect.  
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## 1. Introduction

Studies on cognitive and electrophysiological correlates of musical improvisation are currently accelerating. Most work considers highly simplified tasks, which may not involve many of the complex demands of professional improvised performance, even though in some cases professional improvisers are participants. For example, Large, Palmer and Pollack (1995) asked pianists to improvise variations on given melodies, requiring the simple elaboration of highly structured material. While more recent neuroimaging studies have accorded slightly greater improvisational freedom, their ecological validity is compromised by the need for tight experimental control (e.g. Bengtsson et al., 2007; Donnay et al., 2014; Limb & Braun, 2008). The most sophisticated professional abilities brought to bear in these studies include those of the conventional jazz improviser (Donnay et al., 2014; Keller et al., 2011), used to regular repeating harmonic chord sequences with no or limited modulation; and those of the classical concerto soloist (Berkowitz, 2010) who may to some degree improvise a cadenza. In spite of the limited task demands of these experiments, the neuroscience observations of brain regions of interest are disparate (Beaty, 2015), and as Beaty discusses, this probably results both from methodological differences and analytical deficiencies.

Our interest is in professional solo keyboard improvisers who are faced with complex improvisation task demands such as are routine in free improvisation. By 'free improvisation', we refer to making music with no or minimal pre-specified demands or materials, for example no pre-agreed chord sequence, rhythmic structure, or tonality. In the two free improvisations each performer undertook in our study, the only musical restrictions imposed by the experimenters were the request for no left leg pedalling (explained below), and for no playing directly on the strings or body of

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2  
3 the piano (since this information would not be captured by our MIDI-recording). This  
4  
5 is not to suggest that free improvisation occurs in a constraint-free vacuum: an  
6  
7 improviser is necessarily influenced by background, experience, expertise, and  
8  
9 cultural and immediate contexts. On the other hand, it is worth noting that some free  
10  
11 improvisers advocate what Derek Bailey called 'non-idiomatic' improvising, meaning  
12  
13 active avoidance of familiar musical conventions; and others advocate what we have  
14  
15 termed 'non-sensory' improvising, meaning active avoidance of cognising or being  
16  
17 influenced by other on-going musical activities. Naturally these are idealised  
18  
19 positions, but they are far from those of the mainstream jazz or Indian music  
20  
21 improviser, strongly utilising preformed structures and conventions. These issues are  
22  
23 elaborated in several books such as Bailey (1992 revised edition), Dean (1992), and  
24  
25 Smith and Dean (1997).  
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30 For our experiments, professional keyboard improvisers performed a range of  
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32 improvisations, all without preformed musical motivic material. Throughout, their  
33  
34 ultimate purpose was to create pieces of music, but under the improvising constraints  
35  
36 we requested. Such constraints are typical even of free improvisation performances,  
37  
38 for which the improviser either provides the constraints for themselves, or if playing  
39  
40 with others, may also use the constraints provided by them. From a procedural and  
41  
42 structural point of view, the range of pieces we requested spans free improvisations,  
43  
44 and 'referent' improvisations in which the performer is constrained to create their own  
45  
46 version of an ABA structure, where A and B are characterised in relation to a simple  
47  
48 core musical feature (such as event density) or a more complex one (such as tonality).  
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50 Within the set of ABA referent structures we request, some involve transforming A in  
51  
52 order to create B (e.g. sparse-dense-sparse, pulsed-unpulsed-pulsed or tonal-atonal-  
53  
54 tonal). In others B has to be created without changing A (which continues during the  
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3 B section), or it is optional for B to change, yet A must still remain throughout. There  
4  
5 is no demand for fixed or regularly repeating harmonic or metrical structure.  
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7  
8 In our preceding two studies on improvisers (Dean & Bailes, 2015; Dean,  
9  
10 Bailes & Drummond, 2014), we first showed how skin conductance (SC) can be  
11  
12 measured during performance providing account is taken of the performer's  
13  
14 movements (which can influence SC dramatically). We obtained evidence that  
15  
16 musical segmentation during very simple performances and during imagining them  
17  
18 can be detected in the SC traces. In the second study, developing from work by  
19  
20 Pressing (1987) on the generation of musical micro-structure during improvisation,  
21  
22 we showed that our improvisers mostly realised the macro-structures demanded by  
23  
24 the task, and could use the musical referent features specified in order to do so. We  
25  
26 also showed that music-computational segmentation of the performances of what we  
27  
28 will refer to as 'fully-specified' referents could be achieved on the basis of the  
29  
30 prescribed changes. By fully-specified, we mean those ABA referents in which the  
31  
32 nature of the distinction between A and B was defined by our instructions (e.g.  
33  
34 sparse-dense-sparse). ABA structures in which the B section involved a continuation  
35  
36 of the A feature, and the construction of contrast and transition by means of a  
37  
38 different musical feature, are termed 'partially-specified'. This term also covers the  
39  
40 referent tasks in which we left it optional for the performer whether there was a B  
41  
42 section (in which A continued), or whether they chose effectively to realise an AAA  
43  
44 structure with no clear middle segment. In the partially-specified tasks, as well as in  
45  
46 two free improvisations which sandwiched all the others (one free improvisation first,  
47  
48 before any of our referents or implied purposes had been mentioned; and one at the  
49  
50 end after all the referents had been performed) we found that a considerable diversity  
51  
52 of music computational features could segment the pieces, and that even the first free  
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3 improvisations (prior to any task demand instructions influencing the performers)  
4  
5 were comparably segmented.  
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8 In the present work we assess the relationship between computational  
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10 segmentation and performer SC. Since attentional demands are expected to be  
11  
12 maximal at transitions between segments, we hypothesized that SC should reflect the  
13  
14 segmentation, with enhanced SC during the periods in which transitions are generated  
15  
16 between A and B and vice versa. We found previously that there were few if any  
17  
18 'canonical' skin conductance responses (SCR) in relation to the generation of musical  
19  
20 segmentation (Dean & Bailes, 2015), where a canonical SCR is a substantial rise and  
21  
22 fall of SC during a period of around 10 s, normally associated with a specific external  
23  
24 stimulus, such as a loud sound (Bach et al., 2009; Lim et al., 1997). Clearly there  
25  
26 were no such external stimuli in our study, and we anticipated that continuous SC  
27  
28 changes might reflect performers' change in attention and/or arousal as they  
29  
30 manipulate the transitions, but with few SCR. SC, whether or not displaying SCR,  
31  
32 comprises both phasic (short-term adjustment or oscillation) and tonic (long-term  
33  
34 trend) changes, like many physiological processes. Both for our performers and for an  
35  
36 independent group of non-musicians not involved in the improvisations, we assessed  
37  
38 how musical change was perceived continuously, in relation to the computational  
39  
40 features of the music, and investigated factors that can contribute to models of such  
41  
42 continuous perception of change. For the non-musicians only, we also assessed how  
43  
44 the musical features of the improvisations related to their continuous perception of  
45  
46 affect expressed by the music as they listened to recordings, following on recent  
47  
48 contributions to an extensive line of research on modelling and interpreting such  
49  
50 continuous responses (Bailes & Dean, 2012; Dean & Bailes, 2010, 2014; Dean, Bailes  
51  
52 & Drummond, 2014). Key aspects of the earlier literature are covered in (Madsen &  
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3 Fredrickson, 1993; McAdams et al., 2004; Schubert, 1996), and reviewed by  
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5 (Schubert, 2006). The present work is probably the first to measure continuous  
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7 response perceptions of unfamiliar improvised music, but the situation of hearing a  
8  
9 new genre or style of music and a completely unfamiliar piece can occur for us all.  
10

## 11 12 13 14 **2. METHODS and ANALYTICAL APPROACHES**

### 15 16 *2.1 Participants.*

17  
18 Seven male and two female professional keyboard improvisers from Sydney,  
19  
20 Australia, performed improvisations and undertook a continuous perception of change  
21  
22 task. There were two participants whose professional improvising was in music  
23  
24 therapy. The remaining seven musicians had particular experience in contemporary  
25  
26 jazz, where referents (compositions for improvisation) are primarily provided by the  
27  
28 musicians themselves, rather than by popular songs or older jazz compositions. Of  
29  
30 these, all had professional experience of free improvising, and in the opinion of author  
31  
32 RTD (an improvising peer and colleague of these musicians), this experience was  
33  
34 very considerable for four. As in every country, the number of professional  
35  
36 improvising pianists in Australia who solely participate in free improvisation is very  
37  
38 low, and none of these pianists fit that description.  
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42  
43 Three males and eleven females undertook the continuous perception of  
44  
45 change and separately a continuous perception of affect task while listening to  
46  
47 recordings of the improvisations. They were undergraduate psychology students from  
48  
49 the University of Western Sydney who participated in exchange for course credit and  
50  
51 were aged from 18-45,  $M = 23.1$ ,  $SD = 7.0$ . They completed the Ollen Musical  
52  
53 Sophistication Index questionnaire, which provides an index ranging from 0-1000 that  
54  
55 indicates whether the participant is musically sophisticated (index >500) or not  
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3 (Ollen, 2006). The highest score amongst our participants was 398, their mean was  
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5 132, and  $SD = 124$ , so we describe them in this paper as 'non-musicians'. We do not  
6  
7 have specific information on their listening habits, but in previous work we have  
8  
9 found that such a group of students is unfamiliar with related genres of computer and  
10  
11 electro-acoustic music, and late 20<sup>th</sup> Century Western instrumental and orchestral  
12  
13 music. We would expect them to be unfamiliar with the improvised music styles  
14  
15 engaged here, and none indicated any familiarity during the experiments.  
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## 20 21 *2.2 The Improvisation Tasks*

22  
23 The improvisers played a Yamaha Disklavier grand piano (capturing MIDI  
24  
25 data). They each performed an opening free improvisation, eight referents, and a  
26  
27 closing free improvisation. For each performer one task from each row of Table 1  
28  
29 below was undertaken, and they were systematically cycled through the columns of  
30  
31 tasks, such that each individual undertook a roughly balanced section of the fully-  
32  
33 specified and the two types of partially-specified referents. Across all improvisers,  
34  
35 there was close to balanced coverage of all tasks. The performers were asked to make  
36  
37 each piece  $\leq 3$  min, and to play only on the keys. They were to use free pedalling,  
38  
39 but with their right foot only. The left ankle was the site of skin conductance and  
40  
41 pressure sensors (see below), and was to be kept as still as possible, since movement,  
42  
43 especially local flexure at sites of skin conductance measurement drastically  
44  
45 influences the measure (see below for our means of handling this). Performers gave a  
46  
47 single clap before and after each performance, for file time alignment checking.  
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### 54 *2.2.1 Measurements during the improvisations*

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3 During performance we recorded continuous measurements of skin conductance (SC),  
4  
5 “pressure” (a measure of the performers’ ankle surface movement), sound amplitude<sup>1</sup>,  
6  
7 and MIDI, as defined previously. Because all performances were solo piano, and  
8  
9 played solely on the keyboard, spectral flatness change throughout the pieces was  
10  
11 limited, and we did not investigate it: in previous work on pieces with complex and  
12  
13 substantial spectral flatness change we have found modest predictive roles for it in  
14  
15 models of continuous perceptions of arousal and valence, but almost no role with solo  
16  
17 piano music.  
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### 20 21 22 23 *2.3 The Perception Tasks.*

24  
25 After they had completed their improvisations, performers listened back to five of  
26  
27 them, in each case their first free improvisation plus four more items spread  
28  
29 systematically across the range of referents in relation to the different performers. The  
30  
31 referent characters auditioned in this part of the experiment (see Table 1 for details)  
32  
33 were, apart from the free improvisation, Dense, Pulse, Staccato and Tonality<sup>2</sup>. An  
34  
35 individual performer listened only to their own performances. The recordings were  
36  
37 presented over headphones in a random order, with the participants now seated at a  
38  
39 computer. They were told that their task was to detect whether the music changed,  
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42  
43 <sup>1</sup> Sound amplitude was converted to relative logarithmic intensities by taking  $\log_{10}$  of  
44  
45 the squared amplitude, instead of measuring logarithmic acoustic intensity in dB SPL  
46  
47 from the recordings.  
48

49  
50 <sup>2</sup> In each case, here and later we use the single term to refer to a polarity e.g. Dense  
51  
52 vs. Sparse; Pulsed vs. Unpulsed. As shown in Table 1, individual referent structures  
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54 may require transitions across this polarity, or maintenance of one or other of the two  
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56 polarities.  
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3 and to indicate this while listening by moving the mouse during any perceived  
4  
5 change.

6  
7 The greater the change, the faster you should move the mouse. For example, it  
8  
9 may be that you wish to make a scrubbing motion with the mouse to indicate a  
10  
11 strong and sudden change in the music.

12  
13 The smaller the change, the slower you should move the mouse. For example,  
14  
15 it may be that you wish to move the mouse only slightly to indicate a subtle  
16  
17 change in the music.

18  
19 Please move the mouse for the **duration** of any change.

20  
21 If you DON'T think the music changes, keep the cursor still.

22  
23 Please try to maintain your CONCENTRATION throughout each piece.  
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### 29 30 *2.3.1 Non-musician listening tasks*

31  
32 In a later listening study, 14 non-musician participants also performed this  
33  
34 'change in sound' task as well as an 'affect' task while listening to a subset of nine of  
35  
36 the recorded improvisations. The recordings comprised the following referents:  
37  
38 Dense-Sparse-Dense, Staccato-Sustain-Staccato, Tonal-Atonal-Tonal (two  
39  
40 performances), Dense with optional change, Pulsed with a required change, Pulsed  
41  
42 with optional change, Staccato with required change, Free improvisation (the first of  
43  
44 the set).  
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48 In the 'affect' task, respondents were asked to continuously rate the affect  
49  
50 conveyed by the music along two dimensions simultaneously, arousal and valence, by  
51  
52 moving a cursor around the screen, with arousal on the vertical axis (ranging from  
53  
54 very active to very passive) and valence on the horizontal axis (ranging from very  
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56 negative to very positive).  
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3 The 'change in sound' and the 'affect' tasks were performed in a  
4  
5 counterbalanced order, and each was preceded by a practice trial. We have discussed  
6  
7 these measures in detail in previous papers (e.g. Bailes & Dean, 2012; Dean & Bailes,  
8  
9 2010; Dean et al., 2011).

## 13 14 *2.4 Analyses*

15  
16 All data were sampled at 2Hz (or when necessary down-sampled to this frequency) so  
17  
18 all models discussed are conducted at that resolution (one time series lag is 0.5 s). The  
19  
20 analyses here do not concern the free improvisations, since we have already shown  
21  
22 that each of these performances can be segmented on the basis of several individual  
23  
24 musical features (Dean, Bailes & Drummond, 2014), while here we aimed to study  
25  
26 the relationship of perceptions of a piece to its single pre-specified referent musical  
27  
28 feature.  
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30

### 31 32 33 34 *2.4.1 Performers' skin conductance: Segmentation*

35  
36 Skin conductance (SC), and the music perception time series defined above, are  
37  
38 strongly autoregressive (e.g. Dean & Bailes, 2015 for SC). This means that several  
39  
40 events in the recent past are statistical predictors of the next; this also indicates that  
41  
42 the data are not independent of each other, and have to be treated by non-standard  
43  
44 statistical analyses which do not assume such independence, notably autoregressive  
45  
46 Time Series Analysis (TSA). We have previously provided a tutorial introduction to  
47  
48 the basic techniques of TSA (Dean & Bailes, 2010) and discussed its applications to  
49  
50 continuous musical data extensively (Bailes & Dean, 2012; Dean & Bailes, 2010,  
51  
52 2015; Dean, Bailes & Drummond, 2014). We try to provide pointers to the key  
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54 features here and later in the paper. Besides taking account of autocorrelation, TSA  
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3 normally also requires that the data series under study be stationarised, that is trends  
4 removed such that the autocorrelation between events  $n$  samples apart remains  
5 unchanged across the series, for every  $n$ , within statistical limits. Commonly,  
6 stationarity is achieved by differencing, that is constructing a new series which is the  
7 difference between pairs of successive values of the original (and hence one member  
8 shorter), that necessarily still contains all the original information providing the  
9 starting value is known. Differencing is used here, and *dseriesname* designates the  
10 differenced form of *seriesname*.  
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20  
21 In the previous work we showed that SC time series from improvising pianists  
22 often require 'cleaning', to obtain an SC trace from which the sometimes major  
23 influence of foot and leg movement has been removed. We developed there a method  
24 for doing this, by determining the 'transfer function' of such movement onto SC for  
25 each individual performance. The transfer function here is the predictive relationship  
26 between the time series of movement and that of the SC, when the autoregressive  
27 properties of each are properly taken into account (see detailed discussion in Dean &  
28 Dunsmuir, 2015). The SC analyses in Results section 3.1 use appropriately cleaned  
29 SC data obtained using this method. In later Results sections, where we undertake  
30 time series models, the movement parameter is retained as a possible predictor to be  
31 considered in the analyses, and results on it are presented, thus in these cases SC is  
32 used without cleaning.  
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47 We needed to obtain principled estimates of the times in both perceptual and  
48 SC time series which delineate statistical segments; in other words provide measures  
49 of the times of start and finish of the ABA segments in computational musical terms;  
50 or provide estimates of how many statistically distinct segments a continuous SC or  
51 perceptual time series contains and where they too start and finish. For this purpose,  
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3 the 'changepoint' package in R (Killick & Eckley, 2012) was used (abbreviated cpt in  
4 the method names), and specifically the cpt.meanvar method, which as the latter part  
5 of its name is intended to indicate uses information both about changes in mean value  
6 and in the variance across the series. This is appropriate in our perceptual data, and in  
7 the SC studies, where we are particularly concerned with changes in the phasic  
8 response patterns and not simply any canonical SCR (skin conductance response, over  
9 a short period) which might occur; there were very few such SCR in the traces, as  
10 expected given the absence of defined external triggers: see below. Note that  
11 changepoints detected by this package primarily indicate where segments begin and  
12 end: they distinguish segments, rather than individual points of change. The  
13 changepoint algorithms can be used to identify all segments at a given probability  
14 level (as below), or required to determine a user-set number of most likely segments  
15 (using maximum likelihood methods). The latter method has been used in the prior  
16 work on computational segmentation of the performed musical streams, showing that  
17 in virtually all cases the performers successfully generated the requested ABA  
18 referent with respect to the relevant pre-specified musical referent feature (Dean,  
19 Bailes & Drummond, 2014).

#### 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 *2.4.2 Performers' skin conductance: measurement of SC events*

44 We refer to an SC changepoint as a skin conductance event (SCE), to try to make  
45 clear that it is not a canonical SCR (skin conductance response), rather a reflection of  
46 a point at which SC series characteristics segment. It is particularly important to  
47 realise that the SCE is merely the point at which one segment ends and the next  
48 begins, and what distinguishes them are the features throughout the segment length,  
49 not just at the SCE. With known triggers eliciting canonical SCR, the time delay  
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3 between trigger and response is variable, and might well average 10 s and range up to  
4  
5 20 s, as discussed previously (Dean & Bailes, 2015). Given that the SC changes we  
6  
7 are interested in here might commence before a musical transition point (perhaps  
8  
9 because they relate to the planning of this transition), and continue thereafter, the SC  
10  
11 changepoint event (SCE) might be correlated but not necessarily absolutely  
12  
13 coincident with the musical one. Thus we chose in advance of the analyses to focus  
14  
15 on SC windows of 20 s, within 10 s either side of musical transition points.  
16  
17

18  
19 Given that SCE are not triggered SCR to experimentally defined external  
20  
21 stimuli, we analyse them on the basis that in common with most repeating and related  
22  
23 events they are distributed independently in time under a Poisson law. The Poisson  
24  
25 distribution indicates the probability of observing given numbers of events in a unit  
26  
27 time period (or space), given the average number of events in such a unit time (or  
28  
29 space): it is a discrete probability distribution based on a power law. For an  
30  
31 individual participant, we expect the SC behaviour (i.e. the baseline event rate) to be  
32  
33 relatively consistent. Thus for an individual, we assess the Poisson mean SCE/time  
34  
35 for the 20 s segments around the musical transitions (the 'transition zones'), and  
36  
37 compare this with the Poisson mean SCE/time for the remaining (control) period. This  
38  
39 can be done for each performance, though there may be too few events for this to be  
40  
41 statistically meaningful. It can also be done for all the performances of an individual  
42  
43 taken together, and the mean difference between events/time in the transition and  
44  
45 control periods is informative. We also measure the rate ratio (the ratio between  
46  
47 SCE/time for the two conditions, musical transition zones vs. control period, which  
48  
49 effectively scales the different performers' responses) between the two Poisson  
50  
51 distributions for each piece. We can again aggregate across all the performances the  
52  
53 number of SCE/time occurring in the musical transition zones, in comparison with the  
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3 number of SCE/time occurring in the control periods. This permits a Poisson  
4  
5 statistical rate ratio comparison.  
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#### 9 10 *2.4.3 Detection of musical segmentation in performances*

11 A group of algorithms was coded in R for the purpose of measuring musical features  
12 and then identifying changepoint segmentation based on their changing characteristics  
13 as requested in some of the referents of Table 1, using solely the MIDI data acquired  
14 during performance. The algorithms are detailed in a previous paper (Dean, Bailes &  
15 Drummond, 2014) and summarized here. Most involve windowed analyses, where  
16 means and standard deviation over a chosen window length describe the potentially  
17 changing musical parameter (such as the event density), and can reveal transitions.  
18 Only in the case of the register referent (pitch range) was simple inspection (or  
19 measurement) of individual pitches sufficient to define the transition points; in all  
20 other cases, the windowing approach determines that the performed transition will be  
21 analysed as a relatively smooth one and the changepoint package is effective to  
22 determine the segmentation.  
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#### 40 *2.4.4 Time series analyses of perceptions of change and affect*

41 With the non-musicians we chose to measure both perceived change and  
42 perceived affect. We considered the latter task inappropriate for performers who had  
43 already generated and experienced their own arousal in making the music, and we  
44 were also limited by experimental time available per session with the performers.  
45 Thus the performers only undertook the change task. We describe the analysis of  
46 perceived change next, and then the analyses of affect.  
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3 The perceived change series were analysed first to assess whether increases in  
4 the temporal patterns of perceived change coincided with the computational musical  
5 segments of the performances. An approach similar to that described above for SCE  
6 was used, but rather than simply quantitating the frequency at which changepoints  
7 occurred, it was necessary also to assess the summed absolute magnitude of perceived  
8 change across the time series zones being compared. The analyses were done on the  
9 perceived change differenced once to stationarity, and prewhitened to avoid spurious  
10 cross-correlations which otherwise often obtain between pairs of highly  
11 autocorrelated series (Dean & Dunsmuir, 2015). Pre-whitening is removing the  
12 autocorrelations from one of the pair of series in question (by filtering with an  
13 autoregressive function obtained by modelling the series purely autoregressively); this  
14 allows a reliable estimation of a cross-correlation function and its significance, when  
15 required, and a secure assessment of relationships between pairs of series. This is  
16 elaborated in the Results section. These analyses were done for responses from both  
17 the performers and the non-musicians.

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36 The analyses mentioned so far involve univariate time series models (and in  
37 the last case, their comparison). But in the specific case of performers' perceived  
38 change, we also optimised multivariate VARX (vector autoregression with  
39 eXogenous predictors) models. In VAR, a joint model of several variables is  
40 constructed, and it can allow not only for their being autoregressive, but also possibly  
41 showing uni- or bi-directional relationships with each other (such variables are  
42 commonly termed 'endogenous'). The Granger Causality test is a means of  
43 determining the statistical likelihood that a given endogenous variable influences  
44 another, and equally importantly, in which direction(s) the influence flows. In contrast  
45 to endogenous variables, an eXternal predictor is 'exogenous', in the sense that it

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3 cannot be influenced by the endogenous variables, though it may influence them. For  
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5 example, the acoustic intensity of a segment of music cannot be influenced by  
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7 listeners' perceptions of the music, but it may influence those perceptions. Candidate  
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9 eXternal predictors here were the referent musical feature series (e.g. density-  
10  
11 sparsity), and acoustic intensity, while SC was initially treated as an endogenous  
12  
13 variable (potentially both influencing and being influenced by perceived change), and  
14  
15 autoregression of the endogenous variables was included as appropriate. Note the  
16  
17 limitation in this approach that performers' in-performance SC cannot be measured at  
18  
19 the same time as their perceptions of change. Depending on the results, SC can if  
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21 appropriate be reframed as an eXogenous variable, simplifying the model.  
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25         Turning to the non-musicians' perceptions of affect, we then sought to obtain  
26  
27 models, by means of time series analysis techniques, of their perceived change,  
28  
29 arousal and valence time series, considering all the relevant predictors within our  
30  
31 data. These were autoregression; acoustic intensity (a dominant factor in previous  
32  
33 work, and the driver of perceived loudness); the computational musical parameters;  
34  
35 the perceptions of change by both the performers and the non-musicians themselves;  
36  
37 and the performers' SC. Since the on-going SC series itself embodies the data which  
38  
39 allow the detection of SCE, it is not expected that SCE themselves (being infrequent  
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41 in any case) would be significant predictors of perceived change. Perceived change  
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43 was considered as a possible predictor of perceived arousal and valence, but arousal  
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45 and valence themselves were not considered as predictors, but solely as  
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47 autoregressive influences upon themselves. Such possible inter-relationships have  
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49 been studied in depth previously (Dean & Bailes, 2010), and are at most small.  
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### 56 **3. RESULTS**

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### 3.1 Segmentation of Performers' Behaviour and Retrospective Perceptions

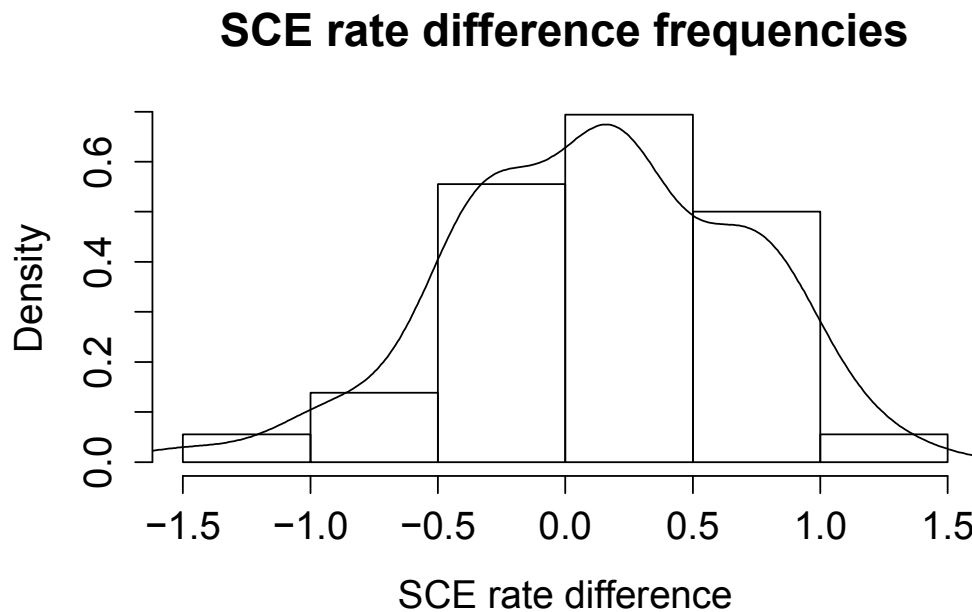
#### 3.1.1 Skin conductance (SC) segmentation points during performances: Do these relate to the generation and perception of musical structure?

In the first analyses, the number of changepoints to be detected was not user-set, but determined by an asymptotic probability limit (one to which the data are determined to converge as the number of data points goes towards infinity). At an asymptotic probability limit of  $p < .05$ , the changepoint analyses of SC showed two changepoints (i.e. two SCE and hence three segments of skin conductance) in every improvisation. A Poisson analysis compared the rate at which SCE occur in the music segmentation transition times (taken as  $\pm 10$  s from the musical changepoints) with the rate in the other periods of time (the 'control' periods). None of the event rate differences for an individual performance were significant (as judged by the Poisson rate ratio test), which is to be expected given the small number of data points involved. The mean SCE rates (events/20 s) across all performances were: 0.38 for musical transition periods, and 0.26 for control periods. The mean difference, calculated from separate difference values for each performance was correspondingly 0.12. Forty-five (out of 72) performances showed higher rates in the musical transition periods than in the remainder. Testing whether this proportion is greater than 50% showed  $p = .02$ . Figure 1 shows a histogram of such rate differences across all performances.

Given that SC varies considerably between individuals, a ratio by individual between the SCE rate in transition versus control periods effectively scales the data, and facilitates comparison. Thus aggregating all the performance SCE and the corresponding musical transition and control time windows showed that overall the

rate ratio between the occurrence of SCE in the musical transitions vs. control periods was 1.71 (95% confidence intervals (CI) [1.21,2.40],  $p = 0.02$ ). We conclude that skin conductance change in the performers did occur preferentially in the periods in which musical change was being improvised, as hypothesised.

Figure 1. Histogram of SCE rate differences (all performances)



SCE rate differences for all performers are shown as frequency densities. The line shows a kernel estimate of the density distribution. It is clear that differences greater than zero exceed those lower.

*3.1.2 Were the segments in performers' perceived change in the improvisations related to the computational musical segments?*

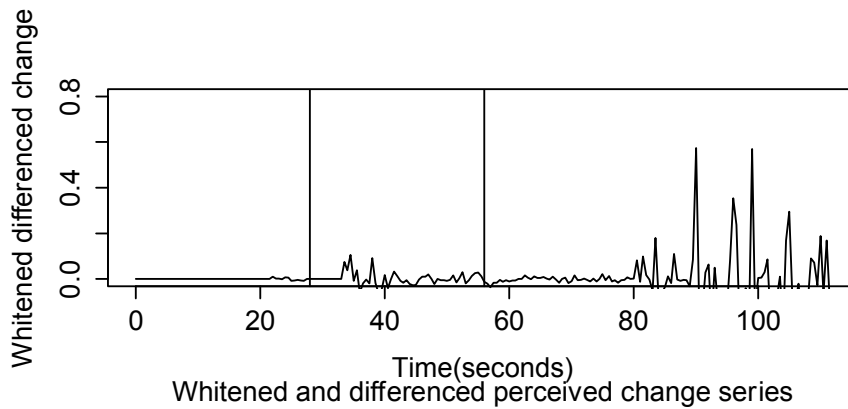
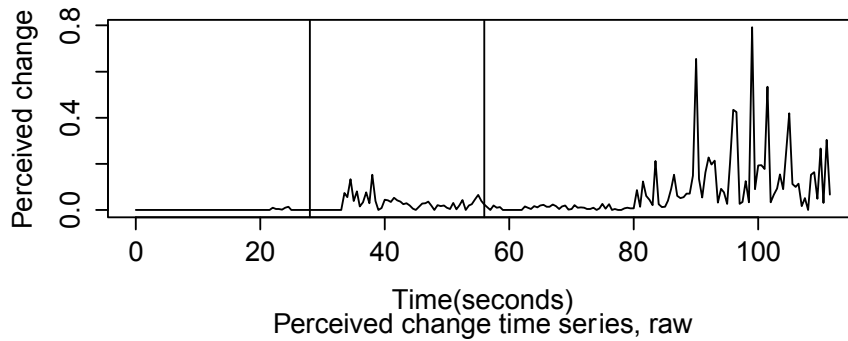
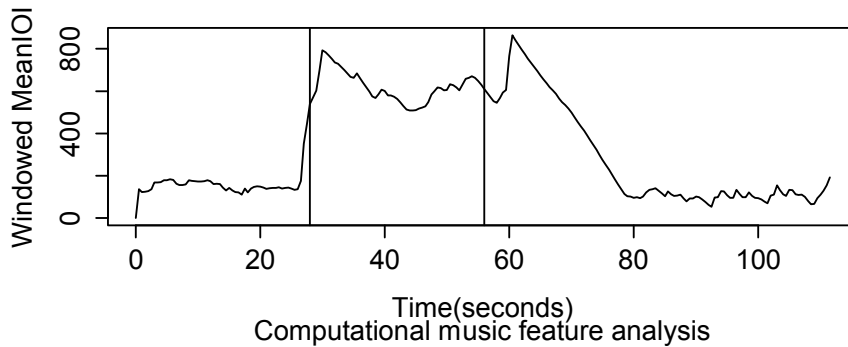
We attempted to use exactly the approach just described to address this analogous question, with the hypothesis that performers' perceptions would be clearly

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3 related to computational changepoints. Amongst the 12 performer-auditioned  
4 referents, three performers heard each of the fully-specified referents Dense, Pulse,  
5 Staccato and Tonality (those with both A and B as specified musical features), and we  
6 studied these first. We found the perceptual changepoints (again using asymptotic  $p <$   
7  $.05$ ) were difficult to detect, because the perceived change data were very sparse;  
8 mostly the algorithms just detected the first point at which the performer-listener  
9 registered change, and the end of their responses, with little or no delineation between  
10 (using `cpt.meanvar` or `cpt.mean`). Inspection of the time series data showed that this  
11 was comprehensible.  
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23 Thus an alternative approach was used, developed from one we used in Dean  
24 and Bailes (2015) for the analysis of skin conductance data. We compared the  
25 absolute differenced perceived change series across the music computation segments,  
26 with the hypothesis that the sum of this series per 20 s in the musical transition zones  
27 would be greater than the sum per 20 s immediately preceding, because the listeners  
28 perceive change as it happens. It is not reliable to work on isolated time chunks of an  
29 autocorrelated time series (Dean & Dunsmuir, 2015), as spurious relationships can be  
30 detected, rather for such purposes the series needs to be 'pre-whitened', which as  
31 mentioned above means removing its autocorrelation, and further analysis should be  
32 conducted on the resultant 'residual' series (that is, the part of the data which are not  
33 explicable simply by autocorrelation). We thus pre-whitened our differenced series  
34 using `auto.arima`, from the R 'forecast' package. This is an algorithm for automatically  
35 optimising an autoregressive model of a series; we allowed a maximum permitted  
36 autoregressive-order of 12, and used the Bayesian Information Criterion, which  
37 penalises strongly for the addition of predictors, as the selection criterion. The BIC  
38 tends towards parsimony and helps to avoid 'overfit' models, those that lack general  
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3 applicability to the kind of series being studied, but are instead unique to the  
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5 individual series instantiation in question. By inspecting the graphed trajectories, we  
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7 observed that the changepoints detected in the music computational analyses were at  
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9 the beginning of transitions, and thus we compared the data for the perceived change  
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11 series for the 20 s after the music computational changepoints (perceptual transition  
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13 zones), with that for the 20 s before them (perceptual control zones). Figure 2 shows a  
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15 relatively clear-cut exemplar of the analysis, with respect to the Dense-Sparse-Dense  
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17 referent (analysed as windowed mean musical inter-onset interval). There are three  
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19 panels: top the musical referent feature data stream, middle the raw perceived change  
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21 data and bottom the pre-whitened perceived change series (i.e the residuals from the  
22  
23 purely autoregressive model), in each case with the musical segment positions  
24  
25 indicated. Perceived change is limited in the opening and middle (B: sparse) section,  
26  
27 and more extensive in the final dense section. It is also apparent, particularly in  
28  
29 relation to the start of the B section and also clearly for the opening of the C section,  
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31 that change is concentrated after the transition compared with before the changepoint  
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33 (notably at 80 s).  
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Figure 2.



Analysing the Performers' perceived change. The panels illustrate the results from a single performance of Dense-Sparse-Dense (Referent #5). The vertical lines on each panel indicate the measured changepoints in the music computational data stream (windowed mean inter-onset interval: i.e. note or chord duration).

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3 For the 12 listen-backs by performers to fully specified ABA referents, the mean of  
4 the ratios between the musical transition and control zone change sums (measured by  
5 individual performance) was 2.99(2.75) (geometric mean, dimensionless (*SD*)).  
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10 Eleven out of 12 perceptual series showed ratios  $> 1$ , and for a one-sided proportion  
11 test showed  $p = .005$ , 95% CI [0.65,1.0] for this proportion. The difference between  
12 paired transition and control sums was significantly greater than 0 ( $p = .028$ ), and  
13 showed CIs of [0.25, Infinity]. Thus the musicians seemed to be influenced by the  
14 referent parameters.  
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21 This analysis was extended to consider all the eight partially-specified  
22 referents (four with a required change, four with an optional change) auditioned by  
23 the performers (three performers undertook and listened to each of the referent  
24 character types, for a total of 24 listenings). In each of these partially-specified cases  
25 the 'A' feature was maintained throughout the performance. As we showed  
26 previously, these partially-specified referents were virtually always realised  
27 successfully: any change in 'A' was slight in comparison with that occurring in the  
28 fully specified ABA referents. Nevertheless, given that we had forced the changepoint  
29 algorithm to find three segments in the musical data in the earlier analyses, we could  
30 detect changepoints even in the partially specified referents, but these may or may not  
31 be perceptually relevant, as we investigated further.  
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46 Given this, our expectation was that performers' perceptions for the additional  
47 24 cases would not necessarily align with musical segmentation based on the 'A'  
48 feature, since it would not have been the feature they used to create their  
49 segmentation. Taking all the performers' perceptions of the 36 referent pieces they  
50 auditioned, 25 of 36 showed a ratio  $> 1$  (proportion test:  $p = .015$ , CI for proportion  
51 [0.54, 1.0]). Since 11/12 of the fully specified referents showed a ratio  $> 1$ , this result  
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3 also reveals that only 14/24 of the incompletely specified referents showed such a  
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5 ratio. The overall geometric mean (*SD*) ratio values for all 36 listen-backs were  
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7 1.43(3.09), and correspondingly this was not significantly greater than 1 ( $p = .07$ ).  
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9 Clearly, the performers' perceptions seemed much less driven by the primary referent  
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11 in the partially-specified cases than in the fully-specified ABA referents, as we  
12  
13 predicted.  
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### 16 17 18 *3.2 Segmentation of Non-Musicians' Perceptions* 19

#### 20 21 22 *3.2.1 Were the segments in the non-musician participants' perceived change* 23 24 *related to the computational segments?* 25 26

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28 In view of these results on the performers themselves, we expected little or no  
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30 relationship between music computational segmentation and perceived change by our  
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32 non-musician listeners, excepting perhaps for the fully-specified ABA referents,  
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34 where the referent basis of change might still be apparent. The 14 non-musician  
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36 listeners heard four cases of the fully specified ABA referents (Dense-Sparse-Dense,  
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38 Staccato-Sustain-Staccato, and two versions of Tonal-Atonal-Tonal), and four of the  
39  
40 partially-specified referents (Dense with optional change, Pulsed with a required  
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42 change, Pulsed with optional change, Staccato with required change: see Table 1 for  
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44 details). We assessed the mean perceived change for all audio files. Non-musicians  
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46 generally indicated more perceived change to a given piece than did the performers  
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48 themselves, and the non-musicians' change series could be easily segmented into three  
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50 or sometimes more parts using the asymptotic  $p = .05$  cpt.meanvar changepoint  
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52 approach.  
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3 We undertook the analysis of the relationship of perceived change to  
4 computational musical structure for the non-musicians in the same way as for the  
5 performers, by comparing summed changes in the control and transition zones. Only  
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7 for one item, one version of the referent Tonal-Atonal-Tonal, was the transition  
8  
9 period change sum not greater than the control rate. Thus the proportion test showed  
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11 the CIs for the estimated participant proportion having higher transition sums as  
12  
13 [0.52,1.00], and  $p = .038$ , suggesting greater perceived change in the transition zones.  
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15 The geometric mean transition: control ratio values ( $M(SD)$ ) were 2.50 (3.04) but this  
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17 was not significantly greater than 1 ( $p = .29$ ). The non-performer participants were  
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19 thus clearly but not strongly driven in their ratings of perceived change by the  
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21 specified referent musical parameter, taking all pieces together.  
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27 We wondered whether the mildly positive proportion test might be based in  
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29 greater perception of change in the referent musical parameter in the fully-specified  
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31 ABA cases, even by these non-musicians, so we also assessed the differenced changes  
32  
33 individual by individual for those cases (14 x 4 responses). 33/56 responses showed  
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35 ratios  $>1$ , but this was not a proportion significantly higher than 0.5. The geometric  
36  
37 mean( $SD$ ) of the rate ratios for these fully-specified referents was 1.35(2.77). The  
38  
39 individual differences between rates for the 56 perceived series were overall positive  
40  
41 but the CIs of the difference value were [0.398, Inf], ( $p < .0004$ ), indicating their  
42  
43 considerable variability. The results support the earlier conclusion that non-musicians'  
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45 perceptions of change were partially but not strongly driven by the specified musical  
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47 parameter, even in these fully specified ABA cases.  
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### 54 *3.2.2 Comparing the performers and non-musicians' perceptions of change.*

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3 We can now reasonably ask whether the non-musicians' and performers'  
4 segmentations were related, as would be the case if they all perceived the same  
5 combination of musical features as those creating the computational structural  
6 segments. This was assessed using the same method of summing pre-whitened and  
7 differenced perceived change series as just described, but now taking the performers'  
8 segmentation as the point of comparison. Note that for the non-musicians we have no  
9 strong grounds for predicting what the musical features predicting such segmentation  
10 may be. For example, we identified many parameters that can segment the free  
11 improvisations in particular (Dean, Bailes & Drummond, 2014) and expect that there  
12 are several ways of segmenting any performance, just as we noted above that note-  
13 event density or note-length referents may sometimes be better segmented on the  
14 basis of acoustic intensity. Rather what is being assessed first here is the relation or  
15 otherwise of performers' and non-musicians' perceptions of the location of transition  
16 zones in the performances.  
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34 The prediction we tested was again that the non-musicians' summed absolute  
35 differenced perceived change over the 20 s following the relevant performer's change  
36 series changepoints (transitions) would be greater than the corresponding sum for the  
37 preceding 20 s (control), as this would indicate significant similarity in perceptions.  
38 Initially, we used the mean perceived change series from all non-musicians taken  
39 together for each performance (excluding the free improvisation), and the geometric  
40 mean ratio (*SD*) between them was 1.1(1.2), but this was not statistically significant.  
41 Similarly there was no difference when all the individual non-musicians' change  
42 perception series (pre-whitened) were analysed. However, when the analysis was  
43 focused solely on the auditioned fully specified referents, an increased change in the  
44 transition zones was found: geometric mean (*SD*) of the ratio was 1.2(2.4), the  
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3 difference between change rates had a mean of 4.2 ( $p < .0001$ ), and the difference  
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5 normalised in units of mean change rate by individual was 0.19 ( $p < .015$ ).  
6

7 Apparently, the non-musicians' and performers' perceptions of the major transition  
8  
9 zones are quite closely related in the cases of the fully specified referents, where the  
10  
11 relevant features are likely most apparent, but not in the other cases. We return to the  
12  
13 nature of the influences on non-musician perceptions of change in Section 4.  
14

15  
16 We conclude that the non-musicians perceived enhanced rates of change  
17  
18 shortly after the performers' transition point in pieces with fully-defined referents,  
19  
20 which apparently make the referent feature more transparent, and may drive the  
21  
22 perceptions fairly uniformly across both performers and non-musicians, at least in  
23  
24 those transition zones. Section 4 includes an assessment of whether there is any  
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26 generality to this: does the performer's perception of change predict the non-  
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28 musicians' perceptions throughout a piece i.e. between the transition zones? We  
29  
30 expect that the non-musicians commonly perceive the on-going musical features in  
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32 different ways from the performers, which would be revealed by a common failure of  
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34 performers' perceptions of change to contribute to overall models of those of non-  
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36 musicians.  
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### 43 *3.3 On the Possible Relationships in Performers Between SC, Musical Structure* 44 45 *Generation and Perceived Change.* 46

47 It is well known that acoustic intensity changes, particularly large and abrupt  
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49 ones, can influence SC (Bach et al., 2009). In contrast, our primary interest here was  
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51 investigating whether performer SC is related to cognitive processes involved in the  
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53 fulfilment of the specified ABA referents, and production of the necessary musical  
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55 contrasts. Following our observation above that SC change is intensified around the  
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3 music structure transition zones, we considered more specifically that SC changes  
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5 would precede the musical transition, and be predictive of it. Given the variable time  
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7 delays in SC in relation to a stimulus, this could not be tested directly. If, but only if,  
8  
9 these particularly SC changes are in general large in comparison with other SC  
10  
11 changes, then such a feature of the transition zones may be reflected in an overall time  
12  
13 series relationship between SC and the changing musical referent parameter. If the SC  
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15 change at such points is limited (yet influential), then such a relationship can only be  
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17 detected in the transition zones themselves, and is absent elsewhere. So as a weak test  
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19 of the specific proposal that SC changes predict transitions we tested these  
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21 possibilities sequentially, and using VARX could also assess the complementary  
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23 possibility that the musical change process itself might initiate SC change. We also  
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25 investigated the possible influences of the other measured parameters, the musical  
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27 features, acoustic intensity and the movement parameter. For these analyses we were  
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29 necessarily restricted to the 12 fully-specified ABA referents which the performers  
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31 cumulatively auditioned (because in the others we do not know which musical  
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33 features to include in such a 'competitive' analysis). While there were for example  
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35 three improvisations around the Dense-Sparse-Dense referent auditioned by three  
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37 different performers, the three improvisations are necessarily very different and need  
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39 to be treated separately.  
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45 In order to give the reader an awareness of the detail of the analytical method  
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47 and interpretation, Table 2 shows a complete example of the joint VARX models of  
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49 SC and the performer's own perceptions of change in an improvisation around the  
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51 referent Dense-Sparse-Dense. Here L1 and L2 indicate lags 1 and 2 respectively, and  
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53 the data required differencing to stationarity (as mentioned, *dseriesname* indicates the  
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55 first differenced form of *seriesname*). This VARX modelled jointly the performer's  
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3 skin conductance during performance and perceived change in the music during  
4 retrospective listening. These two variables were treated as endogenous (that is  
5 potentially both autoregressive and with mutual influences). The Granger causality  
6 analysis (bottom of table) showed they were indeed mutually influential in this case.  
7  
8 The Table 2 output first describes the parameters, overall fit ( $R^2$ ) and probability (p-  
9 values) of the components of the model. Secondly, it presents the coefficient for each  
10 predictor and its standard error, significance and 95% confidence intervals. Note that  
11 the VARX model design requires that the two dependent variables are modelled  
12 together, and hence a predictor may be essential for one of the dependent variables  
13 and have a highly significant coefficient, but yet be unimportant with a non-  
14 significant coefficient for the others (e.g. here lag 2 of dchange is not significant for  
15 predicting dsc, but is required for the autoregression of dchange).  
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30 Table 2 shows that the computational musical variable series (windowed mean  
31 inter-onset interval) was not a predictor of perceived change, but acoustic intensity  
32 paralleled it (short note sections have high intensity) and seemingly replaced it as a  
33 predictor. The exogenous predictors retained in the optimised model were lag 1 of the  
34 differenced intensity series, lags 1-3 of the differenced movement series (the  
35 measurement of the performer's left leg movement during performance), and second  
36 order autoregression was selected. The BIC (Bayesian Information Criterion, used to  
37 select the preferred model) was -588.56.  
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47 Table 3 summarises the information from all the VARX analyses taken  
48 together that is pertinent to our purposes (the summary of the data from Table 2 is  
49 included). Only relationships whose individual coefficients are significant at  $p < .05$   
50 are listed; some models were purely autoregressive and hence do not appear in the  
51 table. All the selected VARX models shown had some predictor variables, and were  
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3 highly autoregressive, with lags 1-4, and all concern first differenced variables. In  
4  
5 some cases component models are very poor ( $R^2 \sim 0.1$ ) particularly for SC.  
6

7  
8 Table 3 shows that overall SC was statistically influential on perceived change  
9  
10 only in two cases, as judged by Granger Causality. In two cases, there was evidence  
11  
12 that perceived change could aid modelling SC. So the earlier analyses demonstrate  
13  
14 clearly the coincidence of SC change and transitions, but this does not generally  
15  
16 translate into an overall SC/perceived change relationship across the whole time  
17  
18 series. In five of the 12 cases the referent musical parameter influenced change and/or  
19  
20 SC, and additionally in the Dense-Sparse-Dense referent (for participant ID 3)  
21  
22 intensity change was coincident with the referent segmentation (not shown) and  
23  
24 influenced perceived change. Evidence for the relationship between the musical  
25  
26 referent and perceived change for performers was thus strengthened by these data.  
27  
28 There were 4 of 12 cases in which acoustic intensity was included in the selected  
29  
30 model, agreeing with earlier work that it is an influential factor on perceived change  
31  
32 in music but showing that with demand-driven focus on other musical parameters, its  
33  
34 relative influence may be diminished.  
35  
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37  
38 We showed above that performers' SC changes particularly in regions of  
39  
40 musical transition. However, we conclude from the VARX analyses that this effect is  
41  
42 not strong enough to contribute often to models of perceived change, most probably  
43  
44 because of a lack of relation between the SC and perceived change in the longer time  
45  
46 zones outside the transition periods.  
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### 51 52 *3.4 Time Series Modelling of Non-Musicians' Perceptions of Change and of Affect*

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54  
55 Fourteen non-musicians undertook both the change and the affect tasks,  
56  
57 successively and in counterbalanced manner (whereas performers undertook only the  
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3 change task). The non-musicians auditioned one free improvisation; four fully-  
4 specified referents (Dense-Sparse-Dense, Staccato-Sustain-Staccato, two  
5 performances of Tonal-Atonal-Tonal), and four partially-specified (Dense with  
6 optional change, Pulsed with a required change, Pulsed with optional change,  
7 Staccato with required change). We present analyses of all except for the free  
8 improvisation here, so that the computational measure of the core-referent musical  
9 feature can be considered as a candidate predictor throughout.

10  
11 Somewhat more data (31,915 observations) were available for analysis here  
12 than for the performers' perceptions. Hence as a preliminary assessment and given the  
13 relatively extensive data we treated the eight referents as separate items but within a  
14 communal cross-sectional time series analysis (CSTSA). Detailed introductions to  
15 CSTSA are provided by Dean, Bailes & Dunsmuir (2014a, 2014b). Unlike any  
16 conventional averaging procedure across time series of responses, this preserves the  
17 integrity of all the individual data series during the analysis, and permits multilevel  
18 mixed effects analyses. The musical parameters were uniformly scaled, but used as if  
19 all representative of one parameter, whereas of course they were actually different  
20 features such as pulsedness, tonalness, mean note length (defined in Dean & Bailes,  
21 2014). Only autoregression (and random effects on participants and/or items  
22 involving it) could be detected in CSTSA of all items taken together for models of  
23 either differenced perceived change, arousal or valence series, which were in turn  
24 poor. This was the case whether we used individual response series, permitting  
25 random effects by participant and by item, or mean response series with random  
26 effects only by item. Only poor models were obtained with CSTSA.

27  
28 It seems from this that the improvisations are too disparate for such a CSTSA  
29 treatment. So a more refined analysis considered each referent performance  
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3 individually, and used the mean time series for non-musicians' perceptions of change,  
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5 arousal and valence as a basis for each model, in conjunction with the other available  
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7 predictors. We noted that intensity was found above to replace some of the musical  
8  
9 features (such as sparsity) and so included it as potential predictor together with the  
10  
11 computational musical features (now of course treated as the unique features they  
12  
13 are), both the performers' and non-performers' perceived change series, and  
14  
15 autoregression of the chosen dependent variable. In this study, we did not assess  
16  
17 possible relationships between the perception of arousal and valence, but for  
18  
19 perceived arousal specifically we also considered SC as a predictor, as prefigured  
20  
21 above in the analyses of SC and performer perceptions of change.  
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25         During initial data exploration of the perceptions of the individual pieces we  
26  
27 noted that the mean change series showed strong discrimination within each  
28  
29 improvisation, while the mean arousal and valence series did not. This can be  
30  
31 illustrated through their coefficients of variation ( $CV$ : the ratio of  $SD/mean$ )<sup>3</sup>. The  
32  
33 mean and range of the CVs were: change 0.55(0.34, 0.81); arousal 0.14 (0.07, 0.28),  
34  
35 valence 0.11 (0.05, 0.26). The CV distributions for arousal and valence were also  
36  
37 skew, as indicated by the ranges around the means.  
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41         These data suggest that the non-musicians did not perceive much expressed  
42  
43 affect in these improvisations, consequently it is not surprising that further analysis  
44  
45 gave limited information. Analyses of non-musician perceived change series for the  
46  
47 eight pieces showed poor to fair models ( $R^2$  from 0.08 to 0.33) with autoregression  
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51  
52 <sup>3</sup> Solely for the determination of  $CV$ , we adjust the arousal and valence scales to run  
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54 from 0-200 instead of the original -100 to +100 (as in previous work; unless this is  
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56 done the CVs are not meaningful and cannot be compared).  
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3 dominant. Only for the referent of Staccato with required change was any other  
4  
5 predictor useful: in this case, acoustic intensity.  
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7 For perceived arousal, four of the eight cases gave models with multiple  $R^2 >$   
8  
9 0.15. We found that intensity was influential for Dense-Sparse-Dense, Pulsed with a  
10  
11 required change, and Staccato-Sustain-Staccato (three of those four cases). For  
12  
13 Dense-Sparse-Dense and Staccato-Sustain-Staccato this is readily comprehensible as  
14  
15 intensity paralleled the musical parameter. Consistent with this, the musical parameter  
16  
17 itself was influential for Pulsed with a required change only. Non-musicians'  
18  
19 perception of change was influential for perceived arousal for the Dense-Sparse-  
20  
21 Dense and the Staccato-Sustain-Staccato referents. Autoregression was strongly  
22  
23 influential in all cases, as expected (and it was the only predictor for Pulsed with  
24  
25 optional change, Staccato with a required change and the alternative performance of  
26  
27 Tonal-Atonal-Tonal). The performer's SC could not contribute to any of the models of  
28  
29 non-musicians' perceptions of arousal, which is not surprising given earlier results on  
30  
31 the overall SC series. The performer's perception of musical change was a predictor of  
32  
33 non-musicians' perceptions of arousal for Dense with optional change, Staccato-  
34  
35 Sustain-Staccato (presumably reflecting some additional musical feature(s) beyond  
36  
37 the core referent in this particular case), and for one of the performances of Tonal-  
38  
39 Atonal-Tonal. Thus there was not a uniform failure of performers' perceived change  
40  
41 as a predictor, as we had wondered earlier; rather the data again indicate some  
42  
43 commonality between the performers and the non-musician listeners.  
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49 As noted, valence responses were remarkably unchanging (mean CV = 0.11),  
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51 indicating that the listeners hardly discriminated changing positivity/negativity during  
52  
53 a piece, even though the mean values for the series for individual pieces were distinct.  
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55 Correspondingly, the autoregressive models for the valence series were all extremely  
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3 poor (multiple  $R^2 \leq 0.10$ ). Across the pieces, the same range of predictors as for  
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5 arousal was found to have detectable (if very small) roles: intensity, the computational  
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7 musical structure, the performers' perceptions of change, the non-musicians'  
8  
9 perception of change, and autoregression. Perhaps this is not surprising given that  
10  
11 non-musician listeners' perception of valence varies little during these relatively  
12  
13 simple referent structures. This is reasonable in spite of the fact that sophisticated and  
14  
15 complex professional levels of improvising ability are required to fulfil the referents  
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17 coherently, particularly when concepts such as tonality are involved.  
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#### 23 **4. Discussion**

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25 This study was concerned with the structures improvised by solo pianists, examining  
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27 their perception by the performers themselves as well as by non-musician listeners. In  
28  
29 a bid to explore the 'online' cognitive processes involved in generating the musical  
30  
31 material, we measured the SC of the performers, analysing the relationship between  
32  
33 SC data and the observed moments of musical transition. Specifically, we  
34  
35 hypothesized that SC would be enhanced during transitional periods, reflecting  
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37 changes in the performers' attention and/or arousal when generating contrasting  
38  
39 musical material. For such an effect to indicate cognitive processes, it should precede  
40  
41 the performed musical changes, with increased arousal predicting the musical  
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43 transition. We found evidence that SC did change significantly around the times of  
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45 observed musical change, but we could not show whether it was strongly predictive of  
46  
47 the perceived changes (in a statistical sense). This can probably be best understood by  
48  
49 considering the extreme case of a canonical SCR occurring in association with an  
50  
51 imminent musical change, even though such canonical SCR were not common. With  
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53 a relatively symmetrical rise and fall of SC during such an SCR, predictive  
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3 information corresponding to an intervention spike or an intervention step would be  
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5 neutralised as the fall counterbalances the rise. Thus it is perhaps unsurprising that SC  
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7 was not predictive of the time course of perceived change. This was also supported by  
8  
9 additional analyses in which we coded SC as zero in control periods of the response  
10  
11 series but retained the measured SC values across the transitions, and tested whether  
12  
13 such a modified SC series was predictive of perceived change. The results (not  
14  
15 shown) were negative. As shown above, in some cases change was itself predictive of  
16  
17 SC change, and both complementary and simultaneously bidirectional relationships of  
18  
19 this kind (SC  $\leftrightarrow$  Change) are quite common.  
20  
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22  
23 We further predicted that changes in SC while performing would relate to the  
24  
25 performers' perceptions of change in the music when they retrospectively listened  
26  
27 back to their work. In some instances, this was the case, with changes in SC  
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29 predicting perceptions of change when listening. The direction of this relationship  
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31 suggests that SC changes foreshadow audible transitions in the music, and thus  
32  
33 signify increased attentional and cognitive focus rather than a perceptual reaction to  
34  
35 the performed changes.  
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38  
39 Asking the performers to later provide continuous ratings of their  
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41 improvisations is susceptible to response biases. For example, the performers might  
42  
43 be influenced by their desire to rate perceived change in accordance with the referent  
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45 they had been instructed to perform. Indeed, the results suggest that the performers  
46  
47 were influenced by the primary referents when rating their perceptions of change in  
48  
49 the fully-specified improvisations, but less so when the referent structure was only  
50  
51 partially-specified. So performers' perceived change in the fully specified referents is  
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53 in line with the musical changes they introduced. Although this perception could in  
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55 part have been driven by awareness of the earlier referent demand of the performance,  
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3 it seems most reasonable to conclude that performers can probably perceive their own  
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5 segmentation mechanisms in other circumstances too. This might be tested further by  
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7 obtaining data on what parameters a musician-listener considered they were using to  
8  
9 segment change in improvisations like the partially-specified referents here, and  
10  
11 comparing these with both their continuous perceptions of change and the specified  
12  
13 musical parameter(s). Clearly such parameters might well vary at different stages of a  
14  
15 single listening. Currently we do not have such data.  
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19         Although the performers listened back to the recordings following a break,  
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21 their memory for the improvisations is likely to have still been relatively fresh.  
22  
23 Moreover, their involvement in the generation of the music surely provided them with  
24  
25 a heightened knowledge and understanding of its features. For these reasons, non-  
26  
27 musicians with no prior experience of the improvised material were invited to rate  
28  
29 their perceptions of change in the music. In spite of their removal from the generation  
30  
31 of the music, the non-musicians perceived structural changes in the music similarly to  
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33 the performers, though to a lesser extent, and only in cases where the musical referent  
34  
35 was fully specified, when the instruction to create a segmental contrast was explicit  
36  
37 and evidently realised. Movement was commonly an influence on SC, as described  
38  
39 previously (Dean & Bailes, 2015). In four cases, movement influenced perceived  
40  
41 change, and possibly this can be understood in terms of the well-known relationships  
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43 between performer movement and expression, or simply applied force (e.g. Todd,  
44  
45 1992).  
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50         Finally, it was of interest to examine the ways in which the non-musicians'  
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52 perceptions of the affect expressed by the improvisations - along the dimensions of  
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54 arousal and valence - related to the improvised structure, the performers' perceptions  
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56 of this, and their SC during performance. The improvisations were not perceived to be  
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3 particularly expressive with respect to arousal, though the continuous ratings of  
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5 arousal varied sufficiently to be modelled in relation to a combination of musical  
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7 features, acoustic intensity, and autoregression. It is noteworthy that the performers'  
8  
9 physiological arousal (SC) did not contribute to any of the models of the non-  
10  
11 musicians' perceived arousal. Listeners did not perceive continuous changes in the  
12  
13 valence expressed in the improvisations, and consequently this affective dimension  
14  
15 could not be modelled.  
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19 In conclusion, changes in the performers' SC occurred preferentially during  
20  
21 the periods in which they improvised musical change, possibly reflecting enhanced  
22  
23 attention and arousal at pivotal moments of heightened decision-making. As such, the  
24  
25 continuous measurement of SC during improvisation is a promising approach to  
26  
27 understanding performers' experiences as they occur, and aligning these to the  
28  
29 musical decisions that they make. Further research is underway to measure the  
30  
31 physiological arousal of keyboard duos as they improvise together. This will allow for  
32  
33 an in-depth exploration of the mutual influence of cognitive, perceptual and  
34  
35 physiological processes on the generation of musical structures in real-time. In this  
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37 circumstance, future studies of inter-brain kinetic coordination, as well as intra-brain  
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39 sequential and causal networks, will be important.  
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For Peer Review Only

Table 1: Improviser Tasks

Referent	Basis of	Basis of change	Change not	Whether there is
Character	change specified ('fully-specified' referents)	not specified ('partially-specified' referents)	required (i.e. is optional) ('partially-specified' referents)	change is not known in advance, nor is a basis of change specified
Free (item #1)				Yes
Sparse	<b>Sparse-&gt; dense-&gt; sparse (item #2)</b>	Sparse throughout (#3)	Sparse throughout (#4)	
Dense	Dense-> sparse-> dense (#5)	<b>Dense throughout (#6)</b>	Dense throughout (#7)	
Register of a single hand melody	low register -> high-> low (#8)	Register unchanged throughout (#9)	<b>Register unchanged throughout (#10)</b>	
Pulse	<b>pulse -&gt; unpulsed-&gt; pulsed (#11)</b>	pulsed throughout (#12)	pulsed throughout (#13)	
Quiet	Quiet-> loud-> quiet (#14)	<b>Quiet throughout (#15)</b>	Quiet throughout (#16)	
Staccato	Stacc -> sustain -> staccato (#17)	Staccato throughout (#18)	<b>Staccato throughout (#19)</b>	

Tonality	<b>tonal -&gt; atonal</b>	tonal throughout	tonal throughout
(performers	<b>-&gt; tonal (#20)</b>	(#21)	(#22)
interpret the			
term)			
Textural (rather	textural -> pitch	<b>textural</b>	textural
than pitch motive	based ->	<b>throughout</b>	throughout (#25)
based)	textural (#23)	<b>(#24)</b>	
Free (#26)			<b>Yes</b>

*Note.* The ten improvisations done by the performers included one from each row (systematic choice pre-determined in a similar pattern to a Latin square), including the two free improvisations. Thus they did: Item 1 (Free); One of (Sparse 2-4); One of (Dense 5-7); One of (Register focus, single hand 8-10); One of Pulse (11-13); One of Quiet (14-16); One of Staccato (17-19); One of Tonality (20-22); One of Textural (rather than motivic) (23-25); and Item 26 (Free). Bold text gives the example of the improvisations performed by Participant 1. The cycle continues and then repeats with subsequent participants.

**Table 2. A Complete Vector Autoregressive (VARX) Model Output of a Performer's Perceived Change: Dense-Sparse-Dense**

Equation	Parameters	R <sup>2</sup>	p-values	
Dsc	8	0.49	<.001	
Dchange	8	0.48	<.001	
Modeled variable Dsc	Coef.	SE	p-values	95% CI
<b>Autoregression:</b>				
L1.dsc	.80	.06	<.001	[.69, .92]
L2.dsc	-.47	.06	<.001	[-.59, -.36]
<b>Endogenous Predictors:</b>				
L1.dchange	-.24	.11	.029	[-.46, -.02]
L2.dchange	.02	.11	.834	[-.19, .24]
<b>Exogenous Predictors:</b>				
L1.dintensity	.22	.06	<.001	[.11, .33]
L1.dmovement	-.01	.32	.963	[-.64, .61]
L2.dmovement	.64	.37	.081	[-.08, 1.36]
L3.dmovement	.26	.33	.425	[-.38, .90]
Modeled variable Dchange	Coef.	SE	p-values	95% CI
<b>Autoregression:</b>				
L1.dsc	.16	.03	<.001	[.09, .22]
L2.dsc	-.17	.06	<.001	[-.23, -.10]
<b>Endogenous Predictors:</b>				
L1.dchange	-.73	.06	<.001	[-.85, -.61]
L2.dchange	-.26	.06	<.001	[-.38, -.14]
<b>Exogenous Predictors:</b>				
L1.dintensity	-.03	.03	.266	[-.09, .03]
L1.dmovement	.72	.17	<.001	[.38, 1.06]
L2.dmovement	1.37	.20	<.001	[.99, 1.76]

L3.dmovement .94 .18 <.001 [.59, 1.29]

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**Granger causality Wald tests**

Equation	Excluded	chi2	df	Prob > chi2
Dsc	Dchange	6.89	2	.032
	ALL	6.89	2	.032
Dchange	Dsc	34.32	2	<.001
	ALL	34.32	2	<.001

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*Note.* dsc = differenced SC; dchange = differenced perceived change; dintensity = differenced intensity; dmovement = differenced movement (measured from the performer's left leg).

**Table 3. Vector Autoregressive (VARX) Analyses of Improvisers' Perceived Change and Skin Conductance**

Referent	Participant ID	Granger Causality between dSC and dChange?	Musical parameter/ influences	Intensity influences	Movement influences	R <sup>2</sup> dSC	R <sup>2</sup> dChange
Dense-Sparse-Dense	3	SC -> change -> SC	Mioi/no	Intens->SC	Move -> Change	0.49	0.48
	5	No	No	No	Move ->SC, Change	0.41	0.36
	7	No	Mioi ->change	No	Move ->SC	0.10	0.17
Pulsed-Unpulsed-Pulsed	6	No	Pulsedness/no	Intens -> Change	Move ->SC	0.09	0.23
	8	No	Pulsedness/no	No	Move ->SC	0.11	0.48
	9	No	Pulsedness -> Change	No	Move ->Change	0.23	0.48
Staccato-Sustain-Staccato	1	No	Notelength -> SC, Change	No	No	0.57	0.32
	2	SC -> Change	Notelength/no	No	No	0.04	0.08
	3	No	Notelength/no	No	Move -	0.45	0.29

>SC

Tonal-	6	No	Tonalratio -	Intens ->	No	0.02	0.18
Atonal-			>SC	Change			
Tonal							
	8	Change -	Tonalratio ->	No	Move -	0.58	0.34
		>SC	Change		>SC,		
					Change		
	9	No	No	Intens ->	Move -	0.46	0.36
				SC	>SC		

*Note.* Mioi = mean inter-onset interval (windowed); dSC = differenced SC; dChange = differenced perceived change; Intens = intensity; Move = movement. An arrow indicates a significant predictive relationship. Note that several participants appear twice in the table.



**Figure captions**

Figure 1. Histogram of SCE rate differences (all performances)

Figure 2. Analysing the Performers' perceived change. The panels illustrate the results from a single performance of Dense-Sparse-Dense (Referent #5). The vertical lines on each panel indicate the measured changepoints in the music computational data stream (windowed mean inter-onset interval: i.e. note or chord duration).

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